Strong coupling corrections to the Ginzburg-Landau theory of superfluid ³He

H. Choi, J. P. Davis, J. Pollanen, T. M. Haard, and W. P. Halperin

Department of Physics and Astronomy, Northwestern University, Evanston, Illinois 60208, USA

(Received 9 January 2007; published 3 May 2007)

In the Ginzburg-Landau theory of superfluid ³He, the free energy is expressed as an expansion of invariants of a complex order parameter. Strong coupling effects, which increase with increasing pressure, are embodied in the set of coefficients of these order-parameter invariants [A. J. Leggett, Rev. Mod. Phys. **47**, 331 (1975); E. V. Thuneberg, Phys. Rev. B **36**, 3583 (1987); J. Low Temp. Phys. **122**, 657 (2001)]. Experiments can be used to determine four independent combinations of the coefficients of the five fourth-order invariants. This leaves the phenomenological description of the thermodynamics near T_c incomplete. Theoretical understanding of these coefficients is also quite limited. We analyze our measurements of the magnetic susceptibility and the NMR frequency shift in the *B* phase which refine the four experimental inputs to the phenomenological theory. We propose a model based on existing experiments, combined with calculations by Sauls and Serene [Phys. Rev. B **24**, 183 (1981)] of the pressure dependence of these coefficients, in order to determine all five fourth-order terms. This model leads us to a better understanding of the thermodynamics of superfluid ³He in its various states. We discuss the surface tension of bulk superfluid ³He and predictions for novel states of the superfluid such as those that are stabilized by elastic scattering of quasiparticles from a highly porous silica aerogel.

DOI: 10.1103/PhysRevB.75.174503

I. INTRODUCTION

The Ginzburg-Landau (GL) formulation gives a phenomenological representation of the free energy of superfluid ³He as an expansion in terms of the order parameter.¹⁻³ The expansion coefficients specify the stability of various *p*-wave states and their thermodynamics near T_c . These coefficients are well defined theoretically for the weak coupling case. However, ³He is not a weak coupling superfluid as is clear from its phase diagram where there is a region of A phase at high pressures. This is in contrast to the weak coupling limit for which the *B* phase is always stable. The strong coupling correction to the pair interaction is responsible for the A phase, an effect of spin and density fluctuations proportional to T_c/T_F .⁴ Calculations³ cannot account quantitatively for the strong coupling corrections, and so the coefficients must be determined empirically. Five of these parameters are coefficients of the fourth-order invariants of the order parameter in the GL free energy. These are called the β parameters β_i 's, where $i=1,\ldots,5$. Unfortunately, there are not enough independent sets of experiments to determine all the parameters, and so the phenomenological description of superfluid ³He is underdetermined. This hampers our ability to predict stability for novel superfluid *p*-wave states, such as those that might be favored by elastic scattering from high porosity silica aerogel.

In this paper, we present four combinations of β_i 's which we determine from measurements and we describe a model which resolves the ambiguity in identifying all five of them independently. The coefficient of a field dependent term in GL theory, g_z , plays an important role in determining more accurate combinations of the β_i 's than have been previously reported. Our NMR measurements of the susceptibility⁵ show that g_z is close to its weak coupling value at all pressures. This allows us to interpret our high-resolution measurements of the NMR frequency shift in the *B* phase^{6,7} and to obtain accurate β -parameter combinations. PACS number(s): 67.57.-z, 67.57.Bc, 67.57.Pq

Our model for determining the five β_i 's is motivated by the calculations of Sauls and Serene.³ We note that the calculations, although only qualitatively consistent with the existing experiments, nonetheless can accurately account for their pressure dependence. Furthermore, we note that the calculations indicate that one of the β parameters, β_1 , is close to its weak coupling value at all pressures. Motivated by these observations and the fact that the experimentally known combinations of the β_i 's approach their weak coupling values at zero pressure to within 5%, we make the following two assumptions: First, the β parameters are, on average, close to their weak coupling values at zero pressure and we use this criterion to select β_1 at zero pressure. Second, we take their pressure dependences from the theory which seems to accurately represent this aspect of the known β -parameter combinations. These assumptions are sufficient to constitute a model to determine the full suite of β parameters. With this information, we can calculate the surface tension between A and *B* phases in bulk superfluid ³He and compare with experiment. We can also calculate the stability of the axiplanar state in bulk superfluid ³He as a function of pressure, and we can evaluate predictions for anisotropic *p*-wave states that are robust in the presence of elastic scattering from silica aerogel.

II. GL THEORY FOR ³He

A phenomenological macroscopic description of phase transitions is given by the GL theory, in which the free energy is expressed as an expansion of the order parameter. In the case of superfluid ³He, the order parameter^{2,8} A is a complex 3×3 matrix, and the free energy of the system can be expressed as

$$F = -\alpha \operatorname{Tr}(AA^{\dagger}) + g_{z}H_{\mu}(AA^{\dagger})_{\mu\nu}H_{\nu} + \beta_{1}|\operatorname{Tr}(AA^{T})|^{2} + \beta_{2}[\operatorname{Tr}(AA^{\dagger})]^{2} + \beta_{3}\operatorname{Tr}(AA^{T}(AA^{T})^{*}) + \beta_{4}\operatorname{Tr}((AA^{\dagger})^{2}) + \beta_{5}\operatorname{Tr}(AA^{\dagger}(AA^{\dagger})^{*}).$$
(1)

Here, the dipole energy term is neglected. The magnetic-field components are H_{μ} , and A^{\dagger} and A^{T} are the Hermitian conjugate and transpose of A, respectively. The structure of the order parameter admits five fourth-order invariants, each of which has a corresponding coefficient β_i . At the secondorder thermodynamic transition to superfluidity, T_c , all *p*-wave superfluid states are equally probable, but their stability below T_c depends on the β_i 's. In the weak coupling limit, the free-energy coefficients are

$$\alpha = \frac{N(0)}{3} \left(\frac{T}{T_c} - 1 \right),\tag{2}$$

$$\frac{\beta_i}{\beta_0} = (-1, 2, 2, 2, -2), \quad i = 1, \dots, 5,$$
(3)

$$\beta_0 = \frac{7\zeta(3)}{120\pi^2} \frac{N(0)}{(k_B T_c)^2},\tag{4}$$

$$g_z = \frac{7\zeta(3)}{48\pi^2} N(0) \left(\frac{\gamma_0 \hbar}{(1+F_0^a)k_B T_c}\right)^2,$$
 (5)

where the normal density of states at the Fermi energy is N(0), the gyromagnetic ratio for ³He is γ_0 , k_B is the Boltzmann constant, F_0^a is a Fermi-liquid parameter determined from the magnetization measurement,⁸ and $\zeta(x)$ is the Riemann zeta function. However, ³He is not a weak coupling superfluid, and strong coupling effects increase with pressure. The strong coupling corrections for α are negligible,³ but they have a significant effect on the β_i 's and might also contribute to g_z . Calculations of strong coupling corrections have been performed for model potentials,^{3,9} with those of Sauls and Serene³ being the most complete and the ones we will refer to in this work.

III. EXPERIMENTS

There are seven free-energy coefficients which must be determined from experiment. The difficulty lies in the fact that there is insufficient experimental input to constrain this phenomenological description of superfluid ³He. Among the seven coefficients, α and g_z are determined without ambiguity. The measurements of the specific heat in the normal state C_N and the transition temperature¹⁰ T_c give us α . The slope of the ³He-*B* magnetization⁵ extrapolated to T_c , $dM_B/dT|_{T_c}$, and the specific-heat jump¹⁰ of ³He-*B*, $\Delta C_B/C_N$, are required for g_z , for which we have results presented in this section. For the remaining five β_i 's, there are only four independent sets of experiments so that only four combinations of β_i 's can be found in the form of sums. These are β_{345} , β_{12} , β_{245} , and β_5 , where we use the Mermin-Stare convention $\beta_{ij} = \beta_i + \beta_i$.

First, we will describe the relevant experiments and the logic for determining these combinations of the β_i 's.

(A) β_{345} requires measurements of the ³He-*B* transverse NMR *g* shift^{6,7} *g*, which must be combined with the slope of the *B*-phase longitudinal NMR resonance frequency^{7,11,12} $\nu_{B\parallel}^2/(1-t)$ in the limit approaching T_c as well as with mea-

surements of $\Delta C_B/C_N$,¹⁰ where $t=T/T_c$. In order to have the value of the g shift at T_c , it is helpful to observe that the *B*-phase susceptibility and the g shift are linearly related, facilitating an extrapolation to T_c . The *B*-phase heat-capacity jump is measured only below the polycritical point (PCP). However, measurement of the specific heat in the *A* phase along with measurements of the latent heat at the *A* to *B* transition allows a thermodynamic calculation¹⁰ of the specific-heat jump for the *B* phase at pressures above the PCP. Consequently, $\Delta C_B/C_N$ is experimentally determined at all pressures.

(B) From the specific-heat jump, $\Delta C_B/C_N$, and the values for β_{345} obtained above, we can directly determine β_{12} .

(C) From the specific-heat jump $\Delta C_A/C_N$, we can directly determine β_{245} , but only for pressures greater than the PCP where this jump can be measured. Below the PCP, β_{245} is found from the quadratic magnetic-field suppression of the first-order ³He *A* to *B* transition,¹³ $g(\beta)$, along with the values of β_{12} and β_{345} that have been obtained above in (A) and (B).

(D) Finally, we can fix β_5 uniquely by the asymmetry ratio *r* of the linear field dependent splitting of the A_1 to A_2 transitions¹⁴ in high magnetic field combined with β_{245} .

In summary, four independent combinations of experiments give us four constraints on the β_i 's, which are insufficient to identify all five of them. In principle, measurement of the surface tension at the ³He A-B interface could provide us with a fifth independent combination^{15–17} of β_i 's. However, the surface tension vanishes near T_c due to the degeneracy of the free energy at T_c of A and B phases. For this reason, it is not possible to obtain sufficiently high-resolution measurements of the surface tension to provide useful characterization of strong coupling effects in the Ginzburg-Landau limit. In the following, we will discuss in more detail the experimental determination of strong coupling and its effects on the β_i 's.

The coefficient for the field coupling term, g_z , is determined by measuring the slope of the magnetization of ³He-*B* in the limit approaching T_c ,

$$\hat{g}_{z} \equiv \frac{g_{z}}{g_{z}^{\text{wc}}} = \frac{\frac{dm}{dt}}{\left(\frac{dm}{dt}\right)^{\text{wc}}} \frac{\Delta C_{B}^{\text{wc}}}{\Delta C_{B}},$$
(6)

where $m = M_B/M_N$ and M_N is the normal-state magnetization. The superscript wc, which we use here and in the following, indicates the weak coupling limit.

Magnetization measurements of superfluid ³He have been of great interest since its discovery. Two different techniques—NMR based dynamic measurements^{5,18–21} and superconducting quantum interference device (SQUID) based static measurements^{22–25}—have been performed over the past 30 years. Historically, there has been a discrepancy between these two techniques,^{26,27} the origin of which has not been established. Nonetheless, more recent experiments^{5,28} bring the results closer together. Haard measured the magnetization using high-resolution NMR.⁵ A careful analysis of this and other measurements^{28,29} reveals that



FIG. 1. \hat{g}_z obtained from magnetization measurements by NMR. Closed circles are the measurements by Haard (Ref. 5) and open circles by Hoyt *et al.* (Ref. 21) and Scholz (Ref. 29). The results from both measurements are consistent and give approximately unity for \hat{g}_z .

the discrepancy appears to be negligible near the transition temperature T_c . Using Eq. (6), Haard⁵ determined g_z from NMR and found the results presented in Fig. 1, where g_7 is close to its weak coupling value, i.e., $\hat{g}_{z}=1$. From Haard's measurement, the deviation from weak coupling appears to grow slightly with pressure. From analysis⁵ of the more accurate work of Hoyt *et al.*²¹ and Scholz,²⁹ it appears that g_7 is pressure independent. The difference between the data sets is likely due to the wider range of extrapolation in the *B* phase toward T_c that is required to determine g_z at elevated fields in the case for Haard's measurement. Hahn et al.²⁸ came to the same conclusion, $\hat{g}_{z}=1$, based on their SQUID measurements, and so we will take g_z to have its weak coupling value at all pressures. Having established g_z , β_{345} can be calculated from the NMR g shift^{5-7,30} of the transverse NMR frequency in ³He-*B*, which has the following relationship³¹ with \hat{g}_z and β_{345} :

$$\frac{\beta_{345}}{\hat{g}_z} = \frac{\beta_{345}^{\text{wc}}}{(1+F_0^a)^2} \left(\frac{C_N}{\Delta C_B}\right) \frac{\nu_{B\parallel}^2}{1-t} \left(\frac{\hbar}{2\pi k_B T_c}\right)^2 \frac{1}{g}.$$
 (7)

In earlier reports⁶ of the *g*-shift, the analysis to obtain β_{345} estimated g_z incorrectly. The values in Table I for the *g* shift and the *B*-phase longitudinal resonance frequency are smoothed values⁵ from a large number of experiments,⁷ significantly more than what was originally reported by Kycia *et al.*⁶ Greywall¹⁰ has measured the specific heat of ³He-*A* and -*B*. The specific-heat jump at T_c , for these two phases, is related to β_A and β_B through

$$\Delta C_A = \frac{{\alpha'}^2}{2\beta_A}, \quad \beta_A \equiv \beta_{245}, \tag{8}$$

$$\Delta C_B = \frac{{\alpha'}^2}{2\beta_B}, \quad \beta_B \equiv \beta_{12} + \frac{1}{3}\beta_{345}, \tag{9}$$

where $\alpha' \equiv d\alpha/dT$. At pressures less than the PCP, the magnetic suppression¹³ $g(\beta)$ of the *AB* transition temperature T_{AB} is used to obtain β_{245} through

$$g(\beta) = -\frac{\sqrt{1 + (\beta_B/\beta_A - 1)\left(1 + \frac{2}{1 - \beta_{12}/\beta_B}\right) + 1}}{\beta_B/\beta_A - 1}.$$
 (10)

Here $g(\beta)$ is defined by

$$1 - \frac{T_{AB}}{T_c} \equiv g(\beta) \left(\frac{B}{B_0}\right)^2 + \mathcal{O}\left(\left(\frac{B}{B_0}\right)^4\right),\tag{11}$$

where *B* is the applied magnetic field and $B_0^2 = N(0)/6g_z$. Finally, β_5 can be determined by measuring the asymmetry ratio¹⁴ of the A_1 - A_2 splitting *r*,

$$r \equiv \frac{T_{A_1} - T_c}{T_c - T_{A_2}} = -\frac{\beta_5}{\beta_{245}}.$$
 (12)

The four experimentally determined β -coefficient combinations, along with the measurements used to obtain them, are tabulated from 0 to 34 bar in Table I.

IV. MODEL FOR DETERMINING β 's

As stated earlier, we impose two assumptions to eliminate ambiguity associated with sorting out all five β_i 's from the four known combinations of β_i 's determined from the experiments described in the previous section. The assumptions are the following: (1) The pressure dependence of β_1 calculated by Sauls and Serene³ is valid. (2) At zero pressure, all five β_i 's approach their weak coupling values, on the average. The consequences of these assumptions will be discussed in the following sections.

A. Comparison with the calculation

Sauls and Serene³ developed a potential scattering model to find the strong coupling corrections to the β coefficients in the pressure range of 12-34.4 bar. Since we do not have five experimentally determined β coefficients with which to directly compare to the theory, we construct from the calculation those four combinations of β coefficients, β_{345} , β_{12} , β_{245} , and β_5 which are experimentally accessible, and compare these with the measurements in Fig. 2. First, we note that the experimental results suggest that superfluid ³He is predominantly weak coupling at zero pressure. Secondly, the pressure dependence of each combination shows remarkable agreement between experiment and theory for P > 12 bar, the range where the calculations were performed. It is also apparent that the calculation of the absolute values of the β_i 's is less reliable than their pressure dependence. Finally we note that, in the calculation, the smallest strong coupling correction among the β_i 's is for β_1 . Guided by this information, we will assume that the pressure dependence of $\beta_1(P)$ can be taken from the calculation of Sauls and Serene, and then we need only determine $\beta_1(0)$.

B. Zero pressure values of the β_i 's

The pressure dependence of the β_i 's is insufficient to resolve the ambiguity associated with the β -coefficient combinations. Five independent values of β_i 's at a given pressure

TABLE I. Ginzburg-Landau β parameters and the experimental quantities from which they are derived. The NMR *B*-phase *g* shift is a fit to data from Kycia (Ref. 7) given by Haard (Ref. 5). The NMR *B*-phase longitudinal resonance was measured by Rand (Refs. 11 and 12) for which a smoothed fit is given by Haard (Ref. 5). The coefficient of quadratic magnetic-field suppression of the *B* phase was measured by Tang *et al.* (Ref. 13). The *B*-phase heat-capacity jump was taken from Greywall (Ref. 10) and the asymmetry ratio of the linear field dependent splitting of the A_1 to A_2 transitions was reported by Israelson *et al.* (Ref. 14). Extension of the measurements of the *A*-phase heat-capacity jump to pressures lower than the PCP requires a calculation based on the measured quadratic suppression of the *A* to *B* transition as described in the text.

		$d\nu_{B\parallel}^2$		AC-	AC	$\left(\frac{dT}{dH}\right)_{A_1}$	B	ß	B	ß
P (bar)	g shift (×10 ⁶)	$dt (10^{10} \text{ Hz}^2)$	$g(\boldsymbol{\beta})$	$\frac{\Delta C_B}{C_N}$	$\frac{\Delta C_A}{C_N}$	$-\frac{1}{\left(\frac{dT}{dH}\right)_{A_2}}$	$\frac{\rho_{345}}{\beta_0}$	$\frac{\rho_{12}}{\beta_0}$	$\frac{\rho_{245}}{\beta_0}$	$\frac{\mu_5}{\beta_0}$
wc			1	1.426	1.188	1	2	1	2	-2
0	7.31	1.50	1.61	1.46	1.25	0.97	2.11	0.92	1.90	-1.84
1	7.71	1.78	1.72	1.50	1.29	0.99	1.86	0.97	1.84	-1.82
2	8.10	2.06	1.84	1.53	1.33	1.02	1.68	0.99	1.78	-1.81
3	8.48	2.34	1.96	1.56	1.37	1.04	1.56	1.01	1.74	-1.81
4	8.85	2.62	2.07	1.58	1.40	1.07	1.47	1.01	1.70	-1.81
5	9.20	2.90	2.20	1.61	1.43	1.09	1.41	1.01	1.66	-1.81
6	9.55	3.18	2.37	1.63	1.46	1.12	1.36	1.01	1.63	-1.82
7	9.89	3.46	2.57	1.65	1.49	1.14	1.32	1.00	1.60	-1.82
8	10.22	3.74	2.80	1.67	1.51	1.16	1.29	1.00	1.57	-1.83
9	10.54	4.02	3.06	1.68	1.54	1.19	1.26	0.99	1.55	-1.84
10	10.86	4.30	3.34	1.70	1.56	1.21	1.24	0.98	1.52	-1.85
11	11.17	4.58	3.66	1.71	1.58	1.24	1.23	0.98	1.50	-1.86
12	11.47	4.86	4.03	1.73	1.61	1.26	1.21	0.97	1.48	-1.87
13	11.77	5.14	4.51	1.74	1.63	1.29	1.20	0.96	1.46	-1.88
14	12.06	5.42	5.20	1.75	1.66	1.31	1.19	0.96	1.44	-1.89
15	12.36	5.70	6.21	1.77	1.68	1.34	1.18	0.95	1.41	-1.89
16	12.64	5.98	7.70	1.78	1.71	1.36	1.17	0.95	1.39	-1.90
17	12.93	6.26	9.81	1.79	1.73	1.39	1.15	0.94	1.37	-1.90
18	13.22	6.54	12.71	1.80	1.76	1.41	1.14	0.94	1.35	-1.91
19	13.50	6.82	16.53	1.81	1.78	1.44	1.13	0.93	1.34	-1.92
20	13.79	7.10	21.30	1.82	1.80	1.46	1.12	0.93	1.32	-1.93
21	14.08	7.38		1.83	1.83	1.49	1.10	0.93	1.30	-1.93
22	14.36	7.66		1.84	1.85	1.51	1.09	0.92	1.28	-1.94
23	14.65	7.94		1.86	1.87	1.54	1.08	0.92	1.27	-1.95
24	14.95	8.22		1.87	1.90	1.56	1.06	0.92	1.25	-1.96
25	15.25	8.50		1.88	1.92	1.58	1.05	0.92	1.24	-1.97
26	15.55	8.78		1.89	1.94	1.61	1.03	0.91	1.23	-1.97
27	15.85	9.06		1.90	1.96	1.63	1.02	0.91	1.21	-1.98
28	16.17	9.34		1.91	1.98	1.66	1.00	0.91	1.20	-1.99
29	16.49	9.62		1.92	2.00	1.68	0.99	0.91	1.19	-2.00
30	16.81	9.90		1.93	2.02	1.71	0.97	0.91	1.18	-2.01
31					2.04	1.73			1.16	-2.02
32					2.07	1.76			1.15	-2.02
33					2.09	1.78			1.14	-2.03
34					2.12	1.81			1.12	-2.03

are required along with the pressure dependence for β_1 . The calculations indicate that strong coupling corrections are smallest for $\beta_1(P)$, and from experiment we see that the measurable combinations deviate from their weak coupling values by less than 5% at zero pressure. On this basis, one

possibility would be to simply choose $\beta_1(0)/\beta_1^{wc}=1$, i.e., to be weak coupling. Another possibility, the more democratic one, is to choose $\beta_1(0)$ as a variational parameter and minimize the mean-square deviations of all β parameters from their weak coupling values at zero pressure subject to the



FIG. 2. (Color online) Comparison of four known β combinations from the experiments (dashed lines) and calculation of Sauls and Serene (Ref. 3) (solid lines). The pressure dependences are in good agreement, but the absolute values are not as close.

constraints imposed by the four different combinations that have been determined experimentally. For the latter method, we find $\beta_1(0)/\beta_1^{wc}=0.97$, which is essentially equivalent to the first choice. In the following, we make the latter choice. We show this process explicitly in Fig. 3, where we calculate all of the $\beta_i(0)$'s as a function of $\beta_1(0)$ subject to the four experimental constraints. It is clear that for $\beta_1(0)$ near its weak coupling value, as emphasized by the circled region, all the others approach their weak coupling values at zero pressure as well. With this choice for $\beta_1(0)$ and the pressure dependence of β_1 taken from Sauls and Serene,³ $\beta_1(P)$ is now uniquely defined and all the other β_i 's can be determined. These β_i 's are tabulated columns 2–6 of Table II.

V. APPLICATIONS

A. Surface tension at the A-B interface

With all the β_i 's now determined, one can calculate the surface tension between the *A* and *B* phases of superfluid ³He. According to Thuneberg,¹⁵ the surface free energy of the *A*-*B* interface is expressed as

$$f_{AB} = \frac{\xi(T)\alpha^2}{4\beta_2^{(0)}} \left[\frac{I_1}{\sqrt{2\beta_2^{(0)}}} + \frac{I_2}{2} \left(\frac{4a^3}{\beta_2^{(0)}\beta_3^{(0)}(\beta_1^{(0)} + 3\beta_2^{(0)})} \right)^{1/4} \right],$$
(13)

where $\xi(T)$ is the temperature-dependent coherence length of ³He,

$$I_{1} = \begin{cases} \sqrt{a+c} + \frac{a}{\sqrt{c}} \ln\left(\frac{\sqrt{a+c} + \sqrt{c}}{\sqrt{a}}\right) & \text{if } c > 0\\ \sqrt{a+c} + \frac{a}{\sqrt{-c}} \arcsin(\sqrt{-c/a}) & \text{if } c < 0, \end{cases}$$
(14)

$$I_2 \approx 1.89 - 1.98\sqrt{\kappa} - 0.31\kappa$$
 for $\kappa < 1/\sqrt{2}$, (15)



FIG. 3. (Color online) Zero pressure values of the β_i 's parametrized by $\beta_1(0)$. The numbers on each line correspond to the subscript *i* of β_i . The $\beta_i(0)$'s clearly converge around $\beta_i(0)/\beta_i^{wc}=1$, which is an indication that the β_i 's tend toward their weak coupling values at low pressure.

$$\kappa^{2} = \frac{\beta_{3}^{(0)}(\beta_{1}^{(0)} + 3\beta_{2}^{(0)})}{4\beta_{2}^{(0)}\beta_{34}^{(0)}}.$$
 (16)

Here, *a* and *c* are defined as $a=2\beta_1+\beta_3-\beta_{45}$ and *c* =- $(2\beta_1+\beta_{345})$. The $\beta_i^{(0)}$'s are any set of β_i 's that satisfy the condition for the surface energy to vanish, $2\beta_1+\beta_3=0$, $\beta_{45}=0$. Weak coupling values of β_i 's are a subset of the $\beta_i^{(0)}$'s, but the $\beta_i^{(0)}$'s need not be limited to their weak coupling values. Thuneberg suggested two different values for $\beta_2^{(0)}$, $2/\beta_2^{(0)}=\beta_{245}^{-1}+(\beta_{12}+\beta_{345}/2)^{-1}$ and $\beta_2^{(0)}=\beta_{12}+\beta_{345}/2$, but kept the relative magnitude of the five $\beta_i^{(0)}$'s the same as for the weak coupling case in his original work.¹⁵ We examined these two choices of $\beta_2^{(0)}$.

From a number of different choices for the β_1 including the values of the β_1 chosen from Table I, the surface tension at the melting curve is calculated. The calculation with our choice of β_1 and the measurements of Osheroff and Cross¹⁶ are in good agreement. An example of the calculation with $2/\beta_2^{(0)} = \beta_{245}^{-1} + (\beta_{12} + \beta_{345}/2)^{-1}$ is shown in Fig. 4. The calculation, however, has a number of limitations. One is that the calculation depends on the choice of $\beta_2^{(0)}$ which is not uniquely defined. The other is that the experimental results do not have high enough resolution to determine the β_i 's independently.

B. How stable is the axial state?

A number of experiments performed to investigate the order parameter of ³He-*A* phase have confirmed that the *A* phase is, in fact, the axial state. This confirmation could be further strengthened by studying the thermodynamic stability of the axial state over other possible equal-spin-pairing states, such as an axiplanar state; some concern has been raised in the past that an axial state and an axiplanar state may not be easily distinguishable due to their continuously related order-parameter structures.^{13,32} However, a certain

			Pure ³ He	:		³ He in 98% aerogel					
D											
(bar)	$\frac{\underline{\beta}_1}{\overline{\beta}_0}$	$rac{eta_2}{eta_0}$	$rac{eta_3}{eta_0}$	$rac{eta_4}{eta_0}$	$rac{eta_5}{eta_0}$	$\frac{\underline{\beta}_1^a}{\underline{\beta}_0^a}$	$rac{oldsymbol{eta}_2^a}{oldsymbol{eta}_0^a}$	$rac{oldsymbol{eta}_3^a}{oldsymbol{eta}_0^a}$	$rac{oldsymbol{eta}^a_4}{oldsymbol{eta}^a_0}$	$rac{eta_5^a}{eta_0^a}$	
wc	-1	2	2	2	-2	-1	2	2	2	-2	
0	-0.97	1.89	2.10	1.85	-1.84						
1	-0.97	1.94	1.96	1.72	-1.82						
2	-0.97	1.96	1.86	1.63	-1.81						
3	-0.98	1.99	1.81	1.56	-1.81						
4	-0.98	1.99	1.76	1.52	-1.81						
5	-0.98	1.99	1.74	1.48	-1.81	-0.05	0.15	0.10	0.15	-0.15	
6	-0.98	1.99	1.72	1.46	-1.82	-0.20	0.51	0.36	0.48	-0.50	
7	-0.98	1.98	1.70	1.44	-1.82	-0.28	0.72	0.53	0.66	-0.70	
8	-0.98	1.98	1.70	1.42	-1.83	-0.35	0.87	0.66	0.78	-0.84	
9	-0.99	1.98	1.69	1.41	-1.84	-0.41	0.99	0.75	0.87	-0.95	
10	-0.99	1.97	1.69	1.40	-1.85	-0.45	1.08	0.83	0.94	-1.05	
11	-0.99	1.97	1.70	1.39	-1.86	-0.49	1.15	0.90	0.99	-1.12	
12	-0.99	1.96	1.69	1.39	-1.87	-0.52	1.21	0.96	1.03	-1.17	
13	-0.99	1.95	1.69	1.39	-1.88	-0.55	1.26	1.01	1.06	-1.22	
14	-1.00	1.95	1.70	1.38	-1.89	-0.58	1.30	1.05	1.09	-1.27	
15	-1.00	1.95	1.72	1.35	-1.89	-0.60	1.34	1.10	1.10	-1.32	
16	-1.00	1.95	1.73	1.34	-1.90	-0.62	1.38	1.13	1.12	-1.35	
17	-1.00	1.94	1.72	1.33	-1.90	-0.64	1.40	1.16	1.13	-1.38	
18	-1.00	1.94	1.73	1.32	-1.91	-0.66	1.43	1.19	1.14	-1.41	
19	-1.00	1.93	1.72	1.33	-1.92	-0.67	1.45	1.21	1.16	-1.43	
20	-1.01	1.94	1.74	1.31	-1.93	-0.69	1.48	1.24	1.16	-1.47	
21	-1.01	1.94	1.74	1.29	-1.93	-0.71	1.50	1.26	1.16	-1.49	
22	-1.01	1.93	1.74	1.29	-1.94	-0.72	1.51	1.28	1.17	-1.51	
23	-1.01	1.93	1.74	1.29	-1.95	-0.73	1.53	1.30	1.18	-1.53	
24	-1.01	1.93	1.74	1.28	-1.96	-0.74	1.54	1.32	1.18	-1.54	
25	-1.01	1.93	1.74	1.28	-1.97	-0.75	1.56	1.33	1.18	-1.58	
26	-1.02	1.93	1.73	1.27	-1.97	-0.76	1.57	1.34	1.18	-1.60	
27	-1.02	1.93	1.74	1.26	-1.98	-0.77	1.58	1.36	1.18	-1.61	
28	-1.02	1.93	1.73	1.26	-1.99	-0.78	1.60	1.37	1.19	-1.62	
29	-1.02	1.93	1.73	1.26	-2.00	-0.78	1.61	1.38	1.19	-1.63	
30	-1.02	1.93	1.72	1.26	-2.01	-0.79	1.62	1.38	1.19	-1.67	
31	-1.03	1.93	1.73	1.25	-2.02	-0.80	1.62	1.40	1.19	-1.68	
32	-1.03	1.93	1.73	1.25	-2.02	-0.81	1.63	1.40	1.19	-1.68	
33	-1.03	1.93	1.73	1.25	-2.03	-0.81	1.63	1.41	1.19	-1.69	
34	-1.03	1.93	1.73	1.25	-2.03	-0.82	1.64	1.42	1.20	-1.70	

TABLE II. β_i 's for bulk superfluid ³He (left side) and superfluid ³He in 98% porosity aerogel in the IISM with $\lambda = 150$ nm and $\xi_a = 40$ nm (right side).

combination of β coefficients, namely, β_{45} , can be used to check the relative thermodynamic stability between the two states. If β_{45} is negative, the *A* phase is the axial state, and if β_{45} is positive, the *A* phase would be the axiplanar state. By imposing $\beta_{45}=0$ as the fifth constraint in addition to the four known combinations of the β_i 's, a unique set of β_i 's is obtained which can be used to plot a phase diagram for axial and axiplanar states with β_1 as a parameter. This phase dia-

gram is shown in Fig. 5. We compare our choice of β_1 from Table I with the phase diagram, and this value lies well within the axial state regime at high pressure, as has been commonly believed and which a number of experiments independently confirm.^{11,12,33} However, it should be noted that our choice of β_1 indicates that there is a near degeneracy of the axial and axiplanar states at zero pressure, and this might be interesting to investigate further.



FIG. 4. (Color online) Osheroff and Cross's measurements of the surface tension (Ref. 16) in comparison with the calculation of Thuneberg (Ref. 15) for various β_1 choices and $2/\beta_2^{(0)} = \beta_{245}^{-1} + (\beta_{12} + \beta_{345}/2)^{-1}$. The comparison of the two with the choice of $\beta_1/\beta_1^{wc} \sim 1$ is consistent, but the measurement lacks the resolution to conclusively determine the value of β_1 .

C. The robust phase in aerogel

Full determination of all five β_i 's has important implications for superfluid ³He in aerogel. Within the context of scattering models, we can calculate the appropriate modifications to the β_i 's and explore the predicted stability of various superfluid states. There are multiple different scattering models for ³He in aerogel, e.g., the homogeneous isotropic scattering model³⁴ (HISM) and inhomogeneous isotropic scattering models (IISM).^{34–36} We use the IISM of Sauls and Sharma,³⁶ a modification of the HISM of Thuneberg *et al.*³⁴ The β_i 's in the IISM are modified through

$$\begin{pmatrix} \beta_1^a \\ \beta_2^a \\ \beta_3^a \\ \beta_4^a \\ \beta_5^a \end{pmatrix} = \beta_0^a \begin{pmatrix} -1 \\ 2 \\ 2 \\ -2 \end{pmatrix} + b \begin{pmatrix} 0 \\ 1 \\ 0 \\ 1 \\ -1 \end{pmatrix} + \begin{pmatrix} \Delta \beta_1^{sc,a} \\ \Delta \beta_2^{sc,a} \\ \Delta \beta_3^{sc,a} \\ \Delta \beta_5^{sc,a} \\ \Delta \beta_5^{sc,a} \end{pmatrix}, \quad (17)$$

$$\beta_0^a = \frac{N(0)}{30(\pi k_B T_c)^2} \sum_{n=1}^{\infty} \frac{1}{(2n-1+x)^3},$$
 (18)

$$b = \frac{N(0)}{9(\pi k_B T_c)^2} \left(\sin^2 \delta_0 - \frac{1}{2}\right) \sum_{n=1}^{\infty} \frac{x}{(2n-1+x)^4}, \quad (19)$$

where $x = \hat{x}/(1 + \zeta_a^2/\hat{x})$, $\zeta_a = \xi_a/\lambda$, $\hat{x} = \hbar v_F/2\pi k_B T\lambda$, ξ_a is the strand-strand correlation length, λ is the transport mean free path for ³He quasiparticles, and δ_0 is the *s*-wave scattering phase shift.

With the five β_i 's for bulk superfluid given in Table II, we calculated the effects on the β_i 's of scattering from the aerogel strands. We distinguish these coefficients from bulk ³He with a superscript, β_i^a . We assumed unitary scattering $\delta_0 = \pi/2$ with $\lambda = 150$ nm and $\xi_a = 40$ nm. These parameters are typical of 98% porosity aerogels.³⁷ The effects of scattering



FIG. 5. (Color online) Phase diagram for axial and axiplanar states with β_1 as a parameter. The choice of β_1 with our model (dashed line) places the *A* phase in the region of the axial state.

in the weak coupling approximation are included in both β_0^a and *b*. In addition, the β_i^a 's will have a strong coupling component that will be modified by elastic scattering. We accommodate this by rescaling the $\Delta \beta_i^{sc}$'s with a factor T_{ca}/T_c , since strong coupling effects⁴ are linear in T_c/T_F . The results of the calculation, β_i^a/β_0^a , are tabulated in the last five columns of Table II. For this choice of aerogel parameters, the superfluid state is not stable below a pressure of 5 bar as reported by Matsumoto *et al.*,³⁸ and hence the table is blank below this pressure.

A direct consequence of the modification of β_i^a 's according to the scattering model is the enhancement of relative stability of the *B* phase with respect to the *A* phase for 3 He in aerogel. For either the HISM or IISM, the isotropic state (B phase) is found to be stable over the entire pressure range. However, superfluid ³He in aerogel has a metastable A-like phase that has been clearly observed $^{39-41}$ in various samples on cooling below T_c . Although the exact nature of this phase is still in question, it is known that the metastable phase is an equal-spin-pairing state,⁴² similar to the bulk A phase; hence, it is referred to as an A-like phase. However, lack of understanding of the orbital part of the order parameter makes the identity of the state less clear. Furthermore, the question of stability of any equal-spin-pairing state with respect to the aerogel B phase relies on an understanding of the appropriate β parameters for which we have no direct independent information. Volovik⁴³ has argued that the axial state in the presence of quenched anisotropic disorder cannot exist as a spatially homogeneous superfluid owing to the arguments of Imry and Ma.⁴⁴ If the metastable phase is, in fact, the axial state, the order parameter would not have long-range orientational order, a state which Volovik has called a superfluid glass. With a different approach, Fomin⁴⁵ has argued that there are other *p*-wave pairing states which are also equal spin pairing but do not suffer from the same difficulty, and that these might be candidates for the metastable aerogel phase. Such phases would be robust in the presence of anisotropic scattering, meaning that $A_{\mu i}A_{\mu j}^* + A_{\mu j}A_{\mu i}^* \propto \delta_{ij}$, where δ_{ij} is the Kronecker delta.⁴⁵ NMR experiments have been performed on ³He in 97.5% aerogel which support the view



FIG. 6. (Color online) The asymmetry ratio r for the A_1 - A_2 splitting was calculated for the axial state (solid red line) and the robust phase (dashed line) in aerogel, where we used the IISM of Sauls and Sharma (Ref. 36) with a transport mean free path for ³He quasiparticles $\lambda = 150$ nm and strand-strand correlation length $\xi_a = 40$ nm, which match well to phase diagram measurements on the same sample by Gervais *et al.* (Ref. 40). The measurements of Choi *et al.* (Ref. 48) (closed circles) are more consistent with the A-like phase of aerogel ³He being the axial state than the robust state.

that the metastable *A*-like phase is, in fact, a robust state,⁴⁶ but other measurements^{47–49} appear to be inconsistent with this interpretation. The free energy for the robust state⁴⁵ can be expressed as

$$F_R = -\alpha^2 / 4\beta_R,\tag{20}$$

where

$$\beta_R = (\beta_{13} + 9\beta_2 + 5\beta_{45})/9. \tag{21}$$

Thermodynamic properties of the robust state have not been predicted because it involves all five β_i 's beyond the four combinations known to us so far. However, the determination of β_i 's from our model allows us to investigate the properties of the robust state. First, we calculate the asymmetry ratio of the A_1 - A_2 splitting in aerogel. For the A phase, this ratio is expressed in terms of the β_i 's given by Eq. (12). In the case of the robust state, the ratio r_R is given by^{45,48}

$$r_R = \frac{\beta_{15}}{\beta_{13} + 9\beta_2 + 5\beta_{45}}.$$
 (22)

With the values of the β_i 's from Table II, the asymmetry ratio r_R is found to be ~0.2, considerably smaller than what has been found experimentally,⁴⁸ $r_R \ge 1.0$. These results are compared in Fig. 6.

Second, we calculate the relative stability of the robust state with respect to the *B* phase over the pressure range from 0 to 34 bar with β_1 for bulk ³He as a parameter subject to the constraints of the four experimentally known combinations of the β 's given in Table I. These results are shown in Fig. 7. For the robust state of ³He in aerogel to be stable, β_1 would have to be significantly different from the value



FIG. 7. (Color online) Phase diagram for the isotropic phase and the robust phase for ³He in 98% porosity aerogel with β_1 (bulk) as a parameter. Our choice of β_1 (dashed line) makes the isotropic phase more stable than the robust phase.

derived from our model, assuming the form of the free energy in Eq. (1).

VI. CONCLUSIONS

We have investigated the experimental basis for determining strong coupling as a function of pressure for superfluid ³He based on analysis of our NMR data. Given the limitation that we only have four experimentally identifiable β -coefficient combinations, we developed a phenomenological model with two assumptions: (1) that superfluid 3 He is predominantly weak coupling at low pressure and (2) that the pressure dependence of β_1 can be taken from the calculation of Sauls and Serene. This model provides us with all five β coefficients. Using this model, we calculated the surface free energy at the A-B interface and compared with experiment. Although the measurement does not have high enough resolution to validate our model, it is not in disagreement. The model is also consistent with the general consensus that the so-called A phase is the axial phase rather than the axiplanar phase. We used our values of the β_i 's to calculate the corresponding strong coupling effects for superfluid ³He in aerogel. We find that the B phase is stable at all pressures. We compared the relative stability of the robust state proposed by Fomin with that of the B phase. The robust state is unstable relative to either the isotropic *B*-like phase or the axial state. Furthermore, the asymmetry ratio of the A_1 - A_2 splitting for superfluid ³He in aerogel was calculated for the robust state and it was found to be significantly smaller than the experimental values. Our interpretation is that the A-like aerogel phase is not a robust state based on the free-energy expansion given in Eq. (1).

ACKNOWLEDGMENTS

We would like to thank Jim Sauls and Richard Haley for their helpful discussions, and gratefully acknowledge support from the NSF DMR-0244099.

- ¹A. J. Leggett, Rev. Mod. Phys. **47**, 331 (1975).
- ²E. V. Thuneberg, Phys. Rev. B **36**, 3583 (1987); J. Low Temp. Phys. **122**, 657 (2001).
- ³J. A. Sauls and J. W. Serene, Phys. Rev. B 24, 183 (1981).
- ⁴D. Rainer and J. W. Serene, Phys. Rev. B 13, 4745 (1976).
- ⁵T. M. Haard, Ph.D. thesis, Northwestern University, 2001.
- ⁶J. B. Kycia, T. M. Haard, M. R. Rand, H. H. Hensley, G. F. Moores, Y. Lee, P. J. Hamot, D. T. Sprague, W. P. Halperin, and E. V. Thuneberg, Phys. Rev. Lett. **72**, 864 (1994).
- ⁷J. B. Kycia, Ph.D. thesis, Northwestern University, 1997.
- ⁸D. Volhardt and P. Wölfle, *The Superfluid Phases of Helium 3* (Taylor & Francis, London, 1990).
- ⁹K. Levin and O. T. Valls, Phys. Rev. B **20**, 105 (1979); **20**, 120 (1979).
- ¹⁰D. S. Greywall, Phys. Rev. B **33**, 7520 (1986).
- ¹¹M. R. Rand *et al.*, Physica B **194-196**, 805 (1994).
- ¹²M. R. Rand, Ph.D. thesis, Northwestern University, 1996.
- ¹³Y. H. Tang, I. Hahn, H. M. Bozler, and C. M. Gould, Phys. Rev. Lett. **67**, 1775 (1991).
- ¹⁴U. E. Israelsson, B. C. Crooker, H. M. Bozler, and C. M. Gould, Phys. Rev. Lett. **53**, 1943 (1984).
- ¹⁵E. V. Thuneberg, Phys. Rev. B **44**, 9685 (1991).
- ¹⁶D. D. Osheroff and M. C. Cross, Phys. Rev. Lett. **38**, 905 (1977).
- ¹⁷M. Bartkowiak, S. N. Fisher, A. M. Guenault, R. P. Haley, G. R. Pickett, and P. Skyba, Phys. Rev. Lett. **93**, 045301 (2004).
- ¹⁸L. R. Corrucini and D. D. Osheroff, Phys. Rev. Lett. **34**, 695 (1974).
- ¹⁹A. I. Ahonen *et al.*, Phys. Lett. **51A**, 279 (1975).
- ²⁰A. I. Ahonen, M. Krusius, and M. A. Paalanen, J. Low Temp. Phys. **25**, 421 (1976).
- ²¹R. F. Hoyt, H. N. Scholz, and D. O. Edwards, Physica B & C 107, 287 (1981).
- ²²D. N. Paulson, R. T. Johnson, and J. C. Wheatley, Phys. Rev. Lett. **31**, 746 (1973).
- ²³D. N. Paulson, H. Kojima, and J. C. Wheatley, Phys. Rev. Lett. 32, 1098 (1974).
- ²⁴D. D. Osheroff, Phys. Rev. Lett. **33**, 1009 (1974).
- ²⁵I. Hahn et al., J. Low Temp. Phys. **101**, 781 (1995).

- ²⁶R. A. Webb, Phys. Rev. Lett. **38**, 1151 (1977).
- ²⁷R. E. Sager et al., J. Low Temp. Phys. **31**, 409 (1977).
- ²⁸I. Hahn, S. T. P. Boyd, H. M. Bozler, and C. M. Gould, Phys. Rev. Lett. **81**, 618 (1998).
- ²⁹H. N. Scholz, Ph.D. thesis, The Ohio State University, 1981.
- ³⁰G. F. Moores, Ph.D. thesis, Northwestern University, 1993.
- ³¹N. A. Greaves, J. Phys. C 9, L181 (1976).
- ³²C. M. Gould, Physica B **178**, 266 (1992).
- ³³T. R. Mullins, V. V. Dmitriev, A. J. Armstrong, A. J. Manninen, J. R. Hook, and H. E. Hall, Phys. Rev. Lett. **72**, 4117 (1994).
- ³⁴E. V. Thuneberg, S. K. Yip, M. Fogelstrom, and J. A. Sauls, Phys. Rev. Lett. **80**, 2861 (1998).
- ³⁵R. Hänninen and E. V. Thuneberg, Phys. Rev. B 67, 214507 (2003).
- ³⁶J. A. Sauls and P. Sharma, Phys. Rev. B **68**, 224502 (2003).
- ³⁷W. P. Halperin and J. A. Sauls, cond-mat/0408593 (unpublished).
- ³⁸ K. Matsumoto, J. V. Porto, L. Pollack, E. N. Smith, T. L. Ho, and J. M. Parpia, Phys. Rev. Lett. **79**, 253 (1997).
- ³⁹B. I. Barker, Y. Lee, L. Polukhina, D. D. Osheroff, L. W. Hrubesh, and J. F. Poco, Phys. Rev. Lett. 85, 2148 (2000).
- ⁴⁰G. Gervais, K. Yawata, N. Mulders, and W. P. Halperin, Phys. Rev. B 66, 054528 (2002).
- ⁴¹E. Nazaretski et al., J. Low Temp. Phys. **134**, 763 (2004).
- ⁴²D. T. Sprague, T. M. Haard, J. B. Kycia, M. R. Rand, Y. Lee, P. J. Hamot, and W. P. Halperin, Phys. Rev. Lett. **75**, 661 (1995).
- ⁴³G. E. Volovik, Pis'ma Zh. Eksp. Teor. Fiz. **63**, 281 (1996) [JETP Lett. **63**, 301 (1996)].
- ⁴⁴Y. Imry and S. Ma, Phys. Rev. Lett. **35**, 1399 (1975).
- ⁴⁵I. A. Fomin, J. Low Temp. Phys. **134**, 769 (2004).
- ⁴⁷D. D. Osheroff (private communication).
- ⁴⁸ H. C. Choi, A. J. Gray, C. L. Vicente, J. S. Xia, G. Gervais, W. P. Halperin, N. Mulders, and Y. Lee, Phys. Rev. Lett. **93**, 145302 (2004).
- ⁴⁹ V. V. Dmitriev *et al.*, Pis'ma Zh. Eksp. Teor. Fiz. **84**, 539 (2006).