Size-dependent melting of spherical copper nanoparticles embedded in a silica matrix

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We report size-dependent melting of spherical copper nanoparticles embedded in a silica matrix. Based on the temperature dependence of the surface-plasmon resonance energy and its width, we observe two distinct melting regimes. For particles smaller than 20 nm, the absorption spectrum changes monotonically with the temperature, and this allows us to assume the gradual solid-liquid phase transition (melting) of the nanoparticles or the existence of superheated solid nanoparticles. In contrast, for nanoparticles larger than 20 nm, we observe a jumplike increase of the bandwidth and a nonmonotonic shift of surface-plasmon band with the increase of temperature below the bulk melting point. This indicates that the melting of large nanoparticles is a first-order phase transition similar to the melting of bulk copper.

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I. INTRODUCTION

Optical properties of copper nanoparticles are quite remarkable because the energy of the dipolar mode of surface collective electron plasma oscillations (surface-plasmon resonance or SPR) coincides with the onset of interband transition. Therefore, optical spectroscopy gives an opportunity to study the particle-size dependence of both valence and conduction electrons. The intrinsic size effect in metal nanoparticles, caused by size and interface damping of the SPR, ^{1,2} is revealed experimentally by two prominent effects: a redshift of the surface-plasmon band and its broadening. Scattering of the conduction electrons by the surface boundaries of a nanoparticle causes a dephasing of collective excitations, i.e., SPR, and is well described by the expression¹

$$\Gamma = \Gamma_{\infty} + A \frac{v_{\infty}}{r},\tag{1}$$

where Γ is the width of the plasmon absorption band, Γ_{∞} = $1/\tau_{\infty}$ is the size-independent damping constant which is related to the lifetimes of electron-electron, electron-phonon, and electron-defect scattering, v_{∞} is the Fermi velocity in bulk material ($v_{\infty}=1.57\times10^8$ cm/s in bulk copper), r is the radius of nanoparticle, and A is a theory-dependent parameter that includes details of the scattering process (e.g., isotropic or diffuse scattering¹⁻⁴). Note that Eq. (1) describes the width of the SPR band only when the onset of interband transitions has a higher energy than the SPR energy, e.g., for silver nanoparticles. The confinement of conduction electrons in a small volume of a nanoparticle causes a blueshift of the SPR band when the size of the nanoparticle is decreased, accompanied by a spill-out effect beyond the physical boundary of the nanoparticle. The particle-size dependence of the interband transition edge is caused mostly by the surface stress-induced changes in the lattice constant and the corresponding changes in the electronic band structure.¹ This leads to an additional blueshift of the SPR band with decreasing particle size.

Melting of crystalline materials is characterized by the loss of the crystal order followed by a transformation to a liquid phase. As it has recently been shown, melting of small nanometer-size particles is quite a different process from that of bulk materials (see, e.g., Refs. 5-8). As the size of a particle decreases, the surface-to-volume ratio is increased inversely proportional to the radius of the particle. As a result, the surface atoms become more prone to detach from their positions and diffuse on the surface. Surface diffusion is followed by a partial melting of the nanoparticle mainly within a thin layer close to the surface, which is called surface melting or premelting. The surface melting generally occurs at temperatures much lower than the bulk melting, but the details depend on the parameters such as size,5 morphology, 10 defects, 11 strain, 7 and crystallographic orientation of the surface.^{5,7} Finally, similar to the surface melting, the interior or volume melting of a nanoparticle takes place at a melting temperature (T_m) lower than the bulk melting point. The decrease of T_m with decreasing particle size is a well-known phenomenon that has been described in terms of phenomenological thermodynamic models¹² and, more recently, simulated using molecular dynamics.^{9,13–15} Experimental evidence of the decrease of T_m has been demonstrated by a variety of techniques.^{6,16–18}

We have shown earlier¹⁹ that absorption spectra of Cu/SiO_2 nanocomposite reveal a clear SPR peak located around 560.8 nm (2.210 eV)-581.1 nm (2.133 eV) depending on the size of Cu nanoparticles. The SPR peak position is in good agreement with that predicted by the Mie theory. As we reported in the previous paper,¹⁹ the dependence of the width of the plasmon peak on the nanoparticle diameter is perfectly described by the well-known 1/r law [expression (1)]. The fitting of our experimental dependence $\Gamma(d)$ obtained at the temperature of 293 K gives the following values

of parameters [Eq. (1)]: $\Gamma_{\infty,293} = 0.087$ eV and $A_{293} = 0.107$.

Here, we report the size-dependent melting transition in spherical copper nanoparticles in a broad size range of 5-65 nm by means of the absorption spectroscopy. We noted already that the melting temperature of metals decreases when the size of the nanoparticles decreases. Since metal nanoparticles have been widely used in electronic and optoelectronic industry, the determination of the maximum allowed temperature for their exploitation is apparently a very important technological problem. This information is obtained from experiments of metal nanoparticle melting. Moreover, the optical spectroscopy is a nondestructive tool for the determination of the extremal characteristics of exploitation regimes of nanometal composite-based electronic devices. Besides the technological interest, the melting temperature is of fundamental scientific interest. In most reports, the melting of nanoparticles has been detected by highresolution electron microscopy (HRTEM) and electrondiffraction techniques. However, both techniques use the samples which are sufficiently heated by the electron beam. Therefore, there is no possibility of controlling the real temperature of the sample. On the other hand, the absorption spectroscopy gives such a possibility; i.e., the temperature determined in our work is the real temperature of the sample. The study of the temperature dependences of the SPR energy and bandwidth in copper nanoparticles in a broad size range has allowed us to reveal the two principally different regimes of melting of small and large copper nanoparticles.

II. FABRICATION OF COPPER NANOPARTICLES. EXPERIMENTAL PROCEDURES

Cu nanoparticles in a SiO₂ matrix were synthesized using a procedure described in Ref. 20. Porous silica matrices (obtained by a transformation to monolithic glasses) were produced by the conventional sol-gel technique based on hydrolysis of tetraethoxysilane (TEOS). We modified the procedure by introducing a doping followed by a chemical transformation of the dopants in air or in a controlled gaseous medium. A precursor sol was prepared by mixing TEOS, water, and alcohol with the acid catalysts HNO3 or HCl. Silica powder with a particle size of about 5-15 nm (the specific surface area is 380±30 m²/g) was added into the sol followed by ultrasonication in order to prevent a large volume contraction during drying. The next gelation step resulted in the formation of gels of desired shapes. Gels were dried at room temperature under humidity control, and porous materials (xerogels) were obtained. Annealing in air at the temperature of 600 °C for 1 h allowed us to control the porosity of SiO₂ matrices. The heating process resulted in visible variations of the density and specific surface area. Copper doping was performed by impregnation of xerogels in Cu(NO₃)₂ alcohol solution for 24 h. Then, the impregnated samples were dried in air at 40 °C for 24 h. Further processing of the Cu-doped xerogels was done in three different ways: (1) annealing in air with gradual increase of the temperature from 20 to 1200 °C (annealing time at 1200 °C: 5 min); (2) initial annealing in air with gradual increase of the temperature from 20 to 1200 °C (annealing time at 1200 °C: 5 min), then annealing in the atmosphere of molecular hydrogen at the temperature of 800 °C for 1 h; and (3) annealing in H₂ with gradual increase of the temperature from 20 to 1200 °C (annealing time at 1200 °C: 5 min). As we have shown in the previous work, ¹⁹ the annealing in air leads to the formation of both the copper nanoparticles and the copper oxide (Cu₂O) nanoparticles. An annealing in hydrogen results in a reduction of Cu(I,II) to Cu(0) that is aggregated in the form of Cu nanoparticles.¹⁹ Glass samples were polished to a thickness of about 1 mm for optical measurements. The samples obtained by annealing in air have low optical density, and are noncolored or slightly yellow (labeled hereafter as samples A). The ones obtained at successive annealing in air and hydrogen have higher optical density, and are light pink, orange, or red colored (samples AH). Those obtained at annealing in hydrogen have the highest optical density, and have a red color (samples H).

A tungsten-halogen incandescent lamp was used as a light source for the absorption measurements. The single spectrometer MDR-3 has been used for in situ measurements of absorption spectra. Absorption spectra of Cu/SiO₂ nanocomposites containing Cu nanoparticles in the size range of 5-65 nm have been measured in the temperature region of 77-910 K. The measurements at 77 K were performed for the samples dipped in the liquid nitrogen, and the measurements at room and higher temperatures were performed in air. The samples were placed into an open furnace during the absorption measurements at high temperatures. The thickness of the samples was controlled before and after heating and remained unchanged. The measured absorption spectra were unchanged after several cycles of heating and cooling; therefore, we conclude that during the heating of samples performed at absorption measurements, the copper particle diffusion in the matrix is absent. Therefore, the filling factor of nanoparticles in matrix and interparticle distances did not change. So, particle diffusion cannot be the cause of the effects observed in the temperature dependences of SPR bandwidth and energy considered in Sec. IV. Transmission electron microscopy of the studied Cu/SiO₂ nanocomposites was performed by a JEOL JEM-2000EX electron microscope at room temperature.

III. TRANSMISSION ELECTRON MICROSCOPY OF Cu/SiO₂ NANOCOMPOSITES

Some TEM images of the nanoparticles synthesized at the different technology conditions are shown in Fig. 1. The images prove the formation of spherical Cu nanoparticles of different sizes in the matrix depending on the conditions of annealing. The copper nanoparticles of small size (diameter d is in the range of 2–15 nm) were grown in the samples annealed in air (samples A). The medium-sized nanoparticles (d=15–40 nm) were grown in samples annealed in air and then in molecular hydrogen (samples AH). The largest particles (d=40–65 nm) were grown in the samples annealed in H₂ (samples H). Based on the analysis of TEM images, we conclude that the distance between the nanoparticles is vastly larger than the size of the particles; therefore, the electro-

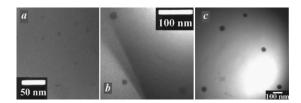


FIG. 1. TEM images of Cu nanoparticles in silica matrix. (a) A1 sample annealed in air (mean diameter of copper nanoparticles $\langle d \rangle$ =6.1 nm). (b) AH2 sample annealed successively in air and hydrogen ($\langle d \rangle$ =16.7 nm). (c) H1 sample annealed in hydrogen ($\langle d \rangle$ =50.5 nm).

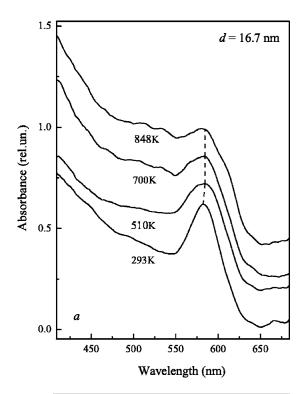
magnetic interaction between the particles is negligible. The particles have a spherical shape and are homogeneous—there is no evidence of a core-shell structure of the particles. Annealing in air leads to the oxidation of nanoparticles and the formation of copper oxide (Cu₂O). However, Cu₂O nanoparticles have not been observed in TEM images, most probably due to their lower contrast as compared to the copper nanoparticles.

The analysis of TEM results shows that nanoparticles are characterized by Gaussian-like size distribution with a clear-featured maximum. The dispersion of the distributions is quite small. Indeed, for sample A1 annealed in air $d=(6.1\pm0.2)$ nm $(\varepsilon=\Delta d/\langle d\rangle=0.033)$, for sample AH1 annealed successively in air and hydrogen $d=(33.9\pm0.8)$ nm $(\varepsilon=\Delta d/\langle d\rangle=0.024)$, and for sample H₂ annealed in hydrogen $d=(51\pm2)$ nm $(\varepsilon=\Delta d/\langle d\rangle=0.039)$. Because of the narrow size distribution of the nanoparticles, its influence on the optical response of the nanoparticles can be neglected.

IV. ABSORPTION OF Cu/SiO_2 NANOCOMPOSITES: RESULTS AND DISCUSSION

The size dependence of the width and energy of the surface-plasmon absorption band at the temperatures of 293 and 77 K was reported earlier in Ref. 19. In this paper, we discuss the temperature dependence of the SPR absorption bandwidth and its energy. The experimental absorption spectra of samples with average diameters of Cu nanoparticles of 16.7 and 40 nm at different temperatures are shown in Fig. 2. As one can see, the increase in the temperature leads to the broadening of the SPR peak. This broadening is well known for SPR in metal nanoparticles, 21 in bulk metals, 22 and in bulk semimetals (graphite). 23 The broadening is due to the increase of the frequency of the electron-phonon scattering (Γ_{∞}) with the increase of temperature. We have also observed a strong dependence of the SPR energy on the temperature (see Fig. 2).

Figure 3 depicts the temperature dependences of the SPR absorption bandwidth for small particles (with diameters smaller than 20 nm), where the gradual (without any features) increase of plasmon peak width with temperature takes place. This is similar to the dependences observed for SP in small (1.6–20 nm) gold nanoparticles²¹ and for SP in bulk silver.²² The observed increase of SPR bandwidth for small Cu nanoparticles is close to the linear dependence that has been predicted for the temperature dependence of frequency



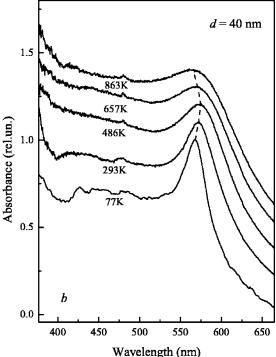


FIG. 2. Absorption spectra of the copper nanoparticles with mean diameters of (a) 16.7 nm and (b) 40 nm in silica matrix measured at different temperatures.

of electron-phonon scattering for temperatures higher than the Debye temperature: 24 $\Gamma_{\infty} \propto T$. However, for larger (with the diameters larger than 20 nm) Cu nanoparticles, we have observed a gradual increase of the bandwidth along with the abrupt significant increase (about 1.5 times) of the bandwidth with the increase of the temperature. Such an abrupt increase of the electron-phonon scattering frequency was reported in

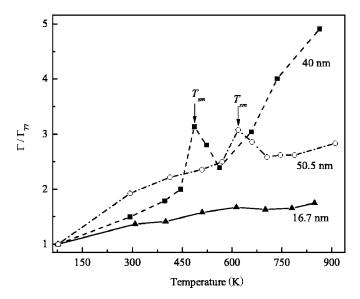


FIG. 3. Temperature dependence of the surface-plasmon absorption bandwidth (normalized to SP bandwidth at 77 K) for Cu nanoparticles of different sizes. The arrows mark the temperatures of the surface melting of nanoparticles.

Ref. 25 at the transition of bulk metals from the solid to liquid phase, i.e., at the bulk metal melting. So, we can suppose that such jumplike increase of SPR bandwidth is caused by melting (surface melting, as we will show below) of the copper nanoparticles. A similar low-temperature melting of the copper nanorods (at temperatures much lower than the copper bulk melting point of 1084.5 °C) was observed in Ref. 26 by using TEM, scanning electron microscopy, and x-ray diffraction techniques. The ambient environment is an important factor affecting the process of nanoparticle melting. In our work, we study the copper nanoparticles embedded in a silica matrix. Therefore, it is reasonable to suppose that the wetting of the silica matrix by the molten copper should facilitate the melting that should decrease the melting temperature. It is well known that the corresponding abrupt changes must indicate a first-order phase transition. Let us emphasize that such a feature in the temperature dependence of SPR bandwidth appears for rather large copper particles and is absent for small particles. We note that the temperature of the first-order transition in large copper nanoparticles decreases with the decrease of the particle size (see Fig. 3).

The measured temperature dependences of SPR energy (Fig. 4) are different for the small and large Cu nanoparticles, similar to the temperature dependence of plasmon bandwidth. For small Cu particles, the monotonic redshift with the increase of temperature is observed, similar to small (1.6-20 nm) gold nanoparticles reported in Ref. 21. For large copper nanoparticles, the temperature dependence of SP absorption band energy demonstrates the nonmonotonic character; that is, at lower temperatures an absorption band is characterized by a redshift, with an increase of temperature, and at higher ones a blueshift is observed. Let us note that the temperature (marked as T_m) at which the redshift changes to the blue one decreases with the decrease of the particle size.

Let us discuss the temperature dependences of the SPR bandwidth and energy. The observed changes in the spectral

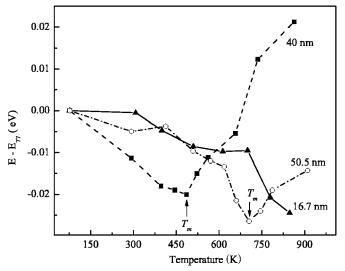


FIG. 4. Temperature shift of the SP absorption band from the spectral position corresponding to 77 K for copper nanoparticles of different sizes. The arrows mark the temperatures of the melting of nanoparticles.

characteristics of SPR (energy and bandwidth) should manifest some transformation in the structure of the nanoparticle. The transformation can be either the solid-liquid phase transition (melting) or the solid-solid phase transition (structural transition). The latter was observed by electron-diffraction technique for free copper nanoparticles^{27,28} and by HRTEM technique for free gold nanoparticles.²⁹ First, let us discuss the melting as the possible reason for the observed temperature dependences of SPR spectral characteristics. As we mentioned earlier, the jumplike increase of the SPR bandwidth at certain temperatures suggests the first-order solidliquid phase transition (melting) in copper nanoparticles with diameters larger than 20 nm. The above dependence is quite similar to the dependences observed at the melting of bulk metals.^{25,30} The melting of such kind begins at the process of the melting of the thin layer close to the surface, i.e., surface melting. The surface melting generally occurs at temperatures much lower than the bulk melting point. A stable coexistence of spatially separated solid and liquid phases takes place during the increase of temperature up to the melting point. Melting of the entire volume of the particle occurs at the volume melting temperature. The surface melting has to manifest itself mainly in the SPR bandwidth. The volume melting has to affect mainly the energy of SPR, since in copper the dipolar mode of SP resonance coincides with the dominant interband transition edge. One of the important characteristics of the bulk melted metals is the blueshift of the SP band occurring at the increase of the temperature.³⁰ That effect is due to the reduction of polarizability of the melted ion core. In solid state, the redshift of SPR energy is observed due to lattice dilation occurring at the increase of temperature. The lattice dilation decreases the electron density and hence, the plasma frequency, $\omega_n = n_e e^2 / \epsilon_0 m_e$. Therefore, one can conclude that at temperatures higher than the temperature at which the redshift of SPR energy changes to the blue one, the copper nanoparticles are melted; i.e., this temperature (marked as T_m) is the melting temperature of the

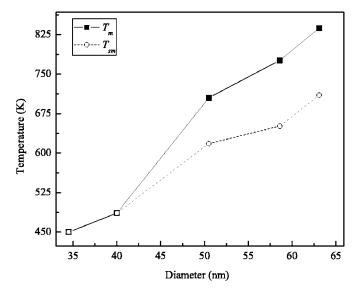


FIG. 5. Dependences of the temperatures of melting and surface melting of copper nanoparticles on their diameter.

Cu nanoparticle. Correspondingly, the temperature of the jumplike increase of the SP bandwidth is the temperature of surface melting (marked as T_{sm}). At the temperatures lower than T_{sm} , the nanoparticle is entirely solid. In the range $T_{sm} \leq T \leq T_m$, the coexistence of the solid core and the liquid surface occurs. The dependence of the temperatures of melting and surface melting on the diameter of the Cu nanoparticle is shown in Fig. 5. Let us note that these temperatures are equal for smaller particles with diameters up to 40 nm, and for larger particles the melting temperature exceeds the surface melting one. Most probably this is due to the greater role of surface in small particles.

Let us discuss the solid-solid phase transition as the possible cause of the observed temperature dependences of SPR spectral characteristics. As it was shown in Refs. 27 and 28, the morphology of small copper nanoparticles (smaller than about 4 nm) is icosahedral (Ih) or decahedral (Dh), where Ih and Dh are noncrystalline structures. The morphology of the particles larger than 4 nm can be Ih, Dh, or face centered cubic (fcc), where the fcc structure is a crystalline structure, i.e., the structure of bulk copper. A similar situation occurs in gold nanoparticles,²⁹ where the morphologies of small nanoparticles (smaller than about 6 nm) are noncrystalline, namely, icosahedral (Ih) or decahedral (Dh). The morphology of gold particles larger than 6 nm can be Ih, Dh, or fcc, where the fcc structure is a crystalline structure of gold. The Ih and Dh structures are noncrystalline; i.e., these structures do not exist for bulk crystals. Since the copper nanoparticles can exist in the form of three different structures (Ih, Dh, and fcc), there is a possibility that the observed peculiarities in the temperature dependence of SPR bandwidth and energy at T_{sm} and T_m are the results of some solid-solid structure transition, i.e., Ih-Dh, Ih-fcc, or Dh-fcc. However, the solid-solid transitions between noncrystalline Ih and Dh phases as well as the transition from noncrystalline Ih or Dh phases to a crystalline fcc one seem to be of low possibility due to the following reason: as was shown in Refs. 27-29, the relative fractions of the particles with noncrystalline Ih or Dh structures are vanishing for large particles with diameters higher than 20 nm, where the above-mentioned peculiarities in the temperature dependences of SPR energy and bandwidth are observed. For sizes higher than 20 nm, nearly all the particles have the crystalline fcc structure. Therefore, we believe that melting is a more probable reason for the jumplike increase of the SPR bandwidth at T_{sm} and the nonmonotonic behavior of the SPR energy at T_m observed for large Cu nanoparticles than any kind of solid-solid structural transition. Since the temperature behavior of the energy and bandwidth of SPR at the melting of copper nanoparticles larger than 20 nm is the same as for bulk copper, one can assume that, similar to bulk copper, the large Cu particles have a crystalline fcc morphology, which is in full agreement with the results of Refs. 27-29. Their melting occurs bulklike; i.e., it is a first-order phase transition.

Thus, an abrupt jumplike increase of the SPR bandwidth at T_{sm} has to be attributed to the surface melting of the studied large Cu nanoparticles. It is noted above that the temperature dependences of energy and bandwidth of SPR for small copper nanoparticles are quite different from the ones for large particles. That is, for small particles an increase of the bandwidth with temperature is gradual and is not characterized by an abrupt jump at certain temperatures, and the temperature dependence of SPR energy monotonically decreases. Both these dependences are similar to the corresponding dependences observed, e.g., for small gold nanoparticles.²¹ Let us note that the temperatures reached in experiments with the small Cu nanoparticles are the same as the temperatures at which evidences for large Cu nanoparticle melting have been obtained. So, as the melting temperature is lower for smaller particles, one can suppose that the melting of small nanoparticles occurs differently from that of large ones. The molecular-dynamics simulations of the solidliquid phase transitions in various metal nanoparticles were performed in Refs. 9 and 13-15, where it was shown that two characteristic particle sizes exist. For particles with sizes larger than the first one (d_2) , the solid-liquid phase coexistence is stable. The melting of such particles is a first-order transition; i.e., the melting is likely to occur in bulk metals. For particles with sizes smaller than the second characteristic value (d_1) , the solid-liquid coexistence is unstable. It is shown in Ref. 14 that such small particles can be superheated and nonmelted up to temperatures exceeding the bulk melting temperature. Finally, in the medium-sized particles, the coexistence of the solid and liquid phases is metastable, and dynamic and transient coexistence can exist. Taking into account the results of Refs. 27–29, one can suppose the origin of the critical size of about 20 nm obtained for our copper nanoparticles. As was shown in these studies, the relative fraction of both copper and gold nanoparticles with fcc crystalline morphology increases with the increase of the particle size, and, on the contrary, the relative fractions of the noncrystalline Ih and Dh morphologies decrease. In the region of sizes higher than 20 nm, the nanoparticles with Ih and Dh structures are absent, and only the nanoparticles with a fcc structure exist. Therefore, it is plausible to assume that since the copper nanoparticles larger than about 20 nm have the crystalline fcc morphology, their melting would occur bulklike. The copper particles smaller than about 20 nm have

mainly the noncrystalline Ih or Dh morphologies. Therefore, their melting occurs differently from that for bulklike large particles. So, one can suppose that the size of about 20 nm is the d_2 characteristic size mentioned above.

Therefore, taking into account our experimental data, the data of Refs. 27-29, and the data of computer simulations, 13,14 one can conclude that the melting of the large studied Cu nanoparticles (with diameters larger than 20 nm) is a first-order transition, and correspondingly, it is similar to the melting of bulk copper; i.e., the stable solidliquid phase coexistence occurs in the temperature range from surface melting to volume melting. Most probably, Cu nanoparticles with diameters up to 20 nm are characterized by the unstable or metastable solid-liquid phase coexistence. So, the observed monotonic temperature dependences of the surface-plasmon bandwidth and energy suggest either the existence of the solid superheated particles or the fact that melting of such particles occurs gradually, and it is not a firstorder transition, which is quite different from the melting of bulk copper. We note that the results of molecular dynamics that we use in our analysis of the observed phase changes are conducted for isolated nanoparticles, whereas our Cu particles are embedded in a matrix. In principle, the behavior of isolated nanoparticles can differ from that of embedded particles. However, we think that environment (silica matrix) can change the values of melting characteristics (critical size of nanoparticle and melting temperature), but the qualitative behavior of the nanoparticle at melting should remain the same.

V. CONCLUSIONS

In conclusion, we studied the temperature dependences of the bandwidth and energy of surface plasmon in spherical copper nanoparticles embedded in a silica matrix. The obtained dependences indicate that for small particles (smaller than 20 nm), either the solid superheated nanoparticles exist or the melting is not a first-order phase transition, which is different from the melting of bulk copper. The melting of large Cu particles (larger than 20 nm) is a first-order transition accompanied by a jumplike increase of the surface-plasmon bandwidth and a nonmonotonic dependence of the surface-plasmon energy on the temperature that is similar to bulk copper melting.

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