Ballistic transmission through a graphene bilayer

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We calculate the Fermi energy dependence of the (time-averaged) current and shot noise in an impurity-free carbon bilayer (length $L \le$ width W), and compare with known results for a monolayer. At the Dirac point of charge neutrality, the bilayer transmits as two independent monolayers in parallel: Both current and noise are resonant at twice the monolayer value, so that their ratio (the Fano factor) has the same 1/3 value as in a monolayer—and the same value as in a diffusive metal. The range of Fermi energies around the Dirac point within which this pseudodiffusive result holds is smaller, however, in a bilayer than in a monolayer (by a factor l_{\perp}/L , with l_{\perp} the interlayer coupling length).

DOI: 10.1103/PhysRevB.75.045322 PACS number(s): 73.50.Td, 73.23.-b, 73.23.Ad, 73.63.-b

I. INTRODUCTION

Undoped graphene has no free electrons, so an infinite sample cannot conduct electricity. A finite sample can conduct, because electrons injected at one end can be transmitted a distance L to the other end via so-called evanescent modes. These are modes that decay $\propto e^{-L/\lambda}$ with a penetration depth λ bounded from above by the width W of the sample. For a wide and narrow sample $(W \gg L)$, there are many evanescent modes that contribute appreciably to the conductance. Because the transmission of an electron via an evanescent mode is a stochastic event, the current fluctuates in time—even in the absence of any scattering by impurities or lattice defects. Tworzydło et al. found that the shot noise produced by the evanescent modes in an undoped carbon monolayer (of length $L \leq \text{width } W$) is pseudodiffusive: The Fano factor F $=P/2e\overline{I}$ (ratio of noise power P and time-averaged current \overline{I}) has the same value F=1/3 as in a diffusive metal (while F =1 for independent current pulses).²

A carbon bilayer has an additional length scale, not present in the monolayer of Ref. 1, namely the interlayer coupling length l_{\perp} . It is an order of magnitude larger than the interatomic distance d within the layer (Refs. 3–5):

$$l_{\perp} = \frac{\hbar v}{t_{\perp}} = \frac{3t_{\parallel}}{2t_{\perp}} d \approx 11d \tag{1}$$

(with $v \approx 10^6$ m/s, $d \approx 1.4$ Å, and $t_{\parallel} \approx 3$ eV, respectively the carrier velocity, interatomic distance, and nearest-neighbor hopping energy within a single layer, and $t_{\perp} \approx 0.4$ eV the nearest-neighbor hopping energy between two layers⁶). Since L is typically large compared to l_{\perp} , the two layers are strongly coupled. In this paper we investigate what is the effect of interlayer coupling on the average current and shot noise.

The model and calculation are outlined in Secs. II and III. Our main conclusion, presented in Sec. IV, is that an undoped graphene bilayer has the same current and noise as two monolayers in parallel. The Fano factor, therefore, still equals 1/3 when the Fermi level coincides with the Dirac point (at which conduction and valence bands touch). However, the interval $\Delta E_F \simeq \hbar v l_\perp / L^2$ in Fermi energy around the

Dirac point where this pseudodiffusive result holds is much narrower, by a factor l_{\perp}/L , in a bilayer than it is in a monolayer.

Our results for the mean current \overline{I} , and hence for the conductance in a ballistic system, agree with those of Cserti,⁷ but differ from two other recent calculations in a (weakly) disordered system.^{8,9} (The shot noise was not considered in Refs. 7–9.) A ballistic system like ours was studied recently by Katsnelson,¹² with different results for both conductance and shot noise. We discuss the origin of the difference in Sec. V. We conclude by connecting with experiments¹¹ in Sec. VI.

II. MODEL

We use the same setup as in Refs. 1 and 10, shown schematically in Fig. 1. A sheet of ballistic graphene in the x-y plane contains a weakly doped strip of width W and length L, and heavily doped contact regions for x<0 and x>L. The doping is controlled by gate voltages, which induce a potential profile of the form

$$U(x) = \begin{cases} -U_{\infty} & \text{if } x < 0 \text{ or } x > L, \\ 0 & \text{if } 0 < x < L. \end{cases}$$
 (2)

We use an abrupt potential step for simplicity, justified by the fact that any smoothing of the step over a distance small

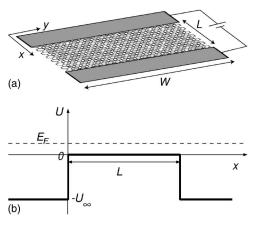


FIG. 1. Schematic of the graphene bilayer. Top panel: Two stacked honeycomb lattices of carbon atoms in a strip between metal contacts. Bottom panel: Variation of the electrostatic potential across the strip.

compared to L becomes irrelevant near the Dirac point, when the Fermi wave length $\geq L$.

While Refs. 1 and 10 considered a graphene monolayer, governed by the 2×2 Dirac Hamiltonian, here we take a bilayer with 4×4 Hamiltonian (Refs. 3–5),

$$H = \begin{pmatrix} U & v(p_x + ip_y) & t_{\perp} & 0 \\ v(p_x - ip_y) & U & 0 & 0 \\ t_{\perp} & 0 & U & v(p_x - ip_y) \\ 0 & 0 & v(p_x + ip_y) & U \end{pmatrix},$$
(3

with $p=-i\hbar\partial/\partial r$ the momentum operator. The Hamiltonian acts on a four-component spinor $(\Psi_{A_1},\Psi_{B_1},\Psi_{B_2},\Psi_{A_2})$ with amplitudes on the A and B sublattices of the first and second layer. Only nearest-neighbor hopping is taken into account, either from A to B sites within a layer or between different layers. (Sites from the same sublattice but on different layers are not directly adjacent.) The Hamiltonian (3) describes low-energy excitations near one of the two Dirac points in the Brillouin zone, where conduction and valence bands touch. The other Dirac point and the spin degree of freedom contribute a fourfold degeneracy factor to current and noise power.

We have taken the same electrostatic potential U in both layers. In general, the potentials will differ, ^{13,14} but to study the special physics of undoped graphene it is necessary that they are both tuned to the Dirac point of each layer. This can be achieved by separate top and bottom gates (not shown in Fig. 1).

For free electrons in bilayer graphene, the relation between energy ε and total momentum $k = (k_x^2 + k_y^2)^{1/2}$ as described by this Hamiltonian consists of four hyperbolas, defined by

$$\varepsilon = \pm \frac{1}{2} t_{\perp} \pm \sqrt{\frac{1}{4} t_{\perp}^2 + k^2},\tag{4a}$$

$$\varepsilon = \mp \frac{1}{2} t_{\perp} \pm \sqrt{\frac{1}{4} t_{\perp}^2 + k^2}, \tag{4b}$$

plotted in Fig. 2. (For notational convenience, we use units such that $\hbar v = 1$ in most equations.)

We calculate the transmission matrix t through the graphene strip at the Fermi energy, and then obtain the conductance and noise power from the Landauer-Büttiker formulas²

$$G = G_0 \operatorname{Tr} t t^{\dagger}, \quad P = P_0 \operatorname{Tr} t t^{\dagger} (1 - t t^{\dagger}),$$
 (5)

$$\rightarrow F = \frac{\text{Tr } tt^{\dagger} (1 - tt^{\dagger})}{\text{Tr } tt^{\dagger}}, \tag{6}$$

with $G_0=4e^2/h$, $P_0=2e|V|G_0$, and V the voltage applied between the contact regions. The results depend on the degree of doping in the graphene strip (varied by varying E_F), but they become independent of the degree of doping of the contact regions if $U_\infty \gg t_\perp$.

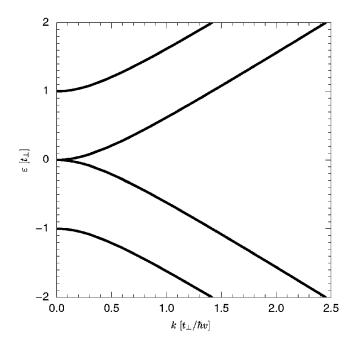


FIG. 2. Energy spectrum (4) of the graphene bilayer, according to the Hamiltonian (3).

III. TRANSMISSION PROBABILITIES

We calculate the transmission matrix by matching eigenstates of the Hamiltonian (3) at the two interfaces x=0 and x=L. This procedure is similar to a calculation of nonrelativistic scattering by a rectangular barrier in a two-dimensional waveguide. There are two differences. Firstly, the Hamiltonian (3) is a first-order differential operator, and hence only the wave function and not its derivative is continuous at the interface. Secondly, the spectrum contains both positive and negative energy eigenstates.

The eigenstates of H for U=0 have been given in Ref. 13. They may be characterized as follows. For given energy ε and transverse momentum k_y , we define two longitudinal momenta

$$k_{x\pm} = \sqrt{\left(\varepsilon \pm \frac{1}{2}t_{\perp}\right)^2 - \frac{1}{4}t_{\perp}^2 - k_{y}^2}.$$
 (7)

The square root is taken with argument in the interval $[0,\pi)$. Associated with each real k_{x+} there are two propagating modes, one left-going $\phi_{\varepsilon,+}^L$ and one right-going $\phi_{\varepsilon,+}^R$. Two more propagating modes $\phi_{\varepsilon,-}^L$ and $\phi_{\varepsilon,-}^R$ are associated with each real k_{x-} . These eigenstates of H are given by

$$\phi_{\varepsilon,\pm}^{R}(x,y) = N_{\pm} \begin{pmatrix} \mp \varepsilon \\ \mp k_{x\pm} \pm ik_{y} \\ \varepsilon \\ k_{x\pm} + ik_{y} \end{pmatrix} e^{i(k_{x\pm}x + k_{y}y)}, \quad (8a)$$

$$\phi_{\varepsilon,\pm}^{L}(x,y) = N_{\pm} \begin{pmatrix} \overline{+} \varepsilon \\ \pm k_{x\pm} \pm ik_{y} \\ \varepsilon \\ -k_{x\pm} + ik_{y} \end{pmatrix} e^{i(-k_{x\pm}x + k_{y}y)}, \quad (8b)$$

with $N_{\pm} = (4W\varepsilon k_{x\pm})^{-1/2}$ a normalization constant such that each state carries unit current

$$I = ev \int_{0}^{W} dy \, \phi^{\dagger} \begin{pmatrix} \sigma_{x} & 0 \\ 0 & \sigma_{x} \end{pmatrix} \phi, \tag{9}$$

in the positive or negative x direction.

For each k_y we have two left-incident scattering states $\psi_{\varepsilon,\pm}$ at energy ε . In the region $x\!<\!0$ to the left of the strip they have the form

$$\psi_{\varepsilon,\pm} = \phi_{\varepsilon+U_{\infty},\pm}^{R} + r_{+}^{\pm}(\varepsilon, k_{y}) \phi_{\varepsilon+U_{\infty},+}^{L} + r_{-}^{\pm}(\varepsilon, k_{y}) \phi_{\varepsilon+U_{\infty},-}^{L},$$

$$\tag{10}$$

while to the right of the strip (x > L) one has

$$\psi_{\varepsilon,\pm} = t_{+}^{\pm}(\varepsilon, k_{y}) \phi_{\varepsilon+U_{\infty},+}^{R} + t_{-}^{\pm}(\varepsilon, k_{y}) \phi_{\varepsilon+U_{\infty},-}^{R}. \tag{11}$$

For $\varepsilon \neq 0$ the form of the solution in the region $x \in [0,L]$ is self-evidently a linear combination of the four solutions $\phi_{\varepsilon\pm}^L$. Care must however, be taken in analytical work to use proper linear combinations of these modes that remain linearly independent exactly at $\varepsilon=0$ (the Dirac point). (See Appendix A for explicit formulas.)

The four transmission amplitudes t_{\pm}^{\pm} for given ε and k_y can be combined in the transmission matrix

$$t(\varepsilon, k_y) = \begin{pmatrix} t_+^{\dagger}(\varepsilon, k_y) & t_-^{\dagger}(\varepsilon, k_y) \\ t_-^{\dagger}(\varepsilon, k_y) & t_-^{\dagger}(\varepsilon, k_y) \end{pmatrix}. \tag{12}$$

We consider a short and wide geometry $L \leq W$, in which the boundary conditions in the y direction become irrelevant. For simplicity, we take periodic boundary conditions, such that k_y is quantized as $k_{y,n} = 2\pi n/W$, $n = 0, \pm 1, \pm 2, \ldots$ In the regime $L \leq W$, $|\varepsilon| \leq U_{\infty}$ considered here, both the discreteness and the finiteness of the modes in the contact region can be ignored. As a consequence, the traces in Eqs. (5) and (6) may be replaced by integrals through the prescription

$$\operatorname{Tr}(\mathbf{t}\mathbf{t}^{\dagger})^{p} \to \frac{W}{\pi} \int_{0}^{\infty} dk_{y} \sum_{\sigma=\pm} \left[T_{\sigma}(E_{F}, k_{y}) \right]^{p},$$
 (13)

where T_{\pm} are the two eigenvalues of tt^{\dagger} .

IV. RESULTS

Figure 3 contains a gray-scale plot of the total transmission probability $Tr(tt^{\dagger})$ as a function of k_y and ε . Darkly shaded regions indicate resonances of high transmission, similar to those found in Ref. 15.

The location $\varepsilon_{\rm res}$ of resonances can be estimated by equating $k_x L / \pi$ to an integer n. This yields the curves

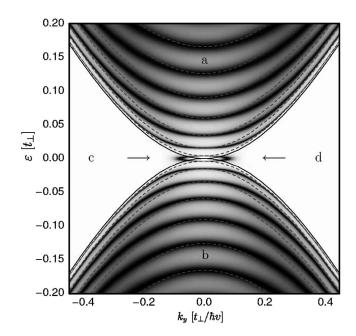


FIG. 3. Total transmission probability $\text{Tr}(tt^{\dagger})$ as a function of ε and k_y for $U_{\infty} = 50t_{\perp}$ and $L = 50t_{\perp}$. Darkly shaded regions indicate high transmission. Gray dashed lines indicate the estimate (14) for the occurrence of resonances in regions (a) and (b), while solid lines indicate the boundary between propagating and evanescent modes. Arrows point to the resonances of evanescent modes close to the Dirac point, responsible for the pseudodiffusive transport.

$$\varepsilon_{\text{res}}^{(n)}(k_y) = \mp \frac{1}{2} t_{\perp} \pm \sqrt{\frac{1}{4} t_{\perp}^2 + \left(\frac{\pi n}{L}\right)^2 + k_y^2},$$
 (14)

indicated in the figure by dashed lines. It is seen that good agreement is reached for $|k_y| \le 1/L$ and again for $|k_y| \ge 1/L$. For $|k_yL| = 1$ there is a cross over. In regions (c) and (d), demarkated by the curves $\varepsilon_{\rm res}^{(0)}$, the transmission generally drops to zero, since in these regions the longitudinal momentum k_x is imaginary.

There is however a curious feature close to ε , k_y =0. The resonance closest to the Dirac point behaves differently from all the other resonances. When $|k_y|$ is increased, it moves closer to the Dirac point rather than away from it, eventually crossing into regions (c) and (d) of evanescent modes. It is this resonance of evanescent modes that is responsible for the pseudodiffusive transport at the Dirac point.

At ε =0, the exact formula for the eigenvalues of tt^{\dagger} in the $U_{\infty} \rightarrow \infty$ limit is

$$T_{\pm}(\varepsilon = 0, k_y) = \frac{1}{\cosh^2(k_y \mp k_c)L},\tag{15}$$

$$k_c = \frac{1}{L} \ln \left[\frac{L}{2l_{\perp}} + \sqrt{1 + \frac{L^2}{4l_{\perp}^2}} \right].$$
 (16)

In Fig. 4 the two transmission coefficients $T_{\pm}(0,k_y)$ are compared to the single transmission coefficient $T_{\rm monolayer}(0,k_y)$ = $1/\cosh^2(k_yL)$ of the monolayer. 1,10 Details of the calculation may be found in Appendix A.

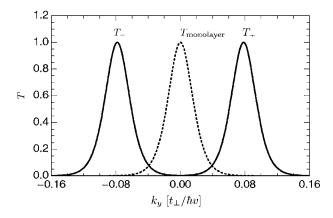


FIG. 4. Solid curves: Transmission coefficients T_{\pm} of the bilayer according to Eq. (15) at $L=50l_{\perp}$. These coefficients are displaced copies of the monolayer result (dashed).

Since the two bilayer coefficients are displaced copies of the monolayer coefficient, any observable of the form $A = \text{Tr } f(tt^{\dagger})$, with f an arbitrary function is twice as large in a bilayer as it is in a monolayer. From Eqs. (5) and (13) we obtain

$$G_{\text{bilayer}} = 2G_{\text{monolayer}} = \frac{2G_0}{\pi} \frac{W}{L},$$
 (17)

$$P_{\text{bilayer}} = 2P_{\text{monolayer}} = \frac{4e|V|G_0}{3\pi} \frac{W}{L},$$
 (18)

$$F_{\text{bilayer}} = F_{\text{monolayer}} = \frac{1}{3}.$$
 (19)

Figure 5 contains plots of both the conductivity $\sigma = GL/W$ and Fano factor of the bilayer around the Dirac point. At energies associated with resonances at normal incidence,

$$\varepsilon_{\text{res}}^{(n)}(0) = \pm \frac{\pi^2 \hbar v}{L} \left[\frac{l_{\perp}}{L} n^2 + \mathcal{O}(l_{\perp}/L)^3 \right], \tag{20}$$

the conductivity and Fano factor show abrupt features. The width $\Delta E_F = 2\varepsilon_{\rm res}^{(1)} = 2\pi^2\hbar v l_\perp/L^2$ of the energy window between the resonances that straddle the Dirac point in the bilayer is smaller by a factor l_\perp/L than in the monolayer.

V. DEPENDENCE ON THE POTENTIAL IN THE CONTACT REGION

So far we have assumed that the potential U_{∞} in the contact region is large compared to the band splitting t_{\perp} near the Dirac point of the graphene bilayer. We believe that this is the appropriate regime to model a normal metal contact to the graphene sheet, which couples equally well to the two sublattices on each layer.

It is of interest to determine how large the ratio U_{∞}/t_{\perp} should be to reach the contact-independent limit of the previous section. Note that for $U_{\infty} > t_{\perp}$ there are two left-incident propagating modes in the leads for each ε and k_y . When U_{∞} becomes smaller than t_{\perp} one of the two modes

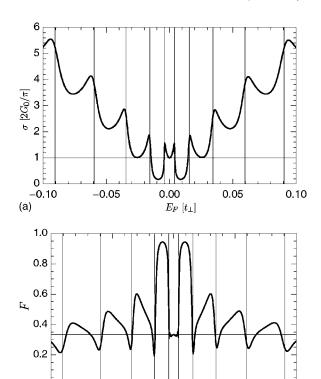


FIG. 5. Conductivity σ (top) and Fano factor F (bottom) of the bilayer, as a function of the Fermi energy E_F measured from the Dirac point for $U_{\infty} = 50t_{\perp}$ and $L = 50t_{\perp}$. Abrupt features occur at $E_F \simeq \varepsilon_{\rm res}^{(n)}(k_y = 0)$ [vertical lines, given by Eq. (20)].

0.00

 E_F $[t_{\perp}]$

0.05

0.10

-0.05

becomes evanescent, leading to an abrupt change in the conductivity and the Fano factor. This is evident in Fig. 6. For $U_{\infty}-t_{\perp}\gtrsim\hbar v/L$, the conductivity and Fano factor have almost reached their $U_{\infty}\to\infty$ limits. For $U_{\infty}\lesssim t_{\perp}$ the conductivity is smaller and the Fano factor larger than when $U_{\infty}>t_{\perp}$. Both quantities vanish when the Fermi momentum $\sqrt{U_{\infty}t_{\perp}}/v$ in the contact region drops below \hbar/L and the contact region is effectively depleted of carriers.

These finite- U_{∞} results can be used to make contact with the previous calculation of Katsnelson, 12 who found a conductivity $\sigma = G_0/2$ and a Fano factor $F = 1 - 2/\pi$ at the Dirac point, in the regime $\hbar v/L \ll \sqrt{U_{\infty}t_{\perp}} \ll t_{\perp}$. These values are indicated in Fig. 6 by horizontal lines. The intersection point with our curves occurs at nearly the same value of U_{∞}/t_{\perp} for both quantities. The intersection point moves closer and closer to $U_{\infty}=0$ as the sample length L is increased, but there is no clear plateau around the intersection point. Moreover, as shown in Appendix B, the intersection point does not correspond to a minimum or maximum as a function of the Fermi energy, so that these values would be difficult to extract from a measurement.

We do believe that the results of Ref. 12 describe the asymptotic limit $L/l_{\perp} \rightarrow \infty$ at $E_F \equiv 0$. However, because in this limit the width $\Delta E_F \simeq \hbar v l_{\perp}/L^2$ of the resonance at the Dirac point vanishes, it seems unobservable.

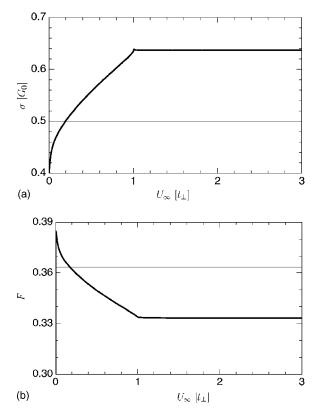


FIG. 6. Dependence of the conductivity and Fano factor at the Dirac point on the potential U_{∞} in the contact region, for $L=100l_{\perp}$. Thin horizontal lines indicate the values of Ref. 12. The values obtained in this paper correspond to a plateau reached for $U_{\infty}/t_{\perp} \gtrsim 1$.

VI. CONCLUSION

In conclusion, we have demonstrated that the pseudodiffusive transport at the Dirac point, discovered in Ref. 1 for a carbon monolayer, holds in a bilayer as well. All moments of the current fluctuations have the same relation to the mean current as in a diffusive metal. In particular, the Fano factor has the 1/3 value characteristic of diffusive transport, even though the bilayer is assumed to be free of impurities or lattice defects.

Although we found that an undoped bilayer transmits as two undoped monolayers in parallel, the two systems behave very different away from charge neutrality. The resonance of evanescent modes around the Dirac point of zero Fermi energy has width $\Delta E_F \simeq \hbar v l_{\perp}/L^2$ in a bilayer, which is smaller than the width in a monolayer by the ratio of the interlayer coupling length l_{\perp} and the separation L of the metal contacts.

Since $l_{\perp} \approx 1.5$ nm, one would not be able to resolve this resonance in the μ m-size samples of Ref. 11. These experiments found no qualitative difference in the conductance versus gate-voltage dependence of monolayer and bilayer graphene, both showing a minimum conductivity at the Dirac point of G_0 . Smaller junctions in the 10-100 nm range as are now being fabricated should make it possible to resolve the transmission resonance of evanescent modes predicted here, and to observe the unusual pseudodiffusive dynamics associated with it.

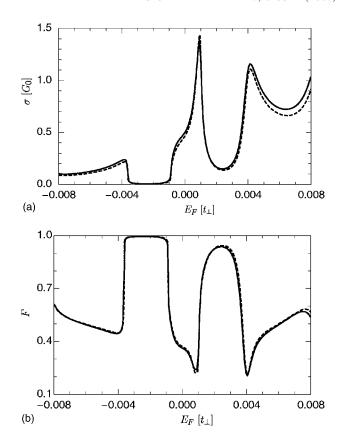


FIG. 7. Conductivity (top) and Fano factor (bottom) around the Dirac point, for $L=100l_{\perp}$ and $U_{\infty}=0.2t_{\perp}$. (These parameter values correspond to the intersection point of our curves with the prediction of Ref. 12 in Fig. 6.) The solid lines were obtained using the four-band Hamiltonian (3), while the dashed lines were obtained from the two-band Hamiltonian (B1).

ACKNOWLEDGMENTS

This research was supported by the Dutch Science Foundation NWO/FOM. We have benefitted from discussions with E. McCann.

APPENDIX A: TRANSMISSION EIGENVALUES AT THE DIRAC POINT

In this Appendix we give some detail of the calculation that leads to the transmission coefficients $T_{\pm}(\varepsilon=0,k_y)$ of Eq. (15). At the Dirac point and in the limit of large U_{∞} , the left-incident eigenstates of the Hamiltonian (3) are of the form

$$\begin{split} \psi_{\pm}(x) &= \begin{cases} e^{ik_{y}y} [\xi_{\pm}^{R} e^{iU_{\infty}x} + (r_{+}^{\pm} \xi_{+}^{L} + r_{-}^{\pm} \xi_{-}^{L}) e^{-iU_{\infty}x}] & x < 0, \\ e^{ik_{y}y} [(c_{1}^{\pm} \chi_{1} + c_{2}^{\pm} \chi_{2}) e^{k_{y}x} + (c_{3}^{\pm} \chi_{3} + c_{4}^{\pm} \chi_{4}) e^{-k_{y}x}] & 0 < x < L, \\ e^{ik_{y}y} e^{iU_{\infty}(x-L)} [t_{+}^{\pm} \xi_{+}^{R} + t_{-}^{\pm} \xi_{-}^{R}] & x > L, \end{cases} \end{split}$$
(A1)

with the definitions

$$\xi_{\pm}^{R} = \begin{pmatrix} \mp 1 \\ \mp 1 \\ 1 \\ 1 \end{pmatrix}, \quad \xi_{\pm}^{L} = \begin{pmatrix} \mp 1 \\ \pm 1 \\ 1 \\ -1 \end{pmatrix}, \quad (A2)$$

$$\chi_{1} = \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix}, \quad \chi_{2} = \begin{pmatrix} 0 \\ -it_{\perp}x \\ 1 \\ 0 \end{pmatrix}, \quad \chi_{3} = \begin{pmatrix} 1 \\ 0 \\ 0 \\ -it_{\perp}x \end{pmatrix},$$

$$\chi_{4} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}. \quad (A3)$$

These eigenstates must be continuous at x=0 and x=L, leading to an 8×8 system of linear equations $Mb_{\pm}=c_{\pm}$ with

$$M = \begin{pmatrix} 1 & -1 & 0 & 0 & 1 & 0 & 0 & 0 \\ -1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ -1 & -1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & z & -z \\ 0 & 0 & z & -iLt_{\perp}z & 0 & 0 & 1 & -1 \\ 0 & 0 & 0 & z & 0 & 0 & -1 & -1 \\ 0 & 0 & 0 & z & 0 & 0 & -1 & -1 \\ 0 & 0 & 0 & -iLt_{\perp} & 1 & -z & -z \end{pmatrix},$$

$$\begin{pmatrix} r_{+}^{\pm} \\ r_{-}^{\pm} \\ c_{1} \\ c_{2} \\ c_{3} \\ c_{4} \\ t_{+}^{\pm} \\ t_{+}^{\pm} \end{pmatrix}, \quad c_{\pm} = \begin{pmatrix} -1 \\ 1 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}. \tag{A4}$$

We abbreviated $z=e^{k_yL}$. By solving these equations, one finds the transmission matrix

$$t = \frac{2i}{2 + (L/l_{\perp})^2 + 2\cosh(2k_{y}L)}$$

$$\times \begin{pmatrix} (L/l_{\perp} - 2i)\cosh(k_{y}L) & (L/l_{\perp})\sinh(k_{y}L) \\ - (L/l_{\perp})\sinh(k_{y}L) & - (L/l_{\perp} + 2i)\cosh(k_{y}L) \end{pmatrix}.$$
(A5)

The eigenvalues of tt^{\dagger} are then given by Eq. (15).

APPENDIX B: FOUR-BAND VERSUS TWO-BAND HAMILTONIAN

In this appendix we verify that the difference in the results obtained here and in Ref. 12 is not due to the different Hamiltonians used in these two calculations.

In Ref. 12 the limit $t_{\perp} \rightarrow \infty$ was taken at the beginning of the calculation, reducing the 4×4 Hamiltonian (3) to the effective 2×2 Hamiltonian⁴

$$H_{\text{eff}} = -\frac{v^2}{t_{\perp}} \begin{pmatrix} 0 & (p_x - ip_y)^2 \\ (p_x + ip_y)^2 & 0 \end{pmatrix} + U(x) \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}.$$
(B1)

Only the two lowest bands near the Dirac point are retained in H_{eff} , as is appropriate for the regime $U_{\infty} \ll t_{\perp}$.

We have repeated the calculation of conductance and Fano factor using both Hamiltonians (3) and (B1), for parameter values corresponding to the intersection point of Fig. 6, and find good agreement (see Fig. 7).

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