Electronic structure and chemical bonding in Ti$_4$SiC$_3$ investigated by soft x-ray emission spectroscopy and first-principles theory

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The electronic structure in the new transition-metal carbide Ti$_4$SiC$_3$ has been investigated by bulk-sensitive soft x-ray emission spectroscopy and compared to the well-studied Ti$_3$SiC$_2$ and TiC systems. The measured high-resolution Ti *L*, C *K*, and Si *L* x-ray emission spectra are discussed with *ab initio* calculations based on density-functional theory including core-to-valence dipole matrix elements. The detailed investigations of the Ti-C and Ti-Si chemical bonds provide increased understanding of the physical properties of these nanolaminates. A strongly modified spectral shape is detected for the intercalated Si monolayers due to Si 3*p* hybridization with the Ti 3*d* orbitals. As a result of relaxation of the crystal structure and the charge-transfer from Ti (and Si) to C, the strength of the Ti-C covalent bond is increased. The differences between the electronic and crystal structures of Ti$_4$SiC$_3$ and Ti$_3$SiC$_2$ are discussed in relation to the number of Si layers per Ti layer in the two systems and the corresponding change of materials properties.

I. INTRODUCTION

Ternary carbides and nitrides, also referred to as MAX phases (denoted M$_{n+1}$AX$_n$), where $n=1$, 2, and 3, which we will refer to as 211, 312, and 413, respectively, have recently been the subject of intense research.[1–3] Here, M denotes an early transition metal, A is a *p* element, usually belonging to the groups IIIA and IVA, and X is either carbon or nitrogen.[4] These nanolaminated-layered materials exhibit a unique combination of metallic and ceramic properties, including high strength and stiffness at high temperatures, resistance to oxidation and thermal shock, as well as high electrical and thermal conductivity.[5] The macroscopic properties are closely related to the underlying electronic structure and the structural properties of the constituent atomic layers. The MAX phase family of compounds (over 50 variants are energetically stable) has a hexagonal structure with near close-packed layers of the M elements interleaved with square-planar slabs of pure A elements, where the X atoms are filling the octahedral sites between the M atoms. The A elements are located at the center of trigonal prisms that are larger than the octahedral X sites. The structural difference between the 211, 312, and 413 phases is the number of inserted A monolayers per M layer. The A/M ratios are 0.5, 0.33, and 0.25 for the 211, 312, and 413 phases, respectively. In addition, the 312 and 413 phases have two different M sites, denoted M$_I$ and M$_{II}$. The 413 crystal structure also has two different X sites, denoted X$_I$ and X$_{II}$, and has more carbidelike attributes than the 211 and 312 crystal structures.

The Ti-Si-C system in the MAX-phase family of compounds has been well studied, in particular the 312 type of crystal structure, which can be made both as thin film and as sintered bulk material. Ti$_4$SiC$_3$ is the second known 413 compound after Ti$_4$AlN$_3$ and has only been synthesized as thin film.[6,7] Therefore, the electronic structure of Ti$_4$SiC$_3$ is

not as well known as the other MAX phases and has more carbidelike properties and less metallicity. Insertion of Si monolayers into a TiC matrix implies that the strong Ti-C bonds are replaced by weaker Ti-Si bonds. Thus, in Ti$_4$SiC$_3$, single monolayers of C atoms have been replaced by Si layers. The TiC layers surrounding the Si monolayers are then twinned with the Si layer as a mirror plane. Figure 1 shows the crystal structure of Ti$_4$SiC$_3$ with the nanolaminates of binary Ti-C-Ti slabs separated by softer Ti-Si-Ti slabs with weaker bonds. The elastic properties such as Young's modulus (*E*) change with phase and composition, i.e., Ti$_4$SiC$_3$ is expected to be harder than the prototype compound Ti$_3$SiC$_2$
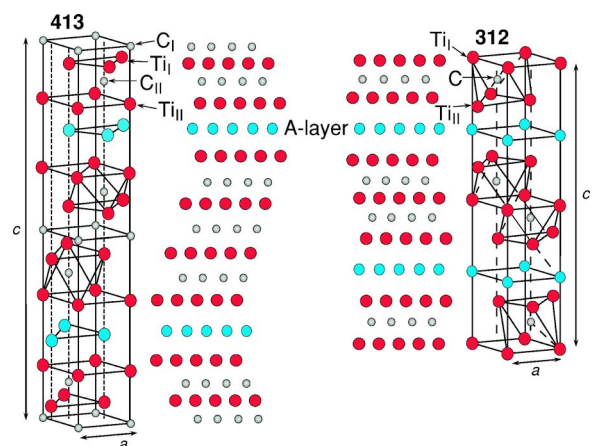

FIG. 1. (Color online) The hexagonal crystal structure of 413 (Ti$_4$SiC$_3$) in comparison to 312. There is one Si layer for every fourth layer of Ti in Ti$_4$SiC$_3$. The lengths of the measured (calculated) *a* and *c* axis of the unit cell of Ti$_4$SiC$_3$ are 3.05 (3.08) Å and 22.67 (22.62) Å, respectively. Note that Ti$_I$ is bonded to C$_I$ and C$_{II}$ while Ti$_{II}$ is bonded to both C$_{II}$ and Si. C$_I$ is bonded to Ti$_I$ type of atoms and C$_{II}$ is bonded to both Ti$_I$ and Ti$_{II}$.

(320 GPa), which is softer than TiC (350–400 GPa).[8] The change of elastic properties with phase is mainly due to the fact that the 413 crystal structure contains a larger fraction of strong Ti-C bonds compared to the 312 phases. The weaker Ti-Si bonds may also affect the tribological properties such as wear performance and friction. The physical properties of crystallographically oriented thin films of MAX phases can thus be custom-made for a particular application such as protective coatings, sliding/gliding electrical contacts, and heating elements.

Theoretically, it has been shown by *ab initio* electronic structure calculations that there should be significant differences of the partial density of states (PDOS) of Ti, C, and Si between different MAX-phase crystal structures.[9–12] In recent studies, we investigated the three 312 phases Ti_3AlC_2, Ti_3SiC_2, Ti_3GeC_2,[13] and the 211 phase Ti_2AlC.[14] In contrast to Ti_3SiC_2 and Ti_3GeC_2, a pronounced shoulder at 1 eV below the Fermi level (E_F) was identified in the Ti $L_{2,3}$ soft x-ray emission (SXE) spectra of Ti_3AlC_2 and Ti_2AlC. From these studies, it was clear that the physical and mechanical properties of MAX phases can be further understood from detailed investigations of the electronic structure, in particular the M-A and M-X chemical bonding.

In the present paper, we investigate the electronic structure of Ti_4SiC_3, using bulk-sensitive and element-specific SXE spectroscopy with selective excitation energies around the Ti $2p$, C $1s$, and Si $2p$ thresholds. The SXE technique is more bulk sensitive than electron-based spectroscopic techniques such as x-ray absorption spectroscopy (XAS) and x-ray photoemission spectroscopy (XPS). Due to the involvement of both valence and core levels, the corresponding difference in energies of emission lines, and their selection rules, each kind of atomic element can be probed separately. This makes it possible to extract both elemental and chemical near ground-state information of the electronic structure. The SXE spectra are interpreted in terms of partial valence-band PDOS weighted by the transition matrix elements. The main objective of the present investigation is to study the nanolaminated internal electronic structures and the influence of hybridization among the constituent atomic planes in Ti_4SiC_3 in comparison to Ti_3SiC_2 and TiC, with the aim to obtain an increased understanding of the physical and mechanical properties.

II. EXPERIMENTAL

A. X-ray absorption and emission measurements

The SXE and XAS measurements were performed at the undulator beamline I511-3 at MAX II (MAX-lab National Laboratory, Lund University, Sweden), comprising a 49-pole undulator and a modified SX-700 plane grating monochromator.[15] The XAS spectra at the Ti $2p$ and C $1s$ edges were measured with 0.1 eV resolution. The SXE spectra were recorded with a high-resolution Rowland-mount grazing-incidence grating spectrometer[16] with a two-dimensional detector. The Ti L and C K SXE spectra were recorded using a spherical grating with 1200 lines/mm of 5 m radius in the first order of diffraction. The Si L spe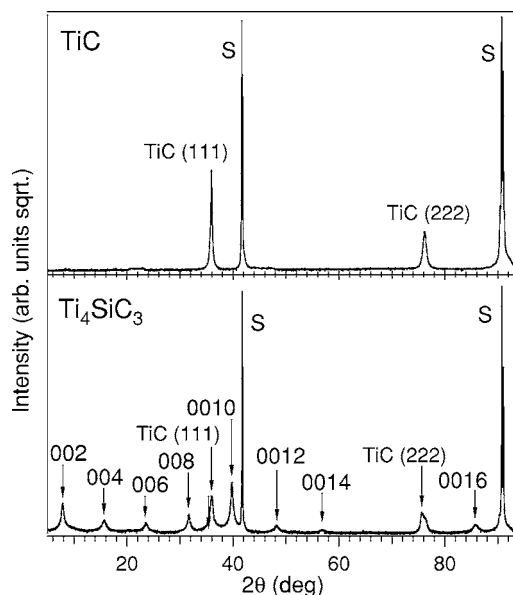ctra were recorded using a grating with 300 lines/mm, 3 m radius in the first order of diffraction. During the SXE measurements at the Ti $2p$, C $1s$, and Si $2p$ edges, the resolutions of the beamline monochromator were 1.6, 1.0, and 0.3 eV, respectively. The SXE spectra were recorded with spectrometer resolutions 0.7, 0.2, and 0.2 eV, respectively. All the measurements were performed with a base pressure lower than 5×10^{-9} Torr. In order to minimize self-absorption effects,[17] the angle of incidence was about 20° from the surface plane during the emission measurements. The x-ray photons were detected parallel to the polarization vector of the incoming beam in order to minimize elastic scattering.

FIG. 2. Top, x-ray diffractogram of TiC. Bottom, x-ray diffractogram from the Ti_4SiC_3 sample. S denotes the contribution from the Al_2O_3 substrate. The TiC peaks in Ti_4SiC_3 originates from the seed layer interface.

B. Deposition of the Ti_4SiC_3 film

Figure 2 shows θ-2θ diffractograms of the deposited TiC and Ti_4SiC_3 films. The $TiC_x(111)$ ($x \sim 0.7$, 2000 Å thick) and $Ti_4SiC_3(000l)$ (900 Å thick) films were epitaxially grown on α-$Al_2O_3(000l)$ substrates at 300 and 1000 °C, respectively, by dc magnetron sputtering.[18] Elemental targets of Ti, C, and Si and a 3.0 mTorr Ar discharge were used. To promote a high-quality growth of the MAX phase, a 250-Å-thick seed layer of $TiC_{0.7}(111)$ was initially deposited. For further details on the synthesis process, the reader is referred to Refs. 7, 19, and 20.

The two most intense peaks in Fig. 2 originate from the α-$Al_2O_3(000l)$ substrate. As observed, the other peaks mainly originate from $Ti_4SiC_3(000l)$ together with peaks from the TiC(111) and (222) seed layer. This strongly indicates a single-phase MAX material. Furthermore, the fact that the diffractogram shows only Ti_4SiC_3 of {000l}-type suggests highly textured or epitaxial films. X-ray pole figures verified that the growth indeed was epitaxial, and determined the relation to $Ti_4SiC_3(000l)\|TiC(111)\|Al_2O_3(000l)$ with an in-plane orientation of $Ti_4SiC_3[210]\|TiC[110]\|Al_2O_3[210]$.

The values of the *a* axis and *c* axis were determined to be 3.05 and 22.67 Å by reciprocal space mapping (RSM). The epitaxial growth behavior has also been documented by transmission electron microscopy.[21–25] XPS analysis depth profiles of the deposited films within the present study using a PHI Quantum instrument showed after 60 s of Ar sputtering a constant composition without any contamination species.

III. COMPUTATIONAL DETAILS

A. Calculation of the x-ray emission spectra

The x-ray emission spectra were calculated within the single-particle transition model by using the augmented plane wave plus local orbitals (APW+lo) electronic structure method.[26] Exchange and correlation effects were described by means of the generalized gradient approximation (GGA) as parametrized by Perdew, Burke, and Ernzerhof.[27] A plane-wave cutoff, corresponding to $R_{MT}*K_{max}=8$, was used in the present investigation. For Ti and Si, *s* and *p* local orbitals were added to the APW basis set to improve the convergence of the wave function, while for C, only *s* local orbitals were added to the basis set. The charge density and potentials were expanded up to $\ell=12$ inside the atomic spheres, and the total energy was converged with respect to the Brillouin zone integration.

The SXE spectra were then evaluated at the converged ground-state density by multiplying the angular momentum projected density of states by a transition-matrix element.[28] The electric-dipole approximation was employed so that only the transitions between the core states with orbital angular momentum ℓ to the $\ell\pm1$ components of the electronic bands were considered. The core-hole lifetimes used in the calculations were 0.73, 0.27, and 0.45 eV for the Ti 2*p*, C 1*s*, and Si 2*p* edges, respectively. A direct comparison of the calculated spectra with the measured data was finally achieved by including the instrumental broadening in the form of Gaussian functions corresponding to the experimental resolutions (see Sec. II A). The final state lifetime broadening was accounted for by a convolution with an energy-dependent Lorentzian function with a broadening increasing linearly with the distance from the Fermi level according to the function $a+b(E-E_F)$, where the constants *a* and *b* were set to 0.01 eV and 0.05 (dimensionless).[29]

B. Balanced crystal orbital overlap population (BCOOP)

In order to study the chemical bonding of the Ti_4SiC_3 compound, we calculated the BCOOP function by using the full potential linear muffin-tin orbital (FPLMTO) method.[30] In these calculations, the muffin-tin radii were kept as large as possible without overlapping one another (Ti=2.3 a.u., Si=2.3 a.u., and C=1.6 a.u.), so that the muffin-tin radii fill about 66% of the total volume. To ensure a well-converged basis set, a double basis with a total of four different κ^2 values was used. For Ti, we included the 4*s*, 4*p*, and 3*d* as valence states. To reduce the core leakage at the sphere boundary, we also treated the 3*s* and 3*p* core states as semicore states. For Si, 3*s*, 3*p*, and 3*d* states were taken as va-
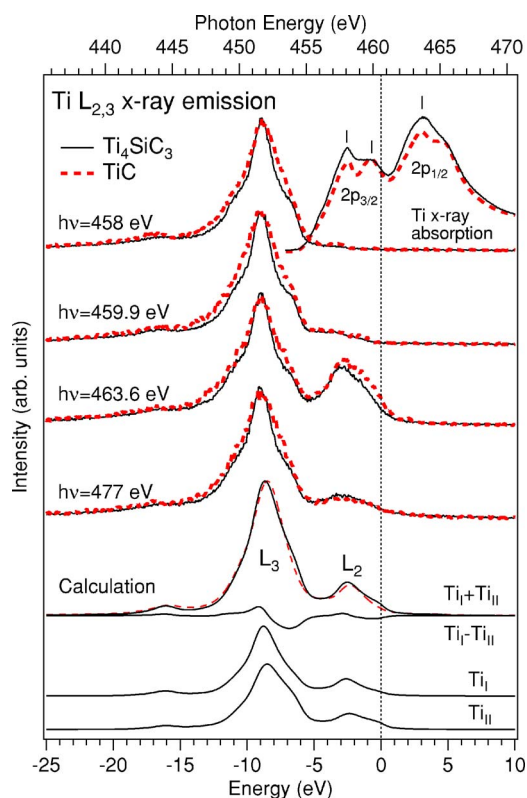

FIG. 3. (Color online) Top, Ti $L_{2,3}$ x-ray emission spectra of Ti_4SiC_3 and TiC excited at 458, 459.9, 463.6 (resonant), and 477 eV (nonresonant). The excitation energies for the resonant emission spectra are indicated by vertical ticks in the x-ray absorption spectra (top, right curves). All spectra are aligned to the Ti $2p_{1/2}$ threshold at 460.6 eV measured by XPS on the Ti_4SiC_3 sample. Bottom, calculated spectra with fitted experimental $L_{2,3}$ peak splitting of 6.2 eV and the L_3/L_2 ratio of 6:1 compared to the x-ray emission spectra.

lence states. The resulting basis forms a single, fully hybridizing basis set. This approach has previously proven to give a well-converged basis.[31] For the sampling of the irreducible wedge of the Brillouin zone, a special-*k*-point method was used,[32] and for the self-consistent total energy calculation, the number of *k* points was 216. In order to speed up the convergence, a Gaussian broadening of width 20 mRy was associated with each calculated eigenvalue.

IV. RESULTS

A. Ti $L_{2,3}$ x-ray emission

Figure 3 (top) shows Ti $L_{2,3}$ SXE spectra of Ti_4SiC_3 excited at 458, 459.9, 463.6, and 477 eV photon energies, corresponding to the $2p_{3/2}$ and $2p_{1/2}$ absorption maxima and nonresonant excitation, respectively. The x-ray absorption measurements (top, right curves) were used to locate the excitation energies for the emission measurements. For comparison of the spectral shapes, the measured spectra are normalized to unity and are plotted on a photon energy scale (top) and a common energy scale (bottom) with respect to the E_F using the Ti $2p_{1/2}$ core-level photoemission binding
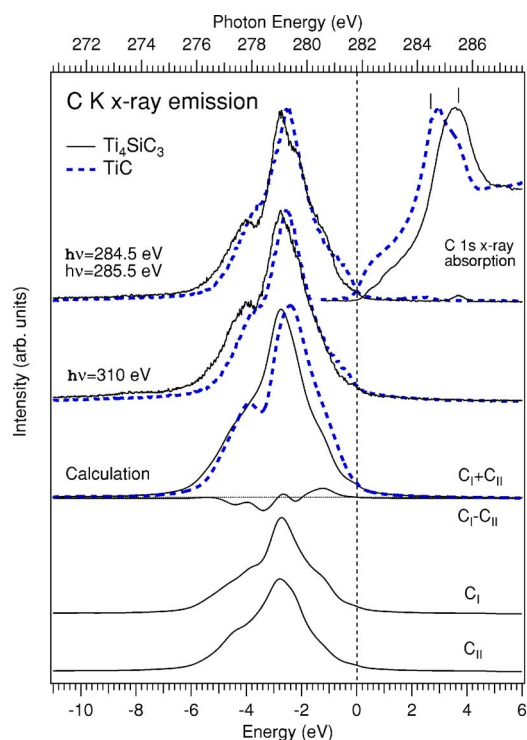
FIG. 4. (Color online) Top, experimental C *K* SXE spectra of Ti_4SiC_3 and TiC excited at 284.5, 285.5 (resonant), and 310 eV (nonresonant), aligned with the measured C 1*s* core XPS binding energy 281.8 eV for Ti_4SiC_3. The resonant excitation energies for the SXE spectra are indicated in the C 1*s* XAS spectra (top, right curves) by the vertical ticks. Note the weak elastic peak at 285.5 eV in the resonant emission spectrum for Ti_4SiC_3. Bottom, calculated SXE spectra of Ti_4SiC_3 and TiC. The vertical dotted line indicates the Fermi level (E_F).

energy of 460.6 eV. The main L_3 and L_2 emission lines are observed at 2.5 and 9 eV on the common energy scale. Note that the Ti $L_{2,3}$ SXE spectral shapes of Ti_4SiC_3 and TiC are similar, indicating carbidelike attributes, although the main peak is somewhat broader in TiC. The energy dependence of the spectral shapes is rather weak with the exception of the L_2 emission line, which resonates at 463.6 eV, corresponding to the $2p_{1/2}$ absorption maximum. Weak peak features are observed around 16.5 eV in the measured spectra. A corresponding band feature is also observed in the calculated spectra at the bottom. The Ti $L_{2,3}$ SXE spectra are rather delocalized (wide bands), which makes electronic structure calculations suitable for the interpretation of the spectra. The fitted L_3/L_2 ratio was set to 6:1 as in the experimental spectra excited at 477 eV. The calculated spectra at the bottom are generally in good agreement with the experiment.

B. C *K* x-ray emission

Figure 4 (top) shows experimental C *K* SXE spectra of Ti_4SiC_3, excited at 284.5, 285.5 eV (resonant) and 310 eV (nonresonant) photon energies, respectively. The XAS spectra (top, right curves) were used to locate the excitation energies for the SXE spectra. Calculated SXE spectra are shown at the bottom. The main peak at 2.9 eV below E_F is
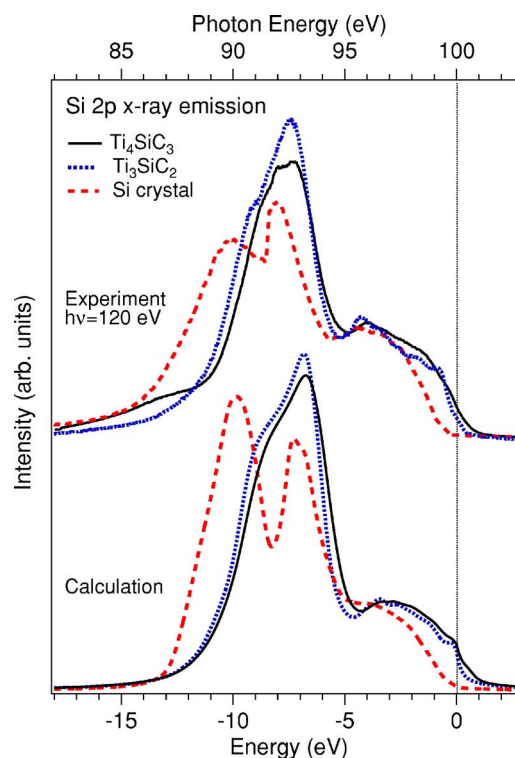

FIG. 5. (Color online) Top, experimental Si $L_{2,3}$ spectra of Ti_4SiC_3 compared to Ti_3SiC_2 (Ref. 13) and crystalline Si [100], all excited at 120 eV. Bottom, calculated spectra. The spectra were normalized to the total area and the vertical dotted line indicates the Fermi level (E_F).

sharper at resonant excitation and has a pronounced shoulder on the low-energy side at 4.0 eV. Contrary to Ti_3SiC_2, Ti_4SiC_3 has no high-energy shoulder at 2 eV.[13] The agreement between the experimental and calculated spectra is generally good, although the low-energy shoulder at 4.0 eV is less pronounced in the calculation. The main peak and the low-energy shoulder correspond to the occupied C 2*p* orbitals hybridized with the Ti and Si bonding and antibonding orbitals of the valence bands.

C. Si $L_{2,3}$ x-ray emission

Figure 5 (top) shows experimental Si $L_{2,3}$ SXE spectra of Ti_4SiC_3, Ti_3SiC_2, and crystalline Si measured nonresonantly at 120 eV photon energy. Calculated SXE spectra are shown at the bottom. Comparing the experimental and calculated spectra, it is clear that the main peak at 7 eV of the SXE spectra is dominated by 3*s* final states. The partly populated 3*d* states form the broad peak structure closer to the E_F and participate in the Ti 3*d*–Si 3*p* bonding in Ti_4SiC_3. Notably, the Si $L_{2,3}$ SXE spectrum of Ti_4SiC_3 has fewer substructures than Ti_3SiC_2 in the region 0–5 eV below E_F.[13] This is an indication that the Ti 3*d* states of Ti_4SiC_3 hybridize differently with Si than in Ti_3SiC_2 in this energy region. The difference in the Ti 3*d*–Si hybridization and chemical bonding is also attributed to the higher Si $2p_{1/2}$ XPS binding energy of Ti_4SiC_3 (100.0 eV) in comparison to Ti_3SiC_2 (98.5 eV) and Si[100] (99.5 eV). The Si 3*p* states dominate in the up-
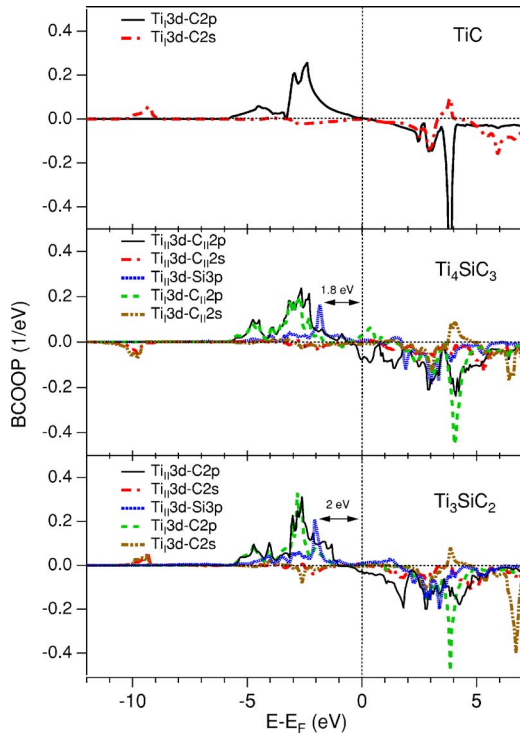
FIG. 6. (Color online) Calculated balanced crystal overlap population (BCOOP) of TiC, Ti_4SiC_3, and Ti_3SiC_2. In Ti_4SiC_3, Ti_I is bonded to C_I and C_{II} while Ti_{II} is bonded to both C_{II} and Si. C_I is bonded to Ti_I type of atoms and C_{II} is bonded to both Ti_I and Ti_{II} as illustrated in Fig. 1. Note that the Ti $3d$ and C $2s$ overlap around 10 eV below E_F is antibonding in Ti_4SiC_3 and bonding for TiC and Ti_3SiC_2.

per part of the Si $L_{2,3}$ spectrum but do not contribute to the spectral shape since they are dipole forbidden. For the Si $L_{2,3}$ SXE spectrum, the valence-to-core matrix elements are found to play an important role in the spectral shape. In contrast to the Si $L_{2,3}$ SXE spectrum of crystalline Si[100], which has a pronounced double structure, the Si $L_{2,3}$ SXE spectra of Ti_4SiC_3 and Ti_3SiC_2 have strongly modified spectral weights toward the E_F. A similar modification of the Si $L_{2,3}$ SXE spectral shape has also been observed in the metal silicides.[33] Comparing the Si $L_{2,3}$ SXE spectral shapes of Ti_4SiC_3 and Ti_3SiC_2 to the silicides, the appearance of the shoulder around 9 eV can be attributed to the formation of hybridized Si $3s$ states produced by the overlap of the Ti $3d$ orbitals. This interpretation is supported by our first-principles calculations.

D. Chemical bonding

By relaxing the cell parameters of Ti_4SiC_3, it was possible to calculate the equilibrium a and c axes. They were determined to be 3.08 and 22.62 Å for Ti_4SiC_3. These values are in good agreement with the experimental values of 3.05 and 22.67 Å in Sec. II B. In order to analyze the chemical bonding in more detail, we show in Fig. 6 the calculated BCOOP (Ref. 34) of the Ti_4SiC_3 system compared to Ti_3SiC_2 (Ref. 13) TiC. The BCOOP makes it possible to compare the strength of two similar chemical bonds. The BCOOP is a function that is positive for bonding states and negative for antibonding states. The strength of the covalent bonding can be determined by summing up the area under the BCOOP curve. The energy position of the peaks also gives an indication of the strength of the covalent bonding. First, comparing the areas under the BCOOP curves and the distances of the main peaks of the curves from the Fermi level, it is clear that the Ti $3d$–C $2p$ bonds are much stronger than the Ti $3d$–Si spd bonds in both Ti_4SiC_3 and Ti_3SiC_2. The Ti atoms lose some bond strength to the nearest-neighbor Si atoms, which to some degree is compensated with a stronger Ti-C bond. Secondly, comparing the BCOOP curves of Ti_4SiC_3 to those of Ti_3SiC_2, the Ti-C BCOOP curve of Ti_4SiC_3 is less intense, which indicates that the Ti-C bond is somewhat weaker in Ti_4SiC_3 than in Ti_3SiC_2. It should be noticed that the Ti_{II}-C_{II} bonds are shorter than the Ti-C bonds in TiC (see Table I). This implies that the bonds in the Ti-C slabs of the MAX phase are stronger than in TiC and are due to the weaker Ti-Si bonds, which transfer charge to the Ti-C bonds.

For the Ti $L_{2,3}$ SXE spectra of Ti_4SiC_3 discussed in Sec. IV A, the BCOOP calculations confirm that the Ti $3d$–C $2p$ hybridization and strong covalent bonding are in fact the origin of the main peak at 9 eV below the E_F. Although there is a single Ti-C peak, the BCOOP analysis shows that there are many overlapping energy levels in the energy region 0–6 eV below E_F. The Ti-Si BCOOP peak of Ti_4SiC_3 at 1.8 eV is less intense and closer to the E_F than in Ti_3SiC_2 (2.0 eV). This is an indication that the Ti-Si chemical bond in Ti_4SiC_3 is weaker than in Ti_3SiC_2 and thus plays a key role for the physical properties. The states near E_F are dominated by Ti $3d$ orbitals with a contribution from Si $3p$ orbitals. However, there is also metal-metal dd interactions (metal bonding) close to E_F. A strengthening of the Ti-Si pd covalent bonding should in principle increase the shear stiffness (hardness and elasticity), although the most important mechanism is the number of Si layers inserted in the TiC matrix. The calculated C-Si overlaps (not shown) have a very different shape in comparison to the other overlaps, which is

TABLE I. Calculated bond lengths for Ti_4SiC_3, Ti_3SiC_2, and TiC. In Ti_4SiC_3, Ti_I is bonded to C_I and C_{II} while Ti_{II} is bonded to both C_{II} and Si. C_I is bonded to the Ti_I type of atoms and C_{II} is bonded to both Ti_I and Ti_{II} as illustrated in Fig. 1.

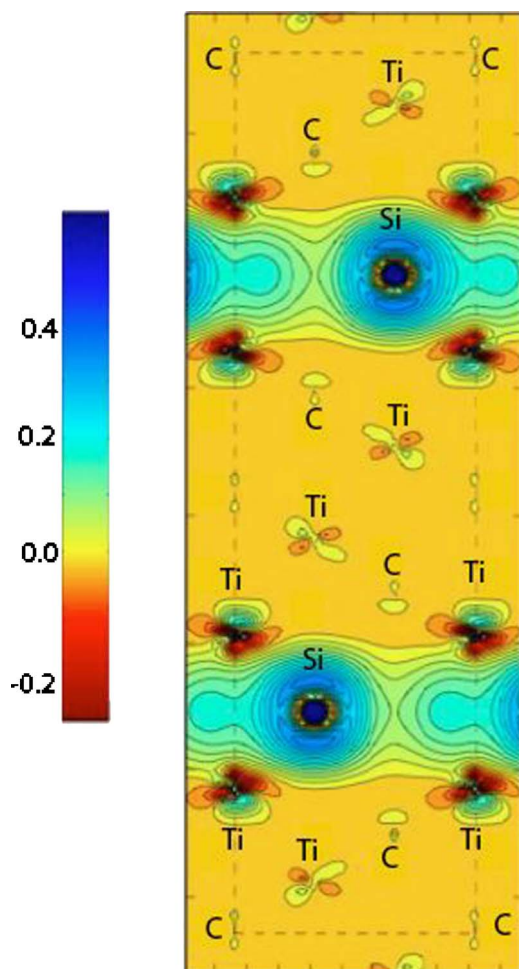| Bond type	Ti_I-C_I	Ti_I-C_{II}	$Ti_{II}-C_{II}$	$Ti_{II}-C_I$	$Ti_{II}-Si$
TiC	2.164				
Ti_3SiC_2		2.189	2.097		2.694
Ti_4SiC_3	2.151	2.215	2.093	3.631	2.695

FIG. 7. (Color online) Calculated charge-density difference between Ti_4SiC_3 and Ti_4C_4 (TiC) in the same crystal geometry. A carbon atom is located at each corner of the plot where the charge-density difference is zero. The difference density plot was obtained by subtracting the charge densities in the [110] diagonal plane of the hexagonal unit cell. The lower valence-band energy was fixed to -1.0 Ry (-13.6 eV) and all the Ti $3d$, $4s$; Si $3s$, $3p$ and C $2s$, $2p$ valence states were taken into account.

an indication that this bond has a noncovalent character.

Figure 7 shows a calculated electron density difference plot between Ti_4SiC_3 and Ti_4C_4, where in the latter system Si has been replaced by C in the same 413 crystal structure representing a highly twinned TiC structure. When introducing the Si atoms into the Ti_4C_4 crystal structure, we first observe an anisotropic charge variation around the Ti atoms close to Si. In particular, in the direction along the Ti-Si bond ($\sim 45°$ angle to the corners of the plot), we register an electron density withdrawal (see the red/dark area around Ti) from Ti to Si to indicate the formation of the Ti-Si bonds. The consequence of such an electronic movement is the creation of a certain polarization on the neighbor Ti-Ti bonding. The insertion of the Si atoms in the Ti_4C_4 structure introduces an anisotropic electron density distribution primarily in a thin sheet containing Ti and Si atoms, resulting in a whole charge modulation along the Ti-Si-Ti zigzag bonding direction that propagates throughout the unit cell. Finally, we

also observe that the charge-density difference is zero at the carbon atoms at the corners of the plot in Fig. 7. This is an indication that the carbon atoms do not respond markedly to the introduction of Si planes and implies that Si substitution only results in local modifications to the charge density, and possibly a weak Si-C interaction.

V. DISCUSSION

Comparing Ti_4SiC_3 with Ti_3SiC_2, it is clear that the physical properties and the underlying electronic structure of the Ti-Si-C system is strongly affected by the number of Si layers per Ti layer. However, a large fraction of the charge transfer indeed comes from the Si atoms resulting in a charge modulation along the c axis. Our charge-density difference calculations for the Ti_4SiC_3 system along the [110] plane show that the C atoms have a large gain of electron density whereas Ti and Si lose charge even though the choice of phase changes the Ti-Si chemical bond. All the Ti-Si-C MAX phases show excellent conductivity due to the metallic component of the bonding. Intuitively, one would therefore expect that the conductivity would decrease as more Si monolayers are introduced since Si is a semiconductor. However, in Ti_4SiC_3, the E_F is close to a pronounced pseudogap (a region with low density of states). The conductivity is largely proportional to the number of states at the E_F [TiC: 0.12 states/eV/at, Ti_3SiC_2: 0.33 states/eV/at, Ti_4SiC_3: 0.29 states/eV/at (Ref. 24)]. The 413 system thus has a lower conductivity than the 312 system due to the decreasing metallicity in the pseudogap. In our previous 312 study,[13] it was clear that the Ti_{II} layers contribute more to the conductivity than the Ti_I layers. However, the electrical and thermal conductivity is higher in the 312 and 211 systems. Comparing Ti_4SiC_3 with Ti_3SiC_2, one can anticipate that the E modulus increases with a decreasing number of Si layers per Ti layer. The hardening of the 413 phase (more carbidelike attributes) is due to changes in the bonding conditions of the weaker Ti-Si bonds. In this sense, Ti_4SiC_3 is more similar to TiC than Ti_3SiC_2 since there is a reduced number of inserted Si monolayers. Concerning the deformation and delamination mechanism, it is similar in all MAX phases due to the weak M-A bonds. Our results show a clear difference between the electronic structures of the two MAX phases Ti_4SiC_3 and Ti_3SiC_2 depending on the number of Si layers per Ti layer. The properties of the Ti-Si-C systems are thus directly related to the number of inserted Si layers into the TiC matrix. This is due to the much weaker covalent bond between Ti and Si compared to the strengthened Ti-C bond, which hardens and stiffens the material.

VI. CONCLUSIONS

In summary, we have investigated the electronic structure and chemical bonding in Ti_4SiC_3 and compared the results to Ti_3SiC_2 and TiC with the combination of soft x-ray emission spectroscopy and electronic structure calculations. The covalent bonding mechanism is found to be very important for the physical properties such as hardness. The combination of experimental and theoretical results show that the Ti $3d$–Si

$3p$ bonding in Ti_4SiC_3 has a relatively weak covalent character. The calculated orbital overlaps also indicate that the Ti-Si bonding orbitals of Ti_4SiC_3 are somewhat weaker than in Ti_3SiC_2, which implies a change of the elastic properties and the electrical and thermal conductivity. The analysis of the underlying electronic structure thus provides increased understanding of the difference of materials properties between the Ti_4SiC_3 and Ti_3SiC_2 compounds. As in the case of Ti_3SiC_2, the x-ray emission spectra of Si in Ti_4SiC_3 appear very different from crystalline Si indicating strong hybridization between Si atoms and Ti with less influence from C. Tuning of the physical and mechanical properties implies that these nanolaminated carbide systems can be custom-made by the choice of crystal structure and the number of Si layers inserted in the TiC matrix.

ACKNOWLEDGMENTS

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