# **Analytical study and computer simulation of discrete optical breathers in a zigzag chain**

L. I. Manevitc[h\\*](#page-12-0) and A. V. Savi[n†](#page-12-1)

*N. N. Semenov Institute of Chemical Physics, Russian Academy of Sciences, ul. Kosygina 4, 119991 Moscow, Russia*

C.-H. Lamarqu[e‡](#page-12-2)

*3, rue Maurice Audin, F69518 Vaulx-en-Velin Cedex, France*

(Received 8 September 2005; revised manuscript received 26 May 2006; published 28 July 2006)

We present analytical and numerical studies of the discrete breathers identified as localized deformations of valence angles and bonds in crystalline polyethylene (PE). It is shown that such breathers can exist both outside and within the optical band and may propagate with subsonic velocities along the polymer chain. The analytical results are confirmed by numerical simulation using the molecular dynamics procedure. We examine also the stability of the breathers relative to thermal excitations and mutual collisions as well as to collisions with the acoustic nontopological and topological solitons. The conditions of the breathers existence depend strongly on their generating frequency, the relationship between the stiffness of valence bonds and valence angles as well as on the type of nonlinear characteristics of intrachain interactions.

DOI: [10.1103/PhysRevB.74.014305](http://dx.doi.org/10.1103/PhysRevB.74.014305)

PACS number(s):  $63.20.Pw, 63.20.Ry, 05.45.-a$ 

# **I. INTRODUCTION**

In spite of growing interest to the study of localized nonlinear oscillatory excitations in solids, almost all activity in this field has been concentrated on the one-dimensional straight chains.<sup>1[,2](#page-12-4)</sup> However, the realistic models of strongly anisotropic systems such as polymer crystals should take into account the zigzag or helix structure of the chains.

The two-dimensional linear dynamics of a planar zigzag chain was considered by Kirkwood<sup>3</sup> in application to polyethylene macromolecule more than 60 years ago. On the other side, the zigzag and helix chains can also be considered as discrete models of corrugated and spiral mechanical systems[.4](#page-12-6) The studies of nonlinear dynamics of polymer chains and crystals in last decades have been lately stimulated by the possibility of numerous applications to such physical problems as dielectric relaxation, $5-7$  $5-7$  premelting and melting,<sup>8</sup> heat conductivity,<sup>9,[10](#page-12-11)</sup> polarization,<sup>11</sup> fracture,<sup>12,[13](#page-12-14)</sup> plastic deformation,  $14-17$  DNA sequencing,  $18$  and so on. All mentioned applications are based on two types of solitons: supersonic solitons of tension and compression $12,19,20$  $12,19,20$  $12,19,20$  as well as combined solitons of tension (compression) and torsion[.12](#page-12-13)[,21](#page-13-0)[–24](#page-13-1)

In addition to these solitons, there are two examples of breathers in the models of polymer chains. The first one is a localized nonlinear vibration of C-H valence bond in the carbon-hydrogen chains. This breather appears due to anharmonicity of the corresponding potential; $^{25}$  the frequency of the breather ( $\approx$ 3100 cm<sup>-1</sup>) is above that of the upper boundary of the lower optical branch of the IR spectrum. The second example is a localized periodic deformation of the valence angles and bonds in PE chains.<sup>26</sup> This breather has been revealed by numerical simulation. In this case the fundamental frequency  $(\approx 800 \text{ cm}^{-1})$  is close to the lower boundary of the first optical band for PE crystals. Due to this closeness, only one type of mobility corresponding to the transversal motion turned out to be predominant in the localization region of the breather. In addition only the stationary (nonpropagating) breathers have been found. Meanwhile, the

valence angles potential for the PE chain<sup>22</sup> demonstrates the case when the lower frequency boundary of the optical band lies within the wave number range and far from its boundaries. This means that the possible breather has not a predominant component (longitudinal or transversal), hence the study becomes much more complicated than in Ref. [26.](#page-13-3)

In the present paper we remove both restrictions mentioned above and implying some closeness to the wave number boundary of the optical band and the stationarity of the breather, respectively. As this takes place, we present the first analytical description of the short wavelength nonlinear dynamics of the PE chain at all possible optical frequencies. This development goes beyond the earlier numerical simulation of the breathers[.26](#page-13-3)

As for the present numerical study, in addition to thermal stability of the breathers we consider also their mutual collisions and collisions with the acoustic nontopological and topological solitons. It is worth mentioning that the interchain interaction does not affect significantly the optical breathers in the PE crystal (as opposed to topological solitons). Nevertheless, this interaction was considered in the course of numerical analysis.

The commonly studied breathers in the attenuation band are linearly stable. However, as it was shown in Ref. [26,](#page-13-3) the nonlinear interaction with the extended modes (thermal phonons) results in the relaxation of the breathers. Therefore, for the realistic models of polymer crystals there is no qualitative difference between the breathers in the attenuation and propagation bands, certainly if they exist in propagation band. On the other hand, the relaxation time is large enough and the breathers may have a significant effect on the different physical properties of PE crystal.<sup>27</sup>

At last, we would like to provide some guidance for the reader to keep track of the important equations (in the analytical part of the paper). We start from general nonlinear equations  $(4)$  $(4)$  $(4)$ – $(7)$  $(7)$  $(7)$  of planar nonlinear dynamics of the zigzag chain. Then the modulating functions are introduced by expansions  $(12)$  $(12)$  $(12)$ – $(15)$  $(15)$  $(15)$ , and the relations  $(20)$  $(20)$  $(20)$ – $(24)$  $(24)$  $(24)$  between these functions are found to derive Eqs.  $(25)$  $(25)$  $(25)$ – $(28)$  $(28)$  $(28)$ . Finally, complex equations of motion  $(30)$  $(30)$  $(30)$ ,  $(31)$  $(31)$  $(31)$ ,  $(45)$  $(45)$  $(45)$ , and  $(46)$  $(46)$  $(46)$  corresponding to main asymptotic approximation have been obtained. So, rather complicated and lengthy calculations are necessary for derivation of final complex nonlinear Schrödinger-type equations  $(45)$  $(45)$  $(45)$  and  $(46)$  $(46)$  $(46)$ . These equations provide the pass to the study of breatherlike nonlinear elementary excitations in strongly anisotropic crystals. As it is clear now, such excitations manifest themselves not only in heat capacity but also in heat conduction and premelting phenomena.<sup>10,[28](#page-13-6)</sup>

### **II. DESCRIPTION OF THE MODEL**

In the numerical study we take into account not only a planar motion of the chain, but also a spatial movement as well as interchain interactions. Therefore, the Hamiltonian presented below is three-dimensional one.

We suggest that the zig-zag initial structure of the PE macromolecule is directed along the *z* axis in the plane  $(x, z)$ , while the *y* axis is perpendicular to the plane  $(x, z)$ , so that  $(x, y, z)$  is the positive global reference of the problem and  $\theta_0$ is an angle of the zigzag chain. $^{26}$ 

The initial coordinates of the *n*th mass of the chain are

$$
z_n^0 = n l_z, \quad x_n^0 = (-1)^{n+1} l_x / 2, \tag{1}
$$

where  $l_z = s\rho_0$  and  $l_x = c\rho_0$  [ $s = \sin(\theta_0/2)$ ,  $c = \cos(\theta_0/2)$ ] are the longitudinal and transversal distances (respectively) between the carbon atoms in the initial zigzag chain. We use the approximation of "united atoms" because the relative motions of the hydrogen atoms are nonsignificant when dealing with a backbone deformation predominantly. So the magnitude of every mass is supposed to be equal to 14 a.u. Let us introduce the relative coordinates

$$
u_n = x_n - x_n^0, \quad w_n = z_n - z_n^0,\tag{2}
$$

where  $w_n$ ,  $u_n$ ,  $v_n$  determine respectively the longitudinal, transversal and out-of-plane displacements of the *n* th mass from its equilibrium state. Let us denote by  $\rho_n$  and  $\theta_n$  the current length of the valence bond and current valence angle, respectively. We introduce also  $\phi_n$  as the angle between  $d_{n-1}$ and the plane generated by  $d_n$  and  $d_{n+1}$  (the conformation angle).

The dynamics of the chain is governed by the following Hamilton function of the chain:<sup>26</sup>

<span id="page-1-2"></span>
$$
H = \sum_{n=-\infty}^{+\infty} \left\{ \frac{1}{2} m (\dot{u}_n^2 + \dot{v}_n^2 + \dot{w}_n^2) + [p_1 + p_2 \cos(\phi_n) + p_3 \cos(3\phi_n)] + \frac{1}{2} \Lambda [\cos(\theta_n) - \cos(\theta_0)]^2 + \mathcal{D} [1 - e^{-q(\rho_n - \rho_0)}]^2 \right\} + Z(u_n, v_n, w_n),
$$
\n(3)

where the overdots denote the time derivation. The first term in this expression is a kinetic energy. The next three ones correspond to the energy of conformation angles, valence angles, and valence bonds, respectively. The last term is the energy of interaction of the *n*th unit with six neighboring chains (the substrate potential) which are supposed to be immobile ones.

Parameters  $p_1$ ,  $p_2$ ,  $p_3$  satisfy the conditions  $p_1 = p_2 + p_3$ ,  $p_2, p_3 > 0$ , so that the undeformed state corresponds to the  $\phi_n = \pi$ . Let us set  $k_1 = 2Dq^2$  and  $k_2 = \Delta \sin^2 \theta_0$ . The relations for  $\rho_n$  and  $\theta_n$  are presented in Ref. [26.](#page-13-3)

The substrate potential:<sup>26</sup>

$$
Z(u, v, w) = \varepsilon_w \sin^2(\pi w / l_z) + \frac{1}{2} K_u \left[ 1 + \varepsilon_u \sin^2\left(\frac{\pi w}{l_z}\right) \right]
$$

$$
\times \left\{ u - \frac{1}{2} l_x \left[ 1 - \cos\left(\frac{\pi w}{l_z}\right) \right] \right\}^2
$$

$$
+ \frac{1}{2} K_v \left[ 1 + \varepsilon_v \sin^2\left(\frac{\pi w}{l_z}\right) \right] v^2,
$$

where  $\varepsilon_u = 0.0674265 \text{ kJ/mol}, \varepsilon_v = 0.0418353 \text{ kJ/mol}, \varepsilon_w$  $= 0.1490124 \text{ kJ/mol}, \quad K_u = 2.169513 \text{ kJ/A mol}^2, \quad \text{and} \quad K_v$  $=$ 13.683865 kJ/Å mol<sup>2</sup>.

## **III. PLANAR MOTION OF THE ZIGZAG CHAIN**

In both linear and nonlinear problems the in-plane dynamics can be completely separated from the out-of-plane motion. Therefore, the Hamilton function for analytical study of the in-plane dynamics has to be simplified as follows:

<span id="page-1-0"></span>
$$
H = \sum_{n=-\infty}^{+\infty} \frac{1}{2} m (\dot{u}_n^2 + \dot{w}_n^2) + \left\{ \sum_{n=-\infty}^{+\infty} \frac{1}{2} \Lambda [\cos(\theta_n) - \cos(\theta_0)]^2 + \sum_{n=-\infty}^{+\infty} \mathcal{D} [1 - e^{-q(\rho_n - \rho_0)}]^2 \right\}.
$$
 (4)

The PE macromolecules may be described by the two systems of parameters, which are introduced in Refs. [21](#page-13-0) and [22,](#page-13-4) respectively. These systems differ by the parameter  $k_2$  $(k_2 / \sin^2 \theta_0 = 130.1$  and 529 kJ/mol). We will consider both systems supposing that  $k_1 / q^2 = 334.7 \text{ kJ/mol}, q = 19.1 \text{ nm}^{-1}$ ,  $\dot{\theta}_0 = 113^\circ$ ,  $\rho_0 = 1.54$  Å so that  $\delta = k_2 / k_1 \rho_0^2 = 0.019$  (see Ref. [21](#page-13-0)) or 0.078 (see Ref. [22](#page-13-4)).

The deformations are presented by their power series expansions up to second order terms:

$$
\Delta \rho_n = \rho_n - \rho_0 = (u_n - u_{n+1})c + (w_{n+1} - w_n)s
$$
  
+ 
$$
\frac{1}{2\rho_0} [(u_n - u_{n+1})c + (w_{n+1} - w_n)s]^2 + \cdots, \quad (5)
$$

$$
\Delta \theta_n = \theta_n - \theta_0 = \frac{s}{\rho_0} (2u_n - u_{n-1} - u_{n+1}) + \frac{c}{\rho_0} (w_{n-1} - w_{n+1})
$$
  
+ 
$$
\frac{cs}{\rho_0} [(u_n - u_{n+1})^2 + (u_n - u_{n-1})^2 - (w_n - w_{n+1})^2
$$
  
- 
$$
(w_n - w_{n-1})^2] + \frac{c^2 - s^2}{\rho_0^2} [(w_n - w_{n-1})(u_n - u_{n-1})
$$
  
+ 
$$
(w_{n+1} - w_n)(u_n - u_{n+1})] + \cdots
$$
 (6)

<span id="page-1-1"></span>The equations of motion for the *n*th particle are

$$
m\ddot{u}_n = -\frac{\partial H}{\partial u_n}, \quad m\ddot{w}_n = -\frac{\partial H}{\partial w_n}.
$$
 (7)

TABLE I. Values of  $\Omega^2$ ,  $\nu$ ,  $\mu$  for some particular *k*.

<span id="page-2-0"></span>

k	$\Omega^2$	ν	μ
$\theta$	$4s^2C_1$		$C_1[\cos(\theta_0)+4c^2\delta]$
$\pi$	$4C_1(c^2+4s^2\delta)$	$\theta$	$-C_1[\cos(\theta_0)c^2+20c^2s^2\delta]$
$\pi/2$	$2C_1$	$2C_1 \cos(\theta_0)$	$(c^2+4s^2\delta)$ $4C_1C_2[\sin^2(\theta_0)/C1-2C_2]$

#### **IV. DISPERSION RELATIONS**

The linear dispersion curves have the well known form (see, e.g., Ref. [26](#page-13-3)):

$$
\omega^{2}(k) = f(k) = \omega_{0}^{2}(k) \pm \sqrt{\omega_{0}^{4}(k) - \omega_{1}^{4}(k)},
$$
 (8)

where

$$
\omega_0^2(k) = C_1(1 - \cos \theta_0 \cos k) + 2C_2(1 - \cos k)
$$
  
×(1 + cos k cos  $\theta_0$ ),  

$$
\omega_1^4(k) = 8C_1C_2(1 - \cos k)\sin^2 k.
$$
 (9)

Here  $C_1 = k_1 / m$ ,  $C_2 = k_2 / m \rho_0^2$ ,  $k = \tilde{k} l_z$ , where  $\tilde{k}$  is the wave number. The "minus" and "plus" signs correspond to the acoustic and optical branches, respectively. We will consider further the optical branch only.

The asymptotic representation of the linear frequencies in the vicinity of an arbitrary wave number  $k^*$  can be presented as follows:



$$
\omega^{2}(k) = \Omega^{2} + \nu(k - k^{*}) + \mu(k - k^{*})^{2} + \cdots = f(k), \quad (10)
$$

with

$$
\Omega^2 = 2C_1[1 - \cos(\theta_0)\cos(k^\star)] - \frac{4C_2\cos^2(k^\star)\sin^2(\theta_0)}{1 - \cos(\theta_0)\cos(k^\star)}
$$
  
×[1 - cos(k^\star)],

$$
\nu = 2C_1 \sin(k^*) \cos(\theta_0) + \frac{2C_2 \sin(2k^*) \sin^2(\theta_0)}{[1 - \cos(\theta_0) \cos(k^*)]^2}
$$
  
×{[1 - \cos(k^\*)][2\cos(k^\*) - 1] + \cos(\theta\_0) \cos(k^\*) - 1},

$$
\mu = C_1 \cos(\theta_0) \cos(k^*) + \frac{C_2 \sin^2(\theta_0)}{[\cos(\theta_0) \cos(k^*) - 1]^3}
$$
  
×{-4 + 12 cos(k^\*) + 4 cos<sup>2</sup>(k^\*)[2 - 3 cos(\theta\_0)]  
- 2 cos<sup>3</sup>(k^\*)[-2 cos<sup>2</sup>(\theta\_0) + 3 cos(\theta\_0) + 9] + 2 cos<sup>4</sup>(k^\*)  
×cos(\theta\_0)[cos(\theta\_0) + 11] - 8 cos<sup>5</sup>(k^\*)cos<sup>2</sup>(\theta\_0)]. (11)

Parameters  $\omega^2$ ,  $\nu$ , and  $\mu$  for several values of *k* are presented in Table [I.](#page-2-0) Analysis of dispersion curves in application to realistic values of chain parameters reveals two types of be-havior (Fig. [1](#page-2-1)). Let us introduce  $C_2 = \delta C_1$ . The curvature  $H$ of optical dispersion curves is  $\delta = 0.019$ ,  $\mathcal{H}(0) < 0$ ,  $\mathcal{H}(\pi)$  $> 0$ ,  $\mathcal{H}(\pi/2) < 0$ ;  $\delta = 0.078$ ,  $\mathcal{H}(0) < 0$ ,  $\mathcal{H}(\pi) < 0$ ,  $\mathcal{H}(\pi/2)$  $> 0$ . We can see that the curvature is negative at  $k \approx 0$  in both cases. At  $k \approx \pi$ , and  $k \approx \pi/2$  the curvature could be either positive or negative depending on  $\delta$ .

FIG. 1. (Color online) Dispersion curves: optic (a), (b) and acoustic (c), (d) branches for  $\delta$  $= 0.019$  (a), (c) and for  $\delta = 0.078$  (b), (d) (parameter  $C_2 = \delta C_1$ ). Thick part of the dispersion curves correspond to possible existence of the breathers.

<span id="page-2-1"></span>

014305-3

We can see that for  $k \approx 0$  the curvature is negative in both cases. For  $k \approx \pi$ ,  $k \approx \pi/2$  it could be both positive or negative depending on the magnitude of  $\delta$ . The sign of the curvature determines the sign of the second spatial derivative in the final continuum equations of motion. Let us define  $k_0$  $\in$  ]0,  $\pi$ [ so that the corresponding coefficient  $\nu$  equals zero:  $f'(k_0) = 0$  ( $k_0$  is defined only for  $\delta = 0.078$  because for  $\delta$  $=0.019$  parameter  $\nu$  equals to zero only for the boundary values of the wave number).

### **V. INTRODUCTION OF MODULATING FUNCTIONS**

To extend the normal modes based analysis on the nonlinear case let us introduce the slow modulating functions. We use the denotations  $u_n = U$ ,  $w_n = W$ ,  $u_{n+1} = \tilde{U}$ ,  $w_{n+1} = \tilde{W}$  and consider the expansions in the vicinity of an arbitrary *n*th particle via modulating functions  $U, \tilde{U}, W, \tilde{W}$ :

<span id="page-3-0"></span>
$$
u_{n+m} = \cos(mk) \left[ U + m\epsilon \frac{\partial U}{\partial \xi} + \frac{1}{2} m^2 \epsilon^2 \frac{\partial^2 U}{\partial \xi^2} \right] + \sin(mk) \left[ \tilde{U} + m\epsilon \frac{\partial \tilde{U}}{\partial \xi} + \frac{1}{2} m^2 \epsilon^2 \frac{\partial^2 \tilde{U}}{\partial \xi^2} \right] + \cdots,
$$
(12)

$$
w_{n+m} = \cos(mk) \left[ W + m\epsilon \frac{\partial W}{\partial \xi} + \frac{1}{2} m^2 \epsilon^2 \frac{\partial^2 W}{\partial \xi^2} \right] + \sin(mk) \left[ \tilde{W} + m\epsilon \frac{\partial \tilde{W}}{\partial \xi} + \frac{1}{2} m^2 \epsilon^2 \frac{\partial^2 \tilde{W}}{\partial \xi^2} \right] + \cdots,
$$
(13)

where small parameter  $\epsilon$  characterizes the distance between particles in the units  $\xi = \varepsilon^{-1}z/l_z$ , and *z* is dimensional distance,  $m=1,2,...$ .

In the same way we consider the expansions in the vicinity of the  $(n+1)$ -th particle

$$
u_{n+1+m} = \sin(-mk) \left[ U + m\epsilon \frac{\partial U}{\partial \xi} + \frac{1}{2} m^2 \epsilon^2 \frac{\partial^2 U}{\partial \xi^2} \right] + \cos(mk) \left[ \tilde{U} + m\epsilon \frac{\partial \tilde{U}}{\partial \xi} + \frac{1}{2} m^2 \epsilon^2 \frac{\partial^2 \tilde{U}}{\partial \xi^2} \right] + \cdots,
$$
(14)

<span id="page-3-1"></span>
$$
w_{n+1+m} = \sin(-mk) \left[ W + m\epsilon \frac{\partial W}{\partial \xi} + \frac{1}{2} m^2 \epsilon^2 \frac{\partial^2 W}{\partial \xi^2} \right] + \cos(mk) \left[ \widetilde{W} + m\epsilon \frac{\partial \widetilde{W}}{\partial \xi} + \frac{1}{2} m^2 \epsilon^2 \frac{\partial^2 \widetilde{W}}{\partial \xi^2} \right] + \cdots
$$
(15)

Expansions should be considered in the vicinities of two particles because of double degeneracy of linear normal modes, except for those at the boundary of the first Brilluoin zone. By substituting the modulating functions in linearized equa-tions ([7](#page-1-1)) for  $u_n$ ,  $u_{n+1}$ ,  $w_n$ ,  $w_{n+1}$  we obtain four partial differential equations that take into account linear parts of the equations for normal modes modulations. These equations have to be in accordance with foregoing dispersion relations. In the particular case  $k = \pi/2$  the linearized equations are

$$
\frac{\partial^2 U}{\partial t^2} - 2(C_1 c^2 + 4C_2 s^2) \epsilon \frac{\partial \tilde{U}}{\partial \xi} - 4c s C_2 \epsilon \frac{\partial W}{\partial \xi} - 4C_2 s^2 \epsilon^2 \frac{\partial^2 U}{\partial \xi^2} + s c (2C_2 + C_1) \epsilon^2 \frac{\partial^2 \tilde{W}}{\partial \xi^2} + 2(c^2 C_1 + 2s^2 C_2) U + 2s c (2C_2 - C_1) \tilde{W} = 0,
$$
\n(16)

$$
\frac{\partial^2 W}{\partial t^2} - 2C_1 s^2 \epsilon \frac{\partial \tilde{W}}{\partial \xi} + 4c s C_2 \epsilon \frac{\partial U}{\partial \xi} + 4C_2 c^2 \epsilon^2 \frac{\partial^2 W}{\partial \xi^2} \n+ s c (2C_2 - C_1) \epsilon^2 \frac{\partial^2 \tilde{U}}{\partial \xi^2} + 2(s^2 C_1 + 2c^2 C_2) W \n+ 2s c (2C_2 - C_1) \tilde{U} = 0,
$$
\n(17)

$$
\frac{\partial^2 \tilde{U}}{\partial t^2} + 2(C_1c^2 + 4C_2s^2)\epsilon \frac{\partial U}{\partial \xi} + 4scC_2\epsilon \frac{\partial \tilde{W}}{\partial \xi} - 4C_2s^2\epsilon^2 \frac{\partial^2 \tilde{U}}{\partial \xi^2} + sc(2C_2 - C_1)\epsilon^2 \frac{\partial^2 W}{\partial \xi^2} + 2(c^2C_1 + 2s^2C_2)\tilde{U} + 2sc(2C_2 - C_1)W = 0,
$$
\n(18)

$$
\frac{\partial^2 \widetilde{W}}{\partial t^2} + 2C_1 s^2 \epsilon \frac{\partial W}{\partial \xi} - 4cs C_2 \epsilon \frac{\partial \widetilde{U}}{\partial \xi} + 4C_2 c^2 \epsilon^2 \frac{\partial^2 \widetilde{W}}{\partial \xi^2} \n+ sc(2C_2 - C_1) \epsilon^2 \frac{\partial^2 U}{\partial \xi^2} + 2(s^2 C_1 + 2c^2 C_2) \widetilde{W} \n+ 2sc(2C_2 - C_1) U = 0.
$$
\n(19)

Substitution of Eqs.  $(12)$  $(12)$  $(12)$ – $(15)$  $(15)$  $(15)$ , into nonlinear equations  $(7)$  $(7)$  $(7)$ leads to four nonlinear partial differential equations (NPDEs) for the functions *W*,  $\tilde{W}$ , *U*,  $\tilde{U}$ . To reduce the order of this system, one can express the functions  $U, \tilde{U}$  via  $W, \tilde{W}$  (see the Appendix). At this step, one can notice that for  $k = \pi/2$  or  $k = k_0$  the displacements  $u_n$  and  $w_n$  have the same order: we have strongly coupled longitudinal and transversal motions. Measuring the length in terms of  $l_z$  we have  $k \sim \pi/2$ :  $u_n$  $\sim w_n$ . For the case of strong coupling, the relations can be written as

<span id="page-3-2"></span>
$$
U = A_1 W + A_2 \widetilde{W} + \mathcal{F}_{12}(W, \widetilde{W}) + \mathcal{F}_{13}(W, \widetilde{W}) + A_3 \epsilon \frac{\partial W}{\partial \xi}
$$
  
+ 
$$
A_4 \epsilon \frac{\partial \widetilde{W}}{\partial \xi} + A_5 \epsilon^2 \frac{\partial^2 W}{\partial \xi^2} + A_6 \epsilon^2 \frac{\partial^2 \widetilde{W}}{\partial \xi^2} + \cdots,
$$
 (20)

<span id="page-4-3"></span>
$$
\widetilde{U} = B_1 W + B_2 \widetilde{W} + \mathcal{F}_{22}(W, \widetilde{W}) + \mathcal{F}_{23}(W, \widetilde{W}) + B_3 \epsilon \frac{\partial W}{\partial \xi}
$$

$$
+ B_3 \epsilon \frac{\partial \widetilde{W}}{\partial \xi} + B_3 \epsilon^2 \frac{\partial^2 W}{\partial \xi} + B_4 \epsilon^2 \frac{\partial^2 \widetilde{W}}{\partial \xi} + \dots
$$
 (2)

$$
+B_4 \epsilon \frac{\partial \mu}{\partial \xi} + B_5 \epsilon^2 \frac{\partial \mu}{\partial \xi^2} + B_6 \epsilon^2 \frac{\partial \mu}{\partial \xi^2} + \cdots, \tag{21}
$$

with  $\mathcal{F}_{ij}$ ,  $(i=1,2)$  of degree *j* in *W*,  $\widetilde{W}$ ;  $A_k$ ,  $B_k$   $(k=1,\ldots,6)$ are given constants. In Eqs.  $(20)$  $(20)$  $(20)$  and  $(21)$  $(21)$  $(21)$ , and in the following we denote by dots the terms which correspond to order higher than two by parameter  $\epsilon$  in final complex equations of motion  $(45)$  $(45)$  $(45)$  and  $(46)$  $(46)$  $(46)$ .

For example, if  $k = \pi/2$ , we have

$$
A_1 = 0
$$
,  $A_2 = -\frac{c}{s}$ ,  $\mathcal{F}_{12}(W, \widetilde{W}) = D(W^2 + \widetilde{W}^2)$ ,

$$
B_2 = 0, \quad B_1 = -\frac{c}{s}, \quad \mathcal{F}_{22}(W, \widetilde{W}) = -D(W^2 + \widetilde{W}^2),
$$

$$
D = \frac{3cq\rho_0C_1}{2s^4(C_1 - 2C_2)}.
$$
(22)

For  $k=0$  or  $k=\pi$ , degeneracy of order occurs: *u* or *w* displacements dominate. We have  $k \sim 0$ :  $u_n \sim k w_n \sim \varepsilon w_n$ . We suppose that  $k \sim \varepsilon \ll 1$ ,  $k \sim \pi$ :  $w_n \sim (\pi - k)u_n \sim \varepsilon u_n$ . Then the modulating functions introduced in the equations for *un* and  $w_n$  provide only two NPDEs. In these two particular cases, one needs only to consider the equation for  $u_n$  (or  $w_n$ , respectively). The relations connecting  $u_n$  and  $w_n$  are obtained in the form

$$
u_n = U = \varepsilon \left[ \frac{c}{s} \frac{\partial W}{\partial \xi} + \frac{c}{\rho_0 s^2} (2 - 3c^2 + 3s^2 \rho_0 q - 8 \delta s^2) W^2 \right] + \cdots
$$
\n(23)

<span id="page-4-0"></span>for  $k=0$  and

$$
w_n = W = -\frac{sc}{2} \frac{1 - 4\delta}{4\delta s^2 + c^2} \varepsilon \frac{\partial U}{\partial \xi} + \cdots
$$
 (24)

for  $k = \pi$ .

# **VI. NONLINEAR PARTIAL EQUATIONS**

Let us introduce the new non-dimensional time by relation  $\tau = \Omega t$ , where  $\Omega$  is the linear frequency for the normal mode under consideration. Substituting the expressions ([20](#page-3-2)) and  $(21)$  $(21)$  $(21)$  into equations for *W* and  $\tilde{W}$ , one can obtain the corresponding NPDE for modulating functions

<span id="page-4-1"></span>
$$
\frac{\partial^2 W}{\partial \tau^2} + \frac{f'(k)}{\Omega^2} \epsilon \frac{\partial \tilde{W}}{\partial \xi} - \frac{f''(k)}{\Omega^2} \epsilon^2 \frac{\partial^2 W}{\partial \xi^2} + W + \frac{1}{\Omega^2} [D_1 W^2 + D_2 W \tilde{W} + D_3 \tilde{W}^2 + D_4 W^3 + D_5 W^2 \tilde{W} + D_6 W \tilde{W}^2 + D_7 \tilde{W}^3] + \cdots = 0,
$$
\n(25)

<span id="page-4-4"></span>TABLE II. Values of constants  $D_j$ ,  $j=1, \ldots, 7$  for  $k=\pi/2$  and numerical values for  $k = k_0$ , corresponding to  $\varepsilon = 0.05$ .

	$k = \pi/2$	$k = k_0$
1	$(C_1-2C_2)Dcs/C_1$	2.25
$\mathcal{D}_{\mathcal{L}}$		$-5.74$
3	$(C_1 - 2C_2)Dcs/C_1$	2.57
4	$-D(3C_1qp_0+C_1-2C_2)/C_1$	1.89
5	$D(3C_1q\rho_0+C_1-2C_2)/C_1$	$-1.14$
6	$-D(3C_1q\rho_0+C_1-2C_2)/C_1$	2.42.
	$D(3C_1q\rho_0+C_1-2C_2)/C_1$	$-1.14$

<span id="page-4-5"></span>
$$
\frac{\partial^2 \widetilde{W}}{\partial \tau^2} - \frac{f'(k)}{\Omega^2} \epsilon \frac{\partial W}{\partial \xi} - \frac{f''(k)}{\Omega^2} \epsilon^2 \frac{\partial^2 \widetilde{W}}{\partial \xi^2} + \widetilde{W} + \frac{1}{\Omega^2} [-D_3 W^2 - D_2 W \widetilde{W} - D_1 \widetilde{W}^2 + D_7 W^3 + D_6 W^2 \widetilde{W} + D_5 W \widetilde{W}^2 + D_4 \widetilde{W}^3] + \cdots
$$
  
= 0, (26)

where  $D_j$ ,  $j=1,\ldots,7$  are constants depending on  $C_1$ ,  $C_2$ ,  $\rho_0$ ,  $\dot{q}$ ,  $\theta_0$  (see Table [II](#page-4-4)). Because of the complexity of general expressions they are given here only for  $k = \pi/2$ . The numerical values for  $k = k_0$  are given in the rescaled dimensionless form that corresponds to  $\varepsilon = 0.05$ .

Because of the degeneracy of either case  $k=0$  or  $k=\pi$ , the equation for  $w_n$  leads to

$$
\frac{\partial^2 \widetilde{W}}{\partial \tau^2} - \lambda_1 \varepsilon^2 \frac{\partial^2 \widetilde{W}}{\partial \xi^2} + \widetilde{W} + \alpha_2 \widetilde{W}^3 + \dots = 0,
$$
  

$$
\lambda_1 = \frac{C_1 \cos(\theta_0) + 4c^2 C_2}{4s^2 C_1},
$$
  

$$
\alpha_2 = \frac{1}{\rho_0^2} \left[ 2(5c^2 - 4)c^2/s^2 + \frac{14}{3}\rho_0^2 q^2 s^2 - 12\rho_0 q c^2 + 32\delta c^2 \right]
$$
(27)

<span id="page-4-2"></span>for  $k=0$  and

$$
\frac{\partial^2 \widetilde{U}}{\partial \tau^2} - \lambda_2 \epsilon^2 \frac{\partial^2 \widetilde{U}}{\partial \xi^2} + \widetilde{U} + \alpha_1 \widetilde{U}^2 + \alpha_2 \widetilde{U}^3 + \cdots = 0,
$$

$$
\lambda_2 = -\frac{C_1 \cos(\theta_0) c^2 + 20 c^2 s^2 C_2}{4(c^2 + 4s^2 \delta)^2},
$$

$$
\alpha_1 = \frac{3}{c} \frac{c^2 (s^2 - c^2 q \rho_0) + 4s^2 (1 - 4c^2) \delta}{c^2 + 4s^2 \delta},
$$

$$
\alpha_2 = -\frac{2[3c^2s^2(5c^2 - 1) + 18c^4s^2q\rho_0 - 7c^6q^2\rho_0^2 - 12\delta s^2(48c^4 - 24c^2 + 1)]}{3c^2(c^2 + 4s^2\delta)},
$$
\n(28)

for  $k = \pi$ .

In the last NPDEs the nonlinear terms that include spatial derivatives can be neglected because of their higher orders in  $\epsilon$ . All NPDEs have been given in dimensional form. They could be rescaled using characteristic physical values of different variables, e.g., changing U,  $\tilde{U}$ , W,  $\tilde{W}$  by  $\varepsilon \rho_0 U$ ,  $\varepsilon \rho_0 \tilde{U}$ ,  $\epsilon \rho_0 W$ ,  $\epsilon \rho_0 \tilde{W}$ . This procedure will be presented below for numerical applications in the cases  $k \approx 0$ ,  $k \approx \pi$ , and  $k = k_0$ . Such rescaling makes the nonlinear terms and terms containing second spatial derivatives comparable.

### **VII. TRANSITION TO COMPLEX VARIABLES**

Equation  $(28)$  $(28)$  $(28)$  can be rewritten as a first order in time PDE using the following substitution:

$$
k \sim 0, \quad \Psi(\xi, \tau) = (W' + iW), \quad \Psi^*(\xi, \tau) = (W' - iW),
$$
  
\n
$$
k \sim \pi, \quad \Psi(\xi, \tau) = (U' + iU), \quad \Psi^*(\xi, \tau) = (U' - iU),
$$
  
\n
$$
k \sim \pi/2 \text{ or } k_0,
$$
  
\n
$$
\begin{cases}\n\Psi_1(\xi, \tau) = (W' + iW), & \Psi_1^*(\xi, \tau) = (W' - iW), \\
\Psi_2(\xi, \tau) = (\widetilde{W}' + i\widetilde{W}), & \Psi_2^*(\xi, \tau) = (\widetilde{W}' - i\widetilde{W}).\n\end{cases}
$$
\n(29)

<span id="page-5-0"></span>We obtain two NPDEs  $(k=0)$ 

$$
-i\frac{\partial \Psi}{\partial \tau} = \Psi - \frac{\lambda_1}{2} \epsilon^2 \frac{\partial^2}{\partial \xi^2} (\Psi - \Psi^{\star}) - \frac{\alpha_2}{8} (\Psi - \Psi^{\star})^3 + \cdots,
$$

 $conjugate equation,$   $(30)$ 

<span id="page-5-1"></span>and  $k = \pi$ 

$$
-i\frac{\partial \Psi}{\partial \tau} = \Psi - \frac{\lambda_2}{2} \epsilon^2 \frac{\partial^2}{\partial \xi^2} (\Psi - \Psi^{\star}) - \frac{i\alpha_1}{4} (\Psi - \Psi^{\star})^2
$$

$$
- \frac{\alpha_2}{8} (\Psi - \Psi^{\star})^3 + \cdots
$$

conjugate equation.  $(31)$ 

In general case one can obtain four NPDEs, e.g., for *k*  $=\pi/2$  their linear part is

$$
-i\frac{\partial \Psi_1}{\partial \tau} = \Psi_1 + \frac{f'(k)}{\Omega^2} \epsilon \frac{\partial^2}{\partial \xi^2} (\Psi_2 - \Psi_2^{\star}) - \frac{f''(k)}{\Omega^2} \epsilon^2 \frac{\partial^2}{\partial \xi^2} (\Psi_1 - \Psi_1^{\star}),
$$

conjugate equation,

$$
-i\frac{\partial \Psi_2}{\partial \tau} = \Psi_2 - \frac{f'(k)}{\Omega^2} \epsilon \frac{\partial^2}{\partial \xi^2} (\Psi_1 - \Psi_1^{\star}) - \frac{f''(k)}{\Omega^2} \epsilon^2 \frac{\partial^2}{\partial \xi^2} (\Psi_2 - \Psi_2^{\star}),
$$

conjugate equation.  $(32)$ 

Let us introduce the change of variables

$$
\tau_0 = \tau, \quad \tau_1 = \varepsilon \tau_0, \quad \tau_2 = \varepsilon^2 \tau_0, \dots \tag{33}
$$

Setting  $\psi = \phi e^{i\tau}$  (for  $k=0,\pi$ ) and  $\psi_j = \phi_j e^{i\tau}$ ,  $j=1,2$  (for *k*)  $=$   $\pi/2$ ) we further use the series

$$
\phi = \varepsilon (\phi_0 + \varepsilon \phi_1 + \varepsilon^2 \phi_2 + \cdots)
$$
 (34)

and, respectively,

$$
\phi_j = \varepsilon (\phi_{j0} + \varepsilon \phi_{j1} + \varepsilon^2 \phi_{j2} + \cdots). \tag{35}
$$

For the terms at  $\varepsilon^0$  we have

$$
k = 0: \ -i\frac{\partial \phi_0}{\partial \tau_0} = 0,\tag{36}
$$

$$
k = \pi: \ -i\frac{\partial \phi_0}{\partial \tau_0} = 0,\tag{37}
$$

$$
k = k_0: \ -i\frac{\partial \phi_{10}}{\partial \tau_0} = 0, \ -i\frac{\partial \phi_{20}}{\partial \tau_0} = 0.
$$
 (38)

This means that for  $k=0$ ,  $\pi$ ,  $\phi_0 = \phi_0(\xi, \tau_1, \tau_2)$  and for  $k=k_0$ ,  $\phi_{1,0} = \phi_{1,0}(\xi, \tau_1, \tau_2), \ \phi_{2,0} = \phi_{2,0}(\xi, \tau_1, \tau_2).$  In the case  $k = \pi/2$ the presence of first space derivatives leads to a different problem.

The terms at  $\varepsilon^1$  are as follows:

$$
k = 0, \pi: \frac{\partial \phi_1}{\partial \tau_0} + \frac{\partial \phi_0}{\partial \tau_1} = 0,
$$
\n(39)

$$
k = k_0: \frac{\partial \phi_{1,1}}{\partial \tau_0} + \frac{\partial \phi_{1,0}}{\partial \tau_1} = 0,
$$
 (40)

$$
\frac{\partial \phi_{2,1}}{\partial \tau_0} + \frac{\partial \phi_{2,0}}{\partial \tau_1} = 0.
$$
 (41)

Conditions of resonances absence lead to equations:

TABLE III. Values of  $\alpha$ ,  $\beta$  versus  $\delta$  for  $k \sim 0$  or  $\pi$ .

<span id="page-5-2"></span>

k	δ	$\alpha$	β
0	0.019	0.053	$-0.07$
0	0.078	0.054	$-0.05$
$\pi$	0.019	0.035	0.021
$\pi$	0.078	0.033	$-0.23$

$$
k = 0, \pi: \frac{\partial \phi_0}{\partial \tau_1} = 0,\tag{42}
$$

$$
k = k_0: \frac{\partial \phi_{1,0}}{\partial \tau_1} = 0, \quad \frac{\phi_{2,0}}{\partial \tau_1} = 0.
$$
 (43)

Therefore  $\phi_0 = \phi_0(\xi, \tau_2, \tau_3, ...)$  for  $k=0, \pi$  as in the case *k*  $=k_0$ , we can write that  $\phi_{j,0} = \phi_{j,0}(\xi, \tau_2, \ldots), j = 1, 2$ .

For the terms at  $\varepsilon^2$ , conditions of resonances absence lead in the main approximation to the final complex equations

$$
k = 0, \pi: -i\frac{\partial \phi_0}{\partial \tau_2} + \beta \frac{\partial^2 \phi_0}{\partial \xi^2} + \alpha |\phi_0|^2 \phi_0 = 0,
$$
  

$$
k = 0: \ \alpha = -\frac{3\alpha_2}{8}, \quad \beta = \lambda_1/2,
$$

$$
k = \pi
$$
:  $\alpha = -\frac{3\alpha_2}{8} + \frac{5\alpha_1^2}{12}$ ,  $\beta = \lambda_2/2$ . (44)

These are the nonlinear Schrödinger equations (NSEs) for  $k=0$  and  $k=\pi$ , respectively.

For  $k = k_0$  the resonant equations have the general form

<span id="page-6-0"></span>
$$
-i\frac{\partial\phi_{1,0}}{\partial\tau_2} - \frac{\lambda_2}{2}\frac{\partial^2\phi_{10}}{\partial\xi^2} + P_1|\phi_{10}|^2\phi_{10} + P_2|\phi_{10}|^2\phi_{20}
$$
  
+  $P_5|\phi_{20}|^2\phi_{10} + P_6|\phi_{20}|^2\phi_{20} + P_4\phi_{10}^2\overline{\phi_{20}} + P_5\phi_{20}^2\overline{\phi_{10}} = 0,$  (45)

<span id="page-6-1"></span>
$$
-i\frac{\partial\phi_{2,0}}{\partial\tau_2} - \frac{\lambda_2}{2}\frac{\partial^2\phi_{20}}{\partial\xi^2} + P_1|\phi_{20}|^2\phi_{20} + P_2|\phi_{20}|^2\phi_{10}
$$
  
+  $P_5|\phi_{10}|^2\phi_{20} + P_6|\phi_{10}|^2\phi_{10} + P_4\phi_{20}^2\overline{\phi_{10}} + P_5\phi_{10}^2\overline{\phi_{20}} = 0,$  (46)

where  $P_i$   $(i=1,6)$  are the constants derived from NPDEs  $(25)$  $(25)$  $(25)$ and  $(26)$  $(26)$  $(26)$ :

$$
P_1 = \frac{3}{8}D_4 - \frac{5}{12}D_1^2 + \frac{5}{24}D_2D_3,
$$
  
\n
$$
P_2 = \frac{1}{8}D_5 + \frac{1}{2}D_3^2 - \frac{5}{12}D_1D_2 + \frac{1}{12}D_2^2,
$$
  
\n
$$
P_3 = -\frac{1}{12}D_3^2 - \frac{5}{24}D_1D_2 + \frac{1}{8}D_2^2 + \frac{1}{8}D_5,
$$
  
\n
$$
P_4 = -\frac{1}{24}D_1D_2 - \frac{1}{8}D_2^2 + \frac{1}{12}D_1D_3 + \frac{1}{4}D_2D_3 + \frac{1}{8}D_6,
$$
  
\n
$$
P_5 = \frac{3}{8}D_7 - \frac{5}{24}D_2D_3 + \frac{5}{12}D_1D_3,
$$
  
\n
$$
P_6 = -\frac{1}{2}D_1D_3 - \frac{1}{12}D_2^2 + \frac{1}{4}D_6 + \frac{1}{6}D_2D_3 + \frac{1}{4}D_1D_2.
$$

TABLE IV. Values of  $\alpha$ ,  $\beta$  versus  $\delta$  for  $k \sim k_0$ ,  $\gamma = \pm 1$ .

<span id="page-6-2"></span>

$\gamma$	δ	$\alpha$	15
$+1$	0.078	$-0.010$	0.28
$-1$	0.078	2.47	0.28

#### **VIII. SOLITON-LIKE SOLUTIONS**

It is known that the type of solitonlike (breather) solutions depends strongly on the signs of constants  $\alpha$  and  $\beta$  in the NSE. When these signs are the same, the NSE admits the bright solitons. In the opposite case, the NSE admits "dark" solitons. From this point of view there is a significant difference between two systems of zigzag parameters introduced above. In the case  $k \sim 0$  and both values of  $\delta$ , parameters  $\alpha$ and  $\beta$  are both negative (see Table [III](#page-5-2)).

For the case  $(k \sim \pi, \ \delta = 0.019)$  the dispersion curve has a form similar to Fig. [1](#page-2-1)(a). In such a case both  $\alpha$  and  $\beta$  are positive. Then one can obtain the envelope solitons (or breathers) as particular solutions:

$$
\phi_0(\xi, \tau_2) = (2A/\alpha_s)^{1/2} \exp(i\upsilon\xi/2\sqrt{\beta_s} + i\omega\tau_2)
$$
  
× sech[ $A^{1/2}(\xi/\sqrt{\beta_s} + \upsilon\tau_2)$ ], (47)

where  $\omega = v^2/4 - A$ .

<span id="page-6-3"></span>

FIG. 2. (Color online) Optical breather in PE chain (parameter  $\gamma$ =529 kJ/mol). The distribution along the chain of the energy  $E_n$ (a), longitudinal  $w_{n+1} - w_n$  (b), and transversal  $v_{n+1} - v_n$  (c) relative displacements of the chain segments is shown [breather energy *E* =56.06 kJ/mol, frequency =926 cm−1, velocity *s*=0.15  $(1146 \text{ m/s}).$ 

<span id="page-7-2"></span>

FIG. 3. Periodic change of transversal *un* and relative longitudinal displacements of zigzag  $w_{n+1} - w_n$  in the localization region of optic breathers under parameters ([50](#page-7-0)),  $N=200$ . Frequency of the breather  $\omega$ =820.5 cm<sup>-1</sup> is slightly lower than gap frequency.

For the second system  $(k \sim \pi, \ \delta = 0.078)$  the dispersion curve has a form similar to Fig.  $1(b)$  $1(b)$  (optical branch), and dark solitons exist.

In the case  $k \sim k_0$  either breather or dark soliton can exist in the vicinity of the minimal frequency. This fact has been confirmed by computer simulation (see Fig. [4](#page-8-0)). Looking for particular solutions of the form  $\phi_{2,0} = \gamma \phi_{10}$ , we obtain only two possible values for  $\gamma$ :  $\gamma = \pm 1$ . Then two coupled complex NPDEs  $(45)$  $(45)$  $(45)$  and  $(46)$  $(46)$  $(46)$  can be reduced to a single Schrödinger equation

$$
-i\frac{\partial \phi_{1,0}}{\partial \tau_2} + \beta \frac{\partial^2 \phi_{10}}{\partial \xi^2} + \alpha |\phi_{10}|^2 \phi_{10} = 0, \qquad (48)
$$

with  $\beta = -\lambda_2 / 2$ ,  $\alpha = P_1 + P_4 + P_6 + \gamma (P_2 + P_3 + P_5)$ . The values are given in Table [IV.](#page-6-2) The breathers exist at  $\gamma=1$  and dark solitons exist at  $\gamma=-1$ .

# **IX. NUMERICAL SIMULATIONS**

In the analytical study we have made several assumptions, and now we should prove their validity. In order to do this we undertook a numerical analysis of the breathers' existence. We also determined the breathers' stability under conditions of free motion, collisions, and thermal perturbations.

For numerical modeling of breathers and their dynamics we consider the following system of equations, which corresponds to Hamilton function  $(3)$  $(3)$  $(3)$ :

$$
m\ddot{u}_n = -\frac{\partial H}{\partial u_n}, \quad m\ddot{v}_n = -\frac{\partial H}{\partial v_n}, \quad m\ddot{w}_n = -\frac{\partial H}{\partial w_n} \qquad (49)
$$

<span id="page-7-1"></span>for  $n=1,2,...,N$ .

We use initial conditions in agreement with approximate analytical solution. Because of some difference with the exact solution, a phonon radiation will appear. For its absorption we introduced the viscous friction at the end of the chain. As it was mentioned above, we deal with two systems of parameters for the PE crystal. One of them  $is<sup>21</sup>$ 

<span id="page-7-0"></span>
$$
m = 14m_p
$$
,  $p_1 = 8.37$  kJ/mol,  $p_2 = 1.675$  kJ/mol,

$$
p_3 = 6.695
$$
 kJ/mol,  $\Lambda = 130.122$  kJ/mol,

<span id="page-8-0"></span>

FIG. 4. Periodic change of transversal *un* and relative longitudinal displacements of zigzag chain  $w_{n+1} - w_n$  in the localization region of optic breathers under parameter  $(51)$  $(51)$  $(51)$ ,  $N=500$ . Frequency of breather  $\omega$ =928.4 cm<sup>-1</sup> is slightly lower than frequency corresponding to low boundary of gap.

$$
\theta_0 = 113^\circ
$$
,  $D = 334.72$  kJ/mol,

$$
q = 1.91 \, \text{\AA}^{-1}, \quad \rho_0 = 1.53 \, \text{\AA}, \tag{50}
$$

where  $m_p$  is proton mass. Second system of parameters which is used in Ref. [22](#page-13-4) differs with higher value of parameter

$$
\Lambda = 529 \text{ kJ/mol}.\tag{51}
$$

<span id="page-8-1"></span>For the first system of parameters a geometric nonlinearity plays a crucial role in dynamics of the PE chain. In the second case a physical nonlinearity becomes more essential. Moreover, the dispersion curves for these two cases are different. Likewise, the optical breathers also have different forms and different existence regions in the parametric space (Fig. [1](#page-2-1)). Therefore, we have to study breathers properties for both systems of parameters.

Numerical integration of equations of motion ([49](#page-7-1)) has confirmed that in accordance with analytical study the optical breathers exist near low boundaries of the optical pho-

nons frequencies for both systems of parameters ([50](#page-7-0)) and  $(51)$  $(51)$  $(51)$ . Typical distribution of relative displacements in the localization region of planar breather is presented in Fig. [2.](#page-6-3) Characteristics of the breathers for two cases are essentially different (see Figs.  $3$  and  $4$ ). In the localization regions of the breathers a local change of valence angles is accompanied by a local extension of zigzag (average values of relative longitudinal displacements  $w_{n+1} - w_n$  are positive).

<span id="page-8-2"></span>To consider an interaction of breathers with thermal vibrations of the chain,  $N_0$  segments of the chain were inserted from every side into thermal bath with temperature *T*. Then the Langevin equations of motion are

$$
m\ddot{u}_n = -\frac{\partial H}{\partial u_n} + \xi_n - \Gamma_n m \dot{u}_n,
$$

$$
m\ddot{v}_n = -\frac{\partial H}{\partial v_n} + \eta_n - \Gamma_n m \dot{u}_n,
$$

<span id="page-9-0"></span>

FIG. 5. (Color online) Decreasing the breather energy  $E$  in thermalized chain with  $\Lambda = 130.1 \text{ kJ/mol}$ ,  $\omega = 820.5 \text{ cm}^{-1}$  (a) and  $\Lambda$ =529 kJ/mol, =928 cm−1 b- under temperature *T*=1,2,3,5,10, 20 K (curves 1, 2, ..., 6). Solid lines determine temporal dependencies of breather energy for concrete realization of chain thermalization, dotted lines show the corresponding exponential law  $E(t)$  $=E(0)exp(-\alpha t)$ .

$$
m\ddot{w}_n = -\frac{\partial H}{\partial w_n} + \zeta_n - \Gamma_n m \dot{u}_n, \qquad (52)
$$

where *H* is the Hamiltonian function ([3](#page-1-2)),  $\xi_n$ ,  $\eta_n$ ,  $\zeta_n$  are random normally distributed forces that describe the interaction of *n*th molecule with a thermal bath, coefficient of friction  $\Gamma_n$ and forces  $\xi_n$ ,  $\eta_n$ ,  $\zeta_n$  equal to zero for  $N_0 \le n \le N - N_0$ , and  $\Gamma_n = \Gamma$  for  $n \leq N_0$  and  $N - N_0 \leq n \leq N$ .

Coefficient of friction is  $\Gamma = 1/t_r$ , where  $t_r$  is the relaxation time of the velocity of the molecule. The random forces  $\xi_n$ ,  $\eta_n$ ,  $\zeta_n$  have correlation functions

$$
\langle \xi_n(t_1) \xi_l(t_2) \rangle = \langle \eta_n(t_1) \eta_l(t_2) \rangle = \langle \zeta_n(t_1) \zeta_l(t_2) \rangle
$$
  
=  $2m \Gamma k_B T \delta_{nl} \delta(t_1 - t_2),$   

$$
\langle \xi_n(t_1) \eta_l(t_2) \rangle = \langle \xi_n(t_1) \zeta_l(t_2) \rangle = \langle \eta_n(t_1) \zeta_l(t_2) \rangle = 0,
$$
  
 $1 \le n, l \le N_0, \quad N - N_0 < n, l \le N,$ 

where  $k_B$  is the Boltzmann constant and *T* is temperature of the heat bath.

Equations  $(52)$  $(52)$  $(52)$  were integrated numerically by the standard forth-order Runge-Kutta method with a constant step of integration  $\Delta t$ . The  $\delta$  function was represented numerically as  $\delta(t) = 0$  for  $|t| > \Delta t/2$  and  $\delta(t) = 1/\Delta t$  for  $|t| \leq \Delta t/2$ , i.e.,

<span id="page-9-1"></span>TABLE V. Dependence of breathers time of life  $t_{\alpha}$  on the chain temperature *T* (frequency  $\omega = 820.5$  cm<sup>-1</sup>, parameter  $\Lambda$  $=130.122$  kJ/mol and  $\omega = 928.4$  cm<sup>-1</sup>,  $\Lambda = 529$  kJ/mol).

$\Lambda$ (kJ/mol) $T$ (K)		$1 \quad 2$	3	$\overline{5}$	10	20
130.122 529	$t_{\alpha}$ (ps) $t_{\alpha}$ (ps)	1052 625 386 228 121	180 94 68	46	33	- 22 68

the step of numerical integration corresponded to the correlation time of the random force. In order to use the Langevin equation it is necessary that  $\Delta t \ll t_r$ . Therefore, we chose  $\Delta t = 0.001$  ps and relaxation time  $t_r = 0.1$  ps.

To avoid the friction coefficient to affect the behavior of the breather, the latter one was isolated from the heat bath by

<span id="page-9-2"></span>

FIG. 6. Collision of supersonic acoustic soliton with stationary optic breather  $(\omega = 820.5 \text{ cm}^{-1})$  (a), collision of the moving breather (velocity  $s = 0.106s_0$ ) with the stationary one (b), and elastic collision of two moving breathers (c). The temporal dependence of energy distribution  $E_n$  along the chain is shown. Parameters  $(50)$  $(50)$  $(50)$  has been used.

<span id="page-10-0"></span>

FIG. 7. Breaking of moving breather (velocity  $s = 0.106s_0$  in thermalized chain (temperature *T*  $=$  5 K). Temporal dependence of energy distribution along the chain  $E_n$  is shown. Parameters  $(50)$  $(50)$  $(50)$ has been used.

placing the stationary breather at the center of the chain with  $N_0$ =50. In this case the breather can interact only with thermal phonons arising at the ends of the chain, which are connected with heat bath. The numerical integration of the equations  $(52)$  $(52)$  $(52)$  has shown that the breathers in the thermalized chain have a finite lifetime (contrary to the isolated chain). However, the lifetime is large enough to provide a significant influence of the breathers on various physical processes.

To estimate the breather lifetime in thermalized chain let us consider the temporal dependence of the energy (Figs. [5](#page-9-0)) and [8](#page-11-0)). It is clear that the energy decreases exponentially,  $E(t) = E(0) \exp(-\alpha t)$ . One can determine the breather lifetime as half of breaking period  $t_{\alpha}$ =ln 2/ $\alpha$ b  $[E(t_{\alpha}) = E(0)/2]$ . The relationship of  $t_{\alpha}$  versus the chain temperature *T* is presented in Table [V.](#page-9-1) Hence the breather lifetime is proportional to its energy-temperature ratio.

In addition to the breathers considered above, supersonic acoustic solitons may also exist in the PE chain.<sup>19</sup> Therefore, it is advisable to study the solitons interaction with optic breathers. We will further consider the first system of parameters only. The acoustic solitons here are caused by a local longitudinal compression of the chain (properties of the acoustic soliton were presented in Ref. [19](#page-12-18)).

Collision of the acoustic soliton with the stationary breather is shown in Fig.  $6(a)$  $6(a)$ . It is clear that the considered interaction does not lead to a noticeable change of soliton energy, but the breather acquires a small momentum in the direction of the soliton motion. For instance, after collision with the soliton moving with velocity  $s=1.024s_0^{29}$  $s=1.024s_0^{29}$  $s=1.024s_0^{29}$  the breather with frequency  $\omega$ =820.5 cm<sup>-1</sup> starts to move with constant velocity  $s=0.0255s_0$ . After each new collision the breather velocity increases and attains the limit value *s*  $=0.106s_0$ .

The motion of the breather is accompanied by a phonon irradiation with low intensity. This leads to decreasing in breather energy. We have to note that propagating breather frequency exceeds slightly the low boundary of frequency spectrum. Therefore, we deal here with the breathers in the propagation zone of optical spectrum. However, the relaxation time in this case, as well as in the case when the breather lies between acoustic and optical branches, turns out to be large enough. Actually, let us consider the collision of propagating breather  $(\omega = 830 \text{ cm}^{-1})$  with the stationary one  $(\omega = 820 \text{ cm}^{-1})$  [see Fig. [6](#page-9-2)(b)] and the collision of two propagating breathers  $(\omega = 830 \text{ cm}^{-1})$  [see Fig. [6](#page-9-2)(c)]. One can see that the breathers which frequency lies in the propagation zone can move freely without any noticeable changes and can interact with similar or stationary ones as elastic particles—they exchange by the momentum without any energy decreasing.

In thermalized chain both propagating and stationary breathers have the relaxation time proportional to ratio of the energy and temperature and does not noticeably depend on the breather's velocity (Fig. [7](#page-10-0)). This time is large enough  $({\sim}10^3$  periods of optical vibrations) for breathers manifestation in different physical processes.

We have shown that the optical breathers can exist both in isolated and interacting chains. The characteristics of the breathers do not depend significantly on the intermolecular interactions. In this case we can use approximation of neighbor chains being at rest.

When we take into account the interchain interaction, three types of topological solitons with topological charges  $\mathbf{q} = (q_1, q_2)$  appear. Their properties are described in Ref. [23.](#page-13-8) The solutions of the first type have topological charge **q**  $=(\pm 1, 0)$  and describe a localized longitudinal extension/ compression of the chain by one step. The solutions of the second type have the charge  $q = (\pm 0.5, 0.5)$  and describe a longitudinal extension/compression of the chain by half step and a twist by 180°. The solutions of the third type have the charge  $q = (0, 1)$  and describe the twist of the chain by 360°. All these topological solutions have subsonic velocity spectra and can move without phonon irradiation.

We considered an interaction of stationary optical breather with frequency  $\omega$ =820.5 cm<sup>-1</sup> with moving topological solitons. As it is seen from Fig. [8](#page-11-0) such an interaction is the

<span id="page-11-0"></span>

FIG. 8. Passage of topological solitons (velocity  $s = 0.25s_0$ ) with charge  $q=(1,0)$  (a),  $q=(0.5,0.5)$  (b), and  $q=(0,1)$  (c) through static breather (frequency  $\omega = 820.5$  cm<sup>-1</sup>). Parameters ([50](#page-7-0)) have been used.

elastic one if the first component of topological charge *q*<sup>1</sup>  $\leq 0$ , i.e., if a there is no compression in the localization region. In the opposite case, when  $q_1 < 0$ , the interaction with topological soliton leads to breaking the breather—see Fig. [9.](#page-12-20) Moreover, the bound state of the breather and topological soliton  $(q_1 > 0)$  may be energetically favorable. Such a coupling increases the relaxation time for the breather in thermalized chain. It was shown recently $10,27$  $10,27$  that mutual interaction of the breathers with solitons of another type or phonons plays an important role in the heat conduction.

#### **X. CONCLUSION**

The optical breathers, which constitute the localized coupled longitudinal-transversal nonlinear excitations, can exist both in the attenuation and propagation bands of polyethylene crystal. We have obtained an approximate analytical solution for the breather using a model of isolated chain. We have numerically confirmed that taking interchain interactions into account does not lead to a significant change of the breather parameters. The reason is that the interchain interaction is somewhat weak compared to the intrachain one. We have demonstrated the breathers stability to mutual collisions. It has been shown that the presence of thermal excitations increases the breather relaxation time.

The interaction of the optical breathers with the supersonic and subsonic (topological) solitons can be either elastic or inelastic depending on the breather parameters. To the best of our knowledge, this paper presents the first analytical study of the breathers in the oscillatory chain which is different from a straight one. At the same time, we were first to investigate the mutual interactions of various types of solitons within a realistic model of the polymer chain. Both analytical and numerical results presented above provide a new insight into understanding and description of such physical processes as premelting and heat conduction in solids.

#### **ACKNOWLEDGMENTS**

The authors (L.I.M. and A.V.S.) thank the Region Rhone-Alpes and the Russian Foundation of Basic Research Grants No. 04-02-17306 and No. 04-03-32119), respectively, for financial support.

### **APPENDIX**

Four NPDEs with respect to modulating functions can be presented in operator form

$$
L(\mathbf{X}) = \mathbf{Y},\tag{A1}
$$

<span id="page-11-1"></span>where

$$
\mathbf{X} = \begin{bmatrix} U \\ \tilde{U} \\ W \\ \tilde{W} \end{bmatrix}; \quad \mathbf{Y} = \begin{bmatrix} F \\ \tilde{F} \\ G \\ \tilde{G} \end{bmatrix}, \tag{A2}
$$

where the left part contains only linear terms corresponding to chosen (nonperturbed) wave number and right part contains the linear terms depending on the first and second derivatives as well as the nonlinear terms till second order by  $\epsilon$ inclusively.

We use further a procedure of successive approximations. The first iteration is described by the equation

$$
L(\mathbf{X}) = \mathbf{0}.\tag{A3}
$$

In this approximation the frequency corresponds to the nonperturbed wave number, as well as the relation between  $U, \tilde{U}$ 

<span id="page-12-20"></span>

FIG. 9. Breaking of the stationary breather (frequency  $\omega$ =820.5 cm<sup>-1</sup>) as a result of collision with topological solitons with integer charge  $\mathbf{q}$ = $(-1, 0)$  (a) and half-integer charge **q**  $= (-0.5, 0.5)$  (b) (velocity of topological soliton  $s = 0.25s_0$ ). Parameters ([50](#page-7-0)) have been used.

and *W*,  $\tilde{W}$ . In the second approximation we substitute these relations into right part of Eq.  $(A1)$  $(A1)$  $(A1)$ . As this takes place, the frequency in the left part corresponds to the perturbed wave number in the vicinity of chosen one. Then we can consider

 $(A1)$  $(A1)$  $(A1)$  as linear nonhomogeneous equation with respect to  $U$ ,  $\tilde{U}$ , *W*,  $\tilde{W}$  in the second approximation. Its solution allows to find the relations between *U*,  $\tilde{U}$  and *W*,  $\tilde{W}$  until order two inclusively.

<span id="page-12-0"></span>\*Electronic address: lmanev@center.chph.ras.ru

<span id="page-12-1"></span>†Electronic address: asavin@center.chph.ras.ru

<span id="page-12-2"></span>‡Electronic address: lamarque@entpe.fr

- <span id="page-12-3"></span>1D. K. Campbell, S. Flach, and Y. S. Kivshar, Phys. Today **1**, 43  $(2004).$
- <span id="page-12-4"></span><sup>2</sup> S. Aubry, Physica D **103**, 201 (1997).
- <span id="page-12-5"></span><sup>3</sup> J. Kirkwood, J. Chem. Phys. 7, 506 (1939).
- <span id="page-12-6"></span><sup>4</sup>M. Magno and R. Lutz, Eur. J. Mech. A/Solids 21, 661 (2002).
- <span id="page-12-7"></span><sup>5</sup> J. Skinner and P. Wolynes, J. Chem. Phys. **73**, 4015 (1980).
- <sup>6</sup>R. Boyd, Polymer **26**, 323 (1985).
- <span id="page-12-8"></span> ${}^{7}E$ . Zubova, N. Balabaev, and L. Manevitch, J. Exp. Theor. Phys. 94, 759 (2002).
- <span id="page-12-9"></span>8V. V. Ginzburg and L. I. Manevitch, Colloid Polym. Sci. **269**, 867  $(1991).$
- <span id="page-12-10"></span>9O. V. Gendelman and L. I. Manevitch, Sov. Phys. JETP **75**, 271  $(1992).$
- <span id="page-12-11"></span>10O. V. Gendelman and A. V. Savin, Phys. Rev. Lett. **84**, 2381

 $(2000).$ 

- <span id="page-12-12"></span>11H. Dvey-Aharon, P. Taylor, and A. Hopfinger, J. Appl. Phys. **51**, 5184 (1980).
- <span id="page-12-13"></span><sup>12</sup>L. I. Manevitch, Vysokomol. Soedin. C **43**, 2215 (2001) [Polym. Sci. C 4, 117 (2001)].
- <span id="page-12-14"></span>13L. I. Manevitch, L. Zarkhin, and N. Enikolopyan, J. Appl. Polym. Sci. 39, 2245 (1990).
- <span id="page-12-15"></span><sup>14</sup> V. V. Ginzburg and L. Manevitch, J. Polym. Sci. A **34**, 91 (1992).
- <sup>15</sup> V. V. Ginzburg and L. Manevitch, J. Polym. Sci. A **34**, 98 (1992).
- 16A. I. Musienko, N. K. Balabaev, and L. I. Manevitch, Dokl. Akad. Nauk 384, 213 (2002) [Dokl. Phys. Chem. 384, 101 (2002)].
- <span id="page-12-16"></span>17A. I. Musienko, N. K. Balabaev, and L. I. Manevitch, Dokl. Akad. Nauk 372, 782 (2000) [Dokl. Phys. Chem. 372, 92 (2000)].
- <span id="page-12-17"></span>18L. V. Yakushevich, A. V. Savin, and L. I. Manevitch, Phys. Rev. E 66, 016614 (2002).
- <span id="page-12-18"></span><sup>19</sup>L. I. Manevitch and A. V. Savin, Phys. Rev. E 55, 4713 (1997).
- <span id="page-12-19"></span>20N. K. Balabaev, O. V. Gendelman, and L. I. Manevitch, Phys.

Rev. E 64, 036702 (2001).

- <span id="page-13-0"></span>21B. Sumpter, D. Noid, G. Liang, and B. Wunderlich, Adv. Polym. Sci. 116, 27 (1994).
- <span id="page-13-4"></span><sup>22</sup> F. Zhang, Phys. Rev. E **56**, 6077 (1997).
- <span id="page-13-8"></span><span id="page-13-1"></span><sup>23</sup> A. V. Savin and L. I. Manevitch, Phys. Rev. B **58**, 11386 (1998). 24E. A. Zubova, N. K. Balabaev, L. I. Manevitch, and A. Tsyqurov,
- JETP 91, 515 (2000).
- <span id="page-13-2"></span><sup>25</sup> G. Kopidakis and S. Aubry, Physica B **296**, 237 (2001).
- <span id="page-13-3"></span><sup>26</sup> A. V. Savin and L. I. Manevitch, Phys. Rev. B **67**, 144302 (2003).
- <span id="page-13-5"></span>27A. V. Savin and O. V. Gendelman, Phys. Rev. E **67**, 041205  $(2003).$
- <span id="page-13-6"></span>28L. I. Manevich and A. V. Savin, Vysokomol. Soedin. A **47**, 821 (2005) [Polym. Sci. A 47, 499 (2005)].
- <span id="page-13-7"></span> $^{29}$   $s_0$ =7790 m/s is a velocity of long wavelength optical phonons.