# Bethe ansatz density-functional theory of ultracold repulsive fermions in one-dimensional optical lattices

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We present an extensive numerical study of the ground-state properties of confined repulsively interacting fermions in one-dimensional optical lattices. Detailed predictions for the atom-density profiles are obtained from parallel Kohn-Sham density-functional calculations and quantum Monte Carlo simulations. The density-functional calculations employ a Bethe ansatz based local-density approximation for the correlation energy that accounts for Luttinger-liquid and Mott-insulator physics. Semianalytical and fully numerical formulations of this approximation are compared with each other and with a cruder Thomas-Fermi-type local-density approximation for the total energy. Precise quantum Monte Carlo simulations are used to assess the reliability of the various local-density approximations, and in conjunction with these provide a detailed microscopic picture of the consequences of the interplay between particle-particle interactions and confinement in one-dimensional systems of strongly correlated fermions.

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## I. INTRODUCTION

Strongly correlated one-dimensional (1D) quantum liquids and gases are nowadays available in a large number of different laboratory systems ranging from single-wall carbon nanotubes<sup>1</sup> to semiconductor nanowires,<sup>2</sup> conducting molecules,<sup>3</sup> and trapped atomic gases.<sup>4–7</sup> Chiral Luttinger liquids at fractional quantum Hall edges<sup>8</sup> also provide a beautiful example of 1D conducting quantum liquids and have been the subject of intense experimental<sup>9</sup> and theoretical efforts.<sup>10</sup>

There are two fundamental key features that are common to all these 1D systems. (i) Independently of statistics, their effective low-energy description is based on a harmonic theory of long-wavelength fluctuations<sup>11</sup> due to the interplay between topology and interactions. (ii) In the most interesting and exciting experimental situations the translational invariance of the liquid can be broken due to the presence of inhomogeneous external fields of different types, such as magnetic traps in the case of ultracold atomic gases<sup>12</sup> or Hall bar constrictions in the case of fractional quantum Hall edges.<sup>9</sup> These strong perturbations induce the appearance of new length scales causing novel physical behaviors relative to the corresponding unperturbed, translationally invariant model system.

A powerful theoretical tool to study the interplay between interactions and inhomogeneous external fields of arbitrary shape is density-functional theory (DFT),<sup>13–16</sup> which is based on the Hohenberg-Kohn theorem<sup>17</sup> and on the Kohn-Sham mapping to an auxiliary noninteracting system.<sup>18</sup> Many-body effects enter DFT via the exchange-correlation (xc) functional, which is often treated by the local-density approximation (LDA).<sup>13-18</sup> The essence of LDA is to locally approximate the xc energy of the inhomogeneous system under study with that of an interacting homogeneous reference fluid, whose correlations are transferred by the LDA to the inhomogeneous system. For example, for inhomogeneous 2D and 3D electronic systems the underlying reference fluid is normally the homogeneous electron liquid (EL),<sup>16,19</sup> whose xc energy is known to a high degree of numerical precision through the quantum Monte Carlo (QMC) technique.<sup>20</sup> However, the EL in 2D and 3D is believed to be a normal Fermi liquid<sup>16,19</sup> over a very broad range of densities, whereas the 1D analog is described by the Luttinger-liquid model.<sup>21</sup> Thus inhomogeneous 1D fermionic systems appear as an interesting case in which it is appropriate to change the reference system to one that possesses ground-state Luttinger-liquid rather than Fermi-liquid-type correlations.22-24

Several other examples have been discussed in the literature in which either the LDA reference system is not an EL or the auxiliary system of the Kohn-Sham mapping is not an assembly of noninteracting particles. Kohn and co-workers have introduced the concept of the "edge electron gas"<sup>25</sup> to

study electronic edge regions where the single-particle wave functions evolve from oscillatory to evanescent. In the presence of broken gauge symmetry, such as in the superconducting state, an appropriate reference system is the EL in the presence of an external pairing field inducing superconducting correlations.<sup>26,27</sup> A related approach has also been proposed for Bose-Einstein-condensed systems.<sup>28</sup> Similar in spirit to the above mentioned work on DFT for the Hubbard model, is DFT for the Heisenberg model, in which the reference system is a lattice of spins on equivalent sites.<sup>29</sup> Finally, we mention spectral-DFT,<sup>30</sup> in which the Kohn-Sham noninteracting system is replaced by a suitably chosen interacting system not handled via the usual LDA. Such departures from the standard EL paradigm substantially expand the range of usefulness of DFT in condensed-matter physics, but also demand the construction and investigation of new classes of functionals. The present work is concerned with the testing of LDA-type density functionals for strongly correlated 1D ultracold Fermi gases confined inside an optical lattice.

From the experimental point of view such systems, which are highly tunable and ideally clean, are attracting a great deal of interdisciplinary interest because they provide a realization of strongly interacting many-body systems through the manipulation of relevant degrees of freedom other than the bare atom-atom interaction, such as the well depth of the optical lattice that allows the tuning of the relative strength of hopping to on-site repulsion/attraction.<sup>31</sup> In particular the study of these systems may help us understand a number of phenomena that have been predicted in solid-state and condensed-matter physics. Several effects, known in these subfields of physics for decades, have already been observed and quantitatively analyzed in ultracold atomic gases trapped in optical lattices. Two beautiful examples are the Bloch oscillations under an applied force in a 1D optical lattice<sup>32</sup> and the superfluid-to-Mott insulator transition of a Bose-Einstein condensate in a 3D optical lattice.<sup>33,34</sup> Typical 1D quantum phenomena have also been observed in both Bose and Fermi gases. For instance, in the work of Paredes et al.<sup>5</sup> and of Kinoshita et al.<sup>6</sup> a <sup>87</sup>Rb gas has been used to realize experimentally a Tonks-Girardeau system.<sup>35</sup> The more recent preparation of two-component <sup>40</sup>K Fermi gases in a quasi-1D geometry<sup>7</sup> provides a unique possibility to experimentally study phenomena that were predicted a long time ago for electrons in a 1D solid-state environment, such as spincharge separation in Luttinger liquids<sup>16,21</sup> and charge-density waves in Luther-Emery liquids.36

In this work we focus our attention on a particular 1D lattice system of ultracold atoms: a two-component Fermi gas with repulsive intercomponent interactions in the presence of static external potentials that break the lattice translational invariance. Theoretical studies of this model have been carried out both by numerical techniques<sup>37–39</sup> and by LDA-based calculations<sup>40–42</sup> that will be discussed in detail later in this work. Building upon the earlier ideas described in Refs. 22 and 23, we here employ a lattice DFT scheme in which the xc potential is determined exactly at the LDA level through the Bethe ansatz solution of the homogeneous 1D Hubbard model. The results are tested against accurate QMC simulation data over a broad range of values for the Hubbard on-site interaction, the number of atoms and lattice sites, and different types of external potential.

The contents of the paper are briefly described as follows: In Sec. II we introduce the lattice Hamiltonian that we use to describe the system of physical interest. For the benefit of readers who are not familiar with the Bethe ansatz and the Luttinger liquid, we also briefly summarize the properties of the model and its solution in the absence of external potentials. In Sec. III we present the self-consistent lattice DFT scheme that we use to deal with the inhomogeneous system under confinement and explain in detail the Bethe ansatz LDA that we employ for the xc potential. In Sec. IV we report and discuss our main theoretical results in comparison with QMC simulation data. Finally, in Sec. V we summarize our main conclusions. Appendix A contains the formal derivation of the lattice Kohn-Sham equations.

### **II. THE FERMIONIC HUBBARD MODEL**

We consider a two-component interacting Fermi gas with  $N_f$  particles which are constrained to move under confinement inside a 1D lattice with unit lattice constant and  $N_s$ lattice points labeled by the discrete coordinate  $z_i=i, i \in [1, N_s]$ . This system is described by the following singleband Hubbard Hamiltonian,<sup>33,43</sup>

$$\hat{\mathcal{H}} = -\sum_{i,j} \sum_{\sigma} t_{i,j} [\hat{c}_{\sigma}^{\dagger}(z_i) \hat{c}_{\sigma}(z_j) + \text{H.c.}] + U \sum_i \hat{n}_{\uparrow}(z_i) \hat{n}_{\downarrow}(z_i) + \sum_i V_{\text{ext}}(z_i) \hat{n}(z_i) = \hat{\mathcal{T}} + \hat{\mathcal{H}}_{\text{int}} + \hat{\mathcal{H}}_{\text{ext}},$$
(1)

where  $t_{i,j} = t > 0$  if *i* and *j* are nearest-neighbor sites and zero otherwise, and  $\sigma = \uparrow, \downarrow$  represents a pseudospin-1/2 degree of freedom (hyperfine-state label). The field operator  $\hat{c}_{\alpha}^{\dagger}(z_i)$  $[\hat{c}_{\sigma}(z_i)]$  creates (destroys) a fermion with pseudospin  $\sigma$  at position  $z_i$ ,  $\hat{n}_{\sigma}(z_i) = \hat{c}_{\sigma}^{\dagger}(z_i)\hat{c}_{\sigma}(z_i)$  is the pseudospin-resolved site occupation operator normalized to the number of particles with pseudospin  $\sigma$ ,  $N_{\sigma} = \langle \Sigma_i \hat{n}_{\sigma}(z_i) \rangle$ , and  $\hat{n}(z_i) = \Sigma_{\sigma} \hat{n}_{\sigma}(z_i)$  is the total site occupation operator with  $\langle \Sigma_i \hat{n}(z_i) \rangle = N_f$ . Finally  $V_{\text{ext}}(z_i)$  is an external static potential associated with the confinement. The Hubbard Hamiltonian without confinement has, of course, been widely used in studies of strongly correlated electrons, where the site index refers to ion positions. It also applies to an electron liquid in a quantum wire under the effect of a spatially modulated electric potential,<sup>44</sup> where the site index refers to a minima of the superlattice structure. Parabolic confinement is easily achieved in nanowire quantum dots,45 and most of the results reported below also apply to that case.

The physical processes associated with each term in Eq. (1) are clear.  $\hat{T}$  describes kinetic processes of atom hopping with site-to-site tunneling amplitude *t*.  $\hat{\mathcal{H}}_{int}$  is the interspecies on-site Hubbard interaction with strength *U*. The intraspecies scattering can be assumed to be negligible because atoms with the same pseudospin are kept apart by the Pauli principle and can thus be taken, to a very good approximation, as noninteracting in an ultracold gaseous state.  $\hat{\mathcal{H}}_{ext}$  gives the coupling of the atoms to a static external potential. In this work we will restrict our attention to repulsive interactions, i.e., U > 0, in symmetric systems with equal numbers of at-

oms of each pseudospin species  $(N_{\uparrow}=N_{\downarrow}=N_f/2)$ . Attractive interactions have been discussed in Ref. 41 and asymmetric Fermi gases in Ref. 46.

In the absence of a longitudinal external field ( $V_{\text{ext}}=0$ ),  $\hat{\mathcal{H}}$  reduces to the Hamiltonian of a 1D homogeneous Hubbard model (HHM) that has been solved exactly more than 30 years ago by Lieb and Wu.<sup>47</sup> At zero temperature and for  $N_{\uparrow}=N_{\downarrow}$  the properties of the 1D HHM in the thermodynamic limit ( $N_f, N_s \rightarrow \infty$ ) are determined by two parameters only, the filling factor  $n=N_f/N_s$  and the dimensionless coupling constant u=U/t.

According to Lieb and Wu,<sup>47</sup> the ground state (GS) of the repulsive 1D HHM in the thermodynamic limit is described by two continuous distribution functions  $\rho(x)$  and  $\sigma(y)$  which satisfy the Bethe ansatz (BA) coupled integral equations,

$$\rho(x) = \frac{1}{2\pi} + \frac{\cos x}{\pi} \int_{-\infty}^{+\infty} \frac{u/4}{(u/4)^2 + (y - \sin x)^2} \sigma(y) dy \quad (2)$$

and

$$\sigma(y) = \frac{1}{\pi} \int_{-Q}^{+Q} \frac{u/4}{(u/4)^2 + (y - \sin x)^2} \rho(x) dx$$
$$- \frac{1}{\pi} \int_{-\infty}^{+\infty} \frac{u/2}{(u/2)^2 + (y - y')^2} \sigma(y') dy'.$$
(3)

The parameter Q is determined by the normalization condition  $\int_{-Q}^{+Q} \rho(x) dx = n$ , while  $\sigma(y)$  is normalized according to  $\int_{-\infty}^{+\infty} \sigma(y) dy = n/2$ . The GS energy of the system (per site) is given by

$$\varepsilon_{\rm GS}(n \le 1, u) = -2t \int_{-Q}^{+Q} \rho(x) \cos x dx. \tag{4}$$

For repulsive interactions the 1D HHM describes a Luttinger liquid<sup>48</sup> if  $n \neq 1$  or 2. At half-filling, i.e., n=1, the GS is a Mott insulator for every  $u \neq 0$ , while for n=2 it is a band insulator. The two metallic GS branches for n < 1 and n > 1 are connected by particle-hole symmetry,  $\varepsilon_{\text{GS}}(n>1, u) = (n - 1)U + \varepsilon_{\text{GS}}(2-n, u)$ . Note that the presence of a Mott insulating GS at half-filling is signaled by a cusp in the GS energy at n=1, induced by the linear term in this relation (see Fig. 1). Correspondingly the charge excitation spectrum possesses a gap.

The GS energy is analytically known for u=0 (noninteracting fermions) as  $\varepsilon_{\text{GS}}(n \le 1, u=0) = -4t \sin(n\pi/2)/\pi$ , for  $u=+\infty$  as  $\varepsilon_{\text{GS}}(n \le 1, u \to +\infty) = -2t \sin(n\pi)/\pi$ , and for every positive value of *u* at half-filling (n=1) as<sup>47</sup>

$$\varepsilon_{\rm GS}(n=1,u) = -4t \int_0^{+\infty} \frac{J_0(x)J_1(x)}{x[1 + \exp(xu/2)]} dx.$$
 (5)

In Fig. 1 we show  $\varepsilon_{\text{GS}}$  as a function of *n* for various values of *u*. The inset in Fig. 1 shows the cusp at *n*=1.

In the next section we show how the GS properties of the inhomogeneous system described by Eq. (1) can be calculated very accurately in a DFT scheme based on Eqs. (2)–(5).



FIG. 1. Ground-state energy of the repulsive 1D homogeneous Hubbard model (in units of hopping parameter *t*) as a function of the filling factor *n* for various values of the coupling parameter *u*. The cusp at n=1 signals the Mott-insulating phase. The inset shows an enlargement of the region  $0.95 \le n \le 1.05$  for  $u \ne 0$ .

#### **III. LATTICE DENSITY-FUNCTIONAL THEORY**

A powerful tool to calculate the GS properties of a Hamiltonian such as given in Eq. (1) is a lattice version of DFT, the so-called site-occupation functional theory (SOFT). This was introduced in pioneering papers by Gunnarsson and Schönhammer<sup>22</sup> to study the band-gap problem in the context of *ab initio* theories of fundamental energy gaps in semiconductors and insulators.<sup>16</sup> We summarize the key theoretical aspects of SOFT in Appendix A, in order for the present paper to be self-contained.

Within SOFT the exact GS site occupation  $n_{GS}(z_i) = \langle GS | \hat{n}(z_i) | GS \rangle$  can be obtained by solving self-consistently the lattice Kohn-Sham (KS) equations

$$\sum_{j} \left[ -t_{i,j} + v_{\text{KS}}[n_{\text{GS}}](z_i) \,\delta_{ij} \right] \varphi_{\alpha}(z_j) = \varepsilon_{\alpha} \varphi_{\alpha}(z_i) \tag{6}$$

with  $v_{\text{KS}}[n_{\text{GS}}](z_i) = Un_{\text{GS}}(z_i)/2 + v_{\text{xc}}(z_i) + V_{\text{ext}}(z_i)$ , together with the closure

$$n_{\rm GS}(z_i) = \sum_{\alpha, \rm occ.} \Gamma_{\alpha} |\varphi_{\alpha}(z_i)|^2. \tag{7}$$

Here the sum runs over the occupied orbitals and the degeneracy factors  $\Gamma_{\alpha}$  satisfy the sum rule  $\Sigma_{\alpha}\Gamma_{\alpha}=N_f$ . The first term in the effective Kohn-Sham potential  $v_{\rm KS}$  is the Hartree mean-field contribution, while  $v_{xc}[n_{GS}](z_i)$  $= \delta \mathcal{E}_{xc}[n] / \delta n(z_i)|_{GS}$  is the xc potential defined by the derivative of the xc energy  $\mathcal{E}_{xc}[n]$  evaluated at the GS site occupation [see Eq. (A9)]. Notice that exchange interactions between parallel-pseudospin atoms have been effectively eliminated in the Hubbard model (1) by restricting the model to one orbital per site. Hence parallel-pseudospin interactions are not treated dynamically in solving the Hamiltonian, but are accounted for implicitly via a restriction of the Hilbert space. To stress the analogy of the present work with ab initio applications of standard DFT, we nevertheless continue to call  $\mathcal{E}_{xc}[n]$  and  $v_{xc}[n_{GS}]$  the exchange-correlation energy and the exchange-correlation potential, but it is understood that the exchange contribution to these quantities is exactly

zero. The total GS energy of the system is given by

$$\mathcal{E}_{\rm GS}[n_{\rm GS}] = \sum_{\alpha} \Gamma_{\alpha} \varepsilon_{\alpha} - \sum_{i} v_{\rm xc}(z_i) n_{\rm GS}(z_i) - \sum_{i} U n_{\rm GS}^2(z_i) / 4 + \mathcal{E}_{\rm xc}[n_{\rm GS}].$$
(8)

Equations (6)–(8) provide a formally exact scheme to calculate  $n_{\rm GS}(z_i)$  and  $\mathcal{E}_{\rm GS}$ , but  $\mathcal{E}_{\rm xc}$  needs to be approximated. The LDA has been shown to provide an excellent account of the GS properties of a large variety of inhomogeneous systems.<sup>13–18</sup> In this work we employ the following BA-based LDA (BALDA) functional:

$$v_{\rm xc}^{\rm BALDA}[n_{\rm GS}](z_i) = v_{\rm xc}^{\rm hom}(n,u)|_{n \to n_{\rm GS}(z_i)},\tag{9}$$

where, in analogy with *ab initio* DFT, the xc potential  $v_{xc}^{hom}(n,u)$  of the 1D HHM is defined by

$$v_{\rm xc}^{\rm hom}(n,u) = \frac{\partial}{\partial n} \left[ \varepsilon_{\rm GS}(n,u) - \varepsilon_{\rm GS}(n,0) - \frac{U}{4}n^2 \right].$$
(10)

Thus, within the LDA scheme proposed in Eqs. (9) and (10), the only necessary input is the xc potential of the 1D HHM, which is known from its BA solution.

#### The exchange-correlation potential of the 1D HHM

In what follows we propose two alternative ways to calculate the xc potential of the HHM.

#### 1. BALDA/LSOC

A semianalytical scheme, in which the calculation of  $v_{xc}^{hom}(n, u)$  is carried out with an accurate parametrization formula for  $\varepsilon_{GS}(n, u)$ , has been proposed by Lima *et al.* (LSOC).<sup>23</sup> This is very similar in spirit to what is done in the EL-based LDA calculations on 3D and 2D electronic systems,<sup>49</sup> where the only input is the xc energy of the EL for which accurate parametrizations are available. Results for  $n_{GS}(z_i)$  that are obtained with  $v_{xc}^{hom}(n, u)$  determined according to this semianalytical route will be labeled by the acronym BALDA/LSOC.

### 2. BALDA/FN

A very appealing feature of Eqs. (9) and (10) from the formal DFT viewpoint is that one can go a step further than the usual parametrized LDA and establish a fully numerical improvement over the BALDA/LSOC scheme. This procedure does not rely on any approximation for  $v_{\rm xc}^{\rm hom}(n,u)$  and can easily be set up by observing that  $v_{\rm xc}^{\rm hom}(n,u)$  satisfies the exact BA equation

$$v_{\rm xc}^{\rm hom}(n < 1, u)$$
  
=  $-4t \int_0^{+Q} [\partial_n \rho(x)] \cos x dx - 4t \rho(Q) (\partial_n Q) \cos Q + \delta v_{\rm KH}$   
(11)

and the symmetry  $v_{xc}^{hom}(n > 1, u) = -v_{xc}^{hom}(2-n, u)$ . In Eq. (11) we have  $\delta v_{KH} = 2t \cos(n\pi/2) - Un/2$ . Equation (11) must be



FIG. 2. Top panel: The exchange-correlation potential of the repulsive 1D homogeneous Hubbard model (in units of *t*) as a function of *n* for various values of *u*. Bottom panel: The exchange-correlation gap  $\Delta_{xc}(u)$  (in units of *t*) as a function of *u*.

supplemented by a set of exact BA equations for  $\partial_n \rho$ ,  $\partial_n \sigma$ , and  $\partial_n Q$ , which can be derived from Eqs. (2) and (3) upon differentiating with respect to n.<sup>50</sup> Illustrative numerical results for  $v_{\rm xc}^{\rm hom}(n,u)$  are reported in Fig. 2. Results for  $n_{\rm GS}(z_i)$ that are obtained with  $v_{\rm xc}^{\rm hom}(n,u)$  determined according to this fully numerical route will be labeled by the acronym BALDA/FN.

A second appealing feature of the local scheme in Eqs. (9) and (10) is that the Mott cusp in  $\varepsilon_{\text{GS}}(n, u)$  is responsible for an intrinsic discontinuity  $\Delta_{\text{xc}}(u)$  in  $v_{\text{xc}}^{\text{hom}}$  at n=1,

$$\Delta_{\rm xc}(u) = \lim_{n \to 1^+} v_{\rm xc}^{\rm hom}(n,u) - \lim_{n \to 1^-} v_{\rm xc}^{\rm hom}(n,u)$$
$$= U - 2 \lim_{n \to 1^-} \partial_n \varepsilon_{\rm GS}(n < 1, u) \tag{12}$$

(see the bottom panel of Fig. 2). As a consequence and contrary to the EL-based LDA, the xc potential in Eq. (9) possesses a discontinuity in its derivative.<sup>16,51,52</sup>

Correlation-induced discontinuities, here related to Motttransition physics, also appear in the xc potential of a 2D EL in the fractional quantum Hall regime, where correlationinduced gaps at fractional filling factors are associated with the formation of an incompressible liquid (see e.g., Fig. 10.28 in Ref. 16). These physical discontinuities make it difficult to obtain converging self-consistent solutions of the KS equations whenever the local density reaches a value associated with a gap in the homogeneous reference fluid.<sup>53</sup> In our case this happens only when  $n_{GS}(z_i) \rightarrow 1$  at some position  $z_i$ . In the context of DFT calculations of the edge structure of fractional quantum Hall liquids, Ferconi *et al.*<sup>53</sup> have handled this convergence problem by going to a very small finite temperature. This allows fractional occupation of the single-particle KS levels.

## 3. TLDA

A conceptually simpler route to solve such convergence problems, which we have examined in this work, is to resort to a LDA also for the noninteracting kinetic energy functional  $\mathcal{T}_{s}[n]$  (see Appendix A). This is similar to the Thomas-Fermi (TF) approximation (see Chap. 7 of Ref. 16, p. 348), which involves a LDA for  $\mathcal{T}_{s}[n]$  but ignores the exchange and correlation energy. This in itself is not a fully reliable approach for the present work, which is directed at strongly correlated regimes. However, we would like to exploit one favorable aspect of the TF approach, i.e., the replacement of self-consistent solutions by a direct minimization of total energy functionals. To achieve this we combine a TF-like LDA for  $\mathcal{T}_{s}[n]$  with a LDA for  $\mathcal{E}_{xc}[n]$ . This amounts to approximating all nontrivial terms in the total energy functional by the LDA, and for this reason we refer to this approach as the total-energy LDA (TLDA).<sup>42</sup> At variance from Ref. 42, where TLDA was used in conjunction with the LSOC parametrization of the xc energy, we here employ its fully numerical counterpart,<sup>40</sup> in which all results pertaining to the homogeneous reference system are obtained from the Lieb-Wu equations. Both formulations of TLDA circumvent a selfconsistent solution of the KS equations, at the expense of a less accurate account of the kinetic energy. The TLDA approximation consists in writing

$$\mathcal{E}_{\text{GS}}^{\text{TLDA}}[n_{\text{GS}}] = \sum_{i} \varepsilon_{\text{GS}}(n, u) \big|_{n \to n_{\text{GS}}(z_i)} + \sum_{i} V_{\text{ext}}(z_i) n_{\text{GS}}(z_i).$$
(13)

Within this approximation the variational equation (A2) can be written as

$$\partial_n \varepsilon_{\text{GS}}(n,0) \Big|_{n \to n_{\text{GS}}(z_i)} + v_{\text{KS}}[n_{\text{GS}}](z_i) = \text{constant}, \quad (14)$$

where the constant is fixed by normalization. Results for  $n_{GS}(z_i)$  that are obtained by this route will be labeled by the acronym TLDA.

#### **IV. NUMERICAL RESULTS**

We now turn to illustrate our main numerical results for the density profile of paramagnetic Fermi gases, which are summarized in Figs. 3–9. In this work we focus on external potentials of the general form<sup>38</sup>

$$V_{\text{ext}}(z_i) = \sum_{\ell \ge 1} V_{\ell} (z_i - N_s/2)^{\ell},$$
(15)

where  $\ell$  is an integer and  $V_{\ell}$  is a constant.

We have solved numerically the self-consistent scheme represented by Eqs. (6)-(9) by using both the BALDA/ LSOC parametrization<sup>23</sup> and the BALDA/FN procedure. Results obtained through the TLDA in Eqs. (13) and (14) have also been examined. In parallel, QMC simulations have been performed using a zero-temperature projector approach<sup>54,55</sup> adapted from the QMC determinantal algorithm of Scalapino et al.<sup>56</sup> Within this approach a projector operator  $\exp(-\theta \hat{\mathcal{H}})$ is applied to a trial wave function, which we choose to be the exact ground state for u=0 (we have adopted a projector parameter  $\theta$  with values up to 45/t for the accurate comparisons that we present in this work). We have used a discrete Hubbard-Stratonovich transformation<sup>57</sup> in decoupling the fermionic degrees of freedom in the interaction term of  $\hat{\mathcal{H}}$ . A detailed description of our QMC approach can be found in Refs. 58-60.

In Fig. 3 we show the GS site occupation for a Fermi gas with  $N_f$ =30 atoms trapped in a purely harmonic potential (i.e.,  $V_{\ell \neq 2}$ =0) of strength  $V_2/t=6 \times 10^{-3}$  and in an optical lattice with  $N_s$ =100 sites. The interaction parameter is increased from u=+2 to u=+8. Results for a noninteracting Fermi gas (u=0) have also been included to show that the main effects of repulsive interactions are (i) to broaden the site occupation profile and (ii) to reduce the amplitude of the bulk oscillations.<sup>61</sup> The agreement between the BALDA/FN scheme and the QMC results is clearly excellent for all values of u. The BALDA/LSOC scheme gives similar results and improves with increasing coupling ( $u \ge 6$ ), but overall the BALDA/FN results are closer to the QMC data. In the rest of the paper we will thus focus on the BALDA/FN scheme.

Computationally, BALDA/FN is slightly more expensive than BALDA/LSOC. Both typically take a few seconds on a small PC to generate a single density profile like one of those reported in Fig. 3. QMC runs may take about 30 h for a single density profile, but unlike LDA also provide access to correlation functions and to the momentum distribution. In particular, the calculation of the GS energy, which is straightforward within the BALDA-DFT scheme, requires a careful extrapolation procedure within QMC, which is explained in detail in Appendix B. The extrapolated QMC GS energies corresponding to the GS density profiles shown in Fig. 3 have been reported in Table I with their estimated statistical error, together with the BALDA/FN and BALDA/LSOC results.

In Fig. 4 we compare TLDA results with BALDA/FN and QMC results for the same system parameters as in Fig. 3, for

TABLE I. Ground-state energy (in units of t) of a repulsive Fermi gas with  $N_f$ =30 atoms, trapped in a harmonic potential of strength  $V_2/t=6 \times 10^{-3}$  and in a lattice with  $N_s$ =100 sites.

и	BALDA/LSOC	BALDA/FN	QMC
+2	-17.16	-16.68	$-16.68 \pm 0.07$
+4	-11.33	-10.84	$-10.74 \pm 0.08$
+6	-8.00	-7.52	$-7.64 \pm 0.08$
+8	-5.95	-5.50	$-5.62 \pm 0.11$



FIG. 3. Site occupation  $n_{\text{GS}}(z_i)$  as a function of site position  $z_i$  for a repulsive Fermi gas with  $N_f$ =30 atoms, trapped in a harmonic potential of strength  $V_2/t=6 \times 10^{-3}$  and in a lattice with  $N_s$ =100 sites. The interaction parameter is varied from u=+2 in the top left panel to u=+8 in the bottom right panel. BALDA/LSOC and BALDA/FN results are compared with the QMC data. In each panel the thin solid line represents the site occupation of a noninteracting (u=0) Fermi gas.

the cases u=+2 and u=+8. TLDA is slightly less accurate than BALDA/FN, especially at relatively small values of the interaction parameter where hopping kinetic processes, which are treated at a simple LDA level in the TLDA, are still important. For the same reason, the regions close to the edge of the trap are those where the TLDA is less accurate.

In Fig. 5 we show the local inverse compressibility,

$$\kappa^{-1}(z_i) = \kappa_{\text{GS}}^{-1}(n, u) \big|_{n \to n_{\text{GS}}(z_i)} \equiv \left. \frac{\partial^2 \varepsilon_{\text{GS}}(n, u)}{\partial n^2} \right|_{n \to n_{\text{GS}}(z_i)},$$
(16)

corresponding to the system parameters as in Fig. 3. This quantity is clearly much more sensitive to the variations of the interaction parameter u than the GS site occupation.

In Fig. 6 we test the performance of the BALDA/FN scheme upon variations of the strength of the harmonic potential  $V_2/t$  (top panel) and of the particle number  $N_f$  (bottom panel). Again, the agreement between the BALDA/FN scheme and the QMC results is excellent for all the values that we have checked.

We show next some results for the GS density profiles of Fermi gases trapped in anharmonic potentials. Figure 7 illustrates two possible situations. In the top left panel we show an asymmetric external potential which contains a main harmonic component with strength  $V_2/t=1.6 \times 10^{-2}$ , a cubic component with strength  $V_3/t=1.6 \times 10^{-4}$  breaking inversion symmetry, and a quartic component with strength  $V_4/t$ =1.92×10<sup>-5</sup> ensuring existence of a ground state. The presence of cubic and quartic components represents small deviations from a purely harmonic trapping potential that may occur in a real trap in the laboratory. In the top right panel of Fig. 7 we show the BALDA/FN predictions and the QMC results for the GS site occupation of a Fermi gas with  $N_f$ =14 atoms and u=+8, trapped in the asymmetric potential depicted in the top left panel of Fig. 7. In the same figure TLDA results are also shown. The performance of the TLDA scheme at weaker interactions deteriorates with decreasing particle number.

In the bottom left panel of Fig. 7 we show a double-well potential similar to the one that is used to model tunneling-coupled lateral semiconductor quantum dots; this potential contains a harmonic component with strength  $V_2/t=-4\times10^{-3}$  and a quartic component with strength  $V_4/t=3\times10^{-6}$ . In the bottom right panel of Fig. 7 we show the corresponding GS site occupation for a Fermi gas with u=+2.

In Fig. 8 we show the extension of the bottom right panel of Fig. 7 to the case of attractive interactions, which can be



FIG. 4. Site occupation  $n_{\rm GS}(z_i)$  as a function of  $z_i$  for a repulsive Fermi gas with  $N_f$ =30 atoms trapped in a harmonic potential of strength  $V_2/t=6 \times 10^{-3}$  and in a lattice with  $N_s$ =100 sites. The interaction parameter is u=+2 in the top panel and u=+8 in the bottom panel. TLDA and BALDA/FN results are compared with QMC data. In the two insets we show the absolute value of difference between the TLDA and the QMC results, and between the BALDA/FN and the QMC results.

handled by means of the technique described in Ref. 41. The site occupation combines features found in Refs. 41 and 61 for attractive interactions in a single parabolic well, i.e., atomic-density waves induced by Luther-Emery spin pairing, with features shown in the bottom right panel of Fig. 7 for a double well and repulsive interactions.

In all these cases the BALDA/FN scheme has been found to be extremely accurate, as judged by comparison to QMC. However, all data shown in Figs. 3–7 correspond to "purely metallic" phases of the interacting Fermi gas, i.e., phases in which  $n_{GS}(z_i) < 1$  everywhere inside the trap. From earlier work<sup>37,38,40</sup> we know that in the trap there can be metallic phases that coexist with Mott-insulating and/or bandinsulating regions, i.e., phases in which  $n_{GS}(z_i)$  is locally locked to 1 or 2. What happens with the BALDA/FN scheme if the system parameters are such that  $n_{GS}(z_i)$  becomes unity or very close to unity at some point in space? As we have mentioned in Sec. III, it is difficult in this case to obtain converged self-consistent solutions of the KS equations due to the discontinuity at n=1 in the xc potential of the 1D



FIG. 5. Top panel: Inverse compressibility  $[\pi \kappa_{GS}(n, u)]^{-1}$  of the repulsive 1D homogeneous Hubbard model (in units of *t*) as a function of *n* for various values of *u*. Bottom panel: BALDA/FN results for the local inverse compressibility  $[\pi \kappa(z_i)]^{-1}$  (in units of *t*) as a function of  $z_i$  for the same system parameters as in Fig. 3.

HHM. It is still possible to obtain a converged self-consistent solution in a very reasonable number of iterations if the discontinuity is relatively small. An example is given in the top left panel of Fig. 9, where we show the GS site occupation for a Fermi gas with  $N_f=70$  atoms in an optical lattice with  $N_{\rm s}$ =100 sites, subject to a purely harmonic potential of strength  $V_2/t=2.5\times10^{-3}$ . For u=+2 the size of the xc discontinuity is so small that we are able to achieve an accurate self-consistent solution of the BALDA/FN equation. Upon further increasing u in the same system while keeping unchanged all other control parameters, it becomes progressively difficult to obtain converged self-consistent solutions of the KS equations in a reasonable number of iterations. The easiest way to sidestep this problem is to resort to the TLDA scheme, Eqs. (13) and (14), which is able to capture the main physical features of the above mentioned coexistence of compressible and incompressible regions.<sup>42,53</sup>

In Fig. 9 we compare TLDA results with QMC results in the metal-insulator phase-separated regime. At u=+2BALDA shows first indications of a locally incompressible region (a plateau in the density profile at n=1), whereas QMC data still predict the system to be fully metallic (compressible). At larger u, the plateau also develops in the QMC



FIG. 6. Top panel: Site occupation  $n_{\rm GS}(z_i)$  as a function of  $z_i$  for a repulsive Fermi gas with  $N_f$ =30 atoms, trapped in a harmonic potential with strength  $V_2/t=2 \times 10^{-3}$ ,  $4 \times 10^{-3}$ , and  $6 \times 10^{-3}$  (from bottom to top) and in a lattice with  $N_s$ =100 sites. Bottom panel: Site occupation  $n_{\rm GS}(z_i)$  as a function of  $z_i$  for a repulsive Fermi gas with  $N_f$ =10, 20, and 30 atoms (from bottom to top), trapped in a harmonic potential of strength  $V_2/t=6 \times 10^{-3}$  and in a lattice with  $N_s$ =100 sites. In both panels results of the BALDA/FN scheme at u=+6 are compared with QMC data.

calculations, but, as explained in Sec. III 2, BALDA now ceases to converge. TLDA calculations, on the other hand, are still possible. For fully developed phase separation (cases of u = +6 and u = +8 in Fig. 9) TLDA and QMC agree very well. At u=+3, the plateau at n=1 is more pronounced in TLDA than in QMC, where the density profile just begins to flatten. In this particular case we have also performed a TLDA calculation using the analytical approach of Ref. 42. Corresponding data are labeled by TLDA/LSOC in Fig. 9. For low densities (at the edges of the trap) fully numerical TLDA data agree better with QMC, but in the center of the trap and at the plateaus TLDA/LSOC and QMC data agree better. For the GS energy at this particular value of u, TLDA gives  $\mathcal{E}_{GS}^{TLDA} = 16.57t$  while TLDA/LSOC gives  $\mathcal{E}_{GS}^{TLDA/LSOC}$ = 15.95t. These numbers have to be compared with the QMC value  $\mathcal{E}_{GS}^{QMC} = (16.64 \pm 0.09)t$ .

Using the TF-like TLDA equation (14) a simple explanation for the formation of incompressible regions inside the trap in coexistence with metallic regions can be given. When the local density reaches unity, i.e., the value associated with the xc Mott gap, the left-hand side of Eq. (14) takes up the discontinuity  $\Delta_{xc}(u)$ . This implies that the density resists crossing unity and develops instead an incompressible region where the constant value  $n_{\rm GS}(z_i)=1$  is maintained up to a width W such that the difference in the classical potential  $Un_{\rm GS}(z_i)/2 + V_{\rm ext}(z_i)$ , evaluated at the end points of the incompressible region, exactly compensates for  $\Delta_{\rm xc}(u)$ . This criterion allows one to find *a priori* those regions of the trap where the local Mott-insulating incompressible phases are energetically favorable over metallic phases.<sup>42</sup>

## **V. CONCLUSIONS**

In this work we have shown how a detailed picture of ground-state properties of strongly interacting 1D ultracold Fermi gases emerges through a novel DFT scheme using as reference fluid a many-body interacting 1D model which is exactly solvable, the 1D homogeneous Hubbard model. This basic idea is applicable to all inhomogeneous 1D systems for which a properly chosen, underlying homogeneous reference liquid can be described by an exactly solvable model, only the ground-state energy of the liquid being the key input.<sup>22–24,29,46,61</sup>

Our main conclusions are summarized as follows:

(i) Bethe ansatz based density-functional calculations agree quantitatively with independent quantum Monte Carlo data. Typical differences between BALDA/FN and QMC results for the density profiles in the metallic regime are around 1%. In the worst case encountered in all the calculations performed in this work the difference amounts to 7%. These errors are not easily reduced, but are sufficiently small to allow a detailed microscopic description of the energetics and the atom-density profiles of confined fermions, at a computational cost reduced by orders-of-magnitude compared to QMC. Large systems of thousands of sites and complex systems, e.g., with reduced spatial symmetries, are easily handled by the BALDA-DFT scheme.

(ii) A fully numerical formulation of the BALDA requires solution of the Lieb-Wu integral equations instead of a parametrization of the energy of the reference fluid. The added numerical effort is compensated by a relatively small gain in accuracy, as judged by comparison of BALDA/LSOC and BALDA/FN density profiles to the QMC profiles.

(iii) A total-energy LDA can be set up in the same way and provides useful numerical results in situations where Kohn-Sham calculations using BALDA for the correlation energy fail to converge. These situations arise in the presence of a local Mott metal-insulator transition, leading to phase separation characterized by flat (incompressible) and metallic (compressible) regions in the density profiles.

(iv) Overall, all four density-functional schemes (Kohn-Sham BALDA/FN and BALDA/LSOC where they converge, TLDA, and TLDA/LSOC) reveal the same physics also seen in the QMC data. DFT schemes, however, tend to predict phase separation (plateau formation) at slightly lower values of the interaction U.

(v) Density profiles corresponding to different interaction strengths, filling factors in the metallic regime, and curvatures of the confining potential are quite similar. The local compressibility, on the other hand, depends sensitively on the details of the system, and can be used to discriminate between different choices of system parameters (see Fig. 5).



FIG. 7. Top left panel: an example of asymmetric trapping potential (in units of *t*) as a function of  $z_i$  for the case  $V_2/t=1.6 \times 10^{-2}$ ,  $V_3/t=1.6 \times 10^{-4}$ , and  $V_4/t=1.92 \times 10^{-5}$ . Top right panel: site occupation  $n_{GS}(z_i)$  as a function of  $z_i$  for a repulsive Fermi gas with  $N_f=14$  atoms and u=+8, trapped in the potential of the top left panel and in a lattice with  $N_s=50$  sites. Results of the TLDA and BALDA/FN schemes are compared with QMC data. Bottom left panel: a double-well potential with  $V_2/t=-4 \times 10^{-3}$  and  $V_4/t=3 \times 10^{-6}$ . Bottom right panel: site occupation  $n_{GS}(z_i)$  as a function of  $z_i$  for a repulsive Fermi gas with  $N_f=20$ , 40, and 60 atoms and u=+2, trapped in the potential of the bottom right panel and in a lattice with  $N_s=100$  sites. Results of the BALDA/FN scheme only are presented.

(vi) Different external potentials, such as asymmetric trappings and double-well structures, are easily handled by the same techniques. Specifically for the double well, a signature of tunneling between the two wells is a tilted density profile, which shows that atoms can tunnel from one well to the other even for very low filling factors. For attractive interactions, additionally density waves form separately in each well,<sup>41,61</sup> until the density becomes so high that oscillations arising from both wells start to merge and a joint pat-



FIG. 8. Site occupation  $n_{\text{GS}}(z_i)$  as a function of  $z_i$  for an attractive Fermi gas with  $N_f$ =20, 40, and 60 atoms and u=-1, trapped in the potential of the bottom left panel of Fig. 7 and in a lattice with  $N_s$ =100 sites. Results of the BALDA/FN scheme only are presented.

tern develops. This type of double-well structure has, to our knowledge, not yet been produced optically in 1D ultracold atom systems, but is readily created in higher-dimensional traps and semiconductor heterostructures, to which many of our conclusions also apply.

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### APPENDIX A: SOME DETAILS OF SOFT

The aim of this appendix is to present a summary of the two key results of SOFT (Ref. 22): (i) the Hohenberg-Kohn theorem and (ii) the Kohn-Sham mapping to an auxiliary noninteracting system.

The basic variable of SOFT is the site occupation  $n(z_i) = \langle \Psi | \hat{n}(z_i) | \Psi \rangle$ , where  $| \Psi \rangle$  is a generic many-body state. As in standard DFT, the central result of SOFT is the Hohenberg-



FIG. 9. Site occupation  $n_{\text{GS}}(z_i)$  as a function of  $z_i$  for a system of  $N_f=70$  fermions with u=+2, +4, +6, and +8 in a lattice with  $N_s=100$  sites, confined by a harmonic potential of strength  $V_2/t=2.5\times10^{-3}$ . BALDA/FN and TLDA results are compared with QMC data.

Kohn (HK) theorem, which can be summarized in three key statements: (a) the GS expectation value of any observable  $\hat{O}$ is a unique functional  $\mathcal{O} = \langle GS | \hat{O} | GS \rangle = \mathcal{O}[n_{GS}]$  of the GS site occupation  $n_{GS}(z_i)$ ; (b) the GS site occupation minimizes the total-energy functional  $\mathcal{E}[n]$ ; and (c)  $\mathcal{E}[n]$  can be written as

$$\mathcal{E}[n] = \mathcal{F}_{\mathrm{HK}}[n] + \sum_{i} V_{\mathrm{ext}}(z_i)n(z_i), \qquad (A1)$$

where  $\mathcal{F}_{HK}[n] = \langle \Psi | \mathcal{T} + \mathcal{H}_{int} | \Psi \rangle$  is a *universal* functional of the site occupation, in the sense that it does not depend on the external potential.

Part (b) of the HK theorem suggests that if the exact analytical expression of  $\mathcal{F}_{HK}[n]$  was known, the GS energy and the GS site occupation could be found by solving the Euler-Lagrange equation

$$\frac{\delta \mathcal{F}_{\text{HK}}[n]}{\delta n(z_i)} + V_{\text{ext}}(z_i) = \text{constant}, \qquad (A2)$$

the constant having the meaning of a Lagrange multiplier to enforce particle-number conservation.

The Kohn-Sham mapping, again in analogy with standard DFT, provides an essential simplification. One considers a noninteracting auxiliary system described by the Hamiltonian

$$\hat{\mathcal{H}}_{s} = -\sum_{i,j} \sum_{\sigma} t_{i,j} [\hat{c}_{\sigma}^{\dagger}(z_{i})\hat{c}_{\sigma}(z_{j}) + \text{H.c.}] + \sum_{i} v_{\text{KS}}(z_{i})\hat{n}(z_{i}).$$
(A3)

The central assertion used in establishing the mapping is that for any interacting system there exists a local single-particle potential  $v_{\text{KS}}(z_i)$  such that the exact GS site occupation  $n_{\text{GS}}(z_i)$  of the interacting system equals the GS site occupation of the auxiliary problem  $n_{\text{GS}}(z_i) = n_{\text{GS}}^{(s)}(z_i)$  (noninteracting v representability). According to part (c) of the HK theorem there then exists a unique energy functional  $\mathcal{E}_s[n] = \mathcal{T}_s[n]$  $+ \Sigma_i v_{\text{KS}}(z_i)n(z_i)$ , for which the variational equation  $\delta \mathcal{E}_s[n]$ = 0 yields the exact GS site occupation  $n_{\text{GS}}^{(s)}(z_i)$  corresponding to  $\hat{\mathcal{H}}_s$ .  $\mathcal{T}_s[n]$  denotes the universal kinetic energy functional of noninteracting pseudospin-1/2 fermions.

Suppose that the ground state of  $\hat{\mathcal{H}}_s$  is nondegenerate. The GS site occupation  $n_{\text{GS}}^{(s)}(z_i)$  [and thus, by assumption,  $n_{\text{GS}}(z_i)$ ] possesses a unique representation

$$n_{\rm GS}(z_i) = \sum_{\alpha, \rm occ.} |\varphi_{\alpha}(z_i)|^2 \tag{A4}$$

in terms of the lowest  $N_f$  single-particle orbitals obtained from the KS Schrödinger equation BETHE ANSATZ DENSITY-FUNCTIONAL THEORY ...

$$\sum_{j} \left[ -t_{i,j} + v_{\rm KS}(z_i) \,\delta_{ij} \right] \varphi_{\alpha}(z_j) = \varepsilon_{\alpha} \varphi_{\alpha}(z_i). \tag{A5}$$

Once the existence of a potential  $v_{\rm KS}(z_i)$  generating  $n_{\rm GS}(z_i)$  via Eqs. (A4) and (A5) is assumed, uniqueness of  $v_{\rm KS}(z_i)$  follows from the HK theorem. Thus the single-particle orbitals  $\varphi_{\alpha}(z_i) = \varphi_{\alpha}[n_{\rm GS}](z_i)$  are unique functionals of  $n_{\rm GS}(z_i)$ , and the noninteracting kinetic energy

$$\mathcal{T}_{s}[n_{\rm GS}] = -\sum_{i,j} \sum_{\alpha} t_{i,j} [\varphi_{\alpha}^{\star}(z_{i})\varphi_{\alpha}(z_{j}) + \text{c.c.}]$$
(A6)

is a unique functional of  $n_{GS}(z_i)$  as well.

It is convenient at this point to write the total energy functional  $\mathcal{E}_{GS}[n_{GS}]$  in Eq. (A1) by adding and subtracting  $\mathcal{T}_{s}[n_{GS}]$  and a Hartree term  $\mathcal{E}_{H}=U\Sigma_{i}n_{GS}^{2}(z_{i})/4$ , i.e.,

$$\mathcal{E}_{\rm GS}[n_{\rm GS}] = \mathcal{T}_{s}[n_{\rm GS}] + \mathcal{E}_{H}[n_{\rm GS}] + \mathcal{E}_{\rm xc}[n_{\rm GS}] + \sum_{i} V_{\rm ext}(z_{i})n_{\rm GS}(z_{i}),$$
(A7)

where the exchange-correlation functional is formally defined as  $\mathcal{E}_{xc}[n_{GS}] \equiv \mathcal{F}_{HK}[n_{GS}] - \mathcal{T}_s[n_{GS}] - \mathcal{E}_H[n_{GS}]$ . The HK variational principle ensures that  $\mathcal{E}[n]$  is stationary for small variations  $\delta n(z_i)$  around  $n_{GS}(z_i)$ ,

$$\mathcal{E}[n_{\rm GS} + \delta n] - \mathcal{E}_{\rm GS}[n_{\rm GS}]$$
  
=  $\delta \mathcal{T}_s + \sum_i \delta n(z_i) \left[ V_{\rm ext}(z_i) + \frac{U}{2} n_{\rm GS}(z_i) + v_{\rm xc}[n_{\rm GS}](z_i) \right]$   
= 0, (A8)

where  $v_{xc}[n_{GS}](z_i)$  denotes the exchange-correlation potential,

$$v_{\rm xc}[n_{\rm GS}](z_i) = \left. \frac{\delta \mathcal{E}_{\rm xc}[n]}{\delta n(z_i)} \right|_{n_{\rm GS}(z_i)}.$$
 (A9)

Using  $\delta T_s = -\sum_i v_{\text{KS}}(z_i) \delta n(z_i)$ , we find that the Kohn-Sham potential is given by

$$v_{\rm KS}(z_i) = v_H[n_{\rm GS}](z_i) + v_{\rm xc}[n_{\rm GS}](z_i) + V_{\rm ext}(z_i),$$
 (A10)

where  $v_H[n_{\text{GS}}](z_i) = Un_{\text{GS}}(z_i)/2$ .



FIG. 10. QMC ground-state energy (in units of *t*) as a function of  $(t\Delta\tau)^2$ , for the same system parameters as in Fig. 3. The QMC error bar at each point is smaller than the size of the symbol. The thin solid lines are a linear fit to the QMC data, which extrapolates to the limit  $\Delta\tau \rightarrow 0$ . The filled circles at  $\Delta\tau=0$  indicate the BALDA/FN results.

## APPENDIX B: QUANTUM MONTE CARLO CALCULATION OF THE GROUND-STATE ENERGY

Within our zero-temperature QMC approach, we use the Trotter decomposition<sup>62</sup> in applying the projector operator to a trial wave function  $|\Psi_T\rangle$ ,

$$\exp(-\theta\hat{\mathcal{H}})|\Psi_T\rangle = [\exp(-\Delta\tau\hat{\mathcal{H}})]^{\theta/\Delta\tau}|\Psi_T\rangle.$$
(B1)

For small values of  $\Delta \tau$  one can then split the exponential of a sum of noncommuting operators as<sup>62</sup>

$$\exp(-\Delta \tau \hat{\mathcal{H}}) = \exp(-\Delta \tau \hat{\mathcal{T}}) \exp(-\Delta \tau \hat{\mathcal{H}}_{int}) \exp(-\Delta \tau \hat{\mathcal{H}}_{ext}) + \mathcal{O}((\Delta \tau)^2).$$
(B2)

This split is essential for the implementation of the determinantal QMC algorithm.<sup>56,58–60</sup>

The error introduced by the Trotter decomposition in the calculation of the ground-state energy can be shown<sup>60</sup> to be of order  $(\Delta \tau)^2$ . Hence, for our comparison of the QMC energies with the ones of the BALDA/FN scheme we have made an extrapolation  $\Delta \tau \rightarrow 0$ . In Fig. 10 we show the QMC ground-state energy as a function of  $(\Delta \tau)^2$  and the linear fit  $\mathcal{E}_{GS}^{QMC}(\Delta \tau) = \mathcal{E}_{GS}^{QMC} + A(\Delta \tau)^2$  from which we get the QMC energies presented in Table I.

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