

## Temperature- and pressure-induced spin-state transitions in $\text{LaCoO}_3$

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We report the continuous variation of the spin moment of cobalt in  $\text{LaCoO}_3$  across its temperature and pressure-induced spin transitions evidenced with  $K\beta$  emission spectra. The first thermal transition is best described by a transition to an orbitally nondegenerate intermediate spin ( $S=1$ ) state. In parallel, continuous redistribution of the  $3d$  electrons is also indicated by partial fluorescence yield x-ray absorption spectra. At high pressure, our study confirms that the material becomes low spin between 40 and 70 kbar at room temperature.

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### I. INTRODUCTION

The perovskite-type cobalt oxide  $\text{LaCoO}_3$  has attracted much attention since the 1950s due to its thermally induced spin-state and insulator-metal transitions.  $\text{LaCoO}_3$  has a non-magnetic insulating ground state, with all the six  $3d$  electrons of  $\text{Co}^{3+}$  occupying the  $t_{2g}$  level because the crystal field splitting exceeds the intra-atomic exchange energy. Consequently, Hund's rule does not apply, and the cobalt is in low-spin (LS) state with  $S=0$  for the  $t_{2g}^6 e_g^0$  configuration. At higher temperatures two broad transitions have been observed in the magnetization measurements, occurring at around 90 and 500 K. The classic interpretations invoked the appearance of the  $t_{2g}^4 e_g^2$  ( $S=2$ ) high-spin (HS) state of  $\text{Co}^{3+}$  at the first transition, and various ordered superstructures of the HS and LS cobalt atoms at higher temperatures.<sup>1,2</sup> Inconsistencies started to arise, however, when neutron diffraction studies failed to find any superstructures,<sup>3</sup> and more conflicting discrepancies were brought up by experimental studies of the electronic structure. Namely, it was found that neither Co  $2p$  and O  $1s$  x-ray absorption spectroscopy (XAS) nor photoemission spectra show pronounced variations below 300 K; they reflect a gradual transition only above 500 K from the LS state to a mixed spin state with a main contribution from the HS state.<sup>4</sup> The failed observation of the 90-K transition and the conflicting description of the temperature behavior stimulated significant further efforts to determine the magnetic and spin states of  $\text{LaCoO}_3$ . Shortly after these experiments, the 90-K transition was evidenced by a spin-polarized neutron-scattering study.<sup>5</sup> Not much later, a completely different description was proposed that included the formation of a  $t_{2g}^5 e_g^1$  ( $S=1$ ) intermediate-spin (IS) state at the first transition.<sup>6</sup> Theoretical works completed this LS/IS scenario by proposing an orbital ordering for the IS state, which melts at the second transition.<sup>7,8</sup> This has become the prevailing scenario, and most of the recent experimental observations are interpreted as supporting the IS state.<sup>9-11</sup> Although

including this state in the explanations seems to explain the observed phenomena, some authors still invoke the HS state at higher temperatures.<sup>12-16</sup> Another sign of the incompleteness of a simple LS/IS scenario is that the description of the high temperature susceptibility data usually needs a treatment different from the low temperature ones, e.g., invoking change in the orbital degeneracy at the second transition.<sup>10</sup> Thus, the interpretations of the two transitions are still conflicting; the precise spin state as a function of temperature, and the variations of the electronic structure associated with the transitions are not yet fully understood, and require further studies.

Pressure can also cause spin-state transitions in transition metal compounds,<sup>17</sup> as the crystal field splitting rapidly increases with the shrinking of the bond lengths ( $10 Dq \propto r^{-5}$ ). Since the transitions of  $\text{LaCoO}_3$  are accompanied by anomalous volume expansions, it is apparent that relatively low pressure can have considerable effect on the spin state. The effect of pressure to the 90-K transition was studied up to 18 kbar by Asai *et al.*, who found that the energy gap between the LS and the higher spin state increases with pressure.<sup>18</sup> Recently, Vogt *et al.* performed an x-ray powder diffraction study to examine the pressure-induced changes. The results were interpreted as a continuous transition from the IS to the LS state, which is completed at around 40 kbar.<sup>19</sup> Chemical pressure, introduced by a partial substitution of  $\text{La}^{3+}$  with the smaller  $\text{Eu}^{3+}$ , leads to a similar stabilization of the LS state.<sup>20</sup>

We present here a high-resolution x-ray spectroscopy study of the spin state and the electronic structure of cobalt in  $\text{LaCoO}_3$  as a function of temperature and pressure. The applied techniques allowed us to follow the occupancy and the local magnetic moment of the cobalt  $3d$  orbitals. The applicability of the x-ray absorption near-edge structure (XANES) spectroscopy to study the electronic structure is well known, as it gives access to the unoccupied density of states. In the case of the spin transition, it is expected that the pre-edge of the main  $1s \rightarrow 4p$  absorption line, which has a

relevant contribution from quadrupolar  $1s \rightarrow 3d$  transitions, follows sensitively the variations in the populations of the  $t_{2g}$  and  $e_g$  levels.<sup>21</sup> These orbital populations are expected to undergo relevant changes, as the spin transition in a localized picture is best described as redistribution of the electrons between the  $t_{2g}$  and  $e_g$  orbitals, as it was described above. The applied partial fluorescence yield (PFY) detection technique,<sup>22</sup> even if it is not a true measure of the absorption cross section,<sup>23</sup> allows better energy resolution than conventional XANES,<sup>24</sup> and can be used to monitor more sensitively the population of the different states.<sup>24,25</sup> X-ray emission spectroscopy (XES), in fact, the  $K\beta$  emission line can be utilized to probe the local magnetic moment on the  $3d$  orbitals, i.e., the localized spin moment.<sup>21,26,27</sup> The origin of the sensitivity to the spin state is the strong exchange interaction between the  $3p$  hole and the  $3d$  orbitals in the final state of the emission process; the two different possible orientations of the spin of the  $3p$  hole with respect to the resultant local moment of the unpaired  $3d$  electrons leads to a splitting.<sup>28</sup> The arising spectra are not simple due to the numerous multiplet terms involved; nevertheless, a low-energy satellite ( $K\beta'$ ) to the main emission line ( $K\beta_{1,3}$ ) is observed, whose intensity is proportional to the spin localized on the  $3d$  orbitals.<sup>28</sup> Besides this phenomenological description, a full theoretical treatment based on crystal-field or charge-transfer multiplet theory can satisfactorily reproduce the spectral variations with the spin state.<sup>29,30</sup>

## II. EXPERIMENT

LiCoO<sub>3</sub> was synthesized as described in Ref. 10. For reference samples, high purity LiCoO<sub>2</sub> and CoF<sub>3</sub> were purchased from Sigma-Aldrich. The experiment was carried out at the ID16 beamline of the European Synchrotron Radiation Facility. The undulator radiation was monochromatized with a cryogenically cooled Si(111) double-crystal monochromator, and focused to a spot size of  $150 \times 100 \mu\text{m}^2$  with a Rh-coated toroidal mirror. Arranged on a Rowland-circle spectrometer of 1 m diameter, a spherically bent Si(620) crystal and a Peltier-cooled Si diode were applied to analyze and detect the emitted radiation, respectively. The  $K\beta$  spectra were collected by scanning the Bragg angle of the analyzer around  $70.69^\circ$ , which corresponds to the maximum of the Co  $K\beta$  emission line; the detector was also translated and rotated accordingly. The overall resolution of the spectrometer was 1.5 eV. Fluorescence yield XANES spectra were taken with a photon-counting Röntec detector. Partial fluorescence yield XANES spectra were taken on the maximum of the  $K\beta$ -emission line by scanning the incident energy through the absorption edge (and keeping the analyzed energy constant).<sup>22</sup> For the temperature dependent studies, a scattering angle of  $90^\circ$  was chosen. The low-temperature experiment was carried out in a standard closed-cycle helium cryostat. For the high-temperature studies, the sample was mounted on a Ta/Mo support heated by a ceramic heater device in a vacuum cell.

A Mao-Bell diamond anvil cell with a Re gasket was used for the high-pressure experiment. The sample was prepared by grinding a piece of a LaCoO<sub>3</sub> crystal and a methanol-

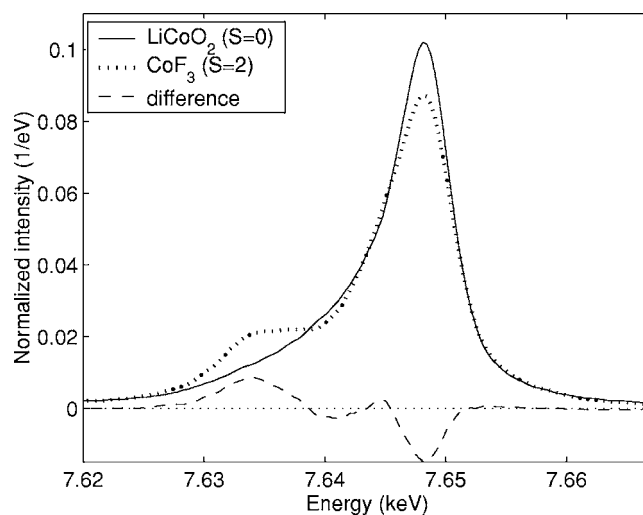


FIG. 1.  $K\beta$  spectra of LiCoO<sub>2</sub> and CoF<sub>3</sub>, a LS and a HS Co<sup>3+</sup> compound, and their difference.

ethanol (4:1) pressure medium was added to the powder sample to ensure hydrostatic pressure. The pressure was determined by the ruby fluorescence method. Both the incident and the scattered radiation passed through the diamond anvils; the scattering angle was  $30^\circ$ . In order to minimize loss of the emitted radiation, a diamond of smaller height was used on the downstream part of the cell; the diamonds had heights of 2.5 and 1.5 mm, with culet sizes of 0.7 and 0.6 mm, respectively. The energy of the exciting beam was set to 13 keV, a compromise between the absorption loss in the diamond and scattering cross section.

All spectra were normalized to the beam monitor; the XES spectra were further normalized to the spectral area, and the spectra were aligned to have the main lines at the same energy.

## III. RESULTS

Figure 1 shows the XES spectra of our HS (CoF<sub>3</sub>) and a LS (LiCoO<sub>2</sub>) reference compounds. As it was expected from previous observations<sup>21,26</sup> and theoretical calculations,<sup>30</sup> the largest spectral variations are seen on the intensity of the main  $K\beta_{1,3}$  line and on its  $K\beta'$  low-energy satellite (see the difference spectrum in Fig. 1).

Similar variations, though to a lesser extent, were observed in the line shapes of the spectra of LaCoO<sub>3</sub> (Fig. 2), as a function of the temperature. At the lowest temperatures the spectra are identical to that of LiCoO<sub>2</sub>. As temperature increases, the increase of the satellite intensity and the decrease of the main line intensity is observed. These variations are continuous with temperature, which reflects a continuous change of the average spin of the cobalt. The 913-K spectrum exhibits a sudden change. While below this temperature the spectral variations were reversible, the last spectrum remained the same when the sample was cooled down after collecting data at 913 K. This indicates that a chemical transformation took place when reaching the highest temperature of our study; this will be discussed later in more detail.

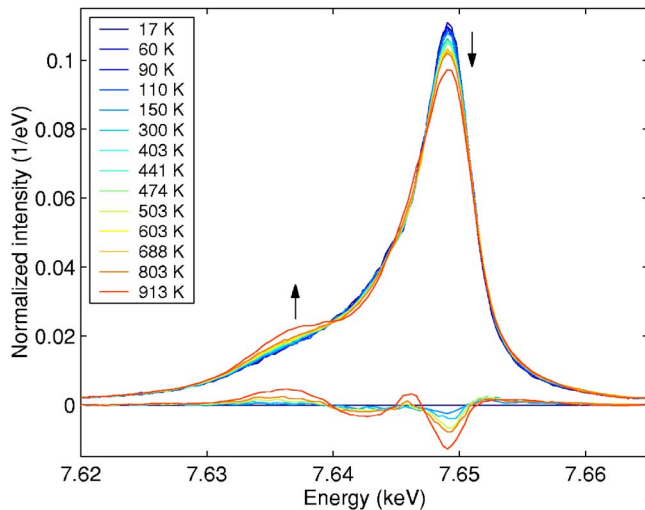


FIG. 2. (Color online) Evolution of the  $\text{LaCoO}_3 K\beta$  spectra with temperature, arrows indicate the main line shape variations with increasing temperature. At the bottom, differences from the lowest temperature spectrum are shown for 17, 150, 300, 503, 803, and 913 K.

The PFY XANES, displayed on Fig. 3, also shows continuous variation with the temperature. Below the  $1s \rightarrow 4p$  main absorption line at 7726 eV, several low intensity features appear. Following earlier interpretations,<sup>31–33</sup> the broad feature at around 7716 eV has been assigned to a ligand-to-metal charge transfer (LMCT) shake-down process, which results in a final state of  $1s^1 3d^7 4p^1 \underline{L}$ , instead of the  $1s^1 3d^6 4p^1$  of the main transition ( $\underline{L}$  denotes a hole on the ligand). At even lower energies, the pre-edge region reflects transitions to the  $t_{2g}$  and  $e_g$  levels, partly through direct quadrupolar transitions, but also through to dipolar transitions to  $d-p$  hybridized orbitals. The latter will affect the pre-edge intensity but not the spectral shape, which still shows characteristic features of the  $3d$  crystal-field splitting even in the

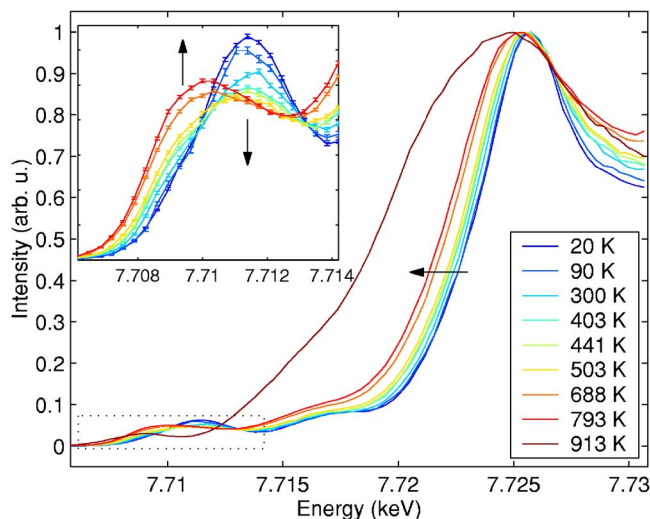


FIG. 3. (Color online) PFY XANES of the  $\text{LaCoO}_3$  on the max of  $K\beta$ , with the pre-edge region in the inset. Arrows indicate the spectral variations at increasing temperature.

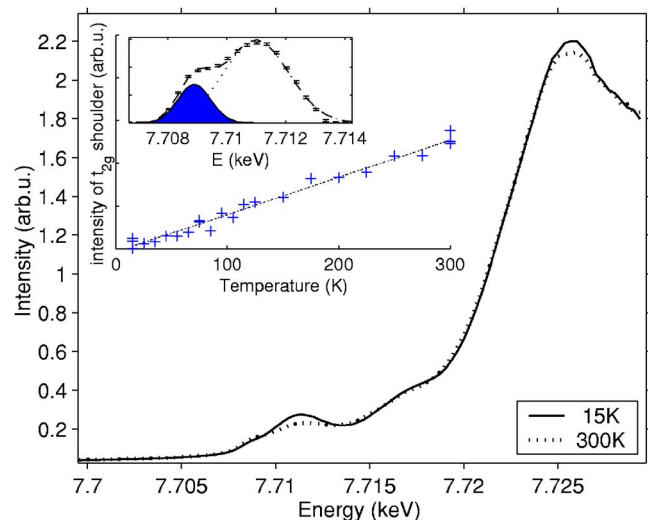


FIG. 4. (Color online) TFY XANES at 15 and 300 K. The intensity variation of the low-energy ( $t_{2g}$ ) shoulder in the pre-edge of the Co  $K$ -edge is shown in the inset. Above this plot, a spectrum taken at  $T=300$  K illustrates (after background subtraction and normalization) the fitting with which the plotted values are obtained.

dipolar component.<sup>34</sup> At higher temperatures, the edge progressively shifts while the pre-edge region, as it will be discussed later in more detail, reveals a continuous redistribution of electrons on the  $t_{2g}$  and  $e_g$  levels through intensity changes, such as broadening and the shift of their spectral weight to lower energies. At 913 K, similarly to the XES, a drastic change was observed in the spectrum; the absorption edge was shifted by several eVs to lower energies, and the pre-edge was also shifted and reduced. The large edge shift indicates a change in the valence state of cobalt, which was confirmed by chemical analysis; the sample was transformed to  $\text{LaCoO}_{2.5}$ , which reveals that a  $\text{Co}^{3+} \rightarrow \text{Co}^{2+}$  transformation and simultaneous oxygen loss took place. This is not entirely surprising, as Radaelli and Cheong have found a third lattice anomaly (besides the two at the magnetic transitions) around 800 K, which was attributed to formation of oxygen vacancies.<sup>14</sup> Moreover, such a reduction is known to happen to  $\text{LaCoO}_3$  at even lower temperatures in the presence of a reducing agent.<sup>35</sup>

As only a few spectra were taken at low temperatures, rapid total fluorescence yield XANES scans were measured between 15 and 300 K to verify that the spectral changes (hence the redistribution of the  $3d$  electrons) are indeed continuous in this region. The spectra are shown in Fig. 4; the lowest- and highest-temperature spectra are similar to those of Toulemonde (taken at similar temperatures),<sup>32</sup> in between a continuous change is seen as displayed in the inset of the figure. The pre-edge was interpreted as a result of two contributions;<sup>32,33</sup> a low-energy feature of a small, but increasing intensity was attributed to transitions to the  $t_{2g}$  orbitals, while the diminishing larger feature at a higher energy was attributed to the  $e_g$  orbitals. The relative change of their spectral weight is therefore a sign of the  $t_{2g} \rightarrow e_g$  electron transfer. Although this is a simplified description, the nature of the changes of the electronic structure indeed originate from the redistribution of the  $3d$  electrons; therefore, for

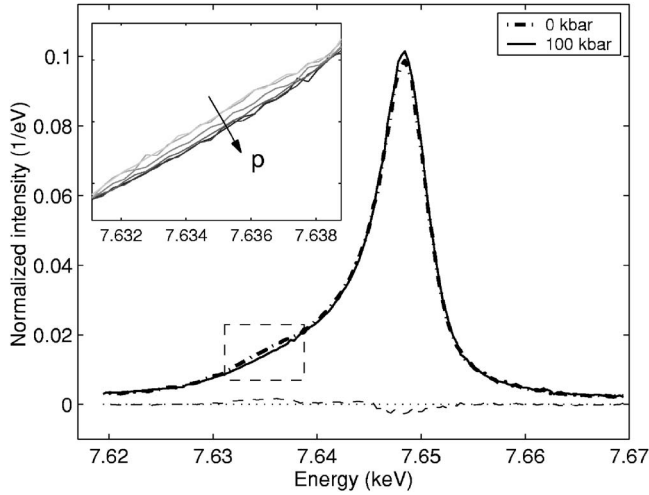


FIG. 5. Evolution of the LaCoO<sub>3</sub>  $K\beta$  spectra with pressure. The main figure shows the extremes and their difference, while the inset displays the  $K\beta'$  satellite region for all the measured pressure points, which include 0, 7, 22, 42, 70, and 100 kbar.

monitoring purposes this description should suffice. As we see in the inset of Fig. 4, which presents simple two-Gaussian fits to the pre-edges, the variation of the features of the pre-edge are indeed continuous. To derive a quantitative description of the redistribution of the  $3d$  electrons, a detailed resonant x-ray emission study would be desirable.

As for the pressure dependence, a gradual variation of the  $K\beta$  line shape was found up to 70 kbar. As it is seen in Fig. 5, the relative intensity of the satellite was reduced while that of the main line was increased, which is typical for a transition toward the LS state. Above 70 kbar no further changes were observed. In this case we could not monitor the redistribution of the  $3d$  electrons directly with XANES due to the strong absorption of the diamonds at the Co  $K$  edge. However, the nature and the extent of the changes in the XE spectra between the ambient pressure and 70 kbar are the same as those that are observed when the temperature is decreased at the ambient pressure from 300 to 17 K; this similarity will be more obvious in Sec. IV B, where this comparison can be made in a more quantitative manner. At 70 kbar and above the spectra appear the same as the lowest temperature one of the temperature-dependent series. This suggests that at room temperature a pressure-induced transition to the LS state is essentially completed between 40 and 70 kbar, which is in agreement with the findings of Vogt *et al.*<sup>19</sup>

## IV. DISCUSSION

### A. Model calculations

In order to facilitate the understanding of the variation of the experimental data and to help to relate it to the spin state, the Co  $K\beta$  emission and the pre-edge at the  $K$ -edge of Co in LaCoO<sub>3</sub> were modeled using a charge transfer multiplet approach.<sup>36,37</sup> The XANES spectra were also calculated using an *ab initio* multiple scattering code.

TABLE I. Parameters of the multiplet calculations. For a description of the parameters see the text.

Spin	Materials	$\Delta$	$U_{dd}$	$U_{dc}$	$V_{e_g}$	$10Dq$
$S=0$	LiCoO <sub>2</sub> , LaCoO <sub>3</sub> LS	5	6.5	7	1.8	2.0
$S=1$	LaCoO <sub>3</sub> IS	4	6.5	7	2.0	1.8
$S=2$	CoF <sub>3</sub> , LaCoO <sub>3</sub> HS	1	5.0	7	2.2	0.8

The charge transfer multiplet model used a  $3d^6 + 3d^7\bar{L} + 3d^8\bar{L}^2$  ground state basis set, where  $\bar{L}$  denotes a hole in the ligand valence band. The Slater integrals were obtained by the Hartree-Fock method<sup>38</sup> and scaled by 0.8 to take into account the intra-atomic configuration interaction effects. For spin-orbit couplings atomic values were used. The crystal field perturbation in  $O_h$  symmetry was considered using the approach developed by Butler,<sup>39</sup> and charge-transfer effects were taken into account by using the code by Thole and Ogasawara.<sup>40</sup> The  $1s$  lifetime was set to 1.3 eV and the final state lifetime broadening full width at half maximum (FWHM) was approximated as  $0.2 \times E_f$ , where  $E_f$  is the final state energy.<sup>41</sup>

Although the IS state cannot be obtained as a true ground state in the multiplet approach, it was assumed that using the triplet  $^3T_1$  term of  $3d^6$  can provide a reasonable approximation for the spectra of this state. The model parameters for the different spin states of LaCoO<sub>3</sub>, as well as for a high-spin and a low-spin reference compound of  $3d^6$  configuration, CoF<sub>3</sub> and LiCoO<sub>3</sub>, are presented in Table I. The parameter values were obtained from the literature,<sup>42–46</sup> and they were modified to some extent when necessary to achieve better agreement with the experimental data. The calculation with the LS ground state was compared to the experimental spectrum of LaCoO<sub>3</sub> measured at 17 K and to that of LiCoO<sub>2</sub>. The calculation on the intermediate spin ground state was tentatively compared with the experimental spectrum measured at 403 K, and CoF<sub>3</sub> was used to represent also LaCoO<sub>3</sub> in the high-spin state. In Table I  $\Delta$  stands for the charge transfer energy, defined as the energy difference between the centers of gravity of  $d^n$  and  $d^{n+1}\bar{L}$  configurations and  $U_{dd}$  is the Hubbard  $U$  given by  $E(d^{n+1}) + E(d^{n-1}) - 2E(d^n)$ . The core hole potential  $U_{dc}$  is set to the same value for both  $1\bar{g}$  and  $3\bar{p}$  holes.  $V_{e_g}$  is the hybridization strength for the  $e_g$  orbitals in the ground state; the hybridization strength for  $t_{2g}$  states was set to half of the  $V_{e_g}$  value. For states with a core hole the  $V_{e_g}$  hybridization strength was reduced by 0.4 eV.

The simulated  $K\beta$  spectra for the different spin states of LaCoO<sub>3</sub> are shown on Fig. 6. The spectra are shifted so that the main lines match the nominal Co  $K\beta$  energy. In agreement with the experimental data and with previous observations<sup>21,26</sup> and calculations,<sup>30</sup> the intensity of the  $K\beta'$  low-energy satellite of the main  $K\beta_{1,3}$  line is increasing with the increasing cobalt spin moment. However, there are no apparent spectral variations that would provide an easy way to verify the presence of the IS state. According to Tsutsumi's proposal, the position of the satellite with respect to the main peak should move with the spin state as  $\Delta E = J(2S+1)$  (where  $J$  is the  $3p-3d$  exchange integral),<sup>28</sup> thus for the IS a substantial upward shift (almost 6 eV) of the  $K\beta'$

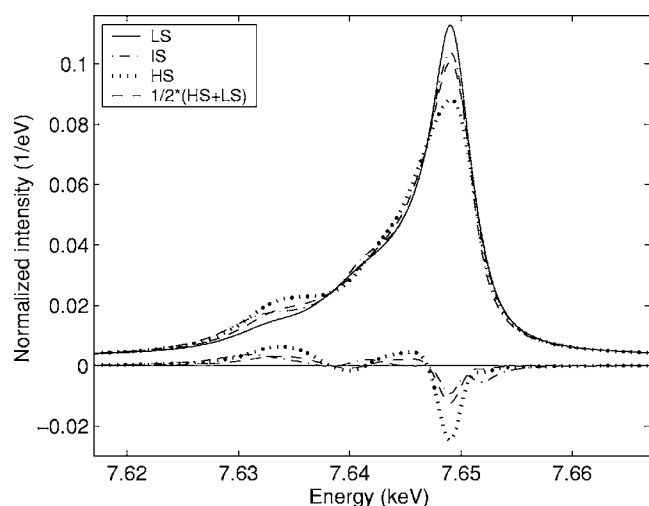


FIG. 6. Calculated  $K\beta$  spectral shapes of  $\text{LaCoO}_3$  for the different possible spin states; the mean of the HS and LS spectra is also shown for comparison with that to the IS. The differences from the LS spectrum are also plotted in the bottom of the figure.

feature would be expected. However, as observed also at other spin transitions,<sup>21</sup> this is not the case; no other features show up, and the calculated IS spectrum barely differs from the mean of those of the HS and LS (cf. Fig. 6). This is also in accord with the temperature dependent experimental data on  $\text{LaCoO}_3$  provided that the first transition is indeed an  $\text{LS} \rightarrow \text{IS}$  one, since no relevant energy shifts of the satellite were observed. In general, the variations of the experimental spectra (when available) are well accounted for by the calculations. The calculated line shapes suffer from only a slight overestimated height of the  $K\beta'$  satellite, which originates from the approximations of the lifetime broadening model and the overestimation of the transition matrix element strengths in the calculation. However, due to the discussed problems, the satisfactory agreement is not sufficient to extract quantitative information about the spin state or discriminate between LS/HS or LS/IS scenarios in  $\text{LaCoO}_3$ . We will show that careful line shape analysis can overcome this shortcoming (cf. Sec. IV B).

For the description of the Co XANES spectra, an *ab initio* multiple-scattering approach was used to study the spectral variations with the temperature for a few selected values between 10 and 700 K. The temperature dependent structural data were taken from the literature.<sup>14</sup> The program utilized was FEFF8.2 (Refs. 47 and 48) a real-space multiple scattering code. For the calculations the Hedin-Lunqvist self-energy and a cluster of 134 atoms was used. Dipolar and quadrupolar transitions were both considered to get a reasonable estimation for the pre-edge. As seen from the simulated spectra shown in Fig. 7, the calculations reasonably describe the edge shifts, which accompany the structural changes with temperature. However, as expected for a one-electron approach, it fails to reproduce the LMCT peak, and does not show a relevant variation on the pre-edge. Therefore, for a better description of the pre-edge region a different theoretical approach, the charge transfer multiplet model was invoked (Fig. 8.). The quadrupole contributions were calculated using the multiplet code with the same parameters as

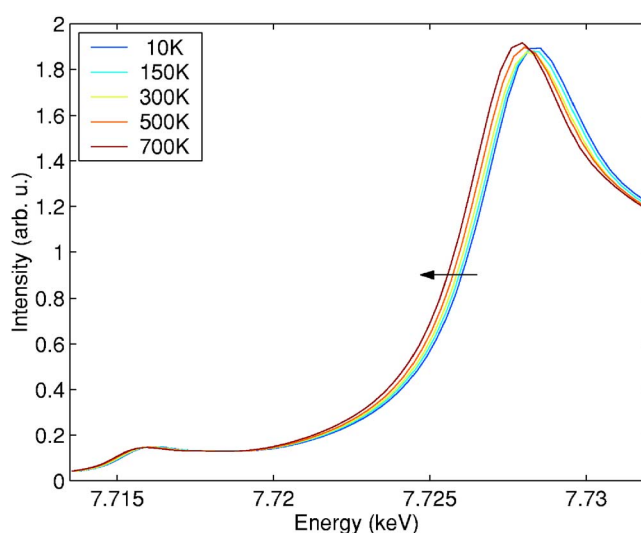


FIG. 7. (Color online) Co K edge of  $\text{LaCoO}_3$  calculated with FEFF8.2 using structural data from the literature (Ref. 14). An arrow indicates the variations at increasing temperature.

presented in Table I. The dipolar part was modeled using a phenomenological density of  $p$ -symmetry final states similar to the model used by Caliebe *et al.*<sup>49</sup> in calculating the pre-edge of Fe  $K$ -absorption edge of  $\text{Fe}_2\text{O}_3$ . The charge transfer multiplet calculation predicts a single peak in the pre-edge for the LS state, which originates from transitions into  $e_g$  levels. When higher spin states are populated, the lower-lying  $t_{2g}$  levels also become accessible at the absorption process due to their partial depopulation. The appearing features are expected to cause a “widening” of the pre-edge, especially in the direction toward lower energies. This is in agreement with the experimental data presented in Figs. 3 and 4. The determination of the spin transition on the basis of these spectra is again challenged by the relatively small differences of the IS and HS line shapes.

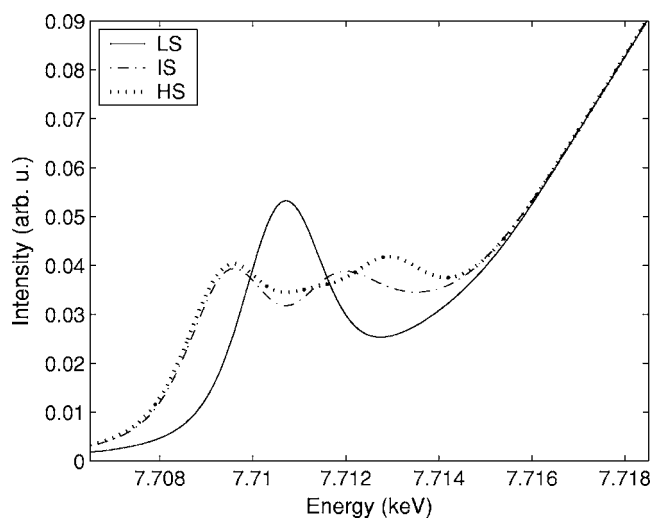


FIG. 8. Results of the multiplet calculations on the pre-edge of the Co  $K$ -edge of  $\text{LaCoO}_3$  for the different spin states

### B. Line shape analysis

Despite the conspicuous effects of the localized spin on the  $K\beta$  spectra, and the evident origin of the satellite, the task of determining the spin from the line shape is not obvious. As multiplet theory shows, the spectra are made up by contributions of numerous terms, and the arising lines can even have different widths due to the mentioned different term-dependent lifetimes. Therefore, fitting the spectra with theory would lead to many parameters whose number might be close to that of the data points. For a phenomenological description, the  $K\beta$  spectra can usually be fitted reasonably with three Voigt functions.<sup>50</sup> This might even serve as a useful approach for examining trends over a large set of compounds, for example; nevertheless, it has little use in quantifying the spin state for a transition which is not completely known.

A more effective approach is to use the combination of proper reference spectra of known spin states of transition metals with similar local structure to model the spectral shape, or eventually to fit it to the data. In such cases the spectra can be reasonably reproduced, and the extent of the transition can be procured, as it was demonstrated in Ref. 27. However, this requires that the reference spectra is taken under similar conditions, i.e., similar resolution, source size, background, and it is seldom that these are all satisfied. Moreover, it might not always be easy to find appropriate reference materials, e.g., for the present study no IS  $\text{Co}^{3+}$  compound was available, and using the  $\text{CoF}_3$  as an HS reference can also give less satisfactory results for a  $\text{CoO}_6$  system due to the differences in the bonding, let alone the uncertainties faced when interpolating the line shapes for the not entirely known IS state. So far the most successful approach is to follow the variation of the spin state with this technique and to plot the integrals of the absolute values of the difference spectra (hereafter IAD), and then scale them to values deduced from some known reference materials.<sup>51</sup> The IAD value for spectra  $\text{SP}_i$  with respect to a reference spectra  $\text{SP}_{ref}$  is obtained as a numerical approximation to Eq. (1):

$$\text{IAD}_i = \int |\text{SP}_i - \text{SP}_{ref}| dE. \quad (1)$$

(Note that this integral would give 0 without taking the absolute values due to the normalization of the spectra.) This approach deserves further consideration as it has many advantages. It is model independent, it can be performed without invoking the results of theoretical calculations, it relies only on proper reference spectra *or* IAD values obtained for a similar transition, and the raw data needs only a simple preparation before the analysis can be used, so it is a promising tool for the rapid determination of the spin state from the XES spectra. On the other hand, it requires that the whole set of experimental data are acquired under similar conditions.

The area differences were so far used for the determination of the spin state in a pragmatic manner.<sup>51</sup> Nevertheless, it can be easily demonstrated that in case of a transition between two states, this approach, although it might look arbitrary at first, is a true probe of the line shape variation,

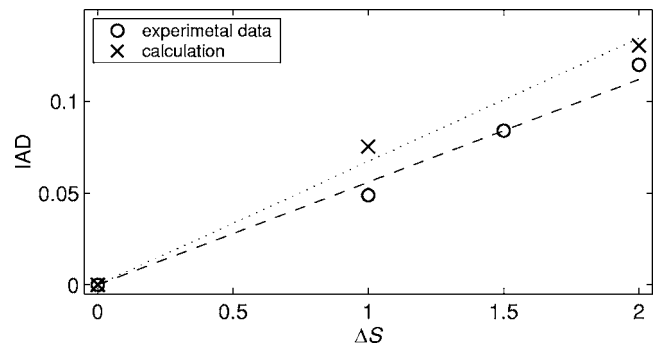


FIG. 9. IAD values for the calculated and the measured reference spectra.

which is parallel to the variation of the spin.<sup>56</sup>

In the present case, however, three spin states might be involved in the transitions. In order to follow the spin state through the line shape variations, we have to examine whether and how the IAD correlates with the spin. Unfortunately, we have no means of expressing this in the form of analytical functions; we can only rely on the values obtained from the simulated and the experimental line shapes. In Fig. 9 the experimental IAD values for the  $\Delta S=0, 1, 3/2,$  and  $2$ , as well as the IADs derived from the calculated spectra of Fig. 6 for the  $S=0, 1,$  and  $2$  states are presented. The IAD obtained for the  $\text{CoF}_3$  HS reference compound (compared to the  $\text{LiCoO}_2$  LS reference) is 0.12 for the  $\Delta S=2$ ;  $\text{LaCoO}_{2.5}$ , which is known to have  $S=3/2$ , gives an IAD of 0.084. Finally, for a molecular spin-transition system of  $\text{Co}^{2+}$  with a  $\Delta S=1$  transition an IAD of 0.049 was obtained.<sup>21</sup> Apparently both IAD sets vary linearly with the spin, thus on the basis of the existing data it seems to be at least a promising approximation to determine the spin state. The linearity possibly arises from the similarity of the environments of Co, as the ligands provide somewhat distorted octahedral surroundings in all cases, and it is well known in multiplet theory that the local symmetry is more important to determine the spectral shape than the nature of ligands or the valence state.<sup>30</sup> The larger values of the calculated ones can be explained by the overestimation of the  $K\beta'$  intensity mentioned above; rescaling this data to the experimental one, using the fraction obtained from the proportion of the slopes of the fitted lines, suggests that the calculation overestimates the line shape variation by 17%.

We followed this approach to analyze the data; the IAD values obtained with Eq. (1) are presented in Fig. 10. In order to convert these values to spin, the linear dependence derived from Fig. 9 was used to rescale the data to determine the average local spin of cobalt as a function of temperature or pressure. The obtained spin values are presented on the right scale of Fig. 10. Note that the estimated spin of  $\text{LaCoO}_{2.5}$  (at  $T=913$  K) is consistent with its known value.

### C. Testing the data with the different scenarios

To determine which spin-transition scenario is in agreement with the data, the temperature behavior of magnetic susceptibility or the anomalous volume expansion are usu-

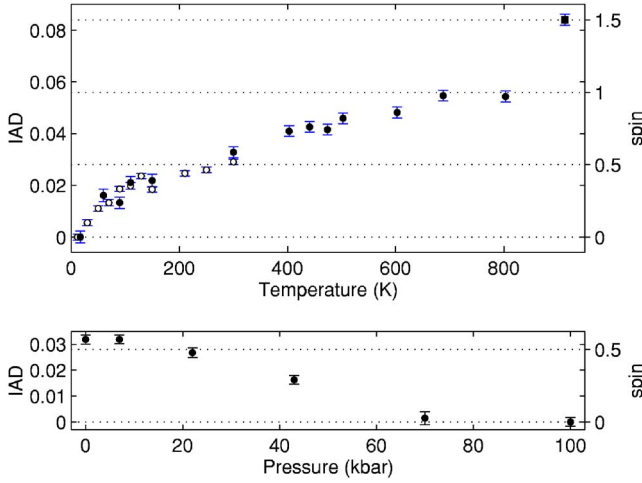


FIG. 10. (Color online) Integrals of the absolute values of the area differences of  $K\beta$  spectra. Top: temperature dependence; the open and filled symbols represent data from two different samples. Bottom: pressure dependence at room temperature. The dotted lines represent one-half increments in the spin value.

ally analyzed in terms of thermally excited localized two- or three-state models.<sup>10,14,42,52</sup> In terms of the three-state model, we can express the average spin on cobalt as the sum of the contribution of the (nonzero spin) excited states,

$$\bar{S}(T) = \sum_{i=1}^2 S_i \nu_i (2S_i + 1) e^{-\Delta_i/k_B T} / Z, \quad (2)$$

where  $S_i$  is the spin of the excited state  $i$ ,  $\Delta_i$  is its energy with respect to the LS ground state, and  $\nu_i$  is its orbital degeneracy, while  $Z$  denotes the partition function. Thus, in the LS/IS/HS scenario  $S_1=1$ ,  $S_2=2$ , while  $\nu_i$  can have different values; for the two-state models the unnecessary term is omitted.

Equation (2) was fitted to the obtained spin data (presented in the top of Fig. 10) to determine the model and orbital degeneracies compatible with it. In Fig. 11 we compare our experimental results on the spin of cobalt and fits to the various models. The simple two-state models do not give an appropriate description, especially the fits with the LS/HS scenario, and those of the LS/IS scenario with a higher IS orbital degeneracy ( $\nu_{IS} > 1$ ) were so poor that they are not included in the discussion. As even the remaining possible two-state model, which involves a transition to the IS excited state with  $\nu_{IS}=1$ , gives a poor fit at high temperatures (see the dashed line), a model with a change in the IS orbital degeneracy ( $\nu_{IS}=1 \rightarrow 3$ ) at high temperatures was also tested. Such “switching on” of the orbital degeneracy at high temperature was suggested by Zobel *et al.* to attain a better agreement with susceptibility data.<sup>10</sup> However, this model, which is shown in Fig. 11 as a dash-dotted line with a jump at 400 K, does not bring a satisfactory improvement to the fit of the high temperature data. Among the three-state models, the ones with an orbitally nondegenerate ( $\nu_{IS}=1$ ) IS first excited state follow most reasonably the temperature evolution of the spin state (solid lines). The necessity of invoking the

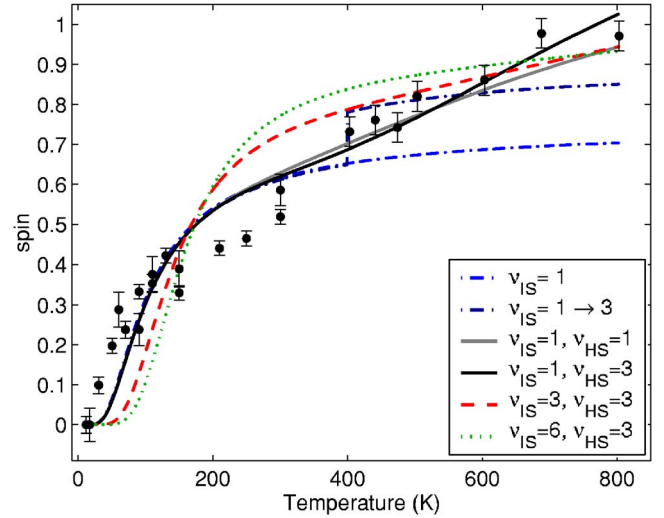


FIG. 11. (Color online) Fit of the temperature evaluation of the cobalt spin state with two- and three-state models described in the text. The legend describes the different orbital degeneracies assumed in the particular models.

third state is evident from a comparison of these lines with that of the previously described two-state models. The fits with the other three-state models that involve higher orbital degeneracy increase too rapidly, so they give a poor description for the entire temperature region (both dotted and dashed lines). With these models, varying the orbital degeneracy of the HS state does not yield a relevant difference, and thus, for clarity, only those with ( $\nu_{IS}=3$ ), which give a slightly better fit, are included in the figure. In brief, in contrast to recent susceptibility measurements,<sup>10,20</sup> the data are best described with the three-state model. The parameters of the fits are shown in Table II; the description of its lines follow the description of the lines in Fig. 11, presented above. In summary, three-state models (LS/IS/HS) with an orbitally nondegenerate ( $\nu=1$ ) IS first excited state give the best description of the temperature evolution of the spin state. The energy gaps obtained for the  $\nu=1$  IS cases, which correspond to temperatures of 188–194 K, are in good agree-

TABLE II. Model orbital degeneracies and fit parameters for the spin on cobalt. The first line describes the LS/IS two-state model, the second is the LS/IS scenario with a change in the orbital degeneracy above 400 K. The other lines belong to LS/IS/HS three-state models.

$\nu_{IS}$	$\nu_{HS}$	$\Delta_{IS}/\text{meV}$	$\Delta_{HS}/\text{meV}$	$\Delta_{IS}/\text{K}$	$\Delta_{HS}/\text{K}$
1		16.2		188	
1 $\rightarrow$ 3		16.6 $\rightarrow$ 32		193 $\rightarrow$ 371	
1	1	16.7	130	194	1504
1	3	16.6	180	193	2085
3	1	31.8	187	369	2164
3	3	31.8	223	369	2592
6	1	43.2	253	501	2938
6	3	43.2	278	501	3223

ment with the values of 180 and 185 K, obtained by Zobel *et al.* recently from magnetic susceptibility measurements and from anomalous thermal expansion, respectively,<sup>10</sup> and with the 180 K obtained with <sup>59</sup>Co NMR.<sup>53</sup>

It should be noted, however, that we assumed the energy gaps  $\Delta_i$  to be independent of temperature. Although this conservative approach was applied in many recent works with success,<sup>10,42,52</sup> modifications of the crystal-field splitting and hybridization strength with temperature are likely to affect the energy of the excited states. Hence, based on a description proposed long ago,<sup>54</sup> Asai *et al.* applied corrections to the spin-state energies, which varied with the extent of the transformation,<sup>12</sup> while Baier *et al.* suggested a straightforward way to extract the temperature dependence from the experimental data at the cost of restraining themselves to the two-state model. In the latter, the extracted  $\Delta(T)$  exhibits a moderate variation at low temperatures, while above the insulator-metal transition ( $T > 500$  K) it drops rapidly.<sup>20</sup> Applied to our data with the appropriate reformulation of Eq. (2), the results of this approach suggest different high-temperature behavior [namely, a more rapid decrease of  $\Delta(T)$  above room temperature] than that obtained from the susceptibility data.<sup>20</sup> Although we do not favor analyzing the data with this approach due to its strong restrictions, we also refrain here from using the other description,<sup>12</sup> since temperature-dependent gaps would require introducing more fit parameters, and this would be challenged by the limitations of the data set presented here. Nevertheless, with the “conservative” approach a reasonable description of the experimental data was achieved, thus we expect that the variation of  $\Delta_i$  should not be dramatic. Actually, many aspects of the temperature behavior of LaCoO<sub>3</sub> support this (especially below the insulator-metal transition), which include relatively small variations of the relevant bond lengths,<sup>14</sup> small excess entropy,<sup>55</sup> and relatively small changes in the vibrational spectra.<sup>15</sup> A more detailed study on LaCoO<sub>3</sub> and its partially substituted compounds will follow this work, which

should permit us to address the nature of the temperature dependence of the higher spin state(s) using more refined models.

## V. CONCLUSIONS

We have studied the temperature and pressure induced spin-state transitions of LaCoO<sub>3</sub> with high resolution x-ray spectroscopies. The XE spectra reflect a continuous temperature variation of the spin localized on the cobalt. Similarly, the PFY XANES spectra show the continuous redistribution of the 3*d* electrons between the *t*<sub>2*g*</sub> and *e*<sub>g</sub> levels of cobalt. We have developed a model-independent way to quantitatively follow the spin transition with x-ray emission spectroscopy through the integrated absolute difference spectra. Our high-pressure study confirmed that the pressure-induced transition reported by Vogt *et al.*<sup>19</sup> is indeed a transition to the LS state. The temperature behavior of the cobalt spin was found to be most compatible with a transition to an orbitally nondegenerate IS state with a gap of about 190 K. The higher transition requires invoking alternate parameters into the description; our data was best described with a partial transition to a HS state. Future detailed experiments utilizing resonant and nonresonant x-ray emission spectroscopy should shed more light on the variations of the electronic and spin state.

## ACKNOWLEDGMENTS

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- <sup>56</sup>For this, it should be confirmed that the IADs and the line shape changes are correlated; it will be shown that the IAD values of the linear combinations of any two normalized functions are proportional to the IAD value of the two functions, and the proportionality factor is the weight in the linear combination. Let us consider the functions  $f(x)$  and  $g(x)$ , which are normalized to give unit area at integration. Consequently, the integral of their difference ( $f-g$ ) is zero, as integration is a linear operation. The corresponding IAD value (let us note it  $\alpha$ ), however, is different from zero if  $f \neq g$ ; thus  $\alpha = \int |f(x) - g(x)| dx$ . A spectrum of an intermediate state can be written as a superposition of  $f$  and  $g$ ;  $SP = c_1 f + c_2 g$ , where  $c_1 + c_2 = 1$ . Its difference from the reference  $g$  is  $SP - g = c_1(f - g)$ . The integral of the absolute value of the latter is  $IAD = c_1 \int |f(x) - g(x)| dx = c_1 \alpha$ ; this proportionality to the full area difference is what makes the IAD value a good measure of the extent of the transformation.