

## Role of carbon for superconductivity in $\text{MgC}_x\text{Ni}_3$ from specific heat

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The influence of carbon deficiency on superconductivity of  $\text{MgC}_x\text{Ni}_3$  is investigated by specific-heat measurements in the normal and superconducting states. In order to perform a detailed analysis of the normal state specific heat, a computer code is developed that allows for an instantaneous estimate of the main features of the lattice dynamics. By analyzing the evolution of the lattice vibrations within the series and simultaneously considering the visible mass enhancement, the loss in the electron-phonon coupling can be attributed to significant changes of the prominent Ni vibrations. The present data well support the recently established picture of strong electron-phonon coupling and ferromagnetic spin fluctuations in this compound.

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### I. INTRODUCTION

The discovery of superconductivity in  $\text{MgC}_x\text{Ni}_3$  has caused much attention,<sup>1</sup> since the large Ni content suggests a magnetic state rather than superconductivity. Indeed, up to now a lot of experimental and theoretical publications have pointed to a ferromagnetic instability at temperatures near and below the superconducting transition temperature  $T_c \approx 7$  K.<sup>2-6</sup> So far there is much discussion on the carbon content in  $\text{MgC}_x\text{Ni}_3$ , since only samples with carbon excess of  $\approx 50\%$  show large  $T_c$  values, whereas for the stoichiometric composition a strongly reduced  $T_c$  is found,<sup>7,8</sup> which may be triggered by enhanced pair-breaking due to increasing spin fluctuations.<sup>9</sup> However specific-heat measurements indicate that this is not the case, but instead the electron-phonon coupling is significantly reduced,<sup>5</sup> accompanied by a considerable hardening of low-energy vibrations.<sup>10</sup> Band structure calculations predict a constant<sup>9</sup> or decreasing<sup>5</sup> electron density of states (EDOS) at the Fermi level, leaving the interesting prospect of significantly changing lattice dynamics. Recent measurements of the carbon isotope effect support this picture.<sup>11</sup>

Polycrystalline samples of  $\text{MgC}_x\text{Ni}_3$  have been prepared by solid-state reaction. The high volatility of Mg was balanced in the usual way by Mg excess. Three samples of nominal composition  $\text{Mg}_{1.2}\text{C}_{x_n}\text{Ni}_3$  with  $x_n=0.75, 0.85,$  and  $1.00$  ( $n$ =nominal) have been prepared. For comparison, the previously reported sample with  $x_n=1.60$  and  $T_c=6.8$  K is chosen.<sup>6</sup> Details on the preparation procedure have been published elsewhere.<sup>1</sup> The obtained samples were characterized by x-ray diffractometry. Due to the relatively low carbon content, no additional graphite was found in the samples; instead, a small fraction of  $\text{MgNi}_2$  forms with decreasing carbon content. However, the fraction never exceeds 6 vol. % and has minor influence on the following specific-heat analysis. The positions of the reflexes in the x-ray diffractograms are shifted to higher angles for decreasing carbon content. The left panel of Fig. 1 shows a magnification of the region  $2\Theta \approx 84^\circ$ , where the influence of the carbon deficiency is visible. For a full diffractogram of the  $x_n=1.60$  sample, see Ref. 6. The lattice constants of the samples were determined using the Rietveld code

FULLPROF.<sup>12</sup> From that the effective carbon content was estimated according to Ref. 7. The dependence is plotted in the right panel of Fig. 1 together with the estimated superconducting transition temperatures.<sup>7</sup> It is obvious that the present samples with  $x_n \leq 1.00$  are multiphase samples. However, this is of minor importance for the following analysis. The specific heat was measured for  $T=2-300$  K and magnetic fields up to  $\mu_0 H=12$  T using a Quantum Design Physical Property Measurement System. For the sample with  $x_n=1.00$ , which shows a considerably broadened superconducting transition (due to its multiphase nature) the measurement was extended down to  $T=0.3$  K.

### II. COMPUTATIONAL DETAILS

From the mathematical point of view, the extraction of the phonon density of states (PDOS) from specific-heat measurements is ill posed, because a number of very different PDOS can lead to very similar specific-heat curves. From the experimental point of view, one has to extract the phonon specific heat from the electron and other nonphononic backgrounds exactly. In reality, temperature-dependent effects (e.g., softening) then prevent an inversion approach in most cases. From this starting point, we developed a computer code based on simple models—namely, a Debye and an Einstein model—in order to get a rough estimate on the lattice

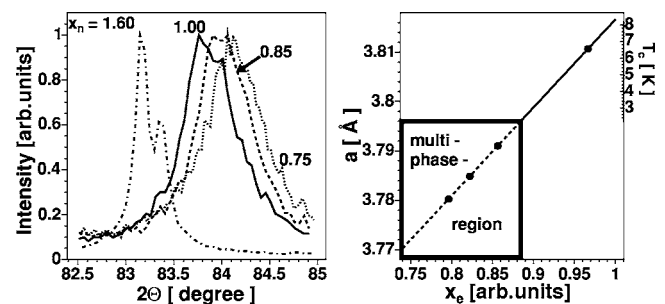


FIG. 1. Structural influence of nominal carbon content on  $\text{MgC}_x\text{Ni}_3$ . Left panel: x-ray diffractogram around  $2\Theta \approx 84^\circ$ . Right panel: relation between lattice constant  $a$ , effective carbon content  $x_e$  and  $T_c$  according to Ref. 7 for the investigated samples.

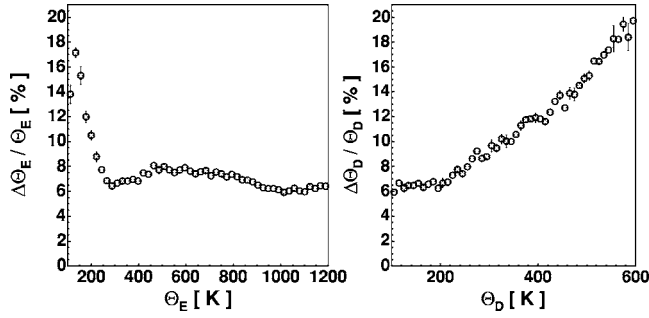


FIG. 2. Energy resolution  $\Delta\Theta_{Di}/\Theta_{Di}$  and  $\Delta\Theta_{Ei}/\Theta_{Ei}$  of the code in a background of 6(5) random Einstein and 1(2) random Debye terms. Left panel: energy resolution for one Einstein vibration. Right panel: energy resolution for one Debye vibration.

dynamics from specific-heat measurements instead of a mathematical exact inversion. Specifications of the code, which is fully based on ROOT,<sup>13</sup> will be published elsewhere. Here we want to sketch only the main ideas and to illustrate the capabilities of the code. The specific heat of a compound with  $n$  atoms per unit cell has  $3n-3$  optical and 3 acoustic vibrations that can be approximated by a linear combination of Einstein and Debye models. The contribution of the Einstein phonons to the specific heat is given by

$$c_E(T) = \sum_{i=3}^N R \left( \frac{\Theta_{Ei}}{T} \right)^2 \frac{\exp(\Theta_{Ei}/T)}{[\exp(\Theta_{Ei}/T) - 1]^2},$$

with number of optical modes  $N$  and Einstein temperatures  $\Theta_{Ei}$ . The Debye contribution reads

$$c_D(T) = \sum_{i=0}^2 3R \left( \frac{T}{\Theta_{Di}} \right)^3 \int_0^{\Theta_{Di}/T} dx \frac{e^x x^4}{(e^x - 1)^2},$$

with Debye temperatures  $\Theta_{Di}$ . The form of the phonon density of states is thereby simplified as:

$$F(\omega) = 3R\omega^2 \sum_{i=0}^2 \frac{\theta(\omega_{Di} - \omega)}{\omega_{Di}^3} + \sum_{i=3}^N \frac{\exp\left[-\frac{(\omega - \omega_{Ei})^2}{2\sigma_i^2}\right]}{\sigma_i \sqrt{2\pi}},$$

with step function  $\theta(x_0 - x)$  and the characteristic temperatures in meV, indicated by  $\omega_{Di}$  and  $\omega_{Ei}$ . For the case of  $\text{MgCNi}_3$ , one is left with 3 Debye and 12 Einstein terms, adding up to 15 parameters. To avoid the need of restrictions on the parameters, we developed a fast converging algorithm. It makes use of a library containing integrated values of a single Debye and Einstein model for  $\Delta T = 1$  K temperature intervals between  $T = 2$  and 300 K and the overall integral of the two models in the interval  $T = 2 - 300$  K. The algorithm integrates the measured specific heat in a number of temperature intervals and once over the measurement range  $T = 2 - 300$  K. The use of the overall integral for the fitting procedure accelerates the procedure considerably and, most important, allows the omission of parameter restrictions. The code was statistically tested by randomly distributing six Einstein and two Debye terms. The fitting result was then compared to the starting conditions. Figure 2 shows the re-

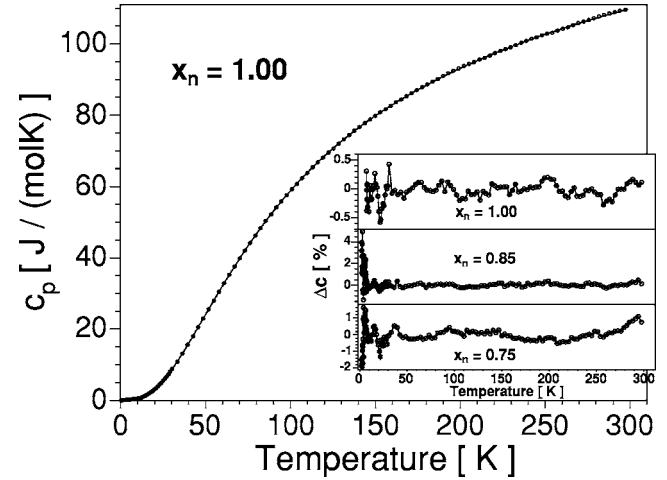


FIG. 3. Specific heat of  $\text{MgC}_{1.00}\text{Ni}_3$ . Solid line: specific-heat fit (see text for details). Inset: relative difference between fit and specific heat for  $x_n = 1.00$ , 0.85 and 0.75 (from top to bottom).

sulting energy resolution gained from 20 000 analyzed specific-heat model-curves. This test shows that the energy resolution is weak for low-energy optical and high-energy acoustic vibrations, which are both rare cases. However, there is still potential in the algorithm to improve the energy resolution, for example, by using a set of weighting factors for different temperature regions, which we are currently working on.

### III. ANALYSIS AND DISCUSSION

The specific heat of the sample with  $x_n = 1.00$  is shown in Fig. 3 (the samples with  $x_n = 0.85$  and 0.75 show very similar results and are omitted for clarity). The specific heat of  $\text{MgCNi}_3$  is given by a lattice part  $c_{\text{lattice}}(T)$ , determined by the above-mentioned code, and an electron part  $\gamma_N T$  with the Sommerfeld parameter

$$\gamma_N = [1 + \lambda_{\text{ph}} + \lambda_{\text{sf}}(0)]\gamma_0, \quad (1)$$

with free electron parameter  $\gamma_0 = 11$  mJ/(mol K<sup>2</sup>). The mass enhancement due to the electron-paramagnon coupling can be estimated from

$$\lambda_{\text{sf}}(T) = \frac{6}{\pi k_B T} \int_0^\infty d\omega \alpha^2 F_{\text{sf}}(\omega) \{-z - 2z^2 \text{Im}[\psi'(iz)] - z^3 \text{Re}[\psi''(iz)]\}, \quad (2)$$

where  $\psi(iz)$  is the digamma function and  $z = \omega/(2\pi k_B T)$ . The electron-paramagnon spectral density is given by

$$\alpha^2 F_{\text{sf}}(\omega) = a\omega\theta(\omega_{\text{sf}} - \omega) + \frac{b}{\omega^3}\theta(\omega - \omega_{\text{sf}}).$$

The paramagnon energy, which was estimated in the case of  $x_n = 1.60$  as  $\omega_{\text{sf}} \approx 2.15$  meV,<sup>6</sup> was also used for the present samples. The black line in Fig. 3 is the result of the fitting procedure. The differences between the data and fit results are shown in the inset for  $x_n = 0.75$ , 0.85, and 1.00. They are

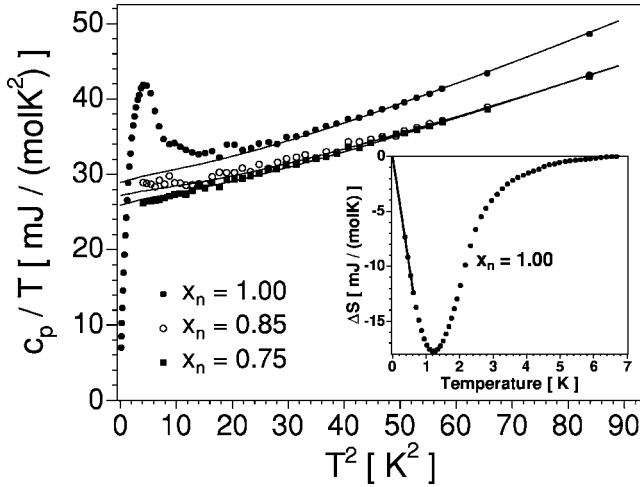


FIG. 4. Specific heat of  $\text{MgC}_x\text{Ni}_3$  at low temperatures. Solid lines: fits to the data (see text for details). The anomaly at  $T \approx 2.5$  K for sample  $x_n=1.00$  indicates the superconducting transition. Inset: entropy change of the electronic specific heat within the superconducting state for  $x_n=1.00$ .

very small, in particular for  $x_n=0.85$  and  $1.00$ , indicating the success of the fitting procedure.

Figure 4 shows the low-temperature region of the specific heat. The reduction of  $\gamma_N$  and the increasing flattening of the curves with decreasing carbon content was already discovered in a previous work by Shan *et al.*<sup>5</sup> For  $x_n=1.00$ , a strongly broadened superconducting transition is visible at  $T_c \approx 2.5$  K, in accord with the mentioned multiphase nature. The deviation from the low-temperature Debye approximation (linear behavior) due to spin fluctuations is clearly seen for all samples (Fig. 4).

The model description of the normal state is given as solid lines. For  $x_n=1.00$ , the conservation of entropy of the superconducting state, which is expected for a phase transition of second order, was used as an additional requirement for a successful fit (inset of Fig. 4).  $\gamma_N$  was varied with a step size of  $0.05$  mJ/mol  $\text{K}^2$  until the relative difference shown in the inset of Fig. 4 was minimized. Close to the final value of  $\gamma_N$ , the derived sets of vibrational parameters did not appear qualitatively different from each other. The results of the fitting procedure are summarized in Table I. The electron-paramagnon coupling constant  $\lambda_{sf}(0)$  only slightly decreases by lowering the carbon content, despite a predicted increase

TABLE I. Experimental quantities and electron-boson coupling constants as derived from the electronic specific heat.

		$\text{MgC}_x\text{Ni}_3$			
$x_n$	[a.u.]	1.60	1.00	0.85	0.75
$x_c$	[a.u.]	0.967	0.856	0.822	0.796
$T_c^{\text{exp}}$	[K]	6.80	$\sim 2.5^a$	$< 2$	$< 2$
$\gamma_N$	[mJ/mol $\text{K}^2$ ]	31.4	24.8	23.5	22.7
$\lambda_{\text{ph}}$		1.84	1.25	1.14	1.06
$\lambda_{sf}(0)$		0.43	0.38	0.35	0.30

<sup>a</sup>With  $T_c^{\text{onset}} \approx 6$  K from ac susceptibility measurements.

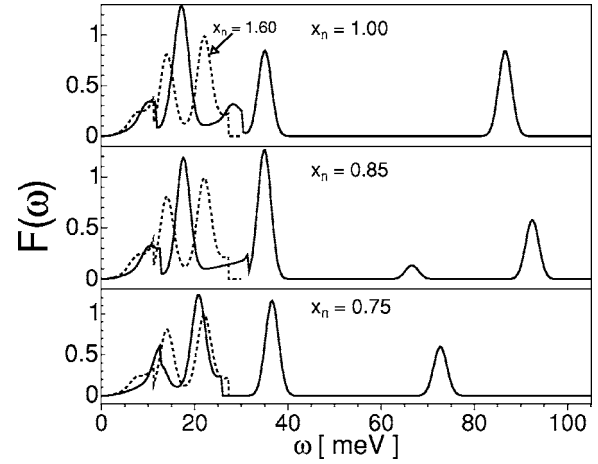


FIG. 5. Phonon density of states of  $\text{MgC}_x\text{Ni}_3$ . Dashed lines: result for  $x_n=1.60$  (see Ref. 6).

of spin fluctuations.<sup>9</sup> The electron-phonon coupling constant shows a strong decrease from  $\lambda_{\text{ph}}=1.84$  ( $x_n=1.60$ ) down to  $\lambda_{\text{ph}}=1.06$  ( $x_n=0.75$ ), in agreement with results obtained by Shan *et al.*<sup>5</sup>

Figure 5 shows the resulting phonon density of states  $F(\omega)$  for  $x_n=0.75$ ,  $0.85$ , and  $1.00$  in comparison with previous results for  $x_n=1.60$ .<sup>6</sup> There are two main changes visible within the series. First, there is a considerable change of the high-energy mode, which is expected, since it is dominated by carbon. Second, all low-energy modes are slightly shifted to higher energies, accompanied by the shrinking of the unit cell. The extent of this behavior can be quantified by calculating the characteristic phonon frequency:

$$\omega_{\text{ln}} = \exp \left[ \frac{2}{\lambda_{\text{ph}}} \int_0^{\infty} d\omega \frac{\alpha^2(\omega)F(\omega)}{\omega} \ln(\omega) \right],$$

with

$$\lambda_{\text{ph}} = 2 \int_0^{\infty} d\omega \frac{\alpha^2(\omega)F(\omega)}{\omega} \quad (3)$$

and  $\alpha^2(\omega)$  as the electron-phonon interaction function. In a previous work we suggested to use an approach of the form  $\alpha^2(\omega) = \delta/\sqrt{\omega}$ , with scaling parameter  $\delta$  to approximate the electron-phonon interaction function. Within this approach, the low-energy phonons are more strongly weighted. Calculating  $\omega_{\text{ln}}$  for the present samples, an increase from  $\omega_{\text{ln}} = 154$  K ( $x_n=1.00$ ) to  $162$  K ( $x_n=0.85$ ) and  $164$  K ( $x_n=0.75$ ), compared to  $\omega_{\text{ln}} = 143$  K for  $x_n=1.60$  is found.<sup>6</sup> Even by leaving out the carbon-dominated high-energy mode in the calculation,  $\omega_{\text{ln}}$  is still increasing [ $\omega_{\text{ln}} = 148$  K ( $x_n=1.00$ ),  $\omega_{\text{ln}} = 154$  K ( $x_n=0.85$ ),  $\omega_{\text{ln}} = 155$  K ( $x_n=0.75$ )].

This unambiguously demonstrates that the influence of carbon on the low-energy modes, which are crucial for the observed strong electron-phonon coupling in  $\text{MgCNi}_3$  (see Ref. 14) cannot be neglected. A similar result was already derived from structural investigations.<sup>7</sup> In order to stress this point,  $\lambda_{\text{ph}}$  was calculated from Eq. (3), using  $\delta \approx 4.8$  from Ref. 6. Figure 6 shows a comparison of  $\lambda_{\text{ph}}$  determined by

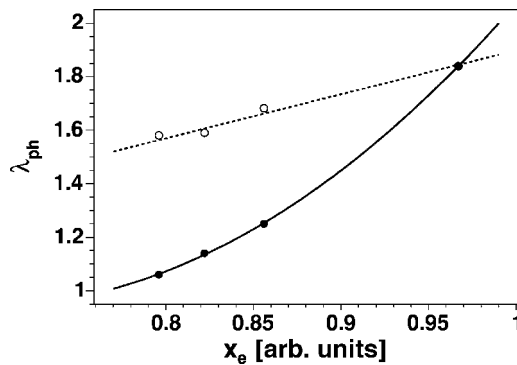


FIG. 6. Electron-phonon coupling constant vs effective carbon content. Filled symbols: determined from Eq. (1). Open symbols: calculated from Eq. (3). The lines are guides to the eye.

Eq. (1) and Eq. (3), respectively. From the phonon density of states, a linear dependence from the effective carbon content  $x_e$  is derived (open symbols), which strongly overestimates the nonlinear result determined from Eq. (1) (filled symbols). This strongly indicates that carbon deficiency not only influences the low-energy phonons within the series but also changes the electron-phonon interaction function  $\alpha^2(\omega)$ , entering Eq. (3).

The present results clearly point to the importance of carbon for stabilizing the low-energy Ni-dominated phonon modes, which are expected to lead to the high electron-phonon coupling in this compound.<sup>14</sup> A similar scenario was established by Johannes and Pickett,<sup>10</sup> who attributed the phonon hardening in carbon-deficient  $\text{MgCNi}_3$  and the related carbon-deficient  $\text{ZnCNi}_3$  to the low-energy Ni “breathing” mode.<sup>10</sup> It seems unlikely that the observed strong decrease of  $\lambda_{\text{ph}}$  is explained by the decreasing lattice parameter only, since pressure experiments show an increase of  $T_c$  with increasing pressure.<sup>15,16</sup> Considering a possible decrease of the EDOS,<sup>5</sup> one would expect slightly higher values of  $\lambda_{\text{ph}}$ . However, considering the well known McMillan formula and the low  $T_c \lesssim 2.5$  K of the samples with  $x_n \leq 1.00$ , this effect seems to be negligible.<sup>6</sup> The present results may also help to understand recent investigations of the carbon isotope effect performed by Klimczuk and Cava,<sup>11</sup> which is much stronger than expected from calculations.<sup>14,17</sup> Further experimental and theoretical studies of the evolution of the EDOS as well as the PDOS of carbon-deficient and isotope-pure samples and the behavior of the electron-phonon interaction function  $\alpha^2(\omega)$  are highly desirable.

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