

Anisotropic pyrochlores and the global phase diagram of the checkerboard antiferromagnet

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We study the phase diagram of two models of spin-1/2 antiferromagnets composed of corner-sharing tetrahedra, the basis of the pyrochlore structure. Primarily, we focus on the Heisenberg antiferromagnet on the checkerboard lattice (also called the planar pyrochlore and crossed-chain model). This model has an anisotropic limit, when the dimensionless ratio of two exchange constants $J_{\times}/J \ll 1$, in which it consists of one-dimensional spin chains coupled weakly together in a frustrated fashion. Using recently developed techniques combining renormalization group ideas and one-dimensional bosonization and current algebra methods, we show that in this limit the model enters a crossed-dimer state with twofold spontaneous symmetry breaking but no magnetic order. We complement this result by an approximate “quadrumer triplet boson” calculation, which qualitatively captures the physics of the “plaquette valence-bond solid” state believed to obtain for $J_{\times}/J \approx 1$. Using these known points in parameter space, the instabilities pointed to by the quadrumer boson calculation, and the simple limit $J_{\times}/J \gg 1$, we construct a few candidate global phase diagrams for the model, and discuss the nature of the quantum phase transitions contained therein. Finally, we apply our quasi-one-dimensional techniques to an anisotropic limit of the three-dimensional pyrochlore antiferromagnet, an approximate model for magnetism in GeCu_2O_4 . A crossed-dimer state is predicted here as well.

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I. INTRODUCTION

We consider one of the most frustrated two-dimensional (2D) antiferromagnets, the checkerboard antiferromagnet, also known as the planar pyrochlore and the crossed-chain model (CCM). As the name suggests, this model is motivated by the three-dimensional (3D) pyrochlore materials. The 2D model is obtained by a projection of 3D corner-sharing lattice of tetrahedra on a 2D plane. This projection maps a four-spin tetrahedron onto a four-spin square with additional links (antiferromagnetic exchanges) along the diagonals. The structure obtained in this way, depicted in Fig. 1, preserves the corner-sharing arrangement of crossed squares, typical of the original 3D pyrochlore lattice, but destroys the symmetry between bonds of the tetrahedron: in two dimensions, the horizontal and vertical bonds are not equivalent to diagonal ones. This lowering of symmetry suggests consideration of extended 2D models with the checkerboard structure where exchange interactions on horizontal and vertical versus diagonal bonds take on different values. Among these, the quasi-one-dimensional limit, in which exchange along horizontal and vertical directions J is much stronger than that along diagonal directions J_{\times} , is of special interest because it involves competition between strong quantum fluctuations, typical for one-dimensional (1D) spin chains, and equally strong geometric frustration encoded in the structure of the crossed-chain lattice.

The resulting checkerboard antiferromagnet has been analyzed by a variety of techniques along several complementary “directions” in the parameter space: semiclassical analysis in the limit of large spin $S \gg 1$,¹⁻³ large- N expansion,⁴⁻⁶ easy-axis generalization (of the 3D model),⁷ and a quasi-1D ($J_{\times}/J \ll 1$) approach.⁸ In parallel with analytic approaches, the model was investigated numerically via exact diagonal-

ization studies⁹⁻¹¹ and cluster-based strong-coupling expansion techniques.¹²⁻¹⁴ The present paper complements these approaches by combining a controlled analysis of the quasi-1D limit with general arguments to pin down limits of the phase diagram and postulate a likely global phase structure of the model.

We begin by expounding the more general context of the problem. One of the central theoretical motivations behind the study of frustrated quantum magnets is the hope that, when magnetic ordering is suppressed by frustration, more unusual types of order or even criticality may emerge. Phe-

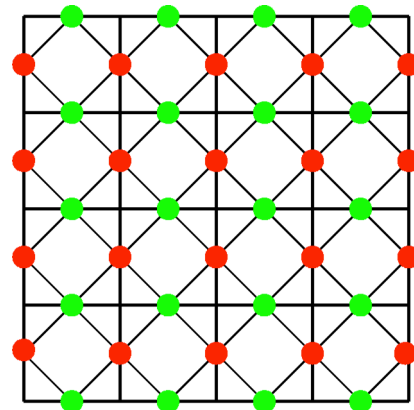


FIG. 1. (Color online) Heisenberg antiferromagnet on the checkerboard lattice, viewed as coupled spin chains. Horizontal (vertical) spin chains run along the x (y) axis. Spins belonging to the horizontal (correspondingly, vertical) chains are shown as green (light gray) [correspondingly, red (dark gray)] filled circles. The intrachain exchange (thick lines) is J , and the interchain exchange (diagonal thin lines) is J_{\times} .

nomenological approaches suggest possible interesting quantum phases exhibiting “valence-bond solid” (VBS) order, in which spins pair into singlets that are spontaneously localized on specific bonds, breaking lattice symmetries. More exotically, such approaches suggest the possibility of phases with “topological order,” in which spins fluctuate quantum mechanically in a liquidlike state with, however, subtle topological properties and often excitations with anomalous (e.g., fractional) quantum numbers. More recent predictions from such theories also include “deconfined” quantum critical points and phases in which several types of quasi-long-range (power-law) orders coexist unconnected by microscopic symmetries.

Unfortunately, these types of phenomenological methods do not give precise guidance as to the specific models in which such quantum orders appear, and attempts to find them in realistic microscopic Hamiltonians have met with at best limited success. The one specific context in which examples of all the above phenomena are, however, known to occur is in one-dimensional spin chains. Moreover, the theoretical and microscopic understanding of such spin models is vastly more complete than in two or three dimensions. A natural hunting ground for the exotic phenomenology described above would hence seem to lie in spin models consisting of chains weakly coupled into two- or three-dimensional arrays. A recently gained understanding of the crucial role of nominally irrelevant operators and fluctuation-generated interactions in describing frustrated quasi-1D magnetic systems,¹⁵ described below, brings the hunt to (some degree of) fruition.

In this paper, as in a previous work,¹⁵ we follow this approach, taking as the weakly coupled units in question $S=1/2$ Heisenberg nearest-neighbor antiferromagnetic chains (other further-neighbor interactions along each chain may be included, provided they are not overly strong). A cause for hope is that such a 1D chain is well known to exhibit a critical ground state with power-law correlations of various types. One prominent type of correlation in such a chain is antiferromagnetic, specifically,

$$\langle \vec{S}(n) \cdot \vec{S}(n') \rangle \sim \frac{(-1)^{n-n'}}{|n-n'|} + \dots, \quad (1)$$

where n is the coordinate along the chain, and the angular brackets indicate a ground-state expectation value. The omitted \dots terms decay much faster ($\sim 1/|n-n'|^2$ or faster) than the dominant slowly decaying antiferromagnetic one shown here (we have also for simplicity neglected an unimportant multiplicative logarithmic correction to this term). The dominance of antiferromagnetic correlations in the two-spin correlation function often leads to the misconception that a good picture of the ground state of the 1D Heisenberg chain is that of fluctuating local antiferromagnetic order, i.e., a magnet in which spins are locally Néel ordered but the quantization axis fluctuates in space and time. Such a picture is in fact incomplete. This becomes clear upon considering the fluctuation of the local bond energy or dimerization,

$$B(n) = \vec{S}(n) \cdot \vec{S}(n+1) - \langle \vec{S}(n) \cdot \vec{S}(n+1) \rangle. \quad (2)$$

One finds that its (staggered) correlations

$$\langle B(n)B(n') \rangle \sim \frac{(-1)^{n-n'}}{|n-n'|} + \dots \quad (3)$$

have *precisely* the same slow power-law decay (again, up to a multiplicative logarithmic correction) as the antiferromagnetic ones in Eq. (1) above. Further examination of other correlators reveals no additional power-law correlations with competitive slow decay. Thus the 1D Heisenberg chain should be thought of as consisting of locally fluctuating antiferromagnetic *and* valence-bond solid orders of comparable strength.

With this understanding, it is natural to expect that weakly coupled arrays of such chains might be pushed by the interchain coupling into magnetically ordered, dimer-ordered, or perhaps critical states, if this coupling favors the intrinsic antiferromagnetic or VBS ordering tendency, or fosters their balanced competition, respectively. While we believe this reasoning to be essentially correct, for many years, the richness of such possible behaviors went unrealized in the literature. This is because if the spin chains are linked by magnetic two-spin Heisenberg interactions, these couple primarily to the antiferromagnetic fluctuations within the chains, and not to the VBS ones. Hence, for such a case, the problem of Heisenberg spin chains coupled by weak interchain interactions is rather well understood. With nonfrustrated transverse (with respect to the chain direction) couplings, both renormalization group¹⁶ and self-consistent mean-field¹⁷ analysis predict an instability toward a classical long-range-ordered phase characterized by a nonzero expectation value of the spin $\langle \vec{S}_z \rangle \neq 0$. This instability follows from the correlations in Eq. (1), which, loosely speaking, make the spin chain highly susceptible to magnetic ordering.

More recently, it was recognized that the situation becomes more interesting and less clearcut when the interchain interaction is strongly frustrated, as is the case for the crossed-chain model we investigate here. The effect of frustration is to reduce and, ultimately, nullify the effective interchain magnetic field experienced by spins of the chain due to transverse interchain exchange interactions [due to cancellations between contributions from spins whose local fluctuating orientations, according to Eq. (1), are antiparallel]. With no effective external field present, the classical ordering instability is naturally absent, resulting in (almost) decoupled behavior of distinct spin chains. In formal calculations embodying this physical picture, the weak residual interchain interaction which does not cancel with predominantly antiferromagnetic correlations appears to be described by the scalar product of conserved spin currents from the chains involved. This observation led to the proposal that, as a result, the system of such coupled chains forms a liquidlike ground state with fractionalized spin excitations (spinons). The systems considered included a frustrated spin ladder,^{18,19} its 2D extension, i.e., the spatially anisotropic frustrated square lattice antiferromagnet,²⁰ and the crossed-chain model.⁸

As shown in Ref. 15, in the former two cases these conclusions are in fact incorrect, due to the neglect of the VBS correlations, Eq. (3), equally as inherent as the antiferromagnetic ones to the Heisenberg chain. Although the microscopic

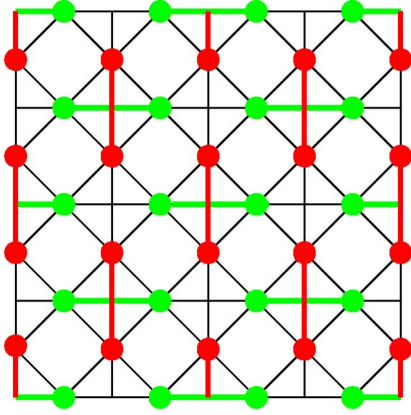


FIG. 2. (Color online) Crossed-dimer dimerization pattern. “Strong” bonds (where $\epsilon > 0$) on horizontal (vertical) chains are shown in green (light gray) [red (dark gray)]. As before, spins on horizontal (vertical) chains are denoted by green (light gray) [red (dark gray)] circles.

magnetic exchange between spins on different chains does not directly couple to VBS fluctuations, such a dimer coupling between (certain pairs of) chains is inevitably generated by the weak residual magnetic interactions remaining after the dominant antiferromagnetic cancellation. A careful analysis of the types of such dimer couplings allowed by symmetry and the detailed mechanism of their generation are crucial in determining the fate of the spin system and the strength of any ordering tendency.

Technically, this analysis can be accomplished in a controlled fashion using powerful field-theoretical methods borrowed from 1D physics. The point, made in Ref. 15, is that no fine tuning of the two-spin interchain exchange interaction can make the low-energy field theory exactly of current-current type. Some higher-order derivative terms (typically involving spatial derivatives of the staggered magnetization field) are bound to be present (as getting rid of all of them to all orders would require tuning an infinite number of interchain couplings to zero). Such derivative terms are commonly neglected on the grounds of their irrelevance with respect to the Luttinger liquid fixed point of the independent spin chain. However, the quasi-1D problem is not the same as the purely 1D one. Instead of disregarding irrelevant high-derivative terms from the outset, one has to consider if they, in combination with the leading current-current term, can produce quantum corrections to the *relevant* interchain couplings. This indeed occurs both in the models of Ref. 15, and, as we will see, in the crossed-chain model studied here.

In the present paper we extend the analysis of Ref. 15 to the CCM and show that the previous claim of a sliding Luttinger liquid ground state⁸ is not correct. Instead, similarly to the spatially anisotropic square lattice model discussed above, the ground state is of spontaneously dimerized type, albeit with staggered ordering of dimers on parallel chains. The resulting configuration, shown in Fig. 2, can be described as a *crossed-dimer* one.

The paper is organized as follows. Section II describes the Hamiltonian of the CCM, its lattice symmetries, and the passage to the field-theoretical description of the low-energy

degrees of freedom and the operator product algebra they form. Section III describes perturbative analysis of the model in the one-dimensional limit of weakly coupled chains, $J_{\times}/J \ll 1$. It contains key technical details of our work and explains the mechanism by which the crossed-dimer phase is stabilized. The limit of the fully two-dimensional model ($J_{\times} \approx J$), the planar pyrochlore antiferromagnet, is analyzed within the plaquette-operator mean-field approximation in Sec. IV. This is followed by Sec. V, which summarizes the preceding material in terms of two possible scenarios for the global zero-temperature phase diagram of the checkerboard antiferromagnet. There we present phenomenological symmetry-based analyses of the quantum phase transitions between various phases of the model (and also point out an interesting connection with the recent deconfined quantum critical point idea). Section VI describes a three-dimensional extension of our model, the quasi-one-dimensional pyrochlore antiferromagnet, and its possible relevance to the experiments on GeCu_2O_4 and ZnV_2O_4 . Our main points are briefly summarized in Sec. VII. Two Appendixes contain important technical details of the fermionic formulation of the low-energy sector of the $S=1/2$ isotropic Heisenberg chain.

II. FROM LATTICE TO CONTINUUM FIELD THEORY

A. Lattice model and symmetries

The Hamiltonian of the system H describes a collection of horizontal (H_h) and vertical (H_v) Heisenberg chains interacting with each other via the interchain interaction V :

$$H = H_0 + V = H_h + H_v + V. \quad (4)$$

Spins ($S=1/2$) are located at the sites of the checkerboard (crossed-chain) lattice shown in Fig. 1. The crossings of the lattice have integer coordinates (n, m) , so the sites of horizontal chains have half-integer x coordinates $n + \frac{1}{2}$ and integer y coordinate m , while sites of the vertical chains are described by $(n, m + \frac{1}{2})$ pairs. With this convention the Hamiltonian of horizontal chains reads

$$H_h = J \sum_{n,m} \vec{S}_h(n - 1/2, m) \cdot \vec{S}_h(n + 1/2, m). \quad (5)$$

Similarly, H_v is given by

$$H_v = J \sum_{n,m} \vec{S}_v(n, m - 1/2) \cdot \vec{S}_v(n, m + 1/2). \quad (6)$$

With local uniform magnetization defined by

$$\vec{s}_h(n, m) = \vec{S}_h(n - 1/2, m) + \vec{S}_h(n + 1/2, m), \quad (7a)$$

$$\vec{s}_v(n, m) = \vec{S}_v(n, m - 1/2) + \vec{S}_v(n, m + 1/2), \quad (7b)$$

the interchain interaction reads

$$V = J_{\times} \sum_{n,m} \vec{s}_h(n, m) \cdot \vec{s}_v(n, m) \quad (8)$$

and is characterized by the interchain exchange $J_{\times} > 0$ which is much smaller than the in-chain antiferromagnetic ex-

change $J > 0$. We note that J_\times is the nearest-neighbor exchange on the checkerboard lattice while J is the next-nearest-neighbor exchange interaction.

The space group symmetries of H , Eq. (4), can now be summarized. The translational subgroup is generated by unit translation along the horizontal chains T_h and that along the vertical chains T_v . The remainder is generated by $\pi/2$ rotations about a crossing, and reflections about, e.g., a vertical line through either a site or midpoint of a bond of a horizontal chain. We denote these two operations ‘‘site parity’’ P_{sh} and ‘‘link parity’’ P_{Lh} , respectively. As these are microscopic lattice symmetries, they will be preserved by any renormalization group transformation. Observe that P_L is a product of two other operations: $P_{Lh} = P_{sh} \circ T_h$.

B. Continuum field theory and scaling operators

The limit $J_\times \ll J$ allows us to approach the problem from one-dimensional perspective: we treat V as a perturbation and ask whether it can destabilize the critical ground state of the independent (decoupled) spin chains. The smallness of the J_\times/J ratio allows us to take the continuum limit along every chain involved. As mentioned in the Introduction, a single Heisenberg chain is described in the continuum limit (i.e., at low energies) by a universal critical theory, with a variety of power-law correlations. Formally, this is most compactly described as the Wess-Zumino-Witten (WZW) $SU(2)_1$ theory,²¹ with the action^{22,23} (in 1+1 dimensions)

$$S_{\text{WZW}} = \frac{1}{8\pi} \int d^2x \text{Tr} \partial_\mu g^\dagger \partial_\mu g - \frac{i}{12\pi} \int d^3x \epsilon_{\mu\nu\lambda} \text{Tr} g^\dagger \partial_\mu g g^\dagger \partial_\nu g g^\dagger \partial_\lambda g. \quad (9)$$

Here g is an $SU(2)$ matrix. The coordinate $x_0 = v\tau$ (v is the spin velocity and τ is imaginary time) and $x_1 = x$, the coordinate along the chain, and d^3x is defined by extending this 2D space into a three-dimensional hemisphere $x_2 < 0$, the boundary of which is the (compactified) physical 2D plane (x_0, x_1) , and analytically continuing $g(x_0, x_1)$ into this hemisphere such that $g(x_0, x_1, x_2 \rightarrow -\infty) \rightarrow 1$ and $g(x_0, x_1, x_2 = 0) = g(x_0, x_1)$. This formal action is not of very much direct practical use, but serves to illustrate the underlying degrees of freedom of the critical theory. All operators in the WZW $SU(2)_1$ theory can be constructed from g . Corresponding to the two dominant power-law correlations in Eqs. (1) and (3), there are two scaling operators^{22,23}

$$\vec{N} \sim -i \text{Tr} g \vec{\sigma}, \quad (10)$$

$$\epsilon \sim \text{Tr} g. \quad (11)$$

Here $\vec{\sigma}$ is the vector of Pauli matrices, and the \sim indicates that the proportionality between these fields and the physical staggered magnetization and dimerization involves a cutoff-dependent factor. The operator \vec{N} represents the local staggered magnetization, while ϵ represents the local staggered dimerization [it is the continuum version of the bond operator in Eq. (2)]. There are also subdominant power-law corre-

lations arising from fluctuations of the chiral $SU(2)$ currents,

$$\vec{J}_R = \frac{1}{4\pi} \text{Tr} g^\dagger \bar{\partial} g \vec{\sigma}, \quad (12)$$

$$\vec{J}_L = \frac{1}{4\pi} \text{Tr} g \partial g^\dagger \vec{\sigma}, \quad (13)$$

with $\partial = (\partial_0 - i\partial_1)/2$ and $\bar{\partial} = (\partial_0 + i\partial_1)/2$. Physically, the operator $\vec{J} = \vec{J}_R + \vec{J}_L$ represents the local uniform magnetization, while $v(\vec{J}_R - \vec{J}_L)$ represents the local magnetization (spin transport) current.

All the low-energy power-law correlations of the weakly coupled Heisenberg chains can be exposed by decomposing lattice operators into a set of the above continuum operators (and generally their derivatives, see below) for each chain. This, for example, leads to the following decomposition of the spin at a site $n-1/2$ along the horizontal chain number m :

$$\vec{S}_h(n-1/2, m) = a[\vec{J}_{h,m}(x) + (-1)^n \vec{N}_{h,m}(x)]. \quad (14)$$

Here $x = na$ (a is the lattice spacing) and $\vec{J} (\vec{N})$ represents the uniform (staggered) part of the spin density. Similarly, for the vertical spin chains we have

$$\vec{S}_v(n, m-1/2) = a[\vec{J}_{v,n}(y) + (-1)^m \vec{N}_{v,n}(y)], \quad (15)$$

where $y = ma$. Notice that the continuum limit is taken only for the coordinate along the chain; the perpendicular one becomes an index m (n for vertical chains). The uniform spin magnetization \vec{J} is the sum of the right- (\vec{J}_R) and left- (\vec{J}_L) moving components, $\vec{J} = \vec{J}_R + \vec{J}_L$, and represents the conserved spin density (it is often referred to in the literature as the spin ‘‘current,’’ the term originating from the relativistic concept of space-time current, whose time component is the conserved density). Note that the staggered dimerization ϵ does not appear in Eq. (14); in fact, it cannot appear in the decomposition of any single spin operator since it is not a vector under $SU(2)$. As discussed in the Introduction, for this reason dimer order does not appear likely in weakly coupled Heisenberg chains with unfrustrated interchain couplings.

The action of the microscopic space group symmetries (described above) upon the continuum scaling operators will be crucial in the following. These are rather clear on physical grounds.²⁴

Translation,

$$T : \vec{J} \rightarrow \vec{J}, \quad \vec{N} \rightarrow -\vec{N}, \quad \epsilon \rightarrow -\epsilon. \quad (16)$$

Site parity,

$$P_s : \vec{J} \rightarrow \vec{J}, \quad \vec{N} \rightarrow \vec{N}, \quad \epsilon \rightarrow -\epsilon. \quad (17)$$

Link parity. Using $P_L = P_s \circ T$ we find

$$P_L : \vec{J} \rightarrow \vec{J}, \quad \vec{N} \rightarrow -\vec{N}, \quad \epsilon \rightarrow \epsilon. \quad (18)$$

We will see at the end of this section that this symmetry is responsible for the absence of $\vec{N}_v \cdot \vec{N}_h$ terms in the Hamiltonian of the problem.

Because of somewhat nonintuitive point-splitting identities, the WZW model can be written in Hamiltonian form (known as the Sugawara form) in terms of the spin currents. For a single chain, one has

$$H_{\text{WZW}} = \frac{2\pi v}{3} \int dx [\vec{J}_R(x) \cdot \vec{J}_R(x) + \vec{J}_L(x) \cdot \vec{J}_L(x)]. \quad (19)$$

Applied to the set of horizontal chains (labeled by m), the lattice Hamiltonian H_h , Eq. (5), transforms into

$$H_h = \frac{2\pi v}{3} \sum_m \int dx [\vec{J}_{h,m,R}(x) \cdot \vec{J}_{h,m,R}(x) + \vec{J}_{h,m,L}(x) \cdot \vec{J}_{h,m,L}(x) + g_{bs} \vec{J}_{h,m,R}(x) \cdot \vec{J}_{h,m,L}(x)]. \quad (20)$$

Here $v = (\pi/2)Ja$ is the spin velocity. Note again that $J_{h,m,R/L}^a(x)$ depends on position $x = na$ along the chain direction whereas its $y = ma$ coordinate dependence only shows up via the (horizontal) chain index m . We have actually included in Eq. (20) a *correction* (proportional to g_{bs}) to the WZW model, which is present in the Heisenberg chain but is *marginally irrelevant* in the situation under consideration. For this reason, it may be safely neglected in what follows. Similarly,

$$H_v = \frac{2\pi v}{3} \sum_n \int dy [\vec{J}_{v,n,R}(y) \cdot \vec{J}_{v,n,R}(y) + \vec{J}_{v,n,L}(y) \cdot \vec{J}_{v,n,L}(y) + g_{bs} \vec{J}_{v,n,R}(y) \cdot \vec{J}_{v,n,L}(y)]. \quad (21)$$

C. Decomposition of the full lattice model

Now we are ready to express the interchain perturbation Eq. (8) in terms of low-energy modes \vec{J} and \vec{N} . We begin by analyzing the sum of two neighboring spins on the same (say, horizontal) chain,

$$\begin{aligned} \vec{s}_h(n, m) &= \vec{S}_h(n - 1/2, m) + \vec{S}_h(n + 1/2, m) \\ &= a[2\vec{J}_{h,m}(x) - (-1)^n a \partial_x \vec{N}_{h,m}(x)]. \end{aligned} \quad (22)$$

For the reasons to be explained in detail below, we have retained the next-to-leading irrelevant contribution ($\partial_x \vec{N}$) in this expression. A similar decomposition is done for the sum of two spins on the crossing vertical chain. The interchain interaction V thus reads

$$\begin{aligned} V &= \sum_{n,m} \{ g_{jj} \vec{J}_{h,m}(x) \cdot \vec{J}_{v,n}(y) \\ &\quad - g_{nj} [(-1)^n \partial_x \vec{N}_{h,m}(x) \cdot \vec{J}_{v,n}(y) + (-1)^m \vec{J}_{h,m}(x) \cdot \partial_y \vec{N}_{v,n}(y)] \\ &\quad + g_{mm} (-1)^{n+m} \partial_x \vec{N}_{h,m}(x) \cdot \partial_y \vec{N}_{v,n}(y) \}, \end{aligned} \quad (23)$$

where, as before, $x = na$, $y = ma$, and the following couplings are introduced to shorten notations:

$$g_{jj} = 4J_{\times} a^2, \quad g_{nj} = 2J_{\times} a^3, \quad g_{mm} = J_{\times} a^4. \quad (24)$$

It is important to observe that Eq. (23) does not contain $\vec{N}_h \cdot \vec{N}_v$ type of terms, which are forbidden by the symmetry

of the checkerboard lattice. For example, reflection with respect to the vertical chain changes the sign of \vec{N}_h ($P_L: \vec{N}_h \rightarrow -\vec{N}_h$), while leaving \vec{N}_v invariant [see Eq. (18)]. This reflects strong frustration of the model under study, as discussed in the Introduction. Observe also that any pair of horizontal and vertical chains cross only once, which makes Eq. (23) *local* in space. This requires us to think carefully about the short-distance regularization of the low-energy theory defined by Eqs. (20), (21), and (23)—the corresponding analysis is described in the next section.

D. Operator product expansion

Various perturbations to the WZW model Eq. (19) [such as the intrachain backscattering g_{bs} in Eqs. (20) and (21), and the interchain V , Eq. (23)] are most conveniently analyzed with the help of *operator product expansions* (OPEs). These are operator identities that are derived by applying Wick's theorem to a correlation function of a pair of operators at nearby points, say, (x, τ) and $(0, 0)$ —several of the examples below are worked out in Appendix B; see also Appendix A of Ref. 25 for more examples. The OPEs below are valid for operators from the same chain, and, to lighten expressions, we suppress chain indices here.

The spin currents $\vec{J}_{R/L}$ obey the following chiral OPEs, which are frequently used in the literature²³ [these, for example, are used to derive the renormalization-group flow of g_{bs} term in Eqs. (20) and (21)]:

$$J_R^a(x, \tau) J_R^b(0) = \frac{\delta^{ab}}{8\pi^2 v^2 (\tau - ix/v + \alpha\sigma_{\tau})^2} + \frac{i\epsilon^{abc} J_R^c(0)}{2\pi v (\tau - ix/v + \alpha\sigma_{\tau})} \quad (25)$$

and

$$\begin{aligned} J_L^a(x, \tau) J_L^b(0) &= \frac{\delta^{ab}}{8\pi^2 v^2 (\tau + ix/v + \alpha\sigma_{\tau})^2} \\ &\quad + \frac{i\epsilon^{abc} J_L^c(0)}{2\pi v (\tau + ix/v + \alpha\sigma_{\tau})}, \end{aligned} \quad (26)$$

where, as explained in Appendix A 2, $\alpha = a/v$ is the short-time cutoff of the theory and $\sigma_{\tau} = \text{sgn}(\tau)$.

Being a conserved current, J^a is also a generator of rotations. Thus the OPE of J^a and N^a should be nontrivial. In fact, this one is the most important OPE for the subsequent analysis (see Appendix B for the derivation),

$$\begin{aligned} J_R^a(x, \tau) N^b(0) &= \frac{i\epsilon^{abc} N^c(0) - i\delta^{ab} \epsilon(0)}{4\pi v (\tau - ix/v + \alpha\sigma_{\tau})}, \\ J_L^a(x, \tau) N^b(0) &= \frac{i\epsilon^{abc} N^c(0) + i\delta^{ab} \epsilon(0)}{4\pi v (\tau + ix/v + \alpha\sigma_{\tau})}. \end{aligned} \quad (27)$$

Finally, fusing spin current with dimerization ϵ gives back the staggered magnetization

$$J_R^a(x, \tau) \epsilon(0) = \frac{iN^a(0)}{4\pi v (\tau - ix/v + \alpha\sigma_{\tau})},$$

$$J_L^a(x, \tau)\epsilon(0) = \frac{-iN^a(0)}{4\pi v(\tau + ix/v + \alpha\sigma_\tau)}. \quad (28)$$

Observe that Eqs. (25)–(28) form a closed operator algebra—this is the key technical reason behind the generation of the interchain interaction of staggered magnetizations in frustrated spin chain models (see Ref. 15 and Sec. III B below).

III. LOW-ENERGY HAMILTONIAN

The spatially anisotropic J_1 - J_2 model¹⁵ has taught us that keeping track of the nominally irrelevant terms is crucial for a correct solution of the problem. In this section, we extend this line of thinking to the crossed-chain model and demonstrate that indeed irrelevant terms produce symmetry-allowed relevant ones in a simple perturbation theory.

A. Symmetry analysis

Before proceeding with microscopic calculations, it is instructive to write down the most general form of the interchain Hamiltonian δV that is allowed by symmetries of the crossed-chain lattice. The reason to do so is that, while many such terms will be absent in a naive continuum limit of the original spin model, those that are “accidentally” missing (i.e., not prohibited by any symmetry) may be expected to be generated as a “quantum correction” [i.e., through a renormalization group (RG) transformation] when naively irrelevant terms are taken into account. The necessary complete set of space group generators for this analysis, T_h , P_{sh} , P_{Lh} , and $R_{\pi/2}$, was introduced in Sec. II A.

Naturally (as in any field theory), there are an infinite number of possible interactions, and since there are additionally an infinite number of chains, the multitude of potential terms is compounded. Physically, however, “pairwise” interactions involving fields on only two chains at a time are expected to be most important (interactions involving more chains simultaneously can be shown to occur only in higher order in J_\times/J). Such an interchain Hamiltonian naturally splits into the sum of δV_\times , which describes interactions between two crossing chains, and δV_\parallel , which includes interactions between parallel chains, $\delta V = \delta V_\times + \delta V_\parallel$. Within these chain-pair interactions, we narrow the search by considering the “most relevant” possibilities (ones involving the smallest number of the smallest-scaling-dimension primary fields \vec{N} and ϵ and no derivatives). Since we are perturbing the decoupled-chain system, the appropriate sense of “relevant” is that of the decoupled (1+1)-dimensional critical theories. We find

$$\delta V_\times = \sum_{n,m} a_1 (-1)^{n+m} \epsilon_{h,m}(na) \epsilon_{v,n}(ma) \quad (29)$$

and

$$\begin{aligned} \delta V_\parallel = \sum_{n,m,l} \sum_{v=h,v} [a_2(l) \vec{N}_{v,m}(na) \cdot \vec{N}_{v,m+l}(na) \\ + a_3(l) \epsilon_{v,m}(na) \epsilon_{v,m+l}(na)]. \end{aligned} \quad (30)$$

We note that in Eq. (30), an interaction is possible between parallel chains an arbitrary distance l apart. From the point of view of the decoupled-chain fixed point, there is no notion (or effect in RG rescaling) of “distance” between chains, so all such terms are equally “relevant” in this point of view. One would expect, however, these terms [i.e., $a_2(l), a_3(l)$] to decay in magnitude with increasing l .

It is straightforward to check that these terms and only these terms satisfy the symmetry requirements of the checkboard lattice. First, the invariance of δV_\parallel is easy to establish, as it involves pairs of operators ϵ and \vec{N} from like chains (i.e., horizontal-horizontal or vertical-vertical). These transform identically under all operations, and invariance is trivially shown.

The crossed-chain term δV_\times is more involved. We sketch the arguments for its invariance. Rotation by $\pi/2$ about a crossing is manifest, as the fields in Eq. (29) are drawn from a single such crossing. Unit translation along the x direction makes $\epsilon_h \rightarrow -\epsilon_h$ while ϵ_v is obviously not affected. However, $(-1)^{n+m}$ also changes its sign, $(-1)^{n+m} \rightarrow (-1)^{n+1+m}$, so that $T_h(\delta V_\times) = \delta V_\times$. Reflection with respect to a site on a horizontal chain P_{sh} preserves ϵ_v but does change sign of dimerization on every horizontal chain: $P_{sh}(\epsilon_h) = -\epsilon_h$. But at the same time P_{sh} interchanges even and odd vertical chains, i.e., $P_{sh}((-1)^{n+m}) = -(-1)^{n+m}$. Thus $P_{sh}(\delta V_\times) = \delta V_\times$. Link parity P_{Lh} is simple since every ϵ is even under it. Moreover, since P_{Lh} is nothing but reflection with respect to, say, the vertical chain number n , the vertical chain with index $n+1$ then transforms into that with index $n-1$, etc. Hence, even and odd vertical chains are not interchanged by P_{Lh} , and $(-1)^{n+m} \rightarrow (-1)^{n+m}$, showing the invariance under this final generator. Notice that the staggering factor $(-1)^{n+m}$ plays a very important role in this consideration—its presence makes the local interaction of staggered dimerizations possible.

One could wonder if δV_\times could similarly include a staggered product of magnetizations $(-1)^{n+m} \vec{N}_h \cdot \vec{N}_h$, but this is prohibited by the P_{Lh} symmetry. We note that microscopically, such a term cannot be generated (see the following subsection for the mechanics of generation of the allowed terms) as a consequence of the identity $J^a(x, \tau)\epsilon(x, \tau') = 0$ which follows from the OPE Eq. (28). The only symmetry-allowed combination of \vec{N} 's that can show up in δV_\times is $(\vec{N}_h \cdot \vec{N}_v)^2$. Such a term does arise in the large- S “order-from-disorder” calculations (see Ref. 3), but in the $S=1/2$ microscopic model it has scaling dimension 2 and is thus deemed irrelevant. Moreover, one can derive, using Abelian bosonization, the OPE of two \vec{N} fields at the same spatial point x : $N^a(x, \tau)N^b(x, 0) \sim i\epsilon^{abc} \text{sgn}(\tau)J^c(x, 0)$. This allows one to identify²⁶ this biquadratic term with the dimension-2 scalar product of two spin currents on crossing chains [that is, the g_{jj} term in Eq. (23)], $(\vec{N}_h \cdot \vec{N}_v)^2 \rightarrow \vec{J}_h \cdot \vec{J}_v$.

Observe now that none of the symmetry-respecting terms in δV_\times and δV_\parallel are present in the naive continuum limit of the theory Eq. (23). Below we show that second-order perturbation theory in the interchain exchange J_\times generates δV_\times with coupling constant $a_1 \sim J_\times^2/J$. Similar arguments show that $a_{2,3} \sim J_\times^4/J^3$. This is because in J_\times^2 order one generates terms involving a product of derivatives of \vec{N} fields on parallel

chains, $\sim J_{\times}^2 \partial_x \vec{N}_h \cdot \partial_x \vec{N}_h$. Once these are present, one can follow calculations in Ref. 15 to find that both a_2 and a_3 terms in δV_{\parallel} are generated, but this happens only in the next, $(J_{\times}^2)^2 = J_{\times}^4$, order of the perturbation expansion. Since, as we show below in Sec. III C, the δV_{\times} contribution is relevant, it is sufficient to keep only the leading a_1 type terms—subleading $a_{2,3}$ ones are too small ($a_{2,3}/a_1 \sim J_{\times}^2/J^2 \ll 1$) to change the outcome.

B. Importance of irrelevant terms

Here we describe microscopic calculation of δV_{\times} . We begin by expanding the action in powers of V Eq. (23). This generates a number of terms of which the most important ones involve products of spin currents and staggered magnetizations from the same chain (and with the same spatial coordinate—that is, all fields belong to the same crossing). Thus we pick out $g_{jj}g_{nm}$ and cross terms from g_{nj}^2 . These contributions can be written in the form

$$\sum_{a,b} \sum_{n,m} (-1)^{n+m} \int d\tau d\tau' v_{\times}(x, n; y, m; \tau, \tau') \Big|_{x=na, y=ma}, \quad (31)$$

where

$$\begin{aligned} v_{\times} = & g_{nj}^2 [\partial_x N_{h,m}^a(x, \tau) J_{h,m}^b(x, \tau') J_{v,n}^a(y, \tau) \partial_y N_{v,n}^b(y, \tau') \\ & + J_{h,m}^a(x, \tau) \partial_x N_{h,m}^b(x, \tau') \partial_y N_{v,n}^a(y, \tau) J_{v,n}^b(y, \tau')] \\ & + g_{jj}g_{nm} J_{h,m}^a(x, \tau) \partial_x N_{h,m}^b(x, \tau') J_{v,n}^a(y, \tau) \partial_y N_{v,n}^b(y, \tau'). \end{aligned} \quad (32)$$

Now apply the OPE Eq. (27) to the product of fields from the same chain and at the same spatial point x . For example,

$$\begin{aligned} J_R^a(x, \tau) \partial_x N^b(x, \tau') &= \lim_{x' \rightarrow x} \partial_{x'} J^a(x, \tau) N^b(x', \tau') \\ &= \frac{-i[i\epsilon^{abc} N^c(x, \tau') - i\delta^{ab}\epsilon(x, \tau')]}{4\pi v^2(\tau - \tau' + \alpha\sigma_{\tau-\tau'})^2}. \end{aligned} \quad (33)$$

Similarly,

$$J_L^a(x, \tau) \partial_x N^b(x, \tau') = \frac{i[i\epsilon^{abc} N^c(x, \tau') + i\delta^{ab}\epsilon(x, \tau')]}{4\pi v^2(\tau - \tau' + \alpha\sigma_{\tau-\tau'})^2}. \quad (34)$$

We observe that the OPE of the full spin current \vec{J} and \vec{N} at the same spatial point does not contain staggered magnetization,

$$\begin{aligned} J^a(x, \tau) \partial_x N^b(x, \tau') &= [J_R^a(x, \tau) + J_L^a(x, \tau)] \partial_x N^b(x, \tau') \\ &= -\frac{\delta^{ab}\epsilon(x, \tau')}{2\pi v^2(\tau - \tau' + \alpha\sigma_{\tau-\tau'})^2}. \end{aligned} \quad (35)$$

This is a very important result, with which Eq. (31) can be brought into the surprisingly compact form

$$\begin{aligned} V_2 = & \sum_{a,b} \delta^{ab} \sum_{n,m} (-1)^{n+m} (2g_{nj}^2 + g_{jj}g_{nm}) \\ & \times \int d\tau d\tau' \frac{\epsilon_{h,m}(na, \tau') \epsilon_{v,n}(ma, \tau')}{[2\pi v^2(\tau - \tau' + \alpha\sigma_{\tau-\tau'})^2]^2}. \end{aligned} \quad (36)$$

The integral involved is obviously convergent,

$$\int_{-\infty}^{\infty} dt \frac{1}{(t + \alpha\sigma_t)^4} = \frac{2}{3\alpha^3} = \frac{2v^3}{3a^3}. \quad (37)$$

Using Eq. (24) for the g 's involved, we finally obtain the following fluctuation-generated correction to the low-energy effective action:

$$\delta S = -\frac{1}{2} V_2 = -\frac{3J_{\times}^2 a^3}{\pi^2 v} \int d\tau \sum_{n,m} (-1)^{n+m} \epsilon_{h,m}(na, \tau) \epsilon_{v,n}(ma, \tau). \quad (38)$$

Denoting

$$g_{\epsilon} = v \frac{3J_{\times}^2 a^2}{\pi^2 v^2}, \quad (39)$$

we have the following addition to the interchain Hamiltonian V , Eq. (23), to analyze (this is because $Z = \int e^{-S}$ and $\delta S = \int d\tau \delta V$):

$$\delta V_{\times} = -g_{\epsilon} a \sum_{n,m} (-1)^{n+m} \epsilon_{h,m}(na) \epsilon_{v,n}(ma). \quad (40)$$

The staggered dimerization ϵ has scaling dimension $1/2$, which means that it is as important for the chain physics as \vec{N} is. In fact, up to logarithmic corrections, correlation functions of the staggered dimerization and magnetization decay with the same power law, x^{-1} ; see Eqs. (1) and (2).

This is also clear from the OPEs Eqs. (27) and (28), which show that \vec{N} and ϵ transform into each other under chiral rotations generated by $\vec{J}_{R/L}$. Since any pair of horizontal and vertical chains has only one crossing, Eq. (40) is a sum of *local* terms, each of which is marginal (space-time dimension is 1, and dimension of the product $\epsilon_h \epsilon_v$ is 1 as well). However, we shall see below that this marginality is superficial—an infinite number of marginal crossings add up to a relevant perturbation.

C. Mean-field analysis of the effective interchain interaction Eq. (40)

From now on it is safe to omit derivative terms present in V , Eq. (23); their role was to generate, as described in Sec. III B, more relevant symmetry-allowed interchain interactions. With this in mind, we write down the renormalized version of Eq. (23),

$$\begin{aligned} V = & \sum_{n,m} [g_{jj} \vec{J}_{h,m}(na) \cdot \vec{J}_{v,n}(ma) \\ & - (-1)^{n+m} g_{\epsilon} a \epsilon_{h,m}(na) \epsilon_{v,n}(ma)]. \end{aligned} \quad (41)$$

As discussed above, the first term originating from the naive continuum limit of Eq. (8) has scaling dimension 2 while the

second term, which is δV_{\times} generated by high-energy fluctuations, has scaling dimension 1. Thus we are allowed to discard the irrelevant current-current piece of V , Eq. (41). As a result, all that remains of the interchain interaction is given by δV_{\times} [Eq. (40)], $V \rightarrow \delta V_{\times}$, which was not present in the naive continuum limit Eq. (23) at all. We tackle it, in analogy with analysis of Ref. 15, by the chain mean-field approximation. The staggering factor $(-1)^{n+m}$ suggests a staggered dimer order on parallel chains. That is, we assume the pattern

$$\epsilon_{h,m}(x) = (-1)^m \langle \epsilon \rangle, \quad \epsilon_{v,n}(y) = (-1)^n \langle \epsilon \rangle, \quad (42)$$

where $\langle \epsilon \rangle$ is a mean-field expectation value. The interchain coupling is then decoupled into a sum of independent single-chain Hamiltonians,

$$\begin{aligned} \delta V_{\times} = & - \sum_m (-1)^m g_{\epsilon} a \langle \epsilon \rangle \sum_n \epsilon_{h,m}(x=na) \\ & - \sum_n (-1)^n g_{\epsilon} a \langle \epsilon \rangle \sum_m \epsilon_{v,n}(y=ma). \end{aligned} \quad (43)$$

Look on one of them, say, that of the horizontal chain with index m (which is fixed now). Now we can take the continuum limit [$\sum_n f(na) \rightarrow a^{-1} \int dx f(x)$] and

$$\delta V_{\times}(m) = - (-1)^m g_{\epsilon} \langle \epsilon \rangle \int \epsilon(x) dx, \quad (44)$$

which can be easily analyzed along the lines of Ref. 15. Using the Abelian bosonization expression for the staggered dimerization

$$\epsilon(x) = \frac{\lambda}{\pi a} \cos[\sqrt{2\pi}\varphi(x)], \quad (45)$$

where φ is the *spin* boson field and λ is a nonuniversal constant of order 1,²⁷ we arrive at the effective single-chain sine-Gordon action for the m th chain,

$$S(m) = \int d^2r \left(\frac{1}{2} (\nabla\varphi)^2 - G \cos \sqrt{2\pi}\varphi \right). \quad (46)$$

The action $S(m)$ is written in terms of dimensionless coordinates $\vec{r}=(x/a, v\tau/a)$ and the effective coupling constant $G=\lambda^2 g_{\epsilon} \langle \cos \sqrt{2\pi}\varphi \rangle / (\pi^2 v)$. The self-consistent equation for $\langle \cos \sqrt{2\pi}\varphi \rangle$ follows from the exact solution²⁸ for the free energy F_m of the sine-Gordon model $Z_m = \int D\varphi \exp[-S(m)] = \exp(-F_m)$:

$$\langle \cos \sqrt{2\pi}\varphi \rangle = - \frac{dF}{dG} = \frac{d \ln Z}{dG} = \frac{c_0^2}{3\sqrt{3}} G^{1/3}, \quad (47)$$

where the constant c_0 reads

$$c_0 = \frac{2\Gamma(1/6)}{\sqrt{\pi}\Gamma(2/3)} \left(\frac{\pi\Gamma(3/4)}{2\Gamma(1/4)} \right)^{2/3}. \quad (48)$$

Simple algebra gives

$$\langle \cos \sqrt{2\pi}\varphi \rangle = \frac{c_0^3}{3} \sqrt{\frac{\lambda^2 g_{\epsilon}}{\pi^2 v}} = 0.265316 \frac{J_{\times}}{J}, \quad (49)$$

where we have set $\lambda=1$. Hence the expectation value of the staggered dimerization is proportional to J_{\times} ,

$$\langle \epsilon \rangle = \frac{\lambda}{\pi a} \langle \cos \sqrt{2\pi}\varphi \rangle = 0.0844 \frac{J_{\times}}{Ja}. \quad (50)$$

The spin gap Δ is given by the mass m of the lightest breather in the sine-Gordon theory,²⁸

$$m = 2M \sin(\pi/6) = M, \quad (51)$$

where M and G are related by

$$\frac{G}{2} = \frac{\Gamma(1/4)}{\pi\Gamma(3/4)} \left(M \frac{\sqrt{\pi}\Gamma(2/3)}{2\Gamma(1/6)} \right)^{3/2}. \quad (52)$$

Thus $M=c_0 G^{2/3}$, and finally the spin gap Δ is found as

$$\Delta = m = M = \frac{4\lambda^2 c_0^3}{\pi^6 \sqrt{3}} \left(\frac{J_{\times}}{J} \right)^2 = 0.675688 \left(\frac{J_{\times}}{J} \right)^2. \quad (53)$$

The resulting dimerization pattern is shown in Fig. 2. An equivalent configuration is obtained by a global shift of crosses by one lattice spacing along either the x or y direction. It is worth pointing out that exactly such interdimer correlations—crossed-dimer ones—have been observed in the exact diagonalization study of finite CCM clusters (see Table II and Fig. 5 in Ref. 11).

IV. THE PLANAR PYROCHLORE: PLAQUETTE VBS AND ITS INSTABILITIES

In the preceding sections we focused on the quasi-1D limit $J_{\times} \ll J$, and established the existence of the spontaneous long-range order of the crossed-dimer configuration (Fig. 2). In this section we will explore a different region in the parameter space, where the nearest-neighbor coupling J_{\times} and next-nearest-neighbor exchange coupling J are nearly equal. Earlier numerical studies using exact diagonalization¹⁰ and strong-coupling expansion techniques¹²⁻¹⁴ showed that the ground state at $J=J_{\times}$ is a valence-bond crystal with long-range quadrumer order, shown in Fig. 3. Here we review a simple theoretical account of this plaquette VBS (P-VBS) state using the quadrumer-boson approximation,²⁹⁻³¹ and examine its instabilities to other orders. This analysis, together with the results in the preceding sections, will serve as a basis for our discussion on the global phase diagram of the CCM in the following section. Our simple approach presented here is meant to give a qualitative picture; more quantitatively reliable numerical results can be obtained, for example, by series expansion, as developed in Refs. 12 and 14.

In the following analysis it is more convenient to use a new coordinate system labeled by (j, k) , rotated by $\pi/4$ from the x and y axes; see Fig. 3. The quadrumerized valence-bond crystal breaks lattice translation symmetry, and each quadrumerized plaquette centered at (j, k) has four spins, \vec{S}_l ($l=1, 2, 3, 4$). From the outset we assume the breaking of translation symmetry and begin with the Hamiltonian for a single quadrumerized plaquette,

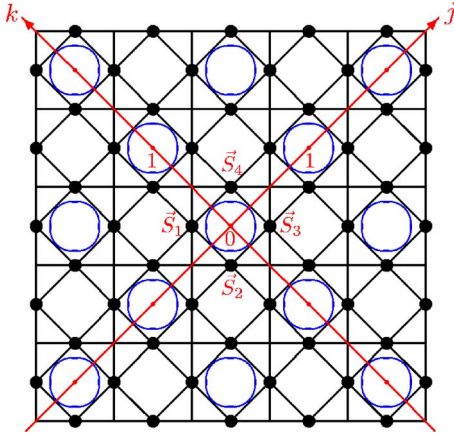


FIG. 3. (Color online) Quadrumerized checkerboard lattice with coordinates (j, k) shown. The plaquettes with (blue) circles are quadrumerized. Each unit cell contains four spins.

$$H_p = J_\times (\vec{S}_1 \cdot \vec{S}_2 + \vec{S}_2 \cdot \vec{S}_3 + \vec{S}_3 \cdot \vec{S}_4 + \vec{S}_4 \cdot \vec{S}_1) \\ = \frac{J_\times}{2} [(\vec{S}_1 + \vec{S}_2 + \vec{S}_3 + \vec{S}_4)^2 - (\vec{S}_1 + \vec{S}_3)^2 - (\vec{S}_2 + \vec{S}_4)^2]. \quad (54)$$

The lowest-energy state of H_p is a spin singlet with energy $-2J_\times$, which can be written as

$$s^\dagger |0\rangle = \frac{1}{2\sqrt{3}} (|\uparrow\uparrow\downarrow\downarrow\rangle + |\downarrow\downarrow\uparrow\uparrow\rangle + |\uparrow\downarrow\uparrow\downarrow\rangle + |\downarrow\uparrow\downarrow\uparrow\rangle - 2|\uparrow\uparrow\downarrow\downarrow\rangle \\ - 2|\downarrow\downarrow\uparrow\uparrow\rangle), \quad (55)$$

where $|\sigma_1\sigma_2\sigma_3\sigma_4\rangle$ denotes the state with $S_i^z = \sigma_i$. The first excited states are a triplet with energy $-J_\times$,

$$t_+^\dagger |0\rangle = \frac{1}{2} (|\uparrow\uparrow\uparrow\downarrow\rangle + |\uparrow\downarrow\uparrow\uparrow\rangle - |\uparrow\uparrow\downarrow\uparrow\rangle - |\downarrow\uparrow\uparrow\uparrow\rangle), \quad (56a)$$

$$t_z^\dagger |0\rangle = \frac{1}{\sqrt{2}} (|\uparrow\uparrow\downarrow\downarrow\rangle - |\downarrow\downarrow\uparrow\uparrow\rangle), \quad (56b)$$

$$t_-^\dagger |0\rangle = \frac{1}{2} (|\downarrow\downarrow\uparrow\uparrow\rangle + |\downarrow\uparrow\downarrow\downarrow\rangle - |\downarrow\downarrow\uparrow\downarrow\rangle - |\uparrow\downarrow\downarrow\downarrow\rangle). \quad (56c)$$

The operators s^\dagger , t_\pm^\dagger , and t_z^\dagger can be thought of as creation operators of hard-core bosons.

As mentioned above, the ground state of the CCM is known to be a gapped P-VBS state at the planar pyrochlore point $J=J_\times$. As long as $J \approx J_\times$, we may thus expect that a good approximation to the ground state should be obtained by direct product of the singlet states, Eq. (55), weakly hybridized with the triplets, Eqs. (56). Motivated by this observation, we employ the quadrumer boson approximation²⁹⁻³¹ in which we keep only the low-lying four states, singlet and triplet, in each quadrumerized plaquette, and discard the other higher-energy states. Now the boson operators are subject to the constraint

$$s^\dagger s + t_+^\dagger t_+ + t_z^\dagger t_z + t_-^\dagger t_- = 1. \quad (57)$$

The plaquette Hamiltonian is then written as

$$H_p = -2J_\times + J_\times (t_+^\dagger t_+ + t_z^\dagger t_z + t_-^\dagger t_-). \quad (58)$$

The spins \vec{S}_l can also be written in terms of the hard-core boson operators. The representations are found from matrix elements of the spin operators with the four states. After some algebra we find

$$S_l^z = \frac{1}{4} (t_+^\dagger t_+ - t_-^\dagger t_-) + \frac{(-1)^l}{\sqrt{6}} (t_z^\dagger s + s^\dagger t_z), \quad (59a)$$

$$S_l^+ = \frac{1}{\sqrt{8}} (t_+^\dagger t_z - t_z^\dagger t_-) - \frac{(-1)^l}{\sqrt{3}} (t_+^\dagger s + s^\dagger t_-), \quad (59b)$$

$$S_l^- = \frac{1}{\sqrt{8}} (t_z^\dagger t_+ - t_-^\dagger t_z) - \frac{(-1)^l}{\sqrt{3}} (t_-^\dagger s + s^\dagger t_+), \quad (59c)$$

where $l=1, 2, 3, 4$. Assuming that the density of triplets is low in the P-VBS state, we keep only terms linear in t_μ and set $s = s^\dagger = 1$:

$$S_l^a = \frac{(-1)^l}{\sqrt{6}} (t_a^\dagger + t_a), \quad (60)$$

where $a=x, y, z$ and we have introduced

$$t_x = -\frac{1}{\sqrt{2}} (t_+ + t_-), \quad t_y = \frac{1}{\sqrt{2}i} (t_+ - t_-). \quad (61)$$

With the coordinate system (j, k) in Fig. 3 the total Hamiltonian of the checkerboard antiferromagnet reads

$$H = \sum_{j,k} H_p(j, k) + J_\times \sum_{j,k} (\vec{S}_{j,k,1} \cdot \vec{S}_{j-1,k,4} + \vec{S}_{j,k,2} \cdot \vec{S}_{j,k-1,1} \\ + \vec{S}_{j,k,3} \cdot \vec{S}_{j+1,k,2} + \vec{S}_{j,k,4} \cdot \vec{S}_{j,k+1,3}) + J \sum_{j,k} (\vec{S}_{j,k,1} \cdot \vec{S}_{j,k+1,3} \\ + \vec{S}_{j,k,4} \cdot \vec{S}_{j,k+1,2} + \vec{S}_{j,k,3} \cdot \vec{S}_{j+1,k,1} + \vec{S}_{j,k,4} \cdot \vec{S}_{j+1,k,2}), \quad (62)$$

where $\vec{S}_{j,k,l}$ is the l th spin \vec{S}_l in the quadrumerized plaquette centered at (j, k) . With the approximation Eq. (60) the Hamiltonian becomes

$$H = \sum_{\vec{p}} \left(-\frac{7}{2} J_\times + \frac{1}{2} \sum_{a=x,y,z} \Psi_a^\dagger(\vec{p}) \mathcal{H}(\vec{p}) \Psi_a(\vec{p}) \right), \quad (63)$$

where we have introduced the triplet boson field

$$\Psi_a(\vec{p}) = \begin{pmatrix} \tilde{t}_a(\vec{p}) \\ \tilde{t}_a^\dagger(-\vec{p}) \end{pmatrix} \quad (64)$$

with the momentum $\vec{p}=(p_1, p_2)$ and the Fourier transform

$$\tilde{t}_a(\vec{p}) = \frac{1}{\sqrt{\mathcal{N}_0}} \sum_{j,k} e^{-i(jp_1 + kp_2)} t_a(j, k), \quad (65)$$

where \mathcal{N}_0 is the number of quadrumerized plaquettes. The Hamiltonian matrix is given by

$$\mathcal{H}(\vec{p}) = \begin{pmatrix} J_{\times} + \varepsilon(\vec{p}) & \varepsilon(\vec{p}) \\ \varepsilon(\vec{p}) & J_{\times} + \varepsilon(\vec{p}) \end{pmatrix}, \quad (66)$$

where

$$\varepsilon(\vec{p}) = \frac{2}{3}(J - J_{\times})(\cos p_1 + \cos p_2). \quad (67)$$

With the Bogoliubov transformation

$$\begin{pmatrix} \tilde{r}_a(\vec{p}) \\ \tilde{r}_a^\dagger(-\vec{p}) \end{pmatrix} = \begin{pmatrix} \cosh \theta_{\vec{p}} & \sinh \theta_{\vec{p}} \\ \sinh \theta_{\vec{p}} & \cosh \theta_{\vec{p}} \end{pmatrix} \begin{pmatrix} b_a(\vec{p}) \\ b_a^\dagger(-\vec{p}) \end{pmatrix}, \quad (68)$$

where

$$\exp(-4\theta_{\vec{p}}) = 1 + \frac{2\varepsilon(\vec{p})}{J_{\times}}, \quad (69)$$

the Hamiltonian [Eq. (63)] is diagonalized,

$$H = \sum_{\vec{p}} \left(-\frac{7}{2}J_{\times} + \frac{3}{2}E(\vec{p}) + E(\vec{p}) \sum_a b_a^\dagger(\vec{p}) b_a(\vec{p}) \right). \quad (70)$$

The energy dispersion of the triplet eigenmode $b_a(\vec{p})$ is given by

$$E(\vec{p}) = \left[J_{\times} \left(J_{\times} + \frac{4}{3}(J - J_{\times})(\cos p_1 + \cos p_2) \right) \right]^{1/2}. \quad (71)$$

The simple quadrumer boson approximation described above reproduces the basic feature of the previous numerical studies:^{10,12-14} at $J \approx J_{\times}$ the P-VBS state is a stable singlet ground state with a gap to excited states.

The softening of the triplet mode Eq. (71) tells us potential instabilities that the P-VBS state may have (see also Ref. 14). First, from $E(0) = [J_{\times}(8J - 5J_{\times})/3]^{1/2}$ we see that it becomes unstable at $J_{\times} \rightarrow 8J/5$, when the bosons condense at $\vec{p} = \vec{0}$. The resulting state has the long-range Néel order, like the one realized in the square lattice limit $J_{\times} \gg J$. This can be easily seen from Eq. (60), in which we may suppose that $t_a^\dagger + t_a$ is a nonvanishing c number upon condensation of bosons. In the present approximation, the transition from the plaquette singlet to the Néel-ordered state occurs at $(J/J_{\times})_{c1} = 5/8$, which should be compared with the estimate $(J/J_{\times})_{c1} = 0.79 - 0.81$ from a sophisticated strong-coupling expansion.¹⁴ Second, the P-VBS state becomes unstable as $J/J_{\times} \rightarrow (J/J_{\times})_{c2} = 11/8$, at which bosons with momentum $\vec{p} = (\pi, \pi)$ condense. Upon Bose condensation the spins will have a magnetic long-range order, shown in Fig. 4, with the spin configuration $\uparrow\uparrow\downarrow\downarrow\uparrow\uparrow\downarrow\downarrow$ along the diagonal directions and the Néel order along the horizontal and vertical chain directions [as can be seen by replacing $t_a^\dagger + t_a \rightarrow (-1)^{j+k}$ in Eq. (60)]. In fact, this is one of the magnetically ordered states which are shown to be stable at $J \gg J_{\times}$ in the $1/S$ expansion; see Figs. 2(b) and 6 in Ref. 3. The softening of the triplet mode at $\vec{p} = (\pi, \pi)$ is also found in the strong-coupling expansion in Ref. 14 with the estimated critical coupling $(J/J_{\times})_{c2} = 1.06 - 1.13$.

Finally, we comment on two defects in our approach. One is that the lattice translation symmetry is explicitly broken from the outset and cannot be restored within the theory.

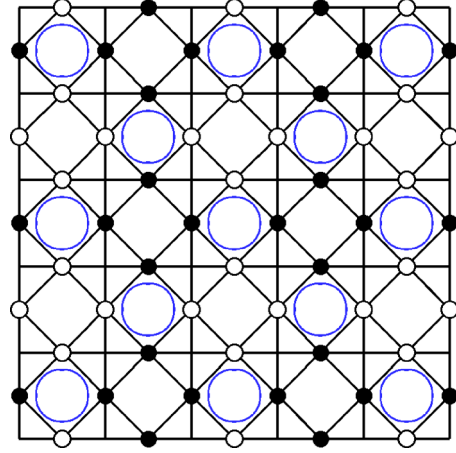


FIG. 4. (Color online) The magnetically ordered state due to condensation of bosons $b_a(\pi, \pi)$. The solid circles represent, say, up spins, and the open circles represent down spins. The quadrumerized plaquettes in the nearby P-VBS phase are indicated by (blue) circles.

This means that the Néel-ordered state at $J_{\times} > 5J/8$ inevitably has the P-VBS order as well—i.e., it is a coexistence phase. This should probably be viewed as an artifact of the approach—see Sec. V for discussion of this portion of the phase diagram. The other defect is that we have ignored interactions among the triplet bosons (as well as projected out the other higher-energy states—singlet, triplet, and quintuplet—on each quadrumerized plaquette). In the crudest approximation we adopted, the first excited states are a triplet excitation $S=1$ with no energy dispersion at $J_{\times} = J$. The numerical studies^{10,12-14} showed, however, that at $J = J_{\times}$ the lowest excited states are in the spin-singlet sector (see, for example, Sec. V in Ref. 10). The cluster-based calculations^{12,13} indicate that these singlet excitations are bound states of two $S=1$ excitations. To describe correctly the singlet excitations in terms of the quadrumer bosons, one needs to go beyond the linear approximation Eq. (60) and include interactions among the bosons. We do not try to do this here, but only point out that the dispersionless triplet mode is naturally susceptible to forming a bound state. Away from the planar pyrochlore point $J_{\times} = J$, not much is known about the low-energy excitations in the $S=0$ sector; it is not well understood how the singlet energy levels in the spin gap change as a function of J_{\times}/J .³²

V. GLOBAL PHASE DIAGRAM OF THE CCM

In this section we discuss the global zero-temperature phase diagram of the CCM as the control parameter J_{\times}/J is increased from 0 to ∞ . Our analysis relies on three well-established facts. First, the ground state at $J_{\times} \gg J$ obviously has the Néel order and is smoothly connected to the Néel-ordered state of the antiferromagnetic Heisenberg model on the square lattice. Second, by now there is convincing numerical^{10,12-14} and analytical⁴⁻⁶ evidence for the PVBS state at and around $J = J_{\times}$. Finally, as shown in Sec. III, the ground state is spontaneously dimerized in the quasi-one-

dimensional $J_{\times} \ll J$ limit as well, where long-range crossed-dimer order (Fig. 2) sets in.

The first question we address here is how the two dimerized phases, plaquette VBS (P-VBS) and crossed-dimer VBS (CD-VBS), are connected. We propose the two complementary scenarios in Secs. V A and V B below. The nature of the transition between the P-VBS and the Néel states is discussed in Sec. V C.

A. Scenario I: Direct transition between the crossed-dimer and plaquette VBS

The “minimal” assumption is that the two quantum-disordered valence-bond phases connect at some critical value $J_{\times}/J < 1$. The validity of this assumption can only be verified by the *exact* calculation of the ground-state energies of two dimerized phases in the whole interval $0 < J_{\times}/J < 1$ of interest. Such a calculation is obviously beyond our analytic approximations suited for $J_{\times}/J \rightarrow 0$ (CD-VBS, Sec. III) and $J_{\times}/J \rightarrow 1$ (P-VBS, Sec. IV) limits. Instead, we take a phenomenological point of view here and assume that the ground state energies are such that a *direct* transition between the CD-VBS and P-VBS phases is possible. At least partial support to this point of view is provided by the exact diagonalization study of Sindzingre *et al.*¹¹ which seems to indicate a single change in the ground state around $J_{\times}/J \approx 0.8$.

The question then is if this transition between these two phases can be continuous. In general, this question is difficult to answer. Most formally, the renormalization group theory of continuous critical phenomena sets only some rather weak constraints on the existence of a continuous phase transition between any two phases *A* and *B*. In particular, it requires the existence of an abstract scale-invariant fixed point (critical field theory) with a single “relevant” symmetry-allowed operator in its spectrum, such that a positive (negative) coefficient of this operator in the action takes the system into phase *A* (*B*). The critical fixed point theory itself must clearly have higher symmetry than either phase *A* or *B*, but no *a priori* restriction is placed on the relation of the symmetries of phase *A* to those of phase *B*.

A conventional—and more stringent—criterion for the existence of a continuous transition is based on the specific realization of the critical theory provided by a Landau-Ginzburg-Wilson (LGW) action written in terms of order parameters. More physically, LGW theory permits a continuous transition by the “condensation” of some “soft mode” of phase *A*, which transforms nontrivially under the symmetry group of *A*. The condensation of this soft-mode order parameter then leads to a lowering of symmetry (since by assumption the condensation breaks some symmetries that it transforms under) in phase *B*. A necessary criterion for a LGW-allowed continuous phase transition is thus that the symmetry group of one phase (*B* in the example) is a subgroup of the other (phase *A*).³³ Further restrictions are implied by detailed consideration of the LGW expansion (e.g., presence of cubic terms, etc.), as is standard.³³

Recent work on related but distinct quantum phase transitions has provided explicit theoretical examples of non-

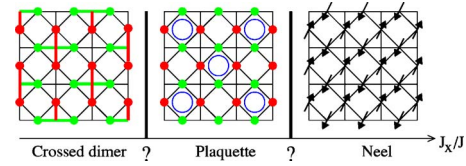


FIG. 5. (Color online) Global phase diagram of the CCM in scenario I of Sec. V A. Thick vertical lines with question marks indicate that the corresponding transition, according to Landau theory reasoning, either is *first order* or occurs via an intermediate coexistence phase.

LGW critical theories,³⁴ demonstrating that the violation of this conventional criterion is more than a formal possibility. Unfortunately, there is at present no general prescription to supplant the LGW criterion, so we are left in the uncomfortable position of being unable to solidly argue for or against the possibility of a continuous quantum critical point. Instead, we will content ourselves here with the LGW analysis.

It is straightforward to conclude that a continuous transition between the CD-VBS and P-VBS states is prohibited by the LGW criterion. This can be seen by the two lattice reflections $\mathcal{R}_{1,2}$, which map the crossed-chain lattice onto itself, i.e., symmetries of the Hamiltonian. Here \mathcal{R}_1 is the reflection with respect to a horizontal chain [it corresponds to a link parity operation P_L Eq. (18) on all vertical chains], and \mathcal{R}_2 is the reflection with respect to a horizontal line passing through the centers of empty plaquettes [this is a site parity P_S Eq. (17) from the point of view of vertical chains]; similar reflections with respect to vertical lines are accounted for by the $\pi/2$ rotational symmetry (about chain crossings) of the lattice.

Both phases are twofold degenerate, as can be seen from Fig. 5 (and hence can be described by Ising order parameters). Their symmetries are distinct. In particular, note first that \mathcal{R}_1 is a symmetry of the CD-VBS phase, but not the P-VBS (it interchanges the two P-VBS ground states). Thus the symmetry group of the CD-VBS phase is not a subgroup of that of the P-VBS phase. Second, \mathcal{R}_2 is a symmetry of the P-VBS phase, but not the CD-VBS phase. Thus the symmetry group of the P-VBS phase is not a subgroup of the CD-VBS state. Since neither symmetry group is a subgroup of the other, a continuous LGW transition between the two states is not possible, as promised.

The simplest alternative is a first-order transition between the two phases, which is always possible, and may perhaps be likely. Another possibility, is that, between the two states, there is a finite range of coexistence of P-VBS and CD-VBS order. Such a coexistence phase can have continuous LGW (Ising) transitions to both the P-VBS and CD-VBS states. The latter scenario is only one of a multitude of conceptually possible phase structures, for which we have no physical motivation. We indicate this uncertainty by the question mark in Fig. 5.

B. Scenario II: CD to P-VBS via an intermediate ordered phase

A quite different scenario is suggested by the quadrumer-boson approximation of Sec. IV: an intermediate *magneti-*

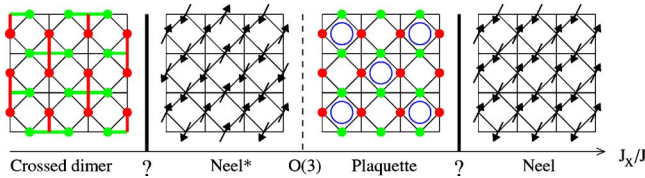


FIG. 6. (Color online) Global phase diagram of the CCM in scenario II of Sec. V B. The continuous $O(3)$ transition between the Néel* and P-VBS phases is indicated by a dashed vertical line. Other notations are as in Fig. 5.

cally ordered phase between the CD-VBS and P-VBS states. It was found there that the P-VBS state becomes unstable at $(J_{\times}/J)_{c2}=8/11$ as the J_{\times}/J ratio is reduced below the planar pyrochlore value of 1. The resulting state, depicted in Figs. 4 and 6, possesses long-range magnetic order. We denote it as the Néel* state in the following. This magnetically ordered state was previously found in the large- S approach,³ where it arises as a result of a quantum “order-from-disorder” selection among the large family of degenerate (at $S=\infty$) collinear ordered states. The fact that it also appears as a result of the triplet softening of the $S=1/2$ P-VBS phase of Sec. IV gives a strong independent argument in favor of its stability. Of course, as in the previous scenario, the ultimate fate of the Néel* phase is to be decided by accurate numerical investigations, and here we just assume that this ordered phase is indeed the ground state in a finite J_{\times}/J window within the $(0, 1)$ interval.

The transition between the P-VBS and the Néel* phases manifests itself as a triplet condensation transition within the consideration of Sec. IV. Since such a soft-mode transition is the general physical interpretation of LGW theory, it should not come as a surprise that the Néel* to P-VBS transition satisfies the LGW criterion for a continuous critical point. In particular, the symmetry group of the Néel* state is generated by (1) spin rotations about the ordering axis, (2) translations along a diagonal $[(1, 1)$ or $(1, -1)$ in the coordinate system of Fig. 6] composed with a time reversal which inverts the spins $\vec{S}_r \rightarrow -\vec{S}_r$, (3) reflections \mathcal{R}_2 , and (4) $\pi/2$ rotations about the center of a plaquette containing four parallel spins. Plainly, from Fig. 6, every one of these operations is also a symmetry of the P-VBS state; hence the symmetry group of the Néel* state is a subgroup of that of the P-VBS state. Moreover, the triplet condensation amplitude can be identified with the Néel* order parameter: an $O(3)$ vector specifying the direction of spin orientation at some reference site in Fig. 6. Indeed, a LGW expansion could be developed in this order parameter, but we content ourselves with the expectation that the P-VBS to Néel* transition is likely in the continuous $O(3)$ universality class.

Clearly, the Néel* phase cannot survive down to the $J_{\times}/J=0$ point which describes decoupled $S=1/2$ spin chains, as quantum spin fluctuations destroy antiferromagnetic Néel long-range order in individual chains.³ The calculations of Sec. III demonstrate that at small J_{\times}/J , the fluctuating dimerization field ϵ takes over the (quasiclassical) spin fluctuations and drives the chains into the CD-VBS phase.

We can again apply the LGW criterion to ask whether a continuous CD-VBS to Néel* transition is possible. Clearly,

the symmetry group of the CD-VBS state cannot be a subgroup of the Néel* phase, since the former is spin-rotationally invariant. However, the Néel* phase is invariant under \mathcal{R}_2 , which, as we saw in the previous subsection, is not a symmetry of the CD-VBS phase. Thus, the symmetry group of the Néel* state is not a subgroup of that of the CD-VBS phase, and a continuous LGW transition between these two phases is prohibited.

The transition then is likely either a *first-order* one or proceeds via an intermediate ordered and bond-modulated *coexistence* phase. Such a phase is easy to imagine—start with the Néel* state and modulate slightly spin-exchange couplings along horizontal and vertical chains so that “strong” bonds repeat the pattern of dimers in the CD-VBS phase. This state clearly breaks \mathcal{R}_2 , but does preserve the long-range magnetic order (for sufficiently weak modulation) of the Néel* phase. The transition from the CD-VBS to such a “modulated” Néel* one is then continuous $O(3)$ spin-symmetry breaking, while at some higher J_{\times}/J the bond modulation goes away via a continuous Z_2 transition and one obtains the pure Néel* phase. Which of the two possibilities, first order or coexistence, is realized cannot be decided within our analytical approach, and for this reason the transition between CD-VBS and Néel* phases is marked by a question mark in Fig. 6.

C. Plaquette VBS to Néel transition

Regardless of the phase structure for $J_{\times}/J < 1$, we expect a transition at larger J_{\times} from the P-VBS state to the conventional Néel state. As is the case with the CD-VBS to Néel* transition discussed above, a continuous P-VBS to Néel QCP is forbidden within LGW theory (by similar arguments, which we refrain from giving explicitly for brevity). In this particular case, however, an alert reader may note that the two phases appear to be very similar to those recently argued to be connected by a continuous but non-LGW continuous phase transition, deemed a *deconfined* quantum critical point (DQCP).³⁴

The analogy, however, is not complete. Significantly, the checkerboard lattice differs in detail from the square lattice discussed in Ref. 34 in its point symmetry group. In particular, a $\pi/2$ rotation about a *site* of the lattice, a symmetry of the square lattice, is *not* a symmetry operation of the checkerboard lattice. We believe this symmetry distinction is sufficient to destabilize the putative DQCP. It is beyond the scope of this paper to fully recapitulate the arguments of Ref. 34, which would be necessary to explain this conclusion in a stand-alone fashion. Instead, we will sketch these arguments, assuming the reader will refer to Ref. 34 for further details.

The crucial, indeed defining, property of the DQCP is an emergent topological conservation law, exactly maintained at the critical fixed point. Specifically, “Skyrmion number” is conserved by the fixed-point theory. This is not true microscopically at the lattice level, but is an emergent feature of critical theory, as argued in Ref. 34. A crucial step in that argument is the remarkable identification (due to Haldane³⁵) of the Skyrmion creation operator with the columnar or plaquette VBS order parameter. These can be defined

through a complex scalar field Ψ (see Ref. 34). We emphasize that although Ψ has the symmetries of the rather “conventional” VBS order parameter, it is not to be viewed as a nearly free field in the LGW sense, but rather some “composite” operator in the critical field theory. Under the $\pi/2$ site rotation above, one finds $\Psi \rightarrow i\Psi$, and consequently, for the square lattice, the Skyrmion creation operator can appear only in the fourth order in the continuum field theory action for the square lattice antiferromagnet, i.e., as a perturbation of the form $S' = \int d\tau d^2x \lambda_4 \text{Re } \Psi^4$. A variety of arguments indicate then, because of the large (fourth) power of Ψ which appears, λ_4 is *irrelevant* at the DQCP. On the checkerboard lattice, lacking this $\pi/2$ site rotation, the remaining symmetries of the system are insufficient to rule out the much more relevant “quadratic” term $S' = \int d\tau d^2x \lambda_2 \text{Re } \Psi^2$. The presence of the nonzero λ_2 term is, incidentally, also tied to the only *twofold* degeneracy of the P-VBS state, compared to the fourfold degeneracy of the columnar and plaquette VBS states on the square lattice.

A necessary and sufficient condition for the stability of this DQCP on the checkerboard lattice is thus the irrelevance of λ_2 . Ultimately, this can be decided only by detailed numerical calculations of the scaling dimension of the Ψ^2 operator. Unpublished numerical results³⁶ for the easy-plane deformation of the theory (which is more numerically tractable) suggest that it is in fact *relevant*. If this conclusion is, as we suspect, true for the SU(2) symmetric model, the DQCP is not stable on the checkerboard lattice. Thus we are led to conclude that there is no viable candidate theory for a continuous Néel to P-VBS quantum critical point in this model, and that such a transition is quite unlikely.

The simple harmonic analysis of the previous section predicts another soft-mode transition in the O(3) universality class out of the quadrumerized VBS state to one with Néel order at $J/J_\times < 1$. The resulting magnetically ordered phase is, by its very construction, a coexistence region with both P-VBS and Néel order, that is, with *less* symmetry than either phase. This is built into the quadrumer boson expansion because all excitations are constructed about a background that explicitly has the reduced symmetry of the P-VBS state, and there is no mechanism to restore the full point group symmetries of the checkerboard lattice. Thus we believe the alternative possibility of a direct first-order (since the DQCP theory is unstable) transition from the P-VBS to the true Néel state should not be ruled out as a possibility. The possible existence of a coexistence region between VBS and Néel orders in various models is still a subject of some contention. It has been discussed in great detail in Ref. 37. An exact diagonalization study of the quantum checkerboard antiferromagnet,¹¹ has concluded that, if present at all, the coexistence phase is very narrow. Clearly more detailed studies of this interesting question are needed. At present, we can only reiterate that a single continuous transition is highly unlikely in view of the arguments presented above.

VI. BACK TO THREE DIMENSIONS

Consider now the 3D pyrochlore. Although all bonds of a tetrahedron are equivalent by symmetry, it makes sense to

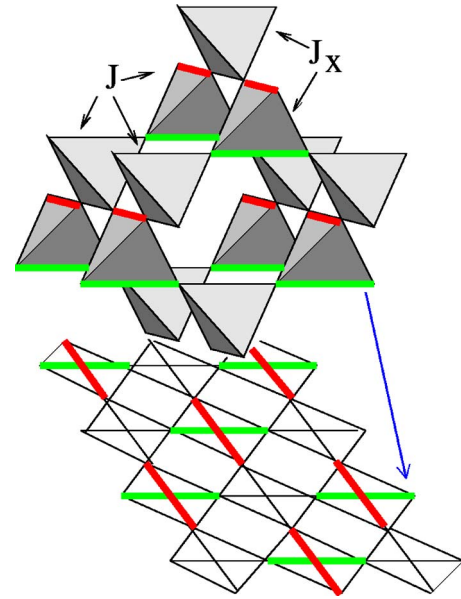


FIG. 7. (Color online) Three-dimensional dimerization pattern of a generalized quasi-one-dimensional pyrochlore antiferromagnet. Also shown, by a long (blue) arrow, is its two-dimensional projection, which coincides with the crossed-dimer order of Fig. 2.

ask, in analogy with the 2D lattice that we analyzed in this paper, what would happen if some bonds were stronger than others. The particular generalization motivated by the present study of the 2D projected model (which can be thought of as a “shadow” of the 3D pyrochlore on a 2D plane), involves a modified model in which two opposite bonds of tetrahedron are strong (J) whereas four remaining bonds, connecting the strong ones, are weak (J_\times). Then, in the limit $J_\times/J \ll 1$, one is back to the problem of strong spin chains coupled by weak and frustrated interchain J_\times . Now, however, chains are arranged in layers: chains are parallel to each other (oriented along either x or y direction) in each layer, but are orthogonal to those in the layers right above and below. That is, chains form a stack of the type x - y - x - y ... along the vertical (z) direction. Chains in one layer do not interact with each other; J_\times couples orthogonal chains from neighboring layers. This is just a 3D generalization of the 2D situation analyzed in this paper. It does not introduce any new features, and hence the answer for the ground state is straightforward—it is spontaneously dimerized into the pattern shown in Fig. 7.

Such a generalization is not unrealistic. It appears that the $S=1/2$ pyrochlore material GeCu_2O_4 has exactly such a quasi-one-dimensional structure,³⁸ thanks to a strong Jahn-Teller elongation of CuO_6 octahedra along the crystal c direction.³⁸ From the high-temperature tail of the uniform spin susceptibility one can estimate the ratio of (frustrated) interchain exchange J_\times to the intrachain one J as $J_\times/J \approx 0.16$.³⁸ At lower temperatures the uniform spin susceptibility follows that of the spin chain down to $T_c=33$ K, where a small discontinuity is observed. The specific heat shows a sharp peak at the same temperature, suggesting a first-order transition to the magnetically ordered state of yet unknown structure.³⁸ The theory presented in this paper predicts that for a sufficiently small J_\times/J ratio, the ordered state will be

replaced by the quantum-disordered valence-bond solid shown in Fig. 7.

Another very interesting realization of one-dimensionality in the 3D setting is provided by the $S=1$ pyrochlore material ZnV_2O_4 .³⁹ There, spin chains are formed below the structural *orbital-ordering* transition at $T_{c1}=50$ K, as observed in the recent neutron scattering experiment.⁴⁰ This is followed by the second, *magnetic*, transition at $T_{c2}=40$ K. The resulting collinear magnetic order can be described as follows: $S=1$ spins order antiferromagnetically along the strong (chain) directions in such a way that spins along weaker (J_\times) bonds form a four-spin pattern,⁴¹ “up-up-down-down-up-up- \dots ”. This 3D structure is, in fact, rather similar to the 2D one, Fig. 4, found in Sec. V B: observe that spins on the inter chain bonds in that figure follow the same four-spin pattern of two up and two down spins. This is not a coincidence—in both cases the classical order can be understood in terms of the well-known order-from-disorder phenomenon,⁴² induced by either quantum³ or thermal⁴¹ fluctuations. This analogy suggests that magnetically ordered state of GeCu_2O_4 , observed in Ref. 38, should be similar to that in the low-temperature phase of ZnV_2O_4 —clearly more experimental and theoretical studies of this question are required. The analogy does not apply in the $J_\times/J \rightarrow 0$ limit where decoupled $S=1$ chains, although in the quantum-disordered phase with a finite spin gap $\Delta \sim 0.4J$,⁴³ do not break translational symmetry. This is in contrast with $S=1/2$ chains studied in this paper; the decoupled limit is characterized by the crossed-dimer order, Fig. 7, which does break translational symmetry. Properties of the 3D phase transitions between quantum-disordered and ordered phases constitute another interesting theoretical problem which we leave for future studies.

VII. CONCLUSIONS

The main result of this work consists in the prediction of the crossed-dimer VBS phase, illustrated in Figs. 2 and 7. This VBS phase arises in the 1D limit of the model as a result of the frustration-fostered competition between classical (represented by the staggered magnetization \vec{N}) and quantum (represented by the staggered dimerization ϵ) ordering tendencies. Our analysis is based on the careful perturbative implementation of the well-known $\text{SU}(2)$ symmetry of the g matrix field of the WZW model, which provides rigorous field-theoretical description of the low-energy sector of the $S=1/2$ isotropic Heisenberg chain. This symmetry is made explicit by the OPEs Eqs. (25)–(28), which show transformation properties of the low-energy “quantum triad” $\{\vec{J}_{RL}, \vec{N}, \epsilon\}$. As shown in Sec. III consistent implementation of these OPEs requires a careful treatment of the often neglected *gradient* terms (“nonprimary fields” in the conformal field theory nomenclature, such as $\partial_x \vec{N}$) which link together quantum fluctuations of the conserved spin current with those of the staggered magnetization and dimerization fields. Once this is done, straightforward interchain perturbation theory leads to the frustration-generated interaction of dimerizations on the crossing chains, Eq. (40).

Our finding of the CD-VBS phase in the $J_\times \ll J$ limit of the checkerboard antiferromagnet eliminates a previously proposed⁸ sliding Luttinger liquid phase as the candidate for the ground state. Like many others, that work⁸ overlooked the crucial role of the gradient terms in the analysis of the frustrated interchain interaction between critical $S=1/2$ Heisenberg chains.

It is also worth pointing out here that our calculation clarifies previous somewhat inconclusive “sightings” of the decoupled-chain phase^{4,6} that arise in a widely used large- N approach⁴⁴ to frustrated spin models. By its very construction, that technique fails to account, at the leading $N=\infty$ level, for the fluctuation-generated residual dimer-dimer interaction in the anisotropic 1D limit (although one does expect finite $1/N$ corrections to the inter-dimer interaction to appear once the fluctuations of the compact gauge field are accounted for⁴).

We have also presented a global phase diagram of the CCM (Sec. V). Although phenomenological in nature, our analysis stresses the importance of lattice symmetries in delineating the *order* of possible direct transitions between various quantum (CD- and P-VBS) and classical (ordered Néel and Néel^{*}) phases of the CCM found in this and previous studies. We find that most of such transitions are required to be of the first-order type, or proceed via an intermediate coexistence phase, as illustrated in Figs. 5 and 6. This claim concerns even the relatively well studied P-VBS to Néel transition^{11,12,14} and clearly calls for more numerical (as well as analytical) investigations of this interesting question.

Last but not least, we have also presented a simple but intriguing extension of the approach to the anisotropic three-dimensional pyrochlore antiferromagnet, which may be relevant to both $S=1/2$ and $S=1$ pyrochlore-based magnetic materials.^{38,40} We hope that this interesting connection will inspire new experiments in this exciting area.

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APPENDIX A: CORRELATION FUNCTIONS

1. Fermionic formulation

Formally, the WZW model in Eqs. (9) and (19) describes all the low-energy properties of the $S=1/2$ Heisenberg spin

chains, and is the starting point for a perturbative analysis of interchain coupling terms. Unfortunately, as remarked in Sec. II B, this action and Hamiltonian, while compact and self-contained, are not directly useful for concrete calculations. Indeed, in two-dimensional conformal field theory, analysis often proceeds without *any* action or Hamiltonian, solely on the basis of algebraic operator product relations that essentially specify the field content and correlation functions of the theory. We will ultimately proceed by using operator product relations along these lines. In the crossed-chain model, however, due to the local nature of interactions between perpendicular chains, we need to pay particular attention to proper short-distance regularization of the theory. It is therefore desirable to have a more concrete formulation of the theory.

To do so, we use the well-known phenomena of spin-charge separation in one-dimensional spin-1/2 Dirac fermions. In particular, using bosonization, one may show that all degrees of freedom (all states and operators) of such Dirac fermions are identical to those of a free scalar “charge” boson and a spin sector described by the WZW $SU(2)_1$ theory of interest to us. Moreover, the free Dirac fermion Hamiltonian,

$$H_d = iv \int dx (\Psi_{L,s}^\dagger \partial_x \Psi_{L,s} - \Psi_{R,s}^\dagger \partial_x \Psi_{R,s}), \quad (\text{A1})$$

can be expressed as the sum of decoupled spin and charge Hamiltonians, $H_d = H_{WZW} + H_\rho$, with

$$H_\rho = \frac{v}{2} \int dx [(\partial_x \varphi_\rho)^2 + (\partial_x \vartheta_\rho)^2], \quad (\text{A2})$$

where $\varphi_\rho, \vartheta_\rho$ are conjugate “charge” boson fields $[\varphi_\rho(x), \vartheta_\rho(x')] = -i \text{sgn}(x-x')/2$. The right-moving and left-moving fermion operators may, if desired, themselves be re-expressed in terms of the gapless spin and charge fluctuations, which is commonly and conveniently done with the help of Abelian bosonization.²³ We do not, however, require these expressions here.

Because the spin degrees of freedom in H_{WZW} [described by the $SU(2)$ -valued field g] are independent of the charge boson fields, we may safely replace H_{WZW} by H_d at the price of enlarging the physical Hilbert space to include these charge degrees of freedom, without, however, affecting the spin physics in any way. In particular, regardless of the spin interactions we add to H_{WZW} , the charge sector remains always in its ground state, the vacuum of Eq. (A2).

The fermionic formulation provides a convenient way to calculate while simultaneously regularizing the short-distance properties of the theory. This is accomplished by representing the important operators in the spin sector in terms of the Dirac fermions. First, the spin currents may be fully and simply reexpressed in terms of the Dirac fermions:

$$\vec{J}_R = \Psi_{R,s}^\dagger \frac{\vec{\sigma}_{s,s'}}{2} \Psi_{R,s'}, \quad \vec{J}_L = \Psi_{L,s}^\dagger \frac{\vec{\sigma}_{s,s'}}{2} \Psi_{L,s'}. \quad (\text{A3})$$

We can thereby construct the uniform magnetization $\vec{J} = \vec{J}_R + \vec{J}_L$ in terms of fermions. Observe that \vec{J} is invariant under chiral $U(1)$ charge symmetry,

$$\Psi_{R/L,s} \rightarrow e^{i\chi_{R/L}} \Psi_{R/L,s} \quad (\text{A4})$$

with independent phases $\chi_R \neq \chi_L$. This invariance implies independence of \vec{J} from the charge sector, Eq. (A2), no matter what its precise form is.

The second important operator in the spin sector is the staggered magnetization. Unlike the uniform magnetization, however, it does not have a simple expression in terms of the fermions. Instead, one may define a fermionic “staggered” (corresponding to the $2k_F$ component for physical electrons in the Tomonaga-Luttinger model) spin density

$$\vec{N}_F = \Psi_{R,s}^\dagger \frac{\vec{\sigma}_{s,s'}}{2} \Psi_{L,s'} + \Psi_{L,s}^\dagger \frac{\vec{\sigma}_{s,s'}}{2} \Psi_{R,s'}. \quad (\text{A5})$$

Using standard bosonization relations, one finds

$$\vec{N}_F = \vec{N} \cos \sqrt{2\pi} \varphi_\rho. \quad (\text{A6})$$

Hence the fermionic staggered spin density, Eq. (A5), reproduces the desired staggered magnetization \vec{N} , but multiplied by a factor involving the charge boson φ_ρ .

Observe that \vec{N}_F is not invariant under the chiral charge symmetry Eq. (A4) and this implies that it does couple to the charge sector of Eq. (A1), as is explicitly stated in Eq. (A6) above. [It is invariant under the diagonal subgroup of Eq. (A4) with $\chi_R = \chi_L$ which only reflects that it conserves the total charge of the system, but not the charges of right- and left-moving chiral sectors independently.]

Similarly, we may define a fermionic staggered dimerization operator,

$$\epsilon_F = \frac{i}{2} (\Psi_{R,s}^\dagger \Psi_{L,s} - \Psi_{L,s}^\dagger \Psi_{R,s}). \quad (\text{A7})$$

Bosonization gives

$$\epsilon_F = \epsilon \cos \sqrt{2\pi} \varphi_\rho. \quad (\text{A8})$$

As for the staggered magnetization, ϵ_F defined from the Dirac fermions reproduces the staggered dimerization operator ϵ in the spin sector, but with an undesired multiplicative charge factor. Here we observe again that this multiplicative charge factor follows from the fact that ϵ_F does not respect Eq. (A4), similarly to \vec{N}_F above.

We will see below that, for our purposes this second factor in Eqs. (A6) and (A8) is innocuous, so that we may use \vec{N}_F, ϵ_F in place of the true \vec{N}, ϵ fields. We stress this is not generally true, and such a replacement is possible only under special circumstances we outline below. For example, because \vec{N}_F, ϵ_F contain charge fluctuations, their correlation functions are not the same as those of the \vec{N}, ϵ operators, and hence the latter cannot be evaluated using free fermion apparatus. However, the fermionic operators have the same op-

erator products with the spin current field \vec{J} as the corresponding spin operators. This is because the spin current, as discussed above, does not depend on charge sector, and as a result the “charge pieces” of \vec{N}_F and ϵ_F stay “inert” during OPE calculations as outlined in Appendix B. As it turns out, such operator products are all we need in order to solve the problem. Thus, we can evaluate these crucial operator products using those of the free fermions. Furthermore, we can regularize the problem by adopting a short-distance cutoff for the Dirac fermions. This is particularly convenient, as a simple ultraviolet regularization is available in the Dirac formulation which keeps SU(2) spin rotation symmetry manifest at all stages.

2. Fermion Green’s function

To proceed with our program we need then the Green’s function of free fermions $\Psi_{R/L}$. As the interchain interaction Eq. (23) is the sum of local terms over crossings of the lattice, one needs to be careful about the high-energy regularization of the continuum theory. We have found that the most natural and physically appealing regularization is provided by the soft-momentum cutoff scheme.^{45,46} In that scheme the cutoff a is introduced via an $e^{-a|q|}$ factor in the mode expansion of collective bosonic fields. In going from the momentum to the coordinate space, cutoff dependence on a transforms into that on $\alpha \equiv a/v$ in the temporal (τ) direction. Adopting this scheme, one finds that the zero-temperature ($T=0$) Green’s function for the right-moving fermions on the same chain (chain index is omitted for brevity) is given by

$$F_R(x, \tau) = -\langle \hat{T} \Psi_{R,s}(x, \tau) \Psi_{R,s}^+(0, 0) \rangle = -\frac{1}{2\pi v(\tau - ix/v + \alpha\sigma_\tau)}, \quad (\text{A9})$$

where $\alpha = a/v$ is the ultraviolet cutoff in the temporal direction and $\sigma_\tau = \text{sgn}(\tau) = \Theta(\tau) - \Theta(-\tau)$ is the sign function. For the left-moving ones

$$\begin{aligned} F_L(x, \tau) &= F_R^*(x, \tau) \\ &= F_R(-x, \tau) \\ &= -F_R(x, -\tau) \\ &= -\frac{1}{2\pi v(\tau + ix/v + \alpha\sigma_\tau)}. \end{aligned} \quad (\text{A10})$$

It is often convenient to introduce complex coordinates

$$z = \tau + ix/v, \quad \bar{z} = \tau - ix/v, \quad (\text{A11})$$

in terms of which

$$F_R(\bar{z}) = -\frac{1}{2\pi v\bar{z}}, \quad F_L(z) = -\frac{1}{2\pi v z}. \quad (\text{A12})$$

It is important to keep in mind, however, that expressions of Eqs. (A9) and (A10) are “superior” to Eq. (A12) in that they contain an explicit cutoff prescription.

APPENDIX B: DERIVATION OF OPE EQ. (27)

We need Eqs. (A3) and (A5) and the single-particle Green’s function, Eqs. (A9) and (A10). Consider the product of $J_R^a(x_1, \tau_1)$ and $N_F^b(x_2, \tau_2)$ at nearby points (such that $|z_1 - z_2| \sim \alpha$ with $z_i = \tau_i + ix_i/v$). To shorten notations, we denote $(x_1, \tau_1) = \mathbf{1}$, etc. Now use Wick’s theorem for free fermions, as appropriate for Eq. (A1), as well as the identity $\sigma^a \sigma^b = \delta^{ab} + i\epsilon^{abc} \sigma^c$, to reduce the product of four Ψ ’s to that of two of them and F_R ,

$$\begin{aligned} J_R^a(\mathbf{1}) N_F^b(\mathbf{2}) &= -\frac{1}{4} F_R(\mathbf{1} - \mathbf{2}) \{ i\epsilon^{abc} \sigma_{s,s'}^c [\Psi_{R,s}^+(\mathbf{1}) \Psi_{L,s'}(\mathbf{2}) \\ &\quad + \Psi_{L,s}^+(\mathbf{1}) \Psi_{R,s'}(\mathbf{2})] + \delta^{ab} [\Psi_{R,s}^+(\mathbf{1}) \Psi_{L,s}(\mathbf{2}) \\ &\quad - \Psi_{L,s}^+(\mathbf{1}) \Psi_{R,s}(\mathbf{2})] \}, \end{aligned} \quad (\text{B1})$$

where summation over repeated spin indices s and s' is assumed. Fusing (x_1, τ_1) and (x_2, τ_2) points, one finds that the coefficient of ϵ^{abc} is just $2N_F^c(\mathbf{2})$, whereas that of δ^{ab} is $-2i\epsilon_F(\mathbf{2})$, the staggered dimerization operator; see Eq. (A7). Hence

$$J_R^a(\mathbf{1}) N_F^b(\mathbf{2}) = \frac{i\epsilon^{abc} N_F^c(\mathbf{2}) - i\delta^{ab} \epsilon_F(\mathbf{2})}{4\pi v(\bar{z}_1 - \bar{z}_2 + \alpha\sigma_{\tau_1 - \tau_2})}. \quad (\text{B2})$$

The second OPE, between \vec{J}_L and \vec{N}_F , is obtained by replacing $F_R \rightarrow F_L$ and changing the sign of the last term in Eq. (B2) as is readily verified by the explicit calculation. Thus

$$J_L^a(\mathbf{1}) N_F^b(\mathbf{2}) = \frac{i\epsilon^{abc} N_F^c(\mathbf{2}) + i\delta^{ab} \epsilon_F(\mathbf{2})}{4\pi v(z_1 - z_2 + \alpha\sigma_{\tau_1 - \tau_2})}. \quad (\text{B3})$$

Observe now that the multiplicative charge factor $\cos\sqrt{2\pi}\varphi_\rho$ from Eqs. (A6) and (A8) appears on both sides of Eqs. (B2) and (B3) above. Dividing by it, we obtain the OPE quoted in Eq. (27),

$$\begin{aligned} J_R^a(\bar{z}) N^b(w, \bar{w}) &= \frac{i\epsilon^{abc} N^c(w, \bar{w}) - i\delta^{ab} \epsilon(w, \bar{w})}{4\pi v(\bar{z} - \bar{w})}, \\ J_L^a(z) N^b(w, \bar{w}) &= \frac{i\epsilon^{abc} N^c(w, \bar{w}) + i\delta^{ab} \epsilon(w, \bar{w})}{4\pi v(z - w)}. \end{aligned} \quad (\text{B4})$$

It is instructive to repeat the same calculation using Abelian bosonization. Consider, for example, $J_R^z(x_1, \tau_1) N^x(x_2, \tau_2)$. Abelian bosonization tells us that

$$J_R^z(\mathbf{1}) = \frac{1}{\sqrt{2\pi}} \partial_{x_1} \Phi_{R,\sigma}(\mathbf{1}), \quad (\text{B5})$$

$$N^x(\mathbf{2}) = \frac{\lambda}{4\pi a} (e^{-i\sqrt{2\pi}[\Phi_{R,\sigma}(\mathbf{1}) - \Phi_{L,\sigma}(\mathbf{1})]} + \text{H.c.}), \quad (\text{B6})$$

where $\Phi_{R/L,\sigma}$ are the *spin* components of chiral right and left bosons, and the scale factor $\lambda = \langle \cos\sqrt{2\pi}\varphi_\rho \rangle_\rho$ is the expectation value of the charge field. The angular brackets $\langle \cdots \rangle_\rho$ denote the average with respect to the charge Hamiltonian H'_ρ which includes now the four-fermion umklapp term responsible for the opening of the charge gap (as a result of

which $\lambda \neq 0$) (see Appendix A of Ref. 27 for more details).

Thus charge fluctuations are explicitly separated from spin ones in the manipulations to follow. To fuse Eqs. (B5) and (B6), we need

$$\Phi_{R,\sigma}(\mathbf{1})e^{i\beta\Phi_{R,\sigma}(\mathbf{2})} = i\beta\langle\hat{T}\Phi_{R,\sigma}(\mathbf{1})\Phi_{R,\sigma}(\mathbf{2})\rangle e^{i\beta\Phi_{R,\sigma}(\mathbf{2})}, \quad (\text{B7})$$

which is obtained by expanding the exponential on the left-hand side of Eq. (B7), pairing $\Phi_{R,\sigma}(\mathbf{1})$ with $\Phi_{R,\sigma}(\mathbf{2})$ in all possible ways (Wick's theorem for free chiral spin bosons), and collecting the rest of the series back into the exponential. Using Eq. (B7) and the fact that right and left bosons are independent from each other, we find

$$J_R^z(\mathbf{1})N^x(\mathbf{2}) = \partial_{x_1}\langle\hat{T}\Phi_{R,\sigma}(\mathbf{1})\Phi_{R,\sigma}(\mathbf{2})\rangle N^y(\mathbf{2}). \quad (\text{B8})$$

Finally,

$$\langle\hat{T}\Phi_{R,\sigma}(\mathbf{1})\Phi_{R,\sigma}(\mathbf{2})\rangle = -\frac{1}{4\pi}\ln[\alpha + \sigma_{\tau_1-\tau_2}(\bar{z}_1 - \bar{z}_2)] \quad (\text{B9})$$

for free chiral bosons. Hence

$$J_R^z(\mathbf{1})N^x(\mathbf{2}) = \frac{iN^y(\mathbf{2})}{4\pi v(\bar{z}_1 - \bar{z}_2 + \alpha\sigma_{\tau_1-\tau_2})}, \quad (\text{B10})$$

which is just one component of Eq. (B2). To get the δ^{ab} term of Eq. (B2) one has to consider explicitly the OPE of, say, J^z and N^z fields.

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