

# Path-integral Monte Carlo study of phonons in the bcc phase of $^4\text{He}$

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Using path-integral Monte Carlo and the maximum entropy method, we calculate the dynamic structure factor of solid  $^4\text{He}$  in the bcc phase at a finite temperature of  $T=1.6$  K and a molar volume of  $21\text{ cm}^3$ . Both the single-phonon contribution to the dynamic structure factor and the total dynamic structure factor are evaluated. From the dynamic structure factor, we obtain the phonon dispersion relations along the main crystalline directions, [001], [011], and [111]. We calculate both the longitudinal and transverse phonon branches. We discuss the differences between dispersion relations resulting from the single-phonon part versus the total dynamic structure factor. In addition, we evaluate the formation energy of a vacancy.

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## I. INTRODUCTION

Solid helium, the well-known example of a quantum solid, continues to be a subject of interest to theorists and experimentalists alike. It is characterized by large zero-point motion and significant short-range correlation of its atoms. These effects make the theoretical description of the solid very difficult. The self-consistent phonon (SCP) method,<sup>1,2</sup> which has been developed over the years to treat this problem, takes into account the high anharmonicity and short-range correlations in order to calculate the dynamical properties of solid helium. The predictions of the SCP agree well with experiment in the fcc and hcp solid phases. In the low-density bcc phase, the agreement between the theory and experiment is less satisfactory, in particular regarding the transverse phonons along the [110] direction.<sup>3</sup> The SCP theory is a variational perturbative theory, and is implemented at zero-temperature.<sup>1</sup> As a complementary approach to the SCP, numerical simulations have been performed over the years. Boninsegni and Ceperley<sup>4</sup> used path-integral Monte Carlo (PIMC) to calculate the phonon spectrum of liquid  $^4\text{He}$  at finite temperature. Galli and Reatto<sup>5</sup> used the shadow wave function approach to obtain the spectra of longitudinal phonons in hcp and bcc  $^4\text{He}$  at zero temperature.

Recently, interest in the properties of quantum solids has been revived, following reports indicating the possible existence of a “supersolid” in the hcp phase.<sup>6</sup> In addition, an opticlike excitation branch was recently discovered in the bcc phase by Markovich *et al.*,<sup>7</sup> and Markovich<sup>8</sup> (in a monoatomic cubic solid, one should observe only three acoustic phonon branches). These results indicate that the physics of solid helium is not yet entirely understood. Gov and Polturak<sup>3</sup> proposed that the excitation branch is a result of the coupling of transverse phonons to additional degrees of freedom, unique to a quantum solid.

In order to reexamine some of these issues using an alternative approach, we decided to study the excitations in bcc solid helium  $^4\text{He}$  by performing quantum Monte Carlo (QMC) simulations at a finite temperature. We use path-integral Monte Carlo (PIMC),<sup>9–12</sup> which is a nonperturbative numerical method that allows, in principle, simulations of

quantum systems without any assumptions beyond the Schrödinger equation. The two body interatomic He-He potential<sup>13</sup> is the only input for the PIMC simulations. In our study the universal path-integral (UPI) code of Ceperley<sup>10</sup> was adapted to calculate the phonon branches at finite temperature.

The different features of our study include the calculation of the transverse phonon branches of bcc  $^4\text{He}$  at a finite temperature of 1.6 K where this phase is stable. Transverse phonons are of particular interest because of their possible relation with the opticlike excitation.<sup>3</sup> For longitudinal phonons, we observe a difference between dispersion relations resulting from the single-phonon part of dynamic structure factor and the total structure factor. This difference becomes significant at large wave vectors. Finally, we repeated our calculations of the longitudinal phonon spectra in the presence of point defects and evaluate the formation energy of a vacancy at a constant density and at a constant volume. We describe details of our simulations in Sec. II. In Sec. III we present the results of our calculations and summarize them in Sec. IV.

## II. METHOD

### A. Theory

The PIMC method used in our simulations is based on the formulation of quantum mechanics in terms of path integrals. It has been described in detail by Ceperley.<sup>10</sup> The method involves mapping of the quantum system of particles onto a classical model of interacting “ring polymers,” whose elements, “beads” or “time-slices,” are connected by “springs.” The method provides a direct statistical evaluation of quantum canonical averages. In addition to static properties of the system, dynamical properties can be also extracted from PIMC simulations.<sup>10</sup>

The object of this study is the phonon spectrum, which can be extracted from the dynamic structure factor  $S(\mathbf{Q}, \omega)$ . We would like to express  $S(\mathbf{Q}, \omega)$  in terms of phonon operators.<sup>1</sup> The definition of  $S(\mathbf{Q}, \omega)$  in terms of density fluctuations is

$$S(\mathbf{Q}, \omega) = \frac{1}{2\pi n} \int_{-\infty}^{+\infty} dt e^{i\omega t} \langle \rho_{\mathbf{Q}}(t) \rho_{-\mathbf{Q}}(0) \rangle, \quad (1)$$

where  $\hbar\mathbf{Q}$  and  $\hbar\omega$  are the momentum and energy (we take  $\hbar=1$ ),  $\rho_{\mathbf{Q}}$  is the Fourier transform of the density of the solid, and  $n$  is the number density.  $S(\mathbf{Q}, \omega)$  is usually expressed in terms of phonons, by writing  $S(\mathbf{Q}, \omega)$  as a sum of terms involving the excitation of a single phonon  $S_1(\mathbf{Q}, \omega)$ , a pair of phonons  $S_2(\mathbf{Q}, \omega)$ , and higher-order terms, which also include interference between different terms.<sup>1,2</sup> In most of our simulations we calculated the  $S_1(\mathbf{Q}, \omega)$  term. Some calculations of  $S(\mathbf{Q}, \omega)$  were also performed and will be discussed below.

Taking the instantaneous position  $\mathbf{r}(l, t)$  of atom  $l$  as the lattice point  $\mathbf{R}_l$  plus a displacement  $\mathbf{u}(l, t) = \mathbf{r}(l, t) - \mathbf{R}(l, t)$ , we rewrite  $S(\mathbf{Q}, \omega)$  in terms of these displacements. The one-phonon contribution is then given by<sup>1</sup>

$$S_1(\mathbf{Q}, \omega) = d^2(\mathbf{Q}) \sum_l e^{i\mathbf{Q}(\mathbf{R}_l - \mathbf{R}_0)} \langle [\mathbf{Q}\mathbf{u}(l, t)] [\mathbf{Q}\mathbf{u}(l, 0)] \rangle, \quad (2)$$

where  $d(\mathbf{Q}) = \langle \exp(-\frac{1}{2}(\mathbf{u}(\mathbf{Q})^2)) \rangle$  is the Debye-Waller factor. The displacement  $\mathbf{u}(l, t)$  can be expressed using the phonon operators  $A_{\mathbf{q}, \lambda}(t)$ <sup>14,15</sup>

$$\mathbf{u}(l, t) = \sum_{\mathbf{q}, \lambda} A_{\mathbf{q}, \lambda}(t) \exp(-i\mathbf{q}\mathbf{R}_l) \hat{\mathbf{e}}_{\lambda}, \quad (3)$$

where  $\mathbf{q}$  is the phonon wave vector,  $\lambda$  is the phonon branch index, and  $\hat{\mathbf{e}}_{\lambda}$  are polarization vectors, chosen along the directions [001], [011], and [111]. Using  $A_{\mathbf{q}, \lambda}(t)$ , the one-phonon term  $S_1(\mathbf{Q}, \omega)$  for a specific phonon branch is rewritten as<sup>1</sup>

$$S_1 = \sum_{\mathbf{q}, \lambda} \int_{-\infty}^{+\infty} \langle A_{\mathbf{q}, \lambda}(t) A_{-\mathbf{q}, \lambda}(0) \rangle \Delta_{\mathbf{Q}, \mathbf{q}-\mathbf{G}} d^2[\mathbf{Q}\hat{\mathbf{e}}_{\lambda}]^2 e^{i\omega t} dt, \quad (4)$$

where  $\Delta_{\mathbf{Q}, \mathbf{q}-\mathbf{G}}$  is the  $\delta$  function and  $\mathbf{G}$  is a reciprocal lattice vector. We use  $\mathbf{Q}$ , which lies inside the first Brillouin zone and parallel to one of  $\hat{\mathbf{e}}_{\lambda}$ . Therefore,  $S_1(\mathbf{Q}, \omega) = S_1(\mathbf{q}, \omega)$ , and  $S_1(\mathbf{q}, \omega)$  is given by

$$S_1 = \sum_{\lambda} S_{1, \lambda} = \sum_{\lambda} \int_{-\infty}^{+\infty} e^{i\omega t} F_{\mathbf{q}, \lambda}(t) dt, \quad (5)$$

and

$$F_{\mathbf{q}, \lambda}(t) = \int_{-\infty}^{+\infty} e^{-i\omega t} S_{1, \lambda}(\mathbf{q}, \omega) d\omega, \quad (6)$$

is the intermediate scattering function.

We cannot directly follow the dynamics of helium atoms in real time using the quantum Monte Carlo method. However, we can extract information about the dynamics by means of the analytical continuation of  $F_{\mathbf{q}, \lambda}(t)$  to the complex plane<sup>4</sup>  $t \rightarrow i\tau$ . Using imaginary time, we obtain

$$F_{\mathbf{q}, \lambda}(\tau) = \int_0^{+\infty} S_{1, \lambda}(\mathbf{q}, \omega) (e^{-\omega\tau} + e^{-\omega(\beta-\tau)}) d\omega, \quad (7)$$

where  $F_{\mathbf{q}, \lambda}(\tau)$  is the intermediate scattering function and  $\beta = 1/kT$ .

In our simulations, we sampled the displacement  $\mathbf{u}(l, \tau)$  for each ‘‘time slice’’  $\tau$  of the  $l$ th atom represented by a ring polymer and calculated  $A_{\mathbf{q}, \lambda}(\tau)$  by performing spatial Fourier transformation

$$A_{\mathbf{q}, \lambda}(\tau) = \sum_l \hat{\mathbf{e}}_{\lambda} \mathbf{u}(l, \tau) \exp(i\mathbf{q}\mathbf{R}_l). \quad (8)$$

Using (8),  $F_{\mathbf{q}}$  is obtained as a quantum canonical average of the product of the phonon operator  $\langle A_{\mathbf{q}, \lambda}(\tau) A_{-\mathbf{q}, \lambda}(0) \rangle$  in equilibrium.

In order to calculate  $S_{1, \lambda}(\mathbf{q}, \omega)$  from Eq. (7), we need to perform an inverse Laplace transformation. Performing this inversion is a difficult numerical problem<sup>10,16</sup> because of the inherent statistical uncertainty of noisy PIMC data. The noise rules out an unambiguous reconstruction of the  $S_{1, \lambda}(\mathbf{q}, \omega)$ . The best route to circumvent this problem is to apply the maximum entropy (MaxEnt)<sup>16,17</sup> method that makes the Laplace inversion better conditioned.

The MaxEnt method yields a dynamic scattering function,  $S_{1, \lambda}(\mathbf{q}, \omega)$ , which satisfies Eq. (7) and at the same time maximizes the conditional probability imposed by our knowledge of the system. This can be done if some properties of  $S_{1, \lambda}(\mathbf{q}, \omega)$  are known. For example, the dynamic scattering factor is a non-negative function and has certain asymptotic behavior at small and large  $\omega$ . In the MaxEnt method the probability to observe of a given dynamic scattering function is given by

$$P[S_{1, \lambda}(\mathbf{Q}, \omega) | \langle F_{\mathbf{q}, \lambda}(\tau) \rangle] \sim \exp(-\frac{1}{2}\chi^2 + \alpha S_{\text{ent}}), \quad (9)$$

where  $P$  is the probability to observe  $S_{1, \lambda}(\mathbf{Q}, \omega)$  for given set of sampled  $\langle F_{\mathbf{q}, \lambda}(\tau) \rangle$ ,  $\chi^2$  is the likelihood,  $\alpha$  is a parameter, and  $S_{\text{ent}}$  is the entropy.<sup>16</sup> To simplify our notation, we use  $S_1(\omega)$  below to denote by the one-phonon dynamic structure  $S_{1, \lambda}(\mathbf{q}, \omega)$  for a given  $\mathbf{q}$  and  $\lambda$  and omit the explicit dependence on  $\mathbf{q}$  and  $\lambda$ . Similarly, we replace  $F_{\mathbf{q}, \lambda}(\tau)$  by  $F_{\tau}$ . The likelihood  $\chi^2$  is given by

$$\chi^2 = \sum_{\tau, \tau', \omega} [K_{\tau', \omega} S_1(\omega) - \langle F_{\tau'} \rangle]^T C_{\tau', \tau}^{-1} [K_{\tau, \omega} S_1(\omega) - \langle F_{\tau} \rangle], \quad (10)$$

where the kernel  $K_{\tau, \omega}$  is defined as

$$K_{\tau, \omega} = \exp(-\tau\omega) + \exp[-(\beta - \omega)\tau]. \quad (11)$$

The covariance matrix  $C_{\tau, \tau'}$  describes the correlation between the different time slices  $\tau$  for a given atom (ring polymer). This matrix is defined as

$$C_{\tau, \tau'} = \langle F_{\tau} F_{\tau'} \rangle - \langle F_{\tau} \rangle \langle F_{\tau'} \rangle, \quad (12)$$

where  $\langle F_{\tau} \rangle$  is obtained as an average over all atoms at a given time slice  $\tau$ . Because  $F_{\tau}$  is periodic as one goes around the polymer,<sup>4</sup> the summation on  $\tau$  is done for  $\tau=1, M/2$ , where  $M$  is the total number of time slices in a polymer ring.

The entropy term  $S_{\text{ent}}$  is added to  $\chi^2$  in order to make the reconstruction procedure better conditioned.<sup>10,16</sup> We note that in some QMC simulations only the diagonal elements of  $C_{\tau,\tau'}$  are taken into account,<sup>16</sup> but here we use all the elements because the  $\langle F_\tau \rangle$  at different  $\tau$  are correlated with each other.

Although  $\chi^2$  measures how closely any form of  $S_1(\omega)$  approximates the solution of Eq. (7), one cannot determine  $S_1(\omega)$  reliably from PIMC using  $\chi^2$  alone.<sup>10,16</sup> To make this determination, one needs to add the entropy term  $S_{\text{ent}}$  to  $\chi^2$  in (9) to make the reconstruction procedure better conditioned. The entropy term is given by

$$S_{\text{ent}}(\omega) = - \int_0^\infty d\omega \left( S_1(\omega) \ln \frac{S_1(\omega)}{m(\omega)} + S_1(\omega) - m(\omega) \right), \quad (13)$$

where  $m(\omega)$  includes our prior knowledge about the properties of  $S_1(\omega)$ , examples of which were given above. The simplest choice of  $S_1(\omega)$  is the flat model, in which  $m(\omega) = \text{const}$  for a selected range of frequencies and zero otherwise. We took a cutoff frequency corresponding to an energy of 100 K. This flat model is used as an input for most of our simulations. In addition, we used a self-consistent model where the output of a previous MaxEnt reconstruction is used as input for the next MaxEnt reconstruction in an iterative fashion.<sup>4,10</sup> The flat model is taken for the initial iteration. Finally, we also tried  $m(\omega)$  with Gaussian and Lorentzian shape, with peaks given by the SCP theory and experiment. As explained below, the outcome is not very sensitive to the choice of  $m(\omega)$  provided the PIMC data are of good quality.

Finally, we discuss the parameter  $\alpha$  in (9). The magnitude of this parameter controls the relative weight of the PIMC data versus the entropy term in the determination of  $S_1(\omega)$ . There are different strategies to obtain  $\alpha$ . In our simulations we used both the ‘‘classical’’ MaxEnt method<sup>16</sup> and random walk sampling.<sup>4</sup> The classical MaxEnt method picks the best value of  $\alpha$ , whereas random walk sampling calculates a distribution of  $\alpha$ ,  $\pi(\alpha)$ . Next, for each value of  $\alpha$  we calculate  $S_1(\omega)$ . The final  $S_1(\omega)$  is obtained as a weighted average over  $\alpha$ . We found that when the collected PIMC data are of good quality, the distribution  $\pi(\alpha)$  becomes sharply peaked and symmetric, and the phonon spectra obtained by means of classical MaxEnt and random walk are almost the same. Good quality data are characterized by an absence of correlation between sequential PIMC steps and by small statistical errors.

### B. Our implementation

The MaxEnt method assumes that the distribution of the sampled  $F_\tau$  is Gaussian.<sup>16</sup> We reblock<sup>16</sup> the sampled values of  $F_\tau$  in order to reduce the correlations and to make the distribution as close as possible to a Gaussian, with zero third (skewness) and fourth (kurtosis) moments.

The criterion determining the minimum number of sampled data points comes from the properties of the covariance matrix  $C_{\tau,\tau'}$ . If there are not enough blocks of data, the

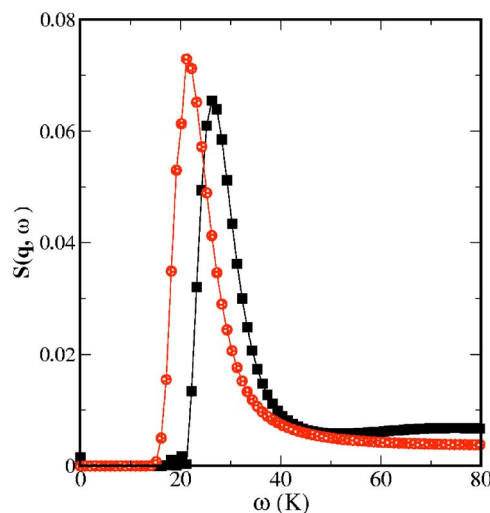


FIG. 1. (Color online) Longitudinal component of the dynamic structure factor  $S(\mathbf{q}, \omega)$  for  $q=0.83$  rlu along the [100] direction: single-phonon contribution (circles, red online), and total structure factor (black squares). The lines are a guide to the eye.

covariance matrix becomes pathological.<sup>16</sup> Therefore, the number of blocks must be larger than the number of time slices in a ring polymer. In our simulations, each atom is represented by a ring polymer with 64 time slices. We collected at least 300 blocks in each simulation run. We found that at least 10 000 data points were required in order to obtain the 300 blocks. Each simulation run took about two weeks of 12 Pentium III PCs running in parallel.

Statistical errors were estimated by running the PIMC simulations ten times, with different initial conditions in each case. After each run,  $S(\mathbf{q}, \omega)$  was extracted using the MaxEnt method. The phonon energy for a given  $\mathbf{q}$  was then calculated by averaging the positions of the peak of  $S(\mathbf{q}, \omega)$  over the set of the simulation runs. The error bars of each point shown in the figures below represent the standard deviation.

In the simulations, we used samples containing between 128 and 432 atoms. This allowed us to calculate  $S(\mathbf{q}, \omega)$  for values of  $q$  between 0.17 and 1 in relative lattice units (rlu  $= 2\pi/a$ , where  $a$  is the lattice parameter). The number density was set to  $\rho=0.02854(1/A^3)$ , and the temperature was  $T=1.6$  K. A perfect bcc lattice was chosen as the initial configuration. The effects of Bose statistics are not taken into account in our simulation, which is a reasonable approximation for the solid phase. A typical example of the calculated dynamic structure factor is shown in Fig. 1. The figure shows both the single-phonon contribution  $S_1(\mathbf{q}, \omega)$  and the total  $S(\mathbf{q}, \omega)$  for a longitudinal phonon along the [001] direction. To illustrate the difference between  $S_1(\mathbf{q}, \omega)$  and  $S(\mathbf{q}, \omega)$ , we chose to show the results for  $\mathbf{q}$  close to the boundary of the Brillouin zone. This difference is discussed below.

## III. RESULTS

### A. Phonon spectra

The calculated longitudinal and transverse phonon spectra of solid <sup>4</sup>He in the bcc phase along the main crystal direc-

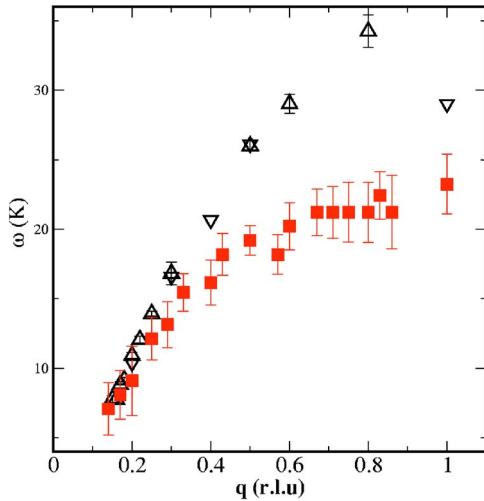


FIG. 2. (Color online) Calculated dispersion relation of the  $L[001]$  phonon branch (squares, red online) using  $S_1(\mathbf{q}, \omega)$ . Experimental data are from<sup>18,20</sup> (triangles up) and from<sup>8</sup> (triangles down). The error bars represent statistical uncertainty.

tions ( $[001]$ ,  $[111]$ , and  $[011]$ ) are shown in Figs. 2–7. We compare our results to the experimental data measured by inelastic neutron scattering from bcc  $^4\text{He}$  with a molar volume of  $21.1 \text{ cm}^3$  at  $T=1.6 \text{ K.}$ , by Osgood *et al.*,<sup>18,19</sup> Minkiewicz *et al.*,<sup>20</sup> and by Markovitch.<sup>8</sup>

As expected, the agreement between our simulations of  $S_1(\mathbf{q}, \omega)$  and experiment is very good at small  $\mathbf{q}$ , where one-phonon excitation is the most significant contribution to  $S(\mathbf{q}, \omega)$ . As  $\mathbf{q}$  increases, higher-order processes become significant and the calculated values deviate from the experimental data, especially along  $[001]$  and  $[111]$ . In the case of longitudinal phonons, it is possible to calculate their energies using the total  $S(\mathbf{q}, \omega)$  obtained directly from Eq. (1) instead of just the single phonon contribution. The dispersion relations calculated with  $S(\mathbf{q}, \omega)$  are shown in Figs. 8 and 9. It is evident that using the total scattering function improves the

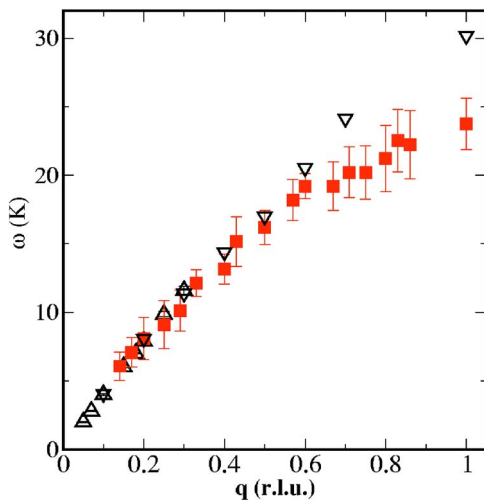


FIG. 3. (Color online) Calculated dispersion relation of the  $T[001]$  phonon branch (squares, red online) using  $S_1(\mathbf{q}, \omega)$ . Experimental data are from<sup>18,20</sup> (triangles up) and from<sup>8</sup> (triangles down). The error bars represent statistical uncertainty.

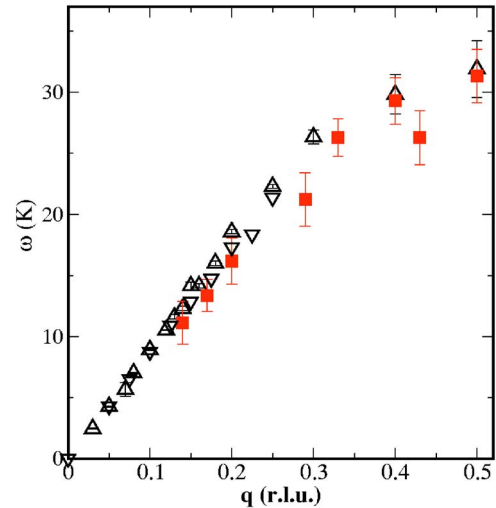


FIG. 4. (Color online) Calculated dispersion relation of the  $L[011]$  phonon branch (squares, red online) using  $S_1(\mathbf{q}, \omega)$ . Experimental data are from<sup>18,20</sup> (triangles up) and from<sup>8</sup> (triangles down). The error bars represent statistical uncertainty.

agreement with experiment at large  $\mathbf{q}$ , especially for the  $[111]$  direction.

We point out that the calculated phonon branches  $T_1(110)$ ,  $T_2(110)$ , and  $L(110)$  show good fit to the experimental data. Our results were obtained with the two-body potential, which takes the He atoms as point particles. Gov and Polturak<sup>3</sup> suggested that one needs to go beyond this approximation to obtain the  $T_1(110)$  phonon branch in good agreement with experiment. Gov and Polturak's approach also predicts the excitation branch observed recently.<sup>7</sup> Although the calculated  $T_1(110)$  branch is in agreement with experiment without any additional assumptions, we were not able to see this excitation in our simulations. Experimentally, this excitation is about an order of magnitude less intense than a phonon. It is

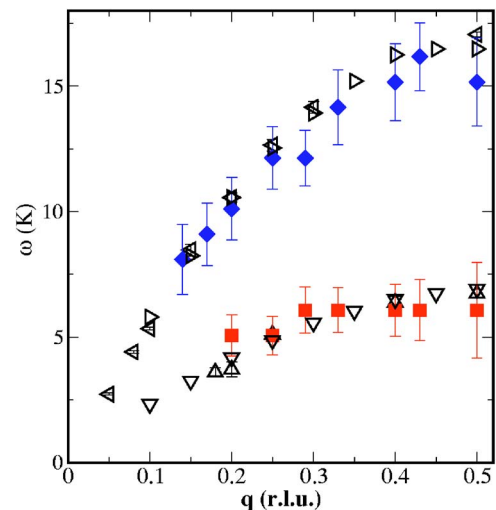


FIG. 5. (Color online) Calculated dispersion relations of transverse phonon branches along  $[011]$  using  $S_1(\mathbf{q}, \omega)$ . Calculated values are shown for the  $T_1$  branch (squares, red online) and  $T_2$  branch (diamonds, blue online). Experimental data are from<sup>18,20</sup> ( $T_1$ , triangles up;  $T_2$ , triangles left) and from<sup>8</sup> ( $T_1$ , triangles down;  $T_2$ , triangles right). The error bars represent statistical uncertainty.

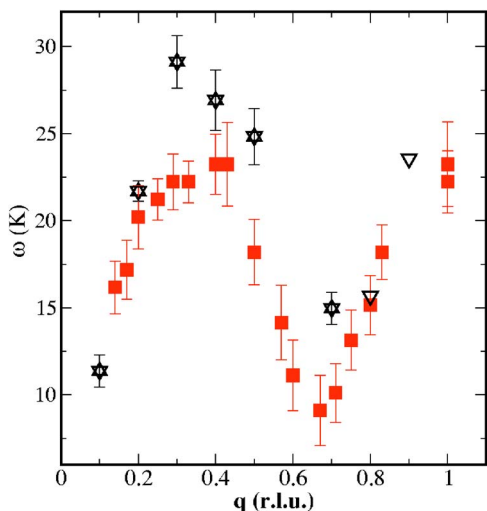


FIG. 6. (Color online) Calculated dispersion relation of the  $L[111]$  phonon branch (squares, red online) using  $S_1(\mathbf{q}, \omega)$ . Experimental data are from<sup>18,20</sup> (triangles up) and from<sup>8</sup> (triangles down). The error bars represent statistical uncertainty.

best observed in scattering experiments done with very small  $\mathbf{q} \leq 0.1$  rlu.<sup>8</sup> Both these factors make it very difficult to search for this excitation in simulations. Whether it can be found in this approach remains an open question.

In addition to experimental results, our simulations can also be compared to those of Galli and Reatto,<sup>5</sup> who used the shadow wave function (SWF) approach to calculate the longitudinal phonon branches of bcc <sup>4</sup>He. As shown in Fig. 10, the overall agreement between these PIMC simulations and SWF results is good.

**B. Vacancies**

Recent experimental work<sup>6</sup> revived the interest in point defects, such as vacancies. It is therefore interesting to examine the influence of vacancies on the properties of the

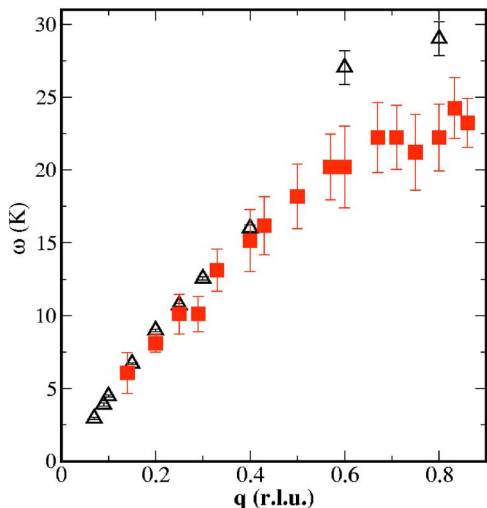


FIG. 7. (Color online) Calculated dispersion relation of the  $T[111]$  phonon branch (squares, red online) using  $S_1(\mathbf{q}, \omega)$ . Experimental data are from<sup>18,20</sup> (triangles up) and from<sup>8</sup> (triangles down). The error bars represent statistical uncertainty.

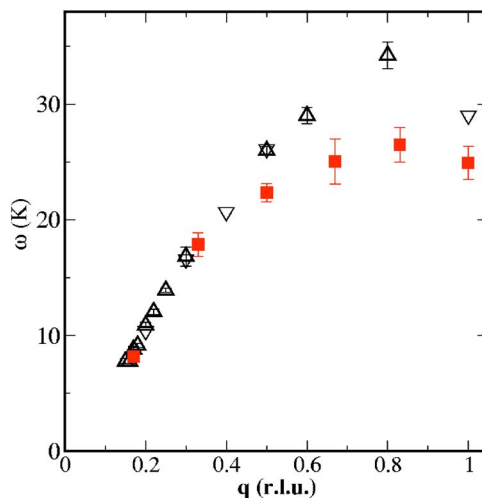


FIG. 8. (Color online) Calculated dispersion relation of the  $L[001]$  phonon branch (squares, red online) using  $S(\mathbf{q}, \omega)$ . Experimental data are from<sup>18,20</sup> (triangles up) and from<sup>8</sup> (triangles down). The error bars represent statistical uncertainty.

solid. We repeated our calculation of the phonon branches in the presence of 0.23% vacancies (1 atom of 432). Within the statistical error bars, we found no difference between the phonon energies with or without vacancies. Galli and Reatto<sup>5</sup> found that vacancies lower the energies of the phonons close to the boundary of the Brillouin zone. However, in their simulation they used a concentration of vacancies of 0.8%, so that the cumulative effect may be larger. We also calculated the vacancy formation energy  $\Delta E_v$  according to Pediveria *et al.*<sup>21</sup>

$$\Delta E_v = [E(N - 1, \rho) - E(N, \rho)](N - 1), \quad (14)$$

where  $E(N, \rho)$  is the total energy of  $N$  atoms. The energy  $E(N, \rho)$  was calculated for a perfect crystal, whereas  $E(N - 1, \rho)$  was calculated after removing one atom. The density of two systems was kept the same by adjusting the lattice

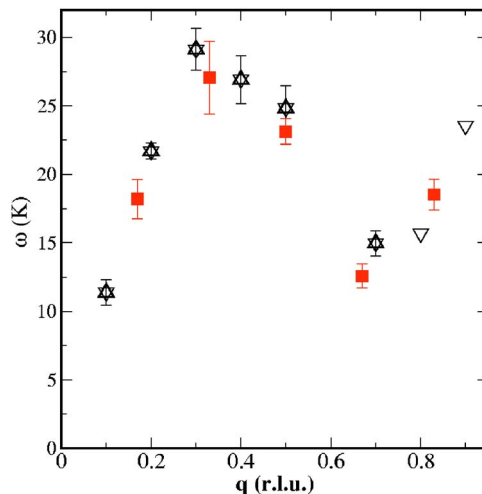


FIG. 9. (Color online) Calculated dispersion relation of the  $L[111]$  phonon branch (squares, red online) using  $S(\mathbf{q}, \omega)$ . Experimental data are from<sup>18,20</sup> (triangles up) and from<sup>8</sup> (triangles down). The error bars represent statistical uncertainty.

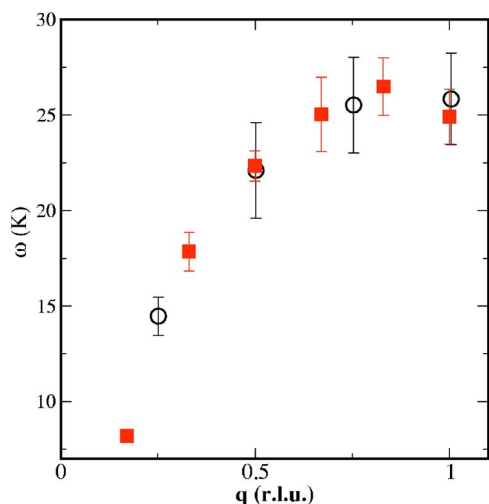


FIG. 10. (Color online) A comparison of dispersion relations of the  $L[001]$  phonon branch obtained in the present work using  $S(\mathbf{q}, \omega)$  (squares, red online), with the same relation calculated by means of the shadow wave function technique<sup>5</sup> (circles). The error bars represent statistical uncertainty.

parameter. Values of  $\Delta E_v$  calculated using the PIMC, SWF (Ref. 9) and shadow path-integral ground state<sup>5</sup> (SPIGS) methods are summarized in Table I. In addition, we calculated  $\Delta E_v$  at constant volume, which is the condition usually realized in experiments rather than constant density. We obtained  $\Delta E_v = 5.7 \pm 0.7$  K. The lower value arises because the repulsive part of the potential is weaker in a sample having lower density. There is no generally accepted experimental value<sup>22</sup> of  $\Delta E_v$ . According to NMR studies<sup>23,24</sup> the energy of vacancy formation in the bcc phase is  $\Delta E_v = 6.5 \pm 0.2$  K, while x-ray studies<sup>25</sup> suggest that  $\Delta E_v = 9 \pm 1$  K. We comment here that the calculated values of  $\Delta E_v$  are significantly smaller than 14 K, the energy of the excitation observed by Markovitch *et al.*<sup>7</sup> and Markovitch.<sup>8</sup> Hence, this excitation does not seem to be a simple vacancy.

#### IV. CONCLUSIONS

We calculated the dynamic structure factor for solid helium in the bcc phase using PIMC simulations and the MaxEnt method. PIMC was used to calculate the intermediate scattering function in the imaginary time from which the dynamic structure factor was inferred with the MaxEnt method. We extracted the longitudinal and transverse phonon branches from the one-phonon dynamic structure factor. At small  $\mathbf{q}$ , where the one-phonon excitation is the most significant contribution to the dynamic structure factor, the agreement between our simulations and experiment is very good.

TABLE I. Calculated energy of formation of a vacancy,  $\Delta E_v$ , for bcc solid  $^4\text{He}$ .  $N$  is the number of atoms used in each of the simulations.

Source	Method	Density ( $1/\text{Å}^3$ )	$N$	$\Delta E_v$ (K)
This work	PIMC	0.02854	128	$10.57 \pm 0.38$
This work	PIMC	0.02854	250	$9.96 \pm 0.89$
Ref. 9	SWF	0.02854	128	$8.08 \pm 2.76$
Ref. 9	SWF	0.02854	250	$6.69 \pm 3.86$
Ref. 5	SWF	0.02898	128	$8.9 \pm 0.3$
Ref. 5	SPIGS	0.02898	128	$8.0 \pm 1.3$

At large  $\mathbf{q}$ , multiphonon scattering and interference effects<sup>1</sup> become important. Consequently, the position of the peak of in the  $S_1(\mathbf{q}, \omega)$  does not correspond to the position of the peak in the  $S(\mathbf{q}, \omega)$ , and the phonon energies calculated from  $S_1(\mathbf{q}, \omega)$  are too low. If  $S(\mathbf{q}, \omega)$  is used instead of  $S_1(\mathbf{q}, \omega)$ , the agreement with experiment is significantly improved. We repeated the simulations in the presence of 0.23% of vacancies and found no significant differences in the phonon-dispersion relations. We also calculated the formation energy of a vacancy both at constant density and at a constant volume.

The calculation of the two-phonon and higher-order-phonon contributions to the dynamic structure is a more demanding task. With single-phonon excitations, the energy of the peak of  $S(\mathbf{q}, \omega)$  is the quantity extracted from the calculations and compared to experiment. With multiphonon excitations, it is the detailed shape of  $S(\mathbf{q}, \omega)$  versus energy that one should compare to experimental data. Even though, in principle, there is no reason why it could not be done, in practice we found that the MaxEnt method does not resolve all the real structure observed experimentally. It is difficult to differentiate between “spurious structure,” which is a result of noisy and incomplete PIMC data, and “real structure,” which represents atomic correlations. The MaxEnt favors the “smooth” Gaussian-like spectral functions and eliminates spurious structure. Consequently, the asymmetric line shape of multiphonon excitations cannot be calculated with confidence using this method.

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