

## Magnetic structure of the Jahn-Teller system $\text{LaTiO}_3$

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We investigate the effect of the experimentally observed Jahn-Teller distortion of the oxygen octahedra in  $\text{LaTiO}_3$  on the magnetic exchange. We present a localized model for the effective hopping between nearest-neighbor Ti ions and the intrasite Coulomb interactions, based on a nondegenerate orbital ground state due to the static crystal field. The latter corresponds to an orbital order which has recently been confirmed experimentally. Using perturbation theory we calculate, in addition to the Heisenberg coupling, antisymmetric (Dzyaloshinskii-Moriya) and symmetric anisotropy terms of the superexchange spin Hamiltonian, which are caused by the spin-orbit interaction. Employing this spin Hamiltonian, we deduce that at low temperatures the spins have predominantly a G-type antiferromagnetic ordering along the crystallographic  $a$  axis, accompanied by a weak ferromagnetic moment along the  $c$  axis and by a weak A-type antiferromagnetic moment along the  $b$  axis. The first two components are found to be in good agreement with experiment.

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### I. INTRODUCTION

In the 1970s the orthorhombic perovskite  $\text{LaTiO}_3$  was considered as a typical example of an antiferromagnetic Mott insulator ( $T_N=146$  K).<sup>1</sup> The ground state of the Ti ion is trivalent with a single electron in the  $d$  shell. This compound has attracted attention when an ordered magnetic moment of  $0.45\text{--}0.46\mu_B$  was reported.<sup>2,3</sup> This value is surprisingly small for a single electron with quenched orbital moment, for which one would have expected  $1\mu_B$ . In  $\text{LaTiO}_3$ , this value may be reduced by about 15.6% due to quantum fluctuations of the three-dimensional (3D) Heisenberg model,<sup>4</sup> and by about further 14% due to the on-site spin-orbit coupling (in conjunction with the crystal field, as will follow from our calculations), leading to an overall estimate of  $0.72\mu_B$ .

An attempt to explain the unexpected finding of a small ordered magnetic moment has neglected the Jahn-Teller distortion of the oxygen octahedra in  $\text{LaTiO}_3$  and assumed that the symmetry of the unit cell is strictly cubic. In such a situation the  $t_{2g}$  ground state of the Ti ion is threefold degenerate and the orbital moment is unquenched. Hence it was proposed to consider  $\text{LaTiO}_3$  as an orbital liquid in order to explain the reduction of the ordered moment by orbital fluctuations.<sup>5</sup>

However, recent experiments give a strong indication of the importance of the Jahn-Teller distortion in  $\text{LaTiO}_3$ , and in particular enable one, using recent structural data, to estimate the splitting it induces in the  $t_{2g}$  levels: There is a crystal-field gap of about 0.24 eV between the nondegenerate ground state and the next excited level.<sup>6</sup> This value has been confirmed by a study of photoelectron spectroscopy<sup>7</sup> and is at least one order of magnitude higher than any superexchange energy in  $\text{LaTiO}_3$ .<sup>8</sup> (A comparison of the optical conductivity and of Raman data shows that the lowest orbital excitation is centered at about 0.25 eV.<sup>9</sup> This value is in

excellent agreement with the estimate of the crystal-field splitting according to Ref. 6.) Consequently, *the orbital order at low temperatures is not induced by the superexchange. Rather, the orbital degree of freedom is frozen by the crystal field.* The scenario of suppressed orbital fluctuations has also been confirmed by a recent LDA+DMFT (local density approximation + dynamical mean-field theory) study.<sup>10</sup> The assumption of Ref. 11 that the crystal-field splitting, the superexchange, and the spin-orbit coupling are all of the same order is inconsistent with the photoelectron spectroscopy of Ref. 7. In addition, a higher value,  $0.57\mu_B$ , of the ordered moment has been recently reported,<sup>6</sup> making the discrepancy between experiment and theory (which gives  $0.72\mu_B$ ) even smaller.

The Jahn-Teller effect in  $\text{LaTiO}_3$  is caused by the twisting of the Ti-O bonds with respect to each other (i.e., by differences between the O-O bond lengths), rather than by differences between the Ti-O bond lengths. The nondegenerate ground-state orbital due to crystal-field calculations given in Ref. 6 is consistent with the orbital order found in NMR measurements of the Ti-3d quadrupole moment.<sup>12</sup> The presence of orbital order at low temperatures has also been concluded from measurements of the dielectric properties and the dynamical conductivity.<sup>13</sup> Furthermore, an orbital contribution to the specific heat, which is predicted by the orbital-liquid model, has not been found in experiment.<sup>14</sup>

Hence from the recent experiments it must be concluded that the orbital-liquid model is inappropriate for  $\text{LaTiO}_3$ . Moreover, it has been proven, by exact symmetry arguments, that due to a hidden symmetry the superexchange Hamiltonian used in Ref. 5 cannot reproduce the observed magnetic order of  $\text{LaTiO}_3$ .<sup>15,16</sup>

Another model to explain the magnetic properties of  $\text{LaTiO}_3$  proposed the lifting of the  $t_{2g}$  degeneracy by the crystal field resulting from the eight La ions which surround each  $\text{TiO}_6$  octahedron—assuming undistorted octahedra, i.e.,

neglecting the Jahn-Teller effect.<sup>17</sup> This model predicts a realistic nondegenerate ground-state orbital for each Ti ion<sup>8</sup> and yields plausible values for the Heisenberg couplings between nearest-neighbor Ti ions.<sup>12</sup>

However, there are two points missing in the calculations of Ref. 17. (i) The crystal field due to the eight nearest La ions, which gives a nearly equidistant splitting scheme between the three  $t_{2g}$  orbitals, is only a first approximation of the full crystal field due to all the ions of the solid. It is preferable to treat the electrostatic crystal field more accurately, employing the Madelung sum. Such a treatment shows that the Jahn-Teller effect leads to a nondegenerate  $t_{2g}$  ground-state orbital and two quasidegenerate excited orbitals.<sup>6</sup> (ii) Terms of the exchange Hamiltonian which break the spin-rotational invariance and cause the magnetic order have not been considered. Hence the origin of the observed magnetic order is not fully understood so far.

In the present paper we improve the model of Ref. 17 in several respects: we investigate a model for the magnetism of  $\text{LaTiO}_3$ , which starts from a point-charge calculation of the static crystal field for the Ti ions via a full Madelung sum over the crystal (as was already discussed in Ref. 6). Taking into account the recent structural low-temperature data and using a Slater-Koster parametrization of the Ti-O hopping, we calculate an effective hopping matrix between the  $d$  orbitals of nearest-neighbor Ti ions. Treating this Ti-Ti hopping and the on-site spin-orbit coupling as perturbations, we calculate the superexchange coupling between the nondegenerate crystal-field ground states of the  $\text{Ti}^{3+}$  ions. In treating the  $\text{Ti}^{2+}$  ions, which appear as intermediate states of the exchange processes, we take into account the full on-site Coulomb correlations in terms of Slater integrals and diagonalize the Coulomb Hamiltonian together with the crystal-field one. The spin-orbit coupling gives rise to antisymmetric and symmetric anisotropies of the spin Hamiltonian. We calculate the isotropic part of the exchange coupling and both kinds of the anisotropies to leading orders. Using our exchange Hamiltonian, we determine the classical ground state which gives the directions of the spins in the ordered phase. This ground state spin structure is the main result of our paper. The experimental data reveal a G-type antiferromagnetic order along the crystallographic  $a$  axis, which is accompanied by a small ferromagnetic moment along the  $c$  axis.<sup>6</sup> Our calculation reproduces this order. In addition, we find a small A-type moment along the  $b$  axis, which has not yet been detected experimentally.

In the next section we present the details of our model. We include in this section a description of the orbital ground state resulting from our picture and the model Hamiltonian which is based on it (Secs. II A and II B). We then go on to discuss certain effects that exist in  $\text{LaTiO}_3$ , but are not included in the perturbation calculation of the superexchange. The first concerns the Ti-O hybridization, or in other words, the effect of the covalent crystal field (Sec. II C). This hybridization leads to a mixture of the  $\text{Ti}^{3+}$  and  $\text{Ti}^{2+}$  in the ground state. In particular we show in Sec. II C that our neglect of this hybridization does not affect severely the basic assumptions of our model. We next discuss the magnitude of the ordered magnetic moment (Sec. II D). As we show, a combination of the static crystal field and the spin-orbit in-

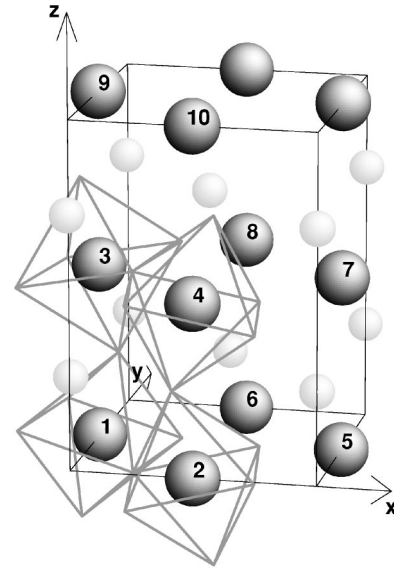


FIG. 1. The crystallographic structure of  $\text{LaTiO}_3$ . The ten Ti ions, which constitute the 12 inequivalent nearest-neighbor Ti-Ti bonds are enumerated. For simplicity, oxygen octahedra are only shown around four Ti sites. La ions from two layers are shown as small spheres. For example, the sites 2 and 6 are crystallographically equivalent but the bond 61 emerges from the 12 bond by a glide reflection, so that the effective hopping matrix for the bond 16 is different than that of the 12-bond: It is the transposed one, see Table V.

teraction on each site reduces the moment by about 14%. We also find that the covalent field, due to the hybridization, gives rise to spin 0 as well as spin 1  $\text{Ti}^{2+}$  states. For the parameters which we use, we find that the covalent crystal field causes a reduction of the ordered magnetic moment by about 0.5%. Since the moment is affected by other factors (e.g., quantum fluctuations), and since the inclusion of all these factors in the present calculation is rather complicated, we chose to concentrate here on the magnetic structure in the ground state, and to leave the discussion of the moment's magnitude to future work. The fact that our model reproduces the observed magnetic structure gives further confirmation that  $\text{LaTiO}_3$  is not described by an orbital liquid model.

Section II concludes with a detailed comparison of our model with other calculations for  $\text{LaTiO}_3$  (Sec. II E). Section III is devoted to the perturbation expansion yielding the microscopic spin Hamiltonian. Section IV discusses the macroscopic magnetic Hamiltonian and the resulting magnetic order of the classical ground state. It includes as well a detailed comparison with existing experimental data. Finally, we summarize our results in Sec. V.

## II. THE MODEL

### A. The crystal field

The unit cell of  $\text{LaTiO}_3$  contains four Ti ions, see Fig. 1 and Table I. The structural data, from Ref. 6 (taken at  $T = 8$  K), are given in Table II. This crystal has the symmetry

TABLE I. The parametrization of the unit cell (space group  $Pbnm$ ), modulo the lattice constants  $a, b, c$ .

La	$(x_{RE}, y_{RE}, 1/4), (1/2 - x_{RE}, 1/2 + y_{RE}, 1/4),$ $(-x_{RE}, -y_{RE}, 3/4), (1/2 + x_{RE}, 1/2 - y_{RE}, 3/4)$
Ti	$(0, 1/2, 0), (1/2, 0, 0), (0, 1/2, 1/2), (1/2, 0, 1/2)$
O1	$(x_{O1}, y_{O1}, 1/4), (1/2 - x_{O1}, 1/2 + y_{O1}, 1/4),$ $(-x_{O1}, -y_{O1}, 3/4), (1/2 + x_{O1}, 1/2 - y_{O1}, 3/4)$
O2	$(x_{O2}, y_{O2}, z_{O2}), (x_{O2}, y_{O2}, 1/2 - z_{O2}),$ $(-x_{O2}, -y_{O2}, -z_{O2}), (-x_{O2}, -y_{O2}, 1/2 + z_{O2}),$ $(1/2 - x_{O2}, 1/2 + y_{O2}, z_{O2}),$ $(1/2 - x_{O2}, 1/2 + y_{O2}, 1/2 - z_{O2}),$ $(1/2 + x_{O2}, 1/2 - y_{O2}, -z_{O2}),$ $(1/2 + x_{O2}, 1/2 - y_{O2}, 1/2 + z_{O2})$

of the space group  $Pbnm$  (No. 62 in Ref. 18). The symmetries of this space group are listed in Table III. Given the position of one La, Ti, O1, and O2 ion each (see Table I), the positions of all other ions in the unit cell follow from the space-group symmetries. In order to use these symmetries conveniently, we employ in our calculation the orthorhombic orthonormal<sup>19</sup> basis for the Ti- $d$  orbitals

$$|xy\rangle, |2z^2\rangle, |yz\rangle, |xz\rangle, |x^2 - y^2\rangle, \quad (1)$$

where the  $x, y,$  and  $z$  axes correspond to the crystallographic  $a, b,$  and  $c$  axes. In a cubic perovskite, the first two orbitals would correspond to the  $e_g$  orbitals and the three others to the  $t_{2g}$  orbitals. [Note that the pseudocubic basis for the  $d$  orbitals, which is frequently used, is obtained from Eq. (1) upon rotating the  $x$  and  $y$  axes by  $45^\circ$  around the  $z$  direction.]

Using the structural data listed in Table II, we have calculated the spectrum and the eigenstates for the Ti ion located at  $(0, 1/2, 0)$  (number 1 in the figure), employing a point-charge calculation of the static crystal-field Hamiltonian. This calculation uses the full Madelung sum over the crystal (which is evaluated as an Ewald sum, see Appendix A). It requires the second moment,  $\langle r^2 \rangle$ , and the fourth moment,  $\langle r^4 \rangle$ , of the effective ionic radius of the  $Ti^{3+}$ -ion. We have used the values  $\langle r^2 \rangle = 0.530 \text{ \AA}^2$  and  $\langle r^4 \rangle = 0.554 \text{ \AA}^4$ .<sup>20</sup> The results of the crystal-field calculation, which are listed in Table IV, exhibit a typical Jahn-Teller  $t_{2g}$  splitting scheme, where a nondegenerate ground state is clearly separated from the two quasidegenerate excited states.

The orbital order in the ground state, due to the static crystal field, is shown in Fig. 2. As seen from the first row in

TABLE II. The structural parameters at  $T=8$  K, from Ref. 5.

$a$	5.6435 \AA	$x_{O1}$	0.0813
$b$	5.5885 \AA	$y_{O1}$	0.4940
$c$	7.9006 \AA	$x_{O2}$	0.7092
$x_{RE}$	0.9930	$y_{O2}$	0.2943
$y_{RE}$	0.0491	$z_{O2}$	0.0428

TABLE III. The symmetries of the space group  $Pbnm$ .

Inversion centers	Ti sites and centers of $ab$ -planar Ti plaquettes, i.e., $(0,0,0), (1/2, 1/2, 0)$ , etc.
Mirror planes	$z = \pm 1/4$
Glide planes	$x = \pm 1/4$ , translation by $(0, 1/2, 0)$
Screw axes	Through the inversion centers, along the $z$ axis, rotation by $180^\circ$

Table IV, this orbital is approximately given by

$$|0\rangle \approx 0.770|yz\rangle \pm 0.636|x^2 - y^2\rangle. \quad (2)$$

This state has approximately the  $2z^2$  structure in the coordinate system in which the  $y$  and  $z$  axes are rotated by  $\pm 56^\circ$  around the  $x$  axis.<sup>6</sup> The relative sign of the linear combination alternates between neighboring  $ab$  planes according to the mirror planes at  $z=1/4$ , etc. In the pseudospin language,<sup>21</sup> we have ferro-orbital order in the  $ab$  planes and canted antiferro-orbital order between the planes. We note that this ground state is in perfect agreement with experiment.<sup>12</sup> The ground state cited in Refs. 12 and 17 is given, to a good approximation, by

$$|0'\rangle = \underbrace{\sqrt{\frac{2}{3}}}_{0.816}|yz\rangle \pm \underbrace{\frac{1}{\sqrt{3}}}_{0.577}|x^2 - y^2\rangle. \quad (3)$$

It practically coincides with our ground state,

$$|\langle 0|0'\rangle|^2 = 99.06\% . \quad (4)$$

The ground state  $|0\rangle$  [see Eq. (2)] of the crystal field, which is occupied at each Ti site by a single electron, is the starting point of our model. The perturbative calculation outlined below is employed in order to evaluate the magnetic superexchange coupling between Ti ions in this state.

## B. The Hamiltonian

As in many superexchange calculations, we perform a perturbative expansion in the hopping matrix elements, aim-

TABLE IV. The static crystal field for  $Ti^{3+}$  (site 1): Spectrum and eigenstates in the orthorhombic basis for the  $d$  orbitals, see Eq. (1) and the following comment there. [The eigenenergies  $E_i$  and the matrix  $W(1)$  used in conjunction with Eq. (C6) are defined by the spectrum and the coordinates of the eigenstates, respectively, as given in this table, where the first row of  $W(1)$  is the coordinate vector of the ground state, etc.]

-0.468 eV	(-0.035, 0.016, 0.770, -0.035, 0.636)
-0.259 eV	(-0.052, -0.397, 0.088, 0.911, -0.049)
-0.239 eV	(-0.407, 0.035, -0.587, 0.086, 0.693)
0.452 eV	(0.853, 0.315, -0.197, 0.221, 0.290)
0.515 eV	(-0.319, 0.861, 0.123, 0.336, -0.169)
Basis	$ xy\rangle,  2z^2\rangle,  yz\rangle,  xz\rangle,  x^2 - y^2\rangle$

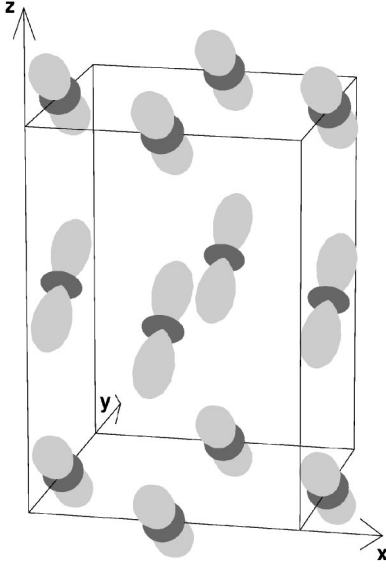


FIG. 2. The orbital order of the Ti ions in the ground state [Eq. (2)], resulting from the calculated crystal field (the energy scale of the superexchange, which could in principle also affect the orbital degree of freedom, is about one order of magnitude smaller than the crystal-field gap). The order is ferro-orbital in the  $ab$  planes and canted antiferro-orbital between the planes.

ing to obtain an effective magnetic Hamiltonian which connects only between the unperturbed crystal field ground states, which amount to the two spin states of the electron on each  $\text{Ti}^{3+}$  ion. The lowest order nontrivial contribution to this effective Hamiltonian comes from terms which are of second-order in the effective Ti-Ti hopping, or equivalently fourth-order in the Ti-O hopping. The next higher order of the exchange process would be of sixth order in the Ti-O hopping. This order is reduced by a factor  $(2.4/5.5)^2 = 0.19$  compared to the fourth order in the Ti-O hopping (using the larger value of the two Slater-Koster parameters,  $V_{pd\sigma} = -2.4$  eV, and the Ti-O charge-transfer energy,  $\Delta_{\text{eff}} = 5.5$  eV, see below). In practice, the lowest order contributions can be pictured by the virtual states which arise when an electron starts in the ground state on Ti ion  $m$ , hops to a neighboring Ti ion  $n$  via the intermediate oxygen ion, and then hops back to  $m$ . Since this procedure involves only two Ti ions (leaving all the other Ti ions in their ground states), the actual calculation can be done (without losing any information) on a two-site cluster, consisting of the two nearest-neighbor Ti ions, denoted by  $m$  and  $n$ .

The unperturbed Hamiltonian acting on such a cluster is given by

$$H_{mn}^0 = H_{mn}^{\text{cf}} + H_{mn}^{\text{c}}, \quad (5)$$

where  $H_{mn}^{\text{cf}}$  is the static crystal field and  $H_{mn}^{\text{c}}$  describes the intra-ionic Coulomb correlations of a doubly occupied  $d$  shell. The perturbation calculation requires a selected set of eigenstates and the corresponding eigenenergies of  $H_{mn}^0$ . In our case, the eigenstates span a Hilbert space which consists of two sectors. In the first, termed the  $\text{Ti}^{3+}$  sector, both Ti ions are trivalent. In the second, called the  $\text{Ti}^{2+}$  sector, one of

the Ti ions is divalent (two  $d$  electrons on the same site) and the other is four-valent (an empty  $d$  shell). In the ground state of  $H_{mn}^0$ , which belongs to the  $\text{Ti}^{3+}$  sector, both Ti ions are in the one-particle ground state of  $H_{mn}^{\text{cf}}$ , modulo spin up or down on each site. This leads to a fourfold degeneracy of the ground state of the cluster. The complete  $\text{Ti}^{3+}$  sector has 100 basis states (made up of five orbital and two spin states on each of the two sites). The  $\text{Ti}^{2+}$  sector has 90 basis states (30 spin-triplet states and 15 spin-singlet states of the doubly occupied  $d$  shell and a factor of 2 because each of the Ti ions can be doubly occupied while the other has an empty  $d$  shell).

In order to calculate the spectrum of  $H_{mn}^0$ , we apply  $H_{mn}^{\text{cf}}$  on the  $\text{Ti}^{3+}$  sector, and both  $H_{mn}^{\text{cf}}$  and  $H_{mn}^{\text{c}}$  on the  $\text{Ti}^{2+}$  sector.  $H_{mn}^{\text{c}}$  is parametrized in terms of the Slater integrals  $F_2$  and  $F_4$ ,<sup>22</sup> and the effective Ti-Ti charge-transfer energy  $U_{\text{eff}}$ . This energy is the difference between the fourfold degenerate ground state of the cluster (which is the lowest level of the  $\text{Ti}^{3+}$  sector) and the lowest level of the  $\text{Ti}^{2+}$  sector (where  $H_{mn}^{\text{cf}}$  and  $H_{mn}^{\text{c}}$  are diagonalized simultaneously). We use  $F_2 = 8F_4/5 = 8.3$  eV from an atomic Hartree-Fock calculation,<sup>23</sup> and  $U_{\text{eff}} = 3.5$  eV from the analysis of the photoemission spectra and first-principles calculations.<sup>24</sup>

The perturbation part of the Hamiltonian,  $V_{mn}$ , consists of an effective Ti-Ti tunneling term,  $H_{mn}^{\text{tun}}$ , and the on-site spin-orbit interaction,  $H_{mn}^{\text{so}}$ ,

$$V_{mn} = H_{mn}^{\text{tun}} + H_{mn}^{\text{so}}. \quad (6)$$

The tunneling Hamiltonian is given in terms of an effective hopping matrix,  $t_{mn}$ , between the  $m$  and the  $n$  Ti ions,

$$H_{mn}^{\text{tun}} = \sum_{ij} \sum_{\sigma} t_{mn}^{ij} d_{mi\sigma}^{\dagger} d_{nj\sigma} + \text{H.c.}, \quad (7)$$

where  $d_{mi\sigma}^{\dagger}$  ( $d_{mi\sigma}$ ) creates (destroys) an electron with spin  $\sigma$  in the  $i$ th eigenorbital of  $H_{mn}^{\text{cf}}$  at site number  $m$  (see Table IV). The spin-orbit coupling is given by

$$H_{mn}^{\text{so}} = \lambda \sum_{k=m,n} \mathbf{I}_k \cdot \mathbf{s}_k, \quad (8)$$

where  $\mathbf{I}_k$  denotes the angular momentum operator of the Ti ion at the  $k$  site,  $\mathbf{s}_k$  its spin operator, and  $\lambda$  the spin-orbit coupling strength. We use  $\lambda = 18$  meV.<sup>17</sup>

The dominant hopping process between two nearest-neighbor Ti ions is mediated via the oxygen ion which is nearest to both of them. Let  $t_m^{i\alpha}$  be the hopping matrix element of an electron in the  $p$  orbital  $\alpha$  on the oxygen ion into the  $i$  state of the Ti ion located at  $m$ . The effective hopping between the Ti ions is then given by

$$t_{mn}^{ij} = -\frac{1}{\Delta_{\text{eff}}} \sum_{\alpha} t_m^{i\alpha} t_n^{j\alpha} = t_{nm}^{ji}. \quad (9)$$

Here,  $\Delta_{\text{eff}}$  is the charge-transfer energy, which is required to put an electron from an O ion on a Ti ion, and  $\alpha$  denotes one of the three  $p$  orbitals on the oxygen (in orthorhombic coordinates),

TABLE V. The effective Ti-Ti hopping matrices for the  $d$  eigenorbitals of the crystal field from Table IV; values are given in eV. The rows and the columns are ordered beginning with the ground state of the crystal field (index 0), continuing with the first excited state (index 1), etc.  $t_{13}$  is symmetric due to the mirror plane at  $z=1/4$ . As the matrices are given in terms of the crystal-field eigenbasis, the dependence of the hopping matrices on the bonds is particularly simple. [In contrast, had we used the orthorhombic basis (1), additional minus signs would have appeared in several entries.]

Planar				
$t_{12}=t'_{16}=t_{25}=t'_{65}=t_{34}=t'_{38}=t_{47}=t'_{87}$				
=	$\begin{bmatrix} -0.198 & -0.155 & -0.052 & -0.022 & 0.016 \\ 0.109 & 0.133 & 0.022 & -0.089 & 0.135 \\ -0.114 & 0.167 & -0.188 & -0.098 & 0.193 \\ -0.021 & 0.088 & -0.235 & 0.579 & -0.710 \\ 0.010 & -0.019 & -0.003 & 0.089 & -0.121 \end{bmatrix}$			
Interplanar				
$t_{13}=t_{24}=t_{39}=t_{410}$				
=	$\begin{bmatrix} 0.178 & 0.047 & -0.143 & 0.010 & 0.020 \\ 0.047 & 0.244 & 0.072 & 0.135 & 0.224 \\ -0.143 & 0.072 & 0.146 & -0.008 & -0.057 \\ 0.010 & 0.135 & -0.008 & -0.112 & -0.312 \\ 0.020 & 0.224 & -0.057 & -0.312 & -0.812 \end{bmatrix}$			

$$|x\rangle, |y\rangle, |z\rangle. \quad (10)$$

(We neglect changes in this basis which are due to the crystal field, since the crystal field splitting is expected to be small compared to the Ti-O charge-transfer energy.)

Using the structural data from Table II, together with elementary geometric considerations, the Ti-O hopping matrix elements can be expressed in terms of the Slater-Koster parameters  $V_{pd\sigma}$  and  $V_{pd\pi}$ .<sup>25</sup> We use the values  $V_{pd\sigma}=-2.4$  eV,  $V_{pd\pi}=1.3$  eV, and  $\Delta_{\text{eff}}=5.5$  eV,<sup>17,24</sup> in conjunction with Eq. (9), to compute the effective hopping matrices pertaining to the unit cell. The results are listed in Table V, which also gives the symmetry properties of the hopping matrices between different Ti-Ti bonds. The four inequivalent Ti sites of the unit cell form 12 nearest-neighbor Ti-Ti bonds which are inequivalent, i.e., they do not evolve from each other by Bravais translations. These bonds connect the ten Ti ions indicated in Fig. 1. By the symmetry operations of the space group  $Pbnm$ , the eight effective hopping matrices between Ti ions belonging to the same  $ab$  plane and the four matrices for Ti-Ti bonds along the  $c$  direction, respectively, can be expressed by a single matrix each. For example, all 12 hopping matrices are given by the two matrices for the Ti-Ti bonds  $mn=12$  (planar) and  $mn=13$  (interplanar), respectively.

Despite the different orbital ordering within the  $ab$  planes and between them, the hopping amplitudes between the

TABLE VI. Model parameters of the calculation.

Momenta of the effective ionic radius for $\text{Ti}^{3+}$
$\langle r^2 \rangle = 0.530 \text{ \AA}^2$ , $\langle r^4 \rangle = 0.554 \text{ \AA}^4$
Slater integrals for $\text{Ti}^{2+}$
$F_2 = 8F_4/5 = 8.3$ eV
Spin-orbit parameter
$\lambda = 18$ meV
Slater-Koster parameters
$V_{pd\sigma} = -2.4$ eV, $V_{pd\pi} = 1.3$ eV
Effective charge-transfer energies (Ti-Ti, Ti-O)
$U_{\text{eff}} = 3.5$ eV, $\Delta_{\text{eff}} = 5.5$ eV

crystal-field ground states in and between the planes are of the same order of magnitude, i.e., roughly  $|t_{12}^{00}| \approx |t_{13}^{00}|$ . In strictly cubic symmetry, those are equal (see Appendix B). The rotation of the oxygen octahedra around the  $c$  axis, the tilting, and the distortion cause some difference between  $|t_{12}^{00}|$  and  $|t_{13}^{00}|$ .

For convenience, we present in Table VI an overview of the parameters used in our calculation.

### C. The Ti-O hybridization

Our model does not include the covalent contribution to the crystal field, arising from hybridization between the Ti- $3d$  and O- $2p$  states. This mechanism mixes excited states of the static crystal field into the  $\text{Ti}^{3+}$  ground state, i.e., there is an admixture of  $\text{Ti}^{2+}$  states accompanied by an admixture of holes on the oxygen sites.

Following Ref. 24, we now estimate the effect of the  $pd$  hybridization. When that hybridization is absent, the effective parameter  $U_{\text{eff}}$  defines the energy difference between the ground state of the  $\text{Ti}^{3+}$  sector and the lowest state of the  $\text{Ti}^{2+}$  sector in a two-site cluster consisting of two Ti ions. When the  $pd$  hybridization is present, these two types of  $d$  states correspond to two bands, from which two  $pd$  hybridized bands evolve according to the covalent crystal field. These hybridized bands have, in general, considerable dispersion: Their peak-to-peak separation, which is seen in the combined photoemission and inverse photoemission spectra, is given by the band gap  $E_{\text{gap}} = 1.6$  eV, and the distance between the band edges is given by the optical gap  $E_{\text{opt}} = 0.2$  eV, which is experimentally observed as the Mott gap. The mean bandwidth between the two  $pd$  hybridized bands is then  $W = E_{\text{gap}} - E_{\text{opt}} = 1.4$  eV.

Since the bands are rather dispersive, the question arises whether a localized picture is suitable to describe, even approximately, the  $\text{LaTiO}_3$  system. In order to study this point, we have analyzed the covalent crystal field of a cluster consisting of a single Ti ion, and the six oxygen ions predominantly hybridized with it (the calculation has been carried out for Ti number 1 in Fig. 1). This is accomplished by diagonalizing the Hamiltonian

$$H_{pd} = H^{\text{cf}} + H^{\text{c}} + H_{pd}^{\text{tun}} \quad (11)$$

for a  $\text{TiO}_6$  cluster. Here  $H^{\text{cf}}$  describes the static crystal field,  $H^{\text{c}}$  is the Coulomb interaction, and  $H_{pd}^{\text{tun}}$  is the  $pd$  tunneling,

TABLE VII. The combined static and covalent crystal fields for  $\text{Ti}^{3+}$  (site 1): Spectrum and eigenstates in the orthorhombic basis for the  $d$  orbitals. The covalent contribution is calculated for a  $\text{TiO}_6$  cluster. The full eigenstates are linear combinations of  $\text{Ti}^{3+}$  states and  $\text{Ti}^{2+}$  states (accompanied by a  $p$  hole on one of the oxygen sites). Here, only the  $\text{Ti}^{3+}$  parts of the five lowest eigenstates are shown, corresponding to the states  $|d^1\rangle$  of Eq. (13).

-0.665 eV	(-0.029,	0.020,	0.778,	-0.023,	0.627)
-0.442 eV	(0.087,	-0.382,	0.203,	0.874,	-0.204)
-0.431 eV	(-0.393,	-0.091,	-0.549,	0.284,	0.675)
0.739 eV	(0.856,	0.323,	-0.211,	0.173,	0.297)
0.799 eV	(-0.323,	0.861,	0.092,	0.354,	-0.144)
Basis	$ xy\rangle$ ,	$ 2z^2\rangle$ ,	$ yz\rangle$ ,	$ xz\rangle$ ,	$ x^2-y^2\rangle$

$$H_{pd}^{\text{tun}} = \sum_{ni\alpha\sigma} \tilde{t}_{1n}^{\alpha} d_{1i\sigma}^{\dagger} p_{n\alpha\sigma} + \text{H.c.}, \quad (12)$$

where  $p_{n\alpha\sigma}$  destroys an electron on the  $n$ th oxygen site with spin  $\sigma$  in the  $\alpha$  orbital, given in Eq. (10). As in the calculation of the Ti-Ti hopping amplitudes, the  $pd$  hopping amplitudes,  $\tilde{t}_{1n}^{\alpha}$ , are expressed in terms of the Slater-Koster parameters  $V_{pd\sigma}$  and  $V_{pd\pi}$ , using the structural data of Ref. 6. The entire space of the basis states of the  $\text{TiO}_6$  cluster consists of a  $\text{Ti}^{3+}$  sector where the  $p$  orbitals are all occupied, and a  $\text{Ti}^{2+}$  sector where there is a hole in one of the  $p$  orbitals. The eigenstates of the Hamiltonian (11) have the form

$$|\psi\rangle = \sqrt{2-n_d}|d^1\rangle + \sqrt{n_d-1}|d^2\rangle, \quad (13)$$

where  $n_d$  is the occupation number of the Ti- $d$  shell ( $1 \leq n_d \leq 2$ ),  $|d^1\rangle$  is a state with a single electron in the  $d$  shell and fully occupied  $p$  shells on the surrounding oxygen ions, and  $|d^2\rangle$  is a state with two electrons in the  $d$  shell and a hole in the  $p$  shell of one of the oxygen ions. We find that in the ground state  $n_d=1.343$ , i.e., there is a  $p$  hole on one of the neighboring oxygens with probability of 34.3%

This calculation allows for the analysis of the eigenstates of the combined static and covalent crystal fields. Projecting the five lowest eigenstates onto the  $\text{Ti}^{3+}$  sector (which corresponds to the states  $|d^1\rangle$ ), gives to a very good approximation the same eigenstates as for the static crystal field alone, compare Table VII with Table IV. This finding explains why, despite the admixture of  $\text{Ti}^{2+}$  states  $|d^2\rangle$ , the agreement with the experiment of Ref. 12 remains perfect, as evidenced in Eq. (4). Indeed, this experiment measures the  $\text{Ti}^{3+}$  part,  $|d^1\rangle$ , of the combined static and covalent crystal field, and apparently is not sensitive to the  $\text{Ti}^{2+}$  admixture  $|d^2\rangle$ . Table VII also shows that the  $t_{2g}$  splitting remains almost the same as in the absence of the covalent contribution, whereas the distance between the  $t_{2g}$  and  $e_g$  energies is enhanced.

Since it is extremely complicated to include in the magnetic superexchange calculation the hopping between the  $pd$  hybridized states, our calculations below contain only the hopping between the  $\text{Ti}^{3+}$  states. The results listed in Table VII, which show that the projections of the eigenstates of the combined static and covalent crystal fields onto the  $\text{Ti}^{3+}$  sector are almost the same as in the static-only case, ensure that

the  $\text{Ti}^{3+}$  states we use are an appropriate starting point for the superexchange calculation. However, it is possible that calculations for other quantities may yield larger differences.

#### D. The magnetic moment

The 14% reduction of the magnetic moment, alluded to in Sec. I above, is obtained upon diagonalizing together  $H_{mn}^{\text{cf}}$  and  $H_{mn}^{\text{so}}$  for a single  $\text{Ti}^{3+}$  ion. The reduction in the magnetic moment due to the not fully quenched orbital moment is estimated as follows. The spin along a certain selected direction does not commute with  $H_{mn}^{\text{so}}$  and therefore it is not a good quantum number for the combined Hamiltonian  $H_{mn}^{\text{cf}} + H_{mn}^{\text{so}}$ . However, the eigenstates of this combined Hamiltonian are symmetric or antisymmetric with respect to time reversal. This leads to five Kramers doublets for the single  $\text{Ti}^{3+}$  ion. Since the ordered magnetic moment is mainly of the G-type, and is oriented along the  $x$  axis,<sup>6</sup> we use those doublets to find the expectation values of the angular momentum. By choosing the largest possible polarization of the magnetic moment along the  $x$  axis out of all the linear combinations of the ground-state doublet, we find the expectation value  $\langle I_k^x + 2s_k^x \rangle \mu_B = 0.86 \mu_B$ . This effect is not included in our perturbation calculation of the magnetic exchange. However, it does explain partially why the observed ordered moment along the  $x$  axis is reduced with respect to  $1 \mu_B$ .

The Ti-O hybridization hardly affects the magnetic moment. For the parameters used here, the admixture of spin 0 and spin 1  $\text{Ti}^{2+}$  states in the ground state of the covalent crystal field yields a reduction of the ordered magnetic moment by about 0.5%. As stated in the Introduction, our calculations below do not contain the renormalizations of the moment's magnitude. From now on we concentrate on finding the magnetic structure in the ground state.

#### E. Comparison with other models

It is instructive at this point to dwell on several differences between our model and three other calculations, reported in Refs. 10, 17, and 26.

(i) As mentioned above, Ref. 17 takes into account the crystal field due to the neighboring La ions only. In addition, the intra-ionic Coulomb correlations are approximated according to the Kanamori scheme, which ignores the splitting of the spin-triplet states. As opposed to this calculation, we take into account the crystal field for both the  $\text{Ti}^{3+}$  and the  $\text{Ti}^{2+}$  configurations, and employ the full intra-ionic Coulomb correlations for the latter. The last point is particularly important: The spin-triplet states as intermediate  $\text{Ti}^{2+}$  states cause a ferromagnetic coupling while the spin-singlet states induce an antiferromagnetic coupling, leading to a competition between ferromagnetic and antiferromagnetic contributions to the magnetic exchange. On the other hand, we omit the small  $pp$  hybridization between the oxygen states, which was included in the calculation of Ref. 17.

(ii) The LDA+DMFT calculation<sup>10</sup> gives a ground state (denoted here  $|0''\rangle$ ) whose projection on the experimentally deduced ground state, Eq. (3), is  $|\langle 0' | 0'' \rangle|^2 = 87.8\%$ , whereas we find 99.06%, see Eq. (4). An even larger difference,

(which is partially explained by the difference in the ground-state orbitals) is found between the nearest-neighbor hopping amplitudes, coupling the Ti ions in that ground state: The values cited in Ref. 10 are about half of the ones we use, with the in-plane amplitude being slightly smaller than the interplane one.

(iii) A recent calculation of the crystal field at room temperature, including the covalency contribution and the spin-orbit coupling,<sup>26</sup> has yielded basically the same  $t_{2g}$  splitting scheme as ours (given in Table VII), whereas the spacing between the  $t_{2g}$  and  $e_g$  states turned out to be bigger than in our calculation, about 0.9 eV. Analogously to the way we determined the reduction of the magnetic moment due to the spin-orbit coupling in Sec. II D, the ground state found in Ref. 26 has entangled spin-up and spin-down states, i.e., the orbital part is not separable from the spin part. The ground state is given there in the fashion which has the largest possible magnetic polarization along the quantization axis. Denoting the spin-up part of this ground state by  $|0'''\rangle$ , it turns out that the squared overlap with the experimentally determined orbital is  $|\langle 0' | 0'''\rangle|^2 = 92.47\%$ . In Ref. 26 the reduction of the G-type moment due to the crystal field and the spin-orbit coupling is found to be 9.5%, whereas we find 14%, see Sec. II D.

We will continue the comparison with other models when we discuss results of our calculation in Sec. IV.

### III. THE EFFECTIVE SPIN HAMILTONIAN

Our aim is to obtain from the full Hamiltonian,  $H_{mn} = H_{mn}^0 + V_{mn}$ , an effective spin Hamiltonian,  $h_{mn}$ , which acts within the Hilbert space of the fourfold degenerate ground state of the unperturbed Hamiltonian  $H_{mn}^0$ .

In general, an operator which acts in the ground-state space of the two Ti ions located at sites  $m$  and  $n$ , consists of linear combinations of the following terms:

$$d_{m0\sigma'_m}^\dagger d_{n0\sigma'_n}^\dagger d_{n0\sigma_n} d_{m0\sigma_m}, \quad (14)$$

where, as before,  $d_{n0\sigma_n}^\dagger$  ( $d_{n0\sigma_n}$ ) creates (destroys) an electron in the crystal-field ground state at site  $n$ , of spin component  $\sigma_n$ . Since there is a single electron at each Ti site, the creation and annihilation operators can be written in terms of site spin-1/2 operators,  $\mathbf{S}_n$ ,

$$\begin{aligned} d_{n0\uparrow}^\dagger d_{n0\downarrow} &= S_n^+, & d_{n0\downarrow}^\dagger d_{n0\uparrow} &= S_n^-, \\ d_{n0\uparrow}^\dagger d_{n0\uparrow} &= \frac{1}{2} + S_n^z, & d_{n0\downarrow}^\dagger d_{n0\downarrow} &= \frac{1}{2} - S_n^z. \end{aligned} \quad (15)$$

Any operator acting within the ground-state space of the two Ti ions can be represented in terms of the 16 operators

$$\begin{aligned} &1 \text{ (constant),} \\ &S_k^\alpha \text{ (single-ion terms),} \\ &S_m^\alpha S_n^\beta \text{ (intersite spin couplings),} \end{aligned} \quad (16)$$

where  $k=m, n$  and  $\alpha, \beta=x, y, z$ . Since the Hamiltonian is invariant under time reversal, there are no single-ion terms,

TABLE VIII. Symmetries of the effective spin Hamiltonian due to the space group. The relations among the anisotropic couplings are abbreviated as follows.  $(+, +, +)_{12} = (-, +, +)_{16}$  means  $\mathbf{D}_{12} = (-D_{16}^x, D_{16}^y, D_{16}^z)$ , etc. Due to the mirror plane  $z=1/4$ , the interplane Moriya vectors have vanishing  $z$  components and the interplane symmetric anisotropies have vanishing  $yz$  and  $xz$  entries. The transformation of the symmetric anisotropies is characterized by the off-diagonal coefficients ( $A_{mn}^{yz}, A_{mn}^{xz}, A_{mn}^{xy}$ ) whereas the diagonal coefficients are invariant in and between the planes, respectively.

Heisenberg couplings
$J_{12} = J_{16} = J_{25} = J_{65} = J_{34} = J_{38} = J_{47} = J_{87},$ $J_{13} = J_{24} = J_{39} = J_{410}$
Moriya vectors
$(+, +, +)_{12} = (-, +, +)_{16} = (+, -, -)_{25} = (-, -, -)_{65}$ $= (-, -, +)_{34} = (+, -, +)_{38} = (-, +, -)_{47} = (+, +, -)_{87},$ $(+, +, 0)_{13} = (+, -, 0)_{24} = (-, -, 0)_{39} = (-, +, 0)_{410}$
Symmetric anisotropies
$(+, +, +)_{12} = (+, -, -)_{16} = (+, -, -)_{25} = (+, +, +)_{65}$ $= (-, -, +)_{34} = (-, +, -)_{38} = (-, +, -)_{47} = (-, -, +)_{87},$ $(0, 0, +)_{13} = (0, 0, -)_{24} = (0, 0, +)_{39} = (0, 0, -)_{410}$

and consequently the effective spin Hamiltonian (omitting constant terms) takes the form

$$h_{mn} = \mathbf{S}_m \cdot A_{mn} \cdot \mathbf{S}_n, \quad (17)$$

where  $A_{mn} (=A_{nm}^t)$  is the  $3 \times 3$  superexchange matrix. This matrix may be decomposed into a symmetric part and an antisymmetric one. The three components of the latter constitute the Moriya vector  $\mathbf{D}_{mn} (= -\mathbf{D}_{nm})$ . Extracting further the isotropic part of  $A_{mn}$ , i.e., the Heisenberg coupling  $J_{mn}$ , the effective spin Hamiltonian is cast into the form

$$h_{mn} = J_{mn} \mathbf{S}_m \cdot \mathbf{S}_n + \mathbf{D}_{mn} \cdot (\mathbf{S}_m \times \mathbf{S}_n) + \mathbf{S}_m \cdot A_{mn}^s \cdot \mathbf{S}_n. \quad (18)$$

Here,  $A_{mn}^s$  represents the symmetric anisotropy. Due to the space-group symmetries, all three types of magnetic couplings belonging to the eight planar Ti-Ti bonds may be obtained from those of a single bond, and so is the case for the four interplanar bonds, see Table VIII.

The various magnetic couplings appearing in Eq. (18) are obtained by perturbation theory to leading order in  $V_{mn}$ , namely, to second order in the hopping  $t_{mn}$  and to first and second order in the spin-orbit coupling (scaled by  $\lambda$ ). In order to accomplish this calculation, we introduce the projection operator  $P_{mn}^0$  onto the ground-state of  $H_{mn}^0$ , and the combined resolvent and projection operator  $S_{mn}$  onto the excited states. The formal expressions for the terms we need are compiled in Appendix C, following Ref. 27. In terms of these projection operators, the various terms appearing in Eq. (18) acquire the following structure. The Heisenberg isotropic exchange, to leading order in the Ti-Ti hopping, is

$$J_{mn} \mathbf{S}_m \cdot \mathbf{S}_n = P_{mn}^0 H_{mn}^{\text{tun}} S_{mn} H_{mn}^{\text{tun}} P_{mn}^0. \quad (19)$$

The second term in Eq. (18) is the Dzyaloshinskii-Moriya antisymmetric anisotropic exchange interaction, which arises from second-order processes in the tunneling Hamiltonian, and first-order processes in the spin-orbit coupling,

$$\mathbf{D}_{mn} \cdot (\mathbf{S}_m \times \mathbf{S}_n) = P_{mn}^0 H_{mn}^{\text{tun}} S_{mn} H_{mn}^{\text{tun}} S_{mn} H_{mn}^{\text{so}} P_{mn}^0 + P_{mn}^0 H_{mn}^{\text{so}} S_{mn} H_{mn}^{\text{tun}} S_{mn} H_{mn}^{\text{tun}} P_{mn}^0. \quad (20)$$

In fact, there are additional terms in this order, in which there appear two  $\text{Ti}^{2+}$  resolvents, e.g.,  $P_{mn}^0 H_{mn}^{\text{tun}} S_{mn} H_{mn}^{\text{so}} S_{mn} H_{mn}^{\text{tun}} P_{mn}^0$ . These are smaller than the ones we keep, by an additional factor of  $\approx \Delta_{\text{cf}}/U_{\text{eff}}=0.059$ , where  $\Delta_{\text{cf}}=0.208$  eV is the gap between the ground state of the single-particle crystal field and the first excited state, see Table IV. Following Ref. 28, we denote the vectors  $\mathbf{D}_{mn}$ , which refer to the microscopic single-bond couplings of the spins, as the Moriya vectors. The macroscopic antisymmetric anisotropic couplings between the sublattice magnetizations of the classical ground state (discussed in the next section) are referred to as the Dzyaloshinskii vectors. They are related to the Moriya vectors but are not necessarily the same.

Finally, processes which are second-order in both the tunneling and the spin-orbit interaction, yield

$$\begin{aligned} \mathbf{S}_m \cdot A_{mn}^s \cdot \mathbf{S}_n + \mathbf{D}'_{mn} \cdot (\mathbf{S}_m \times \mathbf{S}_n) &= P_{mn}^0 H_{mn}^{\text{so}} S_{mn} H_{mn}^{\text{tun}} S_{mn} H_{mn}^{\text{tun}} S_{mn} H_{mn}^{\text{so}} P_{mn}^0 \\ &+ P_{mn}^0 H_{mn}^{\text{so}} S_{mn} H_{mn}^{\text{so}} S_{mn} H_{mn}^{\text{tun}} S_{mn} H_{mn}^{\text{tun}} P_{mn}^0 \\ &+ P_{mn}^0 H_{mn}^{\text{tun}} S_{mn} H_{mn}^{\text{tun}} S_{mn} H_{mn}^{\text{so}} S_{mn} H_{mn}^{\text{so}} P_{mn}^0 \\ &- \frac{1}{2} P_{mn}^0 H_{mn}^{\text{so}} S_{mn}^2 H_{mn}^{\text{so}} P_{mn}^0 H_{mn}^{\text{tun}} S_{mn} H_{mn}^{\text{tun}} P_{mn}^0 \\ &- \frac{1}{2} P_{mn}^0 H_{mn}^{\text{tun}} S_{mn} H_{mn}^{\text{tun}} P_{mn}^0 H_{mn}^{\text{so}} S_{mn}^2 H_{mn}^{\text{so}} P_{mn}^0. \quad (21) \end{aligned}$$

These terms give rise to the symmetric anisotropies  $A_{mn}^s$ , as well as to corrections  $\mathbf{D}'_{mn}$ , of order  $\lambda^2$ , to the Moriya vectors. We have again omitted terms including two  $\text{Ti}^{2+}$  resolvents.

As was shown in Ref. 28, a systematic description of the magnetic anisotropies due to the spin-orbit interaction requires both the first and the second order processes in  $\lambda$ . The technical reason being that the expectation value of the cross product in the second term of Eq. (18) is, in fact, also of order  $\lambda$ , so that altogether the Dzyaloshinskii-Moriya interaction is at least second order in the spin-orbit coupling. As a result, although the antisymmetric Dzyaloshinskii-Moriya interaction alone gives rise to spin-canting, when taken together with the symmetric anisotropy, the system may, under specific conditions, still preserve rotational invariance of the spins.

The detailed calculation of the various terms appearing in Eqs. (19)–(21) is lengthy, albeit straightforward. More details are given in Appendix C. The values we obtain, using the parameters cited above, are listed in Table IX. A comparison with spin-wave measurements is given at the end of the following section.

TABLE IX. The calculated single-bond spin couplings (in meV). The Moriya vectors are given including the corrections  $\mathbf{D}'_{mn}$ , which are of order  $\lambda^2$ . The symmetric anisotropies are given as  $\mathbf{A}_{mn}^d = (A_{mn}^{xx}, A_{mn}^{yy}, A_{mn}^{zz})$  and  $\mathbf{A}_{mn}^{\text{od}} = (A_{mn}^{yz}, A_{mn}^{xz}, A_{mn}^{xy})$  for the diagonal and off-diagonal entries, respectively.

Heisenberg couplings
$J_{12}=24.616, J_{13}=19.416$
Moriya vectors
$\mathbf{D}_{12}=(3.254, -1.273, -1.286), \mathbf{D}_{13}=(-2.886, 0.543, 0)$
Symmetric anisotropies
$\mathbf{A}_{12}^d=(0.188, 0.066, 0.037), \mathbf{A}_{13}^d=(-0.039, -0.218, -0.190),$ $\mathbf{A}_{12}^{\text{od}}=(-0.035, -0.111, -0.088), \mathbf{A}_{13}^{\text{od}}=(0, 0, -0.074)$

## IV. THE CLASSICAL GROUND STATE

### A. The magnetic order of the classical ground state

The single-bond spin Hamiltonian, Eq. (18), is the basis for the magnetic Hamiltonian, from which the magnetic order of the classical ground state follows. To construct the latter, the entire Ti lattice is decomposed into four sublattices. Namely, each magnetic unit cell includes four Ti ions, just as the crystallographic unit cell. The four sublattices are hence enumerated according to the numbers of the four Ti ions per unit cell shown in Fig. 1 (sublattice  $i=1$  corresponds to Ti ion 1 and its Bravais translations, etc.). Assigning a fixed magnetization (per site) to all the spins within each sublattice,  $\mathbf{M}_i$ , one sums over all bonds which couple the four sublattices, to obtain the *macroscopic* magnetic Hamiltonian in the form

$$H_M = \sum_{ij} [I_{ij} \mathbf{M}_i \cdot \mathbf{M}_j + \mathbf{D}_{ij}^D \cdot (\mathbf{M}_i \times \mathbf{M}_j) + \mathbf{M}_i \cdot \Gamma_{ij} \cdot \mathbf{M}_j], \quad (22)$$

where  $ij$  runs over the sublattice pairs 12, 13, 24, and 34 of Fig. 1. This summation procedure gives rise to the macroscopic magnetic couplings:  $I_{ij}$  is the macroscopic isotropic coupling,  $\mathbf{D}_{ij}^D$  are the Dzyaloshinskii vectors (to leading order in the spin-orbit coupling  $\lambda$ ), which are the macroscopic antisymmetric anisotropies, and  $\Gamma_{ij}$  are the macroscopic symmetric anisotropy tensors (of order  $\lambda^2$ ). The relations between those macroscopic couplings and the microscopic single-bond couplings are listed in Table X. The interrelations among the macroscopic couplings, which are dictated by the symmetries of the space group, are contained in Table XI, together with our calculated values of the macroscopic coupling constants.

Our next task is to minimize  $H_M$  and find the various sublattice magnetizations. Given the similarities between the Ti ions, we next assume that all four vectors  $\mathbf{M}_i$  have the same magnitudes, denoted by  $M$ , but differ in their directions. Since Eq. (22) is quadratic in  $M$ , the minimization will



TABLE X. The macroscopic couplings of the sublattice magnetizations in terms of the microscopic single-bond spin couplings. For instance, we have  $I_{12}=J_{12}$  but  $I_{13}=J_{13}/2$ . This is because the coordination number of a Ti ion is 4 in the planes and 2 between the planes.

Isotropic couplings
$I_{12}=J_{12}, I_{13}=\frac{1}{2}J_{13}$
Dzyaloshinskii vectors
$\mathbf{D}_{12}^D=(0, D_{12}^y, D_{12}^z), \mathbf{D}_{13}^D=\frac{1}{2}\mathbf{D}_{13}$
Macroscopic symmetric anisotropies
$\Gamma_{12}^d=\mathbf{A}_{12}^d, \Gamma_{12}^{\text{od}}=(A_{12}^{yz}, 0, 0), \Gamma_{13}=\frac{1}{2}A_{13}$

only be able to yield the directions of these vectors, and not the value of  $M$ . Thus the main result of our paper concerns the *magnetic structure* of the ground state, as reflected by these directions. As discussed in Sec. II D, the actual value of  $M$  depends on many factors which are beyond the scope of the present paper. In fact, this value will be further reduced by *quantum fluctuations*, which arise from the zero point motion of the spin waves around our classical ground state.

To simplify the finding of the moments' directions, we use group theory. According to the space group  $Pbnm$  symmetries, there are four possibilities for the symmetry of sublattice magnetizations of the classical ground state, as listed in Table XII.<sup>3</sup>

TABLE XI. Symmetries of the magnetic Hamiltonian due to the space group and the values of the macroscopic magnetic couplings in meV. The symmetry relations for the anisotropic couplings are abbreviated as in Table VIII. Due to the glide planes, the Dzyaloshinskii vectors of the planar bonds have vanishing  $x$  components, and the respective symmetric anisotropies have vanishing  $xz$  and  $xy$  entries. Because of the mirror planes, the Dzyaloshinskii vectors of the interplanar bonds have vanishing  $z$  components and the respective symmetric anisotropies have vanishing  $yz$  and  $xz$  entries. In the calculation of the classical magnetic ground state three coefficients of the macroscopic symmetric anisotropies are taken into account (see text).

Isotropic couplings
$I_{12}=I_{34}, I_{13}=I_{24},$ $I_{12}=24.616, I_{13}=9.708$
Dzyaloshinskii vectors
$(0, +, +)_{12}=(0, -, +)_{34}, (+, +, 0)_{13}=(+, -, 0)_{24},$ $\mathbf{D}_{12}^D=(0, -1.273, -1.286), \mathbf{D}_{13}^D=(-1.589, 0.271, 0)$
Macroscopic symmetric anisotropies
$(+, 0, 0)_{12}=(-, 0, 0)_{34}, (0, 0, +)_{13}=(0, 0, -)_{24},$ $\Gamma_{12}^{xx}=0.188, \Gamma_{13}^{xx}=-0.020, \Gamma_{13}^{xy}=-0.037$

TABLE XII. All types of magnetic order which are allowed by the space group  $Pbnm$ . There are four possibilities, denoted by  $x^s, x^a, z^s,$  and  $z^a$ . They are allowed because the ordered state can be symmetric or antisymmetric according to the glide plane  $x=1/4$  and the mirror plane  $z=1/4$ , respectively. The order in  $\text{LaTiO}_3$  is of the first type. Here,  $G_x$  denotes G-type antiferromagnetic moment along  $x$ ,  $A_y$  denotes A-type antiferromagnetic moment along  $y$ , and  $F_z$  denotes ferromagnetic moment along  $z$ . The other possibilities involve also C-type ordering, e.g.,  $C_z$  for the  $z$  components of the magnetic moments. The magnetizations  $\mathbf{M}_k$  of the sublattices are given in terms of  $\mathbf{M}_1$ .  $(+, +, +)_1=(-, +, +)_2$  means  $\mathbf{M}_1=(-M_2^x, M_2^y, M_2^z)$ , etc.

1. $x^s, z^a$	2. $x^a, z^a$	3. $x^s, z^s$	4. $x^a, z^s$
$(+, +, +)_1$	$(+, +, +)_1$	$(+, +, +)_1$	$(+, +, +)_1$
$(-, +, +)_2$	$(+, -, -)_2$	$(-, +, +)_2$	$(+, -, -)_2$
$(-, -, +)_3$	$(-, -, +)_3$	$(+, +, -)_3$	$(+, +, -)_3$
$(+, -, +)_4$	$(-, +, -)_4$	$(-, +, -)_4$	$(+, -, +)_4$
$G_x A_y F_z$	$A_x G_y C_z$	$C_x F_y A_z$	$F_x C_y G_z$

Having checked each of these possibilities, we have concluded that the lowest energy is found for the first possibility in this table. Using this symmetry, it is then possible to express all four vectors in terms of two angles,  $\varphi$  and  $\vartheta$ , see Table XIII. The actual minimization finally yields the angles listed in Table XIII, and the corresponding magnetic structure is shown in Fig. 3. This magnetic structure represents the main result of our paper. This classical magnetic ground state has the following symmetry: The  $x$  components of the magnetizations order antiferromagnetically, in a G-type structure (where the four sublattices actually reduce to two). The  $y$  components order antiferromagnetically as

TABLE XIII. The structure of the magnetic order (the first possibility of Table XII) [characterized by the sublattice magnetizations  $\mathbf{M}_k$  in the classical ground state, in terms of the canting angles  $\varphi$  and  $\vartheta$  (each of these angles is proportional to  $\lambda$ , the spin-orbit parameter)], the calculated canting angles, and the resulting absolute values of the ordered moments (normalized to  $M$ ).

$x$ components: G-type $-M_1^x=M_2^x=M_3^x=-M_4^x=M \cos \varphi \cos \vartheta$
$y$ components: A-type $-M_1^y=-M_2^y=M_3^y=M_4^y=M \sin \varphi \cos \vartheta$
$z$ components: ferromagnetic $M_1^z=M_2^z=M_3^z=M_4^z=M \sin \vartheta$
Canting angles $\varphi=1.42^\circ, \vartheta=0.80^\circ$
Ordered moments $\mathbf{M}=(\pm 0.9996, \pm 0.0248, 0.0140)M$

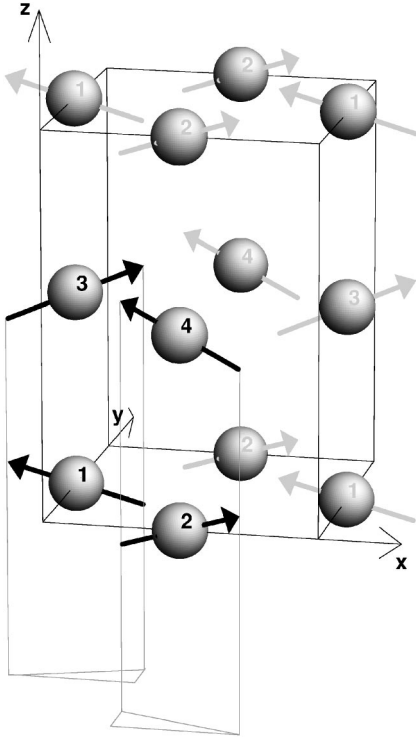


FIG. 3. The magnetic order of the Ti ions in the classical ground state of the effective spin Hamiltonian of the lattice. The ions are enumerated according to the sublattice to which they belong. The  $x$  components of the spins order antiferromagnetically in the G-type configuration, the  $y$  components order antiferromagnetically in the A-type one, and the  $z$  components order ferromagnetically.

well, but in an A-type structure. Finally, the  $z$  components of the magnetizations order ferromagnetically. Due to the dominating Heisenberg coupling, one observes that the magnetic structure of the classical ground state is predominantly G-type. The easy direction along the  $x$  axis and the canting angles (both proportional to the spin-orbit coupling  $\lambda$ ) result from the anisotropic couplings of the model. Those break the rotational invariance of the magnetizations, and also cause the small deviations from the pure G-type structure.

This complex ground state magnetic structure may complicate the analysis of the magnitude  $M$ ; different spin components may renormalize differently due to the various factors listed in Sec. II D and to quantum fluctuations. However, since the order is predominantly G-type, we expect that it will be possible to estimate the actual value of  $M$  using mainly the  $x$  component.

Our magnetic structure is fully consistent with the experimental one, as reported in Ref. 6. This experiment (in contrast to the one reported in Ref. 3) reveals that the G-type structure is indeed along the  $x$  direction, while the ferromagnetic moment is along the  $z$  direction. Moreover, since the experiment of Ref. 6 is not sensitive to a small moment along the  $y$  axis,<sup>29</sup> our small A-type antiferromagnetic order along this direction does not contradict the data. We emphasize again that symmetry allows for such ordering, given the G-type order along  $x$  and the ferromagnetic order along  $z$ . Indeed, in the  $\text{YTiO}_3$  system, which has the same space group as  $\text{LaTiO}_3$ , such order has been detected,<sup>30</sup> but with

different magnitudes of the canting angles, which cause the ferromagnetic order to dominate.

One should note that by using naively the procedure outlined above to obtain the energy of the classical magnetic ground state, one obtains in the energy nonsystematic contributions up to fourth order in the spin-orbit coupling  $\lambda$ . To exemplify this point, we consider the expectation value of  $H_M$ , expressed in terms of the angles  $\varphi$  and  $\vartheta$ , and, by the symmetries of the sublattice couplings, in terms of the couplings between the sublattice bonds  $ij=12$  and  $13$ ,

$$\begin{aligned} \langle H_M \rangle = & [\lambda^0:] - 2(I_{12} + I_{13})\cos^2 \varphi \cos^2 \vartheta \\ & [\lambda^2:] + 2(I_{12} - I_{13})\sin^2 \varphi \cos^2 \vartheta + 2(I_{12} + I_{13})\sin^2 \vartheta \\ & + 4(D_{12}^{Dy} + D_{13}^{Dy})\cos \varphi \cos \vartheta \sin \vartheta \\ & + 4D_{12}^{Dz} \cos \varphi \sin \varphi \cos^2 \vartheta \\ & - 2(\Gamma_{12}^{xx} + \Gamma_{13}^{xx})\cos^2 \varphi \cos^2 \vartheta \\ & [\lambda^3:] - 4D_{13}^{Dx} \sin \varphi \cos \vartheta \sin \vartheta - 4\Gamma_{13}^{yy} \cos \varphi \sin \varphi \cos^2 \vartheta \\ & [\lambda^4:] + 2(\Gamma_{12}^{yy} - \Gamma_{13}^{yy})\sin^2 \varphi \cos^2 \vartheta + 2(\Gamma_{12}^{zz} + \Gamma_{13}^{zz})\sin^2 \vartheta \\ & - 2\Gamma_{12}^{yz} \sin \varphi \cos \vartheta \sin \vartheta. \end{aligned} \quad (23)$$

In this equation we have ignored the overall factor  $M^2$ , which does not affect the minimization. The leading orders of the terms are indicated in the square brackets. The non-systematic contributions of fourth order in  $\lambda$  are due to the couplings  $\Gamma_{12}^{yy}$ ,  $\Gamma_{13}^{yy}$ ,  $\Gamma_{12}^{zz}$ ,  $\Gamma_{13}^{zz}$ ,  $\Gamma_{12}^{yz}$  (which are all of order  $\lambda^2$  but are multiplied by  $\sin^2 \vartheta$ ,  $\sin^2 \varphi$ , and  $\sin \vartheta \sin \varphi$  which are also of order  $\lambda^2$ ), and the  $\lambda^2$  correction of  $D_{13}^{Dx}$  (which is multiplied by  $\sin \vartheta \sin \varphi$ ). Those contributions have been excluded from our calculation of the canting angles. On the other hand, we do include in the minimization of  $\langle H_M \rangle$  terms up to the third order in  $\lambda$ . This implies a systematic derivation of the canting angles to first order in this coupling. (The classical ground-state energy has been found consistently, term by term, to second order in  $\lambda$ . Although  $\Gamma_{12}^{xx}$  and  $\Gamma_{13}^{xx}$  have been calculated only up to order  $\lambda^2$  and consequently, we do not have the complete third-order term, this is of little importance when the canting angles are determined, since those couplings appear only with  $\cos \vartheta$  and  $\cos \varphi$ , and therefore just cause an energy shift in  $\langle H_M \rangle$ .) Note also that although the Dzyaloshinskii and Moriya vectors first appear in linear order in  $\lambda$  and the symmetric anisotropy coefficients in quadratic order, *both kinds of anisotropies* have to be considered as they cause terms which contribute in the same order of  $\lambda$  to the classical ground-state energy.<sup>28</sup>

It is interesting to compare our results with experiment. In particular,  $\vartheta=0.80^\circ$  agrees with the value reported in Ref. 3,  $0.85^\circ$ . (Reference 14 reports the value  $1.5^\circ$  from a theoretical estimate. Note that  $\vartheta$  is defined here with respect to the  $ab$  plane.) This canting angle causes the weak ferromagnetic moment along the  $z$  direction, of order  $0.014M$ . Taking  $M$  of order  $1\mu_B$ , this value agrees with the experimental one, within the uncertainty of the measurements which is caused by twinning of the crystal.<sup>31</sup>

Recently, an attempt has been made to analyze the relation between the anisotropy of the spin couplings and the paramagnetic susceptibility, which also has some anisotropy.<sup>26</sup> In this work the anisotropy of the spin couplings is taken into account by postulating phenomenologically an  $xyz$  model, which couples neighboring Ti spins and corresponds in our calculation to the coefficients  $\mathbf{A}_{mn}^d$  from Table IX. A model susceptibility, which results from the  $xyz$  coupling via a molecular-field approximation (and from single-ion as well as from covalence effects), is calculated and then fitted with a couple of free parameters onto the measured susceptibility. As we have shown in this section, the antisymmetric and off-diagonal symmetric anisotropies—in the particular case of Eq. (23), components of the Dzyaloshinskii vectors and  $\Gamma_{13}^{xy}$ —can have at least the same conceptual importance for the magnetic properties of LaTiO<sub>3</sub> as the  $xyz$  anisotropies. Alternatively spoken, in general the  $xyz$  anisotropy is not the dominant anisotropy. This basic argument is not restricted to the low-temperature case, which is accompanied by the magnetic order and which we investigate in the present paper, but refers also to the underlying spin couplings which influence high-temperature properties like the paramagnetic susceptibility (whereas, e.g., the difference between the structural parameters of the low- and high-temperature case might correspond to more or less slight differences of the spin-coupling coefficients and of the orbital ground state). In the way of an extension of Ref. 26, the question whether it is possible to include also the other than  $xyz$  anisotropies via free parameters in a model susceptibility and to compare this susceptibility to experiment, might be interesting.

### B. Comparison with spin-wave data

The magnetic order in the classical ground state is the common starting point for a spin-wave calculation. In the case of the spin Hamiltonian pertaining to LaTiO<sub>3</sub>, Eq. (18), one expects a rich spin-wave spectrum. This calculation is currently being undertaken, and will be presented elsewhere.<sup>32</sup> Nevertheless, our results above may be roughly compared with the existing spin-wave data. To this end, we ignore the antisymmetric and the symmetric anisotropies and hence assume an isotropic classical Néel state (for which the spin-wave spectrum is gapless).

Inelastic neutron scattering has yielded the same value,  $J=15.5$  meV, for the *single-bond* Heisenberg coupling for both the Ti-Ti bonds in the  $ab$  planes and those in-between the planes.<sup>8</sup> This value has been confirmed by the evaluation of Raman spectra.<sup>33</sup> Were we to average our calculated values over the six bonds of each Ti ion, we would have obtained a value which is 32% higher. This rather modest discrepancy can be easily removed by fine-tuning the model parameters. For example, this discrepancy can be removed by using the value  $\Delta_{\text{eff}}=6.6$  eV (as estimated from an LDA +DMFT calculation based on the recent structural data<sup>34</sup>), or by using a smaller value for the Slater-Koster parameters,  $V_{pd\sigma}=-2.2$  eV (keeping the ratio between those parameters fixed,  $V_{pd\pi}=1.2$  eV) instead of  $-2.4$  eV,<sup>7</sup> or any other combined reduction of both of these parameters. Since a detailed

comparison with the data requires the full spin-wave calculation, we do not attempt here any fine-tuning of the model parameters. For the purpose of the present paper, it suffices that the calculated Heisenberg couplings are consistent with the experimental value, within the uncertainties of our model parameters.

Our calculation predicts somewhat different values for the in-plane Heisenberg coupling,  $J_{12}$ , and the out-of-plane one,  $J_{13}$ , yielding the ratio  $\delta=J_{13}/J_{12}\approx 79\%$ . Such an anisotropy may be detected by comparing with the spin-wave dispersion,  $\epsilon(\mathbf{q})$ , at selected points,  $\mathbf{q}=(0,0,\pi)$  and  $\mathbf{q}=(\pi,0,0)$ , in the Brillouin zone of an effective cubic lattice of a unit lattice constant. When only this anisotropy is taken into account, then linear spin-wave theory gives

$$\epsilon(\mathbf{q}) = J_{12} \sqrt{(2 + \delta)^2 - (\cos q_x + \cos q_y + \delta \cos q_z)^2}. \quad (24)$$

With our calculated  $\delta$ , we find  $\epsilon(0,0,\pi)/\epsilon(\pi,0,0)=94\%$ , well within the experimental error bar of about 10% for the spin-wave energies, from which the equality of the Heisenberg couplings on all bonds has been deduced.<sup>35</sup> Hence the (approximate) isotropy of the spin-wave spectrum due to Ref. 8, which has been used as an argument to support the orbital-liquid state,<sup>5</sup> is also consistent with our model, leaving the choice between the models to other factors.

The calculation of Ref. 17 yielded a different value for the Heisenberg coupling ratio,  $\delta=106\%$ , i.e., a larger coupling along the  $c$  axis. This discrepancy can be traced back to our different crystal-field spectrum. In our case, the hopping amplitude between the crystal-field ground states on neighboring Ti ions is about 10% smaller for the bond 13 than for 12, see Table V. This is a geometric effect which follows from the structural data.<sup>6</sup>

From a fit to the observed spin-wave gap, of order  $\Delta=3.3$  meV, in conjunction with a spin model including *solely* antisymmetric anisotropies, a value of  $D=1.1$  meV has been deduced for the magnitude of the Moriya vectors.<sup>8</sup> We obtain higher magnitudes for the Moriya vectors. However, a full spin-wave expansion based on the Hamiltonian (18) indicates that the spin-wave gap is in fact dominated by the symmetric anisotropies rather than by the antisymmetric ones.<sup>32</sup> It is the canting of the ordered spins with respect to each other which is dominated by the Dzyaloshinskii vectors.

### V. SUMMARY

We have presented a detailed analysis of the magnetic order pertaining to the LaTiO<sub>3</sub> system. The starting point of our calculation is the Ti- $d$  orbital configuration which results from the static crystal field that includes the Jahn-Teller distortion, and which gives rise to orbital ordering as shown in Fig. 2. This orbital structure agrees well with NMR measurements, and the crystal-field gap that we obtain is in good agreement with photoelectron and Raman spectroscopies. This orbital ordering rules out the orbital-liquid picture<sup>5</sup> for LaTiO<sub>3</sub>, which ignores the Jahn-Teller-like  $t_{2g}$  splitting scheme and the resulting nondegenerate orbital ground state.

Employing a perturbation expansion of this nondegenerate ground state in the effective hopping between neighboring Ti-ions, and in the on-site spin-orbit coupling, we have

derived an effective spin Hamiltonian. It includes, in addition to the Heisenberg isotropic interaction between nearest-neighbor Ti-ions, the antisymmetric Dzyaloshinskii-Moriya coupling, and the symmetric anisotropic coupling. These three interactions conspire together to yield the magnetic order, given in Table XIII and shown in Fig. 3. By minimizing the magnetic energy of the classical ground state, we found that the magnetic order is primarily that of a G-type antiferromagnet, with the ordered moment along the crystallographic  $a$  axis, accompanied by a weak ferromagnetic moment along the  $c$  axis. This configuration is in good agreement with the experimental findings. In addition, we have found that there is a small A-type moment of the spin components along the  $b$  axis, which (although not yet detected in experiment) is allowed by the symmetry of the system. The structure is not sensitive to reasonable changes in the parameters of the model. This is a nontrivial result, since by the space-group symmetries of  $\text{LaTiO}_3$  (see Table XII) it could have been oriented along the  $y$  or the  $z$  axes.

We find that the in-plane Heisenberg coupling energy is about 27% higher than that pertaining to the coupling between  $ab$  planes. By using these values in a spin-wave theory for the Heisenberg couplings, we show that both couplings are consistent (within the error bars) with the isotropic spin-wave dispersion measured by inelastic neutron scattering.<sup>8</sup> The detailed calculation of the spin-wave dispersion, which is based on the magnetic order in the classical ground state, will provide a further check of our results. This calculation is currently being performed. Of particular importance in this respect are the zone-center gap (which has been found experimentally to be about 3 meV) and the experimentally detected almost cubic isotropy of the dispersion in the entire Brillouin zone. Our preliminary spin-wave results reproduce these two features. This is an independent check of the reliability of our model, since even if the magnetic structure is reproduced correctly, this does not necessarily mean that the magnetic excitations agree with experiment.

Our method seems to be particularly suitable to describe the ferromagnetic Mott insulator  $\text{YTiO}_3$  as well. Preliminary calculations (to be presented elsewhere) indeed indicate ferromagnetic couplings in the  $ab$  planes.<sup>32</sup> Since the covalent  $pd$  hybridization in this system is as strong as in  $\text{LaTiO}_3$ , it will be of much interest to compare the classical magnetic Hamiltonians of the two systems, and the ensuing spin-wave spectra.

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#### APPENDIX A: THE EWALD SUMMATION

The Madelung sum for the Coulomb potential in the point-charge model is given by

$$V(\mathbf{r}) = -e \sum'_{\mathbf{l}, n} \frac{q_n}{|\mathbf{l} + \mathbf{a}_n - \mathbf{r}|}, \quad (\text{A1})$$

where  $\mathbf{r}=(x, y, z)$  is a point on the Ti ion No. 1, whose center is taken as the origin. In Eq. (A1),  $\mathbf{l}$  are the Bravais translations,  $\mathbf{a}_n$  are the basis vectors of the unit cell, and  $q_n$  are the corresponding point charges. The prime on the sum symbol indicates that the ion at the origin,  $\mathbf{l}=\mathbf{a}_n=\mathbf{0}$ , is omitted. This sum converges very slowly. One therefore uses the Ewald summation<sup>36</sup> (see also Ref. 37) where the sum (A1) is mapped onto two sums which converge much better, and which can be computed to high accuracy. Using the Ewald summation, the Madelung potential can be expressed in the form

$$V(\mathbf{r}) = -e \sum_{\mathbf{g} \neq \mathbf{0}} \frac{4\pi}{V_c g^2} e^{-g^2/4G^2 + i\mathbf{g}\cdot\mathbf{r}} \sum_n q_n e^{-i\mathbf{g}\cdot\mathbf{a}_n} - e \sum'_{\mathbf{l}, n} \frac{q_n}{|\mathbf{l} + \mathbf{a}_n - \mathbf{r}|} \text{erfc}(G|\mathbf{l} + \mathbf{a}_n - \mathbf{r}|) + e \frac{q_1}{r} \text{erf}(Gr). \quad (\text{A2})$$

Here  $\mathbf{g}$  are the vectors of the reciprocal Bravais lattice, whose basis vectors are  $(2\pi/a, 0, 0)$ ,  $(0, 2\pi/b, 0)$ , and  $(0, 0, 2\pi/c)$ ,  $V_c=abc$  is the volume of the unit cell, and  $G$  is a frequency cutoff. The value of  $V(\mathbf{r})$  is of course independent of  $G$ . This cutoff is chosen such that the sum over the real-space lattice and the one over the reciprocal lattice can be stopped after about the same number of sites, when the required numerical precision is reached. In Eq. (A2), erf and erfc are the error functions

$$\text{erf}(z) = 1 - \text{erfc}(z) = \frac{2}{\sqrt{\pi}} \int_0^z e^{-t^2} dt. \quad (\text{A3})$$

The Ewald construction requires the neutrality condition

$$\sum_n q_n = 0, \quad (\text{A4})$$

which is fulfilled in our case.

In order to find the spectrum and the eigenstates of the static crystal field, we have replaced the potential  $V(\mathbf{r})$  by the pseudopotential  $V_{\text{ps}}(\mathbf{r})$ , which is its Taylor expansion including the second and fourth orders in  $\mathbf{r}$ . These are the Taylor orders which have nontrivial matrix elements with respect to the  $d$  orbitals.<sup>38</sup> For instance, the second (fourth) Taylor order includes also terms which are proportional to  $xy$  ( $x^3y, x^2y^2$ ). For the Taylor expansion we use ( $r=|\mathbf{r}|$ )

$$\frac{1}{r} \text{erf}(Gr) = \frac{2G}{\sqrt{\pi}} \left[ 1 - \frac{(Gr)^2}{3} + \frac{(Gr)^4}{10} + \dots \right]. \quad (\text{A5})$$

The potential  $V_{\text{ps}}(\mathbf{r})$  is a harmonic function, invariant under inversion of the coordinates. The diagonalization of the matrix  $\langle \gamma | V_{\text{ps}}(\mathbf{r}) | \gamma' \rangle$ , where  $\gamma$  and  $\gamma'$  denote the orthorhombic  $d$  orbitals, gives the results listed in Table IV for the static crystal field. This calculation requires the second and fourth moments of the effective ionic radius, defined by

$$\int f^2(r)r^{2+n}dr = \langle r^n \rangle, \quad n = 2, 4, \quad (\text{A6})$$

where  $f(r)$  denotes the radial part of the  $d$  orbitals.<sup>19</sup>

### APPENDIX B: THE HOPPING AMPLITUDES BETWEEN THE CRYSTAL-FIELD GROUND STATES

As is mentioned in the text, the effective Ti-Ti hopping matrix elements between the crystal-field ground states in the  $ab$  planes are of the same order of magnitude as those between planes, i.e.,  $|t_{12}^{00}| \approx |t_{13}^{00}|$ . This is a somewhat surprising result in view of the fact that there is ferro-orbital order in the planes and (canted) antiferro-orbital order between them. However, as we show here, in strictly cubic symmetry one has  $|t_{12}^{00}| = |t_{13}^{00}|$ . The deviations from cubic symmetry cause the slight difference between these two hopping amplitudes.

Let us hence consider the cubic case, and employ the coordinate system  $x', y', z$  in which the Ti sites 1 and 2 are on the  $x'$  axis (see Fig. 1; these coordinates are rotated by  $45^\circ$  compared to the orthorhombic ones). The crystal-field ground states are now linear combinations of the three degenerate  $t_{2g}$  orbitals

$$|y'z\rangle, |x'z\rangle, |x'y'\rangle. \quad (\text{B1})$$

The hopping amplitudes are proportional to the overlap of the two pertaining orbitals. Let us consider for simplicity the base orbitals according to Eq. (3), i.e.,

$$|1\rangle = |2\rangle = \frac{1}{\sqrt{3}}(|y'z\rangle - |x'z\rangle + |x'y'\rangle),$$

$$|3\rangle = \frac{1}{\sqrt{3}}(-|y'z\rangle + |x'z\rangle + |x'y'\rangle), \quad (\text{B2})$$

where 1, 2, and 3 denote the relevant Ti ions (see Fig. 1).

The effective Ti-Ti hopping that we consider is mediated by the oxygens located between the Ti ions. Then, in strictly cubic symmetry, for each pair of Ti ions, one of the three  $t_{2g}$  orbitals cannot hybridize. This ‘‘inactiveness’’ of one of the orbitals<sup>5</sup> is a direct consequence of the cubic symmetry, as is portrayed in Fig. 4, and is the source of peculiar hidden symmetries in the cubic Hamiltonian.<sup>15,16</sup> In our example, the orbital  $|y'z\rangle$  is inactive for the 12-bond, while for the bond 13 the inactive orbital is  $|x'y'\rangle$ . According to the effect of the intermediate oxygen ions, for the bond 12 the  $3 \times 3$  hopping matrix between the  $t_{2g}$  orbitals has only two nonzero entries (see also Ref. 5). These are identical,  $\langle x'z|H|x'z\rangle = \langle x'y'|H|x'y'\rangle =: t$  ( $H$  denotes the crystal Hamiltonian). For the 13-bond the  $t_{2g}$  hopping matrix has the two nonzero entries  $\langle y'z|H|y'z\rangle = \langle x'z|H|x'z\rangle = t$ . Consequently,

$$t_{12}^{00} = \langle 1|H|2\rangle = \frac{1}{3}(-\langle x'z| + \langle x'y'\rangle)H(-|x'z\rangle + |x'y'\rangle) = \frac{2}{3}t,$$

$$t_{13}^{00} = \langle 1|H|3\rangle = \frac{1}{3}(\langle y'z| - \langle x'z|)H(-|y'z\rangle + |x'z\rangle) = -\frac{2}{3}t, \quad (\text{B3})$$

corresponding to  $|t_{12}^{00}| = |t_{13}^{00}|$ .

### APPENDIX C: THE EXPLICIT CALCULATION OF THE EXCHANGE COUPLINGS

Here we document the technical details of the perturbation calculation that yields the effective spin Hamiltonian. Our formal derivations are based on the results of Ref. 27, and for the sake of completeness we reproduce them here. With  $P^0$  being the projection operator onto the ground state of the zero-order Hamiltonian, and  $S$  being the combined resolvent and projection operator onto the excited states we have (omitting the indices  $mn$  for brevity)  $P^0VSP^0$  for the second order,

$$P^0VSVSP^0 - \frac{1}{2}P^0VS^2VP^0VP^0 - \frac{1}{2}P^0VP^0VS^2VP^0, \quad (\text{C1})$$

for the third order, and

$$\begin{aligned} P^0VSVSVSP^0 - \frac{1}{2}P^0VSVSP^0VS^2VP^0 - \frac{1}{2}P^0VS^2VP^0VSP^0 \\ - \frac{1}{2}P^0VP^0VSVS^2VP^0 - \frac{1}{2}P^0VS^2VSP^0VP^0 \\ - \frac{1}{2}P^0VP^0VS^2VSP^0 - \frac{1}{2}P^0VSVS^2VP^0VP^0 \\ + \frac{1}{2}P^0VS^3VP^0VP^0VP^0 + \frac{1}{2}P^0VP^0VP^0VS^3VP^0, \quad (\text{C2}) \end{aligned}$$

for the fourth order. (Note that  $P^0VP^0=0$  in our case.)

As explained in Sec. II, we consider a cluster of two nearest-neighbor Ti ions. The Hamiltonian of this cluster, given in Eqs. (5) and (6), is expressed in terms of the operators  $d_{ki\sigma}^\dagger$  ( $d_{ki\sigma}$ ) which create (destroy) an electron in the crystal-field eigenorbital  $i$  with spin component  $\sigma$ , on the Ti ion located at site  $k$ . However, it is more convenient to treat the two-electron states (which appear in the intermediate stages of the perturbation expansion) using the orthorhombic basis, Eq. (1). We denote the operators pertaining to this basis by  $c_{k\gamma\sigma}^\dagger$  ( $c_{k\gamma\sigma}$ ), where  $\gamma$  enumerates the orthorhombic orbitals. The first part of this Appendix is devoted to the transformation of the Hamiltonian between the two schemes, and the diagonalization of the two-electron states. In the second part, we summarize the detailed expressions of the various terms resulting from the perturbation expansion.

#### 1. The Hamiltonian

Denoting the matrix of the crystal-field Hamiltonian in the orthorhombic basis by  $V(k)$ , we have

$$H^{\text{cf}} = \sum_{k\gamma_1\gamma_2\sigma} V_{\gamma_1\gamma_2}(k)c_{k\gamma_1\sigma}^\dagger c_{k\gamma_2\sigma}. \quad (\text{C3})$$

The matrices  $V(k)$  are real and symmetric. We next introduce the (unitary and real) matrix  $W(k)$  which diagonalizes the crystal-field Hamiltonian, bringing it to the form

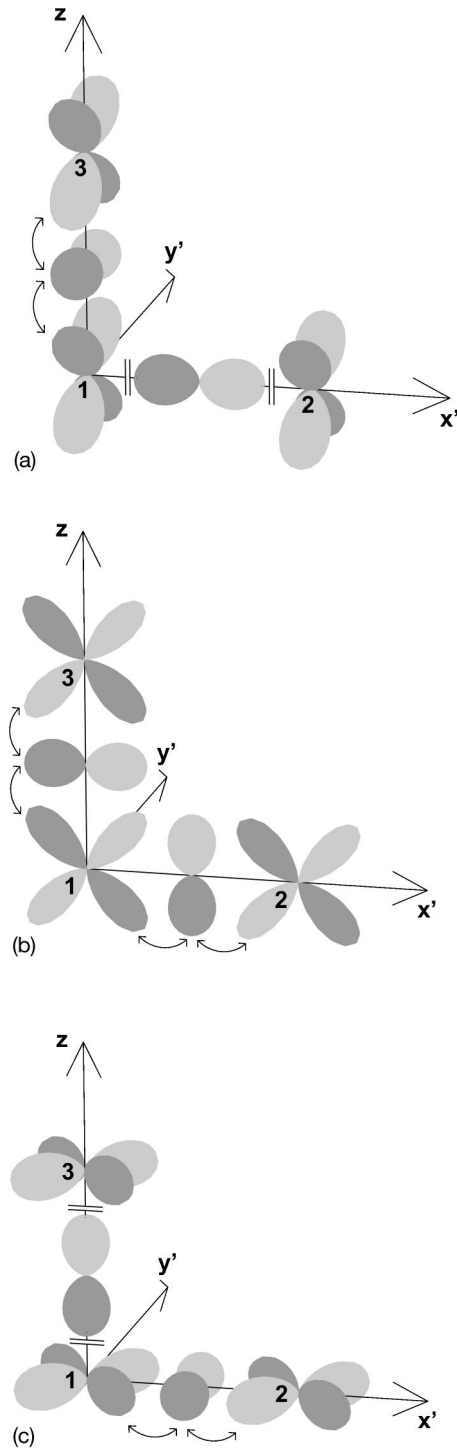


FIG. 4. In cubic symmetry, there is one  $t_{2g}$  orbital per each Ti-O-Ti bond, which cannot participate in the hopping between the Ti and the O ions because of the parity of the O-2p orbitals. This is shown in panels (a)–(c), for each of the three  $t_{2g}$  orbitals, respectively. (a) The hopping between the orbitals  $|y'z\rangle$  at the Ti sites 1 and 2 is not possible, since on the intermediate oxygen site there is no p orbital with a parity that would allow such hopping. For the same reason, an electron cannot hop from the p orbital  $|x'\rangle$ , which is shown between the Ti sites 1 and 2, to any of the  $t_{2g}$  orbitals of the Ti sites. On the other hand, the hopping between the orbitals  $|y'z\rangle$  at the Ti sites 1 and 3, which is mediated by the orbital  $|y'\rangle$  on the intermediate oxygen site, is possible. (b) Analogously to the case (a), the hopping between the orbitals  $|x'y'\rangle$  at the Ti sites 1 and 3 is not permitted, because on the intermediate oxygen site there is no appropriate p orbital. Likewise, an electron cannot hop from the p orbital  $|z\rangle$ , which is shown between the Ti sites 1 and 3, to any of the  $t_{2g}$  orbitals of the Ti sites. The (allowed) hopping between the orbitals  $|x'y'\rangle$  at the Ti sites 1 and 2 is mediated by the orbital  $|y'\rangle$  on the intermediate oxygen site. (c) The hopping between the orbitals  $|x'z\rangle$  at the Ti sites 1 and 2 is mediated by the orbital  $|z\rangle$  on the intermediate oxygen site. The hopping between the orbitals  $|x'z\rangle$  at the Ti sites 1 and 3 is mediated by the orbital  $|x'\rangle$  on the intermediate oxygen site.

$$H^{\text{cf}} = \sum_{k i \sigma} E_i d_{k i \sigma}^\dagger d_{k i \sigma}, \quad (\text{C4})$$

where  $E_i$  are the crystal-field eigenvalues, listed in Table IV. These single-particle energies are shifted so that  $E_0=0$  eV,  $E_1=0.209$  eV, etc. The relations between the operators  $d_{k i \sigma}^\dagger$  and  $c_{k \gamma \sigma}^\dagger$  are hence given by

$$d_{k i \sigma}^\dagger = \sum_{\gamma} W_{i\gamma}(k) c_{k \gamma \sigma}^\dagger, \quad c_{k \gamma \sigma}^\dagger = \sum_i W_{\gamma i}^t(k) d_{k i \sigma}^\dagger, \quad (\text{C5})$$

such that

$$W(k)V(k)W^t(k) = E, \quad (\text{C6})$$

with  $E = \text{diag } E_i$ . The diagonalizing matrix pertaining to site 1,  $W(1)$ , is given in Table IV. All other  $W(k)$  and  $V(k)$  follow from the symmetry properties of the unit cell, and are given by

$$\begin{aligned} W(2) &= \begin{bmatrix} - & + & + & - & + \\ - & + & + & - & + \\ - & + & + & - & + \\ - & + & + & - & + \\ - & + & + & - & + \end{bmatrix} \otimes W(1), \\ V(2) &= \begin{bmatrix} + & - & - & + & - \\ - & + & + & - & + \\ - & + & + & - & + \\ + & - & - & + & - \\ - & + & + & - & + \end{bmatrix} \otimes V(1), \\ W(3) &= \begin{bmatrix} + & + & - & - & + \\ + & + & - & - & + \\ + & + & - & - & + \\ + & + & - & - & + \\ + & + & - & - & + \end{bmatrix} \otimes W(1), \\ V(3) &= \begin{bmatrix} + & + & - & - & + \\ + & + & - & - & + \\ - & - & + & + & - \\ - & - & + & + & - \\ + & + & - & - & + \end{bmatrix} \otimes V(1), \quad (\text{C7}) \end{aligned}$$

where the notation  $a=b \otimes c$  should be interpreted as products of the respective matrix elements,  $a_{ij}=b_{ij}c_{ij}$ .

*a. The Coulomb Hamiltonian.* The Coulomb Hamiltonian, in the orthorhombic basis, is given by

$$H^c = \frac{1}{2} \sum_{\substack{k \sigma_1 \sigma_2 \\ \gamma_1 \gamma_2 \gamma_3 \gamma_4}} U_{\gamma_1 \gamma_2 \gamma_3 \gamma_4} c_{k \gamma_1 \sigma_1}^\dagger c_{k \gamma_2 \sigma_2}^\dagger c_{k \gamma_3 \sigma_2} c_{k \gamma_4 \sigma_1}. \quad (\text{C8})$$

In order to specify its matrix elements in the  $3d^2$  sector (taken from Ref. 22) we construct the triplet ( $\Psi_T^{\gamma\gamma'}$ ) and the singlet ( $\Psi_S^{\gamma\gamma'}$ ) two-particle states in the orthorhombic basis,

$$\begin{aligned} \Psi_T^{\gamma\gamma'}(k; \sigma\sigma') &= \sqrt{\frac{1}{2}} (c_{k \gamma \sigma}^\dagger c_{k \gamma' \sigma'}^\dagger + c_{k \gamma \sigma'}^\dagger c_{k \gamma \sigma}^\dagger) \\ &= -\Psi_T^{\gamma'\gamma}(k; \sigma\sigma'), \end{aligned}$$

$$\Psi_S^{\gamma\gamma'}(k; \sigma\sigma') = \sqrt{\frac{1}{2}} (c_{k \gamma \sigma}^\dagger c_{k \gamma' \sigma'}^\dagger - c_{k \gamma \sigma'}^\dagger c_{k \gamma \sigma}^\dagger) = \Psi_S^{\gamma'\gamma}(k; \sigma\sigma'),$$

$$\Psi_S^{\gamma\gamma}(k; \sigma\sigma') = c_{k \gamma \sigma}^\dagger c_{k \gamma \sigma'}^\dagger. \quad (\text{C9})$$

Altogether, there are 10 triplets and 15 singlets (in the  $\sigma' = -\sigma$  sector). Enumerating the triplet states in the following order,

$$\begin{aligned} |1\rangle &= \Psi_T^{52}, \quad |2\rangle = \Psi_T^{53}, \quad |3\rangle = \Psi_T^{41}, \quad |4\rangle = \Psi_T^{23}, \quad |5\rangle = \Psi_T^{54}, \\ |6\rangle &= \Psi_T^{13}, \quad |7\rangle = \Psi_T^{24}, \quad |8\rangle = \Psi_T^{21}, \quad |9\rangle = \Psi_T^{34}, \quad |10\rangle = \Psi_T^{51}, \end{aligned} \quad (\text{C10})$$

the Coulomb Hamiltonian in the triplet sector,  $U_T = U_T^t$ , has the following nonzero matrix elements,

$$(U_T)_{1,1} = (U_T)_{8,8} = A - 8B,$$

$$(U_T)_{2,2} = (U_T)_{3,3} = (U_T)_{5,5} = (U_T)_{6,6} = (U_T)_{9,9} = A - 5B,$$

$$(U_T)_{4,4} = (U_T)_{7,7} = A + B, \quad (U_T)_{10,10} = A + 4B,$$

$$(U_T)_{2,3} = (U_T)_{5,6} = 3B,$$

$$(U_T)_{2,4} = (U_T)_{3,4} = -(U_T)_{5,7} = -(U_T)_{6,7} = 3B\sqrt{3},$$

$$(U_T)_{9,10} = -6B. \quad (\text{C11})$$

Here,  $A$ ,  $B$ , and  $C$  are the Racah parameters, given by combinations of the Slater integrals  $F_2$  and  $F_4$ ,

$$A = A_0 - \frac{49}{441}F_4, \quad B = \frac{1}{49}F_2 - \frac{5}{441}F_4, \quad C = \frac{35}{441}F_4. \quad (\text{C12})$$

The parameter  $A_0$  is determined such that upon diagonalizing simultaneously the Coulomb Hamiltonian and the crystal-field one, the lowest state has the energy  $U_{\text{eff}}$  as explained in Sec. II B. Enumerating the singlets in the order

$$|1\rangle = \Psi_S^{55}, \quad |2\rangle = \Psi_S^{22}, \quad |3\rangle = \Psi_S^{33}, \quad |4\rangle = \Psi_S^{44}, \quad |5\rangle = \Psi_S^{11},$$

$$|6\rangle = \Psi_S^{52}, \quad |7\rangle = \Psi_S^{51}, \quad |8\rangle = \Psi_S^{34}, \quad |9\rangle = \Psi_S^{21}, \quad |10\rangle = \Psi_S^{41},$$

$$|11\rangle = \Psi_S^{53}, \quad |12\rangle = \Psi_S^{23}, \quad |13\rangle = \Psi_S^{13}, \quad |14\rangle = \Psi_S^{54},$$

$$|15\rangle = \Psi_S^{24}, \quad (\text{C13})$$

the Coulomb Hamiltonian in the singlet sector becomes

$$U_S = \begin{bmatrix} U_{S1} & 0 & 0 \\ 0 & U_{S2} & 0 \\ 0 & 0 & U_{S3} \end{bmatrix} = U_S^t, \quad (\text{C14})$$

where the nonzero matrix elements of  $U_{S1}$  are

$$(U_{S1})_{1,1} = (U_{S1})_{2,2} = (U_{S1})_{3,3} = (U_{S1})_{4,4} = (U_{S1})_{5,5} \\ = A + 4B + 3C, \quad (U_{S1})_{6,6} = A + 2C,$$

$$(U_{S1})_{1,2} = (U_{S1})_{2,5} = 4B + C, \quad (U_{S1})_{1,3} = (U_{S1})_{1,4} = (U_{S1})_{3,4} \\ = (U_{S1})_{3,5} = (U_{S1})_{4,5} = 3B + C,$$

$$(U_{S1})_{2,3} = (U_{S1})_{2,4} = B + C, \quad (U_{S1})_{1,5} = C, \\ (U_{S1})_{3,6} = -(U_{S1})_{4,6} = -B\sqrt{6}, \quad (C15)$$

the matrix  $U_{S2}$  is

$$U_{S2} = \begin{bmatrix} A + 4B + 2C & 0 & 0 \\ 0 & A + B + 2C & 2B\sqrt{3} \\ 0 & 2B\sqrt{3} & A + 2C \end{bmatrix},$$

and the nonzero matrix elements of  $U_{S3}$  are

$$(U_{S3})_{1,1} = (U_{S3})_{2,2} = (U_{S3})_{4,4} = (U_{S3})_{5,5} = A + 4B + 3C, \\ (U_{S3})_{3,3} = (U_{S3})_{6,6} = A + 3B + 2C, \\ (U_{S3})_{1,2} = -(U_{S3})_{4,5} = 3B, \quad (U_{S3})_{1,3} = -(U_{S3})_{2,3} = (U_{S3})_{4,6} \\ = (U_{S3})_{5,6} = -B\sqrt{3}. \quad (C16)$$

*b. The spin-orbit Hamiltonian.* Written in the orthorhombic basis, the spin-orbit Hamiltonian is

$$H^{\text{so}} = \frac{\lambda}{2} \sum_{\alpha\gamma\gamma'} L_{\gamma\gamma'}^{\alpha} \sigma_{\sigma\sigma'}^{\alpha} c_{k\gamma\sigma}^{\dagger} c_{k\gamma'\sigma'}, \quad (C17)$$

where  $\alpha$  takes the values  $x$ ,  $y$ , and  $z$ ,  $\sigma^{\alpha}$  are the Pauli matrices, and  $L_{\gamma,\gamma'}^{\alpha}$  represent the (Hermitian) angular momentum matrices, whose nonzero matrix elements are

$$L_{1,4}^x = L_{3,5}^x = -i, \quad L_{2,3}^x = i\sqrt{3}, \\ L_{1,3}^y = -L_{4,5}^y = i, \quad L_{2,4}^y = -i\sqrt{3}, \\ L_{1,5}^z = 2i, \quad L_{3,4}^z = i. \quad (C18)$$

Transformed into the crystal-field eigenstates, the spin-orbit Hamiltonian takes the form

$$H^{\text{so}} = \frac{\lambda}{2} \sum_{\alpha i i'} L_{i,i'}^{\alpha}(k) \sigma_{\sigma\sigma'}^{\alpha} d_{ki\sigma}^{\dagger} d_{ki'\sigma'}, \quad (C19)$$

with

$$L_{i,i'}^{\alpha}(k) = \sum_{\gamma\gamma'} W_{i\gamma}(k) L_{\gamma,\gamma'}^{\alpha} W_{\gamma'i'}^{\dagger}(k). \quad (C20)$$

Note that the relation  $L_{\gamma,\gamma'}^{\alpha} = -L_{\gamma',\gamma}^{\alpha}$  implies that  $L_{i,i'}^{\alpha}(k) = -L_{i',i}^{\alpha}(k)$ .

*c. The diagonalization of the two-electron states.* When there are two electrons on the same Ti ion (at site  $k$ ), their state is described by  $d_{ki\sigma}^{\dagger} d_{kj\sigma'}^{\dagger}$ . Using Eqs. (C5) and (C9), we rewrite this state in terms of the singlet and triplet states,

$$d_{ki\sigma}^{\dagger} d_{kj\sigma'}^{\dagger} = c_{k\gamma\sigma}^{\dagger} c_{k\gamma'\sigma'}^{\dagger} W_{i\gamma}(k) W_{j\gamma'}(k) = W_{i\gamma}(k) W_{j\gamma'}(k) \\ \times \left\{ \Psi_S^{\gamma\gamma'}(k; \sigma\sigma') \delta_{\gamma\gamma'} + \sqrt{\frac{1}{2}} [\Psi_T^{\gamma\gamma'}(k; \sigma\sigma') \right. \\ \left. + \Psi_S^{\gamma\gamma'}(k; \sigma\sigma')] (1 - \delta_{\gamma\gamma'}) \right\}, \quad (C21)$$

where we have omitted for brevity the summation notations. Adopting the enumeration conventions Eqs. (C10) and (C13), this state can be cast conveniently into the form

$$d_{ki\sigma}^{\dagger} d_{kj\sigma'}^{\dagger} = \sum_{\mu=1}^{10} w_T^{\mu}(k; ij) \Psi_T^{\mu}(k; \sigma\sigma') + \sum_{\mu=1}^{15} w_S^{\mu}(k; ij) \Psi_S^{\mu}(k; \sigma\sigma'). \quad (C22)$$

Here we have introduced the ten-dimensional vector  $w_T$ , whose components are

$$w_T(k; ij) = \sqrt{\frac{1}{2}} [W_{i5}(k) W_{j2}(k) - W_{i2}(k) W_{j5}(k), \\ W_{i5}(k) W_{j3}(k) - W_{i3}(k) W_{j5}(k), \\ W_{i4}(k) W_{j1}(k) - W_{i1}(k) W_{j4}(k), \\ W_{i2}(k) W_{j3}(k) - W_{i3}(k) W_{j2}(k), \\ W_{i5}(k) W_{j4}(k) - W_{i4}(k) W_{j5}(k), \\ W_{i1}(k) W_{j3}(k) - W_{i3}(k) W_{j1}(k), \\ W_{i2}(k) W_{j4}(k) - W_{i4}(k) W_{j2}(k), \\ W_{i2}(k) W_{j1}(k) - W_{i1}(k) W_{j2}(k), \\ W_{i3}(k) W_{j4}(k) - W_{i4}(k) W_{j3}(k), \\ W_{i5}(k) W_{j1}(k) - W_{i1}(k) W_{j5}(k)] \quad (C23)$$

and the 15-dimensional vector  $w_S$ ,

$$w_S(k; ij) = [W_{i5}(k) W_{j5}(k), W_{i2}(k) W_{j2}(k), \\ W_{i3}(k) W_{j3}(k), W_{i4}(k) W_{j4}(k), W_{i1}(k) W_{j1}(k)], \quad (C24)$$

for the entries  $\mu = 1, \dots, 5$ , and

$$w_S(k; ij) = \sqrt{\frac{1}{2}} [W_{i5}(k) W_{j2}(k) + W_{i2}(k) W_{j5}(k), \\ W_{i5}(k) W_{j1}(k) + W_{i1}(k) W_{j5}(k), \\ W_{i3}(k) W_{j4}(k) + W_{i4}(k) W_{j3}(k), \\ W_{i2}(k) W_{j1}(k) + W_{i1}(k) W_{j2}(k), \\ W_{i4}(k) W_{j1}(k) + W_{i1}(k) W_{j4}(k), \\ W_{i5}(k) W_{j3}(k) + W_{i3}(k) W_{j5}(k), \\ W_{i2}(k) W_{j3}(k) + W_{i3}(k) W_{j2}(k), \\ W_{i3}(k) W_{j1}(k) + W_{i1}(k) W_{j3}(k), \\ W_{i5}(k) W_{j4}(k) + W_{i4}(k) W_{j5}(k),$$



$$W_{j_2}(k)W_{j_4}(k) + W_{i_4}(k)W_{j_2}(k)], \quad (\text{C25})$$

for the entries  $\mu=6, \dots, 15$ .

In order to obtain the two-states energies, we need to diagonalize simultaneously the Coulomb Hamiltonian and the

crystal-field one. We have already written the Coulomb Hamiltonian matrix in terms of the triplets and the singlets. The next step is to express the crystal-field Hamiltonian in terms of those. Omitting for brevity the site index  $k$ , the crystal-field Hamiltonian matrix in the triplet sector is

$$V_T = \begin{bmatrix} V_{22} + V_{55} & V_{23} & 0 & -V_{35} & V_{24} & 0 & -V_{45} & -V_{15} & 0 & V_{12} \\ V_{23} & V_{33} + V_{55} & 0 & V_{25} & V_{34} & V_{15} & 0 & 0 & -V_{45} & V_{13} \\ 0 & 0 & V_{11} + V_{44} & 0 & -V_{15} & -V_{34} & -V_{12} & V_{24} & -V_{13} & V_{45} \\ -V_{35} & V_{25} & 0 & V_{22} + V_{33} & 0 & V_{12} & V_{34} & V_{13} & -V_{24} & 0 \\ V_{24} & V_{34} & -V_{15} & 0 & V_{44} + V_{55} & 0 & V_{25} & 0 & V_{35} & V_{14} \\ 0 & V_{15} & -V_{34} & V_{12} & 0 & V_{11} + V_{33} & 0 & -V_{23} & -V_{14} & -V_{35} \\ -V_{45} & 0 & -V_{12} & V_{34} & V_{25} & 0 & V_{22} + V_{44} & V_{14} & V_{23} & 0 \\ -V_{15} & 0 & V_{24} & V_{13} & 0 & -V_{23} & V_{14} & V_{11} + V_{22} & 0 & V_{25} \\ 0 & -V_{45} & -V_{13} & -V_{24} & V_{35} & -V_{14} & V_{23} & 0 & V_{33} + V_{44} & 0 \\ V_{12} & V_{13} & V_{45} & 0 & V_{14} & -V_{35} & 0 & V_{25} & 0 & V_{11} + V_{55} \end{bmatrix} = V_T', \quad (\text{C26})$$

We are now in position to find the resolvent operator in the triplet sector. Denoting by  $B$  the (unitary and real) matrix that diagonalizes the triplet part of  $H^{\text{cf}} + H^c$ , and by  $E_T^\mu$  the corresponding eigenenergies, we have

$$\frac{1}{\Delta\mathcal{E}} \Psi_T^\mu(k; \sigma\sigma') = \sum_{\mu'} X_T^k(\mu, \mu') \Psi_T^{\mu'}(k; \sigma\sigma'), \quad (\text{C27})$$

where  $1/\Delta\mathcal{E}$  is the resolvent operator<sup>27</sup> and

$$X_T^k(\mu, \mu') = - \sum_{\mu_1=1}^{10} \frac{B_k(\mu, \mu_1) B_k^t(\mu_1, \mu')}{E_T^{\mu_1}}. \quad (\text{C28})$$

One notes that since  $B_k(\mu, \mu') = B_k^t(\mu', \mu)$ , the matrices  $X_T^k$  satisfy

$$X_T^k(\mu, \mu') = X_T^k(\mu', \mu). \quad (\text{C29})$$

Turning now to the singlet sector, we first find the crystal-field Hamiltonian matrix of the singlets,

$$V_S = \begin{bmatrix} V_{S1} & V_{S2} & V_{S3} \\ V_{S2}^t & V_{S4} & V_{S5} \\ V_{S3}^t & V_{S5}^t & V_{S6} \end{bmatrix} = V_S', \quad (\text{C30})$$

where

$$V_{S1} = \begin{bmatrix} 2V_{55} & 0 & 0 & 0 & 0 & \sqrt{2}V_{25} \\ 0 & 2V_{22} & 0 & 0 & 0 & \sqrt{2}V_{25} \\ 0 & 0 & 2V_{33} & 0 & 0 & 0 \\ 0 & 0 & 0 & 2V_{44} & 0 & 0 \\ 0 & 0 & 0 & 0 & 2V_{11} & 0 \\ \sqrt{2}V_{25} & \sqrt{2}V_{25} & 0 & 0 & 0 & V_{22} + V_{55} \end{bmatrix}, \quad V_{S2} = \begin{bmatrix} \sqrt{2}V_{15} & 0 & 0 \\ 0 & 0 & \sqrt{2}V_{12} \\ 0 & \sqrt{2}V_{34} & 0 \\ 0 & \sqrt{2}V_{34} & 0 \\ \sqrt{2}V_{15} & 0 & \sqrt{2}V_{12} \\ V_{12} & 0 & V_{15} \end{bmatrix},$$

$$\begin{aligned}
V_{S3} &= \begin{bmatrix} 0 & \sqrt{2}V_{35} & 0 & 0 & \sqrt{2}V_{45} & 0 \\ 0 & 0 & \sqrt{2}V_{23} & 0 & 0 & \sqrt{2}V_{24} \\ 0 & \sqrt{2}V_{35} & \sqrt{2}V_{23} & \sqrt{2}V_{13} & 0 & 0 \\ \sqrt{2}V_{15} & 0 & 0 & 0 & \sqrt{2}V_{45} & \sqrt{2}V_{24} \\ \sqrt{2}V_{14} & 0 & 0 & \sqrt{2}V_{13} & 0 & 0 \\ 0 & V_{23} & V_{35} & 0 & V_{24} & V_{45} \end{bmatrix}, \\
V_{S4} &= \begin{bmatrix} V_{11} + V_{55} & 0 & V_{25} \\ 0 & V_{33} + V_{44} & 0 \\ V_{25} & 0 & V_{11} + V_{22} \end{bmatrix}, \quad V_{S5} = \begin{bmatrix} V_{45} & V_{13} & 0 & V_{35} & V_{14} & 0 \\ V_{13} & V_{45} & V_{24} & V_{14} & V_{35} & V_{23} \\ V_{24} & 0 & V_{13} & V_{23} & 0 & V_{14} \end{bmatrix}, \\
V_{S6} &= \begin{bmatrix} V_{11} + V_{44} & 0 & 0 & V_{34} & V_{15} & V_{12} \\ 0 & V_{33} + V_{55} & V_{25} & V_{15} & V_{34} & 0 \\ 0 & V_{25} & V_{22} + V_{33} & V_{12} & 0 & V_{34} \\ V_{34} & V_{15} & V_{12} & V_{11} + V_{33} & 0 & 0 \\ V_{15} & V_{34} & 0 & 0 & V_{44} + V_{55} & V_{25} \\ V_{12} & 0 & V_{34} & 0 & V_{25} & V_{22} + V_{44} \end{bmatrix}. \tag{C31}
\end{aligned}$$

Then we introduce the  $(15 \times 15)$  matrix  $C$  that diagonalizes  $H^{\text{cf}} + H^c$  of the singlets and the corresponding eigenenergies  $E_S^\mu$ . Analogously to Eq. (C28), it is convenient here to define as well

$$X_S^k(\mu, \mu') = - \sum_{\mu_1=1}^{15} \frac{C_k(\mu, \mu_1) C_k^t(\mu_1, \mu')}{E_S^{\mu_1}}, \tag{C32}$$

which satisfies

$$X_S^k(\mu, \mu') = X_S^k(\mu', \mu). \tag{C33}$$

Analogously to Eq. (C27) we have

$$\frac{1}{\Delta \mathcal{E}} \Psi_S^\mu(k; \sigma \sigma') = \sum_{\mu'} X_S^k(\mu, \mu') \Psi_S^{\mu'}(k; \sigma \sigma'). \tag{C34}$$

Collecting the results above, the intermediate two-particle states of the perturbation expansion are now given in the form

$$\begin{aligned}
\frac{1}{\Delta \mathcal{E}} d_{ki\sigma}^\dagger d_{kj\sigma'}^\dagger &= \sum_{\mu\mu'=1}^{10} w_T^\mu(k; ij) X_T^k(\mu, \mu') \Psi_T^{\mu'}(k; \sigma \sigma') \\
&+ \sum_{\mu\mu'=1}^{15} w_S^\mu(k; ij) X_S^k(\mu, \mu') \Psi_S^{\mu'}(k; \sigma \sigma'). \tag{C35}
\end{aligned}$$

The final step involves transforming back  $\Psi_T$  and  $\Psi_S$  into the  $d$  operators. Consider, for example,  $\Psi_T^{\mu'}$  with  $\mu' = \gamma_1 \gamma_2$ . Using Eqs. (C5) and (C9), we find (omitting the summation notations for brevity)

$$\begin{aligned}
\Psi_T^{\gamma_1 \gamma_2}(k; \sigma \sigma') &= \sqrt{\frac{1}{2}} W_{i_1 \gamma_1}(k) W_{i_2 \gamma_2}(k) (d_{ki_1 \sigma}^\dagger d_{ki_2 \sigma'}^\dagger + d_{ki_1 \sigma'}^\dagger d_{ki_2 \sigma}^\dagger) \\
&= d_{ki_1 \sigma}^\dagger d_{ki_2 \sigma'}^\dagger \sqrt{\frac{1}{2}} [W_{i_1 \gamma_1}(k) W_{i_2 \gamma_2}(k) - W_{i_2 \gamma_1}(k) W_{i_1 \gamma_2}(k)] \\
&= d_{ki_1 \sigma}^\dagger d_{ki_2 \sigma'}^\dagger w_T^{\mu'}(k; i_1 i_2). \tag{C36}
\end{aligned}$$

A similar calculation holds for the singlets. We therefore may write

$$\frac{1}{\Delta \mathcal{E}} d_{ki\sigma}^\dagger d_{ki'\sigma'}^\dagger = Z_k(ii'; i_1 i_2) d_{ki_1 \sigma}^\dagger d_{ki_2 \sigma'}^\dagger, \tag{C37}$$

with

$$\begin{aligned}
Z_k(ii'; i_1 i_2) &= \sum_{\mu\mu'=1}^{10} w_T^\mu(k; ii') X_T^k(\mu, \mu') w_T^{\mu'}(k; i_1 i_2) \\
&+ \sum_{\mu\mu'=1}^{15} w_S^\mu(k; ii') X_S^k(\mu, \mu') w_S^{\mu'}(k; i_1 i_2). \tag{C38}
\end{aligned}$$

We note that since the  $X$ 's are symmetric, it follows that

$$Z_k(ii'; i_1 i_2) = Z_k(i_1 i_2; ii'). \tag{C39}$$

Also, since  $w_S^\mu(k; ii') = w_S^\mu(k; i' i)$  and  $w_T^\mu(k; ii') = -w_T^\mu(k; i' i)$ , one has

$$Z_k(ii'; i_1 i_2) = Z_k(i' i; i_2 i_1). \tag{C40}$$

## 2. Perturbation expansion

Our formal expressions of the various terms in the perturbation expansion, Eqs. (19)–(21) above, involve the projection operators  $P_{mn}^0$  and  $S_{mn} = (1 - P_{mn}^0)/\Delta\mathcal{E}$ . Here we give their explicit expressions in terms of the quantities derived in the first part of this Appendix.

The projector onto the unperturbed ground-state space is

$$P_{mn}^0 = \sum_{\sigma\sigma'} d_{m0\sigma}^\dagger d_{n0\sigma'}^\dagger |0\rangle\langle 0| d_{n0\sigma'} d_{m0\sigma}, \quad (C41)$$

where  $|0\rangle$  denotes the vacuum state. Similarly, the projector onto the  $\text{Ti}^{3+}$  sector of the excited states is

$$P_{mn}^1 = \sum_{\substack{\sigma\sigma' \\ i_1 i_2 (\neq 00)}} d_{mi_1\sigma}^\dagger d_{ni_2\sigma'}^\dagger |0\rangle\langle 0| d_{ni_2\sigma'} d_{mi_1\sigma}. \quad (C42)$$

It follows that the resolvent operator applied to  $P_{mn}^1$  is given by

$$\frac{1}{\Delta\mathcal{E}} P_{mn}^1 = - \sum_{\substack{\sigma\sigma' \\ i_1 i_2 (\neq 00)}} \frac{1}{E_{i_1} + E_{i_2}} d_{mi_1\sigma}^\dagger d_{ni_2\sigma'}^\dagger |0\rangle\langle 0| d_{ni_2\sigma'} d_{mi_1\sigma}. \quad (C43)$$

In a similar way, the projector onto the  $\text{Ti}^{2+}$  sector of the excited states is

$$P_{mn}^2 = \frac{1}{2} \sum_{k\sigma\sigma'} \left[ \sum_{\mu=1}^{10} \Psi_T^\mu(k; \sigma\sigma') |0\rangle\langle 0| \Psi_T^{\mu\dagger}(k; \sigma\sigma') + \sum_{\mu=1}^{15} \Psi_S^\mu(k; \sigma\sigma') |0\rangle\langle 0| \Psi_S^{\mu\dagger}(k; \sigma\sigma') \right], \quad (C44)$$

which gives, upon applying the resolvent operator,

$$\frac{1}{\Delta\mathcal{E}} P_{mn}^2 = \frac{1}{2} \sum_{k\sigma\sigma'} \left[ \sum_{\mu\mu'} X_T^k(\mu, \mu') \Psi_T^\mu(k; \sigma\sigma') |0\rangle\langle 0| \Psi_T^{\mu\dagger}(k; \sigma\sigma') + \sum_{\mu\mu'} X_S^k(\mu, \mu') \Psi_S^\mu(k; \sigma\sigma') |0\rangle\langle 0| \Psi_S^{\mu\dagger}(k; \sigma\sigma') \right]. \quad (C45)$$

Hence the combined resolvent and projection operator onto the excited states is

$$S_{mn} = \frac{1}{\Delta\mathcal{E}} (P_{mn}^1 + P_{mn}^2). \quad (C46)$$

Collecting these results, and expressing the products of  $d$  in terms of the ground-state spin operators [see Eqs. (15)], one obtains the magnetic exchange couplings. These are listed below.

*a. The Heisenberg couplings.* These are given by

$$J_{mn} = - \sum_{i_1 i_2} [t_{mn}^{i_1 0} Z_m(i_1 0; 0 i_2) t_{nm}^{0 i_2} + t_{nm}^{i_1 0} Z_n(i_1 0; 0 i_2) t_{mn}^{0 i_2}]. \quad (C47)$$

*b. The Moriya vectors.* These are given to first order in the spin-orbit coupling,

$$D_{mn}^\alpha = 2i\lambda \sum_{i(\neq 0)} \frac{1}{E_i} \{ L_{i0}^\alpha(m) [t_{mn}^{i_1 0} Z_m(i_1 i; 0 i_2) t_{nm}^{0 i_2} + t_{nm}^{i_1 i} Z_n(i_1 0; 0 i_2) t_{mn}^{0 i_2}] - L_{i0}^\alpha(n) [t_{mn}^{i_1 0} Z_m(i_1 0; 0 i_2) t_{nm}^{i_2} + t_{nm}^{i_1 0} Z_n(i_1 0; i i_2) t_{mn}^{0 i_2}] \}. \quad (C48)$$

*c. The symmetric anisotropies, and the  $\lambda^2$  correction of the Moriya vectors.* These terms are of second order in  $\lambda$ , and have a more complicated structure. In order to present them in a concised fashion, we write the left-hand side of Eq. (21) in the form

$$\begin{aligned} & \mathbf{S}_m \cdot \mathbf{A}_{mn}^s \cdot \mathbf{S}_n + \mathbf{D}'_{mn} \cdot (\mathbf{S}_m \times \mathbf{S}_n) \\ & = \mathbf{J}'_{mn} \mathbf{S}_m \cdot \mathbf{S}_n + \sum_{\substack{\alpha\beta l \\ i(\neq 0) \\ i'(\neq 0)}} \frac{1}{E_i E_{i'}} C_{mn}^{\alpha\beta}(i, i', l) I_{mn}^{\alpha\beta}(l), \end{aligned} \quad (C49)$$

where  $\mathbf{J}'_{mn}$  and  $\mathbf{D}'_{mn}$  are the  $\lambda^2$  corrections of the Heisenberg couplings and the Moriya vectors, respectively. In Eq. (C49)  $l$  enumerates the 4 spin invariants, such that

$$\begin{aligned} I_{mn}^{\alpha\beta}(1) &= S_m^\alpha S_n^\beta, & I_{mn}^{\alpha\beta}(2) &= S_m^\alpha S_n^\beta + S_m^\beta S_n^\alpha - \delta_{\alpha\beta} \mathbf{S}_m \cdot \mathbf{S}_n, \\ I_{mn}^{\alpha\beta}(3) &= \delta_{\alpha\beta} \mathbf{S}_m \cdot \mathbf{S}_n + \sum_{\gamma} \epsilon_{\alpha\beta\gamma} (\mathbf{S}_m \times \mathbf{S}_n)^\gamma, \\ I_{mn}^{\alpha\beta}(4) &= I_{mn}^{\beta\alpha}(3), \end{aligned} \quad (C50)$$

where  $\epsilon_{\alpha\beta\gamma}$  is the totally antisymmetric tensor. It remains to list the coefficients appearing in Eq. (C49). These are given by

$$\mathbf{J}'_{mn} = -J_{mn} \frac{\lambda^2}{4} \sum_{i(\neq 0)} \frac{1}{E_i^2} [|L_{i0}^\alpha(m)|^2 + |L_{i0}^\alpha(n)|^2],$$

$$\begin{aligned} C_{mn}^{\alpha\beta}(i, i', 1) &= 2\lambda^2 \sum_{i_1 i_2} L_{i0}^\alpha(m) L_{0i'}^\beta(n) [t_{mn}^{i_1 0} Z_m(i_1 i; 0 i_2) t_{nm}^{i' i_2} \\ & + t_{nm}^{i_1 0} Z_n(i' i_1; 0 i_2) t_{mn}^{i i_2} - t_{nm}^{i_1 0} Z_m(i_1 0; i_2 i) t_{mn}^{i' i_2} \\ & - t_{nm}^{i_1 0} Z_n(i_1 0; i_2 i') t_{mn}^{i i_2}], \end{aligned}$$

$$\begin{aligned} C_{mn}^{\alpha\beta}(i, i', 2) &= -\lambda^2 \sum_{i_1 i_2} \left\{ \frac{1}{2} L_{i0}^\alpha(m) L_{0i'}^\beta(m) [t_{mn}^{i_1 0} Z_m(i' i_1; i_2 i) t_{nm}^{0 i_2} \right. \\ & + t_{nm}^{i_1 i'} Z_n(i_1 0; 0 i_2) t_{mn}^{i i_2}] + \frac{1}{2} L_{i0}^\alpha(n) L_{0i'}^\beta(n) \\ & \times [t_{mn}^{i_1 i} Z_m(i_1 0; 0 i_2) t_{nm}^{i' i_2} + t_{nm}^{i_1 0} Z_n(i i_1; i_2 i') t_{mn}^{0 i_2}] \\ & - L_{i0}^\alpha(m) L_{0i'}^\beta(n) [t_{mn}^{i_1 0} Z_m(i_1 0; i i_2) t_{nm}^{i' i_2} \\ & \left. + t_{nm}^{i_1 0} Z_n(i_1 0; i' i_2) t_{mn}^{i i_2}] \right\}, \end{aligned}$$

$$\begin{aligned}
C_{mn}^{\alpha\beta}(i, i', 3) = & -\lambda^2 \sum_{i_1 i_2} \left\{ \frac{1}{2} L_{i_0}^{\alpha}(m) L_{0i'}^{\beta}(n) [t_{mn}^{i_1 i'} Z_m(i_1 0; i i_2) t_{nm}^{0 i_2} \right. \\
& + t_{nm}^{i_1 0} Z_n(i' i_1; i_2 0) t_{mn}^{i i_2}] + L_{i_0}^{\alpha}(m) L_{i' i}^{\beta}(m) \\
& \left. \times [t_{mn}^{i_1 0} Z_m(i_1 0; i' i_2) t_{nm}^{0 i_2} + t_{nm}^{i_1 0} Z_n(i_1 0; 0 i_2) t_{mn}^{i' i_2}] \right\}, \\
C_{mn}^{\alpha\beta}(i, i', 4) = & -\lambda^2 \sum_{i_1 i_2} \left\{ \frac{1}{2} L_{i_0}^{\beta}(m) L_{0i'}^{\alpha}(n) [t_{mn}^{i_1 i'} Z_m(i_1 0; i i_2) t_{nm}^{0 i_2} \right. \\
& + t_{nm}^{i_1 0} Z_n(i' i_1; i_2 0) t_{mn}^{i i_2}] + L_{i_0}^{\alpha}(n) L_{i' i}^{\beta}(n) \\
& \left. \times [t_{mn}^{i_1 0} Z_m(i_1 0; 0 i_2) t_{nm}^{i' i_2} + t_{nm}^{i_1 0} Z_n(i_1 0; i' i_2) t_{mn}^{0 i_2}] \right\}.
\end{aligned} \tag{C51}$$

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- $$\begin{aligned}
\langle \mathbf{r} | xy \rangle &= f(r) \times \sqrt{15/4\pi} xy/r^2, \\
\langle \mathbf{r} | 2z^2 \rangle &= f(r) \times \sqrt{5/16\pi} (2z^2 - x^2 - y^2)/r^2, \\
\langle \mathbf{r} | yz \rangle &= f(r) \times \sqrt{15/4\pi} yz/r^2, \\
\langle \mathbf{r} | xz \rangle &= f(r) \times \sqrt{15/4\pi} xz/r^2, \\
\langle \mathbf{r} | x^2 - y^2 \rangle &= f(r) \times \sqrt{15/16\pi} (x^2 - y^2)/r^2.
\end{aligned}$$
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