

Nonlinear screening and stopping power in two-dimensional electron gases

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We have used density-functional theory to study the nonlinear screening properties of a two-dimensional (2D) electron gas. In particular, we consider the screening of an external static point charge of magnitude Z as a function of the distance of the charge from the plane of the gas. The self-consistent screening potentials are then used to determine the 2D stopping power in the low-velocity limit based on the momentum transfer cross section. Calculations as a function of Z establish the limits of validity of linear and quadratic response theory calculations, and show that nonlinear screening theory already provides significant corrections in the case of protons. In contrast to the 3D situation, we find that the nonlinearly screened potential supports a bound state even in the high-density limit. This behavior is elucidated with the derivation of a high-density screening theorem which proves that the screening charge can be calculated perturbatively in the high-density limit for arbitrary dimensions. However, the theorem has particularly interesting implications in 2D where, contrary to expectations, we find that perturbation theory remains valid even when the perturbing potential supports bound states.

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I. INTRODUCTION

The screening response is a fundamental property of an electron gas in arbitrary dimensions. The situation in two dimensions is of particular interest because of the possible realization of quasi-two-dimensional systems in semiconductor heterostructures,¹ image and band-gap surface states at metal surfaces,² electrons at the surface of liquid helium,³ metallic overlayers on insulating substrates, and layered materials.⁴ Examples of problems in which an understanding of the screening of an external charge is important include impurity-limited electron transport in two-dimensional electron gases,¹ the electronic structure of intrinsic or photoinduced defects in semiconductor heterostructures⁵ and dynamic interactions with moving charges.^{6,7} In each of these cases, the strong interaction of mobile electrons with the charged impurity leads to significant modifications of the local electronic structure.

One of the first attempts to deal with two-dimensional (2D) screening was the work of Stern and Howard⁸ which was motivated by measurements of electron mobilities in Si inversion layers. To perform explicit calculations they considered a model in which the two-dimensional electron gas (2DEG) is represented as a sheet of zero thickness, the ideal 2D limit, with a charged impurity situated a distance d from the sheet. The screened impurity potential was then obtained within a Thomas-Fermi approximation and was used to investigate the possibility of impurity bound states. However no attempt was made to perform fully self-consistent calcu-

lations of the screening charge density and the screened potential. This was taken up later in a series of papers by Vinter⁹ for a Si inversion layer. This work is especially notable for its attempt to realistically account for the underlying bandstructure of the semiconductor and the finite thickness of the 2DEG. A detailed discussion of screening and bound state formation was presented for this particular heterostructure and the results were shown to be consistent with observed impurity-limited mobilities.

Also of interest is the dependence of screening on the dimensionality of the system. That important differences might arise is indicated by the well known fact that any purely attractive potential in 2D always has at least one bound state,¹⁰ in sharp contrast with the situation in 3D. Extending previous nonlinear screening calculations¹¹ from 3D to 2D and exploring these possible differences is part of the motivation for the work described in this paper. To do this we perform self-consistent nonlinear screening calculations for an ideal 2DEG within the context of density-functional theory. Our studies as a function of density reveal some interesting properties of the screening in 2D that had not been appreciated before. The interpretation of these numerical results is facilitated by the proof of a general theorem regarding the nature of screening in the high-density limit. A preliminary account of some of this work was presented earlier.¹²

As a specific application of the results we consider the low-velocity stopping power for a heavy projectile moving parallel to the plane of the 2DEG. This problem has been

treated previously in both linear and nonlinear response approximations. Of the linear theories, we mention the early work of Fetter¹³ using a hydrodynamic model which was followed by a calculation based on the random phase approximation (RPA) by Horing *et al.*¹⁴ In this latter work a finite distance d between the projectile and the plane of the gas was considered. The case of a projectile moving in the plane of the gas has also been studied, not only within the RPA,¹⁵ but also with the inclusion of local field corrections¹⁶ and for a gas at finite temperatures.¹⁷ Of course the interaction cannot always be considered as weak and a fully nonlinear theory of the screening is in general necessary. One step in this direction is the RPA quadratic response formulation¹⁸ which was used by Bergara *et al.*¹⁹ in 2D to provide the lowest-order nonlinear correction to the stopping power. Although this theory is perturbative and therefore limited in its range of validity, it has the merit that the nonlinear correction is determined for arbitrary projectile velocities.

The stopping power at low projectile velocities can also be formulated in terms of the scattering of electrons from the screened potential. For qualitative purposes it is sometimes useful to consider model potentials²⁰ whose arbitrariness can be limited by imposing physical constraints such as the Friedel sum rule. This was the approach taken by Wang and Ma²¹ to determine proton and antiproton stopping powers in a 2DEG. A somewhat more fundamental approach based on the nonlinear screening theory of Sjölander and Stott²² was used by Krakovsky and Percus²³ for negatively charged projectiles. Their results for this case are consistent with the model potential results of Wang and Ma.²¹ None of these calculations, however, are truly self-consistent. Our results based on self-consistent density-functional theory calculations eliminate this source of uncertainty in the determination of 2D stopping powers.

II. SELF-CONSISTENT SCREENING

The electrons of our ideal 2DEG are assumed to have an isotropic effective mass m^* and move in the presence of a uniform neutralizing positive background. We also imagine the entire 2D system to be immersed in an extended dielectric with permittivity ϵ as might occur in a semiconductor heterostructure. Other dielectric arrangements can also be envisaged, for example the surface of liquid helium, but these will not be considered here. All lengths will be expressed in units of the effective Bohr radius $a_0 = \epsilon \hbar^2 / m^* e^2$, and all energies in units of the effective Hartree $H = e^2 / \epsilon a_0$. The mean density of the gas, n_0 , is characterized by the density parameter $r_s = 1 / \sqrt{\pi n_0}$.

Our objective is to determine the nonlinear screening of a stationary point charge Z located a distance d from the plane of the 2D gas. The methodology follows that used in earlier calculations for a three-dimensional gas^{11,24} and is suitable for both positive and negative charges. This screening response is determined by solving self-consistently the two-dimensional Kohn-Sham equations

$$-\frac{1}{2} \nabla^2 \psi_i(\mathbf{r}) + \Delta v_{\text{eff}}(\mathbf{r}) \psi_i(\mathbf{r}) = E_i \psi_i(\mathbf{r}) \quad (1)$$

where the effective potential is given by

$$\Delta v_{\text{eff}}(\mathbf{r}) = v_{\text{ext}}(\mathbf{r}) + \Delta v_H(\mathbf{r}) + \Delta v_{\text{xc}}(\mathbf{r}). \quad (2)$$

The external potential $v_{\text{ext}}(\mathbf{r})$ in the plane of the gas is $-Z / \sqrt{d^2 + r^2}$, and $\Delta v_H(\mathbf{r})$ is the Hartree potential

$$\Delta v_H(\mathbf{r}) = \int d^2 r' \frac{\Delta n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} \quad (3)$$

due to the electronic screening density $\Delta n(\mathbf{r}) = n(\mathbf{r}) - n_0$. The change in the exchange-correlation potential $\Delta v_{\text{xc}}(\mathbf{r}) = v_{\text{xc}}[n(\mathbf{r})] - v_{\text{xc}}[n_0]$, is defined in the local-density approximation (LDA) using the parametrization of the 2D exchange-correlation energy given by Tanatar and Ceperley.²⁵

The total screening density is given by

$$\Delta n(\mathbf{r}) = \sum_b |\psi_b(\mathbf{r})|^2 + \sum_i [|\psi_i(\mathbf{r})|^2 - |\psi_i^0(\mathbf{r})|^2] \quad (4)$$

where the first sum extends over all bound states of the effective potential, and the second extends over all occupied continuum states up to the Fermi level E_F . We assume that each spatial orbital is doubly occupied for spin. The free-particle solutions $\psi_i^0(\mathbf{r})$ are obtained in the absence of the external potential. Due to the cylindrical symmetry of the problem, the solutions of Eq. (1) have the form $\psi_i(\mathbf{r}) \equiv e^{im\phi} R_{nm}(r)$ where the angular momentum quantum number m takes on integral values and n distinguishes the different radial solutions.^{8,26} The continuum states behave asymptotically as $R_{km}(r) \sim r^{-1/2} \cos(kr - \frac{1}{2}|m|\pi - \frac{1}{4}\pi + \eta_m)$ where $k = \sqrt{2E}$ is the wave vector and $\eta_m(k)$ is the 2D scattering phase shift. For the free-particle solutions, $\eta_m = 0$. These scattering phase shifts are related to the total screening density according to the 2D Friedel sum rule⁸ (FSR)

$$Z_{\text{FSR}} = \frac{2}{\pi} \sum_{m=-\infty}^{\infty} \eta_m(E_F). \quad (5)$$

At self-consistency, this quantity must equal the charge Z of the external impurity.

The solutions of Eq. (1) are obtained using standard numerical techniques. The calculation of $\Delta v_H(\mathbf{r})$, the only non-trivial step in determining the effective potential, is performed by making use of a fast Fourier transform technique to go successively between real and wave vector space. Details of the method are given in the Appendix.

III. NUMERICAL RESULTS

Self-consistent calculations were performed for a range of densities and impurity charges Z , including negative values. In addition, calculations were done with and without exchange-correlation effects included in order to compare with earlier linear calculations which were performed within the random phase approximation.

A. $Z=1, d=0$

To begin we show results for a charge $Z=1$ in the plane of the 2DEG ($d=0$). Figure 1 presents the self-consistent effective potential Δv_{eff} for r_s values ranging between 1 and 10 in

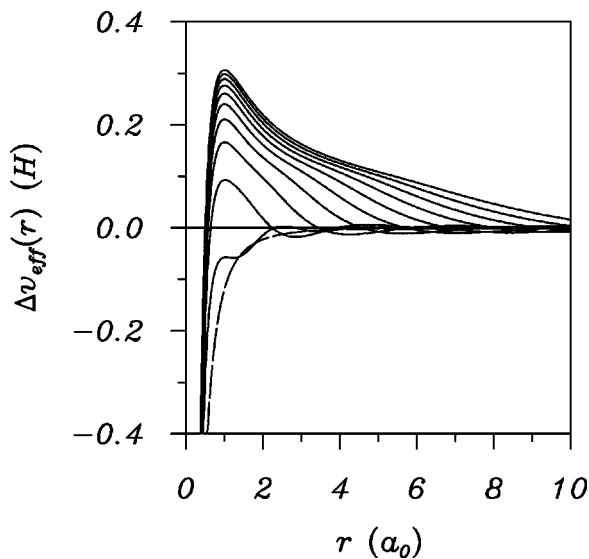


FIG. 1. Self-consistent effective potentials $\Delta v_{\text{eff}}(r)$ in Hartree units as a function of position. Each solid curve corresponds to a different r_s value, ranging from 1 for the lowest curve to 10 for the highest curve, in steps of 1. The dashed curve is the Thomas-Fermi screened potential.

steps of 1. This range spans low to high densities and in particular includes densities of experimental interest. Also shown for comparison is the Thomas-Fermi (TF) screened potential which, as will be explained shortly, is the $r_s \rightarrow 0$ limit of the DFT calculations. As such, we see that the potential for $r_s=1$ is already quite close to the TF limit.

The variation with r_s is systematic, evolving with increasing r_s into a potential with a strongly repulsive region beyond $r \approx 0.5$ a.u. This behavior can be explained in terms of the underlying electronic structure of the screened impurity. For all the densities shown, the screened potential supports one bound state which is occupied by two electrons. Since the total screening density must integrate to unity according to the FSR in Eq. (5), the continuum states must themselves contribute a total charge of $+1$ in order to compensate for the overscreening provided by the two bound electrons. This is illustrated in Fig. 2 which shows the bound and continuum densities for two representative r_s values, $r_s=2$ and 10. It can be seen that the bound state distribution is very similar despite the very different background densities. This is consistent with the similarity of the inner region of the potentials in Fig. 1 which is primarily responsible for the shape of the bound state. At large distances from the impurity the densities in Fig. 2 exhibit characteristic Friedel oscillations with wavelength π/k_F .

The negative portion of the screening densities in Fig. 2 corresponds to a local charge density which is positive and provides the necessary compensation of the negative bound state electronic charge. However, the distribution in space of this compensating charge is quite different in the two cases and leads to the different behavior of the $r_s=2$ and $r_s=10$ potentials for $r > 0.5$ a.u. The form of the $r_s=10$ continuum density is particularly interesting. In the range $0 \leq r \approx 10$ a.u., $\Delta n_{\text{cont}}(r)$ has approximately a constant value of $-n_0$ [hence $r\Delta n_{\text{cont}}(r)$ behaves linearly as seen in Fig. 2]. In

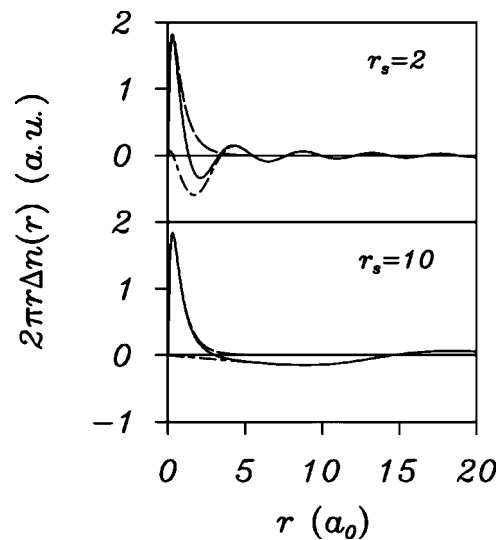


FIG. 2. Screening density as a function of position for two r_s values. The dashed curve is the bound state contribution, the chain curve is the continuum state contribution, and the solid curve is the total.

other words, the $Z=1$ impurity with two bound electrons can be viewed as an H^- ion which sits at the center of a positively charged disk of radius $R \approx r_s$. Returning to Fig. 1, we can now understand the repulsive part of the potentials seen for large r_s . The LDA potential of a 2D H^- ion itself has a $+1/r$ Coulomb tail arising from the Hartree potential. In the 2DEG environment, this H^- potential is screened by the positive background and as a result, the potential goes to zero at approximately $R \approx r_s$.

Another interesting quantity is the bound state energy shown in Fig. 3 as a function of r_s . The solid curve is the result of the full DFT calculation including exchange correlation (xc), while the dashed curve is the corresponding result obtained when Δv_{xc} is set to zero. We refer to the latter as the Hartree approximation. The Hartree result is monotonically decreasing with decreasing r_s and goes to a limiting value of $E_0 = -0.2862H$ at $r_s=0$ which, as we shall see, is the binding energy for the TF screened potential. The xc result likewise decreases with decreasing r_s , but reaches a minimum

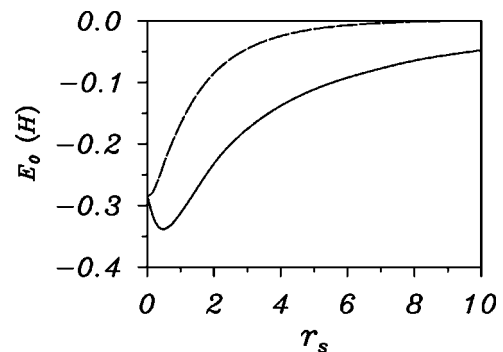


FIG. 3. Bound state eigenvalue in Hartree units vs r_s . The solid curve is for the full nonlinear DFT calculation including exchange correlation, while the dashed curve is the corresponding result in the Hartree approximation.

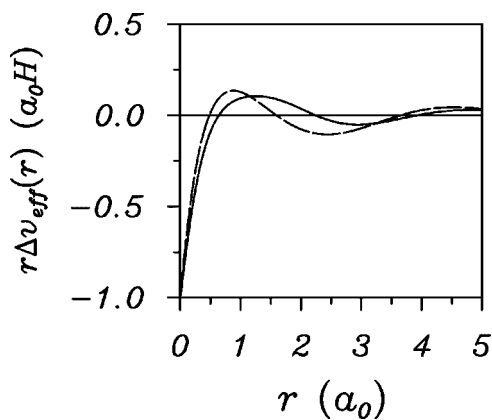


FIG. 4. Self-consistent effective potentials with (solid curve) and without (dashed curve) exchange correlation.

near $r_s \approx 0.5$ and then increases to the same limiting value at $r_s = 0$ as in the Hartree approximation. The more negative xc eigenvalue reflects the stronger binding due to the xc potential which is attractive in the vicinity of the positive impurity as a result of the pileup of the electron screening density. To illustrate this we compare the self-consistent potentials with and without xc for $r_s = 2$ in Fig. 4. It can be seen from this figure that Δv_{eff} with xc is more attractive in the core region which is important for the determination of the bound state. Associated with this is a relative phase shift of the long range Friedel oscillations between the two cases.

The decreasing trend in the xc eigenvalue is consistent with the behavior of the potentials in Fig. 1 but is opposite to what is found in the analogous 3D calculations.¹¹ There the bound state energy *increases* with decreasing r_s , that is, the bound state becomes shallower with increasing density. The explanation for the 3D behavior is that the screening of the impurity potential is more effective with increasing density, and as a result, the bound state eventually ceases to exist beyond a certain critical density. This behavior is consistent with the expected applicability of perturbation theory in the high-density limit when the Fermi energy E_F is much larger than the magnitude of the screened potential $|\Delta v_{\text{eff}}(r)|$.²⁷ If one were to use the same reasoning in 2D, the screening density in wave vector space would be given by the linear response result (Sec. IV provides further details)

$$\Delta n(q) = \chi_0(q) \Delta v_{\text{eff}}(q), \quad (6)$$

where $\chi_0(q)$ is the Fourier transform of the static noninteracting density response function of the 2D gas. Within linear response theory, the screening density arises from the perturbation of the plane wave states of the uniform gas and there cannot be a bound state contribution. However, as we have just seen, bound states do persist in 2D even in the high-density limit. This is a peculiarity of 2D and is associated with the fact that a purely *attractive* potential always has at least one bound state regardless of the strength of the interaction.¹⁰ This effect is obviously missed by linear response theory and would seem to invalidate a perturbative approach. Nevertheless, it turns out that the results of linear response theory are indeed correct even though the theory

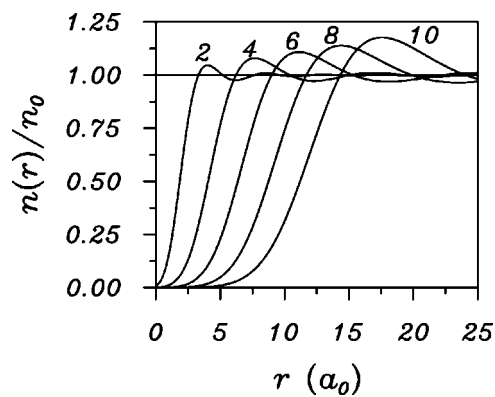


FIG. 5. Normalized density as a function of position. The different curves are labeled by the r_s value.

neglects bound states entirely. We defer discussion of this point to the following section.

The opposite limit $r_s \rightarrow \infty$ is also of interest. In the Hartree approximation, the bound state eigenvalue approaches zero near $r_s = 8$. Whether a very shallow bound state persists beyond this value could not be confirmed due to difficulties in obtaining converged self-consistent solutions. This was less of a problem with the inclusion of exchange and correlation since these additional interactions stabilize the bound state relative to the Hartree calculation. In the range $8 \leq r_s \leq 12$ the bound state eigenvalue could be fitted approximately to the expression $E_0 \approx (0.023 - 0.7/r_s)H$. Extrapolation of the numerical data beyond $r_s = 12$ suggests that the bound state eigenvalue goes to zero at some large, but finite, r_s value. However, it was not possible to confirm this since it was difficult to obtain converged solutions for large values of r_s . Thus we cannot state unequivocally what the large r_s behavior is, and in particular, whether or not a free H^- ion is stable in 2D within the LDA. In 3D it is known²⁸ that the H^- ion is *not* stable within the LDA and our data suggest that this might also be the case in 2D. This remains an interesting question to explore in the future.

As a final comment about the eigenvalue obtained with xc, we note that the H^- ion is stabilized in the range studied by the fact that it sits at the center of a positively charged disk of radius $R \approx r_s$. The electrostatic potential due to this charge has the value $-(2/r_s)H$ at the center of the disk relative to infinity. The depletion of charge also gives rise to a shift in the xc potential of $-v_{\text{xc}}(n_0)$ which is *positive* and therefore a destabilizing effect. For large r_s , $-v_{\text{xc}}(n_0) \approx +(1.6/r_s)H$ which, when combined with the electrostatic shift, gives a potential shift of about $-(0.4/r_s)H$. It is clear that this represents a significant contribution to the xc eigenvalue at the larger r_s values we have considered. However the existence of a bound state cannot be determined without a detailed knowledge of the self-consistent potential near the impurity. In the case of the Hartree approximation, the stabilizing effect of the electrostatic potential of the positively charged disk $[-(2/r_s)H]$ is clearly insufficient to compensate for the destabilizing effect of the Hartree interaction between the two bound electrons in the large r_s limit.

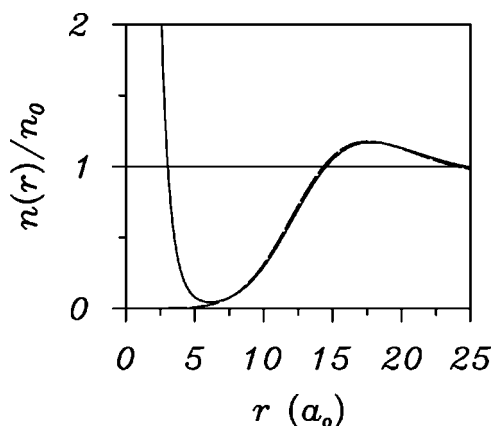


FIG. 6. Normalized density as a function of position for $r_s=10$. The solid curve is for $Z=1$ while the dashed curve is for $Z=-1$.

B. $Z=-1$, $d=0$

We consider next the situation of a negatively charged impurity such as an antiproton or acceptor state in a semiconductor.²⁹ Figure 5 illustrates the variation of the screening density with r_s . The results found here in 2D are similar to those found previously in 3D.²⁴ The negative impurity repels electrons from its vicinity and roughly speaking exposes a positively charged disk of radius $R \approx r_s$ which neutralizes the impurity. In other words, the impurity sits at the center of a hole in the electron gas. The similarity to the situation for a positive impurity is emphasized in Fig. 6 where we superpose the screening densities for $Z=1$ and $Z=-1$ at $r_s=10$. The $Z=-1$ screening density is in fact very similar to the continuum part of the $Z=1$ screening cloud. Thus, at sufficiently large r_s , the H^- ion for $Z=1$ effectively acts as an external $Z=-1$ impurity as far as the rest of the electron gas is concerned. This emphasizes that the H^- configuration exists as a well-defined entity in this range of r_s values.

In all cases we find that the screened $Z=-1$ potential does not support any bound states. We mention this since it has previously been claimed³⁰ that the introduction of a negative test charge into a 2D gas could give rise to potentials which would bind an electron (or rather, a second negative test charge). To make contact with this earlier work we compare in Fig. 7 the nonlinearly screened potentials with those obtained on the basis of linear response theory. One curve shows the screened potential as obtained in the RPA. This potential is defined in Fourier space as

$$v_{sc}^{\text{RPA}}(q) = \frac{v(q)}{\epsilon^{\text{RPA}}(q)} = \frac{2\pi/q}{\epsilon^{\text{RPA}}(q)}, \quad (7)$$

where the RPA dielectric function is given by³¹

$$\epsilon^{\text{RPA}}(q) = 1 + v(q)\chi_0(q). \quad (8)$$

At this level of approximation, only Hartree interactions are relevant and the screened potential defined above is the potential experienced by a $Z=-1$ test charge moving in the vicinity of the impurity. A second curve shows the linearly screened potential, $v_{sc}^{\text{LFC}} = v(q)/\epsilon^{\text{LFC}}$, obtained when local

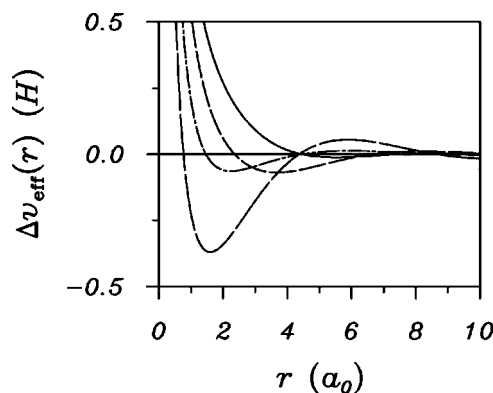


FIG. 7. Comparison of nonlinearly screened and linearly screened potentials for $r_s=4$ and $Z=-1$. The solid curve is the nonlinearly screened potential including exchange correlation, $v_{\text{ext}} + \Delta v_H + \Delta v_{xc}$; the short-dashed curve is the Hartree potential $v_{\text{ext}} + \Delta v_H$, calculated with the same screening density as used for the solid curve; the chain curve is the linearly screened potential within the RPA (i.e., the Hartree approximation); and the long-dashed curve is the Hartree potential $v_{\text{ext}} + \Delta v_H$, obtained with a linear screening density that includes local-field effects (i.e., exchange correlation).

field corrections (LFCs) are included in the definition of the dielectric function. Within the LDA, this is given by

$$\frac{1}{\epsilon^{\text{LFC}}(q)} = 1 - \frac{v(q)\chi_0(q)}{1 + [v(q) + v'_{xc}] \chi_0(q)}, \quad (9)$$

where $v'_{xc}(n_0) = dv_{xc}(n_0)/dn_0$ is the LDA local field correction, i.e., in standard notation, $v(q)G(q) = -v'_{xc}(n_0)$. The potential v_{sc}^{LFC} is simply the Hartree potential obtained with a screening charge density that includes the effects of LFCs. As can be seen in Fig. 7 for $r_s=4$, this potential has a large negative region in real space and supports a bound state for a unit negative test charge of one electron mass. The authors of Ref. 30 then argue that the potential also binds an *electron* in the 2DEG and that this binding may be a relevant pairing mechanism. However this conclusion is invalid for several reasons. First, the Hartree potential for the *nonlinearly* screened impurity (the short-dashed curve in Fig. 7) has a much shallower attractive portion as compared to the corresponding result of the linear calculation (long-dashed curve). A similar result was found analytically for a point charged screened by a uniformly charged disk.³² But more importantly, an electron, as opposed to a negative test charge, also feels the effect of the induced xc potential. With this contribution included, the full self-consistent nonlinear potential Δv_{eff} defined in Eq. (2) has a very shallow attractive part, and there is no tendency for bound state formation, as confirmed numerically. At a more fundamental level, the question of pairing involves the effective interaction between pairs of electrons at the Fermi level which typically have a high relative velocity. From this point of view it is unclear to what extent a *statically* screened potential for a test charge can be used as an estimate of the pairing interaction.

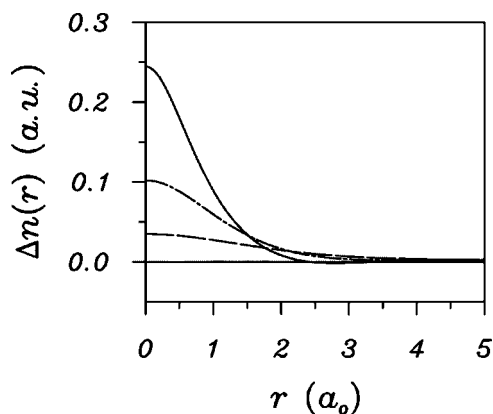


FIG. 8. Screening charge density as a function of position for $Z=1$ and $r_s=2$ for different distances d of the charge from the plane of the electrons: $d=0.5a_0$ (solid curve), $1.0a_0$ (chain curve), $2.0a_0$ (dashed curve).

C. $Z=1, d \neq 0$

We next consider the effect of moving the impurity charge out of the plane of the 2DEG. This is the situation corresponding to an external charge incident on the 2DEG from the outside, or a remote ionized impurity in a semiconductor heterostructure.^{1,5}

Figure 8 shows the screening density for a few values of d . It can be seen that the amplitude of the induced density decreases rapidly with increasing d and the density becomes more spread out along the plane. The reason for this behavior is that the potential loses its Coulomb singularity as soon as the impurity is out of the plane, and therefore becomes smoother and weaker. In Fig. 9 we show the nonlinear screening density for the case $r_s=2$ and $d=2$ a.u. together with the bound state and continuum contributions. The bound state in this case is very shallow and extended, in fact more extended than the total density itself. This is possible since the continuum contribution to the density has a similar extent but is of opposite sign, so that there is a cancellation of the densities in the asymptotic region.

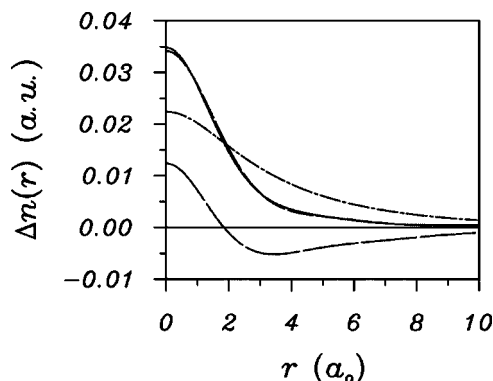


FIG. 9. Screening charge density as a function of position for $Z=1$, $r_s=2$, and $d=2.0a_0$. The chain curve is the bound state contribution, the long-dashed curve is the continuum contribution, and the solid curve is the total. The short-dashed curve almost coincident with the solid curve is the linear response result including exchange correlation.

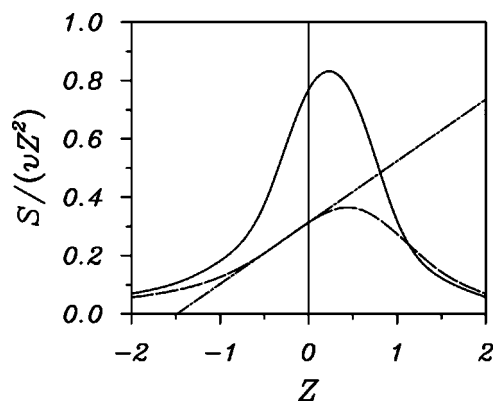


FIG. 10. Normalized stopping power as a function of the projectile charge Z , for $r_s=2$ and $d=0$. The solid curve is obtained from the nonlinear screening result including exchange correlation; the dashed curve shows the corresponding result in the Hartree approximation (no exchange correlation); the straight line (chain curve) is the quadratic response result $(S_1+S_2Z)/v$ (Ref. 19).

Also shown in Fig. 9 is the screening density as determined by linear response theory. Despite the fact that the nonlinear screening response has a bound state contribution, the linear response result is seen to agree very well. The reason for this agreement is not obvious and will be explained in detail in Sec. IV. In addition, the density is already fairly close to the classical image theory result $(d/2\pi)(r^2+d^2)^{-3/2}$. This expression for $d=2a_0$ gives a density at the origin of 0.04 a.u. as compared to the nonlinear result of 0.035 a.u. With further increases in d the nonlinear density rapidly approaches the classical screening charge density.

D. Stopping power

As discussed in the Introduction, there have been a number of calculations of 2D stopping powers. Our purpose here is to present results which are based on the full nonlinear screening charge densities and potentials. Within the so-called kinetic theory framework, the stopping power at low velocities for a projectile moving with velocity v in the plane of the 2DEG is given by the expression³³

$$S = n_0 v v_F \sigma_{tr}(E_F), \quad (10)$$

where $\sigma_{tr}(E_F)$ is the momentum-transfer cross section defined in terms of the scattering phase shifts by⁸

$$\sigma_{tr}(E_F) = \frac{4}{v_F} \sum_{m=0}^{\infty} \sin^2[\eta_m(E_F) - \eta_{m+1}(E_F)]. \quad (11)$$

To leading order in the velocity, it is sufficient to determine the scattering phase shifts using the static nonlinearly screened potentials calculated in the present paper.

In Fig. 10 we show the stopping power as a function of the projectile charge Z for $d=0$ and $r_s=2$. For small Z , S has the expansion $S=S_1Z^2+S_2Z^3+\dots$ where the first two terms are the linear and quadratic response results, respectively. To emphasize the deviations from linear response, we present the results in the form $S/(vZ^2)$. In this representation, the stopping power including the quadratic response correction

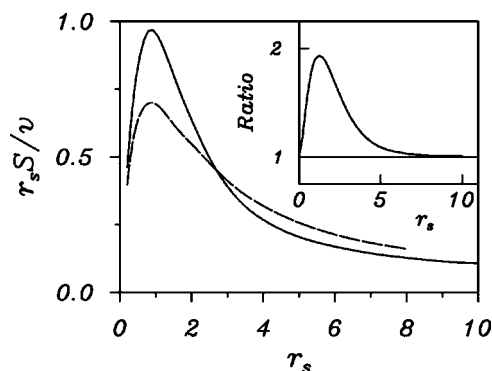


FIG. 11. Stopping power as a function of density parameter r_s for $Z=-1$. The solid curve shows the full nonlinear screening result, including exchange correlation, while the dashed curve gives the corresponding result in the Hartree approximation. The inset shows the stopping power ratio $S(Z=1)/S(Z=-1)$ as a function of r_s .

appears as a straight line with slope S_2/v . This correction was previously calculated within quadratic RPA,¹⁹ and is shown in Fig. 10 as the straight line. The fact that the line is tangent to the nonlinear Hartree curve at $Z=0$ shows that the dynamic quadratic response formulation is consistent with the kinetic theory approach for small values of Z and for low velocities. However, the validity of the quadratic response result is mainly limited to negative charges down to $Z \approx -1$ and breaks down completely for $Z \approx 1$. It is thus apparent that nonlinearities are generally very important. Furthermore, it can be seen that the inclusion of xc leads to a large enhancement of the stopping power in the range $-1 \leq Z \leq 1$, even to a greater extent than found in 3D.³⁴ This emphasizes that properties associated with the scattering of continuum states will be strongly affected by the xc part of the self-consistent potential.

The behavior of the stopping power as a function of density is also of interest and in Fig. 11 we show $r_s S/v$ vs r_s for $Z=1$. The quantity S/v has the physical interpretation of a friction coefficient³³ and is actually a monotonically decreasing function of r_s with a finite limiting value of πZ^2 for $r_s \rightarrow 0$. This result follows²⁰ from the 2D momentum-transfer cross section $\sigma_{tr}(E_F) = Z(2\pi/v_F^2) \tanh(\pi Z/v_F)$ which is obtained when scattering from a bare Coulomb potential $-Z/r$ is considered. This is the exact result in the high-density limit and simply reflects the fact that screening is not important for high-energy scattering. As a result of this finite limiting value, the quantity $r_s S$ plotted in Fig. 11 shows a maximum at $r_s \approx 1$ before going to zero for $r_s \rightarrow 0$.

The results obtained previously by Wang and Ma²¹ for $Z=1$ are qualitatively similar to our results although there are some quantitative differences. We recall that they approximated the screened potential by the linearized TF potential and adjusted a screening parameter in order to satisfy the FSR. This potential is everywhere attractive and misses the repulsive region shown in Fig. 1 which is associated with H^- formation at low densities. These differences become more apparent when the stopping powers for $Z=1$ and -1 are compared. This is shown in the inset to Fig. 11 where the ratio of these stopping powers is given. The ratio tends to unity for $r_s \rightarrow 0$ since linear response is valid in this limit.

However, the ratio also tends to unity for large r_s since as we have seen, the H^- configuration is effectively the same as an external negative charge insofar as the scattering of continuum states is concerned (see Fig. 6). In contrast, for an assumed model potential, Wang and Ma find the ratio of stopping powers to be close to 2 for all r_s . This points to the danger of using model potentials which do not properly account for the true nature of the electronic screening. Another difficulty of the model potential approach is that differences associated with different screening approximations are no longer apparent. This is emphasized in Fig. 11 by the plot of the stopping power in the Hartree approximation. The results are qualitatively similar to those with xc, but there are important differences. Clearly additional input is needed in a model potential approach to capture these differences.

Finally, we compare with the results of Krakovsky and Percus²³ for $Z=-1$. As mentioned in the Introduction, they obtain the screening charge density using the Sjölander-Stott integral equation²² which is a different way of introducing exchange and correlation. This induced density is shown in a second paper³⁵ and is qualitatively similar to our results in Fig. 5. They then use this density to construct an effective scattering potential for which the scattering phase shifts are determined. However, they give no details about how their potential is constructed nor what interaction effects, such as exchange and correlation, are included. Nevertheless, the stopping powers they obtain for $Z=-1$ in 2D are similar to our results. We find in fact that our Hartree and xc stopping powers bracket their results with deviations which are typically less than 20%.

IV. HIGH-DENSITY LIMIT

We briefly commented earlier on the behavior of the screening cloud in the high-density limit ($E_F \rightarrow \infty, r_s \rightarrow 0$). In this section we investigate this question in more detail and in particular, establish the connection between linear and nonlinear screening for a 2DEG. This problem in 3D was touched on in an early paper by Butler³⁶ which dealt with the possibility of positronium formation in metals. Using a square well model potential, it was shown that the total electron density at the origin is an analytic function of the strength of the potential. This in fact is a special case of a more general result obtained by Kohn and Majumdar³⁷ concerning the analyticity of physical properties of the entire electron gas. Butler then used his result regarding the analyticity of the density to argue that the total density at the position of a positron in a metal could be obtained by perturbation theory (to all orders) even if the screened positron potential had a bound state. This assertion was confirmed for the specific example of the square well model where lowest order perturbation theory already provided a good estimate of the exact total density in the limit of high electron gas densities.

We shall now prove a theorem which demonstrates that some of Butler's conclusions have a much broader range of validity. It is unnecessary to specify either the form of the externally imposed potential or the dimensionality of the system. The physical situation we consider is a *noninteracting*

Fermi gas in the presence of an external potential $\lambda V(\mathbf{r})$. The effect of electron-electron interactions will be dealt with later. The potential is assumed to be smooth and to approach zero sufficiently rapidly as $|\mathbf{r}| \rightarrow \infty$. The parameter λ is an arbitrary coupling constant whose physical value is unity.

The problem is to determine the induced density $\Delta n(\mathbf{r})$ which is due to the introduction of $\lambda V(\mathbf{r})$. This quantity is conveniently defined in terms of the Green's operator

$$\hat{G}(z) = \frac{1}{z - H} = \frac{1}{z - H_0 - \lambda V} \quad (12)$$

where H_0 is the unperturbed Hamiltonian. In a spatial representation, the Green's function is given by

$$G(\mathbf{r}, \mathbf{r}', z) = \langle \mathbf{r} | \hat{G}(z) | \mathbf{r}' \rangle = \sum_i \frac{\psi_i(\mathbf{r}) \psi_i^*(\mathbf{r}')}{z - E_i} \quad (13)$$

where $\psi_i(\mathbf{r})$ is an energy eigenstate of H . From this we see that

$$\sum_i |\psi_i(\mathbf{r})|^2 \delta(E - E_i) = -\frac{1}{\pi} \text{Im} G(\mathbf{r}, \mathbf{r}, E + i\epsilon). \quad (14)$$

Thus the total density is given by

$$n(\mathbf{r}) = \sum_{i(\text{occ})} |\psi_i(\mathbf{r})|^2 = \frac{1}{\pi} \int_{-\infty}^{E_F} dE \text{Im} G(\mathbf{r}, \mathbf{r}, E + i\epsilon), \quad (15)$$

where the upper limit of the energy integration is the Fermi energy E_F below which all states are occupied.

The change in density from the unperturbed situation is clearly

$$\Delta n(\mathbf{r}) = n(\mathbf{r}) - n_0(\mathbf{r}) = -\frac{1}{\pi} \int_{-\infty}^{E_F} dE \text{Im} [G(\mathbf{r}, \mathbf{r}, E + i\epsilon) - G_0(\mathbf{r}, \mathbf{r}, E + i\epsilon)], \quad (16)$$

where $G_0(\mathbf{r}, \mathbf{r}, z)$ is the Green's function for $\lambda=0$. It is the screening density $\Delta n(\mathbf{r})$ that we wish to investigate in the high-density limit. Since the potential is multiplied by the coupling constant λ , the density as given by Eq. (16) depends implicitly on this parameter. The variation of the induced density with λ , holding E_F fixed, is simply

$$\frac{\partial \Delta n(\mathbf{r}; \lambda)}{\partial \lambda} = -\frac{1}{\pi} \int_{-\infty}^{E_F} \text{Im} \langle \mathbf{r} | \frac{\partial \hat{G}(E + i\epsilon)}{\partial \lambda} | \mathbf{r} \rangle. \quad (17)$$

By making use of the Dyson equation

$$\hat{G}(z, \lambda + \delta\lambda) = \hat{G}(z, \lambda) + \hat{G}(z, \lambda) \delta\lambda V \hat{G}(z, \lambda + \delta\lambda), \quad (18)$$

we find

$$\frac{\partial \hat{G}(z, \lambda)}{\partial \lambda} = \hat{G}(z, \lambda) V \hat{G}(z, \lambda). \quad (19)$$

Thus, the variation of the induced density can be expressed in the form

$$\frac{\partial \Delta n(\mathbf{r}; \lambda)}{\partial \lambda} = -\frac{1}{\pi} \int d\mathbf{r}' V(\mathbf{r}') \int_{-\infty}^{E_F} dE \text{Im} [G(\mathbf{r}, \mathbf{r}', E + i\epsilon) \times G(\mathbf{r}', \mathbf{r}, E + i\epsilon)]. \quad (20)$$

We note at this point that this quantity still depends implicitly on λ through the full Green's function $G(\mathbf{r}, \mathbf{r}', z)$.

We now make use of the spectral representation of the Green's function in Eq. (13). The product $\psi_i(\mathbf{r}) \psi_i^*(\mathbf{r}')$ can be treated as real since a complex wave function at the energy E_i must have a time-reversed pair which is its complex conjugate. Thus, we have

$$\frac{\partial \Delta n(\mathbf{r}; \lambda)}{\partial \lambda} = \int d\mathbf{r}' V(\mathbf{r}') \sum_{ij} \psi_i(\mathbf{r}) \psi_i^*(\mathbf{r}') \psi_j(\mathbf{r}') \psi_j^*(\mathbf{r}) \times P \frac{1}{E_i - E_j} \int_{-\infty}^{E_F} dE [\delta(E - E_i) - \delta(E - E_j)]. \quad (21)$$

This expression is formally exact and is the crucial step in the argument needed to arrive at the final conclusion. It is clear that the only terms which give a nonvanishing contribution are those with $E_i < E_F$ and $E_j > E_F$, or vice versa. It is these terms which give a finite result in the $E_F \rightarrow \infty$ limit. If this limit is taken first for a given (i, j) , the result is *zero*. In other words, it is important to sum over all states before the $E_F \rightarrow \infty$ limit is taken. We also note that the $i=j$ terms in Eq. (21) do not present any difficulty despite the appearance of the energy denominator. From Eq. (20), these terms contribute:

$$\begin{aligned} & \sum_i |\psi_i(\mathbf{r})|^2 |\psi_i(\mathbf{r}')|^2 \text{Im} \lim_{\epsilon \rightarrow 0} \int_{-\infty}^{E_F} dE \frac{1}{(E + i\epsilon - E_i)^2} \\ &= -\sum_i |\psi_i(\mathbf{r})|^2 |\psi_i(\mathbf{r}')|^2 \text{Im} \lim_{\epsilon \rightarrow 0} \int_{-\infty}^{E_F} dE \\ & \times \frac{\partial}{\partial E} \frac{1}{E + i\epsilon - E_i} = \pi \sum_i |\psi_i(\mathbf{r})|^2 |\psi_i(\mathbf{r}')|^2 \delta(E_F - E_i), \end{aligned} \quad (22)$$

which is well defined.

The energy integral in Eq. (21) can be written alternatively as

$$\begin{aligned} & \int_{-\infty}^{E_F} dE [\delta(E - E_i) - \delta(E - E_j)] \\ &= -\int_{E_F}^{\infty} dE [\delta(E - E_i) - \delta(E - E_j)]. \end{aligned} \quad (23)$$

Using this in Eq. (21), we find that Eq. (20) is equivalent to

$$\frac{\partial \Delta n(\mathbf{r}; \lambda)}{\partial \lambda} = \frac{1}{\pi} \int d\mathbf{r}' V(\mathbf{r}') \int_{E_F}^{\infty} dE \text{Im} [G(\mathbf{r}, \mathbf{r}', E + i\epsilon) \times G(\mathbf{r}', \mathbf{r}, E + i\epsilon)]. \quad (24)$$

This is a more useful form for taking the $E_F \rightarrow \infty$ limit since now the energy argument of the Green's function is large. If

$E_F \gg |V(\mathbf{r})|$ everywhere (we assume here a bounded potential²⁷), the effect of the potential on the propagation of the electron can be neglected and the Green's function G can be replaced by the free-particle propagator G_0 . We then have

$$\begin{aligned} \frac{\partial \Delta n(\mathbf{r}; \lambda)}{\partial \lambda} &= \frac{1}{\pi} \int d\mathbf{r}' V(\mathbf{r}') \lim_{E_F \rightarrow \infty} \int_{E_F}^{\infty} dE \operatorname{Im}[G(\mathbf{r}, \mathbf{r}', E + i\epsilon) \\ &\quad \times G(\mathbf{r}', \mathbf{r}, E + i\epsilon)] \\ &\simeq \frac{1}{\pi} \int d\mathbf{r}' V(\mathbf{r}') \lim_{E_F \rightarrow \infty} \int_{E_F}^{\infty} dE \operatorname{Im}[G_0(\mathbf{r}, \mathbf{r}', E + i\epsilon) \\ &\quad \times G_0(\mathbf{r}', \mathbf{r}, E + i\epsilon)] \\ &= -\frac{1}{\pi} \int d\mathbf{r}' V(\mathbf{r}') \lim_{E_F \rightarrow \infty} \int_{-\infty}^{E_F} dE \operatorname{Im}[G_0(\mathbf{r}, \mathbf{r}', E + i\epsilon) \\ &\quad \times G_0(\mathbf{r}', \mathbf{r}, E + i\epsilon)], \end{aligned} \quad (25)$$

where we have simply reversed the earlier argument to change the limits of integration in the last line. Several comments are in order.

(1) The replacement of G by G_0 is only valid if the energy argument is large; these Green's functions are certainly different at low energies. In fact, the perturbed and unperturbed systems have completely different state spectra and H may contain bound states which do not appear in H_0 . Nevertheless, since Eq. (20) can be written in the equivalent form (24), the replacement of G by G_0 in Eq. (25) is justified in the $E_F \rightarrow \infty$ limit.

(2) The final line in Eq. (25) is identical to the result obtained by linear response theory. Since it is *independent* of λ , it can be integrated from $\lambda=0$ to $\lambda=1$ to give

$$\begin{aligned} \Delta n(\mathbf{r}) &= -\frac{1}{\pi} \int d\mathbf{r}' V(\mathbf{r}') \lim_{E_F \rightarrow \infty} \int_{-\infty}^{E_F} dE \operatorname{Im}[G_0(\mathbf{r}, \mathbf{r}', E + i\epsilon) \\ &\quad \times G_0(\mathbf{r}', \mathbf{r}, E + i\epsilon)]. \end{aligned} \quad (26)$$

(3) The final result in Eq. (26) does not depend on the assumed dimensionality and is valid even when the potential $V(\mathbf{r})$ supports bound states. These bound states of course do not appear in the spectrum of G_0 but are nevertheless included in $\Delta n(\mathbf{r})$. The result given in Eq. (26) will be referred to as the *high-density screening theorem*.

As an application of Eq. (26) we consider an ideal uniform gas in D dimensions. The use of the spectral representation for the Green's function gives in this case

$$\begin{aligned} &-\frac{1}{\pi} \int_{-\infty}^{E_F} dE \operatorname{Im}[G_0(\mathbf{r}, \mathbf{r}', E + i\epsilon) G_0(\mathbf{r}', \mathbf{r}, E + i\epsilon)] \\ &= \frac{2}{L^{2D}} \sum_{\mathbf{k}\mathbf{k}'} e^{i(\mathbf{k}' - \mathbf{k}) \cdot (\mathbf{r} - \mathbf{r}')} P \frac{1}{E_{\mathbf{k}'} - E_{\mathbf{k}}} [f(E_{\mathbf{k}'}) - f(E_{\mathbf{k}})] \\ &= -\frac{1}{L^D} \sum_{\mathbf{q}} e^{i\mathbf{q} \cdot (\mathbf{r} - \mathbf{r}')} \chi_0(\mathbf{q}) \end{aligned} \quad (27)$$

where the factor of 2 is for spin, L is the size of the sample in a given direction, $f(E)$ is the zero-temperature Fermi func-

tion, and $\chi_0(\mathbf{q})$ is the static free-particle density response function,

$$\chi_0(\mathbf{q}) = \frac{2}{L^D} P \sum_{\mathbf{k}} \frac{f(E_{\mathbf{k}+\mathbf{q}}) - f(E_{\mathbf{k}})}{E_{\mathbf{k}} - E_{\mathbf{k}+\mathbf{q}}}. \quad (28)$$

Substitution of Eq. (27) into Eq. (26) gives

$$\Delta n(\mathbf{r}) = -\lim_{E_F \rightarrow \infty} \frac{1}{L^D} \sum_{\mathbf{q}} \chi_0(\mathbf{q}) V(\mathbf{q}) e^{i\mathbf{q} \cdot \mathbf{r}}. \quad (29)$$

This is a rigorous statement that linear response gives the correct induced density in the high-density limit in any dimension.

The static response functions in the various dimensions are given by the expressions

$$\chi_0^{1D}(q) = \frac{2}{\pi q} \ln \left| \frac{2k_F + q}{2k_F - q} \right|,$$

$$\chi_0^{2D}(q) = \frac{1}{\pi} \theta(2k_F - q) + \frac{1}{\pi} \left[1 - \sqrt{1 - (2k_F/q)^2} \right] \theta(q - 2k_F),$$

$$\chi_0^{3D}(q) = \frac{k_F}{2\pi^2} \left[1 - \frac{k_F}{q} \left(1 - \frac{q^2}{4k_F^2} \right) \ln \left| \frac{2k_F - q}{2k_F + q} \right| \right]. \quad (30)$$

In each case, the $q \rightarrow 0$ limit of $\chi_0(q)$ is the density of states $g(E_F)$ at the Fermi level

$$\chi_0^{1D}(0) = \frac{2}{\pi k_F},$$

$$\chi_0^{2D}(0) = \frac{1}{\pi},$$

$$\chi_0^{3D}(q) = \frac{k_F}{\pi^2}. \quad (31)$$

If the potential is smooth we can suppose that $V(\mathbf{q})$ is effectively zero for $q > q_{\max}$ with $q_{\max} \ll k_F$. In this case, Eq. (29) reduces to

$$\Delta n(\mathbf{r}) = -\lim_{E_F \rightarrow \infty} \chi_0(0) V(\mathbf{r}), \quad (32)$$

which is recognized as the linearized TF approximation for the density.

It should be noted that 2D is special for a number of reasons. First, since E_F is proportional to the density n , the full TF approximation is itself linear. Second, the free-particle response function has the constant value $\chi_0(\mathbf{q}) = 1/\pi$ for all wave vectors up to $2k_F$. Thus, the replacement of $\chi_0(\mathbf{q})$ by $\chi_0(0)$ is in some sense a less restrictive approximation than it is in other dimensions. In particular, if the only finite Fourier components of $V(\mathbf{r})$ occur for $q < 2k_F$ (which is always the case when $k_F \rightarrow \infty$), we have

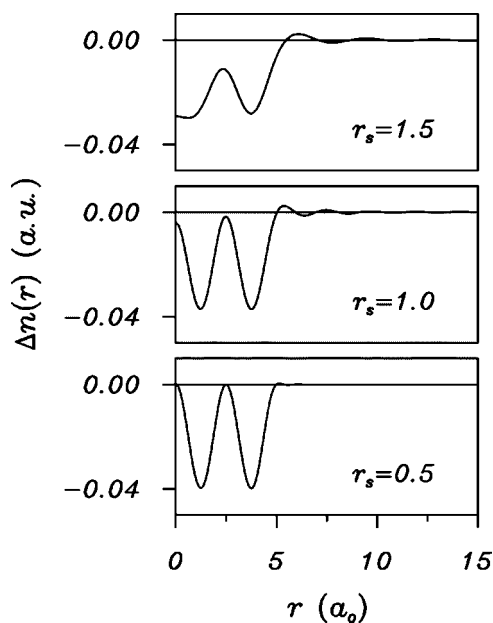


FIG. 12. Screening charge density as a function of position for the model potential in Eq. (34). The potential parameters are $V_0 = 0.125H$ and $r_0 = 5a_0$.

$$\Delta n(\mathbf{r}) = -\frac{1}{\pi}V(\mathbf{r}). \quad (33)$$

The analogous result in 3D is $\Delta n(\mathbf{r})=0$ while the 1D result does not have a finite limit. Thus the induced density only has a nontrivial limiting value in 2D.

The result for the 2D screening charge in Eq. (33) is all the more remarkable if we note that the potential $V(\mathbf{r})$ can in fact support bound states, as it must in 2D if the potential is purely attractive. It is worth verifying this explicitly by means of a numerical example. We consider the following model potential

$$V(r) = \begin{cases} V_0 \sin^2\left(\frac{2\pi r}{r_0}\right), & r \leq r_0, \\ 0, & r > r_0, \end{cases} \quad (34)$$

which has no physical basis but is chosen simply to illustrate the point. It is a repulsive double-barrier potential for $V_0 > 0$ and an attractive double-well potential for $V_0 < 0$. With this potential we calculate the induced density by solving the Schrödinger equation for all states below E_F . In Fig. 12 we show results for a repulsive potential with $V_0 = 0.125H$ and $r_0 = 5$ a.u. as a function of gas density. The screening charge is due to continuum states in this case and it can be seen that by $r_s = 0.5$, the induced density is very close to the prediction of Eq. (33). Similarly, if an attractive potential is placed in a gas with $r_s = 0.5$ ($E_F = 4.0H$), we obtain the bound and continuum charge densities shown in Fig. 13. Here, the magnitude of $|V_0|$ was adjusted to vary the number of bound states. Two cases were considered: (a) $V_0 = -0.125H$ for which a single $m=0$ bound state is found and (b) $V_0 = -0.25H$, for which two $m=0$ bound states exist. The bound and continuum densities are quite different for these two cases, but

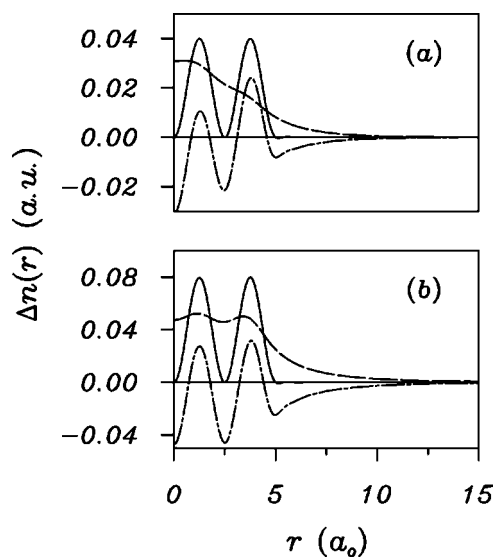


FIG. 13. Screening charge density for $r_s = 0.5$ for the model potential in Eq. (34): (a) $V_0 = -0.125H$, $r_0 = 5a_0$; (b) $V_0 = -0.25H$, $r_0 = 5a_0$. The dashed curve is the bound state contribution, the chain curve is the continuum state contribution, and the solid curve is the total.

the total density agrees very well with the result in Eq. (33), even though the high density limit has only marginally been reached. These examples confirm very nicely the behavior stipulated by the high-density screening theorem.

We can now apply the theorem to explain some of the results found in the $Z=1$ calculations of Sec. III. Of course interactions were included there, but the Kohn-Sham system of equations correspond to a system of *noninteracting* electrons moving in the total effective potential in Eq. (2). In Fourier space, we have

$$\Delta v_{\text{eff}}(q) = v_{\text{ext}}(q) + v(q)\Delta n(q) + v'_{\text{xc}}(n_0)\Delta n(q). \quad (35)$$

We have here linearized the xc potential since, in the high-density limit of interest, the induced density is small compared to the background density. Identifying $\Delta v_{\text{eff}}(q)$ as given by Eq. (35) with $V(q)$ in Eq. (29), we have

$$\Delta n(q) = -\chi_0(q)\Delta v_{\text{eff}}(q) \quad (36)$$

which implies

$$\Delta n(q) = -\frac{\chi_0(q)v_{\text{ext}}(q)}{1 + [v(q) + v'_{\text{xc}}(n_0)]\chi_0(q)}. \quad (37)$$

This is simply the induced density obtained within linear response theory, treating Hartree and xc interactions at the mean-field level. An example of the linear response result was already given in Fig. 9 for the case of a $Z=1$ charge outside the plane of the 2DEG. The close agreement of this density with the nonlinear screening density is confirmation of the high-density screening theorem.

For high densities, v_{xc} is in fact dominated by the exchange potential $v_x = -C_x/r_s$ and we have $v'_{\text{xc}}(n_0) \approx -(\pi C_x/2)r_s$. Thus in the limit $r_s \rightarrow 0$, the xc term can be dropped and the induced density is simply given by the result

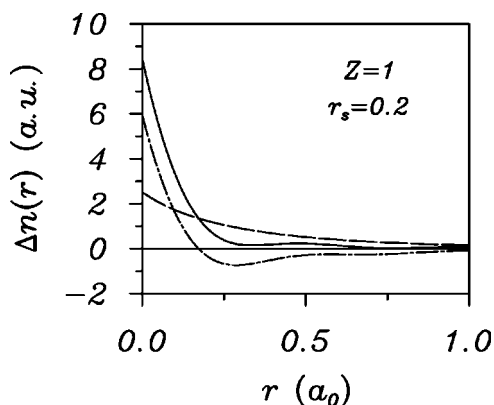


FIG. 14. Screening charge density as a function of position for $Z=1$ and $r_s=0.2$. The dashed curve is the bound state contribution, the chain curve is the continuum state contribution, and the solid curve is the total.

$$\Delta n(q) = -\frac{\chi_0(0)v_{\text{ext}}(q)}{1+v(q)\chi_0(0)}. \quad (38)$$

The corresponding effective potential is

$$\Delta v_{\text{eff}}(q) = \frac{v_{\text{ext}}(q)}{1+v(q)\chi_0(0)}. \quad (39)$$

The real-space quantities are obtained from these expressions by an inverse Fourier transform.

We now consider the particular example of a point charge Z in the plane of the gas. With $v(q)=2\pi/q$ in 2D, Eq. (39) becomes

$$\Delta v_{\text{eff}}(q) = -\frac{2\pi Z}{q+2}, \quad (40)$$

and in real space we obtain the TF potential⁸

$$v_{\text{TF}}(r) \equiv -Z \int_0^\infty dq \frac{q}{q+2} J_0(qr) = -Z \int_0^\infty dx \frac{xe^{-2x}}{(x^2+r^2)^{3/2}}. \quad (41)$$

It is a monotonic potential which behaves as $-Z/r$ for $r \rightarrow 0$ and falls off as $-Z/4r^3$ for $r \rightarrow \infty$. Being negative definite, it will support at least one bound state for any value of Z . For $Z=1$ the bound state energy is found to be $E_0 = -0.2862H$ which agrees with the value found by Stern and Howard.⁸ This is the limiting value of the bound state eigenvalue shown in Fig. 3.

We thus come to the conclusion that the $r_s \rightarrow 0$ limit of the Kohn-Sham effective potential is the TF potential in Eq. (41). To illustrate this we show in Fig. 14 the induced density for $Z=1$ and a very high density corresponding to $r_s=0.2$. Also shown are the bound and continuum components which add to the total. The latter is also displayed as $r\Delta n(r)$ in Fig. 15 together with the TF density as obtained from Eq. (38). It can be seen that the DFT density simply oscillates about this limiting value. With decreasing r_s , the amplitude of these oscillations decrease and the DFT density uniformly approaches the TF density.

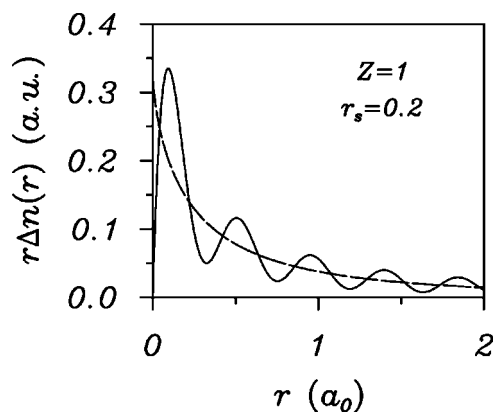


FIG. 15. As in Fig. 14, but plotting the screening charge density as $r\Delta n(r)$ vs r . The dashed curve is the limiting ($r_s \rightarrow 0$) Thomas-Fermi density.

For completeness, we note that the result corresponding to Eq. (40) in 3D is

$$\Delta v_{\text{eff}}(q) = -\frac{4\pi Z}{q^2 + q_{\text{TF}}^2}, \quad (42)$$

with $q_{\text{TF}} = \sqrt{4k_F/\pi}$ the Thomas-Fermi wave vector. The real-space potential in this case is

$$v_{\text{eff}}(r) = -\frac{Ze^{-q_{\text{TF}}r}}{r}. \quad (43)$$

With increasing k_F , the range of the potential decreases. Thus at some point the potential will no longer support a bound state, and all states in the presence of the external charge will be continuum scattering states. As alluded to earlier, the screening of the external potential becomes more effective with increasing density. This is in marked contrast to the situation in 2D where the screened potential has a density-independent limit which continues to support bound states in the high-density limit.

In summary, if a potential $V(\mathbf{r})$ is introduced into a 2D gas and the induced density is constructed by summing up over *all* eigenstates of the Hamiltonian H (including all possible bound states), the induced density will be given exactly by Eq. (33) in the high-density limit. Remarkably, this density is the same as what one would obtain by treating the potential as a weak perturbation and applying perturbation theory.

V. CONCLUSIONS

In this paper we have provided a detailed discussion of the nonlinear screening of an external point charge by a two-dimensional electron gas. Nonlinear effects are most evident when the charge lies in the plane of the 2DEG but rapidly decrease in importance as the charge is moved out of the plane. As an application of the theory we have considered the problem of 2D stopping power and have compared our results with earlier work. Quantitative differences point to the importance of performing self-consistent nonlinear screening calculations.

We also derive what we refer to as the high-density screening theorem. In 2D, the theorem has the interesting

consequence that the screened potential has a density-independent limiting form in the high-density limit and that the screening density is directly proportional to this potential. Explicit calculations for model potentials confirm these conclusions and demonstrate the surprising fact that linear response theory is valid even when the potential acting on the gas supports bound states. These nonintuitive results highlight some of the peculiarities of electronic screening in two dimensions.

ACKNOWLEDGMENTS

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APPENDIX: EVALUATION OF HARTREE POTENTIAL

In this appendix we summarize the methods we have used to determine the electrostatic potential of the screened impurity charge. Due to the long-range nature of the Coulomb interaction, this is the one nontrivial part of the calculation of the total self-consistent potential.

A charge Z at the position $\mathbf{R}=d\hat{z}$ above the plane of the 2DEG ($z=0$) is represented by the external charge density

$$n_{\text{ext}}(\mathbf{r}) = Z\delta(\mathbf{r} - \mathbf{R}) \quad (\text{A1})$$

which gives rise to the electrostatic potential

$$\phi_{\text{ext}}(\rho) = \frac{Z}{(\rho^2 + d^2)^{1/2}} \quad (\text{A2})$$

in the plane of the gas. Here, ρ is the radial distance from the origin. This potential induces the electronic screening density $\Delta n(\mathbf{r}) = \Delta n(\rho)\delta(z)$ which in turn gives rise to an electrostatic potential that is determined by Poisson's equation

$$\nabla^2 \Delta \phi(\mathbf{r}) = 4\pi \Delta n(\mathbf{r}). \quad (\text{A3})$$

Although this equation determines $\Delta \phi$ in three-dimensional space, only the behavior within the plane of the gas is required in Eq. (1).

To solve Poisson's equation, we make use of the 2D Fourier transform pair

$$\begin{aligned} \Delta \tilde{\phi}(q, z) &= \int d^2\rho e^{-i\mathbf{q}\cdot\rho} \Delta \phi(\rho, z), \\ \Delta \phi(\rho, z) &= \int \frac{d^2q}{(2\pi)^2} e^{i\mathbf{q}\cdot\rho} \Delta \tilde{\phi}(q, z). \end{aligned} \quad (\text{A4})$$

Taking the Fourier transform of Eq. (A3), we obtain

$$\frac{d^2 \Delta \tilde{\phi}}{dz^2} - q^2 \Delta \tilde{\phi} = 4\pi \Delta \tilde{n} \delta(z), \quad (\text{A5})$$

which has the solution

$$\Delta \tilde{\phi}(q, z) = \Delta \tilde{\phi}(q, z=0) e^{-q|z|} \quad (\text{A6})$$

with

$$\Delta \tilde{\phi}(q, z=0) = -\frac{2\pi}{q} \Delta \tilde{n}. \quad (\text{A7})$$

The screening potential in the plane of the gas is then obtained by taking the inverse 2D Fourier transform of this equation.

Two problems arise in the numerical implementation of this procedure. First, Eq. (A7) has a $q=0$ singularity associated with the long-range nature of the Coulomb potential, and second, a straightforward iteration of the Kohn-Sham equations does not converge. To deal with the first problem, we introduce an auxiliary charge density $n_{\text{aux}}(\rho)$ which gives rise to the auxiliary electrostatic potential

$$\phi_{\text{aux}}(\rho) = \frac{Z}{(\rho^2 + d_0^2)^{1/2}}, \quad (\text{A8})$$

where d_0 is some fixed, nonzero parameter. Evidently, this potential can be considered as being due to a point charge at $\mathbf{R}_0 = d_0 \hat{z}$. Alternatively, its 2D Fourier transform

$$\tilde{\phi}_{\text{aux}}(q) = \frac{2\pi}{q} Z e^{-qd_0} \quad (\text{A9})$$

implies that the auxiliary charge density in the $z=0$ plane has the 2D Fourier transform

$$\tilde{n}_{\text{aux}}(q) = Z e^{-qd_0}. \quad (\text{A10})$$

The corresponding real-space density is therefore

$$n_{\text{aux}}(\rho) = \frac{Z}{2\pi} \frac{d_0}{(\rho^2 + d_0^2)^{3/2}}. \quad (\text{A11})$$

This density has a total charge of Z and falls off as ρ^{-3} as $\rho \rightarrow \infty$.

We now write the external potential as

$$\phi_{\text{ext}}(\rho) = \phi_{\text{aux}}(\rho) + \Delta \phi_{\text{ext}}(\rho) \quad (\text{A12})$$

where

$$\Delta \phi_{\text{ext}}(\rho) = Z \left[\frac{1}{(\rho^2 + d^2)^{1/2}} - \frac{1}{(\rho^2 + d_0^2)^{1/2}} \right]. \quad (\text{A13})$$

The latter is treated explicitly in real space, while the effect of ϕ_{aux} is accounted for by means of the following Poisson equation:

$$\frac{d^2 \Delta \tilde{\phi}}{dz^2} - q^2 \Delta \tilde{\phi} = -4\pi (\tilde{n}_{\text{aux}} - \Delta \tilde{n}) \delta(z). \quad (\text{A14})$$

This equation gives

$$\Delta \tilde{\phi}(q, z=0) = \frac{2\pi}{q} (\tilde{n}_{\text{aux}} - \Delta \tilde{n}) \quad (\text{A15})$$

which no longer has a $q=0$ singularity since the combination $\tilde{n}_{\text{aux}} - \Delta \tilde{n}$ is charge neutral. Thus, its inverse Fourier trans-

form can be calculated readily using a fast Fourier transform technique, and the addition of the result to Eq. (A13) yields the total electrostatic potential.

The second problem concerns the convergence of the self-consistent iterative procedure. This is dealt with by rewriting Eq. (A14) in the form

$$\frac{d^2 \Delta \tilde{\phi}}{dz^2} - (q^2 + \kappa^2) \Delta \tilde{\phi} = -4\pi(\tilde{n}_{\text{aux}} - \Delta \tilde{n}) \delta(z) - \kappa^2 \Delta \tilde{\phi}. \quad (\text{A16})$$

This trivial change obviously does not change the solution of the equation but we see that the left hand side corresponds to a *screened* Coulomb interaction with screening parameter κ . With this equation in mind, we adopt the following iterative procedure:³⁸

$$\Delta \tilde{\phi}^{(i+1)}(q, z=0) = \frac{2\pi}{Q} (\tilde{n}_{\text{aux}} - \Delta \tilde{n}^{(i)}) + \left(1 - \frac{q}{Q}\right) \Delta \tilde{\phi}^{(i)}(q, z=0), \quad (\text{A17})$$

where $Q^2 = q^2 + \kappa^2$. It is evident that when $\Delta \tilde{\phi}^{(i+1)} = \Delta \tilde{\phi}^{(i)}$ at convergence, this procedure yields

$$\Delta \tilde{\phi}(q, z=0) = \frac{2\pi}{q} (\tilde{n}_{\text{aux}} - \Delta \tilde{n}), \quad (\text{A18})$$

which is the correct potential for the charge density $\tilde{n}_{\text{aux}} - \Delta \tilde{n}$. The use of a screened Coulomb interaction has the important effect of stabilizing the iterative procedure required to achieve self-consistency.

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