

Tunneling through two resonant levels: Fixed points and conductances

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We study point contact tunneling between two leads of a Tomonaga-Luttinger liquid through two degenerate resonant levels in parallel. This is one of the simplest cases of a quantum junction problem where the Fermi statistics of the electrons plays a nontrivial role through the Klein factors appearing in bosonization. Using a mapping to a “generalized Coulomb model” studied in the context of the dissipative Hofstadter model, we find that any asymmetry in the tunneling amplitudes from the two leads grows at low temperatures, so that ultimately there is no conductance across the system. For the symmetric case, we identify a nontrivial fixed point of this model; the conductance at that point is generally different from the conductance through a single resonant level.

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The calculation of conductances of quantum wires and dots continues to be of major interest, as the sophistication in the fabrication of semiconductor heterostructures and carbon nanotubes increases.¹ Tunneling through barriers and quantum dots are now amenable to sophisticated controls that allow tunneling through specific levels in quantum dot. On the theoretical side, several studies of low dimensional systems have probed the effects of strong correlations which often lead to novel features.²

The motivation for this work is that tunneling through two resonant levels appears to be the simplest set-up in which the Fermi statistics of the electrons that tunnel through the levels play a nontrivial role and lead to a nontrivial fixed point. Tunneling through a single resonant level has been studied earlier, both for an arbitrary strength of the interactions between the electrons using bosonization³ and for weak interactions;^{4,5} in that problem, however, the Fermi statistics does not appear to play a role. On the other hand, Nayak *et al.*⁶ and Chamon *et al.*⁷ have studied models where Fermi statistics are important and lead to nontrivial infrared fixed points, but they require a junction of *three* wires.

In this paper, we study a model of quantum tunneling of spinless electrons between two leads through two parallel resonant levels which are degenerate. The set-up is schematically shown in Fig. 1.

There are several possible experimental realizations of the above schematic diagram. The leads labelled *A* and *B* can be connected to a single dot, with two energy levels E_1 and E_2 , where both the levels can be tuned to conduct by applying a gate voltage V_G . This scenario is schematically depicted in Fig. 2, where the two levels of the dot are depicted by lines whose widths are e_1 and e_2 ; the widths (which are functions of the tunneling amplitudes of the electrons to hop from the leads to the dot) are such that both the energy levels have nonzero overlaps with the energy of the electrons in the leads and, hence, both the levels conduct. Another experimental realization of the model is shown in Fig. 3; the leads labeled *A* and *B* are connected to two quantum dots labeled 1 and 2 in parallel, with both the dots having been tuned to conduct through a single level. A magnetic flux Φ can also be passed through the ring allowing for arbitrary phases in the

tunneling amplitudes, though in this paper, we concentrate on $\Phi=0$.

The bosonized action for the two disconnected wires in Fig. 1 is given in imaginary time by²

$$S_0 = \frac{1}{2g} \int d\tau \left[\int_{-\infty}^0 dx (\partial_\mu \phi_A)^2 + \int_0^{\infty} dx (\partial_\mu \phi_B)^2 \right]. \quad (1)$$

Here ϕ_a ($a=A, B$) is related to the electron annihilation operator on the *a*th wire as $\psi_a \sim \eta_a e^{i\phi_a/\sqrt{2}}$. The Klein factors η_a are needed to enforce Fermi statistics, i.e., $\{\eta_a, \eta_b\} = 2\delta_{ab}$. The η_a 's can be chosen to be two of the Pauli matrices; hence $\eta_a^\dagger = \eta_a$.

As done earlier by Nayak *et al.*,⁶ we represent the two charge states at each of the resonant levels at the origin by spin 1/2 degrees of freedom. The spin raising and the spin lowering operators S_i^\pm are the creation and annihilation operators for an electron at the resonant level *i*. The tunneling between the leads and the resonant levels is described by

$$H_t = t_{1A} S_1^+ \eta_A e^{i\phi_A/\sqrt{2}} + t_{1B} S_1^+ \eta_B e^{i\phi_B/\sqrt{2}} + t_{2A} S_2^+ \eta_A e^{i\phi_A/\sqrt{2}} + t_{2B} S_2^+ \eta_B e^{i\phi_B/\sqrt{2}} + \text{h.c.} \quad (2)$$

Here $t_{(1B,2B)}$ are the amplitudes for the electrons to tunnel to the two resonant levels 1 and 2 from the right lead *B*, and $t_{(1A,2A)}$ are the tunneling amplitudes from the left lead *A*. We have been most general here and allowed for asymmetric tunnelings. If the tunneling was symmetric between the two leads, we would have $t_{1A,2A} = t_{1B,2B}$. We have also allowed for different tunneling amplitudes to the two levels; $t_{1A,1B}$ need not be equal to $t_{2A,2B}$. Note that in general, t_{ia} ($i=1, 2$ and $a=A, B$) may be complex; this will take care of the phases that may be generated by a magnetic flux through the ring. However, for the calculations below, we will assume that all the t_{ia} are real.

It is easy to check that the scaling dimensions of all the tunneling operators in Eq. (2) are given by $1/(2g)$. So for $g < 1/2$, they are irrelevant and the decoupled fixed point is

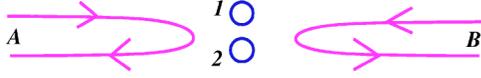


FIG. 1. (Color online) Schematics of a two-lead two resonant level multiple tunneling setup.

stable. However, for $g > 1/2$, the decoupled fixed point is unstable; one would then like to find the stable fixed point(s) of the theory.

To solve the problem, we need to be able to calculate correlation functions involving any string of operators $e^{i\phi_a}$. In the absence of Klein factors, this would be trivial, since the ϕ_a are just free bosonic fields. The presence of the Klein factors gives rise to nontrivial phases.

We first study the problem with a single resonant level to illustrate our method, i.e., we set $t_{2A}=t_{2B}=0$. The partition function is given by

$$Z = \int \mathcal{D}\phi_A \mathcal{D}\phi_B e^{-S(\phi_A, \phi_B)}, \quad (3)$$

where $S = S_0 + \int d\tau dx H_t$. On expanding this, terms involving multiple tunnelings of the electrons will be generated. Following Ref. 3, we can map the problem to a one-dimensional Coulomb gas of logarithmically interacting charges by classifying the different tunnelings in terms of the charges $q_i = m_i - n_i$ and $r_i = m_i + n_i$. Here m_i and n_i denote the charges transferred to the resonant level from the left lead and right lead, respectively. Thus, q_i is the total charge transferred from the left lead to the right lead in any process, and r_i is the change in the charge on the resonant level in any process. The four different kinds of tunneling events can be classified in terms of q_i and r_i as follows:

- (1) Tunnel from lead A to resonant level: $r_i = 1, q_i = 1$.
- (2) Tunnel from resonant level to lead A: $r_i = -1, q_i = -1$.
- (3) Tunnel from lead B to resonant level: $r_i = 1, q_i = -1$.
- (4) Tunnel from resonant level to lead B: $r_i = -1, q_i = 1$.

Furthermore, events 1 and 2 carry Klein factors of η_A , while events 3 and 4 carry Klein factors of η_B . Let N_k (where $k=1,2,3,4$) represent the number of each of the above events in the partition function.

Without Klein factors, the order of the tunnelings does not matter; if we also assume that the resonant level can accommodate any number of electrons, it is easy to see that an

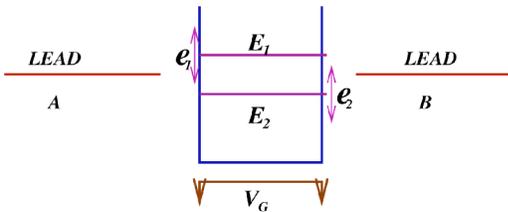


FIG. 2. (Color online) Schematics of a possible experimental realization of tunneling through two resonant levels, using a single dot with two energy levels overlapping with the Fermi level in the leads. The extent of overlap can be tuned by a gate voltage, and the widths e_1 and e_2 are functions of the tunneling amplitudes.

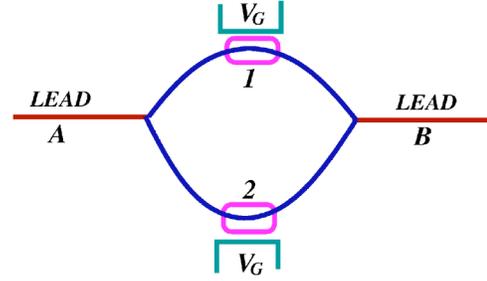


FIG. 3. (Color online) Possible experimental realization of tunneling through two resonant levels, using two quantum dots in parallel which are tuned to resonance by gate voltages.

arbitrary term in the expansion of the partition function can be written as

$$(t_{1A})^{N_1+N_2} (t_{1B})^{N_3+N_4} \langle S_1^{+N_1} S_1^{-N_2} S_1^{+N_3} S_1^{-N_4} \rangle \\ \times \langle e^{i\phi_A/\sqrt{2}} \dots N_1 \text{ times} \times e^{-i\phi_A/\sqrt{2}} \dots N_2 \text{ times} \\ \times e^{i\phi_B/\sqrt{2}} \dots N_3 \text{ times} \times e^{-i\phi_B/\sqrt{2}} \dots N_4 \text{ times} \rangle. \quad (4)$$

This correlation function is nonzero only when $N_1=N_2$ and $N_3=N_4$; then the spin terms just give unity. So the full partition function is given by

$$Z = \sum_{N_1, N_3} (t_{1A})^{2N_1} (t_{1B})^{2N_3} \int d\tau_1 d\tau_2 \dots d\tau_N \\ \times \langle e^{im_1\phi_A/\sqrt{2}} e^{im_2\phi_A/\sqrt{2}} \dots e^{in_1\phi_B/\sqrt{2}} e^{in_2\phi_B/\sqrt{2}} \dots \rangle, \quad (5)$$

where $m_i = \pm 1$, $\sum_i |m_i| = 2N_1$, $n_i = \pm 1$, $\sum_i |n_i| = 2N_3$. The total number of events is $N = 2N_1 + 2N_3$. The correlation functions can easily be calculated since the bosons are free. Using

$$\langle e^{im_i\phi_A(\tau_i)/\sqrt{2}} e^{im_j\phi_A(\tau_j)/\sqrt{2}} \rangle = e^{(1/2g)m_i m_j \ln(\tau_i - \tau_j)^2 / \tau_c^2}, \quad (6)$$

where τ_c is an infrared cutoff, one can see that the resulting partition function is identical to that of two independent Coulomb gases, with charges m_i and n_i . The partition function can be written as

$$Z = \sum_{m_i, n_i} (t_{1A})^{|m_i|} (t_{1B})^{|n_i|} \int d\tau_1 d\tau_2 \dots d\tau_N \\ \times \prod_{i < j} e^{(1/2g)(m_i m_j + n_i n_j) \ln(\tau_i - \tau_j)^2 / \tau_c^2}, \quad (7)$$

where $N = \sum_i (|m_i| + |n_i|)$.

For the fermionic model (with Klein factors present), the ordering of the tunnelings becomes important since not more than one electron can sit on a resonant level. (We are considering the case of spinless electrons here.) This means that the tunnelings on to and off the resonant level have to alternate in time. In other words, any chain of events must satisfy the following three constraints: (i) $\sum_i q_i = 0$, (ii) $\sum_i r_i = 0$, and (iii) the r_i alternate in sign.

Does the presence of two Klein factors lead to nontrivial phases in the partition function? The answer is no; this can

be understood as follows. First, we note that for any pair of events i and j , the exchange of the two Klein factors leads to a phase,

$$\eta_i \eta_j = \eta_j \eta_i e^{i(\pi/2)(q_i r_j - q_j r_i) \text{sign}(\tau_i - \tau_j)}. \quad (8)$$

[Here $\text{sign}(\tau) = 1$ for $\tau > 0$ and -1 for $\tau < 0$.] Equation (8) can easily be checked for the events of type 1–4 listed above. For instance, 1 followed by 2 gives a phase of 1, whereas 1 followed by 4 gives a phase of -1 . Equation (8) suggests that for one particular ordering of two events, it is useful to introduce a factor whose phase is equal to half the exchange phase given in that equation, namely,

$$P_{ij} = e^{i(\pi/4)(q_i r_j - q_j r_i) \text{sign}(\tau_i - \tau_j)}. \quad (9)$$

One can use Eq. (8) to repeatedly exchange Klein factors so as to eventually bring pairs of identical Klein factors next to each other; the product of such a pair is equal to the identity matrix since each Klein factor is given by a Pauli matrix. In this way, one can show that the total phase factor for any term in the partition function can be written purely as a phase factor, namely,

$$P = \prod_{i < j} P_{ij}. \quad (10)$$

Now, using the three conditions stated above, it is easy to check that $P = 1$. This is crucially dependent on condition (iii) which says that r_i has to alternate. Hence, the phases due to the fermionic nature of the electrons do not play a nontrivial role in this case. So the computation of the correlation functions can be done as for the case without the Klein factors.

To implement the constraints (i)–(iii), it is more convenient to rewrite the partition function in Eq. (7) terms of r_i and q_i ; we obtain

$$\begin{aligned} Z = & \sum_N \sum_{q_i} (t_{1A})^{(1+q_i r_i)/2} (t_{1B})^{(1-q_i r_i)/2} \\ & \times \int d\tau_1 d\tau_2 \cdots d\tau_N \prod_{i < j} e^{(1/4g)(r_i r_j + q_i q_j) \ln(\tau_i - \tau_j)^2 / \tau_c^2}. \end{aligned} \quad (11)$$

Note that we do not need the sum over r_i , because once r_1 is fixed, the rest are fixed by the alternation rule. This agrees with the result in Ref. 3 for the double barrier case, which is similar to the case of the single resonant level, as expected.

The Coulomb gas partition function can then be studied using the renormalization group (RG) method as done in Ref. 3. Let us first discuss the symmetric case $t_{1A} = t_{1B}$. For $g > 1/2$, it was shown in Ref. 3 that the tunneling amplitudes for a double barrier structure (which may be expected to have the same behavior as the single resonant level that we are considering) grow under the RG transformation, eventually leading to a “healing” between the leads A and B . We will demonstrate this below. On the other hand, for $g < 1/2$, the tunneling amplitude $t_{1A} = t_{1B}$ decreases under RG as mentioned earlier; the stable fixed point then is the “cut” wire with zero transmission between the two leads. The conductance is given by ge^2/h if the wire is healed, and zero if the wire is cut. For the asymmetric case $t_{1A} \neq t_{1B}$, the situation is

somewhat different. One finds the wire gets healed and the conductance is ge^2/h if $g > 1$, while the wire is cut and the conductance is zero if $g < 1$.³

We now consider the case of two resonant levels. The main difference from the earlier analysis is that we now have to consider tunnelings to resonant level 1 and level 2 independently. We define r_i as the total charge transferred to resonant level 1, s_i as the total charge transferred to resonant level 2, and q_i as the charge transferred from lead A to lead B . Then the eight possible tunneling events and their charge assignments are as follows:

- (1) Tunnel from lead A to level 1: $r_i = 1, s_i = 0, q_i = 1$;
- (2) Tunnel from level 1 to lead A : $r_i = -1, s_i = 0, q_i = -1$;
- (3) Tunnel from lead B to level 1: $r_i = 1, s_i = 0, q_i = -1$;
- (4) Tunnel from level 1 to lead B : $r_i = -1, s_i = 0, q_i = 1$;
- (5) Tunnel from lead A to level 2: $r_i = 0, s_i = 1, q_i = 1$;
- (6) Tunnel from level 2 to lead A : $r_i = 0, s_i = -1, q_i = -1$;
- (7) Tunnel from lead B to level 2: $r_i = 0, s_i = 1, q_i = -1$;
- (8) Tunnel from level 2 to lead B : $r_i = 0, s_i = -1, q_i = 1$.

Events 1–2 and 5–6 have Klein factors η_A , while the others have Klein factors η_B . Let us again denote the number of events of type i as N_i . It is easy to check that the correlation function of such a set of events will be nonzero only if $N_1 + N_5 = N_2 + N_6$ and $N_3 + N_7 = N_4 + N_8$. The partition function for this model can now be computed in the same way that it was computed for the single resonant level case. However, as we shall see below, this model will get mapped to a different Coulomb gas model which has charges r_i, s_i , and q_i and nontrivial phases.

Just as before, Fermi statistics implies that for any chain of events, (i) $\sum_i q_i = 0$, (ii) $\sum_i r_i = \sum_i s_i = 0$, and (iii) nonzero values of r_i and s_i alternate in sign.

We now define $R_i = r_i + s_i$, and note that it can only take the values ± 1 . Overall charge neutrality implies that $\sum_i R_i = 0$, but R_i does not have to alternate in sign (unlike r_i in the earlier model with only one resonant level). One finds that the phase can once again be written as

$$P_{ij} = e^{i(\pi/4)(q_i R_j - q_j R_i) \text{sign}(\tau_i - \tau_j)}. \quad (12)$$

However, since the R_i do not have to alternate, the total phase P in a chain of events in the partition function does not always have to be 1; it can sometimes be -1 . This can be seen if we consider the chain of events 1, 4, 6, 7 which contains the string $\eta_A \eta_B \eta_A \eta_B = -1$. Hence, this model clearly has nontrivial phases. This means that if we want to map it to a Coulomb gas problem, we need to worry about phases. Namely, in the partition function, along with the logarithms which appear due to the contraction of the pairs of ϕ fields, we also need to include the phases which appear from the Klein factors. This is, in essence, very similar to the problem studied by Chamon *et al.*,⁷ who considered a junction of three wires on a ring containing a magnetic field. Although their problem involved three wires, they could decouple the “center of mass” field $(\phi_1 + \phi_2 + \phi_3)/\sqrt{3}$, and reduce it to a problem which involves only two bosonic fields but has nontrivial phases due to the Klein factors and the magnetic flux through the ring at the junction.

Note that the above phase occurs even in the absence of a magnetic flux through the ring, i.e., even when the tunneling

amplitudes are real. When the tunnelings are complex (due to a flux through the ring), we will have extra phases.

Let us write the partition function including the phases as follows:

$$Z = \sum_N \sum_{R_i, q_i} \left(\prod_i t_{ia} \right) \int d\tau_1 d\tau_2 \cdots d\tau_N \times \prod_{i < j} P_{ij} e^{(1/4g)(R_i R_j + q_i q_j) \ln(\tau_i - \tau_j)^2 / \tau_c^2}, \quad (13)$$

where t_{ia} can denote t_{1A} , t_{1B} , t_{2A} or t_{2B} . Equation (13) looks very similar to Eq. (11) for the model with a single resonance level except for the alternating constraint and the presence of the phases P_{ij} .

Fortunately, as shown in Ref. 7, the above problem with the phases can be mapped to a ‘‘generalized Coulomb gas model’’ studied in the context of the dissipative Hofstadter model, *provided that* $t_{1A} = t_{2A} = t_A$ and $t_{1B} = t_{2B} = t_B$. This is a model of free bosons with a ‘‘magnetic field’’ at the boundary, and it has been studied in detail in Refs. 8, 9, and 12.

Let us introduce the model studied in Ref. 8 and show that the expansion of its partition function agrees with the expansion of the partition function for the above model with two resonant levels, under a certain identification of the parameters. They introduced a model for the quantum motion of a single particle in the presence of a magnetic field, a periodic potential and dissipation; the model is described by the action

$$S = \frac{1}{2} \int d\omega [\alpha |\omega| \delta_{\mu\nu} + \beta \omega \epsilon_{\mu\nu}] X_\mu(\omega) X_\nu(\omega) + \int d\tau [t_A e^{i\mathbf{K}_1 \cdot \mathbf{X}(\tau)} + t_B e^{i\mathbf{K}_2 \cdot \mathbf{X}(\tau)} + \text{h.c.}], \quad (14)$$

where $\mu, \nu = 1, 2$, $\epsilon_{12} = -\epsilon_{21} = 1$, and $\epsilon_{11} = \epsilon_{22} = 0$. Here α and β are related to the dissipation and the magnetic field, respectively. The potential term is defined in terms of two vectors $\mathbf{K}_1 = (1, 0)$ and $\mathbf{K}_2 = (0, 1)$; these vectors define a rectangular basis for a two-dimensional plane defined by $\mathbf{X} = X_1 \mathbf{K}_1 + X_2 \mathbf{K}_2$. The quadratic part of the above action leads to the propagator

$$D_{\mu\nu} = \langle X_\mu(\tau_1) X_\nu(\tau_2) \rangle = -\frac{\alpha}{\alpha^2 + \beta^2} \delta_{\mu\nu} \ln(\tau_1 - \tau_2)^2 / \tau_c^2 + i\pi \frac{\beta}{\alpha^2 + \beta^2} \epsilon_{\mu\nu} \text{sign}(\tau_1 - \tau_2). \quad (15)$$

The unusual part of the above propagator is the second term or phase term, which exists only in the presence of the second term in the action Eq. (14); this is a ‘‘magnetic field’’ term and it is antisymmetric in the indices μ and ν . If we now expand the partition function in powers of the perturbations t_i , we get terms of the form

$$\left(\prod_i t_i \right) \int d\tau_1 d\tau_2 \cdots d\tau_n < e^{i\mathbf{L}_1 \cdot \mathbf{X}(\tau_1)} < e^{i\mathbf{L}_2 \cdot \mathbf{X}(\tau_2)} \cdots < e^{i\mathbf{L}_n \cdot \mathbf{X}(\tau_n)} > = \left(\prod_i t_i \right) \int d\tau_1 d\tau_2 \cdots d\tau_n \delta \left(\sum_i \mathbf{L}_i \right) \times \exp \left[\frac{\alpha}{\alpha^2 + \beta^2} \sum_{i < j} \mathbf{L}_i \cdot \mathbf{L}_j \ln(\tau_i - \tau_j)^2 / \tau_c^2 - i\pi \frac{\beta}{\alpha^2 + \beta^2} \sum_{i < j} \mathbf{L}_i \times \mathbf{L}_j \text{sign}(\tau_i - \tau_j) \right] \quad (16)$$

at the n th order. Here each \mathbf{L}_i is one of the four vectors $\pm \mathbf{K}_{1,2}$.

For the eight tunneling events described above, let us associate the vector \mathbf{K}_1 with events 1 and 5, $-\mathbf{K}_1$ with events 2 and 6, \mathbf{K}_2 with events 3 and 7, and $-\mathbf{K}_2$ with events 4 and 8. Then we find that for any pair of events, $R_i R_j + q_i q_j = 2\mathbf{K}_i \cdot \mathbf{K}_j$ and $q_i R_j - q_j R_i = -2\mathbf{K}_i \times \mathbf{K}_j$. Hence the term in Eq. (16) matches a similar term in the partition function Eq. (13) of the resonant tunneling model if we equate

$$\frac{\alpha}{\alpha^2 + \beta^2} = \frac{1}{2g}, \quad \frac{\beta}{\alpha^2 + \beta^2} = n - \frac{1}{2}, \quad (17)$$

where n is some fixed integer. This implies that

$$\alpha = \frac{2g}{1 + (2n - 1)^2 g^2}, \quad \beta = \frac{2(2n - 1)g^2}{1 + (2n - 1)^2 g^2}. \quad (18)$$

Different values of the integer n describe different field theories for the X_i in Eq. (14), but they describe the same resonant level model. As we have seen earlier, the tunneling term is irrelevant if $g < 1/2$ [i.e., inside the circle $\alpha^2 + \beta^2 = \alpha$ in the (α, β) plane], and is relevant for $g > 1/2$ (outside the circle $\alpha^2 + \beta^2 = \alpha$). Thus we flow towards the strong tunneling limit if $g > 1/2$.

We now begin at the opposite end and study the stability of the infinite tunneling (healed) limit. For $t_A, t_B \rightarrow \infty$ in Eq. (14), the fields X_1 and X_2 get pinned at the minima of the potential. These minima form a square lattice with lattice spacing 2π . The fluctuations around these minima are given by instantons which tunnel from one minimum to another.⁸ The scaling dimension of these fluctuations are given by the square of the lattice spacing (in units of 2π) multiplied by α .^{7,8} Hence the scaling dimension is given by

$$\Delta_n = \frac{2g}{1 + (2n - 1)^2 g^2}. \quad (19)$$

We see that this is always less than 1 except when $g = 1$ and $n = 0$ or 1. Thus the strong tunneling limit is always unstable, except when $g = 1$ (which describes noninteracting electrons); we shall discuss this special case later. We therefore conclude that healing by resonant tunneling through two levels is generically not possible.

Let us now return, for a moment, to the case of resonant tunneling through only one resonant level,³ namely, $t_{2A} = t_{2B}$

=0. Then there are no phases as we saw earlier. A comparison between Eqs. (11) and (16) shows that the parameters α and β satisfy

$$\frac{\alpha}{\alpha^2 + \beta^2} = \frac{1}{2g}, \quad \frac{\beta}{\alpha^2 + \beta^2} = n. \quad (20)$$

This implies that

$$\alpha = \frac{2g}{1 + 4n^2g^2}, \quad \beta = \frac{4ng^2}{1 + 4n^2g^2}. \quad (21)$$

In the limit of infinite tunneling, the fields X_1 and X_2 again get pinned at the points of a two-dimensional lattice with lattice spacing 2π . The scaling dimension of the instanton fluctuations is now given by

$$\Delta_n = \frac{2g}{1 + 4n^2g^2}. \quad (22)$$

The maximum possible value of this occurs at $n=0$, when the scaling dimension is $2g$. Then the infinite tunneling limit is stable for $g > 1/2$ and unstable for $g < 1/2$. This is the result in Ref. 3, except for some modifications in the region $1/4 < g < 1/2$ (these arise because they deal with a double barrier system, not a single resonant level, and hence have other possibilities of backscattering). Reference 3 also shows that the conductance is given by ge^2/h if $g > 1/2$.

A better understanding of the two-resonant level model can be obtained from the RG equations for the theory defined in Eq. (14). Using the method described in Ref. 9, one can derive the RG equations to third order in the tunneling amplitudes t_A and t_B . Using Eqs. (17), we find that for any integer n ,

$$\begin{aligned} \frac{dt_A}{d \ln L} &= \left(1 - \frac{1}{2g}\right)t_A - \frac{t_A t_B^2}{4\pi^2}, \\ \frac{dt_B}{d \ln L} &= \left(1 - \frac{1}{2g}\right)t_B - \frac{t_B t_A^2}{4\pi^2}, \end{aligned} \quad (23)$$

where L denotes the length scale. For $g > 1/2$, there is a stable fixed point for nonzero values of the t_a given by $t_A^2 = t_B^2 = t_c^2$ where

$$t_c^2 = 4\pi^2 \left(1 - \frac{1}{2g}\right). \quad (24)$$

The location of this fixed point moves closer to zero, the closer we get to $g=1/2$. So this third order RG result is trustworthy for small values of t_c which occur close to $g=1/2$. Since we expect the conductance of the system to be proportional to the square of the tunneling amplitude (if the amplitude is weak), we find that

$$G \sim \frac{e^2}{h} t_c^2 \sim \frac{e^2}{h} \left(1 - \frac{1}{2g}\right). \quad (25)$$

Note the nonlinear dependence on g at this point, similar to the nonlinear dependence obtained in Ref. 7.

Equations (23) imply that

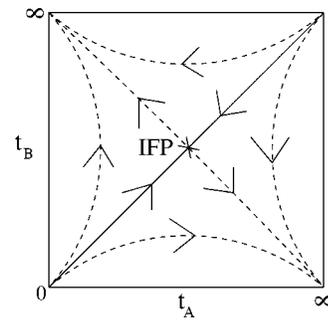


FIG. 4. Schematic picture of the renormalization group flow in the (t_A, t_B) plane. An intermediate fixed point is shown on the $t_A = t_B$ line.

$$\frac{d(t_A^2 - t_B^2)}{d \ln L} = \left(2 - \frac{1}{g}\right)(t_A^2 - t_B^2). \quad (26)$$

For $g > 1/2$, this implies that any asymmetry in the amplitudes grows with the length scale. Hence, if $t_A^2 \neq t_B^2$ to begin with, they will become increasingly more unequal. One can then show from Eqs. (23) that eventually the larger amplitude will flow to infinity while the smaller amplitude will flow to zero. [The cancellation between the cubic terms in Eqs. (23), which allows us to obtain a simple uncoupled equation for $t_A^2 - t_B^2$, may not persist at higher orders, for instance, if we compute the RG equations to fifth order. In that case, the asymmetry parameter may not necessarily flow to infinity.]

We thus see that if $g > 1/2$, a nonzero conductance through two levels in parallel is not a stable situation. If we fine tune the tunneling amplitudes so that $t_{1A} = t_{2A} = t_A$ and $t_{1B} = t_{2B} = t_B$ are equal, then the system flows to an intermediate fixed point (IFP). But if we begin with generic values of t_A and t_B which are not equal, then one of them eventually grows to infinity while the other goes to zero. The conductance between the two leads is then zero in the long distance limit. A schematic RG flow diagram in the (t_A, t_B) plane is shown in Fig. 4 (see also Ref. 12).

The symmetry between the two leads (channels) is not “protected” by any conservation law in our model. In order to access the nontrivial fixed point experimentally, one may therefore need to consider a different set-up in which the two channels are labeled by spin up and spin down;¹⁰ this would give rise to a robust symmetry in the absence of a magnetic field.

For the noninteracting case given by $g=1$, we can compute the conductance in terms of the parameters t_A and t_B defined in the previous paragraph. We use the equation of motion method.⁶ We first “unfold” the left and right half-lines to full lines, and define the electron fields $\psi_a(x, \tau)$, where $a=A, B$ and $-\infty < x < \infty$. The incoming and outgoing fermion fields are given by $\psi_a(0-, \tau)$ and $\psi_a(0+, \tau)$, respectively. The creation and annihilation operators for the two resonant levels (which lie at $x=0$) are defined as $d_i(\tau)$ and $d_i^\dagger(\tau)$, where $i=1, 2$. In terms of these fields, the action for $g=1$ is given by

$$S = \int d\tau \left[\sum_i d_i^\dagger \partial_\tau d_i + \int_{-\infty}^{\infty} dx \left\{ \sum_a \psi_a^\dagger (\partial_\tau + i\partial_x) \psi_a + \delta(x) \sum_{a,i} t_a (\psi_a^\dagger d_i + d_i^\dagger \psi_a) \right\} \right]. \quad (27)$$

The equations of motion for this system are

$$[\partial_\tau + i\partial_x] \psi_a(x, \tau) + \delta(x) t_a \sum_i d_i(\tau) = 0,$$

$$\partial_\tau d_i(\tau) + \sum_a t_a \psi_a(0, \tau) = 0. \quad (28)$$

Here $\psi_a(0, \tau) \equiv [\psi_a(0+, \tau) + \psi_a(0-, \tau)]/2$. We integrate the first equation in (28) from $x=0-$ to $x=0+$, and then Fourier transform in time to obtain

$$i[\psi_a(0+, \omega) - \psi_a(0-, \omega)] + t_a \sum_i d_i(\omega) = 0, \\ \omega d_i(\omega) + \sum_a t_a \psi_a(0, \omega) = 0. \quad (29)$$

We now eliminate the operators $d_i(\omega)$ and relate the outgoing fermion fields to the incoming fermion fields through a scattering matrix S , namely, $\psi_a(0+, \omega) = \sum_b S_{ab} \psi_b(0-, \omega)$. In the limit $\omega \rightarrow 0$ (dc conductance), we find that $S_{AA} = -S_{BB} = -(t_A^2 - t_B^2)/(t_A^2 + t_B^2)$, and $S_{AB} = S_{BA} = -2t_A t_B/(t_A^2 + t_B^2)$. The conductance is given by e^2/h times $|S_{AB}|^2$. Thus the conductance depends on the precise values of t_A and t_B if $g=1$.

The noninteracting case may be exceptional in that the conductance is a continuous function of the tunneling amplitudes t_A and t_B . For the interacting case $g \neq 1$ (and larger than $1/2$), we saw above that the symmetric model ($t_A = t_B$) and the asymmetric model ($t_A \neq t_B$) have different fixed points, and the conductance at large length scales can take only two different values, i.e., a finite value given in Eq. (25) and zero, respectively.

A comparison between the symmetric one-resonant level model ($t_{1A} = t_{1B} \neq 0$, and $t_{2A} = t_{2B} = 0$) and the symmetric two-resonant level model ($t_{1A} = t_{1B} = t_{2A} = t_{2B} \neq 0$) shows that for g slightly larger than $1/2$, the conductance in the former case (where only one level contributes) is larger than in the latter case (where both levels contribute). This probably happens because, in the two-resonant level model, the phase factors P_{ij} in Eq. (13) lead to destructive interference between different series of tunneling events.

It is straightforward to extend the above analysis to the case in which $t_{1A} = t_{1B}$ and $t_{2A} = t_{2B}$ are complex. A more difficult problem would be to study the general two-resonant level model in which the four tunneling amplitudes t_{ia} are all different from each other and are complex. (Such a generalization would allow one to examine the case in which there is a magnetic flux through the center of the system shown in Fig. 2.) However, it does not seem possible at present to study such a general model using the known Coulomb gas approach which, as mentioned above, requires one to assume that $t_{1A} = t_{2A}$ and $t_{1B} = t_{2B}$.

To summarize, we have shown that a Tomonaga-Luttinger liquid tunneling through two resonant levels has a nontrivial fixed point at long distances if $g > 1/2$ and the tunneling amplitudes from the two leads are equal. If the two amplitudes are not equal, then the model flows to a different fixed point in which one of the tunneling amplitudes and, therefore, the conductance is zero. Thus an asymmetry between the two leads is a relevant perturbation which grows at long distances. This behavior is similar in spirit to that of the one-impurity two-channel Kondo model in which an asymmetry between the couplings of the two channels to a spin- $1/2$ magnetic impurity is a relevant perturbation which drives the system to a fixed point that is very different from that of the symmetric model.¹¹

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