Scanning tunneling microscopy of Dy@C₈₂ and Dy@C₆₀ adsorbed on Si(111) - (7 \times **7) surfaces**

Satoshi Fujiki,^{1,2} Yoshihiro Kubozono,^{2,3,*} Tomoko Hosokawa,^{2,3} Takayoshi Kanbara,⁴ Akihiko Fujiwara,^{2,5}

Youichi Nonogaki,^{6,7} and Tsuneo Urisu^{1,6}

1 *Department of Structural Molecular Science, Graduate University for Advanced Studies, Okazaki 444-8585, Japan*

2 *CREST, Japan Science and Techonology Corporation, Kawaguchi 332-0012, Japan*

3 *Department of Chemistry, Okayama University, Okayama 700-8530, Japan*

4 *Institute for Material Research, Tohoku University, Sendai 980-8577, Japan*

5 *Japan Advanced Institute of Science and Technology, Ishikawa 923-1292, Japan*

6 *Department of Vacuum UV Photoscience, Institute for Molecular Science, Okazaki 444-8585, Japan*

7 *Department of Functional Molecular Science, Graduate University for Advanced Studies, Okazaki 444-8585, Japan*

 $(Received 29 July 2003; published 28 January 2004)$

Dy@C₈₂ and Dy@C₆₀ adsorbed on Si(111)-(7×7) surface are investigated by scanning tunneling microscopy (STM) at 295 K. The Dy@C₈₂ molecules in the first layer are adsorbed on the Si(111)-(7×7) surface without formation of islands and nucleation, and the internal structure of the $Dy@C_{82}$ molecule is first observed on the surface at 295 K. The average heights of the Dy@C₈₂ molecules in the first and second layers are estimated to be 7.2 and 10.8 Å, respectively, by STM. These results suggest strong interactions between the Si atoms and the Dy@C₈₂ molecules in the first layer. The STM image reveals that the Dy@C₆₀ molecule is nearly spherical, showing that the metal endohedral C_{60} possesses a cage-form structure.

DOI: 10.1103/PhysRevB.69.045415 PACS number(s): 68.43.Fg, 68.37.Ef, 81.07.-b

I. INTRODUCTION

Metallofullerene molecules have attracted special attention from physicists and chemists owing to their unique structures.¹ Furthermore, the metallofullerenes have been notable as research subjects in nanometer-scale science because the molecular size is \sim 1 nm and unique electronic structures are expected at molecular and cluster levels. Scanning tunneling microscopy (STM) is a powerful technique for studying the structures and electronic properties of metallofullerenes at the nanometer scale. Recently, the adsorption characteristics of metallofullerenes on semiconductor surfaces have been studied extensively by $STM.^{2-6}$

A STM image of $Sc_2@C_{84}$ adsorbed on Si(100)-(2×1) surface was first reported by Shinohara *et al.* and showed a nearly spherical structure of the $Sc_2@C_{84}$ molecule.² The first layer of $Sc_2@C_{84}$ was not ordered, owing to strong interactions between the surface and the molecules. Further, the $Sc_2@C_{84}$ molecules in the first layer possessed no preferential adsorption positions such as terrace edges and defect sites on the Si(100)-(2×1) surface, and showed no specific nucleation. The third layer of $Sc_2@C_{84}$ on the Si(100)-(2 \times 1) surface was ordered to form a hexagonally closepacked array, and the nearest-neighbor distance *d* between the molecules was 11.7 Å, which is slightly smaller than that (12.1 Å) in a close-packed C_{84} array.⁷ This implies that the third layer is dominated by van der Waals interactions between the $Sc_2@C_{84}$ molecules.

The structure and electronic properties of $Dy@C_{82}$ have been studied by x-ray powder diffraction, x-ray absorption near edge spectroscopy (XANES), and electric resistivity ρ measurements.8–10 The x-ray diffraction pattern for mixed crystals of $Dy@C_{82}$ isomers I and II at 298 K was indexed in a face-centered cubic (fcc) structure with a lattice constant *a* of 15.86(1) Å. XANES of a crystalline $Dy@C_{82}$ sample showed that the valence of Dy was $+3$. The temperature dependence of ρ for a Dy@C₈₂ thin film showed a semiconductorlike behavior with the gap energy E_g of 0.2 eV.

Studies of $M \otimes C_{60}$ (*M*: metal atoms) have scarcely been carried out because of difficulties in obtaining macroscopic amounts of purified samples, although the structures and the expected physical properties such as molecular metals and superconductivity have attracted much interest from physicists and chemists. Recently, $Dy@C_{60}$ was extracted with aniline and purified by high-performance liquid chromatography.¹¹ XANES of Dy@C₆₀ revealed a valence of +3 for the Dy atom. The center frequency ω_0 of the $A_g(2)$ Raman peak for Dy@C₆₀ was 1450 cm⁻¹, also showing that the valence of Dy was $+3$. More recently, the electronic properties of $La@C_{60}$ and $Ce@C_{60}$ adsorbed on highly oriented pyrolytic graphite (HOPG) were studied by STM and scanning tunneling spectroscopy (STS) .⁴ An energy gap E_g of \sim 0.3 eV was observed for Ce@C₆₀ by STS at room temperature, while a zero band gap was observed at room temperature for $La@C_{60}$, and the gap opened below 28 K.⁴ In the present study, STM images of Dy@C₈₂ and Dy@C₆₀ molecules adsorbed on Si(111)-(7×7) surfaces are studied at 295 K, in order to clarify the structures and electronic properties at the nanometer scale. The adsorption patterns are observed from the STM images. The structures and electronic properties at the nanometer scale found by STM are compared with those found in the solid and in thin films.

II. EXPERIMENT

Sample preparation and purification of $Dy@C_{82}$ and $Dy@C_{60}$ are described elsewhere.^{8,11} The $Dy@C_{82}$ sample used in the present study contained two isomers I and II with a molar ratio of 4:1. The $Si(111)$ substrate was degassed by heating it at $600\degree$ C for 12 h and flash annealing up to 1100 °C for 10 s several times. Furthermore, this substrate was maintained at 700 °C for 3 min and then cooled slowly down to room temperature. The vacuum level was kept below 2×10^{-9} Torr during these procedures to obtain a welldefined $Si(111)-(7\times7)$ surface. Powder samples of metallofullerenes were deposited on the well-defined Si(111)-(7 \times 7) surface at 650 °C after annealing the samples at 300 °C for 12 h under \sim 1 \times 10⁻⁹ Torr. The deposition rate was kept below 0.1 monolayers $(ML)/h$, and the substrate was not heated during the thermal deposition. All STM measurements were performed by using an ultrahigh-vacuum STM system (UNISOKU Scientific Instruments) with Pt-Ir tips. The STM image was measured in the constant-current mode under $\sim 5 \times 10^{-11}$ Torr. The heights estimated from STM were calibrated with the exact height, 3.1 Å, of the step in the Si substrate. The sample bias voltage V_s and tunneling current I_t were -2.6 to -2.0 V and 0.20–0.25 nA, respectively.

III. RESULTS AND DISCUSSION

A typical STM image of $Dy@C_{82}$ molecules adsorbed on Si(111)-(7×7) at 295 K is shown in Fig. 1(a); the Dy@C₈₂ molecule surface coverage is 0.1 ML. The bright spherical spots are the images of the Dy@C₈₂ molecules. The monomers of the $Dy@C_{82}$ molecules are adsorbed on the Si(111)-(7×7) surface without formation of islands and nucleation, as in the case of C_{60} molecules on Si(111)-(7 \times 7) and Sc₂@C₈₄ molecules on Si(100)-(2×1).^{3,12} The adsorption characteristics are different from those of Lu@C₈₂ on a C₆₀ film, in which an accumulation of Lu@C₈₂ molecules is observed near the step edge.⁵ The Dy@C₈₂ molecules neither migrate toward the step edge nor make significant clusters even after the substrate was heated up to 200 °C; the STM image was measured at 295 K after annealing. These results imply that $Dy@C_{82}$ molecules are strongly bound by the Si surface.

Three possible adsorption sites for $Dy@C_{82}$ on the surface are shown in Fig. 1(b). 72% of the Dy@C₈₂ molecules are adsorbed on the *A* site surrounded by three Si adatoms, and 9% and 19% of the molecules are adsorbed on the corner holes (site B) and on the dimer lines (site C), respectively. Previously, it was shown that 80% of C_{60} molecules were adsorbed on the *A* site.12 This value is consistent with that found for the $Dy@C_{82}$ molecules, implying that the *A* site is very attractive for fullerene molecules. In the case of C_{60} , 13% and 7% of the molecules were adsorbed on the *B* and *C* sites, respectively.¹² Contrary to the case of $Dy@C_{82}$, the adsorption probability on the corner holes was larger than that on the dimer lines.12 This result may originate from the facts that the van der Waals diameter of the C_{60} molecule is smaller than that of the Dy@C₈₂ molecule and that the spacing of the corner holes $(B \text{ site})$ is larger than that of the dimer lines (*C* site). The C_{60} molecules can fall in the corner hole (*B* site) more deeply than the Dy@C₈₂ molecules, as is seen from Fig. $1(c)$, but the spacing of the dimer lines is too small to let the C_{60} molecule fall deeply into the site; the details of spacing of each site are described later. The deep

FIG. 1. (a) STM image for 0.1 ML of $Dy@C_{82}$ adsorbed on the Si(111)-(7×7) surface (100×100 nm²). V_s and I_t were -2.0 V and 0.20 nA, respectively. (b) Schematic diagram of three possible adsorption sites on the Si(111)-(7×7) surface. (c) Schematic representation of Dy@C₈₂ and C₆₀ molecules captured into the basins of the Si surface. The van der Waals diameters of $Dy@C_{82}$ and C_{60} molecules are shown by large gray circles and open circles, respectively, in (c) . The small gray circles refer to the Si adatoms in (b) and (c), and the small open circles and the dots refer to the Si atoms except for the adatoms in (b) and (c) .

falling of the C_{60} molecule in the corner hole should stabilize adsorption on the site.

It is predicted by taking into consideration only the van der Waals diameters of the Dy@C₈₂ molecule (11.4 Å) and Si atom (4.2 Å) that STM will give heights of 10.0 and 7.7 Å for $Dy@C_{82}$ molecules on the *A* and *B* sites, respectively. This feature is schematically represented in Fig. $1(c)$. These results reflect the facts that the spacing between the Si adatoms is 7.7 Å in one triangular subunit surrounded by three adatoms (*A* site), and the spacing between the Si adatoms across the corner holes (B site) is 13.3 Å; these values are shown in Fig. 1(b). The heights of the Dy@C₈₂ molecules on the *A* and *B* sites are experimentally estimated to be 7.3 and 6.6 Å, respectively, from the STM image. These experimental heights are smaller than the predicted ones, 10.0 and 7.7 Å. Furthermore, the experimental height is estimated to be 7.1 Å for the *C* site, a value also smaller than the predicted one, 8.5 Å; the spacing of the adatoms across the boundary of the triangle $(C \text{ site})$ is 6.7 Å. The average height of the $Dy@C_{82}$ molecules observed in the first layer is 7.2 Å. The heights of the Dy@C₈₂ molecules cannot be explained only by the fact that the molecules enter basins of the Si surface such as the *A*, *B*, and *C* sites. This implies that the spaces are not large enough to allow the molecules to fall into the basins to reproduce the heights estimated experimentally from the STM. Consequently, we conclude that heights lower than the predicted ones at all sites are realized because of a strong interaction between the Si surface and the $Dy@C_{82}$ molecules, i.e., formation of a Si-C bond.

The schematic representation [Fig. 1 (c)] shows clearly that the experimental height of 6.6 Å for the Dy@C₈₂ molecule on the *B* site, lower than those on the *A* and *C* sites, 7.3 and 7.1 Å, originates from the fact that the molecule can enter the basin of the *B* site more deeply than the other sites. At the *B* site, the difference between the experimental height (6.6 Å) and the predicted one (7.7 Å) is -1.1 Å in Dy@C₈₂. The difference is the same as that for C_{60} , in which the experimental and predicted heights are 5.0 and 6.1 Å, respectively.13 This fact implies that the nature of the interaction between the molecules and Si adatoms at the *B* site is the same for $Dy@C_{82}$ and C_{60} . At the *A* site, the difference between the experimental height (7.3 Å) and the predicted one (10.0 Å) is -2.7 Å in Dy@C₈₂, and close to -2.9 Å in C_{60} , in which the experimental and predicted heights are 6.0 and 8.9 Å, respectively.¹³ This result suggests that the interaction is almost the same for $Dy@C_{82}$ and C_{60} at the *A* site. Here we note that the Si-C interaction is much stronger at the *A* site than the *B* site because the difference is larger by 2.5 times at the *A* site than at the *B* site. This result shows that the *A* site is very attractive for fullerene molecules, and it rationalizes the fact that more than 70% of the Dy@C₈₂ molecules and C_{60} molecules are adsorbed on the *A* site.

High-resolution STM images of the Dy@C₈₂ molecules observed at 295 K are shown in Fig. 2. Further, the STM images of three $Dy@C_{82}$ molecules named *a*, *b*, and *c* are enlarged in the figure. Patterns that reflect the charge distribution of the C_{82} cage are clearly observed in these images; this kind of pattern is termed the internal structure of the C_{82} cage. These clear images of the internal structure suggest that the motion of the $Dy@C_{82}$ molecules is frozen on the Si(111)-(7×7) surface even at 295 K. A correlation between the internal structures and the adsorption sites is not clearly seen in Fig. 2, i.e., the high-resolution STM images

FIG. 2. High-resolution STM image for 0.05 ML of $Dy@C_{82}$ adsorbed on the Si(111)-(7×7) surface (30×30 nm²). V_s and I_t were -2.0 V and 0.20 nA, respectively.

show that the $Dy@C_{82}$ molecules are randomly oriented on the Si(111)-(7×7) surface. Clear spots ascribable to the Dy atoms inside the cages are not observed for any $Dy@C_{82}$ molecules. This result may originate from a dynamical disorder of the Dy atom inside the C_{82} cage, as suggested from a Rietveld analysis for x-ray powder diffraction.¹⁰

High-resolution STM images showing the internal structure of metallofullerenes have hardly been reported, although internal structures have been observed for C_{60} molecules on the Si(111)-(7×7) surface at room temperature.¹³ The internal structure was not observed in a multilayer film of La@C₈₂ on the Si(111)-(7×7) surface.⁶ In the present study, the internal structure of the C_{82} cage has been observed owing to the strong interaction between the Si atom and the $Dy@C_{82}$ molecule in the first layer. More recently, Shinohara *et al.* observed the internal structure of $\text{La}_2 \textcircled{c}_{80}$ and $La@C_{82}$ in the first layer of a hydrogen-terminated $Si(100)-(2\times1)$ surface at 78 K by STM.¹⁴

Simulation of the STM image based on a theoretical calculation of electronic structure is necessary to determine the internal structure at the atomic level, because the STM image directly reflects the electronic structure of the molecules near the Fermi level. High-resolution STM images make it possible to identify the molecular orientations of metallofullerenes on the Si surface, when the atomic level structures are determined by analyses of the STM images. The information about molecular orientation helps to clarify the

 $\overline{\mathrm{Dy}\otimes \mathrm{C}_{s2}}$ in the 2nd layer

FIG. 3. STM image for \sim 1 ML of Dy@C₈₂ adsorbed on the Si(111)-(7×7) surface (80×80 nm²). V_s and I_t were -2.6 V and 0.25 nA, respectively.

mechanism for the accumulation of metallofullerenes on the surface. The determination of molecular orientations for various types of metallofullerenes on a semiconductor surface is now in progress, based on the theoretical simulations for their STM images.

The STM image of the Si(111)-(7×7) surface covered with \sim 1 ML of Dy@C₈₂ at 295 K is shown in Fig. 3. The dark regions are the bare surface of $Si(111)-(7\times7)$. The gray blobs on the Si surface can be ascribed to the $Dy@C_{82}$ molecules in the first layer, and the bright near-circular features can be ascribed to the Dy@C₈₂ molecules in the second layer. The STM image shows no specific nucleation in the first layer. The first layer is not ordered, as is seen from Fig. 3, and no second layer islands are observed before completion of the first layer. These results indicate that the interaction between the Si atoms and the Dy@C₈₂ molecules in the first layer is stronger than the intermolecular interaction between the Dy@C₈₂ molecules. The height of the Dy@C₈₂ molecule (bright ball) in the second layer is estimated to be 10.8 Å from the STM image. This value is close to the van der Waals diameter of the Dy@C₈₂ molecule, 11.2–11.4 Å.⁸ This implies that the electronic structure of the $Dy@C_{82}$ molecules in the second layer is not substantially affected by the Si surface.

The STM image of ~ 0.01 ML Dy@C₆₀ molecules adsorbed on the Si(111)-(7×7) surface observed at 295 K is shown in Fig. $4(a)$. The nearly spherical features can be ascribed to the Dy@C₆₀ molecules. This image is similar to a bright spherical feature for $La@C_{60}$ on a HOPG reported previously.⁴ It was reported that neither La@C₆₀ nor $Ce@C_{60}$ molecules formed an island on the HOPG at room temperature.⁴ The Dy@C₆₀ molecules are also adsorbed on the surface without islands and do not migrate at 295 K. No spots ascribable to the Dy atoms are observed around the nearly spherical image. A close-up of the image of the Dy@C₆₀ molecule is shown in Fig. 4(b), which also exhibits a nearly spherical image. The destruction of the C_{60} cage is not found in this image, i.e., the $Dy@C_{60}$ molecule exhibits a cage-form structure, as suggested from Raman and ex-

FIG. 4. (a) STM images for \sim 0.01 ML of Dy@C₆₀ adsorbed on the Si(111)-(7×7) surface (40×20 nm²). V_s and I_t were -2.0 V and 0.20 nA, respectively. (b) Close-up of a STM image of Dy@C₆₀ molecule (10×5 nm²).

tended x-ray absorption fine structure analyses. 11 The height of the Dy@C₆₀ molecule is estimated to be \sim 7 Å, a value suggesting a strong interaction between the Si atoms and the $Dy@C_{60}$ molecules, as in the $Dy@C_{82}$ molecule. In the STM image of the $Dy@C_{60}$ molecules, the spot of the Dy atom could not clearly be observed inside the cage as in the $Dy@C_{82}$ molecules. Internal structures of the C_{60} cage were not observed in this image.

IV. CONCLUSION

The adsorption of $Dy@C_{82}$ and $Dy@C_{60}$ on the Si(111)-(7×7) surface has been studied by STM at 295 K. In the first layer of $Dy@C_{82}$ molecules, the molecules are strongly bound by the Si surface, and the internal structures of the C_{82} cage are clearly observed. The internal structure of the Dy@C₈₂ molecule is first observed at room temperature owing to the freezing of its dynamical motion through the formation of chemical bonds between the molecule and the Si adatoms on the Si(111)-(7×7) surface. The driving force for the arrangement of $Dy@C_{82}$ in the second layer is van der Waals interaction. The STM image of the $Dy@C_{60}$ molecule shows a nearly spherical spot. This is direct and important evidence of a cage-form structure because the structure of metal endohedral C_{60} has not yet been established. Information on the structures of Dy@C₈₂ and Dy@C₆₀ molecules on a semiconductor surface will open doors to build up nanoscale molecular assemblies with high functionality as well as clarifying directly the nature of individual molecules.

ACKNOWLEDGMENTS

This work was supported by CREST of Japan Science and Technology Corporation, by a Grant-in-Aid (No. 15350089)

*Electronic address: kubozono@cc.okayama-u.ac.jp

- 1 H. Shinohara, Rep. Prog. Phys. **63**, 843 (2000).
- 2H. Shinohara, N. Hayashi, H. Sato, Y. Saito, X.-D. Wang, T. Hashizume, and T. Sakurai, J. Phys. Chem. 97, 13 438 (1993).
- $3X$.-D. Wang, Q. K. Xue, T. Hashizume, H. Shinohara, Y. Nishina, and T. Sakurai, Phys. Rev. B 48, 15 492 (1993).
- 4R. Klingeler, G. Kann, I. Wirth, S. Eisebitt, P. S. Bechthold, M. Neeb, and W. Eberhardt, J. Chem. Phys. **115**, 7215 (2001).
- 5B.-R. Shi, X.-S. Wang, H. Huang, S.-H. Yang, A. Bachmann, and N. Cue, J. Vac. Sci. Technol. B **20**, 2388 (2002).
- 6C. Ton-That, A. G. Shard, S. Egger, A. Taninaka, H. Shinohara, and M. E. Welland, Surf. Sci. 522, L15 (2003).
- 7T. Hashizume, X.-D. Wang, Y. Nishina, H. Shinohara, Y. Saito, and T. Sakurai, Jpn. J. Appl. Phys., Part 1 32, L132 (1993).
- 8S. Iida, Y. Kubozono, Y. Slovokhotov, Y. Takabayashi, T. Kanbara, T. Fukunaga, S. Fujiki, S. Emura, and S. Kashino, Chem. Phys. Lett. 338, 21 (2001).

from the Ministry of Education, Science, Sports and Culture of Japan, and by the Special Research Project and Joint Studies Program of Institute for Molecular Science. S.F. thanks JSPS for financial support.

- 9Y. Takabayashi, Y. Kubozono, T. Kanbara, S. Fujiki, K. Shibata, Y. Haruyama, T. Hosokawa, Y. Rikiishi, and S. Kashino, Phys. Rev. B 65, 073405 (2002).
- 10Y. Kubozono, Y. Takabayashi, K. Shibata, T. Kanbara, S. Fujiki, S. Kashino, A. Fujiwara, and S. Emura, Phys. Rev. B **67**, 115410 $(2003).$
- 11T. Kanbara, Y. Kubozono, Y. Takabayashi, S. Fujiki, S. Iida, Y. Haruyama, S. Kashino, S. Emura, and T. Akasaka, Phys. Rev. B **64.** 113403 (2001).
- 12X.-D. Wang, T. Hashizume, H. Shinohara, Y. Saito, Y. Nishina, and T. Sakurai, Jpn. J. Appl. Phys., Part 2 31, L983 (1992).
- ¹³ J. I. Pasucal, J. Gómez-Herrero, C. Rogero, A. M. Baró, D. Sánchez-Portal, E. Artacho, P. Ordejón, and J. M. Soler, Chem. Phys. Lett. 321, 78 (2000).
- 14A. Taninaka, K. Shino, T. Sugai, S. Heike, Y. Terada, T. Hashizume, and H. Shinohara, Nano Lett. 3, 337 (2003).