

## Impurity effects on the $A_1$ - $A_2$ splitting of superfluid $^3\text{He}$ in aerogel

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When liquid  $^3\text{He}$  is impregnated into silica aerogel a solid-like layer of  $^3\text{He}$  atoms coats the silica structure. The surface  $^3\text{He}$  is in fast exchange with the liquid on NMR time scales. The exchange coupling of liquid  $^3\text{He}$  quasiparticles with the localized  $^3\text{He}$  spins modifies the scattering of  $^3\text{He}$  quasiparticles by the aerogel structure. In a magnetic field the polarization of the solid spins gives rise to a splitting of the scattering cross section of for “up” vs “down” spin quasiparticles, relative to the polarization of the solid  $^3\text{He}$ . We discuss this effect, as well as the effects of nonmagnetic scattering, in the context of a possible splitting of the superfluid transition for  $\uparrow\uparrow$  vs  $\downarrow\downarrow$  Cooper pairs for superfluid  $^3\text{He}$  in aerogel, analogous to the  $A_1$ - $A_2$  splitting in bulk  $^3\text{He}$ . Comparison with the existing measurements of  $T_c$  for  $B < 5$  kG, which shows no evidence of the  $A_1$ - $A_2$  splitting, suggests a liquid-solid exchange coupling of order  $J \approx 0.1$  mK. Measurements at higher fields,  $B \gtrsim 20$  kG, should saturate the polarization of the solid  $^3\text{He}$  and reveal the  $A_1$ - $A_2$  splitting.

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One focus of experimental investigations of  $^3\text{He}$  in aerogel has been the determination of the phase diagram. Torsional oscillator, NMR, vibrating wire, and sound attenuation experiments on  $^3\text{He}$  in  $\approx 98\%$  porosity aerogels suggest that there is just one superfluid phase for pressures above the critical pressure of 6 atm in zero magnetic field.<sup>1–3</sup> At lower pressures there appears to be only normal  $^3\text{He}$  down to zero temperature.<sup>4</sup> In short, a  $B$ -like phase, with reduced susceptibility, is the only stable superfluid phase observed in zero field. An  $A$ -like phase, if it exists in zero field, may be stable only in a very small region  $\Delta T \leq 20$   $\mu\text{K}$  near  $T_c$ . As in bulk  $^3\text{He}$ , an  $A$ -like phase can be stabilized in a magnetic field; the region of stability,  $T_{AB} \leq T < T_c$ , increases quadratically with field,  $T_c - T_{AB} = -g_{AB} B^2$ . However, a splitting of the transition for  $\uparrow\uparrow$  and  $\downarrow\downarrow$  pairs, analogous to the  $A_1$ - $A_2$  splitting in bulk  $^3\text{He}$ , has so far not been observed for fields up to  $B \approx 5$  kG.<sup>3,5</sup>

In pure  $^3\text{He}$ , on application of a magnetic field, the  $A_1$  phase, characterized by spin-polarized Cooper pairs composed of only  $\uparrow\uparrow$  spins, nucleates at a temperature slightly higher than the zero-field transition,  $T_c^{A_1} = T_c + \lambda^{A_1} B$ .<sup>29</sup> This transition is followed by a second transition, shifted below the zero-field transition, at  $T_c^{A_2} = T_c - \lambda^{A_2} B$ , in which the  $\downarrow\downarrow$  pairs nucleate. The region of stability of pure  $\uparrow\uparrow$  pairs increases linearly with field,  $\Delta T_{A_1-A_2} \equiv (T_c^{A_1} - T_c^{A_2}) = (\lambda^{A_1} + \lambda^{A_2}) B$ , at a rate of  $\lambda^{A_1} + \lambda^{A_2} \approx 6.1$   $\mu\text{K}/\text{kG}$  at  $p = 33.4$  bars.<sup>6,7</sup>

This splitting of the zero-field transition originates from the combined effect of the nuclear Zeeman coupling to the  $^3\text{He}$  spin, and particle-hole asymmetry in the normal-state density of states and pairing interaction. The original estimate of  $\lambda^{A_1}$  by Ambegaokar and Mermin<sup>8</sup> was based on the asymmetry of the density of states for  $\uparrow$  vs  $\downarrow$  quasiparticles at the Fermi level. More involved calculations include the effects of spin polarization of the Fermi liquid on the pairing interaction.<sup>9,10</sup> Estimates of the splitting of the  $A_1$  transition are of order

$$\lambda^{A_1} = \Lambda \left| \frac{\gamma \hbar}{2} \right| \left( \frac{k_B T_c}{E_f} \right), \quad (1)$$

where  $\gamma$  is the gyromagnetic ratio for  $^3\text{He}$ ,  $k_B T_c / E_f \sim 10^{-3}$ , and  $\Lambda \sim \mathcal{O}(1-10)$  is a “high-energy” vertex. A first principles calculation of  $\Lambda$  requires a solution of the many-body problem for the pairing interaction in  $^3\text{He}$ . Alternatively, we treat  $\Lambda$  on the same level as other high-energy vertices in Fermi-liquid theory;  $\Lambda$  is a Fermi-liquid parameter, which can be determined by comparing physical predictions of the Fermi-liquid theory with experiment—in this case the  $A_1$ - $A$  splitting. Once  $\Lambda$  is determined, its effects on other low-energy properties of  $^3\text{He}$  can be calculated. Thus, the  $A_2$  transition can be calculated in terms of  $\Lambda$ , and corrections to  $T_c^{A_2}$  from the  $\uparrow\uparrow$  pair condensate.<sup>11</sup>

The disorder introduced by the aerogel structure into liquid  $^3\text{He}$  is, on average, weak on the high-energy scale,  $\hbar / \tau E_f \ll 1$ . Thus, the Fermi-liquid interactions are essentially unaffected by the aerogel, and we can calculate the effects of aerogel on the low-energy excitations and superfluid properties within Fermi-liquid theory. The main effect of the aerogel structure is to scatter  $^3\text{He}$  quasiparticles moving with the Fermi velocity. At temperatures below  $T^* \approx 10$  mK elastic scattering by the aerogel dominates inelastic quasiparticle-quasiparticle collisions.<sup>12</sup> This limits the mean free path of normal  $^3\text{He}$  quasiparticles to  $\ell \approx 130-180$  nm. In  $p$ -wave superfluids quasiparticle scattering is intrinsically pairbreaking and leads to renormalization of nearly all properties of the superfluid phases, including the  $A_1$  and  $A_2$  transition temperatures. The suppression of  $T_c$ , as well as pair-breaking effects on observable properties of the superfluid phases, have been analyzed theoretically for nonmagnetic scattering.<sup>13–18</sup> Here we analyze the effects of scattering by the aerogel on the  $A_1$  and  $A_2$  transitions.

The aerogel has a strong effect on the *short-distance*, high-energy properties of the liquid locally near the silica

strands. The first few atomic layers of  $^3\text{He}$  are adsorbed on the silica structure and form a highly polarizable solidlike phase, observable as a Curie-like component of the magnetization of  $^3\text{He}$  aerogel.<sup>19</sup> The surface  $^3\text{He}$  is in fast exchange with the liquid on typical NMR time scales, implying a liquid-solid exchange interaction,  $|J|/h \geq 0.66$  MHz ( $|J| \geq 0.03$  mK).<sup>19,20</sup> The exchange coupling of liquid  $^3\text{He}$  quasiparticles with the localized  $^3\text{He}$  spins  $J$  may modify the scattering of  $^3\text{He}$  quasiparticles by the aerogel structure.<sup>21</sup> Here we include the effect of magnetic scattering of  $^3\text{He}$  quasiparticles by polarizable  $^3\text{He}$  spins coating the aerogel strands. The differential scattering of  $\uparrow$  vs  $\downarrow$  spin quasiparticles by the polarized surface leads to an additional contribution to the splitting of the  $\uparrow\uparrow$  vs  $\downarrow\downarrow$  transitions,  $\lambda_j \propto J$ , which is determined by the nonmagnetic  $u_0$  and exchange  $J$  interactions and the density of  $^3\text{He}$  coating the aerogel.<sup>22</sup> Below we extend the analysis of Ref. 22 and examine the role of the exchange coupling on the possible  $A_1$ - $A_2$  splitting of the superfluid phases of  $^3\text{He}$  in aerogel.

The suppression of the AB transition in both pure and disordered  $^3\text{He}$  is quadratic in field on a scale set by  $g_{\text{AB}} \sim \text{mK/kG}^2$ .<sup>3</sup> Thus, for fields  $B \gg B^* = \lambda^{A_1}/g_{\text{AB}} \sim 1$  G and temperatures  $T \approx T_c$ , the  $\uparrow\downarrow$  pairs are suppressed. In the field and temperature range of interest we can restrict the full  $p$ -wave, spin-triplet order parameter to two components,  $\mathbf{d}_+$  for  $\uparrow\uparrow$  pairs and  $\mathbf{d}_-$  for  $\downarrow\downarrow$  pairs. We assume that the orbital state is the same for both spin components and the axial (ABM) form,  $\chi(\hat{\mathbf{p}}) = \hat{\mathbf{p}} \cdot (\hat{\mathbf{m}} + i\hat{\mathbf{n}})/\sqrt{2}$ . Thus, in pure  $^3\text{He}$  the full Ginzburg-Landau (GL) free-energy functional (c.f. Ref. 23) reduces to<sup>11</sup>

$$\Omega[\mathbf{d}_+, \mathbf{d}_-] = \alpha(|\mathbf{d}_+|^2 + |\mathbf{d}_-|^2) - \eta B(|\mathbf{d}_+|^2 - |\mathbf{d}_-|^2) + \beta_{24}(|\mathbf{d}_+|^2 + |\mathbf{d}_-|^2)^2 + 4\beta_5|\mathbf{d}_+|^2|\mathbf{d}_-|^2, \quad (2)$$

where  $\alpha$ ,  $\eta$ , and  $\beta_i$  are the known material parameters for superfluid  $^3\text{He}$ ;  $\alpha(T) = (1/3)N_f \ln(T/T_c)$  determines the zero-field transition and  $\eta = (1/3)N_f \lambda^{A_1}/T_c$  determines the  $A_1$  ( $\uparrow\uparrow$ ) transition, where  $N_f$  is the single-spin density of states at the Fermi level. The fourth-order coefficients determine the relative stability of the possible phases. In particular,  $\beta_{24} > 0$  and  $\beta_5 < 0$  favor an equal-spin-pairing (ESP) phase with  $|\mathbf{d}_+| = |\mathbf{d}_-|$ . The linear field term is symmetry breaking and competes with the fourth-order terms. The latter wins at lower temperatures and gives rise to the  $A_2$  transition where  $\downarrow\downarrow$  spins condense with  $T_c^{A_2} = T_c - \lambda^{A_2} B$  and

$$\lambda^{A_2} = \left( \frac{\beta_{245}}{-\beta_5} \right) \lambda^{A_1}. \quad (3)$$

Within the homogeneous, isotropic scattering model (HSM) the rotational symmetry of  $^3\text{He}$  in aerogel is preserved on the coherence length scale, and the GL free energy has the same form as in pure  $^3\text{He}$ , but with material parameters,  $\bar{\alpha}$ ,  $\bar{\eta}$ , etc., that are modified by the effects of scattering by the aerogel (we use a ‘‘bar’’ to denote the material parameters in the presence of aerogel scattering). These effects were calcu-

lated within the quasiclassical theory to leading order in  $T_c/E_f$  (weak coupling), and one finds<sup>13</sup>

$$\bar{\alpha} = \frac{1}{3}N_f[\ln(T/T_{c0}) - 2S_1(x)], \quad (4)$$

where  $x = v_f/2\pi T\ell$  and  $\ell$  is the mean free path of quasiparticles scattering off the aerogel. In Eq. (4), and hereafter, we denote the transition temperature for pure  $^3\text{He}$  by  $T_{c0}$ . The superfluid transition in aerogel is determined by the condition  $\bar{\alpha}(T_c) = 0$  and

$$S_1(x) = \sum_{n=0}^{\infty} \left( \frac{1}{2n+1+x} - \frac{1}{2n+1} \right). \quad (5)$$

The parameter  $\eta$  is directly proportional to the high-energy vertex  $\Lambda$  and so is unrenormalized by impurities to leading order in  $\hbar/p_f\ell$ . Thus,  $\bar{\eta} = \eta = (1/3)N_f\lambda^{A_1}/T_{c0}$ , where  $\lambda^{A_1}$  is the rate for the splitting of the  $A_1$ - $A$  transition in pure  $^3\text{He}$ . Although  $\eta$  is unrenormalized, the splitting parameters for the  $A_1$  and  $A_2$  transitions are renormalized by the impurity corrections to transition temperature and, in general, by the  $\beta$  parameters.

The weak-coupling results for the fourth-order coefficients are,<sup>13</sup>

$$\bar{\beta}_{24} = -2\bar{\beta}_5 = 4(\bar{\beta}^{\text{wc}} + \bar{\beta}^{\bar{\sigma}}), \quad (6)$$

$$\bar{\beta}^{\text{wc}} = \frac{N_f}{30\pi^2 T^2} S_3(x),$$

$$\bar{\beta}^{\bar{\sigma}} = \frac{N_f}{9\pi^2 T^2} (\bar{\sigma}_0 - \frac{1}{2}) x S_4(x), \quad (7)$$

where  $\bar{\sigma}_0$  is the dimensionless, nonmagnetic,  $s$ -wave scattering cross section,  $0 < \bar{\sigma}_0 < 1$  (see below), and

$$S_p(x) = \sum_{n=0}^{\infty} \left( \frac{1}{2n+1+x} \right)^p, \quad p > 1. \quad (8)$$

Note that the ratio  $\bar{r}_\beta = \bar{\beta}_{245}/(-\bar{\beta}_5) \equiv 1$  in the weak-coupling limit, even with (isotropic) impurity scattering. However, this ratio deviates substantially from 1 in pure  $^3\text{He}$ , particularly at high pressures, e.g.,  $r_\beta \approx 0.47$  at  $p = 33.4$  bars. Thus, the asymmetry of the  $A_1$ - $A$  vs the  $A_2$ - $A$  transitions is a measure of the strong-coupling corrections to the  $\beta$  parameters,  $\delta\beta^{\text{sc}} = \beta - \beta^{\text{wc}}$ , which are of order

$$\frac{\delta\beta^{\text{sc}}}{\beta^{\text{wc}}} \sim \frac{T_c}{E_f} \langle |\Gamma_{\text{N}}|^2 \rangle_{\text{FS}}, \quad (9)$$

compared to the weak-coupling values, where  $\langle \dots \rangle_{\text{FS}}$  is a Fermi-surface average of the normal-state quasiparticle-quasiparticle collision rate  $\propto |\Gamma_{\text{N}}|^2$ .

Corrections to the weak-coupling  $\beta$  parameters from quasiparticle scattering off the aerogel strands are of the order  $\delta\bar{\beta}^{\text{wc}}/\beta^{\text{wc}} \sim x_c = v_f/2\pi\ell T_c$ , which is small for high-porosity aerogels, but comparable to the strong-coupling corrections

from quasiparticle-quasiparticle collisions. Based on the suppression of  $T_c$  and the aerogel mean free path we estimate  $x_c \approx 0.12$  at high pressures.

If we neglect aerogel scattering corrections to the intermediate quasiparticle states that enter the strong-coupling self-energies,<sup>24</sup> then the relative strong-coupling corrections for  $^3\text{He}$  in aerogel are scaled relative to their bulk ratios by the ratio of transition temperatures,

$$\frac{\delta\bar{\beta}^{\text{sc}}}{\bar{\beta}^{\text{wc}}} = \frac{\delta\beta^{\text{sc}}}{\beta^{\text{wc}}} \left( \frac{T_c}{T_{c0}} \right). \quad (10)$$

This approximation gives a good qualitative description of the suppression of strong-coupling parameters for  $^3\text{He}$  in aerogel as measured by the field dependence of the AB transition.<sup>3</sup>

A theoretical calculation of the  $\beta$  parameters that includes some of the effects of aerogel scattering on the intermediate states of the strong-coupling self-energies was carried out by Baramidze and Kharadze<sup>16</sup> within the spin-fluctuation feedback theory of Brinkman and Anderson. This calculation predicts a suppression of strong-coupling effects with increased disorder, but at a rate that is slower than that predicted just on the basis of the suppression of  $T_c$ . We can use the results of Ref. 16 to estimate the strong-coupling correction to the predicted  $A_2$  transition for  $^3\text{He}$  in aerogel. The results of Ref. 16 depend on a high-energy vertex, which we determine by comparison with the magnitude of strong-coupling ratio  $r_\beta = \beta_{245}/(-\beta_5)$  for pure  $^3\text{He}$ . In particular, we fix the ratio  $\delta\beta^{\text{sc}}/\beta^{\text{wc}}$  for pure  $^3\text{He}$ , using the measured value of  $r_\beta$ :  $(1/2)\delta\beta^{\text{sc}}/\beta^{\text{wc}} = (1-r_\beta)/(1+r_\beta)$ . Then, the impurity corrections to the strong-coupling  $\beta$  parameters calculated in Ref. 16 give  $\bar{r}_\beta = [1 - (1/2)\delta\bar{\beta}^{\text{sc}}/\bar{\beta}^{\text{wc}}]/[1 + (1/2)\delta\bar{\beta}^{\text{sc}}/\bar{\beta}^{\text{wc}}]$  where<sup>30</sup>

$$\frac{\delta\bar{\beta}^{\text{sc}}}{\bar{\beta}^{\text{wc}}} = \frac{\delta\beta^{\text{sc}}}{\beta^{\text{wc}}} \left( \frac{T_c}{T_{c0}} \right) \left( \frac{S_2(x_c)/S_2(0)}{S_3(x_c)/S_3(0)} \right). \quad (11)$$

To leading order in the pair-breaking parameter,  $\delta\bar{\beta}^{\text{sc}}/\bar{\beta}^{\text{wc}} \approx (\delta\beta^{\text{sc}}/\beta^{\text{wc}})(1 - ax_c)$ . Based on Eq. (10),  $a \approx 2.47$ . The rate of suppression is reduced to  $a \approx 1.28$  based on Eq. (11). In what follows we use Eq. (11) to estimate the suppression of the strong-coupling correction for  $T_c^{A_2}$ . This correction turns out to be small and relatively unimportant on the scale of corrections that are required to explain the lack of the  $A_1$ - $A_2$  splitting for  $B \leq 5$  kG.

Finally, before discussing the effects of the liquid-solid exchange coupling, we consider a simplified version of an *inhomogeneous* scattering model discussed in Ref. 13 that incorporates correlations of the aerogel. The length scale at which aerogel reveals inhomogeneity,  $\xi_a \sim 30$ – $100$  nm, is typically comparable to the pair correlation length,  $\xi$ , and has a substantial effect on the transition temperature of  $^3\text{He}$  in aerogel, particularly at high pressures. The inhomogeneity of the aerogel on scales  $\xi_a \sim \xi$  leads to higher superfluid transition temperatures than predicted by the HSM with the same quasiparticle mean free path. Regions of lower aerogel density, of size of order  $\xi_a$ , are available for formation of the

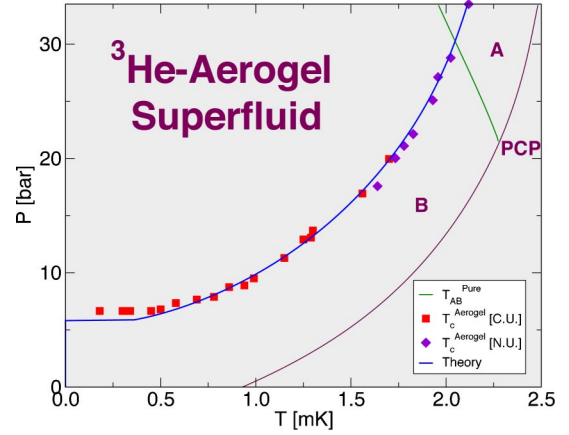


FIG. 1. (Color online) The phase diagram for  $^3\text{He}$  in 98% aerogel. The data are from Refs. 4 and 25. The theoretical curve is calculated from  $\bar{\alpha}(T_c) = 0$  using Eq. (4) in zero field with the effective pair-breaking parameter  $\tilde{x}$  evaluated with  $\xi_a = 502$  Å and  $\ell = 1400$  Å. The phase boundaries for pure  $^3\text{He}$  are shown for comparison.

condensate. Thus, the qualitative picture is that of a random distribution of low density regions, “voids,” with a typical length scale  $\xi_a$  in an aerogel with a quasiparticle mean free path,  $\ell$ . When  $\xi \sim \xi_a \ll \ell$ , the superfluid transition is determined by the pairbreaking effects of dense regions surrounding the voids, and scales as  $\delta T_c/T_{c0} \propto -(\xi/\xi_a)^2$ . However, when the pair size is much larger than  $\xi_a$  the aerogel is effectively homogeneous on the scale of the pairs and pair-breaking results from homogeneous scattering defined by the transport mean free path, which scales as  $\delta T_c/T_{c0} \propto -(\xi/\ell)$ . This latter limit is achieved at low pressures. We incorporate the correlation effect by introducing an effective pairbreaking parameter in Eq. (4) that interpolates between these two limits,  $x \rightarrow \tilde{x} = x/(1 + \zeta_a^2/x)$ , where  $\zeta_a \equiv \xi_a/\ell$ . This heuristic treatment of aerogel correlations provides a good description of the pressure dependence of  $T_c$  in zero field for  $^3\text{He}$  in aerogel over the whole pressure range, as shown in Fig. 1 for  $\ell = 1400$  Å and  $\xi_a = 502$  Å. Alternatively, we can adjust the mean free path  $\ell$  with pressure in order to simulate the correlation effect on  $T_c$ . However, we prefer to identify  $\ell$  with the pressure-independent geometric mean free path and introduce aerogel correlation effects via the effective pair-breaking parameter  $\tilde{x}$ . In either scenario, the GL theory for  $^3\text{He}$  in aerogel predicts transitions for the  $A_1$  and  $A_2$  phases, which correspond to the condensation of  $\uparrow\uparrow$  and  $\downarrow\downarrow$  Cooper pairs as in pure  $^3\text{He}$ ; the transition temperatures are of the same form,

$$T_c^{A_1} = T_c + \bar{\lambda}^{A_1} B, \quad T_c^{A_2} = T_c - \bar{\lambda}^{A_2} B, \quad (12)$$

but with renormalized parameters,

$$\bar{\lambda}^{A_1} = \lambda^{A_1} \left( \frac{T_c}{T_{c0}} \right) [1 + 2\tilde{x}' S_2(\tilde{x}_c)], \quad \bar{\lambda}^{A_2} = \bar{r}_\beta \bar{\lambda}^{A_1}, \quad (13)$$

where  $\tilde{x}' \equiv T_c d\tilde{x}_c/dT_c$ , and  $\bar{r}_\beta = \bar{\beta}_{245}/(-\bar{\beta}_5)$  is calculated including both impurity scattering and strong-coupling corrections as described above. These results predict the  $A_1$ - $A_2$

splitting of  $\Delta T_c^{A_1-A_2}/B = (\bar{\chi}^{A_1} + \bar{\chi}^{A_2}) \approx 6.3 \mu\text{K}/\text{kG}$  at  $p = 33.4$  bars, comparable to that of pure  $^3\text{He}$ . There is currently no experimental evidence of the  $A_1$ - $A_2$  splitting in  $^3\text{He}$  aerogel. Since the width of the transition is less than  $20 \mu\text{K}$ , inhomogeneities within the aerogel cannot account for the absence of the  $A_1$ - $A_2$  splitting.

For  $^3\text{He}$  in aerogel an additional mechanism contributing to the splitting of the  $\uparrow\uparrow$  and  $\downarrow\downarrow$  transitions is possible.<sup>22</sup> It originates from an exchange coupling between liquid  $^3\text{He}$  quasiparticles and the surface  $^3\text{He}$  spins adsorbed on the silica structure. Such a surface solid of  $^3\text{He}$  has been observed for  $^3\text{He}$  impregnated into silica aerogel. The signature is a Curie-like susceptibility  $\chi_S = C/(T - \Theta_S)$  with a Curie temperature,  $\Theta_S \approx 0.4 \text{ mK}$ .<sup>21</sup>

Thus, the model for scattering of quasiparticles by aerogel that we adopt is a modified version of the scattering model described above which includes an exchange coupling between  $^3\text{He}$  quasiparticles in the liquid and localized  $^3\text{He}$  atoms bound to the silica aerogel structure. This coupling is described by an exchange term in the quasiparticle-impurity potential,

$$u = u_0 + \mathbf{J}\mathbf{S} \cdot \boldsymbol{\sigma}, \quad (14)$$

where  $J$  is the liquid-solid exchange coupling,  $\mathbf{S}$  is the localized  $^3\text{He}$  spin operator, and  $\boldsymbol{\sigma}$  is the Pauli spin operator for the  $^3\text{He}$  quasiparticles. There are no direct measurements of  $J$  for  $^3\text{He}$  on aerogel, and theoretical calculations for  $^3\text{He}$  on planar substrates give indirect exchange interactions that vary over a wide range of values,  $J_{\text{ind}} \sim 0.1 \mu\text{K} - 1.0 \text{ mK}$ , and may be either ferromagnetic or antiferromagnetic depending on the specific mechanism and details of the theoretical model (cf. Ref. 26).

In a magnetic field  $\mathbf{B} = -B\hat{\mathbf{z}}$  the solid  $^3\text{He}$  spins are polarized,  $\mathbf{S} = S(T, B)\hat{\mathbf{z}}$ , with  $S(T, B) = \mathcal{P}(B, T)\mathbf{s}$ , where  $0 \leq \mathcal{P} \leq 1$  is the fractional polarization and  $\mathbf{s} = (1/2)$ . For sufficiently low fields, and temperatures well above the ordering temperature for the solid  $^3\text{He}$  spins, the polarization is expected to be linear in field with  $\mathcal{P}(B, T) \approx |\mu|B/k_B T$ . In this limit the  $A_1$ - $A_2$  splitting is given by Eqs. (12) and (13), but with  $\bar{\chi}^{A_1} \rightarrow \bar{\chi}^{A_1} + \lambda_J$ , where  $\lambda_J$  represents the effect of the surface polarization and exchange coupling on the transition temperatures for  $\uparrow\uparrow$  vs  $\downarrow\downarrow$  pairs. The polarization-induced splitting  $\lambda_J \propto J$  depends on the sign of the exchange coupling. Thus, this term may either enhance or reduce the intrinsic splitting  $\bar{\chi}^{A_1}$ . In what follows we calculate the exchange splitting  $\lambda_J$  and discuss the result in relation to the existing data for  $T_c$ .

To calculate the liquid-solid exchange contribution to the  $A_1$ - $A_2$  splitting we use the quasiclassical theory of superfluid  $^3\text{He}$ ,<sup>27</sup> with effects of scattering by the aerogel described by the HSM,<sup>13</sup> modified to include the exchange coupling in Eq. (14). The instability temperatures for  $\uparrow\uparrow$  and  $\downarrow\downarrow$  Cooper pairs are obtained by solving the weak-coupling gap equation for the spin-triplet components of the order parameter,

$$\frac{1}{3} \ln(T/T_{c0}) \Delta(\hat{\mathbf{p}}) = T \sum_{\varepsilon_n} \int \frac{d\Omega_{\hat{\mathbf{p}}'}}{4\pi} (\hat{\mathbf{p}} \cdot \hat{\mathbf{p}}') \times \left( \mathbf{f}(\hat{\mathbf{p}}'; \varepsilon_n) - \pi \frac{\Delta(\hat{\mathbf{p}}')}{|\varepsilon_n|} \right), \quad (15)$$

where  $\mathbf{f}(\hat{\mathbf{p}}; \varepsilon_n) = (f_1, f_2, f_3)$  are the ‘‘pair’’ amplitudes for the three spin-triplet states:  $f_{\uparrow\downarrow} = f_3$ ,  $f_{\uparrow\uparrow} = (-f_1 + if_2)$ , and  $f_{\downarrow\downarrow} = (f_1 + if_2)$ . The pairing interaction and density of states at the Fermi level, as well as the cutoff, have already been adsorbed into  $T_{c0}$ .

The scattering of quasiparticles off the aerogel structure is described by a random distribution of scattering centers (‘‘impurities’’). The impurity self-energy, to leading order in  $\hbar/\tau E_f$ , is determined by a  $t$  matrix for multiple scattering by a single impurity and the mean density of impurities,

$$\hat{\Sigma}_{\text{imp}}(\hat{\mathbf{p}}; \varepsilon_n) = n_s \hat{t}(\hat{\mathbf{p}}, \hat{\mathbf{g}}; \varepsilon_n). \quad (16)$$

The model for scattering of quasiparticles by aerogel that we adopt is described by an isotropic, nonmagnetic scattering amplitude  $u_0$  and an exchange term in the quasiparticle-impurity scattering potential; in  $4 \times 4$  Nambu representation  $\hat{u} = u_0 \hat{1} + \mathbf{J}\mathbf{S} \hat{\Sigma}_z$ , where  $\hat{\Sigma} = (\hat{1} + \hat{\tau}_3) \boldsymbol{\sigma}/2 + (\hat{1} - \hat{\tau}_3) \boldsymbol{\sigma}^t/2$  is the Nambu representation for the quasiparticle spin. For simplicity we also assume  $J$  to be isotropic. The  $t$  matrix for repeated scattering of quasiparticles off a random distribution of these polarized scattering centers is

$$\hat{t} = \hat{u} + N_f \hat{u} \langle \hat{\mathbf{g}} \rangle \hat{t}, \quad (17)$$

where  $\langle \hat{\mathbf{g}} \rangle$  is the Fermi-surface-averaged propagator. For normal  $^3\text{He}$  in aerogel and even in the presence of magnetic fields and magnetic scattering, the propagator reduces to  $\hat{\mathbf{g}}_{\mathbf{N}} = -i\pi \text{sgn}(\varepsilon_n) \hat{\tau}_3$ . Thus, the solution to the scattering  $t$  matrix is given by

$$\hat{t} = \frac{1}{\pi N_f} (\hat{1} + i s_\varepsilon \hat{u} \hat{\tau}_3)^{-1} \hat{u}, \quad (18)$$

where  $s_\varepsilon = \text{sgn}(\varepsilon_n)$ , and the dimensionless scattering potential is  $\hat{u} = u\hat{1} + \mathbf{v}\hat{\Sigma}_z$ , with  $u = \pi N_f u_0$ ,  $\mathbf{v} = \pi N_f \mathbf{J}\mathbf{S}$ .

For nonmagnetic scattering ( $\mathbf{S} = 0$ ) the  $t$  matrix is parametrized by the  $s$ -wave scattering phase shift,  $\delta_0 = \tan^{-1}(u)$ ,

$$\hat{t} = \frac{1}{\pi N_f} \sin \delta_0 e^{-i s_\varepsilon \delta_0 \hat{\tau}_3}. \quad (19)$$

In this minimal model for aerogel scattering, the mean density of impurities and scattering rate for normal quasiparticles are fixed by the mean free path  $\ell$  and scattering cross section  $\sigma$ ,

$$n_s = \frac{1}{\sigma \ell}, \quad \text{with} \quad \sigma = \frac{4\pi \hbar^2}{p_f^2} \bar{\sigma}_0, \quad (20)$$

where the normalized cross section is related to the scattering potential by

$$\bar{\sigma}_0 = \frac{u^2}{1+u^2}. \quad (21)$$

Note that  $\bar{\sigma}_0 \rightarrow 0$  is the Born scattering limit, while  $\bar{\sigma}_0 \rightarrow 1$  is the unitary limit.

When  $S \neq 0$  there are different phase shifts for the scattering of  $\uparrow$  (+) and  $\downarrow$  (-) spin quasiparticles, which we parametrize as

$$\delta^\pm = \delta_0 \pm \Delta \delta. \quad (22)$$

The  $t$  matrix can now be expressed as

$$\hat{t} = \frac{1}{\pi N_f} \{ \sin \delta_0 \cos(\Delta \delta) \hat{1} + \cos \delta_0 \sin(\Delta \delta) \hat{\Sigma}_z \} \\ \times e^{-is_\epsilon \delta_0 \hat{\tau}_3} e^{-is_\epsilon \Delta \delta \hat{\Sigma}_z \hat{\tau}_3}. \quad (23)$$

The quasiparticle-impurity scattering rates for  $\uparrow$  and  $\downarrow$  quasiparticles are calculated from the retarded self-energy  $\hat{\Sigma}_{\text{imp}}^R = n_s \hat{t}^R$ , obtained from Eq. (23) by setting  $s_\epsilon = +$ . Thus, for quasiparticles the self-energy for spin  $\sigma_z = \uparrow$  and  $\sigma_z = \downarrow$  becomes

$$\Sigma_{\uparrow, \downarrow}^R = \Gamma_N \sin \delta_{\uparrow, \downarrow} (\cos \delta_{\uparrow, \downarrow} - i \sin \delta_{\uparrow, \downarrow}), \quad (24)$$

where  $\Gamma_N = n_s / \pi N_f$ . The scattering rates for  $\uparrow$  and  $\downarrow$  spin quasiparticles are then

$$\frac{1}{2\tau_\pm} = \Gamma_N \sin^2 \delta^\pm = \Gamma_N \bar{\sigma}_\pm = \Gamma_N \frac{(u \pm v)^2}{1 + (u \pm v)^2}, \quad (25)$$

where  $\bar{\sigma}_\pm$  is the dimensionless cross section for scattering of  $\uparrow$  vs  $\downarrow$  spin quasiparticles. In both the unitary ( $\delta_0 \rightarrow \pi/2$ ) and the Born ( $\delta_0 \rightarrow 0$ ) limits, the  $\uparrow$  and  $\downarrow$  spin scattering rates are equivalent,

$$\frac{1}{2\tau_\pm} \rightarrow \begin{cases} \Gamma_N \cos^2(\Delta \delta), & \delta_0 = \pi/2 \\ \Gamma_N \sin^2(\Delta \delta), & \delta_0 = 0. \end{cases} \quad (26)$$

Only when  $\delta_0 \neq 0, \pi$  is the scattering rate for  $\uparrow$  and  $\downarrow$  spin quasiparticles differ. In general, we can parametrize the scattering rates as

$$\frac{1}{\tau^\pm} = \frac{1}{\tau} \pm \frac{1}{\tau_S}, \quad (27)$$

or equivalently,

$$\frac{1}{\tau_S} = \frac{1}{\tau} \left( \frac{\bar{\sigma}_+ - \bar{\sigma}_-}{\bar{\sigma}_+ + \bar{\sigma}_-} \right), \quad (28)$$

where  $1/\bar{\tau}$  is the polarization-independent scattering rate. It is convenient to express the normal-state self-energy in terms of base particle-hole matrices,

$$\hat{\Sigma}_N = \Sigma_{11} \hat{1} + \Sigma_{13} \hat{\Sigma}_z + \Sigma_{31} \hat{\tau}_3 + \Sigma_{33} \hat{\tau}_3 \hat{\Sigma}_z, \quad (29)$$

with components

$$\Sigma_{11} = +\frac{1}{2} \Gamma_N \sin(2\delta_0) \cos(\Delta \delta), \quad (30)$$

$$\Sigma_{13} = +\frac{1}{2} \Gamma_N \cos(2\delta_0) \sin(\Delta \delta), \quad (31)$$

$$\Sigma_{31} = -\frac{i}{2} \Gamma_N s_\epsilon [1 - \cos(2\delta_0) \cos(2\Delta \delta)], \quad (32)$$

$$\Sigma_{33} = -\frac{i}{2} \Gamma_N s_\epsilon \sin(2\delta_0) \sin(2\Delta \delta). \quad (33)$$

To calculate the instability temperatures for  $\uparrow\uparrow$  and  $\downarrow\downarrow$  pairs we need the off-diagonal propagator to linear order in the pairing self-energy. Thus, we expand the transport equation, self-energies, and normalization condition in powers of  $\hat{\Delta}$ . The zeroth-order terms are the normal-state propagator and self-energy [Eq. (29)]. To first order we obtain<sup>27</sup>

$$[i\epsilon_n \hat{\tau}_3 - \hat{\Sigma}_N, \hat{g}^{(1)}] = [\hat{\Delta}, \hat{g}_N], \quad (34)$$

and  $\hat{\tau}_3 \hat{g}^{(1)} + \hat{g}^{(1)} \hat{\tau}_3 = 0$  from the normalization condition. We reduce the equations to  $2 \times 2$  spin space by writing  $\hat{H}_N = i\epsilon_n \hat{\tau}_3 - \hat{\Sigma}_N = (1/2)(\hat{1} + \hat{\tau}_3)H_N + (1/2)(\hat{1} - \hat{\tau}_3)\bar{H}_N$  with  $H_N = i\epsilon_n - \Sigma_N$  and  $\bar{H}_N = -i\epsilon_n - \bar{\Sigma}_N$ . Note that  $\hat{g}^{(1)}$  is purely off-diagonal with the upper-right pair amplitude satisfying the equation in spin space,

$$H_N f^{(1)} - f^{(1)} \bar{H}_N = 2i\pi \text{sgn}(\epsilon_n) \Delta. \quad (35)$$

Projecting out the spin-triplet components, we obtain ( $i\epsilon_n - \Sigma_\pm$ )  $f_\pm = i\pi \text{sgn}(\epsilon_n) \Delta_\pm$  with  $\Sigma_\pm = \Sigma_{31} \pm \Sigma_{33} = -i \text{sgn}(\epsilon_n) / 2\tau_\pm$ , and for  $f_{\uparrow\uparrow(\downarrow\downarrow)} \equiv f_{+(-)} = \mp f_1 + i f_2$ ,

$$f_\pm = \frac{\pi \Delta_\pm}{|\epsilon_n| + 1/2\tau_\pm}. \quad (36)$$

The linearized gap equations for  $\Delta_\pm$  are given by Eq. (15) with  $\mathbf{f} \rightarrow f^\pm$ ,  $\mathbf{\Delta} \rightarrow \Delta^\pm$ , and  $T \rightarrow T_c^\pm$ . For nonunitary, axial states

$$\Delta_\pm(\hat{\mathbf{p}}) = \mathbf{d}_\pm(\hat{p}_x + i\hat{p}_y) / \sqrt{2}, \quad (37)$$

the eigenvalue equation for  $\mathbf{d}_\pm$  yields the weak-coupling equation for the instability temperatures  $T_c^\pm$ . In the absence of the polarization, the aerogel transition temperature is given by

$$\ln(T_c/T_{c0}) = 2S_1(x_c), \quad (38)$$

where  $x_c = 1/2\pi\tau T_c$ , and the spin-independent rate for quasiparticles scattering off the aerogel is given by

$$\frac{1}{2\tau} = \Gamma_N \sin^2 \delta_0 \equiv \Gamma_N \bar{\sigma}_0. \quad (39)$$

In the presence of a liquid-solid exchange coupling, and polarization of the solid  $^3\text{He}$ , the instability temperatures for the  $\uparrow\uparrow$  and  $\downarrow\downarrow$  condensates are given by

$$\ln(T_c^\pm/T_{c0}) = 2S_1(x^\pm), \quad (40)$$

where  $x^\pm \equiv 1/2\pi\tau_\pm T_c^\pm$ . For  $u_0 \neq 0$ , the leading-order polarization correction to the scattering cross sections gives

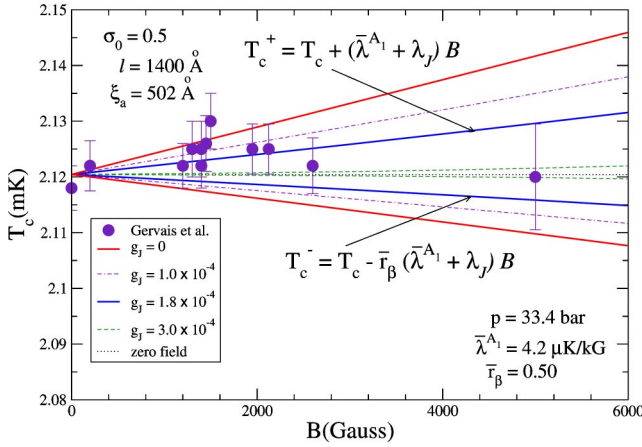


FIG. 2. (Color online) The low-field (linear) splitting of  $T_c$  with magnetic field for  $^3\text{He}$  in aerogel with a mean free path of  $\ell = 140$  nm, a correlation length of  $\xi_a = 50$  nm and a typical cross section  $\bar{\sigma}_0 = 1/2$ . The splitting for  $^3\text{He}$  aerogel without liquid-solid exchange is indicated by the solid (red) lines. The data points are taken from Ref. 25.

$$\frac{1}{\tau} = \frac{1}{\tau_0}, \quad \frac{1}{2\tau_S} = 2n_s JS(T, B) \sqrt{\bar{\sigma}_0} (1 - \bar{\sigma}_0)^{3/2}. \quad (41)$$

In the low-field region, and above the magnetic ordering temperature,  $\mathcal{P} = |\mu|B/k_B T_c$ , and we obtain

$$\lambda_J = g_J \left( \frac{|\mu|}{k_B} \right) \left( \frac{(1 - \bar{\sigma}_0)^{3/2}}{\sqrt{\bar{\sigma}_0}} \right) \left( \frac{-2x_c S_2(x_c)}{1 - 2x_c S_2(x_c)} \right), \quad (42)$$

where  $x_c = (\xi_0/\ell)(T_{c0}/T_c)$ , and the dimensionless exchange coupling is

$$g_J = 2\pi N_f JS. \quad (43)$$

Note the impurity-induced exchange splitting vanishes in the unitary limit.<sup>31</sup> Equation (42) is easily generalized to include aerogel correlations within the heuristic ‘‘random void’’ model described above; the result for  $\lambda_J$  has the same form as Eq. (42), but with  $-x_c S_2(x_c) \rightarrow \tilde{x}'_c S_2(\tilde{x}'_c)$ , where  $\tilde{x}'_c = x_c^2/(x_c + \xi_a^2)$ ,  $x_c = 1/2\pi\tau T_c$ , and  $\tilde{x}'_c \equiv T_c d\tilde{x}'_c/dT_c$ .

The effects of the liquid-solid exchange coupling  $g_J$  and the polarization of the solid  $^3\text{He}$  coating the aerogel strands on the  $A_1$ - $A_2$  splitting are shown in Fig. 2, and compared with measurements of the superfluid transition in 98% aerogel reported in Ref. 25; these authors found no evidence of the  $A_1$ - $A_2$  splitting for fields up to  $B = 5$  kG. The data for the superfluid transition of  $^3\text{He}$  in 98% aerogel for fields up to  $B = 5$  kG are shown in Fig. 2. The error bars are conservative estimates of the uncertainty in defining  $T_c$ ; the experiment shows no evidence of a splitting to within the error of determining  $T_c$ , and consequently we can assume that the splitting to be less than the error bars for  $T_c$ .<sup>32</sup>

The calculation of the  $A_1$ - $A_2$  splitting includes aerogel correlations, which are most important at high pressures. Both the mean free path  $\ell$  and the aerogel correlation length  $\xi_a$  contribute. The values of  $\ell = 1400$  Å and  $\xi_a = 502$  Å cor-

respond to  $T_c = 2.12$  mK at  $p = 33.4$  bars and yield close agreement with  $T_c(p)$  over the full pressure range. The dimensionless cross section  $\bar{\sigma}_0$  is not known with any certainty; there is likely a distribution of cross-sections provided by the aerogel. In the absence of detailed knowledge we assume an average value of  $\bar{\sigma}_0 = 1/2$ . The values of  $\lambda^{A_1}$  and  $\lambda^{A_2}$  for pure  $^3\text{He}$ , and thus the strong-coupling parameter  $r_\beta$  are taken from Ref. 6. The effects of nonmagnetic scattering by aerogel lead to small corrections for  $\bar{\lambda}^{A_1}$  and  $\bar{\lambda}^{A_2}$ ; these terms alone (shown in Fig. 2 as  $g_J = 0$ ) generate the  $A_1$ - $A_2$  splitting that is substantially larger ( $\approx \times 2$ ) than the error reported for the superfluid  $^3\text{He}$  transition in Ref. 5. An anti-ferromagnetic exchange coupling ( $g_J > 0$ ) decreases the  $A_1$ - $A_2$  splitting. The magnitude of the predicted splitting is reduced to lie within the error bars for  $T_c$  for  $g_J = 1.8 \times 10^{-4}$ , which corresponds to an exchange coupling of  $J \approx 0.1$  mK per liquid  $^3\text{He}$  spin.

The existing data, while suggestive that the liquid-solid layer coupling may be playing an important role in suppressing the  $A_1$ - $A_2$  splitting are not conclusive. If scattering by polarized  $^3\text{He}$  is responsible for the suppressed  $A_1$ - $A_2$  splitting for pure  $^3\text{He}$  in aerogel, then heat capacity or acoustic attenuation measurements with  $^4\text{He}$  added to displace the solid  $^3\text{He}$ , should exhibit the  $A_1$ - $A_2$  splitting that is comparable to that of pure bulk  $^3\text{He}$ . Measurements of Sprague *et al.*<sup>21</sup> at  $p = 18.7$  bars and at  $B = 1.47$  kG do show an increase in  $T_c$  from 1.69 mK without  $^4\text{He}$  coverage to 1.76 mK with the addition of one monolayer of  $^4\text{He}$  to remove the solid  $^3\text{He}$ ; thus,  $\Delta T_c \approx 70$  μK. By comparison, if we suppress the polarization component of the scattering rate in our theoretical calculation we obtain an increase in  $T_c$  from the conventional component of the  $A_1$ - $A_2$  splitting of  $\Delta T_c^{A_1-A_2} = 3.1$  μK/kG  $B \approx 4.6$  μK, which is more than an order of magnitude smaller than the change in  $T_c$  observed by

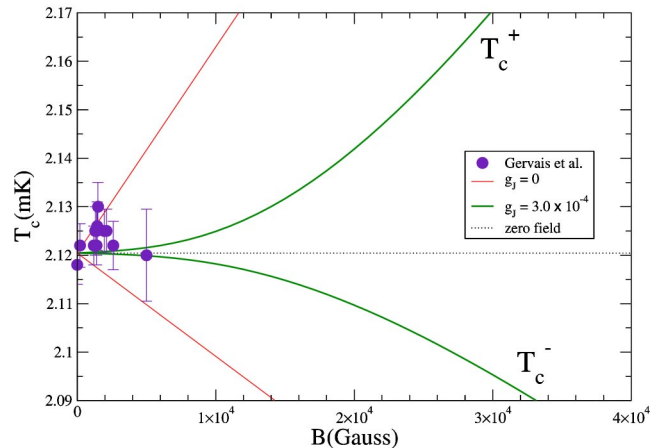


FIG. 3. (Color online) The field-evolution of the splitting of  $T_c$  for  $^3\text{He}$  in aerogel with the same parameters as those used in Fig. 2. The linear field splitting expected in the absence of polarized solid  $^3\text{He}$  is indicated by the solid (red) lines. The nonlinear field evolution of the splitting is indicated by the thick (green) lines and corresponds to the value of  $g_J = 3.0 \times 10^{-4}$ . The Curie temperature is taken from Ref. 19,  $\Theta_S \approx 0.4$  mK, and the exchange field is  $B_S = k_B \Theta_S / |\mu| \approx 5.14$  kG. The data points are from Ref. 25.

adding  $^4\text{He}$ . Thus, the addition of  $^4\text{He}$  also modifies the nonmagnetic contribution to the pairbreaking, and this effect is dominant at these low fields.

Measurements on pure  $^3\text{He}$  in aerogel at higher fields should not suffer from this problem and should be able to resolve some or all of the uncertainty in the mechanism suppressing the  $A_1$ - $A_2$  splitting at low fields. In particular, if an exchange coupling,  $J \approx 0.1$ – $0.2$  mK is responsible for the suppressed  $A_1$ - $A_2$  splitting at  $B \leq 5$  kG, then for higher fields,  $B \gg B_S = k_B \Theta_S / |\mu| \approx 5$  kG the polarization of the solid  $^3\text{He}$  should saturate, producing a field-independent shift from scattering off the polarized  $^3\text{He}$ , and the  $A_1$ - $A_2$  splitting that increases with field, for  $B \gg B_S$ , at a rate comparable to that for pure  $^3\text{He}$ .

The Curie temperature for the solid  $^3\text{He}$  provides the temperature and field scale for the polarization, i.e.,  $\mathcal{P}(B/B_S, T/\Theta_S)$ . In order to estimate the field dependence of the  $A_1$ - $A_2$  splitting at higher fields we use the mean-field theory for the  $s = 1/2$ , near-neighbor Heisenberg ferromagnet to calculate the polarization.<sup>28</sup> The result is shown in Fig. 3 for the same parameters used to obtain the low-field suppression of the  $A_1$ - $A_2$  splitting shown in Fig. 2. Thus, even if fully suppressed at low fields  $B \lesssim B_S$  the  $A_1$ - $A_2$  splitting should emerge for fields above  $B \approx 20$  kG.

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- <sup>28</sup>N.W. Ashcroft and N.D. Mermin, *Solid State Physics* (Holt, Rinehart, and Winston, New York, 1975).
- <sup>29</sup>The  $A_1$  phase corresponds to pairs of  $^3\text{He}$  quasiparticles with their magnetic moments aligned along the field. We follow the notation of Ref. 23 and define the quantization axis for the spin to be  $\hat{z} \parallel -\mathbf{B}$  to compensate for the negative gyromagnetic ratio of  $^3\text{He}$ .
- <sup>30</sup>This is the result for  $\bar{\sigma}_0 = 1/2$ . There is a correction to the third term on the right side of Eq. (11) for  $\bar{\sigma}_0 \neq 1/2$ .
- <sup>31</sup>The splitting also vanishes in the Born limit; although Eq. (42) is not valid in the Born limit since it is based on an expansion of  $J/u_0$ . Nevertheless, in the limit  $u_0 = 0$ , i.e., with only pure exchange coupling the cross sections for  $\uparrow$  and  $\downarrow$  spin scattering are equal and the splitting vanishes.
- <sup>32</sup>W.P. Halperin (private communication).