First-principles calculation of the electron inelastic mean free path in Be metal

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The Be electron inelastic mean free path (IMFP) is calculated by using the *GW* approximation of many-body theory. It is demonstrated that the inclusion of band structure effects significantly improves the agreement between the calculated and measured IMFP in the energy range up to ~ 30 eV. We show that the main effect results from the electron group velocity obtained from *ab initio* band structure calculations, whereas the evaluated linewidth averaged over momenta is not significantly affected with respect to the prediction of a free electron gas model. The comparison of the IMFP computed within two models, namely, the free electron gas model and the full band structure model, supports the idea of the importance of band structure effects for the description of electron transport in this metal for energies below 30 eV, and a nearly free-electron-like behavior for excitation energies above 30 eV. The calculated plasmon dispersion is in excellent agreement with available experimental data.

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I. INTRODUCTION

The electron inelastic mean free path (IMFP) is of great importance for an analysis of data of various spectroscopies such as energy loss spectroscopy, low energy electron diffraction, photoemission spectroscopy, two-photon photoemission, and time-resolved two-photon photoemission, and a lot of experimental and theoretical work on this subject has been done during the last several decades (see, for instance, Refs. 1 and 2). The inelastic mean free path of the electron quasiparticle is defined as a distance that an excited electron covers in the lifetime τ which sets the duration of the excitation, i.e., the IMFP λ is the product of the inelastic lifetime τ and the group velocity v. First calculations of τ and λ were performed by Quinn and Ferrell³ in a degenerate electron gas model within the self-energy formalism of manybody theory. Later these evaluations were extended to include, within the first-Born and random-phase approximations, energies far away from the Fermi surface.^{4,5} Since then, many calculations of the IMFP and electronelectron scattering rates (inverse lifetimes) have been performed with the inclusion of exchange and correlation effects,⁶⁻⁹ chemical potential renormalization,^{10,11} plasmon damping,¹² and core polarizability.¹³ Statistical approximations were also used in the evaluations of the IMFP.^{14,15} Band structure effects, including spin dependence of the IMFP, were discussed in Refs. 16-20. Very recently more sophisticated methods, namely, first-principles band structure methods, have been used to perform ab initio calculations of the inverse lifetime (linewidth) for low energy hot electrons and holes in metals²¹⁻²⁷ and in a large energy interval for silicon.²⁸ The *ab initio GW* evaluations of the IMFP at high energies have also been performed for beryllium chalcogenides.²⁹ As a result of these intensive studies it is believed now that the behavior of the IMFP for high energies (higher than several tens of electronvolts) is well understood and well described in the framework of existing theories.^{1,2}

For example, a theoretical prediction is that for high energies the IMFP in free-electron-like metals is a function of the valence electron density only and has a similar behavior. However, there are indications that even for energies above 30 eV the band structure of some materials is far from nearly-free-electron-like.³⁰ At low energies the IMFP is very sensitive to details of the band structure.²¹⁻²⁶ In particular, the deviations of the IMFP from the free-electron-like prediction at energies around 10 eV found for K and Rb (Ref. 31) were attributed to unoccupied d bands which are situated at these energies.^{27,31} But even more profound discrepancies between the experimental and theoretical IMFPs were found for beryllium.³² Photoemission measurements of Johansson and Sernelius³² showed that the experimental IMFP, λ , has a minimum for energies close to 25 eV, going down to 1.8 Å. The calculations performed within the degenerate free electron gas (FEG) model do not reproduce this minimum. Moreover these evaluations place the theoretical $\lambda(E)$ curve higher than the experimental one by a factor of 3-4 for excitation energies below 30 eV.³² The authors of Ref. 32 proposed several possible explanations of the observed discrepancies between their experimental results and the FEG theory, in particular the following.

(1) Flat or negative dispersion of a bulk plasmon in a real crystal can significantly reduce the measured IMFP.

(2) The experimental IMFP may be reduced by excitation of surface plasmon.

(3) The reduction of the IMFP is produced by excitation of surface states, which play important role in the surfaces of Be. $^{33-36}$

The two last explanations were motivated by the use of the measurement method: the authors of Ref. 32 derived the inelastic mean free path from the measured core level shifts of Be atoms in two surface layers of Be(0001) and Be(10 $\overline{10}$) by using the layer attenuation model.³¹ These core level shifts can be affected, in principle, by a surface collective excitation (surface plasmon) as well as by a single-particle

excitation from the $\overline{\Gamma}$ surface state band on Be(0001) (Refs. 33 and 34) or from the \overline{A} surface state on Be(1010).³⁵ In the present work we show that, in fact, most of the discrepancies between the experiment and the calculation based on the FEG model,³² may be removed by taking explicitly into account the Be bulk band structure. We investigate the plasmon dispersion in bulk Be and demonstrate that although it deviates from the prediction of the FEG model, it does not influence significantly the IMFP in beryllium derived from the FEG model. We demonstrate that the main effect of the band structure on the IMFP comes from the fact that the group velocity in beryllium is significantly lower than that evaluated within the FEG model, thus leading to much better agreement with the experiment. On base of the performed calculations of both the electron lifetime and the group velocity we analize relative importance of band structure effects on IMFP in Be for two energy regions, namely, below and above 30 eV.

The paper is organized as follows. In Sec. II we give the brief description of the self-energy approach of many-body theory for the evaluation of the inverse lifetime (inelastic linewidth of an excited electron). The calculation results are presented and discussed in Sec. III and the conclusions are given in Sec. IV. Unless otherwise stated, atomic units are used throughout, i.e., $e^2 = \hbar = m_e = 1$.

II. CALCULATION METHOD

Band structure calculations yield one-electron states, which are characterized by energies $\varepsilon_{n\mathbf{k}}$ and wave functions $\psi_{n\mathbf{k}}(\mathbf{r})$, where *n* and **k** are the band number and momentum, respectively. To all these one-electron states one can attribute a group velocity $\vec{v}_{n\mathbf{k}}$ defined as³⁷

$$\tilde{v}_{n\mathbf{k}} = \partial \varepsilon_{n\mathbf{k}} / \partial \mathbf{k}$$
 (1)

and the lifetime $\tau_{n\mathbf{k}}$. By using the Heisenberg relation $\tau_{n\mathbf{k}}\Gamma_{n\mathbf{k}}=1$ the linewidth $\Gamma_{n\mathbf{k}}$ of a given electronic state is determined as the inverse lifetime $\tau_{n\mathbf{k}}^{-1}$. Knowing $\vec{v}_{n\mathbf{k}}$ and $\Gamma_{n\mathbf{k}}$, we calculate the inelastic electron mean free path $\lambda_{n\mathbf{k}}$ of a given state as

$$\vec{\lambda}_{n\mathbf{k}} = \vec{v}_{n\mathbf{k}} / \Gamma_{n\mathbf{k}} \,. \tag{2}$$

In the FEG model, where a simple relation between the momentum **k** and the one-electron energy $\varepsilon_{nk} = \mathbf{k}^2/2m$ exists, the group velocity \vec{v}_{nk} and linewidth Γ_{nk} are functions of an absolute value of momentum; i.e., they depend only on a quasiparticle energy, while band structure based theories give the velocity and the electron linewidth as functions of both the energy and momentum. For a comparison with experimental results, one should evaluate λ resolved in energy only. The electron IMFP in bulk Be was obtained in Ref. 32 from measurements of core-level shifts in the first two atomic layers. In such types of experiment, after an excitation from the core-level shells, which form bands with a zero dispersion, excited electrons with the same energy have similar probabilities, in principle, to occupy all one-electron states characterized by different momenta **k**. Therefore, in



FIG. 1. The calculated unoccupied electronic structure of Be along symmetry directions of the BZ. The zero energy value corresponds to the Fermi level.

the present work we assume an equal probability for population of one-electron states with different **k** for the same energy ε . With this assumption we evaluate values of the IMFP, $\lambda_{n\mathbf{k}}$ as a modulus of vector quantity, $\lambda_{n\mathbf{k}} = |\vec{\lambda}_{n\mathbf{k}}|$. Then, for comparison with experiment, we determine IMFP $\lambda(\varepsilon)$ as an average of $\lambda_{n\mathbf{k}}$ over all wave vectors and energy bands with the same excitation energy in the irreducible part of the Brillouin zone (IBZ). After this procedure one obtains the value of the mean free path $\lambda(\varepsilon)$ as a function of electron energy ε only.

For the evaluation of wave functions $\psi_{n\mathbf{k}}(\mathbf{r})$ and oneelectron energies $\varepsilon_{n\mathbf{k}}$ we employ a self-consistent pseudopotential method with the local-density approximation (LDA) for the exchange-correlation potential.³⁸ To describe the Be electron-ion interaction, a norm-conserving pseudopotential³⁹ has been used. The calculations have been performed for a hcp crystal structure with experimental lattice parameters a = 2.2850 Å and c = 3.5847 Å.⁴⁰ In the wave function expansion the plane waves up to $E_{cut} = 24$ Ry have been included. The corresponding unoccupied band structure along high symmetry directions of the Brillouin zone is shown in Fig. 1.

The linewidth $\Gamma_{n\mathbf{k}}$ of the electron state is related to the imaginary part of the electron self-energy $\Sigma_{n\mathbf{k}}$:⁴¹

$$\Gamma_{n\mathbf{k}} = -2 \operatorname{Im} \Sigma_{n\mathbf{k}}, \qquad (3)$$

where Im $\Sigma_{n\mathbf{k}}$ is evaluated as a projection of Im $\Sigma(\mathbf{r}, \mathbf{r}'; \varepsilon_{n\mathbf{k}})$ onto the one-electron state $\psi_{n\mathbf{k}}(\mathbf{r})$,^{42,43}

Im
$$\Sigma_{n\mathbf{k}} = \int \psi_{n\mathbf{k}}^{*}(\mathbf{r}) \operatorname{Im} \Sigma(\mathbf{r},\mathbf{r}';\varepsilon_{n\mathbf{k}}) \psi_{n\mathbf{k}}(\mathbf{r}') d\mathbf{r} d\mathbf{r}'.$$
 (4)

The self-energy $\Sigma(\mathbf{r}, \mathbf{r}'; \varepsilon_{n\mathbf{k}})$ is calculated within the *GW* approximation of many-body theory,⁴² with *W* being the dynamically screened Coulomb interaction, and the Green function *G* replaced by the noninteracting Green function. Thus, for Im Σ , one obtains

$$\operatorname{Im} \Sigma(\mathbf{r}, \mathbf{r}'; \varepsilon_{n\mathbf{k}}) = \sum_{m, \mathbf{q}}^{\varepsilon_{F} < \varepsilon_{m\mathbf{q}} < \varepsilon_{n\mathbf{k}}} \psi_{m\mathbf{q}}^{*}(\mathbf{r}) \operatorname{Im} W(\mathbf{r}, \mathbf{r}'; \Delta E_{n\mathbf{k}, m\mathbf{q}}) \psi_{m\mathbf{q}}(\mathbf{r}'), \quad (5)$$

where the sum is extended over the final states $\psi_{mq}(\mathbf{r})$ with energy ε_{mq} , ε_F is the Fermi energy, and $\Delta E_{n\mathbf{k},m\mathbf{q}} = \varepsilon_{n\mathbf{k}}$ $-\varepsilon_{m\mathbf{q}}$. The screened Coulomb interaction $W(\mathbf{r},\mathbf{r}',\omega)$ is

$$W(\mathbf{r},\mathbf{r}';\omega) = \int \boldsymbol{\epsilon}^{-1}(\mathbf{r},\mathbf{r}'';\omega) V(\mathbf{r}''-\mathbf{r}') d\mathbf{r}''.$$
(6)

Here, $\epsilon^{-1}(\mathbf{r},\mathbf{r}'',\omega)$ and $V(\mathbf{r})$ represent the inverse dielectric function and the bare Coulomb potential, respectively. Within the random phase approximation (RPA) the Fourier transform of the dielectric matrix $\epsilon_{\mathbf{GG}'}(\mathbf{k},\omega)$, where **G** and **G**' are reciprocal lattice vectors, is related to the density-density response function $\chi^0_{\mathbf{GG}'}(\mathbf{k},\omega)$ for a noninteracting electron system,

$$\boldsymbol{\epsilon}_{\mathbf{G}\mathbf{G}'}(\mathbf{k},\boldsymbol{\omega}) = \delta_{\mathbf{G}\mathbf{G}'} - \chi^{0}_{\mathbf{G}\mathbf{G}'}(\mathbf{k},\boldsymbol{\omega}) V_{\mathbf{G}'}(\mathbf{k}), \tag{7}$$

where $V_{\mathbf{G}}(\mathbf{k})$ is the Fourier transform of the bare Coulomb potential:

$$V_{\mathbf{G}}(\mathbf{k}) = \frac{4\,\pi}{|\mathbf{k} + \mathbf{G}|^2} \tag{8}$$

and

$$\chi^{0}_{\mathbf{G}\mathbf{G}'}(\mathbf{k},\omega) = \frac{2}{\Omega} \sum_{\mathbf{q}}^{DZ} \sum_{n,n'} \frac{f_{n\mathbf{q}} - f_{n'\mathbf{k}+\mathbf{q}}}{\varepsilon_{n\mathbf{q}} - \varepsilon_{n'\mathbf{k}+\mathbf{q}} + (\omega + \mathrm{i}\,\eta)} \\ \times \langle \psi_{n\mathbf{q}} | e^{-\mathrm{i}(\mathbf{k}+\mathbf{G})\cdot\mathbf{r}} | \psi_{n'\mathbf{k}+\mathbf{q}} \rangle \\ \times \langle \psi_{n'\mathbf{k}+\mathbf{q}} | e^{\mathrm{i}(\mathbf{k}+\mathbf{G}')\cdot\mathbf{r}} | \psi_{n\mathbf{q}} \rangle.$$
(9)

In Eq. (9) the sums run over the **q** vectors in the first Brillouin zone and over all bands *n* and *n'*. $f_{n\mathbf{q}}$ is the Fermi distribution function, η a positive infinitesimal, and Ω the crystal volume.

As it has been shown by Maddocks *et al.*,⁴⁴ the RPA is a good approximation for calculation of $\epsilon_{GG'}^{-1}(\mathbf{q},\omega)$ for Be, that is a key quantity for evaluation of $\Gamma_{n\mathbf{k}}$. Therefore, in the present work we perform calculations within the RPA.

To evaluate the density-density response function χ^0 , a method of analytical continuation similar to that used in Ref. 44 has been employed. A sampling over the BZ was performed on the mesh of 6912 **q** points. For electron excitation energies under study (below 50 eV), the inclusion of up to 50 energy bands in Eq. (9) has been required.

Using Fourier transforms for all quantities in Eqs. (4) and (5) we obtain

$$\Gamma_{n\mathbf{k}} = 2 \sum_{m\mathbf{q}}^{\varepsilon_{F} < \varepsilon_{m\mathbf{q}} < \varepsilon_{n\mathbf{k}}} \sum_{\mathbf{G},\mathbf{G}'} \operatorname{Im} W_{\mathbf{G}\mathbf{G}'}(\mathbf{k} - \mathbf{q}, \varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{q}}) \times B_{nm}^{*}(\mathbf{k}, \mathbf{q}; \mathbf{G}) B_{nm}(\mathbf{k}, \mathbf{q}; \mathbf{G}'), \qquad (10)$$

where $W_{\mathbf{G}\mathbf{G}'}$ is the Fourier transform of the screened Coulomb interaction $W(\mathbf{r},\mathbf{r}';\omega)$ and $B_{nm}(\mathbf{k},\mathbf{q};\mathbf{G})$ are



FIG. 2. The IMFP dependence on the electron energy. The solid line shows the calculated *ab initio* IMFP, and the dashed line corresponds to the IMFP calculated within the FEG model. The dotted line represents the IMFP with the linewidth obtained from the FEG model and the group velocity from real band structure of Be. The dash-dotted line describes the IMFP with the linewidth derived from first principles and the group velocity taken from the FEG model. Filled circles and squares are the experimental values obtained for the (0001) and ($10\overline{10}$) Be surfaces, respectively.

$$B_{nm}(\mathbf{k},\mathbf{q};\mathbf{G}) \equiv \sum_{\mathbf{G}'} a_n^*(\mathbf{k}+\mathbf{G}+\mathbf{G}') \cdot a_m(\mathbf{q}+\mathbf{G}'), \quad (11)$$

and $a_n(\mathbf{k}+\mathbf{G})$ are the wave function expansion coefficients. In Eq. (10) summing has been performed over 765 q points in the IBZ. To estimate the accuracy which is achieved with this number of q points we have also performed calculations with 425 q points in the IBZ. The results of both the calculations of $\Gamma_{n\mathbf{k}}$ agree within 1%. On the other hand, $\Gamma_{n\mathbf{k}}$ is more sensitive to the number of the reciprocal lattice vectors **G** used in Eq. (10), and final results have been obtained with the use of 40 G vectors. This number ensures a convergence of $\Gamma_{n\mathbf{k}}$ for \mathbf{k} points near the center of the BZ within a few percent. At the same time, for \mathbf{k} near the borders of the BZ the accuracy is $\sim 5\%$. Taking into account every step of our calculations, we estimate the overall accuracy of the evaluated values of $\Gamma_{n\mathbf{k}}$ around 10% (of course, any estimations related to the different level of consideration beyond the GW approximation are not included in this analysis).

III. CALCULATION RESULTS AND DISCUSSION

In Fig. 2 we compare the evaluated IMFP $\lambda(\varepsilon)$ with experimental data.³² To elucidate the role that the group velocity v and the linewidth Γ play in the calculation of λ , in Fig. 2 we show the results of four kinds of our calculations. In the first one (dashed line), both the group velocity and the linewidth were computed within the FEG model. In the second one (dotted line) the FEG model was combined with the first-principles computation results, i.e., the linewidth was obtained from the FEG model and the group velocity from the first-principles results. In the third one (dash-dotted line) the linewidth was derived from the FEG model. Finally, in



FIG. 3. Group velocities obtained from the *ab initio* (solid line) and the FEG models (dashed line) calculations.

the fourth kind of calculations (solid line) both v and Γ were obtained from the first-principles results. The comparison of the theoretical and experimental results demonstrates that the inclusion of the band structure in the calculation dramatically changes the behavior of $\lambda(\varepsilon)$ obtained within the FEG model, and leads to a good agreement with the experimental data in the energy interval from ~15 to ~30 eV. For excitation energies above 30 eV there are only a few experimental points and a significant scatter between them is observed.³² As follows from Fig. 2 the calculated *ab initio* IMFP for energies above 30 eV is systematically lower than the experimental one, although the difference between the calculated and measured curves is comparable with the accuracy of the experiment.

The major effect of the inclusion of band structure in $\lambda(\varepsilon)$ results from the electron velocity v.⁴⁵ In Fig. 3 we show the computed averaged electron group velocity as a function of energy (solid line) and compare it with the free electron group velocity (dashed line) in the energy interval from the Fermi level to 50 eV. The figure demonstrates clearly that the averaged band structure group velocity v_{band} varies slightly around 0.6 a.u. in this energy region while the free electron velocity v_{free} behaves as $(\varepsilon - \varepsilon_F)^{1/2}$, being 1.03 a.u. at the Fermi level ε_F . This distinct energy dependence leads to large differences in the velocities between two models starting from a value of ~2 at ε_F and achieving a value of 3.3 for the ratio v_{free}/v_{band} .

Figure 4 shows the corresponding impact of band structure on $\Gamma(\varepsilon)$. In this figure the full line represents $\Gamma(\varepsilon)$ obtained from the *ab initio* calculation and averaged over the BZ as described above, the dashed line shows $\Gamma(\varepsilon)$ obtained from the FEG model. As follows from a comparison of these two curves, the main effect of the inclusion of the band structure in Γ is the increase (decrease) of Γ for energies below (above) 34 eV. This effect can be attributed to different plasmon dispersions in bulk Be obtained from the first-principles calculations and from the FEG model. In Fig. 5 we show the plasmon dispersion derived from the *ab initio* calculation of $\epsilon^{-1}(\mathbf{k}, \omega)$ along three high symmetry directions ΓM , ΓK , and ΓA of the BZ and compare it with that obtained from the FEG model and with experimental data.^{46,47} As one can see,



FIG. 4. The calculated linewidth: the solid line shows *ab initio* results and the dashed line represents the FEG model linewidth.

the first-principles plasmon dispersion goes lower than that of the FEG model. Therefore the first-principles plasmon curves enter the electron gas continuum, where the electronhole excitations may exist, at substantially lower energies. This plasmon dispersion behavior favors the larger ab initio Γ compared to the FEG model Γ . The evaluated firstprinciples bulk plasmon dispersion in Be is consistent with the experimental results.⁴⁶ Also, the *ab initio* calculation gives clear the directional anisotropy of the plasmon dispersion observed experimentally:⁴⁶ the dispersion in the Γ -A direction (perpendicular to the hexagonal plane) is systematically higher than in the Γ -K and Γ -M directions (the hexagonal plane) for momenta q > 0.3 a.u.⁻¹. One can note that some discrepancy between the experimental and *ab initio* dispersions may be ascribed to the difficulty of extracting the plasmon position from the loss energy spectra since in Be the



FIG. 5. Plasmon dispersion in Be. The *ab initio* dispersion is shown by filled squares (Γ -*A* direction), the filled triangle (Γ -*M* direction), and filled circles (Γ -*K* direction). The FEG model result is shown by the dashed line. Open symbols correspond to the experimental results. The dotted line is the averaged plasmon dispersion obtained from the *ab initio* calculation.

plasmon peaks are severely affected by band structure effects and have a linewidth of the order 3–5 eV.⁴⁶ Thus one can see that taking into account a crystal structure in the calculation of the self-energy leads to an increase of Γ in the energy region below ~30 eV and to a decrease of it for higher energies. This increase of Γ for excitation energies below 30 eV leads to a better agreement with the experiment. However, this effect is of minor importance compared to that introduced by the electron velocities.

Some discrepancy with the experiment for energies higher than $\sim 30 \text{ eV}$ can be attributed to more sophisticated calculations of the self-energy and the use of a more relevant group velocity for these energies. As shown by Shung et al. in the self-energy calculations for the FEG model,⁴⁸ the use of a real part of $\Sigma(\varepsilon)$ for $r_s = 2$ (for Be $r_s = 1.866$) increases the group velocity of electrons and, consequently, increases the IMFP for $\varepsilon > 30$ eV. On the other hand, Fleszar and Hanke have shown for bulk Si that even in a semiconductor the improved calculation of the real part of the self-energy makes electronic bands more similar to a free electron band for energies above 30 eV.²⁸ This effect leads to higher group velocities compared to the LDA case. Therefore, one can expect that for $\varepsilon > 30 \text{ eV}$ the use of the quasiparticle band structure for bulk Be can move up the group velocity curve in Fig. 4, leading to a better agreement with the experiment. The authors of Ref. 28 also showed that the inclusion of vertex corrections in the self-energy can decrease $\text{Im }\Sigma$ for $\varepsilon > 30$ thus leading to better agreement between the theoretical IMFP and the experimental one.

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IV. CONCLUSION

In summary, we have performed a first-principles calculation of the IMFP for bulk beryllium within the PRA. A good agreement with the experimental λ (Ref. 32) has been obtained for energies from ~15 to ~30 eV. For energies ε >30 eV the deviations from the experimental data are comparable with the accuracy of the experiment. We have shown that the inclusion of the group velocity is crucial to account for the experimental IMFP for energies $15 < \varepsilon < 30$ eV. The band structure effects in the Im Σ , being in general of less importance for Im Σ in this energy interval than for the group velocity, are important to obtain better agreement with the experiment. We have also shown that the calculated plasmon peak dispersion is in good agreement with experimental data.^{46,47}

On the basis of the presented results one can interpret the experimental data for the IMFP in Be,³² taking into account only bulk properties without invoking any of its surface features. Perhaps the inclusion of the surface properties of Be could lead to an even better agreement with the experiment.

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unity.

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