

Mesoscopic Stern-Gerlach device to polarize spin currents

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Spin preparation and spin detection are fundamental problems in spintronics and in several solid-state proposals for quantum information processing. Here we propose the mesoscopic equivalent of an optical polarizing beam splitter. This interferometric device uses nondispersive phases (Aharonov-Bohm and Rashba) in order to separate spin-up and spin-down carriers into distinct outputs and thus it is analogous to a Stern-Gerlach apparatus. It can be used both as a spin preparation device and as a spin measuring device by converting spin into charge (orbital) degrees of freedom. An important feature of the proposed spin polarizer is that no ferromagnetic contacts are used.

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One of the most important problems in the newly emerged field of spintronics¹ is to design and build a controlled source of spin-polarized electrons, in particular, when semiconductor-based microcircuits are considered. One possibility is to inject electrons from a ferromagnetic contact, like in the pioneering proposal of Datta and Das.² However, this approach presents some intrinsic obstacles, related mainly to the conductivity mismatch between metals and semiconductors.³⁻⁶ Spin-injection rates of a few percents were in fact reported in experiments based on ferromagnet/semiconductor junctions,^{7,8} though a higher spin-polarized current has been injected in GaAs using a ferromagnetic scanning tunneling microscope tip.⁹ A partial solution to the injection problem (at least at low temperatures) is to use magnetic-semiconductor/semiconductor interfaces,¹⁰⁻¹² for which polarization rates as high as 90% have been achieved.¹⁰ Finally, an additional concern related mainly to spin-based quantum computation devices, is the requirement of a high degree of control on the single-spin dynamics and coherence as well as the possibility of single-spin detection. In order to overcome some of the aforementioned problems, several mechanisms for spin-polarizing devices and filters have been recently proposed.¹³⁻¹⁸

In this paper we present a mesoscopic device equivalent to the optical polarizing beam splitter (PBS). Such a device can be used both to *inject* spin-polarized electrons, with an efficiency as high as 100%, and to *detect* single-electron spins. We will show that our scheme is robust against a large class of perturbations and we will discuss the relevant parameter regimes.

A PBS is a four-terminal device with two inputs (called *modes* and labeled 0 and 1, respectively) and two outputs (0' and 1'). For each input, it transmits one polarization into the same mode and reflects the other polarization into the opposite mode. Thus, an incoming spin up (down) in mode 0 is transmitted (reflected) to the output mode 0' (1') (and similarly for spins incoming in the 1 mode). Let $\sigma = \uparrow, \downarrow$ be the spin degrees of freedom and $k = 0, 1$ be the orbital degrees of freedom (the modes). Then a PBS realizes the following unitary transformation: $|\uparrow; k\rangle \rightarrow |\uparrow; k\rangle$, $|\downarrow; k\rangle \rightarrow |\downarrow; 1-k\rangle$. In the terminology of quantum gates, a PBS is a Controlled-NOT gate in which the spin acts as a "control" for the orbital degrees of freedom (the "target"). Using only one ac-

tive input (say 0), an unpolarized beam of incoming spins is separated into two completely polarized outputs, and the device is thus equivalent to a Stern-Gerlach apparatus.¹⁹

Our four-terminal device is a Mach-Zehnder interferometer (MZI) with a local spin-orbit (Rashba) interaction on the upper arm (the 1 mode) and a global magnetic field generating an Aharonov-Bohm (AB) phase (see Fig. 1). Due to the Rashba effect, spin-up and spin-down carriers will pick up different phases along the upper arm and their interference pattern at the second beam splitter will be different. Choosing an appropriate phase difference we can ensure that at the second beam splitter a spin-up (-down) electron will always exit in the 0' (1') mode with unit probability.²⁰

In order to calculate the transition amplitudes for each mode, we need to know the unitary transformations performed by each component. These are analyzed in the following. A beam splitter acts only on the orbital degrees of freedom and is described by a symmetric $U(2)$ matrix,

$$\text{BS: } |\sigma; k\rangle \rightarrow \cos \theta |\sigma; k\rangle + i \sin \theta |\sigma; 1-k\rangle, \quad (1)$$

where $t \equiv \cos \theta$ ($r \equiv i \sin \theta$) is the transmission (reflection) amplitude (the reflected component acquires a $\pi/2$ phase relative to the transmitted one). We denote by $\theta_{1,2}$ the parameters describing the two beam splitters.

Suppose we have an electron moving with velocity \mathbf{v} in a region with a *static* electric field \mathbf{E} . Then the electron sees an effective magnetic field $\mathbf{B} \sim \mathbf{v} \times \mathbf{E}$ which couples to its spin.

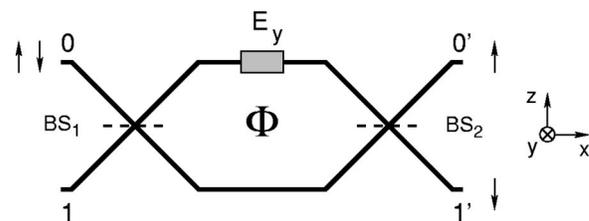


FIG. 1. A sketch of the proposed spin-polarizing beam splitter. Unpolarized spins are injected in mode 0; the 0' (1') output mode contains only spin-up (down) polarized electrons. BS_{1,2} are two beam splitters; a magnetic flux Φ is applied through the interferometer generating an Aharonov-Bohm phase. An electric field E_y is applied locally on the upper arm (the 1-mode) generating a Rashba phase (the gray box).

The spin-orbit (Rashba) Hamiltonian due to this coupling is $H_R \sim (\hat{\mathbf{p}} \times \mathbf{E}) \cdot \vec{\sigma}$. In the mesoscopic context we are considering, the electron is confined to move in the x - z plane of a two-dimensional electron gas (2DEG). We consider an electric field perpendicular to this plane, $\mathbf{E} = (0, E_y, 0)$, which can be controlled by top/bottom gates.^{22,23} Since in the Rashba region the electron is moving in the x direction (see Fig. 1), the Hamiltonian reduces to $H_R = \alpha \hat{p}_x \sigma_z / \hbar$ (α is the spin-orbit coupling which includes the effect of the applied field \mathbf{E}). The corresponding unitary transformation on the electronic wave function is a rotation in the spin space given by $U_R = e^{i\phi_R \sigma_z}$, with $\phi_R = \alpha m^* L / \hbar^2$; m^* is the effective electron mass and L is the length of the Rashba region. Note that the phase shift does not depend on the momentum of the incoming spin, and hence it is nondispersive (this is correct if the interband coupling is negligible, which is true if the channel width $w \ll \hbar^2 / \alpha m^*$).^{2,24} Since it affects only the 1 mode (there is no Rashba coupling on the 0 mode), the transformation can be written as

$$\text{Rashba: } |\uparrow; k\rangle \rightarrow e^{i k \phi_R} |\uparrow; k\rangle, \quad |\downarrow; k\rangle \rightarrow e^{-i k \phi_R} |\downarrow; k\rangle, \quad (2)$$

$k=0,1$. It is important to note that the quantization axis O_z is defined as the in-plane direction perpendicular to the electron's wave vector in the Rashba region. An in-plane rotation of this axis can be viewed as equivalent to a rotation of the O_z axis of a Stern-Gerlach apparatus.

The magnetic flux Φ threading the interferometer generates an Aharonov-Bohm phase, which induces a phase difference in the electronic wave functions between the two arms. Without loss of generality, we choose this phase to be on the upper arm (i.e., mode 1),

$$\text{AB: } |\sigma; 0\rangle \rightarrow |\sigma; 0\rangle, \quad |\sigma; 1\rangle \rightarrow e^{i\phi_{AB}} |\sigma; 1\rangle, \quad (3)$$

where the AB phase is $\phi_{AB} = \Phi / \Phi_0$ ($\Phi_0 = \hbar c / e$). It is important that the AB flux is confined to the center of the interferometer such that $\mathbf{B} = 0$ on the electron's path (otherwise the magnetic field will induce a spin precession).

In the described setup it is essential that carriers are charged particles with spin; the AB (Rashba) Hamiltonian couples to the charge (spin) degrees of freedom. For neutral particles with spin, the interferometer still works, provided that we replace the magnetic flux Φ producing the AB phase with a potential well on one arm; this will induce a phase difference between the two paths, but in this case the phase is dispersive (likewise, the same phase difference can be induced if the two arms have different lengths).

From Eqs. (1)–(3), we can now calculate the unitary transformation (the scattering matrix) performed by the whole device on the electronic wave function. We assume that spins are injected only in one input (say 0) and the other input (e.g., 1) is kept free. Although only one input is used, both of them are required for preserving the unitarity of the device (seen as a Controlled-NOT gate between the spin and the charge¹⁹). Since none of the interactions (1)–(3) flips the spin, the unitary transformation performed by the device is (for simplicity we omit the ' on the output modes): $|\uparrow; 0\rangle \rightarrow t_0^\uparrow |\uparrow; 0\rangle + t_1^\uparrow |\uparrow; 1\rangle$ and $|\downarrow; 0\rangle \rightarrow t_0^\downarrow |\downarrow; 0\rangle + t_1^\downarrow |\downarrow; 1\rangle$, with t_k^σ being the corresponding transition amplitudes,

$$t_0^{\uparrow, \downarrow} = e^{i(\phi_{AB} \pm \phi_R)/2} \left[\cos \frac{\phi_{AB} \pm \phi_R}{2} \cos(\theta_1 + \theta_2) - i \sin \frac{\phi_{AB} \pm \phi_R}{2} \cos(\theta_1 - \theta_2) \right], \quad (4)$$

$$t_1^{\uparrow, \downarrow} = e^{i(\phi_{AB} \pm \phi_R)/2} \left[i \cos \frac{\phi_{AB} \pm \phi_R}{2} \sin(\theta_1 + \theta_2) - \sin \frac{\phi_{AB} \pm \phi_R}{2} \sin(\theta_1 - \theta_2) \right]. \quad (5)$$

Unitarity implies $\sum_k |t_k^\uparrow|^2 = \sum_k |t_k^\downarrow|^2 = 1$ (current conservation). Choosing $\theta_1 = \theta_2 = \pi/4$ (corresponding to 50/50 beam splitters) and $\phi_{AB} = \phi_R = \pi/2$, we achieve the desired transformation for a polarizing beam splitter: $|\uparrow; 0\rangle \rightarrow |\uparrow; 0\rangle$, $|\downarrow; 0\rangle \rightarrow i |\downarrow; 1\rangle$. Thus a spin up is always transmitted in the same mode, whereas a spin down is always reflected in the opposite mode (up to a spurious phase which can be ignored or easily corrected).²⁵

In an experimental implementation of the proposed device, both phase l_ϕ^c and spin coherence length l_ϕ^s should be larger than the device size. For electrons at low temperatures, values of $l_\phi^c \sim 20 \mu\text{m}$ (at 15 mK), Ref. 26, and $l_\phi^s \sim 100 \mu\text{m}$ (1.6 K), Ref. 27, are reported in GaAs heterostructures. For carbon nanotubes (CNTs), $l_\phi^c \sim 1 \mu\text{m}$ at room temperature was observed.²⁸ Spin coherent transport ($l_\phi^s > 130 \text{ nm}$ at 4.2 K) in CNTs has also been reported.²⁹ We can estimate the length L of the Rashba region necessary for a rotation angle $\phi_R = \pi/2$ as $L = 58 \text{ nm}$ in InAs ($\alpha = 4 \times 10^{-11} \text{ eV m}$, Ref. 22) or $L = 250 \text{ nm}$ in InGaAs/InAlAs ($\alpha = 0.93 \times 10^{-11} \text{ eV m}$, Ref. 23). A possible experimental implementation of the proposed device could exploit the 2DEG formed at the interface between a InAlAs and a InGaAs layer.¹⁸

At this point we would like to make some remarks. Due to its topological nature, the AB phase has an important property, namely, it is nondispersive.³⁰ Hence, the AB phase acquired by an electron does not depend on its energy, or on the fact that it is monochromatic or not. The same property holds for the Rashba phase if the interband coupling is negligible, as discussed above. Moreover, if the interferometer is balanced (the two arms have equal length), there will be no extra phase difference between the two paths. The beam splitters can also be considered as nondispersive, as pointed out in Ref. 21 (in that case the 50/50 beamsplitter is simply an intersection of two ballistic wires). Therefore the whole device will be nondispersive, i.e., the interference pattern will not depend on the energy of the incoming electrons or on the fact that they can be described by a wave packet or a plane wave.³¹

In practice, however, it is likely that the two arms will have different lengths ($l_0 \neq l_1$) and this will induce an extra phase difference $\sim (l_0 - l_1) / \lambda$, which is clearly dispersive (λ is the electron wavelength). Experimentally it is then important to carefully calibrate the interferometer such that $l_0 = l_1$. For a GaAs-based heterostructure the device could be designed by negatively biased metallic gates which would deplete the 2DEG underneath.³² In many mesoscopic experi-

ments, the channel width can be varied by tuning the depletion gate voltage. Similarly, we can laterally shift the channel (keeping its width constant) by varying the potential difference between two gates. Thus using an appropriate design it is possible to vary (within some limits) the length of one arm, such that in the end the interferometer is balanced. In order to minimize the errors electrons with $\lambda \gg |l_0 - l_1|$ should be used.

In order to discuss the general properties of the device, it is convenient to make the following change of variables: $\epsilon_i \equiv \theta_i - \pi/4$, $\delta_{AB} \equiv \phi_{AB} - \pi/2$, and $\delta_R \equiv \phi_R - \pi/2$, such that $\epsilon_i = \delta_{AB} = \delta_R = 0$ corresponds to the ideal case. If the interferometer is not balanced ($l_0 \neq l_1$), the extra phase arising can be always included in the AB phase δ_{AB} (however, in this case the total phase will be dispersive).

We define the spin polarization for the k output as $P_k = (g_k^\uparrow - g_k^\downarrow)/(g_k^\uparrow + g_k^\downarrow)$, where $g_k^\sigma = e^2 |t_k^\sigma|^2/h$ is the conductance for spin σ in mode k . From Eqs. (4)–(5) we obtain

$$P_0 = \frac{A}{B+1}, \quad P_1 = \frac{A}{B-1}, \quad (6)$$

$$A = \cos \delta_{AB} \cos \delta_R \cos 2\epsilon_1 \cos 2\epsilon_2, \quad (7)$$

$$B = \sin 2\epsilon_1 \sin 2\epsilon_2 - \sin \delta_{AB} \sin \delta_R \cos 2\epsilon_1 \cos 2\epsilon_2. \quad (8)$$

The ideal interferometer ($\epsilon_i = \delta_{AB,R} = 0$) has $P_k = (-1)^k$, i.e., there is a totally spin-up (-down) polarized current in output 0 (1).

We can also define the efficiency of the spin σ polarized current in mode k as $\eta_k^\sigma \equiv g_k^\sigma/(g_0^\sigma + g_1^\sigma) = |t_k^\sigma|^2$. Due to current conservation, only two of η_k^σ are independent, say η_0^\uparrow and η_1^\uparrow ; then $\eta_1^\uparrow = 1 - \eta_0^\uparrow$ and $\eta_0^\downarrow = 1 - \eta_1^\downarrow$. From Eqs. (4) and (5) we obtain

$$\eta_0^\uparrow = (A+B+1)/2, \quad (9)$$

$$\eta_1^\uparrow = (A-B+1)/2. \quad (10)$$

Note that, in general, the two outputs are not symmetric due to the asymmetry introduced by the Rashba interaction. Thus, it is possible to have situations in which the spin current is 100% polarized in one output, but not in the other. This can happen, for example, if there is no spin-down current in one output and the spin-up current splits into both outputs. From Eqs. (6)–(8) we can derive the conditions under which at least one output is completely polarized:

- (a) $P_k = (-1)^k$ iff $\{\epsilon_1 = (-1)^{k+1}\epsilon_2, \delta_{AB} = (-1)^k \delta_R\}$ or $\{\epsilon_1 = (-1)^k \epsilon_2 \pm \pi/2, \delta_{AB} = \pi + (-1)^k \delta_R\}$;
 (b) $P_k = (-1)^{k+1}$ iff $\{\epsilon_1 = (-1)^{k+1}\epsilon_2, \delta_{AB} = \pi - (-1)^k \delta_R\}$ or $\{\epsilon_1 = (-1)^k \epsilon_2 \pm \pi/2, \delta_{AB} = (-1)^{k+1} \delta_R\}$, with $k=0,1$.

It is important to note that in all four cases the efficiency of the completely polarized output is the same,

$$\eta = \cos^2 \delta_R \cos^2 2\epsilon_2, \quad (11)$$

while the polarization of the other output (which in general is not completely polarized) is given by $P_{1-k} = P_k \eta / (\eta - 2)$ ($P_k = \pm 1$). For the ideal interferometer, the efficiency at

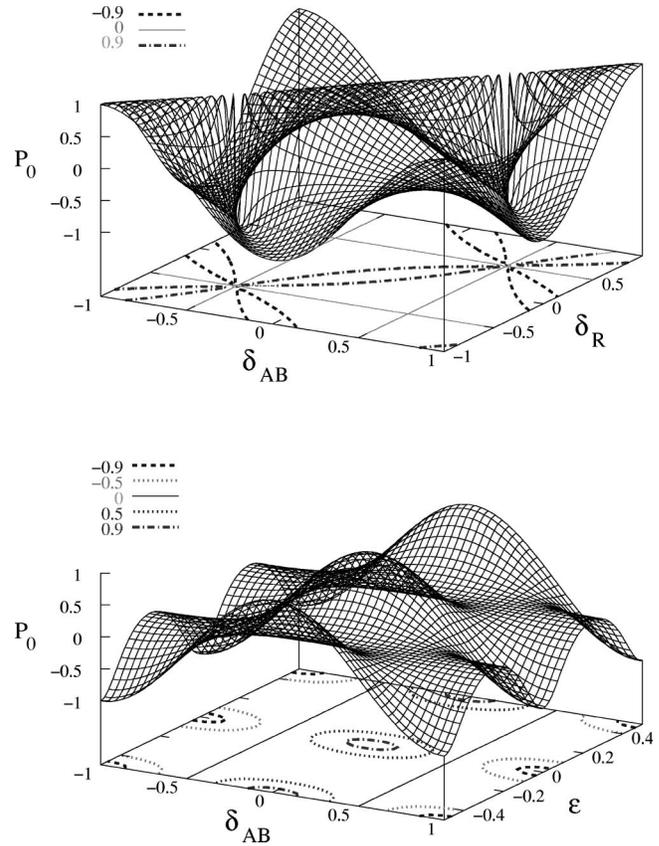


FIG. 2. Upper panel: P_0 as a function of δ_{AB} and δ_R (both in π units) and $\epsilon_{1,2} = 0$. The contour lines correspond to $P_0 = 0.9$ (dashed line), $P_0 = -0.9$ (dashed-dot line), and $P_0 = 0$ (solid line). Lower panel: P_0 versus δ_{AB} and $\epsilon \equiv \epsilon_1 = \epsilon_2$ (in π units) with $\delta_R = 0$. The contour lines correspond to $P_0 = 0.9$ (dashed line), $P_0 = -0.9$ (dashed-dot line), $P_0 = \pm 0.5$ (dotted line), and $P_0 = 0$ (solid line).

tains its maximum $\eta = 1$ and we recover $P_{1-k} = -P_k$: the two outputs have opposite polarizations (and 100% efficiency). This shows that there is a whole class of parameters for which a complete spin-polarized current can be obtained in (at least) one of the outputs, although with the smaller efficiency given by Eq. (11) compared to the ideal device (which has a unit efficiency in *both* outputs).

We now study how robust is the device against perturbations. For small deviations from the ideal values, we can expand polarizations (6) up to second order to obtain

$$P_0 = 1 - 2(\epsilon_1 + \epsilon_2)^2 - (\delta_{AB} - \delta_R)^2/2 + \mathcal{O}(x^3), \quad (12)$$

$$P_1 = -1 + 2(\epsilon_1 - \epsilon_2)^2 + (\delta_{AB} + \delta_R)^2/2 + \mathcal{O}(x^3). \quad (13)$$

This shows that the device is quite robust against small fluctuations, since the leading correction to the ideal result is quadratic. This is to be expected, since $\epsilon_i = \delta_{AB} = \delta_R = 0$ is a stationary point at which $P_0(P_1)$ reaches its maximum (minimum).

In the upper panel of Fig. 2, we plot P_0 as a function of δ_{AB} and δ_R , with $\epsilon_{1,2} = 0$. In the lower panel we show P_0 as a function of δ_{AB} and $\epsilon \equiv \epsilon_1 = \epsilon_2$ for $\delta_R = 0$. We obtain the same result if we interchange δ_{AB} with δ_R . The contour lines

for $P_0 = \pm 0.9$ indicates that in both cases there are relevant regions in the parameter space in which the output polarization is greater than 90%. Figure 2 suggests that in order to obtain a spin polarization close to unity, a deviation from the ideal value of one variable can be compensated by tuning in a clever way one of the other parameters, such as the applied magnetic or electric field.

Applications. The PBS described in this paper can be used in several setups. It can be used as a *preparation* device to produce spin-polarized electrons with high (theoretical 100%) efficiency. It can also be used as a *measuring* device, since it is the mesoscopic equivalent of a Stern-Gerlach apparatus. Direct measuring of spin in a mesoscopic context is difficult, one of the problems being that spin filtering techniques are not efficient. Moreover, in some quantum computation schemes,³³ spins have to be measured individually, a task difficult to achieve (filtering cannot be used, since it will imply an absorption of some of the spins). We stress that a

PBS converts spin degrees of freedom into orbital (charge) degrees of freedom, and therefore *single*-spin detection becomes feasible in this scheme by using single-electron transistors coupled to the output modes.

In a spintronic context, where detection of individual spins is not required, a ratio of the two spin-polarized output currents will give information about the polarization of the input current. Suppose that the input state is in a spin superposition $\cos \theta |\uparrow; 0\rangle + \sin \theta |\downarrow; 0\rangle$. Then, the ratio of the (spin-polarized) output currents will be $I_1'/I_0' = \tan^2 \theta$.

In conclusion, we have proposed an interferometric device capable to separate an incoming unpolarized current into two totally polarized currents. Since no ferromagnetic contacts are used, the device architecture is simplified and an all semiconductor implementation is thus possible.

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