Complicated nature of the gap in MgB2: Magnetic-field-dependent optical studies

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We investigated the magnetic-field- (H) dependent optical conductivity spectra of MgB_2 thin film in the far-infrared region. The *H* dependences can be explained by the increase of normal metallic regions embedded in the superconducting background. The area fraction of the normal metallic region increases rather quickly at low field, but slowly at high field. It follows neither *H* nor $H^{1/2}$ dependences. The results suggest the complicated nature of the superconducting gap in $MgB₂$.

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The recent discovery of superconductivity in $MgB₂$ with T_c =39 K (Ref. 1) has generated a tremendous amount of attention among condensed-matter researchers. Many theoretical and experimental efforts have been conducted to understand its superconductivity and the phonon-mediated BCS mechanism² was suggested as being responsible. However, there have been reports of distinctly different values of the superconducting gap 2Δ , i.e., from 3 to 16 meV, which makes understanding its physical properties rather problematic. Also, the experimental evidences for the deviation from an isotropic s-wave gap symmetry have been accumulating and an anisotropic s-wave gap symmetry³ or a multiple gap⁴ have been suggested.

Optical measurements are known to be a powerful tool for investigating important physical quantities, such as 2Δ , the scattering rate $1/\tau$, penetration depth λ , and plasma frequency.⁵ Since the skin depth of light is about 1000 \AA in the far-infrared region, optical measurements have an important advantage for obtaining bulk properties compared to other surface sensitive techniques, such as tunneling measurements. Also, optical measurements at high magnetic field H can provide fruitful information, such as vortex dynamics, quasi-particle excitation, and gap symmetry.^{6,7} There have been only a few reports on the temperature-dependent optical properties of MgB_2 .⁸⁻¹⁰ Earlier, we reported the value of $2\Delta(0) \approx 5.2$ meV and $2\Delta(0)/k_B T_c \approx 1.8$ for a *c* axis oriented MgB_2 thin film.⁹ Although our value of $2\Delta(0)/k_BT_C$ was half that of the BCS prediction, we found that 2Δ seemed to follow the temperature dependences of the BCS formula. To obtain further information on the gap nature of MgB_2 , we have performed *H* dependent optical studies. [As far as we know, this is the first investigation on the optical properties of MgB_2 under high *H*.

In this paper, we report *H*-dependent complex optical conductivity spectra $\tilde{\sigma}(\omega)$ of MgB₂ thin film. In the superconducting state, the superconductivity became suppressed under the external *H*. The $\tilde{\sigma}(\omega)$ of this mixed state can be modeled with the Maxwell-Garnett theory, which assumes that the normal metallic regions are embedded in a superconducting background. Using the composite medium theory,

the *H*-dependent $\tilde{\sigma}(\omega)$ could be explained quite well. Interestingly, the area fraction of the normal metallic region showed neither an *H*-dependent characteristic of an *s*-wave superconductor (i.e., a linear dependence) nor that of a d -wave superconductor (i.e., $H^{1/2}$ dependence). It increased rapidly at low field, but rather slowly at high field. This intriguing result suggests the existence of a complicated gap nature for $MgB₂$.

We measured far-infrared transmission $T(\omega)$ and reflectivity $R(\omega)$ spectra of a MgB₂ thin film (thickness \sim 200 Å) at various *H* from 0 to 17 T. A high quality *c* axis oriented MgB_2 film was grown on an Al_2O_3 substrate by the pulsedlaser deposition technique.11 The *dc* resistivity measurement showed a sharp T_C near 33 K. For optical measurements, the sample was cooled down to 4.2 K at zero field and then *H* was applied along the *c* axis. Using the Bruker spectrophotometer, $T(\omega)$ and $R(\omega)$ were carefully measured over the range 30–200 cm⁻¹. For $T(\omega)$ mode, we measured center area and for $R(\omega)$, whole area of the film.

Figure 1(a) shows the *H* dependent $T(\omega)$ at 4.2 K. At 0 T, $T(\omega)$ show a peak structure near 50 cm⁻¹, which is closely related to 2Δ ⁹. With increasing *H*, the peak structure becomes suppressed and the peak position moves to higher frequencies.¹² At 17 T, the peak disappears and $T(\omega)$ show a flat response, i.e., normal metallic behavior. The corresponding *H* dependent $R(\omega)$ are shown in Fig. 1(b). At 0 T, $R(\omega)$ show a deep structure near 60 cm⁻¹. With increasing *H*, the deep structure in $R(\omega)$ becomes broader and finally flattens at 17 T. It is interesting to note that significant changes in $T(\omega)$ and $R(\omega)$ occur below 2 T.¹³

We obtained $\tilde{\sigma}(\omega)$ $\left[= \sigma_1(\omega) + i \sigma_2(\omega) \right]$ from $T(\omega)$ and $R(\omega)$ in Fig. 1 by solving the appropriate Fresnel equations for a film geometry.¹⁴ The light reflects multiply both inside the film and the substrate. The multiple reflections inside the film should be added coherently. However, because the thickness of the substrate was much larger than the wavelength of the incident light, the phase coherence can easily be lost due to surface imperfections such as roughness, which will lead to the decrease of the Fabry-Pe^{rot} fringes. To avoid such a problem, the optical measurements were conducted

FIG. 1. Experimental (symbols) and theoretical (solid lines) (a) $T(\omega)$ and (b) $R(\omega)$ for MgB₂ thin film at some selected fields.

with a low resolution (i.e., 4.0 cm⁻¹) and the multiple reflections inside the substrate were added incoherently in the calculation.

Figure 2(a) shows the *H* dependent $\sigma_1(\omega)$. At 0 T, $\sigma_1(\omega)$ show a deep structure around 44 cm⁻¹ related with 2Δ and a sharply increasing behavior above 2Δ . With increasing *H*, the deep structure becomes smooth and $\sigma_1(\omega)$ at 17 T slowly decrease with increasing frequency. It should be noted that the position of the deep structure increases as *H* increases, which is opposite to the temperature dependence of 2 Δ .⁹ Figure 2(b) shows the *H* dependent $\sigma_2(\omega)$. At 0 T,

FIG. 2. *H* dependent (a) $\sigma_1(\omega)$ and (b) $\sigma_2(\omega)$. In (c) and (d), the calculated $\sigma_1^{eff}(\omega)$ and $\sigma_2^{eff}(\omega)$ using Maxwell-Garnett Theory are shown, respectively. Here, f_n represents the area fraction of normal metallic region.

 $\sigma_2(\omega)$ show a 1/ ω dependence due to the δ function of $\sigma_1(0)$. $\sigma_2(\omega)$ at 4 T show a peaklike feature around 50 cm⁻¹ and $\sigma_2(\omega)$ at 17 T slowly increase with increasing frequency. Note that the $\tilde{\sigma}(\omega)$ at 0 T and 17 T are similar to the reported behavior of the superconducting $(i.e., 5 K)$ and the normal metallic (i.e., 40 K) states, respectively.¹⁰ From Fig. 2, we obtained $\sigma_1(0) \sim 20000 \Omega^{-1}$ cm⁻¹ and $1/\tau$ \sim 800 cm⁻¹ in the normal state, and 2 Δ \sim 44 cm⁻¹ and $\lambda \sim 2000$ Å (Ref. 15) in the superconducting state.

Such strong *H* dependent $\sigma_1(\omega)$ and $\sigma_2(\omega)$ should be related to the evolution of a vortex in a type-II superconductor. Below H_{c1} , the magnetic flux cannot penetrate into the superconductor, which is the Meissner effect. Above H_{c1} , the magnetic flux starts to penetrate into the superconductor, forming a vortex. Inside the vortex, the superconducting regions become suppressed and turn into normal metallic regions. With increasing *H*, the number of vortexes increases. Above H_{c2} , the superconducting properties are totally destroyed.¹⁶. The values of H_{c1} and H_{c2} for $Mg_{c2}B_2$ were reported to be around 450 Oe (Ref. 17) and 20 T ,¹⁸ respectively.

Optical responses of a type-II superconductor under high *H* have been rarely investigated and there has not been much systematic analysis.⁷ To explain the optical responses shown in Figs. $2(a)$ and $2(b)$, we used the composite medium theory, called the Maxwell-Garnett theory (MGT). In the longwavelength limit, the physical properties of the composite can be described in terms of an effective dielectric constant $\tilde{\epsilon}^{eff}$. Since the vortexes are well isolated from each other due to the intervortex Coulomb repulsion, as an approximation, we can consider our film as a composite system composed of normal-metal disks embedded in a superconductor. Then, such a geometry can be approximated quite well by the twodimensional MGT:¹⁹ $\tilde{\epsilon}^{eff}$ (=4 $\pi i \tilde{\sigma}^{eff}/\omega$) can be written as

$$
\tilde{\epsilon}^{eff} = \tilde{\epsilon}^{s} \frac{(1 - f_{n}) \tilde{\epsilon}^{s} + (1 + f_{n}) \tilde{\epsilon}^{n}}{(1 + f_{n}) \tilde{\epsilon}^{s} + (1 - f_{n}) \tilde{\epsilon}^{n}},
$$
\n(1)

where $\tilde{\epsilon}^s$ and $\tilde{\epsilon}^n$ represent the complex dielectric constants of the superconducting and normal metallic regions, respectively, and f_n represents the area fraction of the metallic regions. For $\tilde{\epsilon}^s$, we used the Zimmerman formula,²⁰ i.e., the optical response of the BCS superconductor, with 2Δ $=$ 44 cm⁻¹ and $1/\tau$ = 800 cm⁻¹. For $\tilde{\epsilon}^n$, we used the simple Drude model with $\sigma_1(0) = 20000 \Omega^{-1}$ cm⁻¹ and $1/\tau$ $= 800 \text{ cm}^{-1}$.

In Figs. 2(c) and 2(d), we show the calculated $\sigma_1^{eff}(\omega)$ and $\sigma_2^{eff}(\omega)$, respectively. It is clear that the calculated $\sigma_1^{eff}(\omega)$ and $\sigma_2^{eff}(\omega)$ are quite similar to the measured spectra. As shown in Figs. 1(a) and 1(b), the calculated $T^{eff}(\omega)$ and $R^{eff}(\omega)$ from $\tilde{\sigma}^{eff}(\omega)$ can also fit the experimental data quite well. These results show that the MGT can describe the electrodynamic responses of a type-II superconductor under high *H* quite well.

The area fraction of the normal metallic regions for the $MgB₂$ film shows quite an unusual *H*-dependent behavior. In Fig. 3(a), we plotted the *H* dependent f_n , estimated by com-

FIG. 3. (a) *H* dependences of f_n . The dashed and solid lines represent the power-law dependences of *H* and *H*1/2, respectively. In (b), we show the log-log plot of f_n and H . The solid lines represent the least-square fits. The exponents are 0.75 and 0.33 for below and above 2 T, respectively.

paring the experimental data with the calculated values, with error bars. f_n increases sharply at low field and slowly at high field; for example, more than half of the film becomes metallic below \sim 2 T \ll *H_c*2. It is known that the values of f_n can be obtained from a heat-capacity study by measuring the coefficient γ . For an *s*-wave superconductor, γ is known to be proportional to $H^{(2)}$. On the other hand, for a superconductor with a node on the gap, for example, a *d*-wave symmetry, γ should be proportional to $H^{1/2}$ ²² The dashed and solid lines in Fig. 3(a) show the *H*-dependent f_n for *s* and *d* waves, respectively. Neither of these *H* dependencies can explain our experimental data. Figure $3(b)$ shows the plot of $log_{10}(f_n)$ vs $log_{10}(H)$. [The error bars are smaller than the size of the symbols.] The two *H* regions with different slopes are clearly seen. Interestingly, f_n below and above 2 T seems to follow the power law dependences of $H^{0.75}$ and $H^{0.33}$, respectively.

Recently, Bouquet *et al.*²³ observed a rapid increase of γ at low *H* and a saturation behavior at high *H*, which are in qualitative agreement with our observed behavior of f_n . To explain this behavior, they suggested the existence of two gaps. Szabo *et al.*⁴ also reported the existence of two superconducting energy gaps using point-contact spectroscopy measurements. Values of the small gap $2\Delta_S$ and large gap $2\Delta_L$ were reported to be 5.6 meV and 14 meV, respectively. Both superconducting gaps were shown to follow the temperature dependence of the BCS formula. However, $2\Delta_S$ becomes strongly suppressed with *H* below 1.0 T.

There is some consistency between our observations and

FIG. 4. (a) $T(\omega)$ and (b) $R(\omega)$ for various fractions (f_s) of the small gap. The solid circles represent the experimental data at 0 T. The solid, dashed, dot-dashed, dotted, and dot-dot-dashed lines represent the corresponding spectra for $f_s = 0.0, 0.3, 0.7, 0.9$, and 1.0, respectively.

earlier works proposing the two gap scenario.²⁴ Our observed value of $2\Delta \approx 5.4$ meV $(2\Delta/k_B T_c \approx 1.9)$ in the *ab* plane and its BCS temperature dependence seem to be consistent with the characteristics of the small gap in the two gap scenario. Moreover, its strong *H* dependence at low field seems to agree with the results of Szabo *et al*. As shown in Fig. 3(b), the *H* dependence of f_n seems to have a crossover near 2 T. To explain this behavior in the two gap scenario, we assumed that the reported value of H_{c2} , i.e., about 20 T, corresponds to the large superconducting gap. With a crude approximation of $H_{c2} \sim 1/\xi^2 \sim \Delta^2$, the corresponding H_{c2} value for the small superconducting gap, if it exists, will be around 2 T since 2Δ _S \sim 5.4 meV. Then, the crossover behavior in Fig. $3(b)$ could be explained in terms of a more rapid suppression of $2\Delta_s$ under *H* in the two gap scenario.²⁵

However, a simple two gap scenario based on two independent BCS-like carriers with different gap values cannot explain our optical data. To clarify this statement, we simulated $T(\omega)$ and $R(\omega)$ using the two-fluid model, where the total optical conductivity spectra $\tilde{\sigma}_t(\omega)$ can be written as

$$
\tilde{\sigma}_t(\omega) = f_S \tilde{\sigma}_S(2\Delta_S, \omega) + (1 - f_S) \tilde{\sigma}_L(2\Delta_L, \omega), \qquad (2)
$$

where $\tilde{\sigma}_s(2\Delta_s, \omega)$ and $\tilde{\sigma}_L(2\Delta_L, \omega)$ represent the optical conductivity spectra with the small and large gaps, respectively, and f_S represents the fraction of the superconducting carriers with Δ _S. As shown in Figs. 4(a) and 4(b), the experimental $T(\omega)$ and $R(\omega)$ at $H=0$ T can be explained rather well only with $f_s \approx 1.0$. Note that all of the earlier optical works on MgB_2 reports a small size gap only.^{8–10}

The apparent emergence of only the small gap feature in the optical spectra as well as the unusual *H*-dependence of f_n put new constraints on understanding the $MgB₂$ superconducting gap in the two gap scenario.²⁵ Although the *H* dependence of f_n seems to be consistent with the two gap picture, any two gap model does not explain why only the small gap can be observed in the optical spectra of the *ab* plane at $H=0$ T yet. The seemingly contradictory experimental facts suggest that the nature of the superconducting gaps in $MgB₂$ should be related, which will provide a complicated nature of the gap in the $MgB₂$. To clarify the complicated gap nature of $MgB₂$, further studies are needed.

In summary, we have investigated the magnetic-fielddependent optical conductivity spectra of the $MgB₂$ thin film. The magnetic-field-dependent optical conductivity spectra could be explained by the Maxwell-Garnett theory, which assumes an increase of normal metallic regions embedded in a superconducting background. The area fraction of the normal metallic state was estimated and found to increase rather rapidly at low field but slowly at high field. This magneticfield dependence provides a new constraint to understand the multigap behavior of $MgB₂$.

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