# Raman study of the two-dimensional polymers Na<sub>4</sub>C<sub>60</sub> and tetragonal C<sub>60</sub>

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We present a Raman investigation of the monoclinic two-dimensionally polymerized phase Na<sub>4</sub>C<sub>60</sub> and a comparison with the pure tetragonal C<sub>60</sub> polymer and the doped K<sub>3</sub>C<sub>60</sub> structure. The Raman spectrum of Na<sub>4</sub>C<sub>60</sub> depends on the probing laser wavelength, and high wave-number modes are hard to observe with a low-energy laser excitation. The spectrum for Na<sub>4</sub>C<sub>60</sub> is very rich with a pronounced splitting of the original  $H_g$  modes and a large number of new modes. Several modes at low wave numbers support the presence of intermolecular bonds and the line broadening observed for low-frequency  $H_g$  modes is typical for electron-phonon coupling in metallic fullerene systems. From the shift of the pentagonal pinch mode we deduce an unexpectedly low charge transfer of approximately three electrons per C<sub>60</sub> molecule. The presence of a distinct mode around 980 cm<sup>-1</sup> throws doubts on earlier assumptions that modes in this range are connected to vibrations in the intermolecular cyclobutane rings found in C<sub>60</sub> polymers. No superconductivity is observed in Na<sub>4</sub>C<sub>60</sub>, although the electron-phonon interaction derived from the Raman spectrum is similar to that in K<sub>3</sub>C<sub>60</sub>.

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#### INTRODUCTION

After the discovery of a new class of carbon materials, the fullerenes, by Kroto et al.<sup>1</sup> in 1985, carbon research has evolved in several new directions because of the many interesting properties of the most stable fullerene, C<sub>60</sub>. As one example, on intercalation with alkali metals C<sub>60</sub> often transforms into a metallic, superconducting state, with a critical temperature as high as 40 K for Cs<sub>3</sub>C<sub>60</sub>.<sup>2</sup> Even without chemical doping, C<sub>60</sub> can be transformed into a superconductor by field-effect doping in a field-effect transistor (FET) geometry,<sup>3</sup> and by additionally expanding the lattice through chemical doping an extremely high transition temperature of 117 K has recently been reached.<sup>4</sup> Another interesting fact is that C<sub>60</sub> can easily be transformed from the original cubic van der Waals bonded structure into one-, two-, and even three-dimensionally connected polymers.<sup>5-7</sup> In the quasitwo-dimensional rhombohedral polymeric phase, weak ferromagnetism with a Curie temperature near 500 K has recently been observed.<sup>8</sup>

Since doped and polymeric fullerenes show such interesting properties, it is obviously of interest to investigate fullerene materials which are *both* doped and polymerized. The only well characterized quasi-two-dimensional doped fullerene polymer is  $Na_4C_{60}$ , which is reported to have a body-centered monoclinic structure with space group I2/m at temperatures below 550 K.9,10 While pure C<sub>60</sub> usually polymerizes by a [2+2]-cycloaddition reaction, which creates two C-C bonds, forming a four-atom cyclobutane carbon ring, between each pair of molecules, Na<sub>4</sub>C<sub>60</sub> has been reported to have single C-C bonds between molecules. Such single-bonded structures have also been reported for some alkali-metal doped linear-chain polymers, such as  $Na_2RbC_{60}$ ,<sup>11</sup> and for  $AC_{60}$  dimers.<sup>12</sup> Sodium intercalated  $C_{60}$ compounds behave in many ways different from compounds containing heavier alkali metals because of the small size of the Na ions. For example, while most  $A_4C_{60}$  compounds are nonmetallic, Na<sub>4</sub>C<sub>60</sub> is believed to be a strongly correlated

metal.<sup>9</sup> Also, samples with a nominal composition  $Na_4C_{60}$  but possibly containing an unknown amount of nitrogen or other impurities have been reported to be superconducting with transition temperatures up to 15 K.<sup>13</sup>

Many properties of Na<sub>4</sub>C<sub>60</sub>, including details of the phonon spectrum<sup>14,15</sup> have already been studied in detail. However, although several Raman spectra have been presented,<sup>10,14,15</sup> they have never been discussed in detail. Since Raman spectroscopy is a very powerful tool to characterize other polymeric and/or doped phases of C<sub>60</sub>, a large amount of information can potentially be obtained by comparing the Raman spectrum of Na<sub>4</sub>C<sub>60</sub> with those of other doped or polymerized phases of C<sub>60</sub>. Of particular interest is then the two-dimensionally polymerized tetragonal phase of C<sub>60</sub>. Each C<sub>60</sub> molecule in this phase is covalently bonded to four neighbors, as in Na<sub>4</sub>C<sub>60</sub>, but the tetragonal phase has the cycloadduct type of intermolecular bonds.<sup>7,16,17</sup> We have recently studied the structure and Raman spectrum of the tetragonal phase in detail,<sup>17,18</sup> using both polycrystalline and single-crystal  $C_{60}$  as starting materials for the polymerization reaction. Our studies showed that this phase has a  $P4_2/mmc$ symmetry with successive polymer layers rotated by 90° about the stacking axis.<sup>17</sup>

We have now measured the Raman spectrum of Na<sub>4</sub>C<sub>60</sub> using three different excitation lasers and compared the resulting spectra with those for tetragonal (polymeric) C<sub>60</sub> and (doped, metallic) K<sub>3</sub>C<sub>60</sub>. The Raman spectrum of Na<sub>4</sub>C<sub>60</sub> shows many interesting features. Some of them are typical for fullerene polymers while others can be traced to doping effects. One particularly interesting feature is the appearance of a strong Raman band just below 1000 cm<sup>-1</sup>. Features in this frequency range are usually attributed to vibrations in the intermolecular cyclobutane rings in polymeric phases of pure C<sub>60</sub>, but with the assumed structure of Na<sub>4</sub>C<sub>60</sub> no such feature would be expected. The  $H_g$  modes in Na<sub>4</sub>C<sub>60</sub> also show a strong broadening which might arise from electronphonon interactions. In view of the possible observation of superconductivity in a closely related material<sup>13</sup> we have tried to derive the strength of the electron-phonon coupling from the Raman data, a method previously applied to both metallic and nonmetallic fullerene compounds.

### EXPERIMENTAL METHODS

The pressure polymerized tetragonal sample was produced by treating polycrystalline  $C_{60}$  powder<sup>19</sup> at 2.5 GPa and 830 K for six hours in a piston-cylinder device with a Teflon pressure cell containing a helical internal heater. To maximize phase purity we used a pressure-temperature trajectory similar to that used by Moret *et al.*<sup>17</sup> The sample was encased in a thin-walled stainless-steel cylinder inserted into the heater. As pressure medium we used a mixture of talc and glass beads. The talc has been shown to give a quasihydrostatic pressure and the glass beads are used as thermal insulation at the walls of the Teflon cell.<sup>20</sup> The pressure polymerized sample was handled in air but stored in an evacuated chamber between measurements.

The Na<sub>4</sub>C<sub>60</sub> and K<sub>3</sub>C<sub>60</sub> samples were produced by mixing stochiometric amounts of C<sub>60</sub> and alkali metals under Ar gas in a glove box with oxygen and water levels below 1 ppm. The samples were then placed in glass tubes and heated for two weeks at 630 and 520 K for the Na<sub>4</sub>C<sub>60</sub> and K<sub>3</sub>C<sub>60</sub> sample, respectively. During this period the samples were ground twice, to increase the homogeneity. After the heat treatment the temperature was slowly decreased to room temperature and the samples were sealed in Lindemann capillaries without any exposure to ambient atmosphere.

The Raman studies were performed using a Renishaw 1000 grating spectrometer with a notch filter to remove the Rayleigh line and a Peltier cooled charge-coupled device (CCD) detector. As probing lasers we used three different wavelengths, an argon-ion laser (514.5 nm), a He-Ne laser (632.5 nm), and a diode laser (782 nm). The power densities of the lasers on the sample were smaller than 10 W/cm<sup>2</sup>. The low power density was used to ensure that no additional photoinduced polymerization occurred in the samples and to avoid sample heating. The resolution of the Raman spectra was about 2 cm<sup>-1</sup>. The x-ray powder-diffraction experiment was carried out using the Cu  $K_{\alpha}$  line and a Philips powder-diffraction system with a PW1820 goniometer. We also measured the magnetic susceptibility of our sample using a Mag-Lab System 2000 from Oxford Instruments.

#### **RESULTS AND DISCUSSION**

The x-ray data on  $Na_4C_{60}$  (not shown here) were in excellent agreement with literature data on this phase.<sup>9</sup> We thus assume that the material has a body-centered monoclinic structure with space group I2/m and single C-C intermolecular bonds, as reported in the literature.

One sample was annealed under high pressure and temperature, near 2.5 GPa and 800 K, for 5 h to see if this treatment would change the structure or other properties. However, as reported elsewhere,<sup>21</sup> this treatment only sharpened the original x-ray diffraction and Raman lines, indicating that the structural purity was improved.  $Na_4C_{60}$  is thus



FIG. 1. Raman spectra for  $Na_4C_{60}$ , after elimination of backgrounds, excited with the wavelengths shown. Thick lines at the top show the positions of the ten Raman active modes in pristine  $C_{60}$ .

very stable under high-pressure conditions, not only at low temperature<sup>10</sup> but also well above room temperature.

As mentioned above, the vibrational spectra of both monomeric and polymeric  $Na_4C_{60}$  have already been studied by inelastic neutron scattering.<sup>14</sup> Neutron scattering is not limited by selection rules and because of the very large number of degrees of freedom in both pristine, doped, and polymerized C<sub>60</sub> it is difficult to obtain detailed information on individual modes by this method. However, the low-frequency acoustic and librational modes are very strongly modified by polymerization, as might be expected. Below 25 meV the generalized density of states for the quasi-two-dimensional polymer  $Na_4C_{60}$  differs greatly from those for pristine  $C_{60}$ , linear-chain C<sub>60</sub> compounds, or the monomeric hightemperature form of Na<sub>4</sub>C<sub>60</sub>. On the other hand, a comparison with inelastic neutron-scattering data for the tetragonal phase of  $C_{60}$  (Refs. 15 and 22) shows large similarities in the energy dependence as might be expected for two topologically similar phases. However, the phonons of Na<sub>4</sub>C<sub>60</sub> are "softer," with a maximum in the density of states near 14 meV (versus 20 meV for the tetragonal phase), probably because of the smaller number of intermolecular bonds.

Raman spectroscopy, on the other hand, is governed by strict selection rules. The free isolated  $C_{60}$  molecule exhibits ten (two  $A_g$  + eight  $H_g$ ) Raman allowed modes. At room temperature the crystal-field effects due to the  $T_{6h}$  space group are very small and therefore the room-temperature Raman spectrum of  $C_{60}$  also contains the same ten modes. The positions of these are shown as thick vertical lines at the top of Fig. 1, which shows Raman spectra of Na<sub>4</sub>C<sub>60</sub> obtained using the three different excitation wavelengths given above. When the highly symmetric pristine  $C_{60}$  material is doped to the monoclinic Na<sub>4</sub>C<sub>60</sub> structure with space group I2/m and single polymer bonds, the symmetry is lowered significantly. This leads to a splitting of the fivefold degenerate  $H_g$  modes and the appearance of many modes that were optically silent earlier. In addition to this, vibrational modes, characteristic for the new structure, are created. Examples of these are the intermolecular modes at low wave numbers seen at 113, 137, and 169 cm<sup>-1</sup> and the wide band between 950 and 1000 cm<sup>-1</sup>.

Leaving the discussion of individual modes until later, we begin by observing that there are large differences between Raman spectra obtained for different probing laser wavelengths. It is known from several earlier studies that the Raman response of many fullerene based materials depends strongly on the probing wavelength. Nanotubes, which can be seen as elongated fullerenes, exhibit a strong resonance in the "red" frequency range due to van Hove singularities in their densities of states,<sup>23</sup> and for doped metallic fullerenes strong enhancements may occur depending on both the strength of the electron-phonon coupling and the probing laser wavelength.<sup>24</sup> For some polymeric phases of pure  $C_{60}$ the vibrational modes are difficult to observe when excited with low-energy lasers due to a very high background probably coming from broadband luminescence.<sup>25,26</sup> Still, although the spectra in Fig. 1 are visually very different, closer scrutiny shows that they are really very similar except at "high" wave numbers, above about 1200 cm<sup>-1</sup>. All vibrations are found at the same positions for different excitation wavelengths and only the intensities of the modes vary. Some differences should, however, be noted. At low wave numbers, the intermolecular modes are much easier to observe for the diode laser, and for the same laser the lowfrequency  $H_{o}$  modes, especially the  $H_{o}(2)$  mode, show a slightly stronger broadening. However, larger differences between the spectra are seen at wave numbers over  $1200 \text{ cm}^{-1}$ . For the diode laser the upper  $H_g$  modes and the  $A_g(2)$  mode are completely smeared out, making it impossible to identify individual vibrational modes. The spectra obtained with the He-Ne laser and the argon-ion laser are more similar and all components of the split  $H_{g}(7)$  and the  $H_{g}(8)$  modes can be seen in both spectra. A very striking detail is that the pentagonal pinch mode at 1441 cm<sup>-1</sup> is invisible with the diode laser, almost absent for the He-Ne laser excitation but the strongest mode when excited with the argon-ion laser.

To enable a comparison between pristine and tetragonal  $C_{60}$ ,  $Na_4C_{60}$ , and doped  $K_3C_{60}$ , we show in Fig. 2 the Raman spectra of the last three of these, again with the ten lines of pristine C<sub>60</sub> shown at the top of the figure. As a first general comment, we note that doping in itself  $(K_3C_{60})$  does not necessarily change the symmetry significantly and does not increase the number of lines very much. Conversion to the tetragonal structure, with covalent cyclobutane-type intermolecular bonds between each molecule and its four nearest neighbors, significantly increases the number of Raman lines, and doping to the Na<sub>4</sub>C<sub>60</sub> structure, with its even lower monoclinic symmetry, gives a further increase. This is particularly obvious in the range  $500-700 \text{ cm}^{-1}$ . Most of these "new" lines are existing modes which were previously optically silent or forbidden in the original  $I_h$  symmetry, but some are also connected with the formation of the polymerized structure and the introduction of the dopant ions.



FIG. 2. Raman spectra excited with an argon-ion laser (514.5 nm) for (a) pure tetragonal  $C_{60}$ , (b)  $Na_4C_{60}$ , and (c)  $K_3C_{60}$ . Thick lines at the top show the positions of the ten Raman active modes in pristine  $C_{60}$ .

listed the observed Raman frequencies and their probable assignment for Na4C60 and tetragonal C60 in Table I. A similar list for several pressure polymerized  $C_{60}$  phases has been presented by Davydov *et al.*<sup>25</sup> Starting in the range below the lowest  $H_g(1)$  mode of pristine  $C_{60}$ , we find new modes at 113, 137, and 169 cm<sup>-1</sup> for Na<sub>4</sub>C<sub>60</sub> and at 153 and 171 cm<sup>-1</sup> for tetragonal C<sub>60</sub>. Such low-frequency intermolecular Raman modes are also found in the linear  $C_{60}$  polymers<sup>27</sup> and can be assigned to stretching and bending modes in the polymer network. A particularly interesting set of new modes in the spectra can be seen at 946 and 974  $\text{cm}^{-1}$ , and 966 and 980 cm<sup>-1</sup> for the tetragonal and Na<sub>4</sub>C<sub>60</sub> phases, respectively. Such modes are observed in all polymers connected by fourmembered carbon rings, although at slightly different positions. Since the carbon ring stretch mode for cyclobutane is also found around 1000  $\text{cm}^{-1}$ ,<sup>28</sup> this mode has long been assigned to vibrations in the four-carbon ring itself. Calculations of the Raman spectrum of polymeric C<sub>60</sub> also show that a mode at 967  $\text{cm}^{-1}$  is to 85% connected to the displacement of the four atoms participating in the four-carbon ring.<sup>29</sup> It is therefore very surprising to find a mode at virtually the same position for the presumably single-bonded Na<sub>4</sub>C<sub>60</sub> polymer. However, earlier studies by Renker et al.<sup>14,15</sup> show similar features. For other single-bonded polymers studied with Raman spectroscopy, such as the quenched  $RbC_{60}$  dimer phase,<sup>30</sup> no such mode has been reported and we find no Raman data in the literature for the single-bonded linear polymers. There are two possible interpretations for the appearance of these modes in Na<sub>4</sub>C<sub>60</sub>: either the modes do not represent ring stretch vibrations in a four-carbon ring, but some other vibration associated with all  $sp^3$ -type intermolecular bonds, or the assumed structure with single C-C bonds between the molecules is not correct. The singlebonded polymer structure has strong support from both x-ray

TABLE I. Observed	Raman mode	frequencies	and their	assignment	for Na <sub>4</sub> C <sub>60</sub> and	tetragonal	C <sub>60</sub> .
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Na <sub>4</sub> C <sub>60</sub>	Tetragonal C <sub>60</sub>	Assignment Na <sub>4</sub> C <sub>60</sub> T C <sub>60</sub>	Na <sub>4</sub> C <sub>60</sub>	Tetragonal C <sub>60</sub>	Assignment Na <sub>4</sub> C <sub>60</sub> T C <sub>60</sub>
$113 \text{ cm}^{-1} (w)$		intermolecular $\nu$ stretch mode	$698 \text{ cm}^{-1}(w)$	701 (w)	$H_g(3)$ parent mode
$137 \text{ cm}^{-1} (w)$	153 (w)	intermol. mode $\delta_x$ bend. mode	$708 \text{ cm}^{-1} (m)$	709 (w)	$H_g(3)$ parent mode
$169 \text{ cm}^{-1} (w)$	171 (w)	intermol. mode $\delta_y$ bend. mode	715 cm <sup>-1</sup> ( <i>m</i> )		$H_g(3)$ parent mode
$265 \text{ cm}^{-1} (m)$	255 (w)	$H_{g}(1)$ parent mode	$724 \text{ cm}^{-1}$ (s)		$H_{g}(3)$ parent mode
$273 \text{ cm}^{-1}$ (s)	280 (m)	$H_{g}(1)$ parent mode	$728 \text{ cm}^{-1}$ (s)		$H_{g}(3)$ parent mode
294 cm <sup>-1</sup> ( <i>m</i> )	361 (w)	$H_g(1)/F_{2u}(1)$ parent mode	741 cm <sup>-1</sup> ( <i>m</i> )	748 (m)	$H_g(4)$ parent mode
$406 \text{ cm}^{-1} (m)$		$H_g(2)$ parent mode	$765 \text{ cm}^{-1} (w)$	771 (w)	$H_g(4)$ parent mode
$421 \text{ cm}^{-1}(s)$	415 (w)	$H_g(2)$ parent mode	784 cm <sup><math>-1</math></sup> (w)	862 (w)	$H_g(4)/F_{2g}(2)$ parent mode
$428 \text{ cm}^{-1} (m)$	430 (s)	$H_g(2)$ parent mode	966 cm <sup><math>-1</math></sup> ( <i>m</i> )	946 (s)	bridge bond
$451 \text{ cm}^{-1} (w)$	450 (m)	$H_g(2)$ parent mode	980 cm <sup>-1</sup> ( <i>m</i> )	974 (m)	bridge bond
$459 \text{ cm}^{-1} (w)$		$H_g(2)$ parent mode	$1059 \text{ cm}^{-1} (m)$	1015 (w)	$H_g(5)/F_{2u(3)}$ parent mode
473 cm <sup>-1</sup> ( <i>m</i> )	485 (m)	$H_g(2)/A_g(1)$ parent mode	$1066 \text{ cm}^{-1} (m)$	1038 (m)	$H_g(5)/F_{2u}(3)$ parent mode
$500 \text{ cm}^{-1}$ (s)		$A_{g}(1)$ parent mode	$1084 \text{ cm}^{-1} (m)$	1090 (w)	$H_{g}(5)$ parent mode
$513 \text{ cm}^{-1} (w)$	512 (w)	$\tilde{F}_{1\varrho}(1)$ parent mode	$1101 \text{ cm}^{-1} (m)$		$H_{g}(5)$ parent mode
535 cm <sup>-1</sup> ( <i>m</i> )	534 (m)	$F_{2g}(1)$ parent mode	$1112 \text{ cm}^{-1} (m)$	1108 (m)	$H_{g}(5)$ parent mode
542 cm <sup><math>-1</math></sup> (w)		$F_{1u}(1)$ parent mode	$1193 \text{ cm}^{-1} (w)$	1174 (w)	$H_{g}^{'}(6)$ parent mode
549 cm <sup>-1</sup> (w)		$F_{1u}(1)$ parent mode	$1215 \text{ cm}^{-1} (w)$	1208 (m)	$H_{g}(6)$ parent mode
565 $cm^{-1}(w)$	563 (w)	$F_{2g}(1)$ parent mode	$1232 \text{ cm}^{-1} (m)$		$H_g(6)$ parent mode
579 cm <sup>-1</sup> (w)	585 (s)	$F_{1u}(2)/F_{2g}(1)$ parent mode	$1302 \text{ cm}^{-1} (w)$	1301 (w)	$G_u(5)$ parent mode
596 $\text{cm}^{-1}(w)$		$F_{1u}(2)$ parent mode	$1343 \text{ cm}^{-1} (w)$	1327 (w)	$G_g(5)/G_{1g}(3)$ parent mode
$605 \text{ cm}^{-1} (w)$		$F_{1u}(2)$ parent mode	1369 cm <sup><math>-1</math></sup> ( <i>m</i> )	1352 (w)	$G_g(5)/F_{1g}(3)$ parent mode
$615 \text{ cm}^{-1} (w)$		$G_{g}(2)$ parent mode	$1386 \text{ cm}^{-1} (m)$	1378 (w)	$H_{g}(7)$ parent mode
$629 \text{ cm}^{-1} (w)$		$G_g(2)$ parent mode	$1416 \text{ cm}^{-1} (m)$	1432 (s)	$H_{g}(7)$ parent mode
$638 \text{ cm}^{-1} (w)$		$G_g(2)$ parent mode	$1440 \text{ cm}^{-1}$ (s)	1447 (s)	Ag(2) parent mode
$653 \text{ cm}^{-1} (m)$		$G_g(2)$ parent mode		1464 (s)	dimer phase
667 cm <sup>-1</sup> ( <i>m</i> )	665 (m)	$H_g(3)$ parent mode	$1489 \text{ cm}^{-1} (w)$	1541 (s)	$H_g(8)$ parent mode
$683 \text{ cm}^{-1} (m)$	683 (s)	$H_g(3)$ parent mode	$1525 \text{ cm}^{-1} (w)$	1564 (w)	$H_g(8)$ parent mode
$689 \text{ cm}^{-1} (m)$		$F_{2u}(2)$ parent mode	$1543 \text{ cm}^{-1} (w)$	1572 ( <i>m</i> )	$H_g(8)$ parent mode

diffraction<sup>9</sup> and differential scanning calorimetry experiments,<sup>31</sup> as well as from both inelastic neutron-scattering measurements and theoretical calculations.<sup>14</sup> The first explanation should therefore be more likely.

In pristine  $C_{60}$  the most frequently studied Raman mode is the  $A_g(2)$  pentagonal pinch mode at 1469 cm<sup>-1</sup>. This mode shows a strong shift to lower wave numbers when  $C_{60}$  is doped with alkali metals or when it is polymerized to different structures. The shift has been shown to depend linearly on the charge transferred from alkali atoms to the  $C_{60}$  molecule, with a downshift of  $\sim -6$  cm<sup>-1</sup>/electron charge.<sup>32</sup> The shift has also been shown to depend almost linearly on the number of intermolecular bonds per molecule, such that the mode shifts ~-5.5 cm<sup>-1</sup>/intermolecular bond.<sup>25,33</sup> Our results are in excellent agreement with this empirical model for the tetragonal polymer [Fig. 2(a)], with four polymer bonds per molecule, and the unpolymerized K<sub>3</sub>C<sub>60</sub> phase [Fig. 2(c)], with a charge transfer of three electrons per molecule. Using this model for the Na<sub>4</sub>C<sub>60</sub> phase is somewhat more questionable. For the linear doped polymers RbC<sub>60</sub> and KC<sub>60</sub>, it has been shown that the two shifts are additive.<sup>34</sup> If one takes into account that the Na<sub>4</sub>C<sub>60</sub> polymer has four single intermolecular bonds/molecule, a shift of the pentagonal pinch mode to 1441 cm<sup>-1</sup> indicates a charge transfer of approximately three electrons per C<sub>60</sub> molecule. This is a surprisingly small value, considering that alkali-metal inter-

calation usually leads to a full charge transfer. Kubozono  $et \ al.^{10}$  find a shift to 1438 cm<sup>-1</sup> and calculate a charge transfer of a full four electrons per molecule, taking no account to the effects of intermolecular bonds. However, since all pre-

*et al.*<sup>10</sup> find a shift to 1438 cm<sup>-1</sup> and calculate a charge transfer of a full four electrons per molecule, taking no account to the effects of intermolecular bonds. However, since all previous studies show that the formation of such bonds is reflected in a mode shift, our feeling is that such effects must be taken into account. Armbruster *et al.*<sup>35</sup> have studied the electronic structure of very thin films of Na<sub>x</sub>C<sub>60</sub>. For a sample with nominal composition x=4, but an unspecified structure, they find full charge transfer, but for heavily doped samples (x>8) the charge transfer is incomplete. An incomplete charge transfer has also been observed for Li<sub>x</sub>CsC<sub>60</sub> compounds.<sup>36</sup> It thus seems that the light alkali metals Li and Na do not conform to the common assumption that alkalimetal doping of fullerenes leads to a complete charge transfer.

From Fig. 2 it is also clear that the splitting of the  $H_g$  modes is much more pronounced for Na<sub>4</sub>C<sub>60</sub> than for tetragonal C<sub>60</sub>, probably because of the low symmetry and interactions between sodium atoms and fullerene molecules. The low-frequency  $H_g$  modes of Na<sub>4</sub>C<sub>60</sub> are especially broad, resembling those of metallic K<sub>3</sub>C<sub>60</sub> and very different from the sharp modes seen for the tetragonal phase. For both materials this broadening is assigned to electron-phonon coupling between the phonons on the C<sub>60</sub> molecules and the band electrons derived from alkali-metal ions. It might be expected that the low-frequency  $H_g$  modes since the former have a more radial character.

Because superconductivity has been reported in a phase<sup>13</sup> closely related to  $Na_4C_{60}$  it is of some interest to analyze the electron-phonon coupling strength in  $Na_4C_{60}$  to see whether it is sufficient to explain such an effect. If so,  $Na_4C_{60}$  might be the first doped polymeric fullerene superconductor.

The broadening of phonon modes in the Raman spectrum, as measured as the full width at half maximum (FWHM), comes from several different processes. For nonmetallic systems, the measured linewidth can be increased by instrumental broadening, phonon-phonon interactions, and phonon scattering by lattice disorder. For systems with free carriers another process, called Landau damping, may contribute to the phonon linewidth. It occurs if  $\Delta k$ , the wave-vector transfer between the photons and optic phonons, is sufficiently large to cause intraband electron scattering.<sup>37</sup> For ordered systems this requires moderate Fermi velocities and large  $\Delta k$ , which basically means that low-frequency modes together with a high energy of the probing laser have a larger chance to be candidates for Landau damping, but if sufficient disorder exists this can also occur without any constraint on  $\Delta k$ .<sup>37</sup> The relation between phonon linewidth and electronphonon coupling constants for a single-particle excitation, Allen's formula, may be used in the form<sup>38</sup>

$$\gamma_i = \frac{2\pi}{g_i} N(0) \lambda_i \omega_{bi}^2, \tag{1}$$

where  $\gamma$  is the FWHM of a specific mode,  $g_i$  is the mode degeneracy (5 for  $H_g$  modes), N(0) is the density of states per spin and molecule at the Fermi level, and  $\lambda$  is the dimen-

sionless electron-phonon coupling constant. For  $\omega$  one should use the bare phonon frequency for the vibration before any coupling to the electrons. For doped unpolymerized systems, the phonon frequency in pristine C<sub>60</sub> is normally used as the bare frequency. In our system this procedure is complicated by the fact that the modes might be influenced by the intermolecular bonds. However, at least for tetragonal C<sub>60</sub> the shift and splitting of the low-frequency  $H_g$  modes are small, and since the overall electron-phonon coupling constant is almost completely dominated by the first two  $H_g$  modes, it should be possible to use the frequencies for pristine C<sub>60</sub> as bare frequencies without introducing a large error.

For alkali-metal intercalated fullerene compounds it is not obvious that Allen's formula is applicable. However, the broadening of the  $H_g$  modes was one of the most important indications that the BCS model could be applied to fulleride systems,<sup>39–41</sup> and the Raman method has been used to find  $\lambda$ in many fullerene materials. Zhou *et al.*<sup>42</sup> obtained values for  $\lambda$  of 0.6 and 0.5 for K<sub>3</sub>C<sub>60</sub> and Rb<sub>3</sub>C<sub>60</sub>, respectively, resulting in realistic values for the transition temperatures, while Wang *et al.*<sup>43</sup> found a value of 0.1 for K<sub>4</sub>C<sub>70</sub>, explaining why the latter is not a superconductor in contrast to the two C<sub>60</sub> compounds. Later, similar analyses have been carried out by Winter *et al.*<sup>24,34</sup> on K<sub>3</sub>C<sub>60</sub> and RbC<sub>60</sub>.

To find the total electron-phonon interaction constant  $\lambda$  we need to know N(0). Following Winter and Kuzmany,<sup>24</sup> we have tried to calculate an approximate value for N(0) using a linear relation between the Raman shift, and the linewidth  $\gamma$ ,

$$\gamma = -\frac{\pi}{2}N(0)\omega_b\Delta\omega,\qquad(2)$$

where  $\Delta \omega$  is the difference between the bare phonon frequency for pristine  $C_{60}$  and the observed position in  $Na_4C_{60}$ . For K<sub>3</sub>C<sub>60</sub> there is a striking difference between the appearances of the  $H_g(1)$  and  $H_g(2)$  modes and those of higher modes, for which there is no relation between shift and line broadening.<sup>24</sup> We have assumed that we can use relation (2) for at least the lowest frequency mode  $H_g(1)$ . A peak-fitting program has been used to resolve the five components of the originally fivefold degenerate  $H_{q}(1)$  mode and the phonon linewidth (FWHM) has been plotted against the observed line shift in Fig. 3, using the bare phonon frequency  $\omega_h$  $=270 \text{ cm}^{-1}=0.034 \text{ eV}$ . The electron density of states is proportional to the slope of the resulting line in Fig. 3 and we deduce values of N(0) = 4.5, 7.2, and 8.4 eV<sup>-1</sup> for the diode, the He-Ne, and the argon-ion laser, respectively. The discrepancies between the results for different lasers might mean that Allen's theory is not applicable to our system. However, it might also arise from other effects, such as different sample heating for different lasers, or from the error introduced when using the bare phonon frequency of pristine  $C_{60}$ , since we do not know the Raman spectrum for an undoped single bonded two-dimensional polymer system. The average value for N(0), 6.7 eV<sup>-1</sup>, is reasonable if we compare with the value  $12 \text{ eV}^{-1}$  reported for  $K_3C_{60}$  obtained with the same method,<sup>24</sup> since the smaller intermolecular distance in the polymer should lead to a broadening of the bands. On the other hand, Kubozono et al.<sup>10</sup> deduced a much smaller value



FIG. 3. Plot of linewidth (FWHM) versus observed frequency shift,  $\omega - \omega_b$ , for the components of the  $H_g(1)$  mode. Squares show results for the diode laser (782 nm), triangles for the He-Ne laser (632 nm), and circles show the results for the argon-ion laser (514 nm).

of 2 eV<sup>-1</sup> from electron spin resonance (ESR) studies. However, different methods very often give widely different values for N(0) in doped fullerenes.<sup>40,42</sup>

The value  $N(0) = 6.7 \text{ eV}^{-1}$  can now be used together with Eq. (1) to calculate both the total overall electronphonon interaction factor  $\lambda$  and the contributions from the individual  $H_g$  modes, with the results shown in Table II. For Na<sub>4</sub>C<sub>60</sub> we find a surprisingly large value  $\lambda = 0.3$ . This value is similar in magnitude to the values for  $\lambda$  in K<sub>3</sub>C<sub>60</sub> given by Zhou *et al.*<sup>42</sup> ( $\lambda = 0.6$ ) and by Winter and Kuzmany<sup>24</sup> ( $\lambda$ 

TABLE II. Mode positions, linewidths (FWHM), and electronphonon coupling constants for the eight fivefold degenerate  $H_g$ modes. The values for the linewidths are given for the argon-ion laser (514 nm). The values for K<sub>3</sub>C<sub>60</sub> are corrected from the original paper (Ref. 34) by a factor 4.

			λ		
Mode	$\omega_b \ (\mathrm{cm}^{-1})$	$\gamma$ (cm <sup>-1</sup> )	This work Na <sub>4</sub> C <sub>60</sub>	K <sub>3</sub> C <sub>60</sub> (Ref. 24)	
$H_g(1)$	270	14	0.18	0.15	
$H_{g}(2)$	430	10	0.052	0.06	
$H_{g}^{\circ}(3)$	709	7	0.013	0.006	
$H_{g}^{'}(4)$	773	9	0.014	0.009	
$H_{g}^{\circ}(5)$	1101	15	0.012	0.003	
$H_{g}(6)$	1248	14	0.009	0.003	
$H_{g}(7)$	1426	12	0.006	0.013	
$H_{g}(8)$	1573	15	0.006	0.009	
Σ			0.296	0.25	

=0.25), and to data for Rb<sub>3</sub>C<sub>60</sub> given by Zhou *et al.*<sup>42</sup> ( $\lambda = 0.5$ ). (The data from Winter and Kuzmany are corrected from the original paper<sup>34</sup> by a factor of 4.) For Na<sub>4</sub>C<sub>60</sub>, K<sub>3</sub>C<sub>60</sub>, and Rb<sub>3</sub>C<sub>60</sub><sup>24,42</sup> experiments indicate that the main contributions to  $\lambda$  come from the low-frequency  $H_g$  modes, while theoretical calculations often show strong contributions also from higher modes.<sup>39,40</sup> However, while a dominant contribution to  $\lambda$  from  $H_g(1)$  is found here and by Winter and Kuzmany, Zhou *et al.* find that the  $H_g(2)$  mode dominates. We note also that although the highest and lowest frequency modes show larger line broadening than the intermediate frequency modes, the difference is not as large for Na<sub>4</sub>C<sub>60</sub> as that observed by Winter and Kuzmany for K<sub>3</sub>C<sub>60</sub>.

We were surprised to find a total  $\lambda$  for Na<sub>4</sub>C<sub>60</sub> which is similar in magnitude to those of  $K_3C_{60}$  and  $Rb_3C_{60}$  and significantly larger than that of  $RbC_{60}.^{34}$  While  $RbC_{60}$  is a nonsuperconducting phase, both  $K_3C_{60}$  and  $Rb_3C_{60}$  are well known to be superconducting at quite high temperatures. To test our sample for superconductivity we carried out a measurement of the magnetic susceptibility from room temperature down to 2 K. These measurements showed no significant diamagnetic signals, ruling out superconductivity in our material. Still, the measured value of  $\lambda$  is high enough that recent reports on superconductivity in a closely related material<sup>13</sup> cannot be ruled out. Our value for  $\lambda$  is, in fact, higher than the value 0.25 found for  $K_3C_{60}$  by Winter and Kuzmany.<sup>24</sup> The latter measurement was carried out at 80 K, while our study was carried out at room temperature. This might lead to a slightly larger broadening of our modes but we estimate that this temperature effect should only account for at most 10% of our total observed electron-phonon coupling constant. Another possible reason for the high value is that the value used for the density of states is too low. The total  $\lambda$  is proportional to 1/N(0), and a low value for N(0)would give a high value for  $\lambda$ . We have tried to estimate N(0) from experiments because the density of states in polymeric  $Na_4C_{60}$  is difficult to estimate theoretically. N(0) depends on the charge transfer, and the band structure of  $C_{60}$  is modified by the presence of intermolecular bonds. Using in-stead  $N(0) = 12-15 \text{ eV}^{-1}$ , as for the  $A_3C_{60}$  compounds,<sup>24,42</sup> would reduce the value for the electron-phonon interaction parameter to  $\lambda = 0.13 - 0.16$  which is similar to that of  $K_4C_{70}$ ,<sup>43</sup> and low enough to explain the absence of superconductivity in our sample. However, such low values for  $\lambda$ are probably not realistic because the significantly smaller lattice parameter of  $Na_4C_{60}$  as compared to the  $A_3C_{60}$  compounds should lead to a smaller density of states.

To summarize, we have presented a detailed Raman investigation of polymeric Na<sub>4</sub>C<sub>60</sub>. The vibrational Raman spectra depend on the probing laser wavelength, making it hard to observe high wave-number modes with low-energy laser excitation. The Raman spectrum for Na<sub>4</sub>C<sub>60</sub> is very rich, with a pronounced splitting of the  $H_g$  parent modes and a large number of new modes. A number of modes at low wave numbers supports the presence of intercage bonds in the structure and the characteristic line broadening for low  $H_g$  modes is typical for metallic fullerene systems. From the shift of the pentagonal pinch mode we deduce that the charge transfer is no larger than three electrons per C<sub>60</sub> molecule, if we take intermolecular bonds into account. The presence of a distinct mode around 980 cm<sup>-1</sup> throws doubt on earlier assumptions that this mode is connected to the vibration in the four-member carbon rings found in double bonded polymers. The line broadening of the  $H_g$  modes indicate a fairly low density of states and an electron-phonon coupling constant  $\lambda$ 

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which is comparable to that in  $K_3C_{60}$ . However, no superconductivity was observed down to 2 K in  $Na_4C_{60}$ .

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