

# First-principles study of the solubility of Zr in Al

Emmanuel Clouet\* and J. M. Sanchez

Texas Materials Institute, The University of Texas at Austin, Austin, Texas 78712

C. Sigli

Pechiney Centre de Recherches de Voreppe, B.P. 27, 38341 Voreppe cedex, France

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The experimental solubility limit of Zr in Al is well known.  $\text{Al}_3\text{Zr}$  has a stable structure  $\text{DO}_{23}$  and a metastable one  $\text{L1}_2$ . Consequently there is a metastable solubility limit for which only few experimental data are available. The purpose of this study is to obtain, by *ab initio* calculations, the solubility limit of Zr in Al for stable as well as metastable phase diagrams. The formation energies of several ordered compounds  $\text{Al}_x\text{Zr}_{(1-x)}$ , all based on a fcc underlying lattice, were calculated using the FP-LMTO (full-potential linear-muffin-tin-orbital) method. Taking into account all the relaxations allowed by the symmetry, we found the  $\text{DO}_{23}$  structure to be the stable one for  $\text{Al}_3\text{Zr}$ . This set of results was then used with the cluster expansion in order to fit a generalized Ising model through the inverse method of Connolly and Williams. Different ways to consider volume relaxations were examined. This allowed us to calculate, in the Bragg-Williams approximation, the configurational free energy at finite temperature. According to the previous FP-LMTO calculations the free energy due to electronic excitations can be neglected. For the vibrational free energy of ordered structures, we compared results obtained from a calculation of the elastic constants used with the Debye model and results obtained from a calculation of the phonon spectrum. All these different steps lead to a calculation of the solubility limit of Zr in Al which is found to be lower than the experimental one. The solubility limit in the metastable phase diagram is calculated in the same way, and thus can be compared to the stable one.

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## I. INTRODUCTION

The development of methods based on density-functional theory<sup>1,2</sup> and computer power has allowed one to conceive calculations of phase diagram from first principles<sup>3,4</sup> as an alternative to laboratory experimentation. Traditionally, only substitutional effects were considered, which was good enough to reproduce the topology of most phase diagrams. So as to obtain a more quantitative agreement with experimental data, it was shown more recently that electronic excitations<sup>5</sup> as well as lattice vibrations<sup>6,7</sup> could play important parts in the relative stability of different phases. We chose to test the ability of these first-principles methods to predict the solubility limit of Zr in an aluminum solid solution, this part of the Al-Zr phase diagram being interesting because of the presence of a metastable phase.

The Al-richest intermediate phase of the Al-Zr phase diagram<sup>5,5</sup> is  $\text{Al}_3\text{Zr}$ . This compound has a  $\text{DO}_{23}$  structure, which is body-centered-tetragonal with eight atoms per unit cell. Some of these atoms are allowed by symmetry to move along the main axis of the unit cell (Fig. 1). It is stable up to  $1580 \pm 10$  °C.

The solubility limit of Zr in Al (fcc) is very low, the maximum solubility being 0.083 at. % at the peritectic reaction, liquid +  $\text{ZrAl}_3 \leftrightarrow (\text{Al})$ . The solubilities of Zr in both liquids and solids were definitively determined by Fink and Willey,<sup>8</sup> and the assessed phase diagram is based on their data. The solid solubility was determined from resistivity data, and checked by metallography. Solid solubilities were also reported by Glazov *et al.*,<sup>9</sup> Drits *et al.*<sup>10</sup> (solubilities determined by means of microstructural analysis and electric resistivity measurements), and Kuznetsov *et al.*<sup>11</sup> (deter-

mined from resistivity, microhardness and lattice constant measurements as well as metallography). The solubilities reported in these last studies are higher than the ones of the assessed phase diagram.

Supersaturated solid solution of Zr in (Al) containing as much as 3-at. % Zr can be prepared by rapid solidification. A coherent metastable phase  $\text{Al}_3\text{Zr}$  precipitates from the supersaturated solution.<sup>12</sup> This phase has a structure  $\text{L1}_2$  which is simple cubic with four atoms per unit cell (Fig. 1). This metastable phase can also form from the melt as a primary

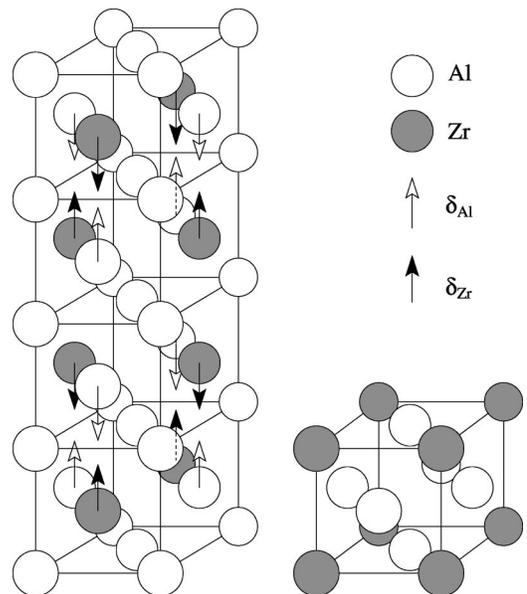


FIG. 1. Definition of the structures  $\text{DO}_{23}$  (left) and  $\text{L1}_2$  (right).

TABLE I. Equilibrium volume  $V_0$ , bulk modulus  $B$ , and formation energy  $E^{form}$  for relaxed Al-Zr compounds calculated with the LDA.

	Pearson symbol	Structure type	$V_0$ ( $\text{\AA}^3/\text{atom}$ )	$B$ (GPa)	$E^{form}$ (mRy/atom) <sup>a</sup>
Al (fcc)	cF4	Cu	15.82	80.78	0
Al <sub>3</sub> Zr	cP32	?	15.99	82.56	-3.04
Al <sub>15</sub> Zr	cI32	?	16.10	84.27	-6.99
Al <sub>8</sub> Zr	tI18	V <sub>4</sub> Zn <sub>5</sub>	16.25	86.24	-9.77
Al <sub>7</sub> Zr (D1)	cF32	Ca <sub>7</sub> Ge	16.30	87.32	-14.33
Al <sub>4</sub> Zr (D1 <sub>a</sub> )	tI10	MoNi <sub>4</sub>	16.58	92.10	-21.12
Al <sub>3</sub> Zr (L1 <sub>2</sub> )	cP4	Cu <sub>3</sub> Au	16.12	99.59	-39.00
Al <sub>3</sub> Zr (DO <sub>22</sub> )	tI8	Al <sub>3</sub> Ti	16.60	99.65	-39.04
Al <sub>3</sub> Zr (DO <sub>23</sub> )	tI16	Al <sub>3</sub> Zr	16.35	100.05	-40.72
Al <sub>2</sub> Zr ( $\alpha$ )	hP3	CdI <sub>2</sub>	18.01	87.16	-11.73
Al <sub>2</sub> Zr ( $\beta$ )	tI6	MoSi <sub>2</sub>	17.13	96.40	-26.19
Al <sub>2</sub> Zr ( $\gamma$ )	oI6	MoPt <sub>2</sub>	17.15	96.51	-26.08
AlZr (L1 <sub>0</sub> )	tP4	AuCu	18.15	103.33	-37.07
AlZr (L1 <sub>1</sub> )	hR32	CuPt	19.04	93.29	-16.50
AlZr (CH40)	tI8	NbP	18.52	100.48	-33.56
AlZr (D4)	cF32	?	18.49	92.58	-14.78
AlZr (Z2)	tP8	?	18.63	99.70	-21.03
Zr <sub>2</sub> Al ( $\alpha$ )	hP3	CdI <sub>2</sub>	20.38	98.10	-10.72
Zr <sub>2</sub> Al ( $\beta$ )	tI6	MoSi <sub>2</sub>	19.53	104.84	-24.78
Zr <sub>2</sub> Al ( $\gamma$ )	oI6	MoPt <sub>2</sub>	19.44	104.05	-26.36
Zr <sub>3</sub> Al (L1 <sub>2</sub> )	cP4	Cu <sub>3</sub> Au	19.71	107.67	-27.11
Zr <sub>3</sub> Al (DO <sub>22</sub> )	tI8	Al <sub>3</sub> Ti	19.88	105.14	-23.49
Zr <sub>3</sub> Al (DO <sub>23</sub> )	tI16	Al <sub>3</sub> Zr	19.80	102.77	-25.18
Zr <sub>4</sub> Al (D1 <sub>a</sub> )	tI10	MoNi <sub>4</sub>	20.31	99.85	-16.30
Zr <sub>7</sub> Al (D1)	cF32	Ca <sub>7</sub> Ge	20.93	101.66	-7.96
Zr (fcc)	cF4	Cu	21.70	98.74	0

<sup>a</sup>The reference phases are Al(fcc) and Zr(fcc).

phase during rapid solidification.<sup>13,14</sup> Al<sub>3</sub>Zr acts as a nucleon for solidification of (Al), and Zr can thus work as a grain refiner of Al. This metastable phase is also responsible for the effectiveness of Zr to control recrystallization in Al-based alloys: it leads to a more uniform distribution of fine precipitates that pins grains and subgrains boundaries. Moreover, this phase is quite stable against coarsening and redissolution, all this making it highly desirable. As few experimental data are available for this phase, it is hard to fit a thermodynamic model for it. In such a case, a first-principles calculation of the phase diagram should allow us to obtain properties that are not available experimentally.

In order to assess the metastable phase diagram, with the same tools and approximations we studied the L1<sub>2</sub> and DO<sub>23</sub> phases: this allowed us first to check the agreement between the obtained stable phase diagram and experimental data, and then to compare the stable phase diagram to the metastable phase diagram.

First we study the stability of ordered compounds based on a fcc underlying lattice in the Al-Zr system. The energies of different structures were calculated using an *ab initio* method, the full-potential linear-muffin-tin-orbital (FP-LMTO). Equilibrium parameters, such as the volume, the shape of the unit cell, and the positions of atoms, were ob-

tained. For stable structures these can be compared to experimental data.

Using this whole set of calculations we applied the cluster expansion to deduce the energy of any structure based on the same underlying lattice in the Al-Zr system, carefully examining the way to include volume relaxations. At finite temperature, the electronic excitations, vibrational free energy, and configurational entropy have to be taken into account. Having done this, we are able to obtain a thermodynamic model written in the same way as in a Calphad approach, and are able to calculate corresponding solubility limits.

## II. GROUND STATES OF ORDERED COMPOUNDS

Formation energies were calculated at absolute zero temperature for 26 compounds in the Al-Zr binary system, all based on a fcc lattice. Calculations were carried out using a FP-LMTO method<sup>15-17</sup> in the version developed by Methfessel and Van Schilfgaarde.<sup>18</sup> The basis used contained 22 energy-independent muffin-tin orbitals (MTO's) per Al and Zr site: three  $\kappa$  values for the orbitals *s* and *p* and two  $\kappa$  values for the orbitals *d*, where the corresponding kinetic energies were  $\kappa^2 = 0.01$  Ry (*spd*), 1.0 Ry (*spd*), and 2.3 Ry (*sp*). A second panel with a basis composed of 22 energy

TABLE II. Calculated volumes  $V_0$ ,  $c'/a$  ratios ( $c' = c/2$  for the  $\text{DO}_{22}$  phase and  $c' = c/4$  for the  $\text{DO}_{23}$  phase), atomic displacements (normalized by  $c'$ ), and ground-state energies relative to the  $\text{DO}_{23}$  phase for  $\text{Al}_3\text{Zr}$  compared to previous calculations and experimental data.

	Method	$V_0$ ( $\text{\AA}^3/\text{atom}$ )	$c'/a$	Atomic displacements	$\Delta E$ (mRy/atom)
$\text{L1}_2$	Present work	16.12			1.71
	FP-LMTO (Ref. 22)				0.64
	VASP (Ref. 23)	17.4			2.3
	Experiments (Ref. 24)	17.14			1.69
$\text{DO}_{22}$	Present work	16.60	1.141		1.68
	FP-LMTO (Ref. 22)				2.63
	VASP (Ref. 23)	17.7	1.141		1.9
$\text{DO}_{23}$	Present work	16.35	1.087	$\delta_{\text{Al}} = -0.0021$	0
				$\delta_{\text{Zr}} = -0.0273$	
	FP-LMTO (Ref. 22)	16.28	1.09	$\delta_{\text{Al}} = +0.003$	0
				$\delta_{\text{Zr}} = -0.026$	
	VASP (Ref. 23)	17.5	1.079	$\delta_{\text{Al}} = +0.0003$	0
				$\delta_{\text{Zr}} = -0.0101$	
Experiments (Ref. 22)	17.25	1.0775	$\delta_{\text{Al}} = +0.0004$	0	
			$\delta_{\text{Zr}} = -0.0272$		

independent MTO's with the same kinetic energies was used to make a correct treatment of the  $4p$  semicore states of Zr. The same uniform mesh of points was used to make the integrations in the Brillouin zone for valence and semicore states. The number of divisions along reciprocal vectors was chosen to be large enough to ensure a convergence of the total energy of the order of 0.1 mRy/atom for each structure. The radii of the muffin-tin spheres were chosen to have a compactness of 47.6% for Al sites and 58.4% for Zr sites. Inside the muffin-tin spheres, the potential is expanded in spherical harmonics up to  $l=6$  and in the interstitial region spherical Hankel functions of kinetic energies  $\kappa^2 = 1$  Ry and 3.0 Ry were fitted up to  $l=6$ . The calculations were performed in the local density approximation (LDA),<sup>1,2</sup> and the parametrization used was the one of von Barth and Hedin.<sup>19</sup> Jomard *et al.*<sup>20</sup> showed that generalized-gradient corrections have to be included in order to obtain a correct description of the stability of the different phases of pure Zr, but as we were interested only in the Al-rich part of the phase diagram we did not include these gradient corrections.

Ground-state energies at equilibrium  $E_0$ , equilibrium volumes per atom  $V_0$ , and bulk moduli  $B$  were obtained by fitting the Rose equation of state<sup>21</sup> to the energies calculated for different volumes around the minimum,

$$E(r) = E_0 \left( 1 + \frac{r - r_0}{\delta} \right) \exp \left( - \frac{r - r_0}{\delta} \right), \quad (1)$$

where  $r$  is the Wigner-Seitz radius associated with the atomic volume  $V$  and  $\delta$  is related to the bulk modulus  $B$  through the relation

$$B = \frac{-E_0}{12\pi r_0 \delta^2}. \quad (2)$$

For the different compounds, the energies were optimized with respect to the volume and all other degrees of freedom allowed by the symmetry, like the shape of the unit cell or some atomic positions. The equilibrium volumes  $V_0$ , bulk moduli  $B$ , and formation energies  $E^{form}$  relative to the fcc phases of both pure Al and Zr are presented in Table I. We note that all the formation energies are negative, and thus they characterize Al-Zr as an ordering system.

We examined the stability of the phases  $\text{L1}_2$ ,  $\text{DO}_{22}$ , and  $\text{DO}_{23}$  of  $\text{Al}_3\text{Zr}$  more closely according to relaxations.  $\text{L1}_2$  being cubic, its energy was optimized with respect to the atomic volume only, whereas in the tetragonal  $\text{DO}_{22}$  phase optimization was additionally performed with respect to the  $c/a$  ratio and in the tetragonal  $\text{DO}_{23}$  phase with respect to the  $c/a$  ratio and to the atomic displacements  $\delta_{\text{Al}}$  and  $\delta_{\text{Zr}}$  ( $\delta_{\text{Al}}$  and  $\delta_{\text{Zr}}$  are defined in Fig. 1).

Our results for  $\text{Al}_3\text{Zr}$  agree very well with the experimental ones (Table II). The equilibrium volumes obtained for the  $\text{L1}_2$  and  $\text{DO}_{23}$  phases are lower than the experimental ones, but this is a known feature of the LDA. This can be improved by adding gradient corrections: Colinet and Pasturel<sup>23</sup> using the generalized-gradient approximation instead of the LDA, found a better agreement with experimental data for these equilibrium volumes. After relaxing all the degrees of freedom, we see that  $\text{DO}_{23}$  is the stable phase. As shown previously by Amador *et al.*,<sup>22</sup> also using the FP-LMTO technique, it is not enough to consider only the relaxation of the shape of the unit cell ( $c/a$  ratio) of the phase  $\text{DO}_{23}$  to stabilize it, the atomic displacements  $\delta_{\text{Al}}$  and  $\delta_{\text{Zr}}$  allowed by the symmetry also have to be relaxed, otherwise  $\text{L1}_2$  will still have a lower energy. This was confirmed by Colinet and Pasturel<sup>23</sup> with calculations in the pseudopotential method, and we observed such a behavior too. The values obtained after relaxation for these displacements are close to those measured by neutron diffraction by Amador *et al.*:<sup>22</sup> the sign

of  $\delta_{A1}$  is wrong, but this relative displacement is too close to 0 to be really significant. The enthalpy of transformation from the  $L1_2$  to  $DO_{23}$  structure was measured by Desch *et al.*<sup>24</sup> The experimental value ( $\Delta H = -1.69$  mRy/atom) agrees very well with the value obtained from our calculations ( $\Delta H = -1.72$  mRy/atom), which was not the case with previous calculations.

For  $Zr_3Al$ , we found the phase  $L1_2$  to have the lowest formation energy compared to the two other structures we investigated. This is in agreement with the experimental fact that  $L1_2$  is the stable phase of  $Zr_3Al$ . For other compositions, the experimentally stable structures are not based on an underlying fcc lattice; therefore, no direct comparison can be made with our calculations.

### III. CLUSTER EXPANSION OF THE FORMATION ENERGY

The FP-LMTO method only allows one to calculate the energy of perfectly ordered systems which contain a few atoms per unit cell. Disordered or partially ordered systems can be modeled by supercells, but this requires a too large computational time. Moreover, to compute the free energy of these systems, one needs to calculate the energy of every configuration. This cannot be done directly with *ab initio* calculations, and a cluster expansion has to be used. That is why in the following we will directly use the FP-LMTO calculations only for the perfectly ordered compounds  $Al_3Zr$  in the structures  $L1_2$  and  $DO_{23}$ , and for the solid solution  $Al$ - $Zr$  we will make a cluster expansion.

#### A. Formalism

Considering a binary crystal of  $N$  sites on a rigid lattice, its configuration can be described through an Ising model by the vector  $\boldsymbol{\sigma} = \{\sigma_1, \sigma_2, \dots, \sigma_N\}$  where the pseudospin configuration variable  $\sigma_p$  is equal to  $\pm 1$  if an  $A$  or  $B$  atom occupies the site  $p$ . Any structure is then defined by its density matrix  $\rho^s$ ,  $\rho^s(\boldsymbol{\sigma})$  being the probability of finding the structure  $s$  in the configuration  $\boldsymbol{\sigma}$ .

With any cluster of  $n$  lattice points  $\alpha = \{i_1, i_2, \dots, i_n\}$  we associate the multisite correlation function

$$\zeta_\alpha^s = \text{Tr} \rho^s \prod_{i \in \alpha} \sigma_i = \frac{1}{2^N} \sum_{\boldsymbol{\sigma}} \rho^s(\boldsymbol{\sigma}) \prod_{i \in \alpha} \sigma_i, \quad (3)$$

where the sum has to be performed over the  $2^N$  possible configurations of the lattice.

Clusters related by a translation or a symmetry operation of the point group of the structure have the same correlation functions. Denoting by  $D_\alpha$  the number of such equivalent clusters per lattice site, or degeneracy, any configurational function  $f^s$  can be expanded in the form<sup>25</sup>

$$f^s = \sum_{\alpha} D_{\alpha} f_{\alpha} \zeta_{\alpha}^s, \quad (4)$$

where the sum has to be performed over all nonequivalent clusters, and the coefficients  $f_{\alpha}$  are independent of the structure.

The usefulness of expansion (4) rests on the fast convergence of these coefficients with the size of the clusters, i.e., with the number of points included in the cluster as well as the maximal distance between points inside the cluster. This allows one to truncate the sum using only a finite number of clusters. Knowing the value of the function  $f^s$  for a finite set of structures, the coefficients  $f_{\alpha}$  can then be obtained using the inverse method proposed by Connolly and Williams,<sup>26</sup> i.e., by a matrix inversion if the number of structures is the same as the number of clusters used in the truncated expansion. Here we used more structures than clusters, and obtained the coefficients by a least-squares fit. We can thus check the convergence of the expansion by its ability to reproduce  $f^s$ .

Rather than performing the fit directly on the configurational function  $f^s$ , we did it on the associated excess function which is defined as

$$\Delta f^s = f^s - \frac{1 + \zeta_1^s}{2} f^A - \frac{1 - \zeta_1^s}{2} f^B, \quad (5)$$

where  $\zeta_1^s$  is the point correlation and  $f^A$  and  $f^B$  are the values of the function  $f^s$  for a lattice occupied by only atoms  $A$  ( $\zeta_1 = 1$ ) and  $B$  ( $\zeta_1 = -1$ ), respectively. In the case of the energy, this excess function is nothing else but the formation energy. Using expansion (4), we obtained

$$\Delta f^s = \sum_{\alpha, |\alpha| \geq 2} D_{\alpha} f_{\alpha} \left[ \zeta_{\alpha}^s - \frac{1 + (-1)^{|\alpha|}}{2} - \zeta_1^s \frac{1 - (-1)^{|\alpha|}}{2} \right], \quad (6)$$

where  $|\alpha|$  denotes the number of points contained in the cluster  $\alpha$ .

Applying the Connolly-Williams method to expression (6) rather than to Eq. (4) allows one easily to impose the condition that  $\Delta f^s$  should be equal to zero for pure  $A$  and pure  $B$ . Thus we obtain the coefficients  $f_{\alpha}$  only for clusters containing more than one point, the coefficients  $f_0$  and  $f_1$  of the empty and point clusters then being obtained by the relations

$$f_0 = \frac{f_A + f_B}{2} - \sum_{\alpha, |\alpha| \geq 2} \frac{1 + (-1)^{|\alpha|}}{2} D_{\alpha} f_{\alpha}, \quad (7a)$$

$$f_1 = \frac{f_A - f_B}{2} - \sum_{\alpha, |\alpha| \geq 2} \frac{1 - (-1)^{|\alpha|}}{2} D_{\alpha} f_{\alpha}. \quad (7b)$$

#### B. Relaxations

The volume of a structure, like any other property, depends on its configuration. But this volume enters directly into the cluster expansion as the coefficients  $f_{\alpha}$  have to be calculated for a given volume. As we are generally interested in the equilibrium properties, this leads to some relaxations. In this study we will consider these volume relaxations in two different ways, globally and totally relaxed expansions.<sup>27,28</sup>

We first can make the cluster expansion explicitly volume dependent, writing

$$f^s(V) = \sum_{\alpha} D_{\alpha} f_{\alpha}(V) \zeta_{\alpha}^s, \quad (8)$$

where the coefficients  $f_{\alpha}(V)$  are obtained by calculating the property  $f^s$  for different structures at the same volume  $V$ , the other degrees of freedom (shape of the unit cell and positions of atoms) being relaxed, and then by using the Connolly-Williams method. Doing such a cluster expansion for the energy, we can then deduce the equilibrium volume associated with a given configuration by minimizing with respect to the volume its energy as given by expression (8). This is known as the globally relaxed scheme, and is based on the assumption that the volume occupied by every cluster is independent on its configuration. Such an assumption is questionable in cases where there is a significant difference between the atomic volumes of the constituent elements as in the Al-Zr system.

Another way to consider relaxations of the volume is to calculate the coefficients  $f_{\alpha}$  from the equilibrium values  $f^s(V_0^s)$ , where everything including the volume is allowed to relax. The coefficients  $f_{\alpha}$  are then volume independent, and the values predicted by the expansion are directly the ones at equilibrium. Such a treatment is called a totally relaxed expansion. This expansion is still rigorous from a mathematical point of view, since the relaxations are themselves functions of the configuration, so the relaxed structures will also be.

### C. Results

#### 1. Total relaxations

We first made a cluster expansion of the equilibrium volume, the bulk modulus, and the formation energy for the Al-Zr system on a fcc lattice: we are thus performing three different totally relaxed expansions. To perform the least-squares fit of the expansion, we used the 26 structures for which these equilibrium properties were obtained from our FP-LMTO calculations (Table I). The best agreement between our *ab initio* calculations and their expansion was obtained when using 17 clusters: the empty cluster  $\{0\}$ , the point cluster  $\{1\}$ , the pairs from first to seventh nearest neighbors  $\{2,1\} \dots \{2,7\}$ , seven triangles  $\{3,1\} \dots \{3,7\}$  presented in Figs. 2(a)–2(g) and the tetrahedron of first nearest neighbors  $\{4,1\}$  [Fig. 2(h)]. The values of the coefficients obtained for these three totally relaxed expansions are presented in Table III, and the errors compared to the direct calculation for the 25 structures in Table IV.

Looking at the cluster expansion of the formation energy, it can be seen that the maximum difference between the energy given by the expansion and the one directly obtained from the FP-LMTO calculations is 4.0 mRy/atom, and that the standard deviation is 1.4 mRy/atom. We did not manage to find a better set of clusters producing a smaller error: as we still had more structures than clusters to fit, we tried to include more clusters like the pair to the eighth-nearest neighbor, but this did not improve the difference between our FP-LMTO calculations and their expansion. Such an error does not allow one to reproduce the relative stability between different ordered compounds at a same concentration, for instance between the phases  $L1_2$ ,  $DO_{22}$ , and  $DO_{23}$  of  $Al_3Zr$ .

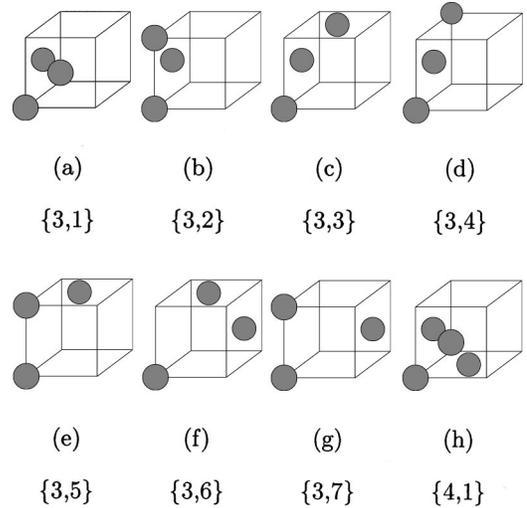


FIG. 2. Definition of the three- and four-point clusters on the fcc lattice used for the expansion.

However, as we are interested in using the cluster expansion only for the solid solution Al-Zr, this is not a problem: for perfectly ordered compounds we can directly use the results of our *ab initio* calculations.

For the equilibrium volume, we can compare the accuracy of the cluster expansion with the one of the Vegard's law which assumes a linear relation between the atomic volume and the concentration. The standard deviation of the Vegard's law is 0.427 Å<sup>3</sup>/atom. Such an important error occurs for none of the considered structures and we have thus obtained an important improvement by not considering only the empty and point clusters, as one does in Vegard's law. For

TABLE III. Cluster expansion of the equilibrium volume (coefficients  $V_{\alpha}$ ), bulk modulus ( $B_{\alpha}$ ), and formation energy ( $J_{\alpha}$ ) in the total relaxation scheme.

Cluster	$D_{\alpha}$	$V_{\alpha}$ (Å <sup>3</sup> /atom)	$B_{\alpha}$ (GPa)	$J_{\alpha}$ (mRy/atom)
$\{0\}$	1	18.587	98.15	-625.05
$\{1\}$	1	-3.230	-11.12	419.87
$\{2,1\}$	6	0.149	-1.12	3.69
$\{2,2\}$	3	-0.128	1.88	-3.86
$\{2,3\}$	12	-0.013	-0.09	0.07
$\{2,4\}$	6	-0.027	-0.11	-0.15
$\{2,5\}$	12	-0.037	0.07	0.16
$\{2,6\}$	4	0.009	-0.30	0.93
$\{2,7\}$	24	0.014	-0.17	0.18
$\{3,1\}$	8	0.013	-0.14	1.74
$\{3,2\}$	12	-0.031	-0.40	-0.45
$\{3,3\}$	24	-0.001	-0.14	-0.55
$\{3,4\}$	6	0.023	0.21	-0.31
$\{3,5\}$	24	0.004	0.21	-0.33
$\{3,6\}$	24	0.010	0.14	0.22
$\{3,7\}$	24	0.005	0.06	0.54
$\{4,1\}$	2	0.030	-0.55	0.88

TABLE IV. Deviations for the cluster expansion of the equilibrium volume ( $\delta V_0$ ), the bulk modulus ( $\delta B$ ), and the formation energy ( $\delta E^{form}$ ) in the total relaxations scheme.

	$\delta V_0$ ( $\text{\AA}^3/\text{atom}$ )	$\delta B$ (GPa)	$\delta E^{form}$ (mRy/atom)
Al (fcc)	0	0	0
Al <sub>3</sub> Zr	0.052	0.30	0.42
Al <sub>15</sub> Zr	0.035	0.83	-1.02
Al <sub>8</sub> Zr (NbNi <sub>8</sub> )	0.157	-1.05	4.01
Al <sub>7</sub> Zr (D1)	0.018	0.31	0.87
Al <sub>4</sub> Zr (D1 <sub>a</sub> )	-0.012	-1.62	1.96
Al <sub>3</sub> Zr (L1 <sub>2</sub> )	-0.109	-0.02	-0.21
Al <sub>3</sub> Zr (DO <sub>22</sub> )	-0.029	0.63	-0.58
Al <sub>3</sub> Zr (DO <sub>23</sub> )	-0.079	0.73	-2.10
Al <sub>2</sub> Zr ( $\alpha$ )	-0.017	0.10	-0.36
Al <sub>2</sub> Zr ( $\beta$ )	-0.028	0.52	-1.11
Al <sub>2</sub> Zr ( $\gamma$ )	-0.041	0.60	-1.38
AlZr (L1 <sub>0</sub> )	0.219	-1.18	1.96
AlZr (L1 <sub>1</sub> )	0.323	-0.86	0.60
AlZr (CH40)	0.077	-0.11	0.56
AlZr (D4)	-0.348	0.64	-1.20
AlZr (Z2)	0	0.41	0.49
Zr <sub>2</sub> Al ( $\alpha$ )	0.011	0.03	0.16
Zr <sub>2</sub> Al ( $\beta$ )	-0.007	0.46	-0.71
Zr <sub>2</sub> Al ( $\gamma$ )	0.002	0.49	-0.59
Zr <sub>3</sub> Al (L1 <sub>2</sub> )	-0.095	1.21	-0.32
Zr <sub>3</sub> Al (DO <sub>22</sub> )	-0.038	2.01	-1.29
Zr <sub>3</sub> Al (DO <sub>23</sub> )	-0.061	-2.03	-0.69
Zr <sub>4</sub> Al (D1 <sub>a</sub> )	-0.012	-1.62	1.96
Zr <sub>7</sub> Al (D1)	0.085	0.32	1.78
Zr (fcc)	0	0	0
Standard deviation	0.116	0.91	1.33

the bulk modulus, the accuracy of our FP-LMTO being of the order of 1 GPa, here too we can consider the convergence of the cluster expansion to be good.

## 2. Global relaxations

Using the same sets of clusters and structures, we expanded the ground-state energy in 21 different volumes between 14 and 24  $\text{\AA}^3/\text{atom}$ . For each structure, these 21 expansions gave the ground-state energy of the relaxed structures at the corresponding fixed volume. We then used these results to obtain the volume, the bulk modulus, and the ground-state energy at equilibrium by fitting the Rose equation of state.<sup>21</sup>

The maximal and standard deviations between the properties deduced from the expansion and the ones directly obtained from the FP-LMTO calculations are shown in Table V. They are close to what we previously obtained in the total relaxation scheme. Actually, we did not obtain any important difference between the results obtained according to the way volume relaxations are considered. For each structure the deviation is quite the same in both cases, this being true for the formation energy as well as for the equilibrium volume

TABLE V. Deviations for the cluster expansion of the equilibrium volume ( $\delta V_0$ ), the bulk modulus ( $\delta B$ ), and the formation energy ( $\delta E^{form}$ ) obtained in the global relaxations scheme.

	$\delta V_0$ ( $\text{\AA}^3/\text{atom}$ )	$\delta B$ (GPa)	$\delta E^{form}$ (mRy/atom)
Maximal deviation	-0.351	-2.26	5.15
Standard deviation	0.120	0.94	1.44

and the bulk modulus. Such a result could not have been easily predicted as the size difference between Al and Zr is quite important: the atomic volumes given by our calculations for the fcc structures of Al and Zr are 15.82 and 21.70  $\text{\AA}^3$  (Table I), respectively. This proves that the globally and locally relaxed expansions are equivalent. As the totally relaxed expansion only gives us one set of coefficients for the whole range of volumes, it is more convenient; we will use this expansion in the following.

## IV. FINITE-TEMPERATURE PROPERTIES

At finite temperature, the vibrational and electronic contributions as well as the configurational entropy have to be included in the description of the system. Considering two different time scales, a slow one for the configurational effects and a much faster for vibrations and electronic excitations,<sup>4</sup> we define vibrational and electronic free energies,  $F^{vib}(\boldsymbol{\sigma})$  and  $F^{el}(\boldsymbol{\sigma})$ , both depending on the configuration. Using the variational principle, the free energy is obtained by minimizing the functional

$$F[\rho] = \langle E_0 \rangle + \langle F^{vib} \rangle + \langle F^{el} \rangle + k_B T \langle \ln \rho \rangle, \quad (9)$$

where  $k_B$  is the Boltzmann constant and  $\rho$  the density matrix.

The cluster expansion of the formation energy at  $T = 0$  K gives us an expression for the cohesive part of the functional of Eq. (9). We do not have to take into account any variation of the lattice parameter with temperature, as we choose to work in the harmonic approximation: Ozoliņš and Asta<sup>7</sup> showed on the solubility limit of Sc in Al that there was only a small improvement when going from the harmonic approximation to the quasiharmonic approximation. Similar expressions have to be found for the electronic and vibrational parts of Expression (9). The minimization of  $F[\rho]$  with respect to  $\rho$  will then be done in the Bragg-Williams approximation.

### A. Electronic free energy

At a temperature of 0 K, all electronic states of energy below the Fermi level  $\epsilon_f$  are occupied, whereas the ones above are empty. At finite temperature, the electrons close to the Fermi levels can be promoted to states of higher energies according to the Fermi-Dirac distribution  $f(\epsilon, T)$ . The electronic excitations induce a change of the charge density and thus of the effective potential of the one electron Hamiltonian. This leads the electronic density of states (DOS)  $n(\epsilon)$  to be temperature dependent. But the changes induced on the total energy and on the entropy by this temperature depen-

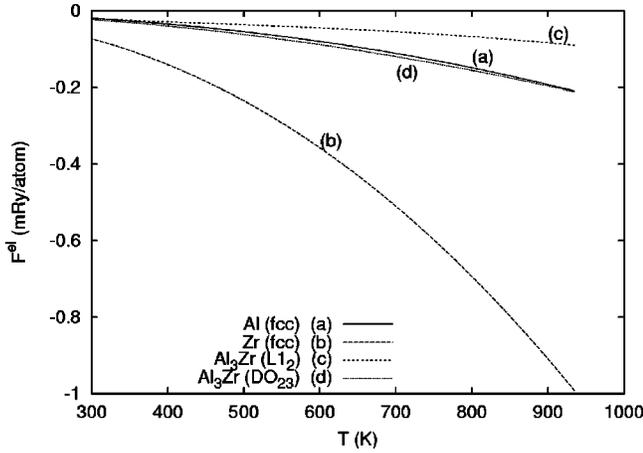


FIG. 3. Electronic free energy  $F^{el} = \Delta E^{el} - TS^{el}$ .

dence of the electronic DOS are small.<sup>5</sup> We thus assumed the electronic DOS to be temperature independent and equal to the one obtained at  $T=0$ . The energy change  $\Delta E^{el}(T)$  and the entropy  $S^{el}(T)$  due to electronic excitations are then

$$\Delta E^{el} = \int_{-\infty}^{\infty} \epsilon n(\epsilon) [f(\epsilon, T) - f(\epsilon, 0)] d\epsilon, \quad (10a)$$

$$S^{el} = -k_B \int_{-\infty}^{\infty} n(\epsilon) \{ f(\epsilon, T) \ln[f(\epsilon, T)] + [1 - f(\epsilon, T)] \ln[1 - f(\epsilon, T)] \} d\epsilon. \quad (10b)$$

We calculated the electronic contribution to the free energy,  $F^{el} = \Delta E^{el} - TS^{el}$ , for the structures Al (fcc), Zr (fcc),  $\text{Al}_3\text{Zr}$  ( $L1_2$ ), and  $\text{Al}_3\text{Zr}$  ( $\text{DO}_{23}$ ) (Fig. 3). In the range of temperature of interest, i.e., below 1000 K, this electronic contribution is smaller than 1 mRy/atom, and so is the excess free energy associated. This is the same range of order as the accuracy of the cluster expansion of the formation energy. We thus chose to neglect this contribution to the free energy.

## B. Vibrational free energy

We studied the vibrational effects in the harmonic approximation, comparing the ability of the Debye model with a phonon calculation to predict the thermodynamic properties.

### 1. Phonon calculation

A calculation of the phonon DOS  $n(\omega)$  allows one to compute the vibrational free energy. For temperatures higher than 300 K, it is enough to consider only its high-temperature expression

$$F^{vib} = k_B T \left[ -3 \ln(k_B T) + \int_0^{\infty} \ln(\hbar \omega) n(\omega) d\omega \right] + O\left(\frac{1}{T}\right). \quad (11)$$

Phonon DOS's were calculated for Al (fcc), Zr (fcc),  $\text{Al}_3\text{Zr}$  ( $L1_2$ ), and  $\text{Al}_3\text{Zr}$  ( $\text{DO}_{23}$ ) in the linear-response theory

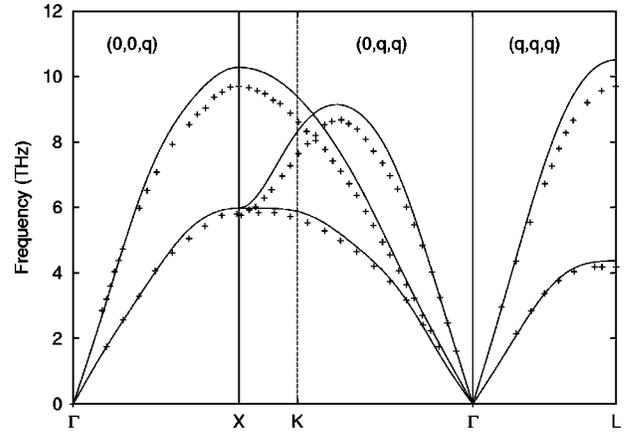


FIG. 4. Calculated phonon dispersions for Al fcc (solid line) compared to experimental data (Ref. 33 and 34) (crosses).

framework.<sup>29</sup> We used energy-independent MTO's as a basis for representing the first-order correction to the one electron wave functions in the implementation developed by Savrasov.<sup>30,31</sup> These calculations were performed in the LDA using the parametrization of Moruzzi, Janak, and Williams.<sup>32</sup> The radii of the muffin-tin spheres were taken to be equal to the ones of the band structure calculation. For valence states, the basis used was the same, whereas the  $4s$  and  $4p$  states of Zr were treated in two different panels with respective kinetic energies  $\kappa^2$  of 2.7 and 1.1 mRy. For fcc structures, phonon frequencies were calculated on a grid of  $8 \times 8 \times 8$  wave vectors  $\vec{q}$  leading to 29 points in the irreducible Brillouin zone (IBZ), for  $L1_2$  a grid of  $5 \times 5 \times 5$  leading to ten points in the IBZ was used, and for  $\text{DO}_{23}$  a grid of  $4 \times 4 \times 4$  wave vectors leading to 13 points.

The calculated phonon dispersion for Al fcc is compared in Fig. 4 to the measurements of Refs. 33 and 34 for three different high-symmetry directions. We see that our calculation overestimates the phonon frequency. Other phonon calculations for Al fcc,<sup>35-37</sup> also using linear-response theory, obtained a better agreement with experimental data. They all used a plane-wave basis in the pseudopotential framework, but the use of an energy-independent MTO as a basis does not seem to be the reason of the discrepancy with experimental data in our case, as Savrasov showed for Nb (Ref. 30) as well as for NbC and Si (Ref. 31) that this basis was well-suited to obtain phonon dispersion.

The phonon DOS's obtained from these calculations for Al (fcc), Zr (fcc),  $\text{Al}_3\text{Zr}$  ( $L1_2$ ), and  $\text{Al}_3\text{Zr}$  ( $\text{DO}_{23}$ ) are presented in Fig. 5. For Al (fcc), we compared our calculated phonon DOS with experimental ones. Experimental DOS's (Refs. 34, 38, and 39) were obtained by means of a Born-von Karman model. Force constants were fitted up to the eighth-nearest neighbors in order to reproduce the phonon measurements in high-symmetry directions of Refs. 33 and 34, the Born-von Karman model being used then to compute the frequency distribution. We can also see in the phonon DOS that our calculated frequencies are slightly too high. Nevertheless, the shape of the frequency distribution is correct.

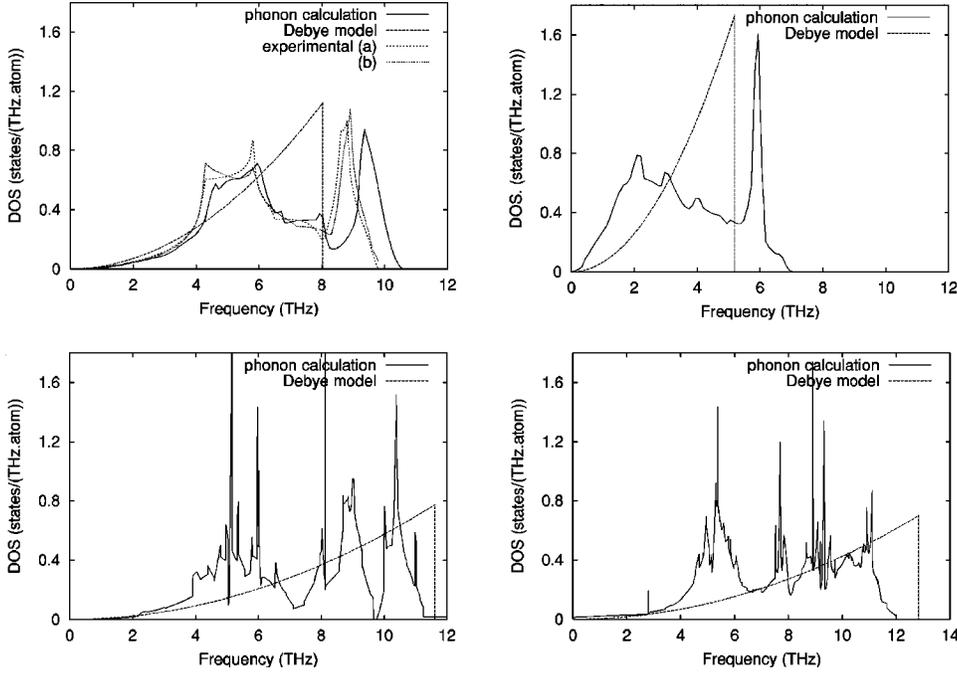


FIG. 5. Phonon densities of states. (a) Al (fcc): experimental density (a) is from Refs. 34 and 38, and (b) from Refs. 34 and 39. (b) Zr (fcc). (c) Al<sub>3</sub>Zr (L1<sub>2</sub>). (d) Al<sub>3</sub>Zr (DO<sub>23</sub>).

## 2. Debye model

The Debye model assumes a linear dispersion between the phonon frequency and its wave vector. This leads to the following high-temperature expression of the vibrational free energy

$$F^{vib} = k_B T \left[ -1 + 3 \ln \left( \frac{\theta_D}{T} \right) \right] + O \left( \frac{1}{T} \right), \quad (12)$$

where the Debye temperature  $\theta_D$  is obtained from the elastic constants of the structures.<sup>40</sup>

The elastic constants were obtained by means of FP-LMTO calculations using the same set of parameters as for the formation energy calculations. The unit cell of the crystal was deformed around its equilibrium position in order to obtain the second derivative of the energy at its minimum, which can be then related to the elastic tensor.<sup>41,42</sup> During this deformation, no relaxation was allowed. For the DO<sub>23</sub> structure, the  $c/a$  ratio and the position  $\delta_{Al}$  and  $\delta_{Zr}$  of the atoms were frozen at their equilibrium value. For some of the deformations, we checked that these relaxations did not much change the values of the elastic constants. Moreover,

as we are lowering the symmetry of the structure by deforming it, some new degrees of freedom can appear; however we did not consider these either.

The elastic constants calculated with the FP-LMTO are compared to the experimental ones in Table VI. The discrepancy between the calculated and experimental constants is on the order of 10%. This leads to some differences between the Debye temperatures obtained from these calculated constants and the ones obtained from the experimental constants, but the relative positions of these temperatures are correctly predicted.

In Table VII, we show the Debye temperatures obtained from a calculation of the elastic tensor for cubic structures fcc, D1, and L1<sub>2</sub> of the Al-Zr system. Structure D4 of AlZr is also cubic, but this phase was found to be mechanically unstable through a Bain deformation path and cannot be used to calculate a Debye temperature.

## 3. Comparison for ordered compounds

As we calculated the phonon spectrum for Al<sub>3</sub>Zr for the stable structure DO<sub>23</sub> and the metastable one L1<sub>2</sub>, we were

TABLE VI. Elastic constant  $C_{ij}$  (in GPa) calculated with the FP-LMTO method, compared to experimental values for Al (fcc), Al<sub>3</sub>Zr (DO<sub>23</sub>), and Zr (hcp), and Debye temperature  $\theta_D$ . Debye temperatures, obtained by calorimetric measurements of the specific heat, when available, are given in brackets.

		$C_{11}$	$C_{33}$	$C_{12}$	$C_{13}$	$C_{44}$	$C_{66}$	$\theta_D$ (K)
Al (fcc)	FP-LMTO	101.5	...	70.4	...	31.7	...	385
	expt. (Refs. 45 and 46)	114.3	...	61.9	...	31.6	...	431 (428) <sup>43</sup>
Al <sub>3</sub> Zr (DO <sub>23</sub> )	FP-LMTO	215.3	228.2	54.1	33.3	103.2	123.5	616
	expt. (Ref. 47) <sup>a</sup>	208.8	208.3	70.5	49.1	87.2	102.2	575
Zr (hcp)	FP-LMTO	153.1	171.2	63.4	76.5	22.4	44.9	262
	expt. (Refs. 45 and 48)	155.4	172.5	67.2	64.6	36.3	44.1	299 (310) <sup>44</sup>

<sup>a</sup>Measured at room temperature.

TABLE VII. Elastic constants  $C_{ij}$  (in GPa) for Al-Zr compounds of cubic symmetry and Debye temperature  $\theta_D$ .

	$C_{11}$	$C_{12}$	$C_{44}$	$\theta_D$ (K)
Al (fcc)	101.5	70.4	31.7	385
Al <sub>7</sub> Zr (D1)	136.5	62.7	45.8	449
Al <sub>3</sub> Zr (L1 <sub>2</sub> )	187.3	55.7	95.1	557
Zr <sub>3</sub> Al (L1 <sub>2</sub> )	163.8	79.3	86.5	388
Zr <sub>7</sub> Al (D1)	136.3	84.4	56.6	300
Zr (fcc)	121.4	87.1	45.7	249

able to compare the excess vibrational free energy  $\Delta F^{vib}$  obtained from the phonon DOS and the Debye model, the reference phases being Al (fcc) and Zr (fcc) (high-temperature expressions are given in Table VIII). We thus see that the Debye model makes an important error in predicting this excess free energy, as it overestimates it by a factor  $\sim 2$ . This error comes from the inability of the Debye model to reproduce the phonon DOS, as shown in Fig. 5. Moreover the phonon calculation shows that the two considered structures of Al<sub>3</sub>Zr should have the same vibrational free energy which is not correctly predicted by the Debye model. This error of the Debye model would lead to a stabilization of the phase L1<sub>2</sub> at high temperatures ( $T \geq 905$  K), which is not true experimentally. In order to describe the relative stability of these two ordered phases of Al<sub>3</sub>Zr correctly, we cannot use the Debye model; and we have to use the previous phonon calculations.

#### 4. Cluster expansion for the disordered phase

For the vibrational free energy of the disordered phase, we made a cluster expansion of the vibrational free energies of several ordered structures. As the Debye model only requires a calculation of the elastic tensor, which is much faster than a calculation of the whole phonon spectrum, we used it to calculate the vibrational free energy of these ordered compounds (the Debye temperatures used are in Table VII). By doing so we saw previously that we overestimate  $\Delta F^{vib}$ , but a calculation of the phonon spectrum is not conceivable for a number of structures large enough to fit the cluster expansion. We then have to accept such an error.

Looking at the high-temperature expression of the vibrational free energy given by the Debye model [Eq. (12)], we can make the cluster expansion

TABLE VIII. Comparison of the high temperature expressions of the vibrational free energy obtained with the phonon calculation and the Debye model.

Al <sub>3</sub> Zr (L1 <sub>2</sub> )	Phonons	$\Delta F^{vib} = 0.85k_B T + O(1/T)$
	Debye	$= 1.44k_B T + O(1/T)$
Al <sub>3</sub> Zr (DO <sub>23</sub> )	Phonons	$\Delta F^{vib} = 0.85k_B T + O(1/T)$
	Debye	$= 1.74k_B T + O(1/T)$

$$3 \ln \theta_D - 1 = \sum_{\alpha} D_{\alpha} J_{\alpha} \zeta_{\alpha}, \quad (13)$$

which allows us to write the vibrational free energy as

$$F^{vib} = k_B T \left[ \sum_{\alpha} D_{\alpha} J_{\alpha} \zeta_{\alpha} - 3 \ln T \right]. \quad (14)$$

By doing so, the temperature dependence of the free energy is made very simple, and we do not have to make a cluster expansion of the free energy at every temperature.

We only used four clusters in the truncated expansion: the empty cluster  $\{0\}$ , the point cluster  $\{1\}$ , the pair  $\{2,1\}$  of first-nearest neighbors, and the triangle  $\{3,1\}$  of first-nearest neighbors. The eight structures of Table VII were used to fit the coefficients of the expansion. The results of this expansion are presented in Table IX(a), and the deviations in Table IX(b). Although only a few clusters were used in this expansion, the convergence is very good.

#### C. Bragg-Williams approximation

We thus obtained an expression for the different parts of the free energy functional  $F[\rho]$  of expression (9): the cohesive part is given by the cluster expansion of the FP-LMTO calculations (coefficients in Table III), the vibrational energy by the expression (14) with the coefficients of Table IX(a), and the electronic contribution can be neglected. The functional  $F[\rho]$  is minimized in the Bragg-Williams approximation. This assumes that there is no short range order and that the correlation functions can be factorized over the mean values of the pseudo spin variable  $\langle \sigma_n \rangle$  for the lattice sites contained in the cluster,

$$\zeta_{\alpha} = \left\langle \prod_{i \in \alpha} \sigma_i \right\rangle = \prod_{i \in \alpha} \langle \sigma_i \rangle. \quad (15)$$

TABLE IX. Cluster expansion of the function  $f^s = 3 \ln \theta_D - 1$  for the vibrational free energy.

Cluster	(a) Coefficients of the expansion.		(b) Deviation $\delta f^s$ of the expansion.		
	$D_{\alpha}$	$J_{\alpha}$		$f^s$	$\delta f^s$
$\{0\}$	1	17.385	Al (fcc)	16.86	0
$\{1\}$	1	0.874	Al <sub>7</sub> Zr (D1)	17.32	-0.08
$\{2,1\}$	6	-0.197	Al <sub>3</sub> Zr (L1 <sub>2</sub> )	17.97	0.04
$\{3,1\}$	8	-0.027	Zr <sub>3</sub> Al (L1 <sub>2</sub> )	16.88	0.04
			Zr <sub>7</sub> Al (D1)	16.11	-0.08
			Zr (fcc)	15.55	0

The Bragg-Williams approximation thus assumes that the lattice sites interact only through their mean occupancy and neglects all correlations between different sites. This can be improved by using the Cluster Variation Method (CVM),<sup>49</sup> but in the case of a low solubility, no really important improvement is expected when going from the Bragg-Williams approximation to the CVM. Moreover, the computational time necessary to obtain the free energy by means of the CVM increases a lot with the size of the maximal cluster. As Zr has a really low solubility in Al (fcc) and as the long range interactions of the cluster expansion of the formation energy requires a too large cluster, we chose to work with the Bragg-Williams approximation.

Within the Bragg-Williams approximation, the configurational entropy has the following expression for a binary compound:

$$S[\rho] = -k_B \sum_n (1 + \langle \sigma_n \rangle) \ln(1 + \langle \sigma_n \rangle) + (1 - \langle \sigma_n \rangle) \ln(1 - \langle \sigma_n \rangle). \quad (16)$$

### 1. Disordered phase

For a disordered state, all lattice sites are equivalent by symmetry. They thus have the same point correlation  $\zeta_1 = 2x - 1$ , where  $x$  is the Zr atomic concentration. Consequently any correlation function can be written in terms of the point correlation:

$$\zeta_\alpha = \zeta_1^{|\alpha|}. \quad (17)$$

The cluster expansion of the function  $f^s$ , using expression (6) of the excess function  $\Delta f^s$ , can then be expressed as a function of the point correlation, or equally as a function of the concentration. This leads to an expression similar to the way the internal energy of a solid solution is written in a Redlich-Kister model, which is of common use in the Calphad method,<sup>50</sup>

$$f^s = x f^A + (1-x) f^B + x(1-x) \sum_{n \geq 0} L_n (2x-1)^n, \quad (18)$$

where the coefficients  $L_n$  are obtained from the coefficients  $J_\alpha$  by the relations

$$L_n = -4 \sum_{i \geq 1} \sum_{\substack{\alpha \\ |\alpha|=n+2i}} D_\alpha f_\alpha. \quad (19)$$

Using expression (16) for the entropy, for the free energy of the disordered fcc solid solution  $\text{Al}_{(1-x)}\text{Zr}_x$  we obtain

$$F(x) = (1-x) U^{\text{Al}, \text{fcc}} + x U^{\text{Zr}, \text{fcc}} + k_B T [x \ln x + (1-x) \ln(1-x)] + x(1-x) \sum_{n \geq 0} L_n (2x-1)^n. \quad (20)$$

TABLE X. Parameter  $\Omega$  (in mRy/atom) of the excess free energy for the fcc regular solid solution Al-Zr deduced from *ab initio* calculations, and compared to values obtained by a fit of the experimental phase diagram.

Present work	$\Omega = -110.42 + 35.37 \times 10^{-3} T$
Saunders (Ref. 51)	$= -87.60 + 22.85 \times 10^{-3} T$
Murray <i>et al.</i> (Ref. 52)	$= -85.08 + 31.01 \times 10^{-3} T$

The Redlich-Kister coefficients are obtained from the cluster expansion of the formation energy and the cluster expansion of the vibrational free energy calculated in the Debye approximation:

$$L_0 = -89.09 + 29.9 \times 10^{-3} T \text{ mRy/atom},$$

$$L_1 = -14.30 + 5.47 \times 10^{-3} T \text{ mRy/atom}, \quad (21)$$

$$L_2 = -7.03 \text{ mRy/atom}.$$

For a dilute solution ( $x \ll 1$ ), expression (20) is equivalent to the free energy of a regular solution, the excess free energy then being  $x(1-x)\Omega = x(1-x)(L_0 + L_1 + L_2)$ . In Table X we compare the value of  $\Omega$  obtained from our calculations to the values obtained by a fit of the phase diagram through a Calphad approach.<sup>51,52</sup>

### 2. Line compounds

$\text{Al}_3\text{Zr}$  in  $\text{DO}_{23}$  or  $\text{L1}_2$  structures can be considered as a line compound, i.e., perfectly ordered: both structures are composed of interpenetrating sublattices of pure Al and pure Zr. The configurational entropy of such line compounds can be neglected and these structures only exist for a concentration  $x = 1/4$ . We checked with a calculation using previous cluster expansions of the formation and vibrational energies that this assumption was correct in the range of temperature of interest when looking at equilibrium with the solid solution. The free energy of these compounds is then simply given by

$$F^{\text{Al}_3\text{Zr}} = \frac{3}{4} U^{\text{Al}, \text{fcc}} + \frac{1}{4} U^{\text{Zr}, \text{fcc}} + \Delta U^{\text{Al}_3\text{Zr}}, \quad (22)$$

where  $\Delta U^{\text{Al}_3\text{Zr}}$  (in mRy/atom) is obtained from our previous calculations of the formation energy (Table I) and of the excess vibrational free energy calculated from the phonon DOS (Table VIII):

$$\Delta U^{\text{Al}_3\text{Zr}, \text{L1}_2} = -39.00 + 5.38 \times 10^{-3} T, \quad (23a)$$

$$\Delta U^{\text{Al}_3\text{Zr}, \text{DO}_{23}} = -40.72 + 5.38 \times 10^{-3} T. \quad (23b)$$

### D. Solubility limit of Zr in Al (fcc)

Using previous expressions for the free energies of the disordered phase and the line compounds  $\text{Al}_3\text{Zr}$ , we obtained the solubility limit of Zr in Al (fcc), both in the stable phase diagram when considering the structure  $\text{DO}_{23}$  for  $\text{Al}_3\text{Zr}$  and in the metastable one when considering the structure  $\text{L1}_2$ . As

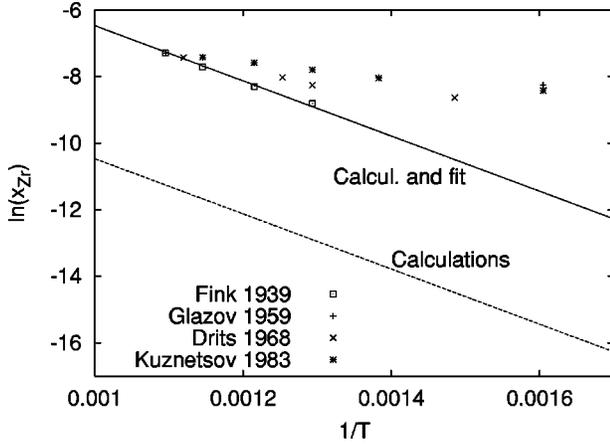


FIG. 6. Fit of the entropy of the solid solution so as to reproduce Fink experimental data.

we are in the case of a dilute solid solution, the solubility limit of Zr in Al (fcc) is an analytical function of the temperature:<sup>53</sup>

$$x = \exp\left(\frac{4\Delta U^{Al_3Zr} - \Omega}{k_B T}\right). \quad (24)$$

The solubility we obtained is too low: at the melting temperature of the peritectic it is equal to 0.0016-at. % Zr, whereas the one deduced from experimental data is 0.08-at. % Zr.<sup>52</sup> When comparing the variation with respect to  $1/T$  of  $\ln x$  with experimental measurements, we obtain a straight line having the same slope as the Fink and Willey data<sup>8</sup> (cf. Fig. 6). This shows that our calculations provide an approximation of the enthalpy difference between the solid solution and the  $DO_{23}$  ordered compound which is consistent with Fink and Willey's data, and that the discrepancy on the solubility limit only arises from an error on the estimation of the entropy difference. Computing the solubility limit of Sc in Al, Asta *et al.*<sup>54</sup> reached the same conclusion that *ab initio* calculations correctly predict the enthalpy difference between the ordered compound and the solid solution when compared to experimental data. In our case, the error on the entropic terms may come from an overestimation of the vibrational free energy of the disordered phase due to the use of the Debye model for this phase. As for the structures  $DO_{23}$  and  $L1_2$  of  $Al_3Zr$ , the Debye model overestimates the excess vibrational free energy by a factor  $\sim 2$  (Table VIII); we believe this leads to an error of the same range for the solid solution.

Leaving the enthalpic part unchanged, we correct the entropic part of the parameter  $\Omega$  defining the excess free energy of the solid solution, so as to obtain a perfect agreement with Fink data (cf. Fig. 6), and we obtain

$$\Omega = -110.42 + 10.07 \times 10^{-3} T \text{ mRy/atom}. \quad (25)$$

We thus obtain a stable solubility limit that is consistent with the Fink and Willey measurements, and we are now able to predict the metastable limit using expression (25) to evaluate the excess free energy of the solid solution (cf. Fig. 7). As the structures  $DO_{23}$  and  $L1_2$  of  $Al_3Zr$  have the same vibra-

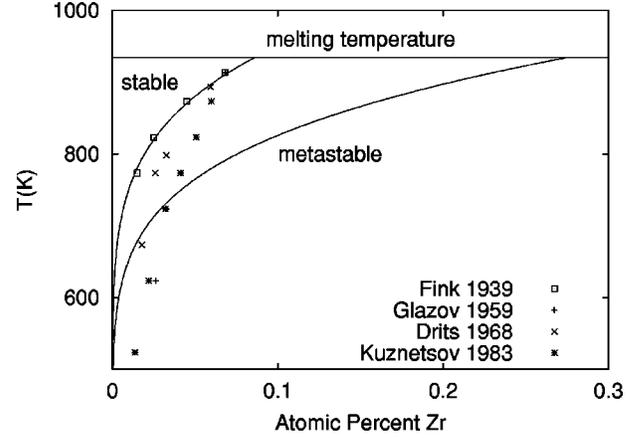


FIG. 7. Calculated stable and metastable solubility limits of Zr in Al compared to experimental data (Refs. 8–11).

tional free energy, the difference of solubility limit is only due to the difference of ground-state energies of these two phases. At the melting temperature of the peritectic, we obtain a maximal metastable solubility limit equal to 0.275-at. % Zr.

This is to be compared to the results obtained by a Calphad method. Murray *et al.*<sup>52</sup> modeled the metastable phase of  $Al_3Zr$  as a line compound. They assumed that only an enthalpy term, and no entropy term, contributes to the free-energy difference between the stable and metastable phases. This was done to guarantee that  $L1_2$  does not become stable at high temperature. Moreover, there is no experimental data that allows one to estimate the entropy of the  $L1_2$  phase. Our calculation of the vibrational free energy shows that such an approximation was correct. The enthalpy difference between the two compounds was assumed to arise from the coherency of the  $L1_2$  phase with the matrix. From the elastic properties of Al and an estimate of the composition dependence of the lattice parameter, Murray *et al.* calculated an elastic energy of 1.52 mRy/atom. This estimation is quite close to our calculation ( $\Delta H = 1.72$  mRy/atom) as well as to the experimental measurement of Desch *et al.*<sup>24</sup> ( $\Delta H = 1.69$  mRy/atom). Murray *et al.* thus obtained a solubility limit that is higher in the metastable phase diagram than in the stable one, and their prevision is very close to our result: they predicted a maximal metastable solubility limit equal to 0.21 at. %.

In another Calphad study, Saunders<sup>51</sup> used the Gibbs energy for the disordered Al (fcc) solution, as derived from the stable equilibrium diagram, to construct the Gibbs energy of the ordered  $L1_2$  phase in the Bragg-Williams approximation. He found a higher solubility limit for Zr in the metastable phase diagram than Murray *et al.*, as he predicted a metastable solvus composition of 0.3-at. % Zr at the melting temperature of the peritectic.

Our study thus allows one to estimate the free-energy difference between the stable and metastable phases of  $Al_3Zr$ , a quantity which is not available experimentally and has to be guessed in these Calphad studies. One thus sees how it is possible to improve the thermodynamic database available to Calphad methods.

## V. CONCLUSION

The equation of state for several compounds in the Al-Zr system has been computed using the full-potential linear-muffin-tin-orbital (FP-LMTO) method. These *ab initio* calculations correctly predict the stability of the phase DO<sub>23</sub> for Al<sub>3</sub>Zr if we consider the cell internal relaxations.

We made a cluster expansion of the results of *ab initio* calculations to predict the formation energy of any compound in the Al-Zr system based on an underlying fcc lattice. We showed that despite the size difference between Al and Zr a totally or globally relaxed expansion for the volume leads to the same result: there is no difference if we use the cluster expansion to predict the formation energy at the equilibrium volume of each structure or at a fixed volume, the energy then being minimized according to the volume.

For finite-temperature calculations, we showed that the electronic excitations can be neglected. The vibrational energy was studied in the harmonic model, using different levels of the approximation: the Debye model was compared to results obtained from a calculation of the phonon spectrum for Al<sub>3</sub>Zr in DO<sub>23</sub> and L1<sub>2</sub> structures, and it was found that the use of the Debye model leads to an overestimation of the vibrational free energy. Thus we preferred to use the results from the phonon spectrum to calculate the vibrational free energy of ordered compounds. For the disordered phase, we

chose to make a cluster expansion of the vibrational free energy. This was only possible with the Debye model, as this requires less computational time.

We were able to calculate the solubility limit of Zr in Al (fcc) in the the Bragg-Williams approximation. The solubility limit obtained is too low compared to experimental data. We showed that this discrepancy is due to an error in the estimation of the entropy in our thermodynamic model. This may arise from an overestimation of the vibrational free energy of the disordered phase, due to the use of the Debye model for this phase. Correcting the vibrational entropy of the solid solution so as to fit the experimental measurements of Fink and Willey, we were able to predict the metastable solubility limit which lies between the estimation of Murray *et al.* and the one of Saunders, both obtained by a Calphad method. We thus showed how first-principles calculations can lead to an estimation of the phase diagram. This approach has the advantage of not requiring any experimental input, and consequently it is not a problem to predict the stability of metastable phases.

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- \*Electronic address: emmanuel.clouet@cea.fr; present address: Service de Recherches de Métallurgie Physique, CEA/Saclay, 91191 Gif-sur-Yvette, France.
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