

X-ray standing wave and reflectometric characterization of multilayer structures

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A microstructural characterization of synthetic periodic multilayers by x-ray standing waves is presented. It is shown that the analysis of multilayers by combined x-ray reflectometry (XRR) and x-ray standing-wave (XSW) techniques can overcome the deficiencies of the individual techniques in microstructural analysis. While interface roughnesses are more accurately determined by the XRR technique, the layer composition is more accurately determined by the XSW technique, where an element is directly identified by its characteristic emission. These aspects are explained with an example of a 20-period Pt/C multilayer. The composition of the C layers due to Pt dissolution in the C layers, Pt_xC_{1-x} , is determined by the XSW technique. In the XSW analysis, when the entire amount of Pt present in the C layers is assumed to be within the broadened interface, this leads to larger interface roughness values, inconsistent with those determined by the XRR technique. Constraining the interface roughness values to those determined by the XRR technique requires an additional amount of dissolved Pt in the C layers to explain the Pt fluorescence yield excited by the standing-wave field. This analysis provides the average composition Pt_xC_{1-x} of the C layers.

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I. INTRODUCTION

Improvements in thin-film deposition techniques in recent years have led to the fabrication of layered synthetic microstructures (LSM's) consisting of thin layers of alternating elements or compounds.^{1,2} These materials have unique structural,³ magnetic,⁴ and electronic⁵ properties, with a wide range of applications. LSM's containing alternating layers of high atomic number elements (e.g., W, Mo, Pt, etc.) and low atomic number elements (e.g., C, Si etc.) are being used as x-ray reflectors.⁶ Indeed, x-ray multilayer optics are now used in many applications including x-ray astronomy, microscopy, and spectroscopy, and as filters and monochromators for intense sources such as synchrotron radiation and x-ray laser cavities. It is important to correlate the measured properties with structure so that preparation techniques can be optimized to yield high performance materials. X-ray techniques are very useful for the measurement of microstructural aspects of multilayered systems. Here we present an application of combined x-ray standing wave and x-ray reflectometry techniques for a microstructural analysis of periodic multilayers.

For a perfect single crystal, according to the dynamical theory of x-ray diffraction,^{7,8} a standing-wave field is generated within the crystal as a result of a superposition of incident and diffracted waves when x rays are Bragg reflected by the crystal. The equi-intensity planes of the standing-wave field are parallel to and have the periodicity of the diffracting planes. At an angle of incidence corresponding to the rising edge of the diffraction peak, the antinodal planes of the standing-wave field lie between the diffracting planes. As the angle of incidence increases, the antinodal planes move continuously inward onto the diffracting planes at the falling edge of the diffraction peak. Over the angular region of Bragg reflection, emission such as fluorescent x rays⁹⁻¹¹ and electrons¹² from the crystal is strongly modulated, being at a maximum (minimum) when the antinodal (nodal) planes coincide with positions of the atoms in the crystal or on the

surface. By measuring the angular dependence of the intensity of the emitted fluorescence and comparing with the computed angular dependence, the standing-wave field has been used as a structural probe to determine the positions of the impurity atoms in crystals,^{9-11,13} adsorbed atoms on surfaces,¹⁴ and atoms at a layer/substrate interface,¹⁵ and to study thermal effects such as the broadening of the atomic position due to thermal vibration¹⁶ and order-disorder transitions.¹⁷ Various applications of the x-ray standing-wave (XSW) technique to problems relating to single-crystal surfaces and interfaces may be found in recent reviews.^{18,19}

The standing-wave phenomenon was also observed in multilayer mirrors²⁰⁻²² and Langmuir-Blodgett multilayer films.²³ This standing-wave field was also used in different ways for analyzing the local structure of multilayers,^{24,25} for a density evaluation of deposited films on multilayers,²⁶ and for selective extended x-ray-absorption fine-structure analysis.²⁷

For a periodic multilayer system, x-ray reflectivity (XRR) is used to determine bilayer periodicity, interface roughness, and the fractional thickness of the layers in a bilayer. Interface roughness characterization by x-ray standing-waves has been attempted for a Ni/C multilayer system.²⁵ However, the extracted parameters were not optimized. Matsusita *et al.*²⁸ used the XSW technique to determine the density of impurity atoms in a multilayer structure. Here we present a combined reflectivity and standing-wave characterization of a periodic multilayer system to extract various structural parameters. As an example we use a 20-period Pt/C multilayer system. Comparing with experimental data, we show that structural parameters extracted from x-ray reflectivity analysis cannot explain the Pt fluorescence yield excited by x-ray standing-waves. An explanation of the Pt fluorescence yield additionally requires the presence of an amount of dissolved Pt in the C-layers. XSW analysis provides the amount of dissolved Pt in C, and the average composition Pt_xC_{1-x} of the C layers. Probing a small quantity of material dissolved from one layer into the other layer of a layer pair in a multilayer system is

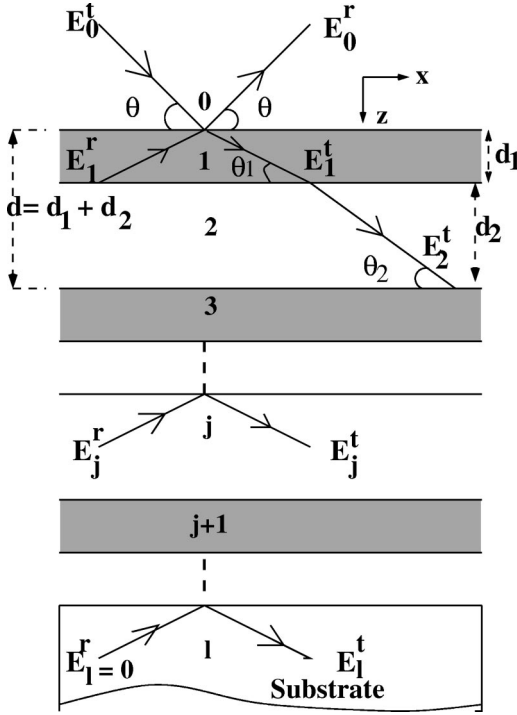


FIG. 1. A schematic representation of x-ray reflection from a multilayer system. See the text for details.

very important for magnetic multilayers where alternating layers are magnetic and nonmagnetic materials. A small amount (even a few percent) of magnetic impurity (either from the magnetic layer or external) in the nonmagnetic layer can change the magnetic coupling and magnetoresistance significantly,²⁹ presumably because of changes in the topology of the Fermi surface of the resulting alloys. The importance of the combined XSW and XRR analysis is elucidated.

II. THEORY

We give a brief theoretical background for x-ray standing-wave generation inside a multilayer system. We mainly follow the treatment given by Dev *et al.*³⁰ for the formation of standing-waves and resonance enhancement of x rays in layered materials using the recursion method of Parratt.³¹ We then obtain the field intensity for a periodic multilayer system, and compute the angular variation of the fluorescence yield from constituent elements in the multilayers. The fluorescence yield profile depends on the structural parameters of the multilayer. A consistent set of microstructural parameters of the multilayer is obtained from a combined analysis of the reflectivity and fluorescence yield.

A. Reflection from a multilayer system

If all interfaces are parallel in a multilayer system (Fig. 1), a plane electromagnetic wave of frequency ω in a medium j at a position \mathbf{r} can be written as

$$E_j(\mathbf{r}) = E_j(0) \exp[i(\omega t - \mathbf{k}_j \cdot \mathbf{r})], \quad (1)$$

where $E_j(0)$ is the field amplitude at the top of the j th layer. For all j , the components of the wave vector, $\mathbf{k}_j = \mathbf{k}'_j - i\mathbf{k}''_j$, are given by

$$k_{j,x} = \frac{2\pi}{\lambda} \cos \theta, \quad k_{j,z} = \frac{2\pi}{\lambda} (\epsilon_j - \cos^2 \theta)^{1/2}, \quad (2)$$

where θ is the glancing angle of incidence, λ is the wavelength of the incident x rays, and the dielectric function ϵ_j is given by

$$\epsilon_j = 1 - 2\delta_j - i2\beta_j, \quad (3)$$

where

$$\delta = \frac{r_e \lambda^2}{2\pi} N_0 \frac{\rho_m}{M} (Z + f') = (\lambda^2 / 2\pi) r_e \rho,$$

$$\beta = \frac{r_e \lambda^2}{2\pi} N_0 \frac{\rho_m}{M} f'' = (\lambda / 4\pi) \mu. \quad (4)$$

In Eq. (4) N_0 is Avogadro's number, and ρ_m is the mass density of the element in the layer with atomic number Z and atomic weight M . f' and f'' are the real (dispersive) and the imaginary (absorption) anomalous dispersion factors, respectively. ρ is the electron density (including dispersion), and μ is the linear absorption coefficient for the incident photons in the medium. r_e is the classical electron radius. We consider the medium for the incident beam to be a vacuum, with $\epsilon_0 = 1$.

For the s polarization of the electric field and smooth interfaces, the complex coefficients of reflection r_j and transmission t_j , being the ratio of electric fields at the $j, j+1$ interface, are given by Fresnel's formulas

$$r_j = \frac{k_{j,z} - k_{j+1,z}}{k_{j,z} + k_{j+1,z}}, \quad (5)$$

$$t_j = \frac{2k_{j,z}}{k_{j,z} + k_{j+1,z}}. \quad (6)$$

For the small δ_j and β_j approximations, no distinction need be made between s and p polarizations.³¹

For rough surfaces these expressions are to be modified. There are several methods for obtaining modified expressions. In a well-known method^{32,33} r_j is multiplied by a factor S_j , given by

$$S_j = \exp[-2\sigma_j^2 k_{j,z} k_{j+1,z}], \quad (7)$$

where σ_j is the root-mean-square deviation of the interface atoms from the perfectly smooth condition. An expression like Eq. (7) is only valid for small roughnesses ($\sigma_j |k_{j,z}| < 1$). For the modification of t_j , it is to be multiplied by

$$T_j = \exp[\sigma_j^2 (k_{j,z} - k_{j+1,z})^2 / 2]. \quad (8)$$

So far we have discussed reflection and refraction at a single interface. For a multilayer system, involving multiple interfaces, the electric fields at all the interfaces can be ob-

tained from either a recursion relation or from a matrix formalism. In the following we will use the method of recursion relation. In the recursion method,^{31,34} the transmitted field E_j^t and the reflected field E_j^r at the top of the j th layer are found from the relations

$$E_j^r = a_j^2 X_j E_j^t, \quad (9)$$

$$E_{j+1}^t = \frac{a_j E_j^t T_j}{1 + a_{j+1}^2 X_{j+1} r_j S_j}, \quad (10)$$

and

$$X_j = \frac{(r_j S_j + a_{j+1}^2 X_{j+1})}{1 + a_{j+1}^2 X_{j+1} r_j S_j}, \quad (11)$$

where

$$a_j = \exp(-ik_{j,z} d_j), \quad (12)$$

d_j being the thickness of the j th layer. For the substrate, $E_1^r = X_1 = 0$.

The electric-field amplitudes E_j^t (transmitted) and E_j^r (reflected) can be computed from the knowledge of λ , θ , and ϵ_j , the thickness of the layers (d_j), and the interface roughness (σ_j) using Eqs. (2)–(12), and the reflectivity R is then obtained from the ratio of E fields outside the surface:

$$R(\theta) = |E_0^r/E_0^t|^2. \quad (13)$$

For reflectivity from a periodic synthetic multilayer system involving interface roughness, this treatment is essentially equivalent to that of Underwood and Barbee.³⁵

For a periodic multilayer system, below the critical angle of incidence $\theta_1^c = \sqrt{2\delta_1}$, there exists an evanescent wave below the surface and total external reflection of the incident beam occurs ($|E_o^r| \approx |E_o^t|$). The interference between E_o^r and E_o^t can form standing-waves above the surface.²² For $\theta > \theta_1^c$, the incident beam penetrates into the first layer of the multilayer system. When $\theta_1^c \geq \theta_2^c$, the incident beam penetrates into the multilayer system for $\theta > \theta_1^c$. If $\theta_2^c > \theta_1^c$, there is the possibility of resonance enhancement of x rays in medium ‘‘1’’ for $\theta_1^c < \theta < \theta_2^c$.^{30,34,36} For θ greater than both θ_1^c and θ_2^c , the x-ray beam penetrates into the multilayer; if the multilayer is periodic, Bragg diffractions can occur.³⁵

For a periodic multilayer system of x-ray reflectors the multilayer period consists of one low-electron-density alternating layer and one high-electron-density alternating layer (say, Pt/C/Pt/C, . . .); the higher-density layer works as a marker and the low-density layer works as a spacer. This arrangement makes the system an artificial periodic structure. Therefore, in the reflectivity from such a periodic multilayer system, Bragg peaks appear at positions determined by Bragg’s law (including refraction and absorption),

$$2(d_1 k'_{1,z} + d_2 k'_{2,z}) = 2n\pi \quad (14)$$

or

$$2(d_1 \sin \theta_1 + d_2 \sin \theta_2) = n\lambda \quad (15)$$

where the period of the multilayer is $d = d_1 + d_2$, and n is the order of reflection.

It is well known from the dynamical theory of x-ray diffraction from perfect crystals that⁸ a standing-wave field is set up in the crystal during diffraction. The antinode position of this wave changes over half the planar distance in passing the diffraction peak. This is also true for x-ray diffraction from a periodic multilayer system, which will be illustrated later.

B. Field intensity

The interference between the incident E field (E_j^t) and the reflected E field (E_j^r) can form standing-waves within any layer. In order to obtain this standing-wave field in the j th layer one needs to know the fields E_j^t and E_j^r as function of depth (z). The total E field at a point \mathbf{r} in the j th layer is given by

$$E_j^T(\mathbf{r}) = E_j^t(\mathbf{r}) + E_j^r(\mathbf{r}), \quad (16)$$

where

$$E_j^t(\mathbf{r}) = E_j^t(0) \exp(-ik_{j,z} z) \exp[i(\omega t - k_{j,x} x)] \quad (17)$$

and

$$E_j^r(\mathbf{r}) = E_j^r(0) \exp(+ik_{j,z} z) \exp[i(\omega t - k_{j,x} x)]. \quad (18)$$

Here the origin has been chosen to be on the interface at the top of the j th layer. Thus $E_j^t(0)$ and $E_j^r(0)$ represent the transmitted and the reflected E fields at the top of the j th layer. $E_j^t(0)$ and $E_j^r(0)$ are readily obtained from the recursion relations [Eqs. (9)–(12)]. The field intensity $I(\theta, z) = |E_j^T(\mathbf{r})|^2$ is given by³⁰

$$I(\theta, z) = |E_j^t(0)|^2 \left[\exp\{-2k''_{j,z} z\} + \left| \frac{E_j^r(0)}{E_j^t(0)} \right|^2 \exp\{2k''_{j,z} z\} + 2 \left| \frac{E_j^r(0)}{E_j^t(0)} \right| \cos\{\nu(\theta) + 2k'_{j,z} z\} \right], \quad (19)$$

where $\nu(\theta)$ is defined by

$$\frac{E_j^r(0)}{E_j^t(0)} = \left| \frac{E_j^r(0)}{E_j^t(0)} \right| e^{i\nu(\theta)},$$

i.e., $\nu(\theta)$ is the phase of the E -field ratio at the top of the j th layer. If the absorption in the medium is ignored (i.e., $k''_{j,z} = 0$), Eq. (19) reduces to

$$I(\theta, z) = |E_j^t(0)|^2 \times \left[1 + \left| \frac{E_j^r(0)}{E_j^t(0)} \right|^2 + 2 \left| \frac{E_j^r(0)}{E_j^t(0)} \right| \times \cos\{\nu(\theta) + 2k'_{j,z} z\} \right]. \quad (20)$$

It is clear from Eqs. (19) and (20) that a standing-wave is generated within the j th layer. The quantity within the square brackets in Eq. (20) may attain a maximum value of 4, for $|E_j^r(0)/E_j^i(0)|^2 = 1$. For small angles of incidence (θ), in some situations there are possibilities of resonance enhancement of the x-ray intensity in the layer. This was described in detail by Dev *et al.*³⁰ However, at $\theta \gg \theta_1^c$ and θ_2^c , $|E_j^r(0)/E_j^i(0)|^2 \ll 1$ for a nonperiodic multilayer, and the field intensity is essentially given by the first term in Eq. (19) or (20), with a slight modulation from the second and third terms. For such θ values the reflectivity is only significant when θ satisfies the Bragg condition for reflection from a periodic multilayer. Standing-waves are set up in the multilayer when Bragg diffraction occurs. This can be seen from Eq. (20) by inserting the Bragg condition [Eq. (14)]

$$2(k'_{1,z}d_1 + k'_{2,z}d_2) = 2k'_z d = 2n\pi$$

or

$$k'_z = \frac{n\pi}{d}, \quad (21)$$

where k'_z is the weighted average value for a layer pair of the multilayer with a periodicity $d = d_1 + d_2$. While the magnitude of the E -field ratio varies to some extent for layers 1 and 2 of the bilayer, we can approximate this to be equal to its value just above the surface, i.e.,

$$\left| \frac{E_j^r(0)}{E_j^i(0)} \right|^2 \approx \left| \frac{E_0^r}{E_0^i} \right|^2 = R(\theta),$$

from Eq. (13). Now for a normalized incident intensity, inserting the value of k'_z in Eq. (20) we obtain (for $n = 1$)

$$I(\theta, z) = 1 + R(\theta) + 2\sqrt{R(\theta)} \cos\left\{ \nu(\theta) + \frac{2\pi}{d}z \right\}. \quad (22)$$

It is clear that Eq. (22) now defines a standing-wave within the multilayer within a periodicity d , and has the same form as that derived from the dynamical theory of x-ray diffraction from perfect crystals.^{9,18} In the dynamical theory of x-ray diffraction, the E field in a medium is calculated by solving Maxwell's equations in that medium and obtaining solutions consistent with Bragg's law. This E field, then, describes the x-ray standing-wave intensity as a function of angle over the region of the Bragg peak where the phase of $(E^r/E^i)(\theta)$, $\nu(\theta)$, changes by π radians.^{8,9,18,37} The actual value of $\nu(\theta)$ on the higher-angle side beyond the diffraction peak determines the position of the diffraction planes.³⁷ In order to show the similarity between expressions for the standing-wave intensity in the dynamical theory for perfect crystals and in the present case for multilayers, we have inserted Bragg's law into Eq. (20) and obtained Eq. (22), which is the well-known form obtained from the dynamical theory, where " $1/d$ " is the magnitude of the reciprocal-lattice vector for the concerned diffraction. The phase variation $\nu(\theta)$, for the present case of a multilayer is shown in Fig. 3. This has a form similar to that obtained from the dynamical theory.^{18,37}

A periodic multilayer structure can be characterized by generating standing-waves within the multilayer and measuring the standing-wave-excited fluorescence yield from one or more elements present in the multilayer. This is explained in the following sections. For a computation of the standing-wave field intensity, $I(\theta, z)$, we will use a more rigorous form of Eq. (19).

C. Examples of calculation

In this section we present the results of calculations of various quantities in Secs. II A and II B using an example—a periodic multilayer system consisting of 20 bilayers of Pt/C on a glass substrate. The discussions presented here are general, and are not restricted to only Pt/C multilayers.

For multilayers, earlier analyses were performed assuming the same roughness for both types of interface (A/B and B/A) in the multilayer ($A/B/A/B \dots$).^{34,38} In general, these values should be different. The surface free energies of the materials, σ_A and σ_B , partly control the interface morphology during the growth. If $\sigma_A < \sigma_B$, this is the wetting condition for the growth of material A on material B and a non-wetting condition for the growth of material B on material A . Thus an A -on- B (A/B) interface is expected to be smoother. The situation would be reverse for $\sigma_A > \sigma_B$. Indeed, high-resolution electron microscopy on W/C multilayers shows that the interface of C growing on W is much sharper than that of W growing on C.³⁹ It must be noted that $\sigma_W > \sigma_C$. However, other factors, such as the growth temperature and interdiffusion or chemical reaction between species across the interface also affect the interface roughness.⁴⁰ In any case, there is no reason to assume the interface roughness for both types of interfaces to be equal. Here we assume different roughnesses for the Pt-on-C (σ_1) and the C-on-Pt (σ_2) interfaces. It will be shown later that we indeed obtain a better fit to experimental data when σ_1 and σ_2 are allowed to be different.

In Fig. 2, we show the simulated reflectivity curves for smooth surfaces and interfaces along with those for several sets of values of surface and interface roughness. A total external reflection at low angles and multilayer Bragg peaks up to fourth order are seen. The higher-order peaks are more drastically affected by the surface (σ_0) and interface roughness (σ_1, σ_2). The spacing between Bragg peaks is determined by the periodicity or the bilayer thickness (d). Thus these parameters can be determined from the reflectivity data by a least-squares fitting procedure. In these computations we have used $\epsilon_{Pt} = 1 - (2.302 \times 10^{-5}) - i(2.596 \times 10^{-6})$ and $\epsilon_C = 1 - (3.016 \times 10^{-6}) - i(8.138 \times 10^{-10})$, ($\rho_{Pt} = 5.05e/\text{\AA}^3$, $\rho_C = 0.698e/\text{\AA}^3$), $\lambda = 0.709 \text{\AA}$ (MoK $_{\alpha_1}$ x rays) and $d = 43 \text{\AA}$ ($d_1 = 17 \text{\AA}$, $d_2 = 26 \text{\AA}$). X-ray standing-wave intensities are shown in Fig. 3 over the first Bragg peak region ($\theta = 0.3^\circ$ to $\theta = 0.6^\circ$) at several angles shown on the reflectivity curve in the inset. The variation of phase, $\nu(\theta)$, of $E_0^r(0)/E_0^i(0)$ and $E_1^r(0)/E_1^i(0)$ are shown in the second inset of Fig. 3. The field intensity, $I(z)$ can be obtained using $R(\theta)$ and $\nu(\theta)$ from the insets and Eq. (22). However, we have used the more rigorous equation (19) to compute the field intensity $I(z)$ at several values of θ . At an angle away

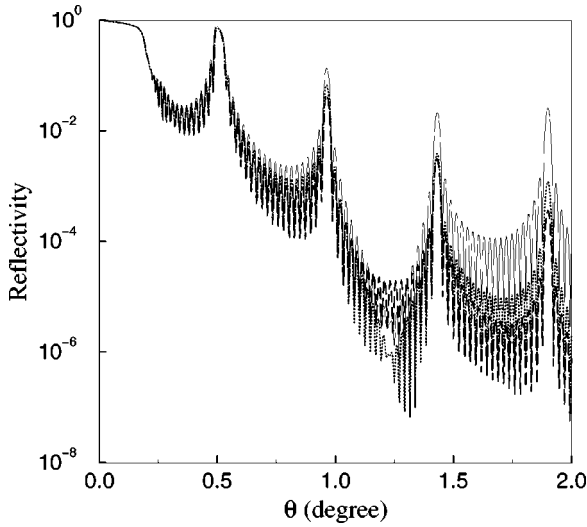


FIG. 2. Reflectivity from a 20-period Pt/C multilayer system with periodicity d (43 \AA)= d_1 (17 \AA) $+d_2$ (26 \AA), and with surface and interface roughnesses (\AA) $\sigma_0, \sigma_1, \sigma_2$: 0, 0, 0 (—); 3, 3, 3 (· · · · ·) and 3, 5, 3 (— — —).

from the strong reflection region (a) the field intensity $I(z)$ has a weak modulation around a value of unity. At the low-angle side of the diffraction peak (b), there are antinodes of the standing-wave field in the C layers (nodes in the Pt layers). As θ increases ($b \rightarrow c \rightarrow d \rightarrow e$), the antinodes shift inward and finally coincide with the Pt layers. The field intensity over the Pt layers gradually increases as θ increases. The integrated field intensity in the Pt layers,

$$I_{\text{Pt}}(\theta) = \sum_{j \text{ odd}} \int_0^{d_j} I_j(\theta, z) dz, \quad (23)$$

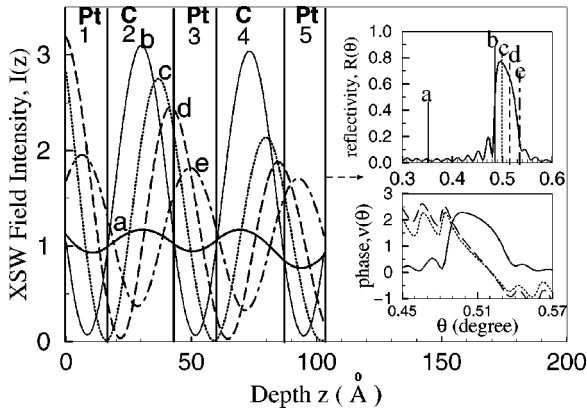


FIG. 3. X-ray standing-wave field intensity distribution within the Pt/C multilayer system, at different angles of incidence θ over the first-order Bragg peak region (shown in the inset). (a) $\theta = 0.35^\circ$ (—), (b) $\theta = 0.486^\circ$ (—), (c) $\theta = 0.500^\circ$ (· · · · ·), (d) $\theta = 0.516^\circ$ (— — —), (e) $\theta = 0.535^\circ$ (— — —). The phases $\nu(\theta)$ of E -field ratios (E'_0/E'_0) (· · · · ·) and (E'_1/E'_1) (— — —) are also shown in the second inset, which also shows the reflectivity (—, $\times 3$). At a given depth z , the variation in field intensity with angle over the strong reflection region occurs mainly because of large variation in phase, $\nu(\theta)$. [see Eq. (22)].

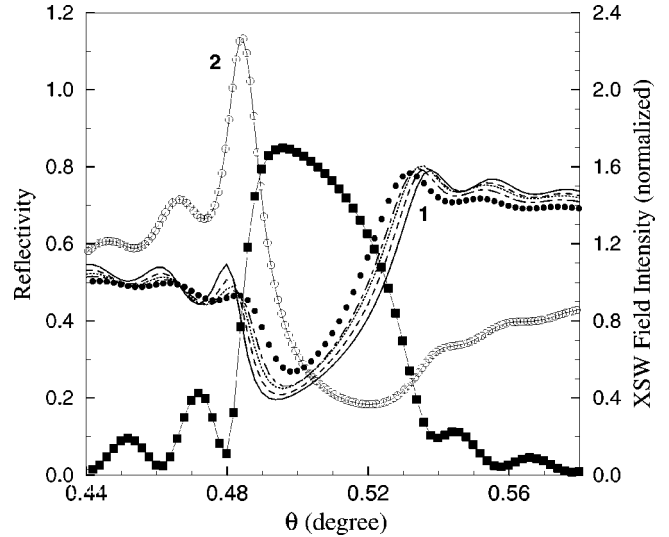


FIG. 4. Integrated XSW field intensity over the Pt layers and over the C layers for different surface and interface roughnesses for the Pt/C multilayer system. Reflectivity over the first-order Bragg peak (solid squares) for $\sigma_0=0, \sigma_1=0, \text{ and } \sigma_2=0$ (\AA), integrated field intensity over Pt layers with $\sigma_0, \sigma_1, \text{ and } \sigma_2$ (in \AA) [$0,0,0$ (—), $3,3,3$ (— — —), $3,5,3$ (· · · · ·), $3,5,5$ (— — —), and $3,7,7$ (●●●)], and integrated field intensity over C layers (connected open circles) for $\sigma_0=\sigma_1=\sigma_2=0$ (\AA).

is shown in Fig. 4. $I_{\text{Pt}}(\theta)$ for smooth surfaces and interfaces ($\sigma_0=\sigma_1=\sigma_2=0$), and for several sets of $\sigma_0, \sigma_1, \text{ and } \sigma_2$ values, are also shown. It is clearly seen that the field intensity $I(\theta)$ variation with θ is sensitive to surface and interface roughness. The integrated field intensity over the carbon layers,

$$I_{\text{C}}(\theta) = \sum_{j \text{ even}} \int_0^{d_j} I_j(\theta, z) dz, \quad (24)$$

for $\sigma_0=\sigma_1=\sigma_2=0$, is also shown in Fig. 4. We note that the field intensity in the Pt layers peaks at the high-angle edge, while the intensity in the C layers peaks at the low-angle edge of the reflectivity peak. This opposite trend holds the clue to the determination of the concentration of any dissolved Pt in C layers.

Our objective is to find the Pt distribution in the Pt/C multilayer. In the dipole approximation, the fluorescence yield from an atom is proportional to the field intensity on the atom. Thus with the measurement of the fluorescence yield from Pt, it is possible to determine the Pt distribution. The fluorescence yield from Pt in the Pt layers should follow curve 1 in Fig. 4, while the fluorescence yield from Pt in the C layers should follow curve 2. Thus the effective shape of the fluorescence yield curve will depend on the relative concentrations of Pt in the Pt and C layers.

Interface roughness can be due to actual roughness or diffusion across the interface. The Pt distribution $f(z)$, with interface roughnesses $\sigma_1 \neq \sigma_2$, is shown schematically in Fig. 5. It is obvious that a fraction of Pt is in the C layers near the interface. The fluorescence yield of Pt generated

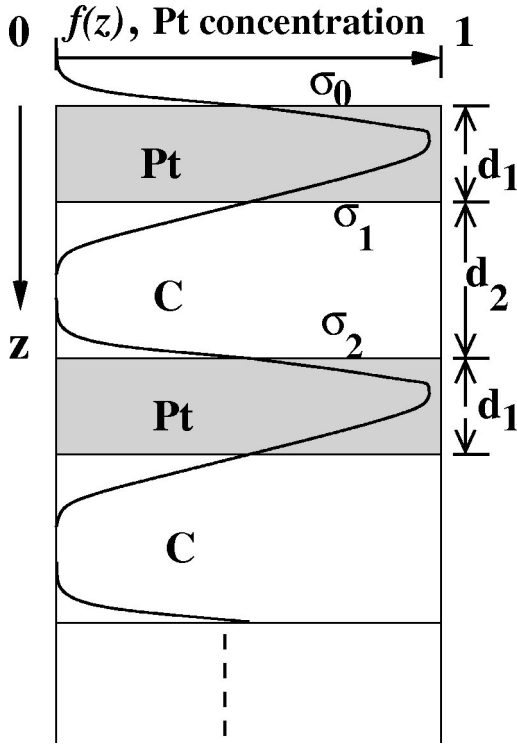


FIG. 5. Schematic diagram of the Pt distribution, $f(z)$, with interface roughness over the bilayer period. σ_0 , σ_1 , and σ_2 are surface roughness, and Pt-on-C and C-on-Pt interface roughnesses.

(I_j^s) from any depth is proportional to the product of the field intensity and Pt concentration at that depth,

$$I_j^s(\theta, z) = CI_j(\theta, z)f_j(z), \quad (25)$$

where C is a constant. The fluorescence yield detected outside the sample is given by

$$I_j^d(\theta, z) = CI_j(\theta, z)f_j(z) \times \exp\left[-\frac{\mu_{out}}{\sin \alpha} \left(\sum_{m=0}^{j-1} d_m + z\right)\right], \quad (26)$$

with $d_0=0$, and the depth integrated detected fluorescence yield is

$$I^{fd}(\theta) = C \sum_{j=1}^N \exp\left[-\frac{\mu_{out}}{\sin \alpha} \left(\sum_{m=0}^{j-1} d_m\right)\right] \times \int_0^{d_j} I_j(\theta, z)f_j(z) \exp\left(-\frac{\mu_{out}}{\sin \alpha} z\right) dz, \quad (27)$$

where α is the angle between the sample surface and the direction of the fluorescence detector from the center of the sample surface, and μ_{out} , is the weighted average linear absorption coefficient for the outgoing (fluorescent) photons.

The distribution of the Pt concentration over the bilayers across the Pt-on-C interface is given by

$$f_1(z) = \frac{1}{2} \left[1 - \operatorname{erf}\left(\frac{z}{\sqrt{2}\sigma_1}\right) \right] \quad (28)$$

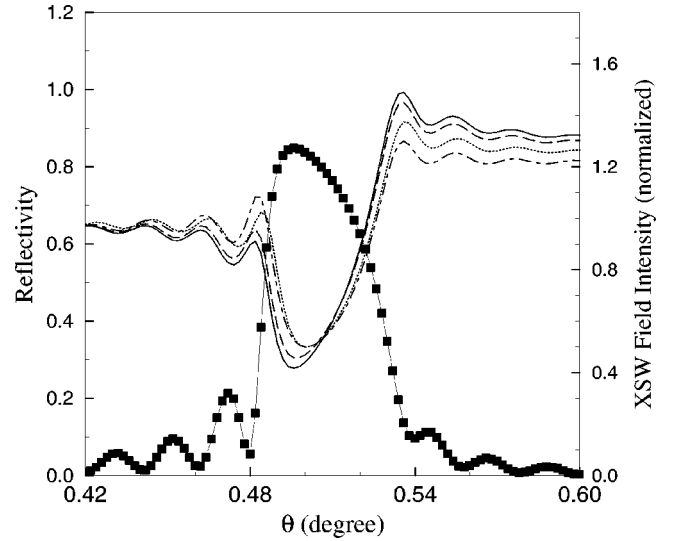


FIG. 6. Theoretical plots for the Pt fluorescence yield, computed for the distribution of Pt in Fig. 5, over the first-order Bragg reflection angular region. Reflectivity (solid squares). Pt fluorescence yield integrated over Pt layers with surface and interface roughnesses $\sigma_0=3 \text{ \AA}$, $\sigma_1=5 \text{ \AA}$, and $\sigma_2=3 \text{ \AA}$ (—). Pt fluorescence yield integrated over the whole multilayer $\sigma_0=3 \text{ \AA}$, $\sigma_1=5 \text{ \AA}$, and $\sigma_2=3 \text{ \AA}$ and for $f_c=1$ (---), $f_c=0.9$ (·····), and $f_c=0.8$ (- - -). See the text for details.

for $-d_1 \leq z \leq d_2$. $z=0$ is on the Pt-on-C interface. σ_1 is the Pt-on-C interface roughness. The Pt distribution across the C-on-Pt interface is given by

$$f_2(z) = \frac{1}{2} \left[1 + \operatorname{erf}\left(\frac{z}{\sqrt{2}\sigma_2}\right) \right] \quad (29)$$

for $-d_2 \leq z \leq d_1$ where $z=0$ is taken on the C-on-Pt interface. σ_2 is the C-on-Pt interface roughness. The total Pt distribution $f(z)$ over the bilayer and two interfaces is schematically shown in Fig. 5. $f(z)=f_1(z)+f_2(z)$ in the C layers, whereas in the Pt layer $f(z)=f_1(z)$ or $f_2(z)$, whichever is lower. The interface roughnesses σ_1 and σ_2 are those used in the analysis of the reflectivity. Now that the Pt distribution $f(z)$ over the total thickness of multilayer is defined, the integrated detected fluorescence yield $I^{fd}(\theta)$ can be computed using Eq. (27). The Pt fluorescence yield computed for this distribution of Pt over the first-order Bragg reflection angular region is shown in Fig. 6.

The solid curve ($\sigma_0=3 \text{ \AA}$, $\sigma_1=5 \text{ \AA}$, and $\sigma_2=3 \text{ \AA}$) in Fig. 6 shows the computed fluorescence yield profile for Pt only in the Pt layers. In this calculation the effect of roughness enters only into the computation of the field intensity, and the contribution to the fluorescence yield from Pt in the C layer due to interface broadening is neglected. This means, in Eq. (27), that only the sum over j odd layers has been considered. The sum over all layers contains the fluorescence yield contribution from Pt distributed in the C layers as well. The fluorescence yield curve, including this contribution, is shown by the dashed line ($f_c=1$; the significance of f_c will be discussed later).

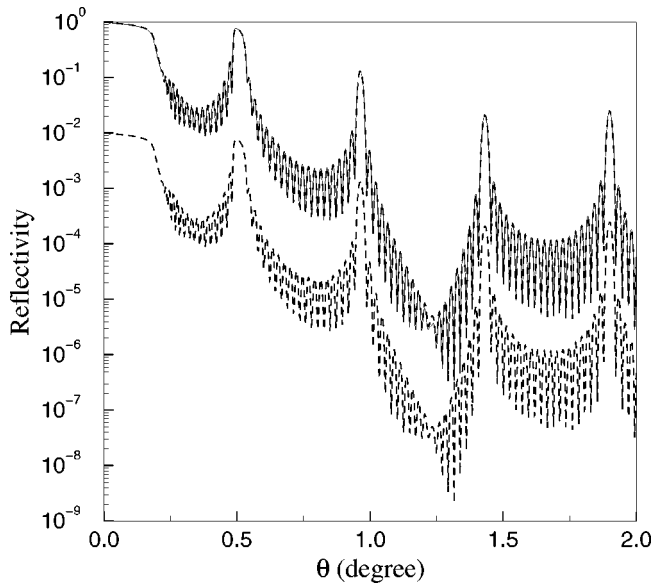


FIG. 7. Theoretical plots of reflectivity for different electron densities ρ_C of the C layers. $\rho_C=0.698e/\text{\AA}^3$ (—), and $\rho_C=0.803e/\text{\AA}^3$ (15% higher compared to the actual density) (---). Curves are vertically shifted by two orders. However, they are also shown in an overlapping mode to demonstrate that they are practically indistinguishable.

The possibility of a small amount of dissolved Pt in the C layers, in addition to the Pt in the interface profile, has not yet been taken into account. In the computation of the reflectivity the existence of such dissolved Pt in C should enter as a change in the electron density of the C-layers. However, due to the low electron density of C ($0.698e/\text{\AA}^3$), the reflectivity is not very sensitive even to a relatively large change in the C-layer electron density. Reflectivity for a 15% higher electron density ($0.803e/\text{\AA}^3$) of the C layers, shown in Fig. 7, is hardly distinguishable from that for the pure C electron density. Moreover, the electron density of the C layers depends not only on the amount of dissolved Pt, but also on the change in C-layer thickness upon Pt incorporation. The electron density can also change due to incorporation of ambient atoms (e.g., Ar) during multilayer deposition.²⁸ Thus an accurate determination of the amount of Pt in the C layers is difficult from the reflectivity measurement. However, with the x-ray standing-wave method it is possible to determine the amount of dissolved Pt in the C layers through the detection of its fluorescence. Here the detection of Pt is direct, and the fluorescence yield variation with angle for Pt in the C layers has an opposite trend compared to Pt in the Pt layers (see Fig. 4). Thus an analysis of the shape of the measured Pt fluorescence yield curve can provide the amount of dissolved Pt in C.

We assume the presence of some dissolved Pt in C. Out of the total Pt a fraction f_c of Pt remains in the Pt layers and within the broadened interface regions of the C layers, and the remaining fraction $(1-f_c)$ is dissolved uniformly in the C layers. The Pt fluorescence yields as functions of angle for $f_c=1, 0.9$, and 0.8 are shown in Fig. 6. Later we will show with experimental data that the fit to the fluorescence yield improves when an $f_c < 1$ is allowed in the least-squares fit-

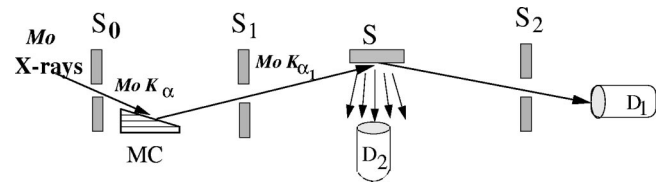


FIG. 8. A schematic view of the experimental setup with an asymmetric Si(111) crystal monochromator (MC) and incident x rays from an 18-kW rotating Mo anode x ray generator. Slits: S_0 and S_1 (the horizontal width is 4 mm, and the vertical width is $100\ \mu\text{m}$), S_2 (the horizontal width is 10 mm, and the vertical width is $150\ \mu\text{m}$). D_1 : NaI(Tl) scintillation detector. D_2 : Si(Li) energy dispersive detector, S : sample.

ting procedure. From the amount $(1-f_c)$ of Pt in the C layers, we can obtain the average composition (Pt_xC_{1-x}) of the C layers. In the present example, fractions $f_c=1, 0.9$, and 0.8 correspond to 0, 4.4, and 8.7% of Pt in the C layers, respectively. Keeping $f_c=1$, it is also possible to fit the fluorescence data assuming broader interfaces, i.e., allowing larger values of σ_1 and σ_2 in Eqs. (28) and (29). However, this would be inconsistent with the values of σ_1 and σ_2 obtained from an analysis of reflectivity data, as will be shown in Sec. IV. In order to obtain a consistent set of microstructural parameters, it is necessary to allow, that $f_c < 1$. f_c may be called a *coherent fraction* and $(1-f_c)$ an *incoherent fraction*, in analogy with the XSW analysis with Bragg diffraction from single crystals.⁴¹

III. EXPERIMENT

Pt/C periodic multilayers with different bilayer period lengths d ranging from 35 to 47 \AA were constructed on float glass substrates, and kept at room temperature, by dc magnetron sputtering especially designed for coating inner walls of cylindrical surfaces. Two sputter sources of Pt and C are located at the top and bottom of the cylindrical vacuum chamber. Samples were grown at a low Argon pressure of 1 mbar. The deposition rates of Pt and C were 1 and 0.4 $\text{\AA}/\text{sec}$, respectively. The layer thickness during deposition was controlled using the ion current and deposition time. Uniformity in the horizontal plane is achieved by rotating the sample, while vertical uniformity is achieved by the mask. The overall thickness variation was found to be $< 2\%$ over an area of $10 \times 10\ \text{cm}^2$. The control of the thickness of individual layers was within 1 \AA . A total of 20 layer pairs of Pt/C were deposited in each case. X-ray specular reflectivity measurements were made on these samples⁴² to determine the bilayer thickness and interface roughness. We have used one of these samples for the combined x-ray standing-wave and reflectometry analysis.

Experiments were performed in our laboratory with a 18-kW Mo rotating anode x-ray source. The experimental setup is shown schematically in Fig. 8. A monochromatic Mo $K\alpha_1$ beam is obtained with the help of an asymmetrically cut Si(111) crystal monochromator. The asymmetrically cut crystal reduces the divergence of the monochromatized beam and is in standard use in x-ray standing-wave experiments.⁹ The incident beam on the sample has an angu-

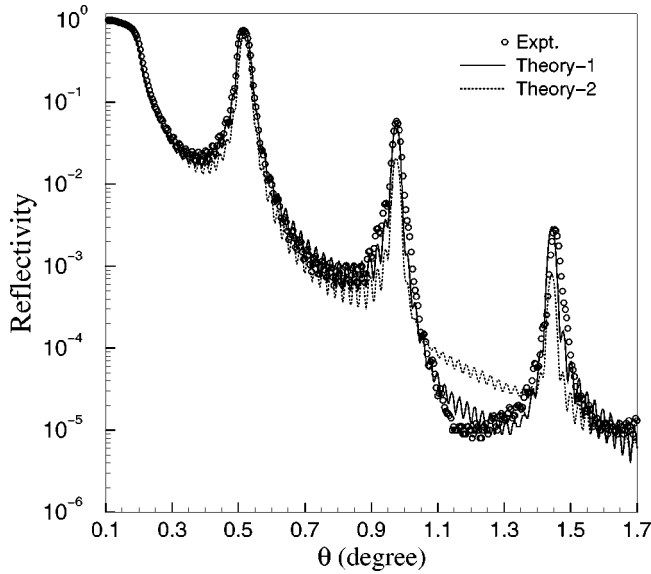


FIG. 9. Experimental reflectivity data (○ ○ ○) and fitted theoretical reflectivity curve (—) for a Pt/C multilayer on a glass substrate with 20 bilayers. Parameters obtained from the fit: bilayer thickness $d=42.9$ Å, Pt layer thickness $d_1=16.8$ Å, C layer thickness $d_2=26.1$ Å, surface roughness $\sigma_0=3$ Å, Pt-on-C interface roughness $\sigma_1=4.5$ Å, and C-on-Pt interface roughness $\sigma_2=2.9$ Å. The theoretical reflectivity curves (⋯⋯⋯) for $\sigma_0=3$ Å, $\sigma_1=8.9$ Å, and $\sigma_2=4.2$ Å and all other parameters are unchanged. See the text for details.

lar divergence of 0.006° . The vertical beam width is kept as small as 100 μm . Reflected x rays were detected with a NaI(Tl) detector and the Pt L_α fluorescent x rays were detected with a Si(Li) detector. The reflected x rays and the fluorescent x rays were collected simultaneously at each angle. Control of the instruments for the operation of the HUBER diffractometer and data collection is obtained through a PC using Turbo C programming for IEEE and RS-232 protocols. More details about the setup were presented elsewhere.⁴³ The average exit angle α [the inclination of the Si(Li) detector with respect to the sample surface] for fluorescent photons was 50° .

IV. RESULTS AND DISCUSSIONS

The experimental reflectivity data and the fitted theoretical reflectivity curve (Theory-1) are shown in Fig. 9. Bragg peaks up to the third order are seen. The small oscillations are due to the total thickness of the multilayer. Experimental data have been fitted by allowing the variation in the electron density, layer thickness, and surface and interface roughnesses of the layers. From least-squares fitting, the values of the parameters have been extracted. This fitting gives the Pt-layer density $\rho_1=4.95e/\text{Å}^3$, thickness $d_1=16.8$ Å, and C-layer density (fixed) $\rho_2=0.698e/\text{Å}^3$, thickness $d_2=26.1$ Å, $\sigma_1=4.5$ Å, and $\sigma_2=2.9$ Å. Thus the bilayer thickness is 42.9 Å. The third-order peak position does not fit properly. This may be due to the multilayer having a slight variation in bilayer thickness along the growth direction.

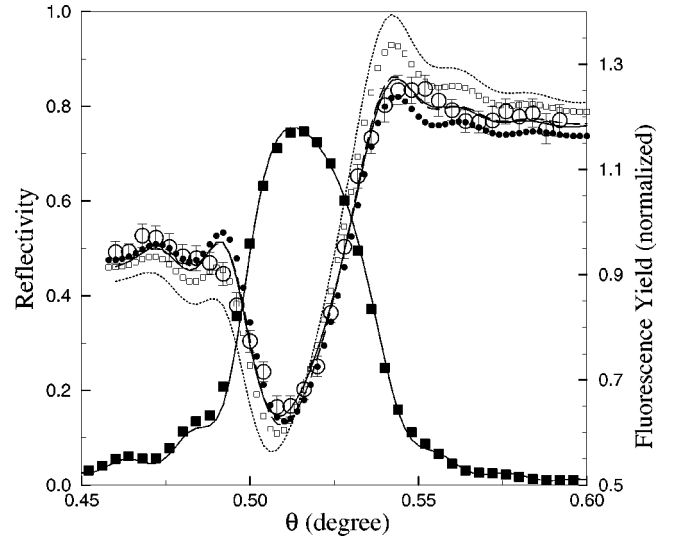


FIG. 10. Experimental Pt L_α fluorescence yield (○ ○ ○) and reflectivity (solid squares) vs angle of incidence θ over the first-order Bragg reflection and the theoretical curves. (⋯⋯⋯): $\sigma_0=3$ Å, $\sigma_1=4.5$ Å, $\sigma_2=2.9$ Å, and $f_c=1.0$ (no Pt in the C layers). (—): $\sigma_0=3$ Å, $\sigma_1=4.5$ Å, $\sigma_2=2.9$ Å, and $f_c=0.87$ (Pt_{0.05}C_{0.95}). (---) $\sigma_0=3$ Å, $\sigma_1=8.9$ Å, $\sigma_2=4.2$ Å, and $f_c=1.0$. Also shown (for $\sigma_0=3$ Å, $\sigma_1=4.5$ Å, and $\sigma_2=2.9$ Å) are the curves (open squares) for Pt_{0.03}C_{0.97} ($f_c=0.935$) and (filled circles) Pt_{0.07}C_{0.93} ($f_c=0.844$). Fluorescence curves have been normalized at $\theta=0.4^\circ$ as in Fig. 6. See the text for details.

It was demonstrated that in the case of single-layer films the roughness is correlated with the thickness of the film.^{40,44} However, in the case of multilayer systems with alternating marker and spacer layers, the roughness becomes complicated depending on the types of material, and their diffusion properties, reaction, and growth behavior.⁴⁰ It was shown that in a W/C multilayer system the W-on-C interface is more rough than the C-on-W interface.³⁹ Fundamentally this is expected because of the nonwetting condition in the surface free energy ($\sigma_W > \sigma_C$) for the growth of W on C. In our case $\sigma_{Pt} > \sigma_C$, and we also observed the same trend: the Pt-on-C interface is more rough ($\sigma_1=4.5$ Å) than the C-on-Pt interface ($\sigma_2=2.9$ Å). The Pt electron density for this sample is $4.95e/\text{Å}^3$, which is lower than that of the pure Pt electron density of $5.05e/\text{Å}^3$ ($\rho_m=21.5$ gm/cc). In general, thin films tend to have a lower density compared to pure bulk material. Additionally, interdiffusion across the interfaces leading to a mixed layer would decrease the Pt-layer density and increase the C-layer density.

The Pt L_α fluorescence yield has been measured over an angular region containing the first-order Bragg peak, and analyzed as follows. From the spectrum at each angle in the multichannel analyzer only a Pt L_α peak is selected. These peaks at all angles are fitted, and the background-subtracted area is determined. This area gives the yield. These raw yield data have been corrected for ‘‘footprints,’’ probing thickness variation and finite detector aperture. These corrections are explained at the end of this section. This corrected Pt L_α fluorescence yield vs angle, along with the reflectivity over the first Bragg peak, is shown in Fig. 10. We fit the fluores-

cence yield data based on the model described earlier. This model incorporates all the parameters extracted from the reflectivity fit. That means that the density, thickness, surface and interface roughness, etc. of the layers are kept intact. Here we have considered the contribution of roughness as error functions [Eqs. (28) and (29)] at both interfaces with $\sigma_1=4.5$ Å and $\sigma_2=2.9$ Å. These σ values are the roughness values obtained from an analysis of the reflectivity. (It is well known that reflectivity calculations using an explicit error-function concentration profile at the interface and a flat interface reflection coefficient multiplied by a Debye-Waller function [Eq. (7)] are equivalent.⁴⁵) If we consider that there is no dissolved Pt in the C layers (i.e., $f_c=1$), we do not obtain a good fit. The best fit is obtained with the model with a uniform mixing of Pt in the C layers with $f_c=0.87$. This means that 13% of total Pt is dissolved within the C layers. Converted to an atomic concentration, this corresponds to an average composition $\text{Pt}_{0.05}\text{C}_{0.95}$ of the carbon layer. It should be noted that the Pt concentration in the C layers is actually higher near the interface. This concentration varies with the distance from the interface, and can be easily determined from the distributions [Eqs. (28) and (29)].

In order to show the sensitivity of the fluorescence yield curve to the Pt concentration in the C layers, we also show the plots for $\text{Pt}_{0.03}\text{C}_{0.97}$ and $\text{Pt}_{0.07}\text{C}_{0.93}$ in Fig. 10. They are distinctly different from the data and the fitted curve for $\text{Pt}_{0.05}\text{C}_{0.95}$. This clearly shows that the uncertainty in the estimated Pt concentration of 5% is smaller than 2%. In the fitting of the data the weighted R factors are 0.041, 0.031, 0.023, 0.024, and 0.029 for 3, 4, 5, 6, and 7% of Pt, respectively. It is noted from Fig. 10 that with an increasing Pt concentration in C, the Pt fluorescence yield increases on the low-angle edge and decreases on the high-angle edge of the reflectivity curve. This can be easily understood from Fig. 3. At an angular position b on the reflectivity curve, the x-ray intensity is high in the C layers and low in the Pt layers. However, if there is no Pt in the C layers, there would be no Pt fluorescence emission from there. As some Pt migrates from Pt layers to C layers, the amount of Pt present in the C layers would produce a strong fluorescence emission. That is why increasing the Pt concentration in the C layers produces a higher fluorescence yield at this angular position b , as seen in Fig. 10. It is also noted from Fig. 3 that the maximum field intensity in the C layers is much higher than the maximum field intensity in the Pt layers (also see Fig. 4). This is due to the lower absorption of x rays in the C layers. Due to this, a given amount of Pt in the C layers produces a stronger fluorescence signal than the same amount in the Pt layers when the x-ray intensities are at a maximum in the respective layers.

Probing the quantity of material dissolved from one layer into the other layer of a layer pair in a multilayer system is not only important for optical mirrors and devices, but also very crucial for magnetic multilayers, where interface broadening and alloying within the layers affect magnetic properties of multilayers. In magnetic multilayers with alternating layers of magnetic and nonmagnetic materials, a small amount (even a few percent) of magnetic impurity in the nonmagnetic layers can change the magnetic coupling and

magnetoresistance. In fact, in magnetic multilayers with a wide range of $\text{Cu}_{1-x}\text{Ni}_x$ ($x=0.04-0.42$) alloy spacers, the smallest amount of impurity ($x=0.04$) showed the largest change in magnetoresistance.²⁹ The magnetic impurity in the nonmagnetic layer of the multilayer may be an element other than the magnetic element present in the multilayer. Since x-ray fluorescence can identify the element, the distribution of such impurity elements in the multilayer can be determined by XSW experiments.²⁸

It must be mentioned here that the fluorescence data can also be fitted, without assuming the dissolved fraction (i.e., keeping $f_c=1$), by allowing σ_1 and σ_2 to vary for the fluorescence fit. This fit is also shown in Fig. 10. However, the σ values obtained from this fit ($\sigma_1=8.9$ Å and $\sigma_2=4.2$ Å) are inconsistent with those obtained from the reflectivity fit. The computed reflectivity for these σ -values, as shown in Fig. 9 (Theory-2), is very different from the measured reflectivity. This shows that this set of larger σ values does not represent correct interface roughnesses. This is probably the reason why a very large σ value (10 Å) fits the fluorescence data of Kawamura and Takenaka.²⁵ Our results underline the necessity for a combined x ray standing-wave and reflectivity analysis of periodic multilayers. We suggest that a combined use of reflectivity and x ray standing waves can provide the microstructural details of a periodic multilayer. The procedure to follow is as follows: (i) Obtain the bilayer periodicity, the fractional thickness of the high- Z layer, and surface and interface roughnesses from the reflectivity fit. (ii) The interface roughness should not be constrained to be equal for both types of interfaces. (iii) Use the parameters obtained from the reflectivity fit, and for the fluorescence data fit proceed with the assumption of a dissolved fraction of one material in the other, either in a uniform distribution or with any other improved distribution model. For a more accurate determination of this distribution, higher-order Fourier components of the distribution can be determined by XSW measurements with higher-order Bragg diffractions.

In order to fit the reflectivity data to Eq. (13) and fluorescence data to Eq. (27), the following corrections to data were applied: (i) A footprint correction⁴⁶ was applied to both reflectivity and fluorescence data. At very small angles the beam projection is larger than the sample area. Thus only a fraction of incident photons is actually incident on the sample. After this correction, the data represent what they should be if all the photons were incident on the sample. (ii) The fluorescence data come from a relatively thin layer (the thickness of the multilayer) compared to the beam penetration depth. Thus with a variation of θ the effective probe depth changes. To correct for this, fluorescence data are to be multiplied by $\sin \theta$ at each point. (iii) The fluorescence detector has a finite aperture, and the fluorescent photons may come from a much larger sample area. The detector offers a varying effective solid angle for fluorescent photons originating from different parts of the sample surface. As the exposed sample area varies with θ , this requires a correction which depends on the detector aperture, the detector distance from the sample, and the sample length. In our case, over the θ range ($0.45^\circ-0.6^\circ$) of the first-order Bragg peak region,

this introduces only a minor correction for 1% variation in detected intensity.

V. CONCLUSIONS

For a periodic multilayer system with alternating layers of high- Z and low- Z elements, Bragg diffraction of x rays occurs when the Bragg condition for the bilayer periodicity is satisfied. As in diffraction from a large perfect crystal, standing waves are set up in the multilayer while diffraction occurs. The antinodal (or nodal) planes of the standing-wave are parallel to the layer planes, and have a periodicity equal to the multilayer period. On the low-angle side of the Bragg-reflection peak, the antinodal planes are within the layers with a low- Z element. As the angle of incidence advances through the diffraction peak the antinodal planes shift inward, and finally coincide with the nearest layer of high- Z element of the layer pairs. Emission processes, such as photoemission or fluorescence from atoms in the multilayer, are modulated over an angular region containing the Bragg peak, following the shift of the antinodal planes. An analysis of this modulation in the emission yield provides structural information about the multilayer. The usefulness of the combined application of x ray reflectivity and x ray standing-wave techniques for the analysis of multilayer microstructures has been explained. The deficiencies of each technique can be overcome by a combined application of these techniques. XRR depends on the electron-density difference between the layers of the bilayer. Where the electron density of one layer of the layer pair is very small compared to the other, reflectivity is not very sensitive to even a large fractional change of this electron density. Moreover, the change in the electron density is not necessarily due to the diffusion of atoms from the other layer of the layer pair; it could also be due to other impurities incorporated during

multilayer fabrication. Thus an accurate determination of the layer composition from the XRR technique is practically impossible. These aspects have been elucidated with an example of a 20-period Pt/C multilayer. In the XSW technique, elements are directly identified. Thus the amount of dissolved Pt or any other impurity in the C layers, such as Ar, often incorporated during multilayer fabrication, can be determined. As the interface roughness drastically affects the higher-order Bragg peaks and the overall intensity at higher angles, interface roughnesses are more accurately determined by fitting the reflectivity data over a large range of angle of incidence. On the other hand, in the XSW analysis, if the amount of Pt in the C layers is assumed to be solely within the broadened interface, and is treated as roughness, one obtains roughness values that are too large compared to those obtained from the reflectivity fit. Fixing the interface roughness values at those obtained from the XRR analysis, and assuming the remaining Pt to be in uniform distribution in the C layers, the Pt concentration in the C layers is determined. (More details about the elemental distribution, such as higher-order Fourier components, can be obtained by XSW measurements with higher-order Bragg peaks.) Thus a combined analysis by XSW and XRR techniques removes the deficiencies of the individual techniques. For a 20-period Pt/C multilayer system, interface roughnesses (Pt-on-C: 4.5 Å, C-on-Pt : 2.9 Å) and the C-layer composition (Pt_{0.05}C_{0.95}) have been determined. Determination of a small quantity of impurity, even a few percent, in the spacer layer is particularly important in the magnetic multilayers.

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