

Coherent electron-phonon coupling and polaronlike transport in molecular wires

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(Received 24 July 2000; published 13 March 2001)

We present a technique to calculate the transport properties through one-dimensional models of molecular wires. The calculations include inelastic electron scattering due to electron-lattice interaction. The coupling between the electron and the lattice is crucial to determine the transport properties in one-dimensional systems subject to Peierls transition since it drives the transition itself. The electron-phonon coupling is treated as a quantum coherent process, in the sense that no random dephasing due to electron-phonon interactions is introduced in the scattering wave functions. We show that charge-carrier injection, even in the tunneling regime, induces lattice distortions localized around the tunneling electron. The transport in the molecular wire is due to polaronlike propagation. We show typical examples of the lattice distortions induced by charge injection into the wire. In the tunneling regime, the electron transmission is strongly enhanced in comparison with the case of elastic scattering through the undistorted molecular wire. We also show that although lattice fluctuations modify the electron transmission through the wire, the modifications are qualitatively different from those obtained by the quantum electron-phonon inelastic scattering technique. Our results should hold in principle for other one-dimensional atomic-scale wires subject to Peierls transitions.

DOI: 10.1103/PhysRevB.63.125422

PACS number(s): 85.35.Be, 73.50.-h, 73.40.Gk, 73.61.Ph

I. INTRODUCTION

Developments in nanofabrication, including both “bottom-up” approaches and “top-down” methods are focusing renewed attention on the properties of molecular-scale entities and their potential as electronic device components.¹ One of the most basic theoretical questions that can be asked in this context is, what determines the conductance of a molecule if it is used as a current-carrying element bridging two reservoirs of differing electron chemical potential? This question now has an immediate relevance for experiments in which such conductances are measured, using either (i) scanning probe tips for individual molecules adsorbed on surfaces,^{2–5} for molecular wires adsorbed at step edges,⁶ embedded in self-assembled monolayers,^{7,8} or (ii) (macroscopic) electrodes obtained by nanolithography^{9–13} or from a mechanically controllable break junction.^{14–16}

Since the seminal work of Aviram and Ratner¹⁷ concerning the electron-transfer rate between acceptor and donor groups linked by a conjugated molecular bridge, numerous theoretical studies on electron transfer and transport through molecular systems have been performed. In the following, we briefly review some contributions on the electron transport through a single organic molecule (or a few molecules) whose ends are connected to electron reservoirs. Calculations of the electronic transmission through such systems have been done for purely one-dimensional models^{18–25} and two-dimensional models.^{26–28} More realistic descriptions of the electrode/molecule system have also been developed. Combining elastic electron-scattering theories with three-dimensional tight-bindinglike Hamiltonians, models have been developed for molecular wires connected to two semi-infinite surfaces^{16,19,29,30} or to two semi-infinite “rods,”³¹ or to clusterlike leads where imaginary parts are introduced in the Hamiltonian to take into account the fact that electrons can leak into the metallic reservoirs.^{25,32,33} Within a framework equivalent to the latter model, calculations have been

extended to the Hartree-Fock level for a molecule attached to gold clusters.³⁴ More recently, a density-functional theory has been applied to a molecular wire (described by atomic pseudopotentials) connected to two jellium surfaces.³⁵

These theoretical studies have clarified the importance of three major points crucial for the transport properties of the molecular wire connected to the reservoirs. First, they have shown the importance of the electronic and chemical interaction between the ends of the molecular wire and the reservoirs. The larger the Hamiltonian matrix elements between the delocalized electronic states of the electron reservoirs and those molecular electronic states that extend along the wire, the better the conductance properties will be; furthermore, these matrix elements should be large compared with the characteristic intramolecular Coulomb interaction between electrons in order to avoid the Coulomb blockade³⁶. Second, the theories show that in the limit of a small applied voltage and away from the Coulomb blockade regime, the transport is dominated by charge carrier tunneling inside the highest occupied molecular orbital–lowest unoccupied molecular orbital (HOMO-LUMO) gap of the molecule. This gap is another crucial parameter for control of the conductance of the wire. The smaller the gap is, the larger the tunneling transmission will be. More generally, the gap of a molecule depends on the chemical nature and atomic structure of the system. This gap can also be modified by the electron-electron interactions or by a change of the structure of the molecule due to (i) external forces, (ii) (thermal) lattice fluctuations, or (iii) electron-lattice interaction. Third, the calculations highlight the importance of the position of the molecular electronic levels with respect to the Fermi levels of the reservoirs in the presence of an applied voltage, and the related issue of where the potential drops occur inside the junction. This has been done both empirically³³ and by using approximate²⁴ or exact³⁵ self-consistent schemes.

Although the above theoretical work has shed light on several important physical processes for the transport in molecular wires, and has matched, to a certain level of accuracy

with some experimental measurements, all the models previously cited are based on elastic electron scattering through the rigid lattice of the wire. However, in such highly confined electron systems, the coupling between electron and other excitations (phonons for instance) is strongly enhanced because of the size of the system and its quasi one-dimensionality. This makes the rigid lattice approximation questionable—particularly so since a one-dimensional metal is generically unstable to a Peierls transition at low temperature.³⁷ In an infinite system, such a transition typically produces a semiconductor in which the states near the band extrema are very strongly coupled to distortions of the system; in a conjugated organic molecule, the corresponding phenomenon is a strong coupling of the π -electrons occupying the HOMO and LUMO states to the bond-alternation pattern. This coupling means that the low-lying states of a charged molecule (via which any net transport of charge through the molecule must proceed) involve an intimate coupling of electronic and lattice degrees of freedom, to produce excitations such as polarons or solitons.^{38,39} These coupled excitations can be thought of as conspiring to lower the energy gap locally around a charge carrier when it is introduced into the system. Such polaronic and solitonic phenomena have been studied in bulk or thin film samples of conducting polymers for decades.^{38,40–42}

The importance of this electron-lattice coupling means that the conventional manner of introducing lattice vibrations within a Landauer-type approach to conductance, as an extra broadening of the electronic levels (extra imaginary part in the corresponding Hamiltonian, see for example Refs. 33,50), is not sufficient to describe the coherent lattice distortion due to charge injection. To our knowledge, the explicit nature of the distortion accompanying charge injection has only so far been partially addressed in two simplified limits.⁴³ In the first case, a molecular wire was treated as a rigid lattice in which a static solitonlike defect is present.⁴⁵ Although it possesses a midgap electronic state, this model does not permit the study of the dynamics of formation and transport of charge-induced lattice distortions. In the second case, the atoms of a conjugated molecule were assumed to respond classically to the injection of an electron wave packet,⁴⁶ via forces calculated from expectation values of the electron wave packet and other electronic states. In this model, the lattice is able to respond to the injected charge, but not in the physically correct manner: within a wave-packet approach to tunneling, only a small part of the electronic charge enters the tunnel barrier. Therefore, the lattice responds with probability unity to a small fraction of the charge of the injected particle, rather than responding with a small probability to the total charge of the injected particle.

The only way to overcome these limitations is to perform transport calculations in which the full dynamical correlation between charge carriers and quantum phonons is retained. We report the results of such calculations in this paper. In order to focus on this particular mechanism for charge transport, we use a simple tight-binding model of a conducting polymer [the Su-Schrieffer-Heeger (SSH) model for trans-polyacetylene³⁸] that does not explicitly include any electron-electron interactions. However, our calculations

cover a range of transport regimes that includes tunneling transport (virtual electrons) and resonant transport (where there is sufficient energy to inject real electrons into the system). In contrast to the more usual “phase-breaking” approaches to the electron-phonon interaction in transport problems, we explicitly retain the phase coherence between elastic and inelastic processes. In this paper we concentrate on systems where the boundary conditions on the molecular wires force them to be semiconducting in the zero-bias limit. Within the SSH model, this corresponds to molecular chains containing an even number of monomers. Calculations on odd-length chains, which incorporate mobile solitonic defects with associated midgap states that make an additional contribution to the transport, will be reported separately.

The paper is organized as follows. In Sec. II, we present the multichannel scattering technique used to calculate the transport properties of the molecular wires. This section also includes a detailed analysis of the different approximations used for modeling the molecular wires (involving harmonic phonons) and for reducing the computational cost of the calculations (involving a reduction of the parameter space). The results obtained, in the limit of low temperatures, for the response of the molecular wires to charge-carrier injection are given in Sec. III. We show how the lattice is distorted by the injection of a tunneling electron and how the coherent coupling between the tunneling electron and the quantum phonons affects the transmission properties through the wires. We also compare our transport results with the effect of straightforward static fluctuations in the harmonic lattice, excluding the dynamical correlation of the electrons and the phonons. Finally, we summarize the most important results and propose further developments of the present paper (Sec. IV). Additionally, in the Appendix, we recall briefly the methods used to get the ground state and the harmonic phonon modes from the original SSH model. We also derive in the Appendix the quantum electron-phonon Hamiltonian used for the molecular wires. A brief account of part of this work has already appeared.⁴⁷

II. MODEL

We are interested in modeling the coherent electron (or hole) transport through a finite-size system (the molecular wire) connected to two leads that inject or collect the charge carriers. Within the wire, the charge carriers interact with the atomic motion that originally drives the Peierls transition in the molecule.

The interaction between the ends of the molecular wire and the leads is supposed to be strong enough to permit a good overlap between the electronic states of the wire and the surface electronic wave functions of the leads. In most of the practical applications, molecular wires end in “active” chemical groups, like thiol (S-H) for example, which are known to react easily in the presence of a gold surface to form chemical Au-S bonds.⁴⁸ We therefore assume that the electron transfer rate at the molecule-lead interface is such that we can consider the electron (hole) transport as being a coherent process throughout the nanojunction, rather than a sequential, incoherent two-step process.

In the coherent transport regime, a stationary state wavefunction scattering technique can be used to calculate the electron transfer through the molecular wire. Since we assume that the basis sets used to describe the leads and the molecular wire form a complete set, there are basically two ways to solve the scattering problem for a single incident charge carrier. The technique is reminiscent of the Löwdin transformation.⁴⁹ If one projects out the basis set associated to the molecular wire, the problem is reformulated as a ‘‘single impurity’’ with on-site energies and coupling matrix elements to the leads depending on the injection energy of the charge carrier.^{18,21} If one chooses to project out the basis set associated to the leads, one can effectively remove the leads from the problem. This technique is identical to the embedding technique where a (finite size) effective Hamiltonian describing the region of interest is obtained by introducing complex embedding potentials.^{50–52} The embedding potentials characterize the matching of the electronic spectrum of the wire to the continuum of states of the semi-infinite leads. These potentials also depend on the charge injection energy.

As we wish to obtain the response of the molecular wire to charge injection, as well as the transport properties through the junction, we choose the embedding approach to solve the electron (hole) transport in the system. For this, we use a technique that permits us to map the many-body electron-phonon problem onto a single-particle problem with many channels.^{53,54}

In the remainder of this section, we present the basis of the many-channel scattering technique and discuss the different approximations introduced to reduce the computational cost of the calculations. Details of the construction of the quantum Hamiltonian for the molecular wire from the model originally proposed by Su, Schrieffer, and Heeger^{38,55} (SSH) are given in the Appendix.

Starting from this model, we have derived a quantum electron-phonon Hamiltonian:

$$H_w = \sum_n \epsilon_n c_n^\dagger c_n + \sum_q \hbar \omega_q a_q^\dagger a_q + \sum_{q,n,m} \gamma_{qnm} (a_q^\dagger + a_q) c_n^\dagger c_m, \quad (1)$$

where c_n^\dagger creates an electron in the n th one-electron state of the molecular wire with energy, ϵ_n and a_q^\dagger creates an excitation in the q th eigenmode of vibration (phonon) of the molecule with energy $\hbar \omega_q$. The Hamiltonian Eq. (1) goes beyond the Holstein and Fröhlich model for the electron-phonon (e - ph) interaction, in the sense that the electron couples to different nonlocal eigenmodes of vibration, each mode having a different frequency. The electron-phonon coupling is linear in the phonon field displacement and involves electronic transitions via a general form for the e - ph coupling matrix elements γ_{qnm} .

The electronic eigenstates and eigenvalues are determined self-consistently with the atomic configuration for the ground state of the neutral dimerized molecular chain taken to be the reference system (Appendix). From the atomic and elec-

tronic structures of the reference system, the phonon modes and frequencies are calculated within the harmonic approximation (Appendix). The e - ph matrix elements are derived from the SSH model by expanding the atomic displacements induced by adding a charge onto the phonon modes of the neutral molecule (Appendix). We have checked the accuracy and the validity of the harmonic approximation for the phonons (see below Sec. II B).

A. Multichannel scattering technique

We are interested in solving the problem of electron transport through a ‘‘nanojunction’’ within a two-terminal device. We are mostly interested in the coherent regime for the electron transport, that is, the regime where no random-phase breaking is arbitrarily introduced between different electron-scattering states. Furthermore, we wish to use a formalism that can treat (i) different transport regimes (pure tunneling, resonant tunneling, eventually ballistic transport) on an equal footing or in a transparent way, and (ii) the coupling of an electron with other degrees of freedom within the ‘‘nanojunction.’’ In principle, to study the electronic transport in such open systems, one would have to deal with the nonequilibrium Green’s functions formalism.^{56–59}

In this paper, we use a model that maps a many-body problem (to be accurate, a one-electron/many-bosons problem) onto a single-particle problem with many channels.^{53,54} In such a model, one deals directly with the multichannel scattering states, although the formalism can be reformulated in terms of Green’s functions. This multichannel scattering technique has already been used to study electron transport through one-dimensional models of (i) double-barrier resonant tunneling junctions with electron coupled to a localized single-phonon mode,^{54,60,61} (ii) the Holstein phonon model in the presence of an electric field,⁶² (iii) mesoscopic structures⁵³ and Aharonov-Bohm rings with on-site phonon coupling,^{53,63} (iv) tunneling barriers with the electron coupled to surface plasmon modes.⁶⁴ More recently, such a technique has also been used to study inelastic electron tunneling through small molecules in a scanning tunneling microscopy tunneling barrier.^{65,66}

We start with the following heterojunction: a molecular wire containing N_a atomic sites, described by the Hamiltonian H_w in Eq. (1), is connected to ideal one-dimensional right (R) and left (L) metallic leads, whose Hamiltonians are

$$H_R = \sum_{l=N_a+1}^{+\infty} \epsilon_R d_l^\dagger d_l + \beta_R (d_l^\dagger d_{l-1} + d_{l-1}^\dagger d_l) \quad (2)$$

and

$$H_L = \sum_{l=-\infty}^0 \epsilon_L d_l^\dagger d_l + \beta_L (d_l^\dagger d_{l-1} + d_{l-1}^\dagger d_l), \quad (3)$$

via the coupling matrices,

$$T_R = v_R (d_{N_a+1}^\dagger c_{N_a} + c_{N_a}^\dagger d_{N_a+1}) \quad (4)$$

and

$$T_L = v_L(d_0^\dagger c_1 + c_1^\dagger d_0). \quad (5)$$

The operators d_l^\dagger (d_l) create (annihilate) an electron on site l inside the leads, with on-site energy $\epsilon_{L,R}$ and nearest-neighbors hopping integrals $\beta_{L,R}$, the operators c_i^\dagger (c_i) are the corresponding operators for an electron on site i within the molecule, and v_R and v_L are the hopping matrix elements between the ends of the molecule and the right and left leads, respectively. Within the molecular wire, the transformation from site representation to eigenstate representation is easily performed knowing that $c_n^\dagger = \sum_{i=1}^{N_a} Z_n^*(i) c_i^\dagger$, where $Z_n(i)$ are the components of the n th electronic eigenstate of the wire (see the Appendix).

The procedure for mapping the problem onto a single-particle system with many channels is performed by writing the total scattering wave function $|\Psi(E)\rangle$, for the total energy E of the electron-phonon system as

$$|\Psi(E)\rangle = \sum_l \sum_{\{n_q\}} \alpha_{l,\{n_q\}}(E) |l, \{n_q\}\rangle, \quad (6)$$

where the basis set used to expand the scattering waves is defined (in the case of electron transport) as $|l, \{n_q\}\rangle = c_l^\dagger \Pi_q (a_q^\dagger)^{n_q} / \sqrt{n_q!} |0\rangle$ (for $1 \leq l \leq N_a$) and $|l, \{n_q\}\rangle = d_l^\dagger \Pi_q (a_q^\dagger)^{n_q} / \sqrt{n_q!} |0\rangle$ (for other values of l), $|0\rangle$ being the vacuum state, and $\{n_q\}$ being the phonon occupations. The vacuum state $|0\rangle$ is taken to be the neutral ground state of the system, with a definite number of electrons in each of the left lead, right lead, and molecule. The electronic states we consider, therefore, involve adding a single electron to this neutral state; the added electron may be anywhere in the system (in the left lead, the right lead, or the molecule). For hole transport we use an identical basis, except that electron creation operators are replaced by annihilation operators. By writing the wave-function coefficients as in Eq. (6), no explicit separation between the electronic and phonon degrees of freedom has been assumed.

As far as the electron (hole) propagation is concerned, each different channel is associated with a different set of phonon occupation numbers $\{n_q\}$. The total wave-function $|\Psi(E)\rangle$ is the eigenstate of the total Hamiltonian $H = H_L + T_L + H_w + T_R + H_R$, $H|\Psi(E)\rangle = E|\Psi(E)\rangle$, with the full scattering boundary conditions applied (i.e., an incident electron or hole with the molecule in a given vibrational state).

In the absence of dissipation, the total energy E of the system is conserved during the scattering process, i.e.,

$$E = E_{\text{in}} + \sum_q n_q \hbar \omega_q = E_{\text{out}} + \sum_q m_q \hbar \omega_q, \quad (7)$$

where E_{in} is the energy of the incoming electron and $\{n_q\}$ is the initial set of phonon occupation numbers. E_{out} is the energy of the outgoing reflected or transmitted electron with the corresponding set of phonon occupancies $\{m_q\}$. For inelastic scattering processes $\{n_q\} \neq \{m_q\}$; for elastic scattering, the phonon distribution is conserved.

The wave-function coefficients $\alpha_{l,\{m_q\}}$ take an asymptotic form inside the leads. The form corresponds to propagating Bloch waves inside the left and right leads whose amplitudes

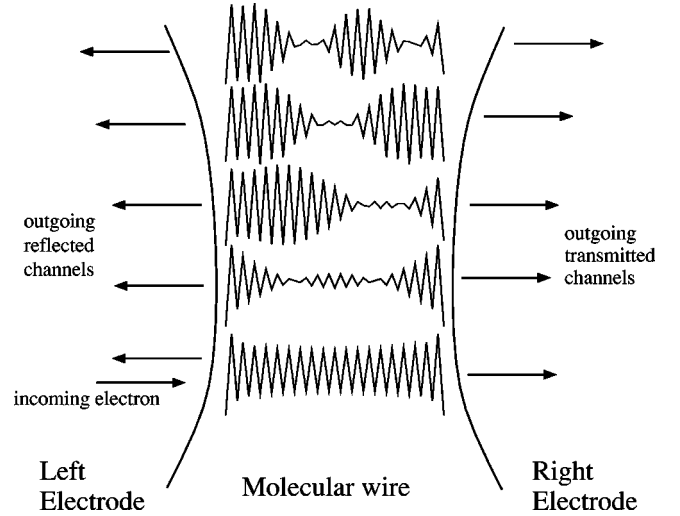


FIG. 1. Schematic representation of the multichannel configurations for a molecular wire connected to two electrodes. The different diagrams represent the bond-length alternation in the wire for the different channels. Initially the wire is its ground-state phonon configuration (lower diagram showing a perfect bond-length alternation in the middle of the wire). The incoming electron can exchange energy with the phonon modes inside the wire and therefore modify the initial bond-length pattern (other diagrams).

are the reflection $r_{\{m_q\}}$ and transmission $t_{\{m_q\}}$ coefficients of the electron in the different channels. For an injected electron from the left lead (for example), we have

$$\alpha_{l,\{m_q\}} = e^{ik_{\{n_q\}}^L l} \delta_{\{n_q\},\{m_q\}} + r_{\{m_q\}} e^{-ik_{\{m_q\}}^L l} \quad (8)$$

inside the left lead ($l \leq -1$) and

$$\alpha_{l,\{m_q\}} = t_{\{m_q\}} e^{ik_{\{m_q\}}^R l} \quad (9)$$

inside the right lead ($l \geq N_a + 2$). The $k_{\{n_q\}}^{L,R}$ are the dimensionless wave vectors of the Bloch waves in the different channels. For a given total energy E , the wave vectors depend on the phonon occupation numbers. Figure 1 shows a simplified sketch of the multichannel technique for different phonon excitations inside the molecular wire.

The solutions of the Schrödinger equation $\langle l | H | \Psi(E) \rangle = E \langle l | \Psi(E) \rangle$ inside the leads give the dispersion relations for the electron wave vectors inside the different channels, $E_{\text{in}} = \epsilon_L + 2\beta_L \cos k_{\{n_q\}}^L$ for the incoming wave and $E_{\text{out}} = \epsilon_L + 2\beta_L \cos k_{\{m_q\}}^L$ for the outgoing reflected wave and to $E_{\text{out}} = \epsilon_R + 2\beta_R \cos k_{\{m_q\}}^R$ for the transmitted wave to the right lead.

In this paper, we report calculations valid in the limit of low temperatures assuming that $k_B T \ll \hbar \omega_q$. Therefore, we take for the initial set of phonon occupation numbers, the set corresponding to all phonon modes in the ground state $\{n_q\} = \{0\}$. The dispersion relations become simply $E = \epsilon_{L,R} + 2\beta_{L,R} \cos k_{\{0\}}^{L,R}$ for the elastic channels and $E = \epsilon_{L,R}$

+ $2\beta_{L,R} \cos k_{\{m_q\}}^{L,R} + \sum_q m_q \hbar \omega_q$ for the inelastic channels. Note that in this case, the total energy E also represents the electron injection energy.

Solving $\langle l|H|\Psi(E)\rangle = E\langle l|\Psi(E)\rangle$ at the interfaces of the heterojunction is the next step to perform in order to resolve the unknown wave-function coefficients inside the molecular wire. For $l=0$ and $l=N_a+1$, the relations between the reflection and transmission coefficients with the wave-function coefficients at the ends of the wire are obtained:

$$t_{\{m_q\}} = \frac{v_R}{\beta_R} \alpha_{N_a, \{m_q\}} e^{-ik_{\{m_q\}}^R N_a}, \quad (10)$$

and

$$r_{\{m_q\}} = -\delta_{\{0\}, \{m_q\}} + \frac{v_L}{\beta_L} \alpha_{1, \{m_q\}}, \quad (11)$$

for the elastic $\{0\}$ and inelastic $\{m_q\} \neq \{0\}$ channels.

Finally, solving the Schrödinger equation on sites $l \in [1, N_a]$ permits one to effectively remove the leads by introducing complex embedding potentials.⁵⁰ Then the solution of the full scattering problem is obtained by solving the following complex linear system

$$[E - H_w - \Sigma^L(E) - \Sigma^R(E)]|\alpha(E)\rangle = |s(E)\rangle, \quad (12)$$

where H_w is the molecular wire Hamiltonian Eq. (1), the components of $|\alpha\rangle$ are the scattering wave-function coefficients inside the molecular wire expressed in the molecule eigenstate representation, i.e., $\alpha_{n, \{n_q\}} = \sum_i Z_n(i) \alpha_{i, \{n_q\}}$, and $\Sigma^{L,R}$ are the embedding potentials due to the left and right lead, respectively. The embedding potentials are diagonal matrices in the $|n, \{n_q\}\rangle$ basis set with components

$$\Sigma_{n, \{n_q\}}^L(E) = Z_n(1) v_L g_{\{n_q\}}^L(E) v_L Z_n(1), \quad (13)$$

and

$$\Sigma_{n, \{n_q\}}^R(E) = Z_n(N_a) v_R g_{\{n_q\}}^R(E) v_R Z_n(N_a), \quad (14)$$

where $g_{\{n_q\}}^{L,R}$ are the surface Green's function of the isolated left and right leads for the different channels given by

$$g_{\{n_q\}}^{L,R}(E) = \exp[ik_{\{n_q\}}^{L,R}(E)] / \beta_{L,R}. \quad (15)$$

Finally, $|s(E)\rangle$ represents the source term (i.e., the injected electron or hole at energy E) with components given by

$$s_{n, \{n_q\}}(E) = \delta_{\{0\}, \{n_q\}} (-2iv_L \sin k_{\{0\}}^L) Z_n(1). \quad (16)$$

In the present paper, the boundary condition chosen for the source term corresponds to the injection of the charge, into the elastic channel $\{0\}$, from the left lead towards the right lead.

The solution of Eq. (12) can be obtained by several means using algorithms for sparse matrices. In the present paper, we explicitly separate the real and imaginary parts of vectors and matrices as follows

$$\begin{aligned} & \begin{bmatrix} E - H_w - \text{Re } \Sigma(E) & \text{Im } \Sigma(E) \\ \text{Im } \Sigma(E) & -E + H_w + \text{Re } \Sigma(E) \end{bmatrix} \begin{bmatrix} \text{Re } \alpha(E) \\ \text{Im } \alpha(E) \end{bmatrix} \\ & = \begin{bmatrix} \text{Re } s(E) \\ -\text{Im } s(E) \end{bmatrix}, \end{aligned} \quad (17)$$

where Re and Im denote the real and imaginary parts of the different quantities and $\Sigma = \Sigma^L + \Sigma^R$. The matrix in the left-hand side of Eq. (17) is therefore real and symmetric. We then use the standard conjugate gradient (CG) technique to solve the linear system $A|x\rangle = |b\rangle$, where A is a real and symmetric matrix.⁶⁷ An efficient algorithm has been devised for computing the products $H_w|x_i\rangle$ generated during the iterative CG steps. It is based on an optimal addressing of the vector components and uses the selection rules for the γ_{qnm} matrix elements [see Appendix 3].

B. Isolated molecular wire: harmonic phonons and reduced parameter space

We have shown that the solution of the scattering problem is obtained by solving Eq. (12) for the value of the wave functions inside the molecular wire. Assuming a truncated phonon space up to a finite number of excitations $n_{\text{occ}}^{\text{max}}$, the size of the basis set is given by $N_{\text{size}} = N_e \times (n_{\text{occ}}^{\text{max}} + 1)^{N_{\text{ph}}}$ with N_e (N_{ph}) being the number of electronic states (phonon modes). Even for relatively short wires, the size N_{size} of the basis set quickly becomes too large for tractable numerical calculations and/or reasonable computing times. For example for $N_a = 20$ atomic sites ($N_{\text{ph}} = 19$ acoustic- and optic-phonon modes) with only $n_{\text{occ}}^{\text{max}} = 2$, we obtain $N_{\text{size}} \gg 10^6$. In the following, we show how to reduce the basis set size and prove the validity of the approximations introduced. The corresponding Hilbert space can be reduced by (i) considering only valence- (conduction) band electronic states (this will correspond to hole (electron) transport, respectively), by (ii) considering a limited but sufficient set of phonon modes, and finally by (iii) using only a few excitations in each phonon mode (truncated harmonic-oscillator approximation).

In order to determine which phonon modes are mainly contributing to the charge-induced deformation of the chain, we calculate the ground-state atomic configurations for a neutral chain u_i^0 and for a chain charged with one additional electron u_i^c . Note that because of the charge-conjugation symmetry (which holds exactly for the SSH model) adding an extra electron or removing an electron (i.e., adding a hole) produces exactly the same lattice distortion. Such a lattice distortion is known as an electron (or hole) polaron (cf. Fig. 3). The lattice distortion is then projected onto the harmonic eigenmodes of vibration V_q of the neutral chain and the corresponding Huang-Rhys factors S_q are determined by⁶⁸

$$S_q = \frac{1}{2} \frac{M \omega_q^2 \Delta_q^2}{\hbar \omega_q}, \quad (18)$$

where $\Delta_q = \sum_i V_q(i) (u_i^c - u_i^0)$. The Huang-Rhys factors give the averaged number of quantum phonons that would be

TABLE I. Huang-Rhys factors S_q , the deformation energy $\Delta E = E_0[u_i^c] - E_0[u_i^0]$, the harmonic distortion energy $\sum_q \hbar \omega_q S_q$, and the charging energy $E^{\text{charg}} = E_{+1}[u_i^c] - E_0[u_i^0]$ for different molecular wire lengths. See main text for the definition of the different quantities.

	$N_a = 20$	$N_a = 40$	$N_a = 60$	$N_a = 80$	$N_a = 100$
Largest S_q	0.806	0.855	0.901	0.885	0.825
Second S_q	1.03×10^{-3}	6.13×10^{-4}	5.01×10^{-3}	1.67×10^{-2}	3.66×10^{-2}
ΔE (eV)	0.127	0.111	0.108	0.104	0.098
$\sum_q \hbar \omega_q S_q$ (eV)	0.136	0.127	0.128	0.125	0.118
E^{charg} (eV)	0.823	0.531	0.446	0.413	0.399

needed to achieve the corresponding elastic energy of the lattice distortion. We have checked that the largest values for those factors are obtained for the lowest energy (longest wave length) optical-phonon modes of the molecular wires.⁶⁹ In particular, the optical-phonon mode having the lowest energy also has the most important contribution (i.e., $S_q > 0.8$ as can be seen for different chain lengths in Table I).

From these results, we can already reduce the number of phonon modes necessary by considering only the longest wave-length optical modes to describe the lattice deformation induced by adding a charge into the chain. A typical set of these modes is shown in Fig. 2.

Furthermore, we can also check the validity of the harmonic approximation used to determine the phonon modes of the wire. Note that in Sec. , the elastic energy is expanded up to second order for small displacements around the equilibrium atomic positions in order to obtain the dynamical matrix from which the eigenmodes of vibration are determined. The deformation of the lattice due to charge addition is not purely harmonic because of the distance dependence in the electron hopping matrix elements, cf. Eq. (A1). However

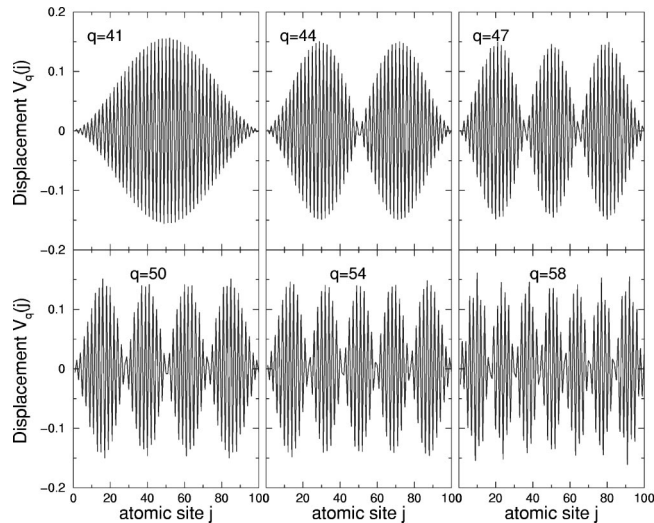


FIG. 2. Lowest energy (longest wavelength) optical-phonon modes $V_q(j)$ for the neutral chain containing $N_a = 100$ atomic sites. The frequencies (energies) of the modes are $\hbar \omega_q = 0.136, 0.141, 0.147, 0.153, 0.158,$ and 0.163 eV for the mode $q = 41, 44, 47, 50, 54,$ and $58,$ respectively. The phonon mode indexes q of the finite-length chain are taken such that the (acoustic and optic) phonons are ordered by increasing frequency.

there are good reasons to believe that the potential surface can be fairly well described by the harmonic approximation to the neutral chain. To confirm this, we compare the deformation energy ΔE with the harmonic distortion energy $E_{\text{harm}} = \sum_q \frac{1}{2} M \omega_q^2 \Delta_q^2 = \sum_q \hbar \omega_q S_q$. The deformation energy is obtained from $\Delta E = E_0[u_i^c] - E_0[u_i^0]$ where $E_0[u_i^0]$ is the self-consistent total energy of the SSH Hamiltonian for a neutral chain with the corresponding atomic positions u_i^0 . $E_0[u_i^c]$ is the nonself-consistent total energy of the neutral chain where the atomic positions u_i^c are taken to be those of the charged chain. In $E_0[u_i^c]$, the effects of the imposed lattice distortions on the electronic spectrum are taken into account. Typical values of ΔE and E_{harm} are given in Table I for different wire lengths. For short chains, the values of ΔE and E_{harm} are almost identical (less than $\approx 5\%$ difference). For longer chains, the difference between ΔE and E_{harm} increases but never exceed $\approx 15\%$. We also show below that the harmonic expansion of the elastic energy is sufficient to describe the formation of a static polaron by adding a permanent extra charge in the molecular chains.

Now we turn on the reduction of the Hilbert space in relation to the electron states. We want to check the validity of using only half the electronic spectrum on the values of the atomic displacements induced by adding a charge (electron or hole) into the molecular chain. As mentioned in Ref. 47, we consider the action of the model Hamiltonian Eq. (1) on the $(N_a \pm 1)$ -electron Hilbert space obtained by adding a charge into the neutral chain. It is thought that it is sufficient to consider only the $(N_a \pm 1)$ electron because for molecular wires strongly (electronically) coupled to the leads, the mean time between charge passages is $\approx 10^{-7}$ s (for a corresponding current of 1 pA), a value orders of magnitude bigger than a typical residence time ($\approx 10^{-15}$ s). Then we project out the addition of a charge into the electronic states by working with the electronic eigenstates representation, i.e., the sums over the eigenstates will only include the occupied valence-band states when adding a hole (and later for hole transport) and only the empty conduction-band states when adding an electron (for electron transport). In the following, we show that the static lattice distortions due to adding a charge are well reproduced by considering only one half of the electronic spectrum.

We start from the ground state of the reference system (neutral chain with atomic positions u_i^0). The lattice distortions due to charging are expanded onto the harmonic pho-

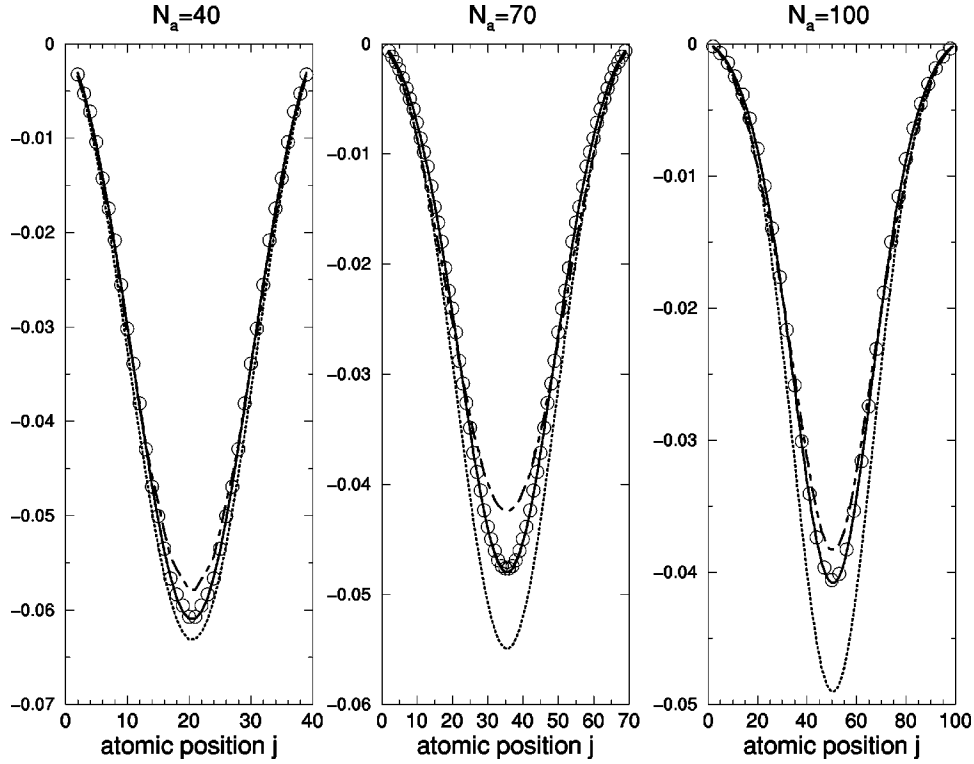


FIG. 3. Dimerization pattern d_j (in Å) induced by adding an extra electron into the molecular wire for three different wire lengths $N_a = 40, 70$, and 100 . The dip in the dimerization patterns is characteristic of the formation of a static polaron located in the middle of the chain. The dotted lines correspond to the dimerization obtained from the original SSH model with the full electronic spectrum. The dimerization obtained by considering half the electronic spectrum and classical phonons is given by the solid lines (all the phonon modes), and circles (six optical modes for $N_a=40, 70$, and 10 modes for $N_a=100$). The dimerization calculated for quantum phonons is represented by the dot-dashed lines (six optical modes and $n_{\text{occ}}^{\text{max}}=6$ for $N_a=40$, 6 modes and $n_{\text{occ}}^{\text{max}}=4$ for $N_a=70$, 4 optical modes and $n_{\text{occ}}^{\text{max}}=5$ for $N_a=100$).

non modes $V_q(i)$ as $u_i = u_i^0 + \sum_q V_q(i) \Delta_q$. Then the influence of the lattice distortions on the electronic Hamiltonian $H^0 \equiv \epsilon_n \delta_{nm}$ are taken into account by introducing the corresponding e - ph coupling off-diagonal elements γ_{qnm} in H^0 . The electronic Hamiltonian of the isolated molecule is

$$H_{nm}^{\text{el}} = H_{nm}^0 + H_{nm}^{e-ph} = \epsilon_n \delta_{nm} + \sum_q \tilde{\Delta}_q \gamma_{qnm}, \quad (19)$$

where the matrix elements γ_{qnm} are given by Eq. (A9) in the Appendix, and $\tilde{\Delta}_q$ is the dimensionless displacement $\Delta_q = \tilde{\Delta}_q \sqrt{\hbar / (2M\omega_q)}$. The total energy of the distorted lattice is

$$E_{\text{half}}(\{\Delta_q\}) = \sum_q \frac{1}{2} M \omega_q^2 \Delta_q^2 + \text{Tr}[\rho^{\text{el}} H^{\text{el}}(\{\Delta_q\})], \quad (20)$$

where ρ^{el} is the electronic density operator. To find the corresponding ground state, $E_{\text{half}}(\{\Delta_q\})$ has to be minimized versus the classical lattice phonon displacements $\{\Delta_q\}$.

Within the half spectrum approximation, the trace in Eq. (20) runs only over the conduction- (or valence-) band eigenstates when we consider the wire charged by an extra electron (or hole). Adding a charge to the system involves only the LUMO or HOMO electronic state for an electron or hole, respectively, therefore the functional to be minimized is actually $E_{\text{half}}(\{\Delta_q\}) = \sum_q \frac{1}{2} M \omega_q^2 \Delta_q^2 + \lambda_e(\{\Delta_q\})$ where λ_e

$= \langle \varphi_e | H^{\text{el}}(\{\Delta_q\}) | \varphi_e \rangle$ is the lowest (or highest) energy eigenvalue of the electronic half-space Hamiltonian $H^{\text{el}}(\{\Delta_q\})$ and corresponds to the LUMO (or HOMO) as modified by the atomic distortion. The minimization of $E_{\text{half}}(\{\Delta_q\})$ is obtained when the forces $F_q = M \omega_q^2 \Delta_q + \langle \varphi_e | \hat{\gamma}_q | \varphi_e \rangle \sqrt{(2M\omega_q)/\hbar}$ are zero for all the phonon modes q , $\hat{\gamma}_q$ being the e - ph coupling matrix with components γ_{qnm} . With this procedure, we can also study the contribution of the different phonon modes q and check the validity of using only a limited number of optical-phonon modes to create the distortions as we have already suggested from the values of the Huang-Rhys factors.

Figure 3 shows the lattice distortions calculated within different approximations from the ground state of a charged chain. The lattice distortions are best represented by the staggered difference d_i between adjacent bond lengths. The quantity $d_i = (-1)^i (u_{i+1} - 2u_i + u_{i-1})$ is known as the dimerization. A constant dimerization pattern indicates a perfect bond length alternation in the chain, while a decrease of the dimerization indicates a deformation of the bond lengths (i.e., an increase of the short bonds and a decrease of the long bonds). These lattice distortions are localized around the charge added and are characteristic of the polaron defect in the molecular chain. The general shape of the dimerization pattern in Fig. 3 indicates that a static polaron has formed in the chain and it extends over several atomic sites.

The dimerization patterns obtained from the original SSH model are shown on Fig. 3 with the dimerizations patterns calculated by considering half the electronic spectrum and classical phonon modes (all the modes and the reduced set of long wave-length optical modes). We can see that the lattice distortions representing a static polaron defect in the chain are very well reproduced by considering only the long-wavelength optical phonons (for example, those shown on Fig. 2 for the $N_a=100$). The discrepancies between the dimerization amplitudes obtained for the full and half electronic spectrum are more important for long chains than for short chains. For the short chains, the difference in dimerization amplitude does not exceed $\approx 10\%$. In the extreme case of the shortest two-atom chain, working with half the electronic spectrum gives the exact results. For the long chains, the difference in dimerization amplitude increases and is $\approx 17\%$ for the $N_a=100$ chain length. We attribute the origin of these differences to the fact that the gap of the molecular wires decreases with the chain length. However, as we show in the next section, an asymptotic regime is reached for chain length $N_a \geq 100$, where the gap becomes independent of the chain length. We therefore can assume that for longer chains, the difference in dimerization amplitude should not increase further.

We also calculated the corresponding lattice distortions using quantum phonons. The calculations were done by determining the ground state of the fully quantum electron/phonon Hamiltonian Eq. (1) where we introduced a cutoff for the number of possible phonon excitations. Each harmonic quantum phonon mode can contain only up to $n_{\text{occ}}^{\text{max}}$ quanta. Note again, that the calculations were performed with the n, m sums running only over half of the electronic spectrum. Then, for the sums running over the originally empty conductance-band states, the ground state $|\Psi_0\rangle$ corresponds to the situation where an extra electron has been added to the chain. The equivalent situation corresponding to removing an electron is obtained by summing the electronic eigenstates only over the originally occupied valence-band states and considering among these eigenstates of Eq. (1), that with the highest eigenvalue.

In practice, here we choose to calculate the situation corresponding to a chain charged with one extra electron. Due to charge-conjugation symmetry, the results for hole injection will be identical. Once the ground state $|\Psi_0\rangle$ of Eq. (1) is obtained, we can calculate the quantum average $\langle \delta_q \rangle = \langle \Psi_0 | \delta_q | \Psi_0 \rangle$ for the mean displacement of the phonon mode q , where δ_q is given by Eq. (A7). The atomic displacements $\langle u_i \rangle$ induced by charging are obtained from $\langle u_i \rangle = \sum_q V_q(i) \langle \delta_q \rangle$. The resulting dimerization patterns are shown on Fig. 3. Convergence of the results is obtained for a small and finite number of quanta in each mode, roughly $n_{\text{occ}}^{\text{max}} \approx 4, 5, 6$. We will show in the next section that the results for the transport properties of the molecular wire coupled to the electrodes converge faster with respect to the values of $n_{\text{occ}}^{\text{max}}$, especially when one considers charge transport in the tunneling regime. As expected, the dimerization patterns are very close to those obtained from the classical phonon model

with half electronic spectrum. The slight differences may be due to quantum delocalization of the eigenstate of Eq. (1).

Finally, it can be noticed that the general shape of the dimerization induced by charging and the spatial extent of the corresponding polaron are well reproduced by the different approximations (half electronic spectrum, limited set of optical-phonon modes, finite number of phonon excitations) introduced to reduce the Hilbert space. The reduction of the parameter space is therefore entirely justified. This reduction permits us to treat a great range of molecular wire lengths and to determine the transport properties of the wires presented in the next section, with reasonable computing times.

III. RESULTS

In this section, we present results for the electron transport through typical heterojunctions made of a molecular wire connected to two electron reservoirs. In our calculations, the dynamical (i.e., energy-dependent) correlation between the electron and the phonon degrees of freedom is kept. Such quantum coherence between the electron and the lattice is essential to treat the propagation of polarons through the wire in the tunneling regime (i.e., for an injection energy E inside the gap of the molecular wire). We use the term coherence because there is no random dephasing of the wave functions introduced by the electron-phonon interaction. Instead, each wave vector $|j, \{n_q\}\rangle$ has both a definite amplitude and phase, which are both dependent on the energy E .⁶²

A. Lattice distortions induced by a tunneling electron

In order to analyze the response of the molecular wire lattice to a tunneling electron in the stationary state, we calculate the expectation value of a correlation function between the phonon field displacements and the electron density. Such a correlation function is defined as the following quantum average:

$$\delta_q^{[i]} = \frac{\langle P_i \sqrt{\frac{\hbar}{2M\omega_q}} (a_q + a_q^\dagger) P_i \rangle}{\langle P_i \rangle}, \quad (21)$$

where $P_i = c_i^\dagger c_i$ is the electron wave-function projector onto atomic site i (the electron density operator on site i). The quantum average of any electron and/or phonon operator \mathcal{O} is given by $\langle \mathcal{O} \rangle = \langle \mathcal{O}(E) \rangle = \langle \Psi(E) | \mathcal{O} | \Psi(E) \rangle$. The correlation function $\delta_q^{[i]}$ represents the mean displacement of the phonon mode q when the electron is on site i . We can then define the conditionally averaged atomic displacement $x_j^{[i]} = \sum_q V_q(j) \delta_q^{[i]}$ representing the atomic displacement on site j on the condition that the electron is on site i . From these atomic displacements, we can obtain the dimerization pattern $d_j^{[i]} = (-1)^j (x_{j+1}^{[i]} - 2x_j^{[i]} + x_{j-1}^{[i]})$.

We have calculated the response of the molecular lattice to the tunneling electron for different wire lengths and different injection energies E . For example, Figure 4 shows a two-dimensional map (atomic position j /electron position i) of the dimerization $d_j^{[i]}$ for a $N_a=100$ chain length. The

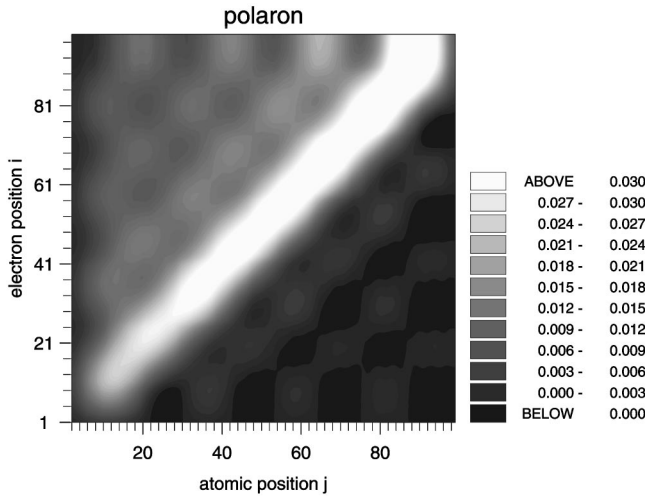


FIG. 4. Two-dimensional (atomic position-electron position) map of the dimerization pattern (in Å) obtained from the atomic displacements $x_j^{[i]}$ for a $N_a=100$ chain length. For the boundary conditions chosen, an electron is injected from the left (injection energy $E=0.0$ at midgap). The charge current is flowing from atomic site $j=1$ to site $j=100$ (horizontal axis). The bright parts represent a dip in the dimerization pattern, i.e., the formation of a virtual polaron. The induced atomic distortions are always located around the (tunneling) electron position i (vertical axis) when there is enough “room” for the polaron to exist inside the chain.

tunneling electron is injected at midgap ($E=0.0$) from the left ($j=1$) and propagates to the right ($j=100$). The bright part around the first diagonal in Fig. 4 represents a dip in the dimerization pattern along the atomic positions. As shown in the previous section, such a lattice distortion is the signature of the formation of a polaron inside the chain. We name it a virtual polaron because of the transient nature of the tunneling electron injected inside the molecular wire gap. The induced atomic distortions are located around the electron position i (vertical axis). As can be seen in Figs. 4 and 5, the virtual polaron has its own intrinsic width (estimated around ≈ 15 atomic sites for the present model of molecular chains that is consistent with the correlation length ξ of the continuum model⁷⁰). Its formation is therefore not possible for the electron positions at the ends of the molecular wire. For short chains ($N_a < 15$), the lattice distortion associated with the polaron cannot be accommodated in the wire. This has an important consequence on the electronic transport properties, as we shall see in the next section.

The atomic displacements are, for most electron positions, slightly less than those for an isolated chain (Fig. 5) because the lattice does not respond fully to the tunneling electron as in the case of the static charge added into the chain. The width of the lattice distortion around the electron is also smaller for the tunneling electron than for the static charge.

The amplitude of the virtual polaron slightly increases when the injection energy E increases above midgap. Such a behavior persists until E reaches the first resonance peak in the electron transmission (see next section). This may be understood from the fact that far from midgap, the electron wave function gets more weight (the amplitude of the electron wave function gets larger) leading to a stronger coupling

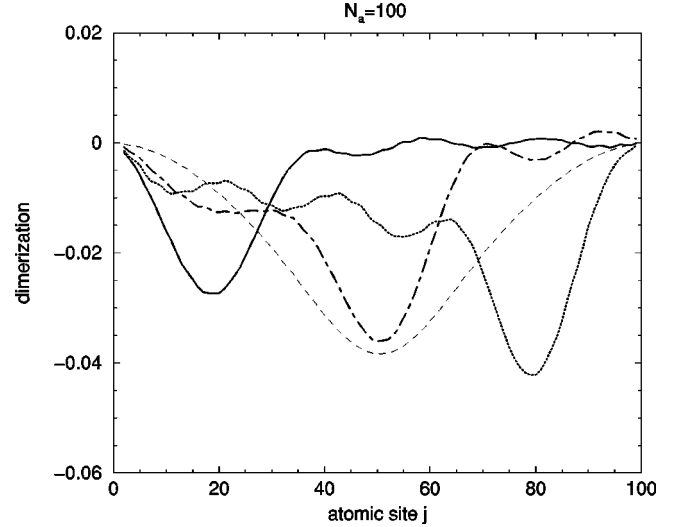


FIG. 5. Dimerization pattern obtained from the atomic displacements $x_j^{[i]}$ for $N_a=100$ (identical to Fig. 4) for three different electron positions $i=19$ (solid line), $i=51$ (dot-dashed line), and $i=81$ (dotted line). The corresponding dimerization pattern for a static polaron inside an isolated chain is also shown (thin dashed line, identical to the dimerization shown in Fig. 3 as the dot-dashed line). Note the difference in the width of the polaron defect for a static electron and a tunneling electron. Note also the “wake” of lattice distortion left by the tunneling electron.

to the phonons and therefore to more important lattice distortions. Above the first resonance peak, the electron is not, strictly speaking, tunneling anymore through the molecular gap. For these energies, the transport regime is better described as tunneling resonantly through the coupled $e-ph$ states of the system. The scattering wave function acquires more weight from these quasistanding waves that in turn modify qualitatively the polaronlike nature of the corresponding atomic distortions. A detailed analysis of such distortions is out of the scope of this paper and will be presented elsewhere.⁷¹

The coherent electron-lattice distortion leads to a modification of the electronic spectrum of the molecular wire compared to the spectrum of the undistorted chain. In all the cases studied, the polaron formation is associated with a reduction of the gap of the originally undistorted chain. Because the atomic distortions induced in the case of a static electron added in the chain are different from those obtained for a tunneling electron, we expect the electron transport properties through the corresponding spectra to be different. The behavior of the transport properties in the molecular wires is shown and analyzed in terms of electron transmission probabilities in the next section.

B. Transport properties

The current flowing through the different channels (for example in the right outgoing channels) is given by

$$j_{\{m_q\}}^R(E) = \frac{2e}{h} \text{Im}(\alpha_{i,\{m_q\}}^* \beta_R \alpha_{l+1,\{m_q\}}), \quad (22)$$

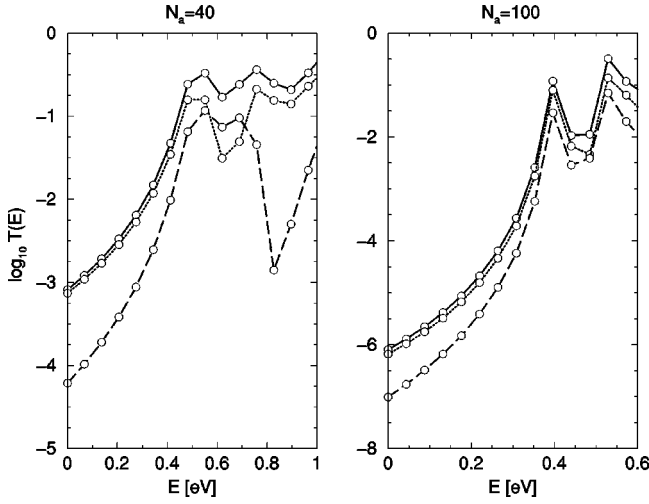


FIG. 6. Electron transmission probability (on a logarithmic scale) versus electron injection energy E through molecular wires of different length. Calculations were performed with $N_{\text{ph}}=6$, $n_{\text{occ}}^{\text{max}}=2$ for $N_a=40$ and with $N_{\text{ph}}=4$, $n_{\text{occ}}^{\text{max}}=3$ for $N_a=100$. Effective total transmission $T(E)$ (solid lines), transmission from the elastic channel $|t_{\{0\}}(E)|^2$ only (dotted lines), transmission from the first inelastic channel $\{n_{q=1}=1$ and $n_{q>1}=0\}$ (dashed lines). We recall that the first optical mode $q=1$ is the optical mode with the longest wavelength (i.e., lowest energy). The corresponding energy is $\hbar\omega_q=0.148$ eV (for $N_a=40$) and $\hbar\omega_q=0.136$ eV (for $N_a=100$). In the tunneling regime, i.e., for energy E below ≈ 0.51 eV (for $N_a=40$), and ≈ 0.39 eV (for $N_a=100$), the main contribution to $T(E)$ comes from the elastic channel.

for sites $l > N_a + 1$ located inside the right lead. From the asymptotic form of the wave functions inside the leads Eqs. (8) and (9), it is found that $J_{\{m_q\}}^{\text{R}}$ is related to the transmission probability by $J_{\{m_q\}}^{\text{R}} = 2(e/h)\beta_{\text{R}} \sin k_{\{m_q\}}^{\text{R}} |t_{\{m_q\}}(E)|^2$. Similarly, the current inside the left-lead channels $J_{\{m_q\}}^{\text{L}}$ is related to the reflection probability $|r_{\{m_q\}}(E)|^2$.

We can define an effective total transmission probability as

$$T(E) = \sum_{\{m_q\}} |t_{\{m_q\}}(E)|^2 \frac{\beta_{\text{R}} \sin k_{\{m_q\}}^{\text{R}}}{\beta_{\text{L}} \sin k_{\{0\}}^{\text{L}}}. \quad (23)$$

This takes the form of a sum of contributions from the different outgoing channels.⁷³

In the following, we present results for the injection of an electron and transport by tunneling effect inside the gap and by resonant tunneling through the levels of the system above the gap. The electron injection energy is defined as positive with respect to the reference energy $E=0$ for all the molecular wires. The Fermi energies of the leads are assumed to be pinned at midgap in the absence of any applied bias.

Figure 6 shows typical results for the effective total transmission $T(E)$ and the contribution from the elastic channel and from one inelastic channel. The different transmission probabilities have, as expected, an exponential behavior in

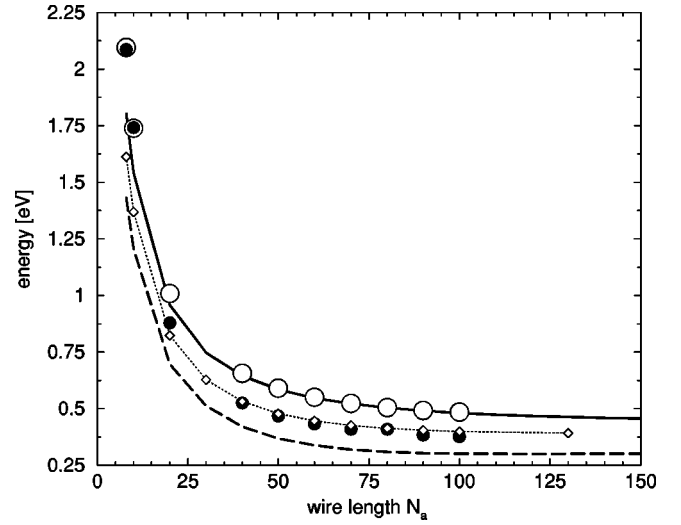


FIG. 7. Half gap obtained from the original SSH model versus the chain length for a neutral molecule (solid line) and for a molecule charged with one extra electron (dashed line). The corresponding charging energy is also shown (dotted line with diamonds). The energy positions of the first resonance peak in the transmission are also shown: for purely elastic scattering, i.e., ignoring the coupling to the phonons (empty circles), and for inelastic scattering including the coupling of the electron to the $N_{\text{ph}}=4$ lowest frequency optical modes (with $n_{\text{occ}}^{\text{max}}=2$) (filled circles). All energies are given in eV.

the tunneling regime (below the first resonance peak) and present resonances through the spectrum of the system for higher energies.

It is interesting to note that in the tunneling regime when the injection energies are below the first resonance peak ($E < 0.51$ eV for $N_a=40$ and $E < 0.39$ eV for $N_a=100$), the most important contribution to the total transmission $T(E)$ comes from the elastic channel. Above the first resonance, the contribution of the other inelastic channels may become as important as the contribution of the elastic channel. Although the contribution of the elastic process is dominant in the tunneling regime, it should be noted that this contribution is quite different from that obtained from an elastic-scattering treatment of the transport through the undistorted molecule. This is because the ‘‘elastic channel’’ corresponds to processes in which, once the electron has crossed the molecule, there is no overall absorption or emission of phonons. Nevertheless, phonons are emitted and absorbed during the intermediate stages of the transport, and therefore this ‘elastic channel’ is quite different from a rigid-molecule calculation where no coupling to the phonons is present. We have already shown in Ref. 47 that the transmission is actually enhanced (in the tunneling regime and in the limit of low temperatures) because of the e - ph coupling. The transmission enhancement comes from an effective gap reduction associated with the virtual polaron formation. This gap reduction is also different from the one obtained by charging a (classical lattice) chain with a static electron.

Figure 7 represents half the HOMO-LUMO gap of isolated neutral and charged chains obtained from the SSH model and the effective gap of our coupled quantum

TABLE II. Characteristic times associated to the electron and phonons. τ_{res} is the residence time for the electron estimated from the width of the first resonance peak. τ_{BL} is the Büttiker-Landauer traversal tunneling time for the electron. The values for τ_{ph} are a range corresponding to the range of optic phonon frequencies. The different τ are given in fs.

N_a	08	10	20	40	50	60	70	80	90	100
τ_{res}	0.40	0.51	1.56	5.51	10.82	15.05	20.67	30.21	37.5	45.29
τ_{BL}		0.77–0.90	1.64–1.70	3.35–4.50						8.36–25.53
τ_{ph}	3.34–3.88	3.34–3.60	3.36–3.93	3.65–4.43	3.79–4.58	3.78–4.68	4.04–4.74	3.99–4.79	4.23–4.82	4.31–4.84

electron-phonon model for different chain lengths. Half the gap corresponds to the value of the lowest unoccupied molecular states (LUMO) of the isolated (neutral or charged) chain. The effective gap of the molecular wire (including e - ph coupling) connected to the leads is obtained from the position of the first resonance peak in the transmission $T(E)$. We also plotted the position of the first resonance peak obtained from purely elastic calculations (ignoring the e - ph coupling). As expected, the positions of these resonances reproduce the values of half the gap of the corresponding neutral chains. An energy shift appears in the first resonance position because of the real part of the embedding potentials Σ^L and Σ^R that appear in the solution of the scattering problem Eq. (12).

For the fully inelastic scattering calculations, the first resonance in $T(E)$ occurs for injection energy E smaller than those obtained from the (solely) elastic calculations. The behavior characterizes the effective gap reduction of the wire due to the e - ph interaction. The energy position of the first resonance in $T(E)$ is close to the charging energy E^{charg} of the isolated molecular wire. The charging energy E^{charg} is defined as the difference between the (self-consistent) ground-state total energy of the charged chain $E_{+1}[u_i^c]$ (with the distorted lattice positions u_i^c corresponding to a static polaron) and the ground-state total energy of the neutral chain $E_0[u_i^0]$ (with the undistorted, perfectly dimerized, lattice positions u_i^0). Some values of E^{charg} for different molecular wire lengths are given in Table I. Differences between the values of E^{charg} and the first resonance in $T(E)$ do occur, they are due to (i) the systematic energy shift introduced by the embedding potentials and also to (ii) the differences arising from treating the lattice distortions classically or with quantum phonons as pointed out in Sec. III A.

To summarize, there are two distinct physical processes that affect the value of the band gap of the molecular wire and therefore the transport properties through this wire. First, the intrinsic band-gap diminishes with increasing wire lengths. The values reach a asymptotic regime for a length $N_a \gtrsim 100$. The asymptotic band-gap value and the length above which the asymptotic regime is obtained depend on the chemical nature of the molecule, i.e., on the SSH parameters used to model the molecular chain (cf. Appendix). Second, an effective band-gap reduction occurs upon charging the molecular wire with a static charge or with a transient tunneling charge. The reduction is due to the coupling between the charge and the lattice leading to the formation of static or virtual polaron, respectively. Therefore, the electron transmission

through the molecular wire increases because of the e - ph coupling for injection energies inside the gap. Although the trends for the gap reduction are similar for the static and virtual polarons, they differ quantitatively over the whole range of molecular lengths studied here.

Finally, it should be noticed that for short wires ($N_a \leq 10$) the effective gap obtained from the fully inelastic calculations converges towards the gap obtained from elastic calculations. Therefore, the values of the electron transmission are almost identical for both inelastic and purely elastic calculations. As mentioned in Sec. III A, although the polaron cannot be accommodated in very short wires, in these conditions (short tunneling length and large gap), the tunneling process itself is too fast to get significant lattice distortions associated with the charge injection. In order to illustrate this point, we give in Table II, the characteristic times associated with the electron and the phonons. We calculate the time domain τ_{ph} of the phonons from the extremal phonon frequencies used in our calculations. We estimate a residence time τ_{res} for the electron from the full width at half maximum η of the first resonance peak using $\tau_{\text{res}} = \hbar/2\eta$. Following Büttiker and Landauer,^{74,75} a traversal time τ_{BL} for the tunneling electron can be obtained; it is calculated from the elastic channel transmission coefficient as done in Ref. 64. The traversal time τ_{BL} is, by definition, dependent on the electron injection energy E . We give in Table II the range of τ_{BL} corresponding to energies inside the tunneling gap. We can see that for short wires ($N_a \ll 2\xi$), the tunneling time (and also τ_{res}) is smaller than the characteristic times associated with the phonons. The lattice dynamics is, therefore, not fast enough to respond to the tunneling electron. In this regime, the transport properties obtained from purely elastic scattering (rigid lattice) are not strongly different from those obtained by inelastic scattering (coherently distorted lattice by the e - ph coupling). For longer wires ($N_a \approx 40 \gg 2\xi$), the tunneling time (and τ_{res}) is comparable to or larger than the characteristic phonon times; the polaron can be formed inside the molecular wire. It is in this regime that we observe the most important differences between the electron transmission for a rigid lattice, and for a lattice that can be deformed by the tunneling electron.

C. Lattice fluctuations

The importance of lattice fluctuations on the electronic structure of conjugated molecules has already been considered.^{76–79} As the lattice fluctuations (even in the limit of zero temperature, i.e., the zero-point motion) are

of the same order of magnitude than the distortions induced by charge injection, it is important to know if such species (polarons, solitons) survive the lattice fluctuations.

We present here results for the electron transmission through a molecular chain where disorder is introduced due to lattice fluctuations. In order to compare these results with the full quantum inelastic transmission, we consider the limit of low temperatures where the lattice fluctuations are due to the zero-point motion of each phonon mode. The averaged transmission is obtained by summing up the different elastic transmission probabilities $T(E; \{\Delta_q\})$ associated with the displaced phonon configurations $\{\Delta_q\}$, weighted by the Gaussian distribution probability of the ground-state harmonic oscillator wave function. The average of a function $f(\{\Delta_q\})$ depending on the phonon displacements $\{\Delta_q\}$ is calculated as

$$\langle f \rangle_{\text{dis}} = \int d\Delta_1 \dots d\Delta_q \dots d\Delta_{N_{\text{ph}}} f(\{\Delta_q\}) \times \prod_q \frac{\exp\left(-\frac{1}{\hbar} M \omega_q \Delta_q^2\right)}{\sqrt{2\pi\sigma_q}}, \quad (24)$$

where the width of the Gaussian distribution is given by the virial theorem for the zero-point fluctuation of each mode $\frac{1}{2} M \omega_q^2 \langle X_q^2 \rangle = \frac{1}{2} E_{n=0} = \frac{1}{2} (n + \frac{1}{2}) \hbar \omega_q = \frac{1}{4} \hbar \omega_q$ and $\sigma_q = \langle X_q^2 \rangle^{1/2}$.

When $f(\{\Delta_q\}) = T(E; \{\Delta_q\})$, Eq. (24) is equivalent to the static lattice approximation expression obtained by Pazy and Laikhtman⁸⁰ using a path-integral formalism. In our calculations, the transmission $T(E; \{\Delta_q\})$ is calculated from elastic scattering through the one-electron spectrum of the molecular chain distorted by the zero-point lattice fluctuations. The distorted molecule eigenstates are obtained from the Hamiltonian Eq. (A1) by replacing the equilibrium atomic positions u_i^0 by $u_i = u_i^0 + \delta u_i$ where $\delta u_i = \sum_q V_q(i) \Delta_q$ for the different configurations $\{\Delta_q\}$ of the distorted lattice. In practice, $T(E; \{\Delta_q\})$ is calculated in a similar way as described in Sec. II A, but using the approximation of elastic scattering (i.e., no energy exchange between the electron and the phonons is allowed, setting $n_{\text{occ}}^{\text{max}} = 0$ for all the phonon modes considered). Furthermore, the integrals over the phonon displacements are performed using an algorithm to generate random deviates with a normal Gaussian distribution with a given zero mean value and a variance σ_q .

The transmission Eq. (24) corresponds to an average over the phonon modes, treated classically (as in Appendix) but with a mean-square displacement equal to the quantum zero-point motion, while the electronic transmission $T(E; \{\Delta_q\})$ is obtained from quantum mechanics. In this average, the influence of the phonon displacements on the electronic spectrum is taken into account but not *vice versa*. This is the fundamental difference with the transport calculations presented in Sec. III B where both the electron and phonon degrees of freedom are treated at the quantum level and where the lattice distortion is induced by the injected electron. We there-

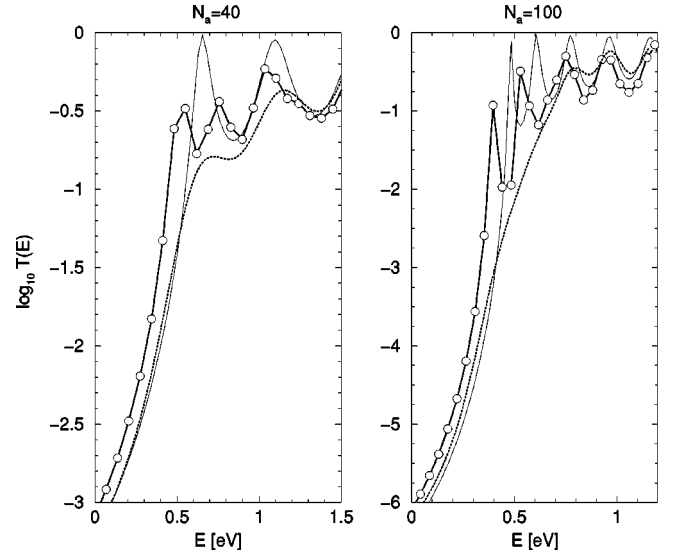


FIG. 8. Electron transmission $T(E)$ versus electron injection energy E for two molecular wire lengths $N_a = 40$ and $N_a = 100$. Transmission through the one-electron eigenstates of the neutral chains from elastic scattering (thin solid lines). Transmission through the coupled quantum electron/phonons system from inelastic scattering (solid lines with circles), $N_{\text{ph}} = 6$ and $n_{\text{occ}}^{\text{max}} = 2$ for $N_a = 40$, $N_{\text{ph}} = 4$ and $n_{\text{occ}}^{\text{max}} = 3$ for $N_a = 100$. Averaged transmission $\langle \log T(E) \rangle_{\text{dis}}$ from elastic scattering through the one-electron eigenstates of the chain distorted by zero-point lattice fluctuations (dotted lines), $N_{\text{ph}} = 3$ for $N_a = 40$ and $N_a = 100$.

fore expect the results for zero-point motion to be different from the one due to the quantum coherent electron-phonon coupling.

Figure 8 shows the elastic transmission probability through the rigid undistorted lattice and the transmission averaged (in the manner discussed below) over the zero-point fluctuations. The total effective transmission obtained from the inelastic scattering calculations is also shown.

As expected, the lattice fluctuations substantially modify the electron transmission through the molecular wire. The transmission is reduced around the resonance peaks of the one-electron spectrum of the undistorted chain, and the peaks are smeared out. However, there is no shift in the position of the resonances corresponding to the formation of virtual polarons.

As in the fully quantum calculations, the transmission is enhanced for injection energies inside the gap of the undistorted chain. However, at this point it becomes important to know exactly how the average over the lattice fluctuations in Eq. (24) is calculated. It is known⁸¹ that the ensemble average of the transmission probability in a disordered one-dimensional conductor is a statistically ill-defined quantity, in the sense that it is dominated by a very small number of exceptional configurations. In other words, the mean is in no way representative of the typical transmission probability to be expected from a randomly sampled member of the ensemble. This difficulty does not arise if $\log T$, rather than T , is averaged.⁸² In Fig. 8 we therefore compare $\log T$ from our fully quantum results with $\langle \log T \rangle_{\text{dis}}$. In this case we see that fully including the electron-phonon coupling (allowing the

possibility of polaron formation) gives a greater increase in the tunneling transmission than averaging over the disorder introduced by the zero-point motion. This shows that only the fully quantum coherent electron-phonon coupling calculations (exact in the limit of zero temperature) give the correct physics.

IV. CONCLUSION

In this paper, we have presented a method to calculate the inelastic effects on electron transport through one-dimensional molecular wires, including realistic electron-phonon coupling. The model for the wires is inspired by the Su-Schrieffer-Heeger model for trans-polyacetylene. The transport through the quantum electron-phonon system is solved by means of a multichannel scattering technique where each channel is associated with probabilities for the electron to be reflected or transmitted, given the phonon occupation number configuration.

The results show that the transport in the one-dimensional molecular wires does not occur as in traditional (three-dimensional) semiconducting molecular devices. It does not involve the propagation of free electronlike particles, but instead is due to the coherent propagation of ‘‘quasiparticles’’: an electron (or hole) surrounded by a lattice distortion, characteristic of the formation of an electron (or hole) polaron. This object is called here a virtual polaron because of the transient nature of the tunneling electron (or hole) injected inside the HOMO-LUMO gap of the molecular wire. In this regime and in the limit of low temperatures, the tunneling transmission probability through the device increases, due to the electron-phonon coupling, in comparison with the transmission obtained from elastic scattering through the undistorted molecular wire. Lattice fluctuations also modify the electron transmission through the wire. However, the corresponding enhancement of the transmission in the tunneling regime is less than that produced by the virtual polarons.

The influence of other defects (such as a solitonlike defect existing in the chain) on the transmission has already been considered, and will be presented elsewhere. The transport for finite temperatures (different initial phonon occupations and proper statistical averages) is under study and results will be presented in the near future.

The results presented in this paper are general and can be applied to other types of one-dimensional atomic-scale wires subject to a Peierls transition. For example, it has been shown both experimentally and theoretically that a Peierls-like transition also occurs in dangling-bond (DB) lines fabricated on the H-passivated Si(001) surface.^{83–85} More recently, it has been shown theoretically that the injection of a static charge in the bands around the band-gap leads to a distortion of the atomic positions along the line.⁸⁶ This distortion corresponds to the formation of a small polaron in the DB line. We therefore expect that carrier injection in the band gap of the DB lines will lead to similar physical results to those presented here for molecular wires. Many other quasi-one-dimensional systems may be expected to show similar characteristics.

ACKNOWLEDGMENTS

The authors greatly appreciate enlightening discussions with L. Kantorovich, J. Gavartin, A.L. Shluger, and A.M. Stoneham. H.N. thanks C. Joachim for stimulating discussions on inelastic tunneling in molecular systems. We acknowledge financial support from the U.K. Engineering and Physical Sciences Research Council, and under Grant No. GR/M09193.

APPENDIX: ISOLATED MOLECULAR WIRES

1. The SSH model

Su, Schrieffer, and Heeger (SSH) modeled a chain of trans-polyacetylene (*t*-PA) as a purely one-dimensional atomic (CH)_{*x*} chain.^{38,55} The model combines a classical ball-and-spring term for the distortion of the σ -bond backbone with a tight-binding representation for the delocalised π -electron orbitals along the chain. Furthermore, the electron hopping integrals between adjacent (CH) groups are expanded linearly about some reference values. The corresponding Hamiltonian is

$$H_{\text{SSH}} = - \sum_{i,s} [t_0 - \alpha(u_{i+1} - u_i)] [c_{i,s}^\dagger c_{i+1,s} + c_{i+1,s}^\dagger c_{i,s}] + \frac{1}{2} K \sum_i (u_{i+1} - u_i)^2, \quad (\text{A1})$$

where $c_{i,s}^\dagger$ ($c_{i,s}$) creates (annihilates) a π electron of spin s at site i . u_i is the displacement of the i th (CH) group from its place in the reference (undimerized) system with hopping integral t_0 . K is the spring constant corresponding to the σ bond and α is the electron-lattice coupling constant. As explained in the introduction, the undimerized metallic chain is unstable with respect to a Peierls distortion, and the ground state of an infinite neutral chain has displacements given by $u_i = (-1)^i u_0$, where u_0 is a constant depending on t_0 , α , and K . The values chosen for the different parameters ($t_0 = 2.5$ eV, $\alpha = 6.1$ eV/Å, and $K = 42.0$ eV/Å²),⁸⁷ give for an infinite perfectly dimerized chain a total bandwidth of 10 eV, a band gap of 1.4 eV, and a difference between long/short bond lengths of 0.1 Å in agreement with experiments.

For finite-size chains containing N_a atomic sites [i.e. (CH) groups], the ground-state GS(N_a, N_e) of Hamiltonian (A1) can be obtained for neutral ($N_e = N_a$) or charged ($N_e \neq N_a$) chains, N_e being the total number of π electrons inside the chain. The ground state is obtained when the restoring forces of the springs balance the electronic forces by solving^{87–90}

$$2\alpha \sum_n^{\text{occ}} Z_n(i) [Z_n(i-1) - Z_n(i+1)] + K(2u_i - u_{i-1} - u_{i+1}) = 0, \quad (\text{A2})$$

where $Z_n(i)$ are the components of the one-electron eigenstates (with energy ϵ_n) of H_{SSH} for a given atomic configuration $\{u_i\}$. The sum in Eq. (A2) runs only over the occupied states and the spin index s is implicitly taken into account in the n summations. For the finite size molecular chains we

study here, the boundary conditions are $Z_n(i)=0$ and $u_i=0$ when $i<1$ or $i>N_a$. Furthermore, in practice, in order to avoid the uniform translation of the chain through space, one atomic site is kept fixed, for instance, one end of the molecular chain is kept fixed (i.e., $u_{N_a}=0$).

2. Classical phonons

In the limit of small displacements $\{\delta u_i\}$ around the equilibrium atomic positions $\{u_i^0\}$ of the ground-state GS(N_a, N_e), it is possible to derive an effective harmonic phonon Hamiltonian by partitioning Eq. (A1) as follows:^{87,89-91}

$$H_{\text{SSH}}[\{c_n\}, \{u_i^0 + \delta u_i\}] = H_{\text{st}}[\{c_n\}, \{u_i^0\}] + H_{\text{ph}}[\{u_i^0\}, \{\delta u_i\}] + H_{e-\text{ph}}[\{c_n\}, \{\delta u_i\}], \quad (\text{A3})$$

where H_{st} , $H_{e-\text{ph}}$, H_{ph} are respectively the static, phonon and electron-phonon coupling parts of the total SSH Hamiltonian. $H_{e-\text{ph}}$ is usually treated as a perturbation up to second order to give a quadratic term in δu_i in the effective phonon Hamiltonian $\sum_{i,j} K_{ij} \delta u_i \delta u_j$. The dynamical matrix K_{ij} is given by⁸⁹

$$K_{ij} = K_{ji} = 2\alpha^2 \sum_n^{\text{unocc}} \sum_m^{\text{occ}} [F(i, n, m) - F(i+1, n, m)] \times [F(j, n, m) - F(j+1, n, m)] / (\epsilon_m - \epsilon_n), \quad (\text{A4})$$

where $F(j, n, m) = Z_n(j)Z_m(j-1) + Z_m(j)Z_n(j-1)$. The n (m) sum runs over the empty (occupied) electronic states. The eigenstates of dynamical matrix K_{ij} give the eigenmodes $V_q(i)$ of vibration (phonons) of the finite-size molecular chain, while the eigenvalues, $M\omega_q^2$, of K_{ij} are related to the phonon frequencies ω_q (M being the mass of the CH group).

In the present paper, we use the same boundary conditions as above to determine the phonon modes. However, different boundary conditions could be used: fixed ends (i.e., constant molecular chain length), free ends eventually coupled to different spring constants to simulate the effective coupling to the electrodes. Although, these different boundary conditions would, in principle, affect the electronic and the vibrational properties of the chain,⁹² we infer that the main physical results obtained in the present study will not be drastically modified. For instance, it appears that these different conditions will mostly affect the acoustic modes of the chain. Those modes have been, however, neglected in the present paper because their contribution to the (virtual) polaron formation is negligible.

3. Quantum phonons

At this stage, we already have all the ingredients to derive a quantum version of the SSH Hamiltonian. From the reference system, chosen to be the neutral molecular chain of length N_a , we can write the Hamiltonians for the noninteracting electron and phonon degrees of freedom as

$$H_e = \sum_n \epsilon_n c_n^\dagger c_n, \quad (\text{A5})$$

where $c_n^\dagger = \sum_i Z_n(i) c_i^\dagger$ creates (c_n annihilates) an electron in the n th electronic state of the reference system with energy ϵ_n . The harmonic phonon Hamiltonian (neglecting the zero-point energy) is

$$H_{\text{ph}} = \sum_q \hbar \omega_q a_q^\dagger a_q, \quad (\text{A6})$$

where a_q^\dagger (a_q) creates (annihilates) a phonon mode q with frequency ω_q . The basis set associated to $H_e + H_{\text{ph}}$ is formed by the eigenstates $|n, \{n_q\}\rangle = c_n^\dagger \prod_q (a_q^\dagger)^{n_q} / \sqrt{n_q!} |0\rangle$ with eigenvalues $\epsilon_{n, \{n_q\}} = \epsilon_n + \sum_q n_q \hbar \omega_q$, where $|0\rangle$ is the vacuum state and $\{n_q\}$ the set of phonon occupation numbers.

We expand the lattice deformations δx_i induced by an additional charge introduced in the chain onto the phonon modes of the neutral chain: $\delta u_i = \sum_q V_q(i) \delta q$. The new lattice positions, displaced from the equilibrium position u_i^0 , are $u_i = u_i^0 + \delta u_i$. Then, the linear electron-phonon coupling term of the original SSH Hamiltonian is written in a quantum form by quantizing the phonon field displacements

$$\delta q = \sqrt{\frac{\hbar}{2M\omega_q}} (a_q + a_q^\dagger). \quad (\text{A7})$$

Therefore, the e - ph coupling Hamiltonian is

$$H_{e-\text{ph}} = \sum_{q, n, m} \gamma_{qnm} (a_q^\dagger + a_q) c_n^\dagger c_m, \quad (\text{A8})$$

where

$$\gamma_{qnm} = \sum_{i=2}^{N_a} \lambda_q(i) [Z_n(i)Z_m(i-1) + Z_n(i-1)Z_m(i)], \quad (\text{A9})$$

and

$$\lambda_q(i) = \alpha [V_q(i) - V_q(i-1)] \times \sqrt{\frac{\hbar}{2M\omega_q}}. \quad (\text{A10})$$

The total Hamiltonian H_w for the molecular wire with quantum phonons and linear electron-phonon coupling inspired by the SSH model is given by the sum $H_w = H_e + H_{\text{ph}} + H_{e-\text{ph}}$ as in Eq. (1).

Finally, it should be noted that the e - ph coupling matrix elements γ_{qnm} obey some selection rules. Generally, $\gamma_{qnm} = 0$ unless the direct product $\Gamma_q \otimes \Gamma_n \otimes \Gamma_m$ contains the identity representation (Γ_n , Γ_m and Γ_q being the irreducible representation of the eigenstate n , m and of λ_q , respectively). In practice, Z_n and V_q are even/odd functions with respect to the center of the molecule. The quantity $Z_n(i)Z_m(i-1) + Z_n(i-1)Z_m(i)$ is even (odd) when $n+m$ is an even (odd) integer (indexing the eigenvectors Z_n by increasing eigenvalues and $Z_{n=1}$ being even). Whenever the quantity under the site i summation in Eq. (A9) is odd, $\gamma_{qnm} = 0$. Although it is not surprising to obtain selection rules for the e - ph coupling, their existence is very important in order to reduce the computing time of the product $H_w|\phi\rangle$ needed to solve Eq. (12).

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