

Low-temperature microscopy and spectroscopy on single defect centers in diamond

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Individual nitrogen-vacancy defect centers have been investigated by low-temperature confocal microscopy and fluorescence excitation spectroscopy. At temperatures below 90 K the fluorescence intensity of individual centers drastically diminishes because of the population of a metastable singlet state in near resonance with the optically excited state. Low-temperature fluorescence excitation spectroscopy down to 5 K becomes possible via deshelving of this state with a second laser source. Surprisingly individual centers reveal low-temperature fluorescence excitation line widths around 0.6 meV, more than two orders of magnitude larger than expected from previous high resolution laser spectroscopy on bulk samples. [S0163-1829(99)06939-8]

INTRODUCTION

Low-temperature high-resolution spectroscopy on single quantum systems in solids is an ideal method to unravel intricate energy level schemes or complex dynamic behavior. In the past this has been exploited to investigate the dynamics of impurity molecules trapped in solid hosts¹ or to study the behavior of single mesoscopic systems like quantum dots.²⁻⁴ Recently it has been shown that individual nitrogen-vacancy (N-V) defect centers in diamond can be detected by confocal fluorescence microscopy at room temperature,⁵ giving access to a new type of quantum system to be studied on an individual basis. Diamond is important for material science because of its peculiar properties like hardness, heat conductivity or electron affinity.⁶ However such bulk properties are strongly influenced by the presence of defects⁷ and it is remarkable that the electronic structure of even the most simplest of these defects is not fully understood presently. In the case of the N-V center more than a decade of high-resolution low-temperature optical spectroscopy has led to a detailed picture^{8,9} but also to a considerable debate on the structure of the excited state.^{10,11} The controversy mainly results from the unknown contribution of strain to the physics of the optical transition of the center, the possible role of the Jan-Teller effect and the unknown position of metastable energy levels in the optical absorption and emission cycle. *It is the aim of the present paper to provide a refined picture of the excited state energy levels on the basis of single defect center spectroscopy.*

The N-V center is produced in diamond crystals containing atomic nitrogen⁶ by electron irradiation and subsequent

annealing. It exhibits a zero-phonon line at 1,945 eV (637 nm) assigned by uniaxial stress measurements to an $A \rightarrow E$ electric dipole transition.¹² The center consists of a substitutional nitrogen-vacancy pair oriented along equivalent [111] crystal directions.⁶ Electron spin resonance and hole burning experiments have shown that the ground state is 3A ,¹³ i.e., an electron paramagnetic state with total spin angular momentum $S=1$. Electronic excitation at 1.945 eV populates the lowest excited triplet state 3E . Upon optical illumination a spin polarization builds up in the electronic ground state, giving rise to a strong electron paramagnetic resonance signal.¹⁴

EXPERIMENT

Samples used for the present experiment are type 1*b* circularly shaped diamond single crystals (Drukker International) of 100- μm thickness. The density of the defect center in the sample is controlled via the electron dosages applied for the creation of vacancies.⁵ The diamonds used for the present experiments have been irradiated with 10^{12} electrons/cm². A subsequent annealing at 1100 K under vacuum for one hour resulted in a defect center concentration of 10^{12} /cm³, low enough to resolve individual centers with our optical microscope. Experiments are carried out with a home-built variable temperature confocal microscope operating in a temperature range between 300 and 1.5 K. Samples are scanned in lateral and axial direction in front of a microscope objective (numerical aperture 0.86) by a xy -scan unit. The microscope objective and scan table are in the helium gas flow or immersed in liquid helium. Holographic notch

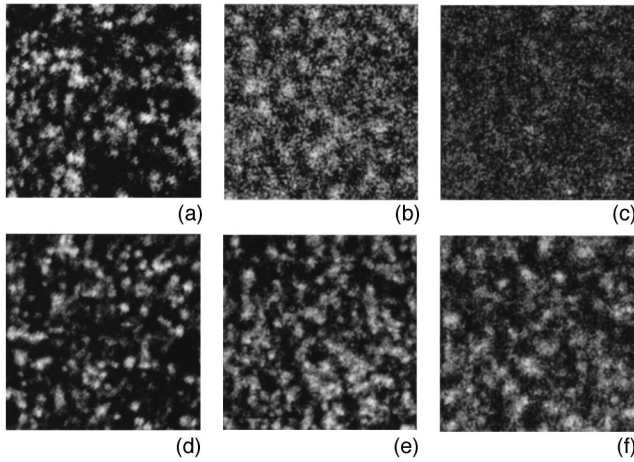


FIG. 1. Confocal fluorescence images on a diamond sample with low defect center concentration. The fluorescence intensity is decoded in gray scale. Points of high intensity are indicated by white color. (a)–(c): Excitation with 1.945 eV optical excitation alone. (d)–(f): Excitation with 1.945 and 2.54 eV (deshelving). (a)–(c) and (d)–(f) do not correspond to the same areas within the sample. Images a and d are recorded at $T=300$ K, images b and e at 200 K, and c, f at $T=60$ K.

and red-pass filters are used to reject stray light. A single mode ring dye laser (resolution 4 neV) has been used for fluorescence excitation spectroscopy. The fluorescence is detected by a single photon counting avalanche photo diode. Because of the large refractive index of diamond ($n=2.4$) only 2.5% of the light emitted by a single complex can be detected with the microscope objective used in the present experiments. Losses at cryostate windows and filters and the limited quantum efficiency of the detector result in an overall detection efficiency of 0.46% for the fluorescence of individual N-V defect centers.

RESULTS

Figures 1(a)–1(c) show confocal fluorescence images of a diamond sample with low N-V center concentration in a temperature range of 300 to 65 K. The fluorescence is excited via the zero-phonon line of the lowest electronic transition at 1.945 eV. Individual spots visible in Fig. 1(a) are attributed to the fluorescence of single N-V centers.⁵ A first, unexpected finding is that the fluorescence intensity of single centers decrease beyond a detectable level below a temperature of 80 K [see Figs. 1(b) and 1(c)]. Based on the currently known photophysical parameters of the center and considering that the absorption cross section should increase upon a reduction in temperature one would not expect any such change. However, the saturated fluorescence intensity R_∞ is found to decrease from 200 to 4 K by more than one order of magnitude, as shown in Fig. 2. Within a simple three level system [see Fig. 3(b)] R_∞ is given by:

$$R_\infty = \frac{k_{21}\Phi_F}{2 + \frac{k_{23}}{k_{31} + k_{32}}}. \quad (1)$$

Φ_F is the fluorescence quantum yield, and k_{21} , k_{23} , and k_{32} are the rates defined in Fig. 3(b), respectively. Often, the

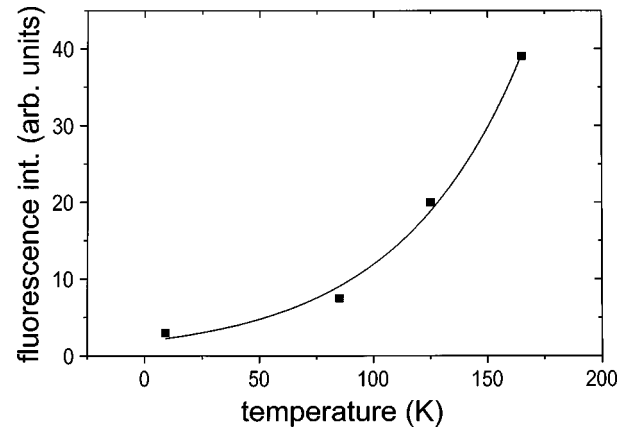


FIG. 2. Fluorescence intensity of a single defect center versus temperature. Excitation wavelength is 1.945 eV.

value of R_∞ is limited by a transition (at rate k_{23}) from the optically excited to a metastable state, which forms a bottleneck in the photocycle. In the case of the N-V center this bottleneck state may be associated with the singlet state 1A [see Fig. 3(b)]. So far only few indications to the population of this state during photoexcitation were found. Nearly degenerated four wave mixing¹⁵ show a relaxation time of 0.6 s, which has been attributed to the lifetime of this state. In the following paragraph evidence for the population of a metastable state from single center experiments and its energetic position will be presented.

In single center experiments, conclusive evidence for the

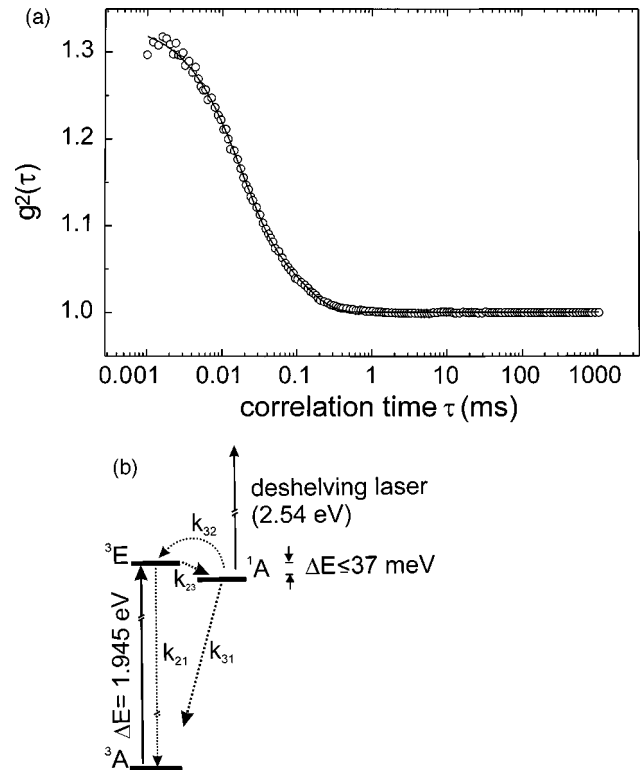


FIG. 3. (a) Intensity autocorrelation function $g^2(\tau)$ of a single defect center at $T=300$ K. Circles are measured data and the solid line is a fit by a single exponential decay function with a decay time of 8 μ s. (b) Schematic representation of the energy level scheme of the N-V center.

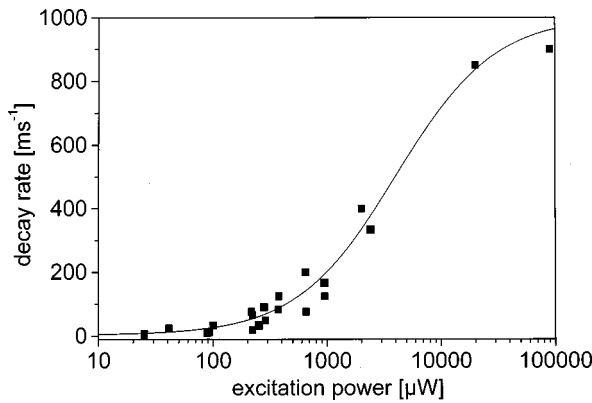


FIG. 4. Dependence of the decay time of $g^2(\tau)$ on the excitation intensity. Points correspond to measured data and the solid line represents a fit (see text).

population of a metastable state comes from the fluorescence intensity autocorrelation function $g^2(\tau)$.¹⁶ If a metastable state is populated during the photocycle, measurements of $g^2(\tau)$ reveal a ‘‘bunching’’ of fluorescence photons resulting from dark spells in the fluorescence emission of single centers. A pronounced bunching is observable when the population rate of the metastable state k_{23} and the depopulation rate of this state k_{31} are small compared to the decay rate of the optically excited state k_{21} . In this case the excitation and emission cycle between the ground 3A state and the first excited 3E state is interrupted by intersystem crossing to the long-lived 1A state when we assume that no resonance excitation from this level is possible. The properties of $g^2(\tau)$ are well described by a three level scheme shown in Fig. 3(b) where the spin sublevels of 3A and 3E have been omitted. Simple analytical formulas can be found to extract photo-physical parameters from the autocorrelation function.¹⁶ For saturating optical excitation the decay time τ of $g^2(\tau)$ is given by $\tau = 2/k_{23}$, if $k_{31} \ll k_{23}$. For weak-optical excitation the relation $\tau = 1/k_{31}$ is valid. Measurements of $g^2(\tau)$ as a function of excitation power thus yield the population and depopulation rates of 1A (Ref. 16) (see Fig. 4). A population rate of $k_{23} = 2$ MHz is found, corresponding to a quantum yield for intersystem crossing of 2.6%. The depopulation rate is $k_{31} = 2$ KHz. These values are in reasonable agreement with previous data, where a relaxation time of 0.6 s was associated with the lifetime of 1A .¹⁵ k_{23} was found from transient hole burning experiments to be $k_{23} = 78$ kHz.¹⁷ These data result in a value for the quantum efficiency for intersystem crossing [1% (Ref. 17)] similar to the one found in the present paper.

A couple of nitrogen containing defect centers in diamond show delayed fluorescence^{18,19} with a temperature dependent rate. This is also the case for the N-V center. Measurements of the fluorescence decay of single centers show a biexponential decay with rates k_{21} of roughly 100 MHz, which is the well known fluorescence lifetime of the 3E state²⁰ plus a component with a decay rate of 10 kHz. The latter rate slows down to 1 kHz at $T = 10$ K whereas the fast component (k_{21}) remains unaltered. Analogous to the H_3 and N_3 center we assume that this ‘‘delayed fluorescence’’ is caused by the re-population of the 3E state from the lowest excited singlet state 1A with a rate k_{32} [see Fig. 3(b)]. The reduction of the

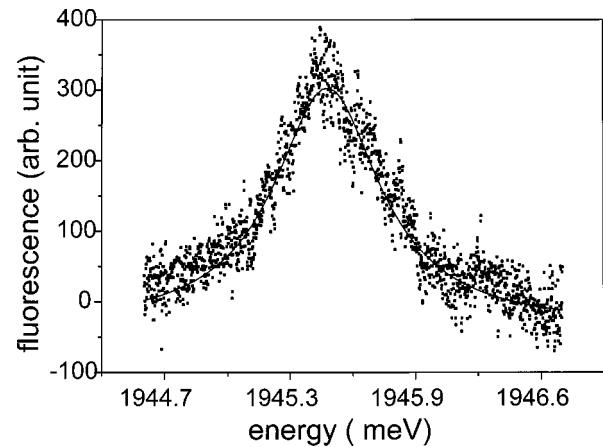


FIG. 5. Fluorescence excitation line of a single defect center at 4 K. Points are measured spectra. The solid line is a fit to the data with a Lorentzian line (full width half maximum: 0.64 meV). Measurements have been carried out by recording the excitation spectrum with the laser focus on the defect center and subtracting the result from the excitation spectrum of the background.

fluorescence intensity R_∞ is explained by the temperature dependence of this rate, i.e., $k_{32} = k_{32}(T)$. At higher temperature ($T > 90$ K) a fast thermally activated depopulation of the bottleneck state 1A to the 3E state is possible. Upon reduction of T , k_{32} slows down ($k_{32} = 1$ KHz at $T = 10$ K) with a concomitant change in R_∞ . The temperature dependence of the rate k_{32} is best fitted by an Arrhenius behavior with $k_{32} = C \exp(-\Delta E'/k_B T)$. $\Delta E'$ is an activation energy, k_B the Boltzmann constant and C is a prefactor. The value for the activation energy obtained from the fit in Fig. 2 is $\Delta E' = 37$ meV. *The first excited triplet state 3E and the singlet state 1A are thus nearly degenerated with an energy splitting ΔE smaller than, or equal to 37 meV.*

In order to raise the low-temperature fluorescence signal of a single N-V center above background one has to deshelve the system from 1A , a technique well established in experimental work on single ions or atoms.²¹ No attempt was made to directly irradiate the $^1A-^3E$ or $^1A-^3A$ transition [see Fig. 3(b)] because of its low oscillator strength. Instead, deshelving has been achieved by exciting higher lying singlet states from 1A . Little data are available on the energy position of higher excited states of the center. A further zero-phonon line 2.38 eV above the lowest electronic transition is related to the center and has been attributed to an optical transition to a higher excited triplet state.²² Experimentally it was found that excitation at 2.54 eV is most efficient in deshelving the 1A state, probably via a transition from 1A to a singlet state close to this triplet state. Figs. 1(D)–1(E) represents a series of images equivalent to Figs. 1(A)–1(C), demonstrating the deshelving of individual centers at low T . Figures 1(d) and 1(e) have been recorded with simultaneous excitation at 1.945 and 2.56 eV. It should be noted that excitation at 2.54 eV alone does not result in a detectable fluorescence from individual centers.

With the aid of this deshelving technique it becomes possible to record the fluorescence excitation spectrum of the zero-phonon line $^3A-^3E$ transition for a single center down to $T = 4$ K (see Fig. 5). Surprisingly a single Lorentzian line is detected. The line width is 0.64 meV in the case of the center

shown. Among different centers this value varies between 0.4 and 0.7 meV. The central position of the resonance line is found between 1.9456 eV (15692 cm⁻¹) and 1.9461 eV (15696 cm⁻¹) for all centers investigated. Hence, for $T < 80$ K our samples show an inhomogeneous distribution of transition energies of different centers on the order of the homogeneous linewidth of individual centers themselves.

DISCUSSION

In order to unravel the excited state energy level scheme of the N-V center in diamond numerous experiments like nondegenerated four wave mixing,¹⁵ photon echo,⁸ and hole-burning spectroscopy^{23,24} have been carried out. Especially the latter two types of investigations have revealed the most detailed information about the energy level scheme of the defect up to now. Basically the current picture of the excited state energy level structure of the center is as follows:

The optically excited 3E state is split into sublevels either by random strain or by strain in combination with a dynamic Jahn-Teller effect.⁹ To explain recent photon echo and spectral hole-burning experiments a splitting of 47 cm⁻¹ between two sublevels, ${}^3E'$ and ${}^3A'$ of the optically excited 3E level has been proposed.⁹ This splitting is caused by a strong (dynamic) Jahn-Teller effect. The degeneracy of the energetically lowest excited state (${}^3E'$) is then further lifted by strain.⁹ This strain is responsible for the inhomogeneous broadening of the zero-phonon line observed in low-temperature bulk experiments. The dephasing behavior at temperatures below 30 K is determined by fast one- and two-phonon relaxation between the ${}^3E'$ and ${}^3A'$ state. Spectral hole burning shows satellite free holes with a full width at half maximum of 8 μ eV at frequency positions within the inhomogeneous line which are close to the assumed position of the ${}^3A'$ state (1.9473 eV) and narrower holes (width: 0.2 μ eV) with satellite lines at frequencies corresponding to the energetic positions of the ${}^3E'$ state (1.9447 eV).²⁴

All measurements on individual centers show a single optical excitation line around 1.9459 eV (± 0.25 meV), which is at the position of the maximum of the inhomogeneous band found in ensemble studies. The fluorescence excitation line width in our single center experiments is two to three orders of magnitude larger than the minimum linewidths reported from hole-burning experiments.²⁴ No indication for multiline spectra of single centers are found nor does the linewidth and shape depend on the intensity of the deshelving laser or on the time used to acquire the spectrum. Single center and spectral hole-burning experiments should provide the same results if they are carried out on the same type of defect centers. Our experimental findings are thus only in agreement with previous experiments when we assume that bulk experiments and single center spectroscopy probe different types of N-V centers. The most important difference is, that non-linear optical experiments and spectral hole burning are carried out on samples with a concentration of defects ranging from 10¹⁶ to 10¹⁸ centers per cm⁻³.⁸ For such samples optical emission and absorption line widths of the lowest electronic transition are on the order of 6 meV (50 cm⁻¹), i.e., the resonance lines are strongly inhomogeneously broadened. Narrow and deep spectral holes are only

found in the wings of these lines where one expects centers in a strained crystal environment. At such transition energies we do not find optical signals from single centers. Hence, single-center experiments mainly probe unstrained defects. Based on the interpretation of previous ensemble experiments⁹ one would expect two optical excitation lines per center belonging to a 3A - ${}^3A'$ and a 3A - ${}^3E'$ transition. Both transitions are allowed in the point group of the center. In our single center experiments however, only one resonance line per center is found. From this we conclude that the N-V centers investigated in our experiments are not subject to a Jahn-Teller effect resulting in an splitting of the excited state doublet of 47 cm⁻¹.¹⁰

It is remarkable that single defect fluorescence excitation lines are observed only between 1.9456 and 1.9461 eV where spectral hole burning is inefficient. This is also the center of the inhomogeneous distribution of transition energies in ensemble experiments. If the concentration of defects in the sample is reduced from 10¹⁷/cm³ to 10¹³/cm³ one finds a concomitant reduction in inhomogeneous linewidth where the optical transition energies of the remaining defects are concentrated around the center of the inhomogeneous distribution. However one would expect to find some defects well outside the center of this distribution of transition energies (see, e.g., Ref. 1 for a simulation of the effect of reduction of concentration on the ensemble linewidth and shape). According to hole-burning experiments those centers should show narrow optical resonance lines. Since we do not find such lines, those defects must have photophysical properties that do not allow their observation as a single center. The hole burning efficiency in the wings of the inhomogeneous distribution of transition energies is quite high, on the order of 40%.²⁴ It is difficult to observe single centers with such a high probability for spectral hole burning because only a few fluorescence photons are emitted before the optical transition of the N-V defect shifts out of resonance with the excitation laser. Possibly single centers far outside in the wing of the inhomogeneous distribution are thus only hardly visible because of rapid photobleaching.

The observation of a single-broad fluorescence excitation line warrants some discussion. First let us compare single center data and those known from ensemble experiments. From spectral hole burning it became clear that the dephasing properties of the optical transition strongly depend on the transition energy.^{8,24} This was attributed to different relaxation properties of the two energetically closely spaced excited state levels mentioned above. No data on the dephasing properties of those centers which show an optical transition energy in the center of the inhomogeneous band are known because hole burning is not possible at these energies. Hence, single-center data and hole burning are not in contradiction but are complementary. Nevertheless, a model is needed, which explains the dephasing behavior of the optical transition and its dependence on transition energy. Such a model has been proposed to explain recent hole burning and photon echo experiments^{8,9,24} and may be adopted to explain the results of the present experiments. Possibly the dephasing behavior of single centers showing optical transition energies in the center of the inhomogeneous is determined by a fast exchange between the two nearly degenerated substates of the excited 3E doublet, whereas those centers in the wings of

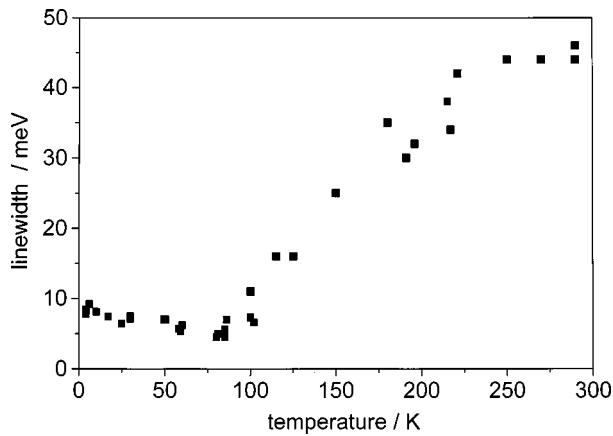


FIG. 6. Variation of the zero-phonon line width of a single center as a function of temperature.

the distribution profile are properly described by the dephasing model introduced by Rand and coworkers^{8,9,24} for a larger splitting of the excited state doublet. If such an exchange model is correct, it is well known that the linewidth and position of the optical transition between the 3A ground state and the two substates of the 3E level depend on the ratio of the exchange time τ between the two substate of 3E versus the energy difference of these two states $\Delta\omega$. If $\tau \cdot \Delta\omega \gg 1$ (slow exchange), one would expect two well-separated resonance lines at the respective frequency position of the two states. Their linewidth would be determined by the “intrinsic” relaxation properties of those states. This is the case for centers investigated by hole burning²⁴ at low temperature where $\Delta\omega$ is on the order of 50 cm^{-1} , i.e., large compared to the thermal energy at $T=2 \text{ K}$. In the present experiments centers may be probed where the condition $\tau \cdot \Delta\omega \gg 1$ is not satisfied, for example because $\Delta\omega$ is comparable to kT even at low temperature. In such a case a single, either exchange narrowed ($\Delta\omega \cdot \tau \ll 1$) or broad line at ($\Delta\omega \cdot \tau \approx 1$) would be found. The latter case may be valid in our experiments and would explain why defects in the center of the inhomogeneous distribution show a larger resonance linewidth than those in the wings. Evidence for the proposed model would be given in single center experiments at very low temperature such that $\tau \cdot \Delta\omega \gg 1$, i.e., in the slow exchange limit for small $\Delta\omega$. Unfortunately, our apparatus does not allow us to achieve temperatures low enough ($T < 2.5 \text{ K}$). We only find a slight increase in line width for temperatures below 80 K (see Fig. 6) which is expected when going from a regime of exchange narrowing at high T to an exchange rate where $\Delta\omega \cdot \tau \approx 1$ at low T . Unfortunately the line shape in this regime is not a good indicator for an exchange process.

In the preceding paragraph it was assumed that $\Delta\omega$ is due to strain and that the exchange is driven by thermal energy. However, other sources for a doublet splitting in the excited state have been mentioned. One of them is the Jahn-Teller effect proposed in Refs. 8 and 9. Another origin for level splitting is a possible tunneling of the N atom to the vacancy site.²⁵ This gives rise to an inversion splitting that was measured to be around 10 meV for the first excited vibronic level in 3E . The excitation spectrum in Fig. 5 however represents

the transition between the vibrational ground states in 3A and 3E . Adopting the potential parameters from²⁵ we calculate an inversion splitting for the lowest vibronic ground state in 3E of 0,3 meV ($2,4 \text{ cm}^{-1}$) which is close to the smallest excitation linewidth measured in our experiments.

CONCLUSION

The present single-center experiments provide evidence for the population of a metastable state in the photo excitation cycle, which has been assigned to be the 1A state. The population of this state limits the maximum rate of photons a single center emits under saturating optical excitation condition to roughly 5 Mhz, whereas one would expect 31 MHz if no metastable state would play a role in the excitation cycle. Also fluorescence bunching expected from a single center in the presence of a metastable state has been observed via the autocorrelation function of the fluorescence intensity. For the N-V center the present experiments together with previous investigations¹⁵ provide firm evidence for the existence of a singlet state energetically lower than the first excited triplet state. The temperature dependence of the fluorescence intensity on the other hand indicates that the 1A state is only slightly lower ($< 37 \text{ meV}$) in energy than the 3E state. Fortunately it is possible to deshelve the center efficiently from this state otherwise the low-temperature fluorescence signal of a single center would be hardly detectable. The measured zero-phonon lines of the lowest electronic transition of single centers are unusually broad, also compared to other centers like the GR1.²³ Possibly this is due to an exchange process between two nearly degenerated substates of the excited 3E level. Our experiments once again underline the surprisingly complex photophysical behavior the N-V defect center, which seems to be much less well understood than that of single molecular impurities in solid matrices. Nevertheless, we believe that single defect center spectroscopy makes a contribution to the understanding of the excited state structure of the N-V defect, which is still under debate,^{10,11} mostly because of well isolated centers in a low strain environment can be probed. Thus, a sound experimental basis for the understanding of the electronic structure of the N-V defect is provided and maybe a number of other important types of defects can be investigated.

A possible application of single center spectroscopy would be quantum information processing. Since the N-V center has a paramagnetic ground state with long-spin relaxation times⁶ it may an appropriate system for single-spin nuclear magnetic resonance quantum computing.²⁶ The system would not suffer from the drawbacks of ensemble quantum computing because scaling may be more easily feasible and pure states can be used for quantum information processing.

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