

Selectively excited luminescence and magnetic circular dichroism of Cr⁴⁺-doped YAG and YGG

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Site selective luminescence and magnetic circular dichroism experiments on Cr⁴⁺-doped yttrium aluminum garnet and yttrium gallium garnet have been made at low temperature. The spectral assignments for these near-IR lasing materials have been made using experimental data and ligand field calculations guided by the known geometry of the lattices. [S0163-1829(99)07003-4]

I. INTRODUCTION

There has been intense interest in the spectroscopy of Cr⁴⁺ in tetraoxo coordination environments since room-temperature laser operation has been demonstrated in many doped systems. These include Cr⁴⁺ doped into forsterite (Mg₂SiO₄),¹ yttrium aluminum garnet (YAG, Y₃Al₅O₁₂),² and yttrium oxyorthosilicate (Y₂SiO₅).³ Recently, an all solid-state Cr⁴⁺:YAG laser has been used to generate 43-fs pulses.⁴ Spectroscopic studies of these systems have established that the Cr⁴⁺ emitting center is fourfold coordinated⁵⁻⁸ with various degrees of distortion away from exact tetrahedral symmetry. However, there remain puzzling aspects including multiple charge states and site symmetries of the Cr dopant, which have resulted in ambiguous spectral and electronic structural assignments. Here, we present site selective luminescence and magnetic circular dichroism (MCD) spectra of Cr⁴⁺-doped yttrium aluminum garnet (YAG) and yttrium gallium garnet (YGG). A summary of the problems and many of the spectroscopic results of Cr⁴⁺:YAG are given in Eilers *et al.*⁶ There has been comparatively little work on Cr⁴⁺:YGG previously published,⁷ but the present investigation indicates close similarities to the Cr⁴⁺:YAG system. Here we interpret the spectra in terms of a detailed ligand field analysis and find that while the guest ion adopts the host geometry, the interelectron repulsion Racah parameter is required to be much smaller than expected.

II. EXPERIMENT

YAG and YGG are both cubic structures (O_h^{10}) that can be written as $A_3B_2(CO_4)_3$, where the M^{3+} cation sites are nominally dodecahedral ($A=Y$), octahedral ($B=Al, Ga$), and tetrahedral ($C=Al, Ga$). The tetrahedral site has exact S_4 symmetry but is D_{2d} considering only nearest neighbors. The D_{2d} distorted tetrahedron is elongated, the angle each

bond makes with the elongation axis is reduced from the tetrahedral value of 54.7° by $\alpha=4.6^\circ$ ($\alpha=5.2^\circ$) for YAG (YGG).^{9,10} The crystals of Cr⁴⁺:YAG and Cr⁴⁺:YGG were grown at OMRC, University of Strathclyde, Glasgow, UK using a Czochralski crystal puller.⁹ Appropriate combinations of powdered components were sintered at 1200 K in a mildly oxidizing atmosphere (N₂+2%O₂) before melting in Ir crucibles. Single-crystal boules of typical dimensions 20 mm diameter by 60 mm length were grown onto seed crystals of known orientation that had been previously grown on Ir wires. The CaO/Cr₂O₃ ratios were chosen to give optimal Cr⁴⁺ concentrations after growth and post-growth processing. In both YAG and YGG the dopant ratio was determined to be 4:1 (CaO:Cr₂O₃) by weight, and the total Cr content of the melt 2.8×10^{19} Cr cm⁻³. The boules were subsequently annealed in a N₂+20%O₂ mixture at 1400 K for 24 h. Spectroscopic assay showed that some 90% of Cr ions occupy the octahedral B sites as Cr³⁺, with 2% and <1%, respectively of Cr⁴⁺ and Cr⁶⁺ occupying the tetrahedral C sites.⁹ Optical absorption (170–2000 nm) and luminescence (500–2000 nm) studies of these and related crystals show complex overlapping bands associated with (at least) Cr³⁺, Cr⁴⁺, and Cr⁶⁺ charge states of the active dopant. However, the dominant components of the optical absorption and luminescence spectra are associated with Cr⁴⁺ ions occupying the D_{2d} distorted tetrahedral C sites.

Simultaneous MCD and absorption spectra were measured using an Spex 1404 monochromator, photoelastic modulator, Oxford Instruments SM4 cryomagnet, and cooled InSb detector with heterodyne detected modulation in an apparatus described previously.¹¹ The sample temperature could be varied from 1.5 to 100 K while in a helium gas/liquid environment.

The luminescence spectra were obtained using the same cryostat. Samples were excited with either a cw Ar⁺ laser or tunable 5 ns pulsed radiation from a Mirage 500 OPO/OPA driven by a Continuum Powerlite injection seeded YAG la-

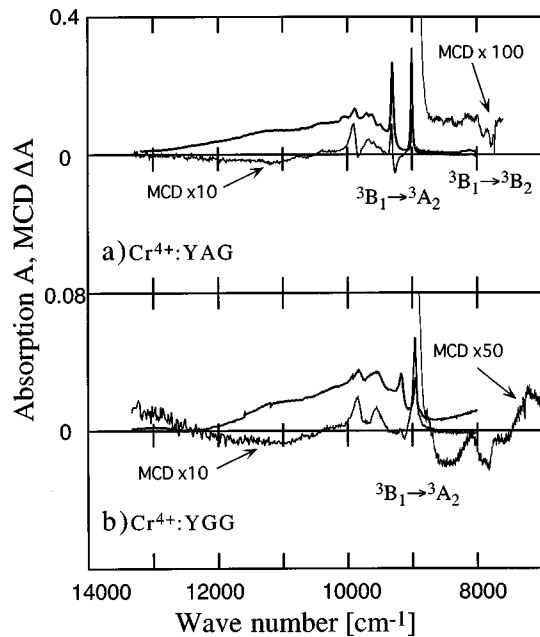


FIG. 1. The near-IR absorption (thicker traces: A) and MCD (thinner traces: ΔA) spectra at $T=1.5$ K and applied field B of 5 T; of (a) $\text{Cr}^{4+}:\text{YAG}$ and (b) $\text{Cr}^{4+}:\text{YGG}$. Light was propagated down the (001) direction of the cubic crystals.

ser system. Luminescence was detected with an ADC LN_2 -cooled germanium diode and a Spex 0.75 M monochromator fitted with a grating blazed at $1.6 \mu\text{m}$.

III. RESULTS

The absorption and MCD spectra of $\text{Cr}^{4+}:\text{YAG}$ and $\text{Cr}^{4+}:\text{YGG}$ in the near-IR region at 1.5 K are shown in Figs. 1–3. There is a strong ($\sim 1/T$) temperature-dependent decrease of the MCD magnitudes (see Fig. 2). Such C term type¹² behavior is most often associated with a (quasi)degenerate ground state of the absorbing species.

The MCD spectra taken in the visible region also reveal the presence of Cr^{3+} ions (Fig. 3). Distinctive R lines are seen, with 26 cm^{-1} spacing and strong negative MCD features with $\Delta A/A$ approaching 2 under saturation conditions ($g\beta B \gg kT$). The R lines occur near 14550 cm^{-1} in YAG

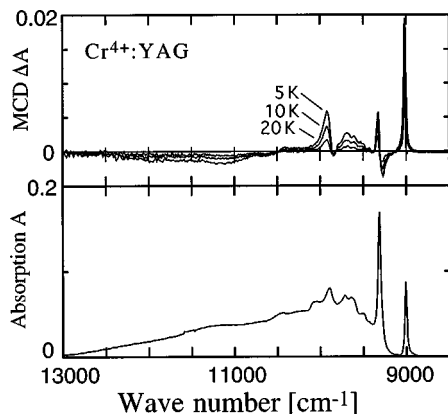


FIG. 2. Near-IR absorption at 5 K (lower) and temperature-dependent MCD (upper) spectra with $B=5$ T in $\text{Cr}^{4+}:\text{YAG}$ at the temperatures indicated.

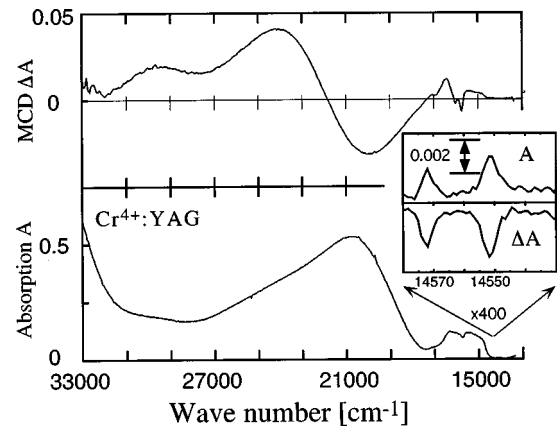


FIG. 3. Visible region absorption (lower) and MCD (upper) at 5 K and $B=5$ T in $\text{Cr}^{4+}:\text{YAG}$. Inset shows the expanded absorption and MCD of the R line region associated with Cr^{3+} at an octahedral site in the sample (see text).

and near 14500 cm^{-1} in YGG.¹³

Concentrating on the near-IR region associated with Cr^{4+} , there are two sets of distinct origins in both YAG and YGG in Fig. 1; a weak pair near $\sim 7800 \text{ cm}^{-1}$ and a stronger pair near $\sim 9000 \text{ cm}^{-1}$. Linewidths are significantly narrower in YAG. The values for these transitions are given in Table I. The relative sharpness of the $\text{Cr}^{4+}:\text{YAG}$ spectra is due to the fact that YAG crystals grown by the Czochralski method are ordered, whereas YGG is distorted by a distribution of Ga^{3+} ions between A and B sites [$\text{Y}_{3-x}\text{Ga}_{2+x}(\text{GaO}_4)_3$]. Since this disorder is random, it leads to the broadening of the spectral features of both the Cr^{4+} and Cr^{3+} ions.¹⁴

The selectively excited luminescence spectra of $\text{Cr}^{4+}:\text{YAG}$ and $\text{Cr}^{4+}:\text{YGG}$ are shown in Fig. 4. In each case, there appears to be two luminescence sites. Luminescence from both sites is obtained with 780.0-nm excitation, while the lower energy luminescence dominates when 999.8-nm excitation is used. CW excitation at 514 nm with an Ar^+ laser leads to emission spectra similar to that obtained with 780-nm pulsed excitation. Selective narrowing experiments, exciting with narrow band radiation into the origin feature near 9000 cm^{-1} in YAG, showed some slight narrowing of the emission feature near 7840 cm^{-1} .

IV. DISCUSSION

The luminescence of tetrahedral ions in the iso-electronic series Fe^{6+} , Mn^{5+} , Cr^{4+} are characterized by a decreasing ligand field.¹⁵ This results in ${}^1E \rightarrow {}^3A_2$ -type emission in Fe^{6+} , Mn^{5+} , and ${}^3T_2 \rightarrow {}^3A_2$ -type emission in Cr^{4+} . For a weak ligand field (small Dq), the 3T_2 state becomes the lowest excited state and the emitting state. The level crossing of the ${}^1E/{}^3T_2$ states occurs close to the value of the ligand field strength of the Cr^{4+} garnet systems.

The triplet character of the emitting state in $\text{Cr}^{4+}:\text{YAG}$ has been established by the intensity, lifetime ($\sim 30 \mu\text{s}$) and the existence of a large vibrational sideband characteristic of a 3T_2 state. The alternative ${}^1E \rightarrow {}^3A_2$ -type emission is characterized by a longer lifetime ($\sim 1 \text{ ms}$), a strong zero phonon line with a weak vibrational sideband. It is the large vibrational sideband of the $\text{Cr}^{4+}:\text{YAG}$ system that makes it attractive as a tunable laser material.

TABLE I. Experimental electronic transition energies and assignments for Cr^{4+} :YAG, YGG.

Observed transitions (cm^{-1}) ($\Delta A/A$)		D_{2d} assignments ^a	
YAG	YGG	Spinor states	Orbital states
		$\Gamma_5 \rightarrow \Gamma_4$	3B_1 ZFS
7814 (-) ^b	7511(?)	$\Gamma_5 \rightarrow \Gamma_3$	${}^3B_1({}^3A_2) \rightarrow {}^3B_2({}^3T_2)$
7842 (-) ^b		$\Gamma_5 \rightarrow \Gamma_5$	
8977 (+0.3) ^c	8919 (+0.3) ^c	$\Gamma_5 \rightarrow \Gamma_1$	${}^3B_1 \rightarrow {}^3A_2({}^3T_1)$
9281 (-+0.05) ^c	9140 (-+0.05) ^c	$\Gamma_5 \rightarrow \Gamma_5$	
$\sim 10\,000$ ^d	$\sim 10\,500$ ^d		${}^3B_1 \rightarrow {}^3E({}^3T_2)$
$\sim 15\,650$	$\sim 16\,000$		${}^3B_1 \rightarrow {}^3E({}^3T_1)$

^aThe irreducible representations of the D_{2d} point group are used to label both orbital and spin-orbital states. The tetrahedral labels are given in brackets.

^bMCD sign only, absorption feature not observable.

^cEstimated for $g\beta B \gg kT$.

^dCalculated. The transition is assumed to lie under the vibronic sideband of the ${}^3B_1({}^3A_2) \rightarrow {}^3A_2({}^3T_1)$ transition.

The ${}^3A_2 \rightarrow {}^1E$ transition in the absorption spectrum of the Cr^{4+} :YAG system has variously been assigned as the first weak doublet ($\sim 7800 \text{ cm}^{-1}$),¹⁶ the second strong doublet ($\sim 9000 \text{ cm}^{-1}$),⁵ or to a pair of weak transitions between these ($\sim 8265 \text{ cm}^{-1}$).⁶ In this study, we will show that these assignments are incorrect. It is misleading to treat the 1E transition as a doublet in Cr^{4+} :YAG as the tetrahedral 1E state will be split by $>1000 \text{ cm}^{-1}$ by the D_{2d} distortion.

Notwithstanding their 1E assignment, we do agree with the overall assignments of Eilers *et al.*,⁶ which is based on polarized absorption/fluorescence and piezospectroscopy. In exact tetrahedral symmetry the Cr^{4+} system is expected to be very close to the cross-over point of the 3T_2 and 1E excited states. This is shown schematically in Fig. 5 together with the relationships between the T_d and D_{2d} energy levels. The large D_{2d} distortion splits the 3T_2 state into ${}^3B_2({}^3T_2)$ and ${}^3E({}^3T_2)$ states; the 3B_2 state is then pushed further below the 1E state for a tetragonal elongation of the tetrahedra.

This 3B_2 state is then further split by spin-orbit coupling and is seen as a doublet in both the absorption and emission spectra shown in Figs. 1 and 4 at 7814 and 7842 cm^{-1} . The stronger doublet at 8977 and 9281 cm^{-1} then corresponds to the spin-orbit split ${}^3A_2({}^3T_1)$ state that results from the D_{2d} splitting of the 3T_1 state. The other component, ${}^3E({}^3T_1)$ occurs as a strong peak centered much higher in energy at $15\,500 \text{ cm}^{-1}$.

This large ${}^3A_2({}^3T_1) - {}^3E({}^3T_1)$ splitting of $\sim 6500 \text{ cm}^{-1}$ is exactly that predicted using a ligand field model (see Secs. IV A and IV B) for a tetragonal distortion of $\alpha = +5^\circ$ as shown in Fig. 6. The ${}^3E({}^3T_2)$ state is predicted to be at $\sim 10\,000 \text{ cm}^{-1}$, and absorption to this state is hidden underneath the vibronic sideband of the ${}^3B_1({}^3A_2) \rightarrow {}^3A_2({}^3T_1)$ transition. A summary of assignments for Cr^{4+} -doped YAG and YGG is given in Table I. These assignments are required on intensity as well as energy grounds. The transitions resulting from components of the tetrahedral ${}^3A_2 \rightarrow {}^3T_2$ transition are expected to be very weak as they are electric dipole forbidden, whereas the ${}^3A_2 \rightarrow {}^3T_1$ transitions are electric dipole allowed. In addition, the polarization intensities in absorption and emission are consistent with the above assignment.⁶

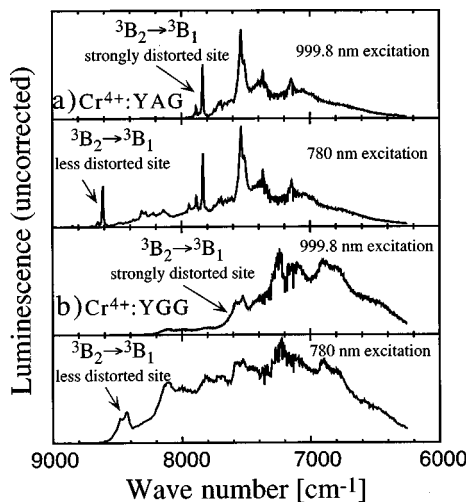


FIG. 4. Selective luminescence spectra excited at the wavelengths indicated at $T = 1.5 \text{ K}$ of (a) Cr^{4+} :YAG and (b) Cr^{4+} :YGG. Spectra have not been corrected for system response. Sharp (negative going) features near 7200 cm^{-1} are due to atmospheric absorption.

A. The tetragonal distortion

Figure 6 shows the energy levels resulting from the splitting of the tetrahedral states relative to the ground state, as a

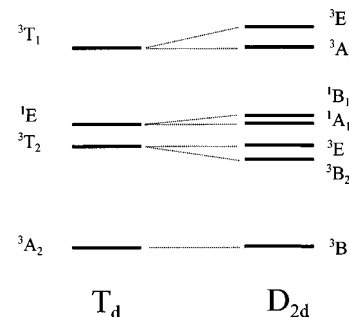


FIG. 5. The schematic energy levels of a d^2 ion in a regular tetrahedral (T_d) and elongated tetrahedral (D_{2d}) environment. Only levels relevant to the discussion in the text are shown.

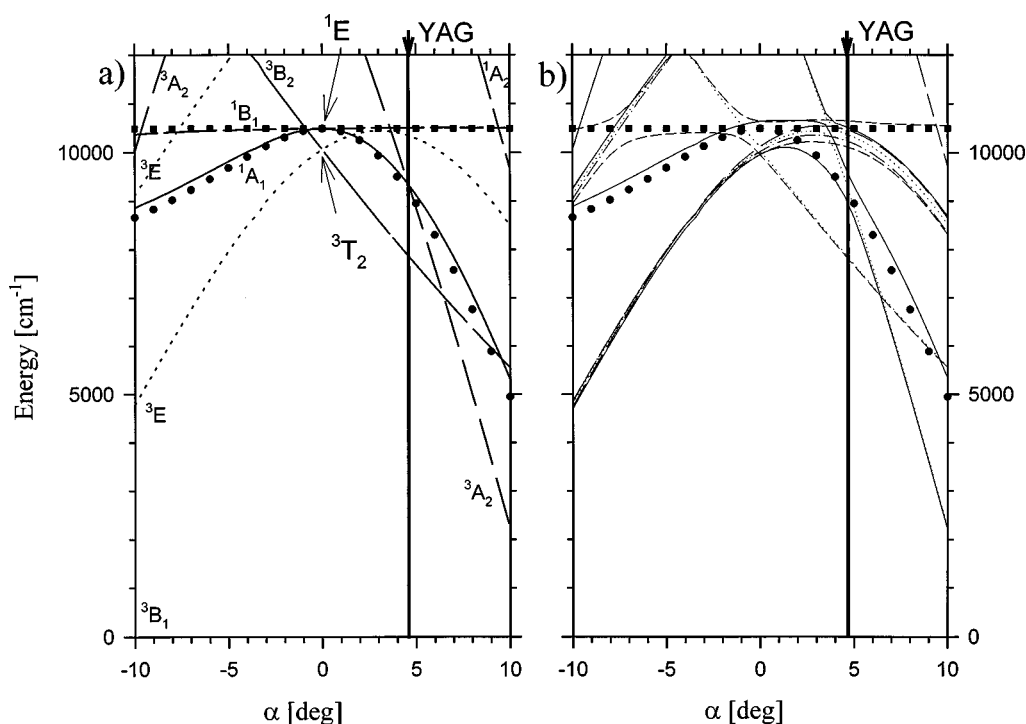


FIG. 6. The low-energy d^2 ligand field levels as a function of the D_{2d} distortion angle α ; (a) $\zeta=0$, (b) $\zeta=220\text{ cm}^{-1}$. Other parameters: $e_\sigma=13\,585\text{ cm}^{-1}$, $e_\sigma/e_\pi=3$, $B=430\text{ cm}^{-1}$, $C=3575\text{ cm}^{-1}$. The points indicate the split components of the 1E state using the approximate expressions given in Eqs (2)–(4). In (a) the D_{2d} (T_d) symmetry labels are given for $\alpha\neq 0$ ($\alpha=0$), respectively.

function of the D_{2d} distortion of the Cr^{4+} tetrahedron. This D_{2d} distortion is quantified by α , defined as the angle that each Cr-O bond deviates from tetrahedral and where a positive distortion results in an elongation. In Fig. 6(a) the spin-orbit coupling is set to zero to show the gross behavior of the energy levels as a function of the tetragonal distortion. The energy of the 3B_2 component of the 3T_2 state drops substantially relative to the ground state as the tetrahedron is elongated. The energy of the ${}^3B_1({}^3A_2)\leftrightarrow{}^3B_2({}^3T_2)$ transition can be expressed analytically as a function of α for zero spin-orbit coupling and is given by

$$E({}^3B_2-{}^3B_1)=e_\sigma/3(c^2-2\sqrt{2}sc+1)^2 + e_\sigma/9(7c^4+4\sqrt{2}sc^3-10c^2+4\sqrt{2}sc-1), \quad (1)$$

where e_σ, e_π are the angular overlap model (AOM) sigma bonding parameters, which quantify the ligand field¹⁷ and s and c denote $\sin \alpha$, and $\cos \alpha$, respectively. Figure 6(b) shows the same calculation with spin-orbit coupling nonzero. For the values used ($\zeta=220\text{ cm}^{-1}$) the orbital integrity is kept although strong mixing is seen between the ${}^3A_2({}^3T_2)$ and ${}^1A_1, {}^1B_1({}^1E)$ states at the $\alpha\sim 5^\circ$ geometry relevant to this study (Figs. 6 and 7). It is worth noting that neither of the ${}^1A_1, {}^1B_1({}^1E)$ states become the lowest excited state for any values of α .

The observed spectral features given in Table I were fitted to a d^2 ligand field calculation by allowing the ligand field (e_σ, α), spin-orbit coupling (ζ), and interelectron repulsion

parameters (B, C) to vary. The calculation used the diagonalization of the full d^2 basis¹⁷ on each iteration of a nonlinear least squares algorithm.¹⁸ The geometry was constrained to D_{2d} symmetry while the angle α was allowed to vary, how-

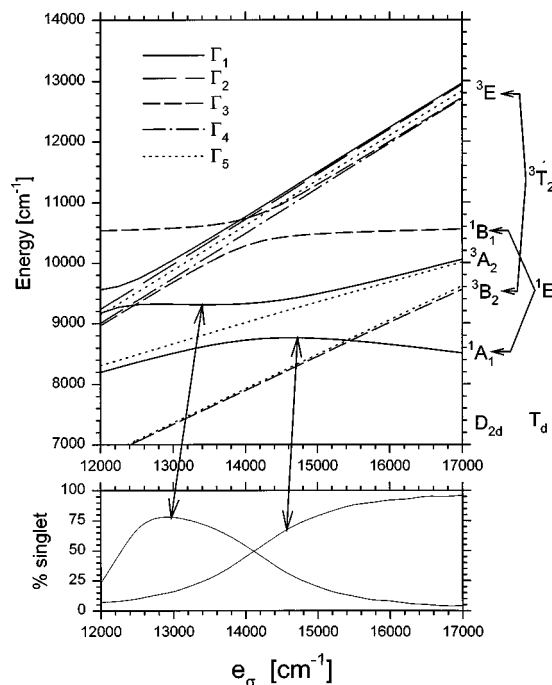


FIG. 7. The d^2 ligand field energy levels near the ${}^1E/{}^3B_2$ cross over region as a function of the sigma bonding AOM parameter e_σ . The geometry is fixed to that of the YAG host ($\alpha=4.66^\circ$). Other parameters: $e_\sigma/e_\pi=3$, $B=430\text{ cm}^{-1}$, $C=3575\text{ cm}^{-1}$, $\zeta=220\text{ cm}^{-1}$. The inset indicates the % singlet character of the two lowest Γ_1 states.

TABLE II. The ligand field calculation for $\text{Cr}^{4+}/\text{YAG}$. (*, + indicates states that strongly mix. Parameters used: $e_\sigma = 15\,585\text{ cm}^{-1}$, $e_\sigma/e_\pi = 3$, $\alpha = 4.66^\circ$, $B = 430.0\text{ cm}^{-1}$, $C = 3585\text{ cm}^{-1}$, $\zeta = 220.0\text{ cm}^{-1}$.)

Energy (cm^{-1}) (D_{2d}^*)	$D_{2d}(T_d)$	Observed (cm^{-1})
0.0 (Γ_5)	${}^3B_1({}^3A_2)$	
1.2 (Γ_4)		
7814.6 (Γ_3)	${}^3B_2({}^3T_2)$	7814
7842.6 (Γ_5)		7842
8974.4 (Γ_1)*	${}^3A_2({}^3T_1)$	8977
9278.4 (Γ_5)		9281
9583.0 (Γ_1)*	${}^1E({}^1E)$	~9500
10 652.4 (Γ_3) ⁺		
10 113.9 (Γ_3) ⁺		
10233.3 (Γ_4)	${}^3E({}^3T_2)$	~10 000
10366.2 (Γ_5)		
10459.1 (Γ_2)		
10518.1 (Γ_1)		
15 741.0 (Γ_4)		
15 752.6 (Γ_3)		
15 761.0 (Γ_1)	${}^3E({}^3T_1)$	~15 000
15 771.5 (Γ_5)		
15 796.2 (Γ_2)		
16 927.0 (Γ_2)	1A_2	
17 672.0 (Γ_1)	1A_1	
18 280.1 (Γ_4)	1B_2	

ever it was found that good agreement could be obtained using geometry of the host lattice ($\alpha = 4.6^\circ$). The calculated and experimental transition energies are given in Table II. Differences between calculated and observed energies could be reduced to zero, but the parameters obtained were rounded and the calculation redone. This results in small differences between calculated and observed values in Table II but allows the calculated energies to be reproduced using the quoted parameters.

B. The splitting of the tetrahedral 1E state

As seen in Fig. 6(a), the tetrahedral 1E state splits into 1A_1 and 1B_1 states in a D_{2d} tetragonal field. This splitting is essentially independent of the spin-orbit coupling and is predominantly caused by a large off-diagonal coupling between the ${}^1A_1({}^1E)$ and ${}^1A_1({}^1A_1)$ states by the tetragonal ligand field. Approximate expressions have been found for the two components ${}^1A_1, {}^1B_1({}^1E)$. These are given by

$$E({}^1B_1) = E({}^1E^a), \quad (2)$$

$$E({}^1A_1) = 1/2(E({}^1E^a) - E({}^1A_1^a)) + \{[E({}^1E^a) - E({}^1A_1^a)]^2 + 4\Delta E^2\}^{1/2}.$$

Here, $E({}^1E^a)$ and $E({}^1A_1^a)$ are the (exact) orbital energies of the lowest 1E and 1A_1 states of a d^2 system in tetrahedral symmetry

$$E({}^1E^a) = 17/2B + 2C + \Delta - \{(7/2B)^2 + B\Delta + \Delta^2\}^{1/2}, \quad (3)$$

$$E({}^1A_1^a) = 17B + 9/2C + \Delta - \{[5/2(2B + C)]^2 + (2B + C)\Delta + \Delta^2\}^{1/2},$$

where $\Delta = 4/9(3e_\sigma - 4e_\pi)$.

The symbol ΔE in Eq. (2) denotes the energy inequivalence of the $d_{z^2}, d_{x^2-y^2}$ orbitals due to the tetragonal distortion of the tetrahedral ligand field

$$\Delta E = e_\sigma s^2(7c^2 + 4\sqrt{2}sc + 1) + 4/3e_\pi(7c^4 + 4\sqrt{2}sc^3 - 8c^2 + 1), \quad (4)$$

where $s = \sin \alpha$ and $c = \cos \alpha$.

This expression works well for a large range of α values and are shown as the points in Figs. 6(a) and 6(b). Even when spin-orbit coupling is introduced, the above approximate expressions correctly span avoided crossings between states that carry the greatest singlet character. As indicated in the diagrams, at $\alpha = 5^\circ$ the 1B_1 - 1A_1 splitting is some $\sim 1500\text{ cm}^{-1}$. The large tetragonal distortion in the host lattice ($\alpha = 4.66^\circ$), which is required to explain the spectral assignments, would also cause a large splitting of the 1E tetrahedral state. It is for this reason that we feel that the assignment of Eilers *et al.*⁶ of the 1E to bands at 1206 and 1210 nm is unlikely. However, the singlet character is not well defined in this region due to spin-orbit interaction with the ${}^3A_2({}^3T_2)$ state. This is shown at the bottom of Fig. 7 where the singlet character of the two relevant Γ_1 states changes as they undergo an avoided crossing.

C. Polarizations and MCD spectra

A number of important experiments have been made by Eilers *et al.*⁶ The zero phonon lines of transitions to Γ_1, Γ_5 of the ${}^3A_2({}^3T_1)$ state were studied as a function of uniaxial stress. Stress applied parallel to the (001) direction in the lattice caused a splitting of each line due to an orientational rather than intrinsic degeneracy. This is because the Cr^{4+} will dope randomly into tetrahedral sites where the elongated axis can be along the $x, y,$ or z crystallographic axes. Stress applied parallel to the (111) direction does not result in a splitting as all three sites are equivalent in this direction. This is additional confirmation that the Cr^{4+} enters the distorted tetrahedral site of YAG as well as the assignment of these transitions as arising from the 3A_2 state.

In addition, the polarization dependent emission was used to assign the emitting state as originating from the ${}^3B_2({}^3T_2)$ level, based on z -polarized magnetic dipole selection rules. However, while agreeing with the assignment, we would argue that an xy electric dipole mechanism dominates the intensity of this transition. Exactly the same polarization behavior for emission is expected in this case and agrees with the experimentally determined sign of the MCD.

With spin-orbit coupling, the ${}^3B_2({}^3T_2)$ emitting state splits into a Γ_3 below a Γ_5 (see Table II). The selection rules indicate that the transitions from Γ_3 to components of the ${}^3B_1({}^3A_2)$ ground state are electric dipole allowed in xy polarization. The transition is also allowed by an xy -polarized magnetic dipole mechanism, but this will be very weak. The strong z -polarized magnetic dipole intensity of the 3T_2

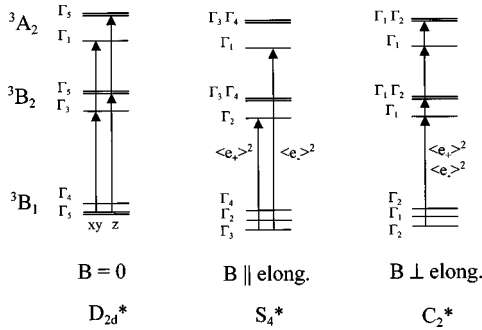


FIG. 8. The energy levels involved in the two pairs of sharp zero phonon lines in different orientations of an applied magnetic field. The left-hand side gives the orbitals states in D_{2d} symmetry, where the Γ notation for the double point groups is used. Nonzero electric dipole transitions are indicated. For $B \neq 0$, the applied magnetic field is directed along z . In these cases only xy polarized electric dipole allowed transitions relevant to the MCD are indicated. The z -polarized electric dipole allowed transitions, $\Gamma_3 \rightarrow \Gamma_4$ in S_4^* , and $\Gamma_2 \rightarrow \Gamma_2$ in C_2^* , are omitted for clarity.

$\rightarrow {}^3A_2$ tetrahedral transition ends up in the ‘‘hot band’’ emission from the higher energy Γ_5 component of the ${}^3B_2({}^3T_1)$ state.

Excitation with 1064-nm light propagating along (100) and polarized along (001) selectively excites the ${}^3A_2({}^3T_1)$ state of the sites with the elongation along (001). This site then relaxes to the emitting state. For an xy electric dipole allowed transition this site will then emit with light polarized along (010) and (100). Light polarized along (100) is observed in the (010) direction. Thus, the origin of the emission is expected to be polarized parallel to the 1064 nm excitation light, as is observed.⁶ For excitation with 600–700-nm light propagating along (100) and polarized along (001), the ${}^3E({}^3T_2)$ state is excited in xy polarization, selecting the two sites elongated along (100) and (010). In this case relaxation to the emitting state will result in emission with greater intensity polarized *perpendicular* to the excitation, as is observed.⁶

Thus, the emission can be either z magnetic dipole, or xy electric dipole allowed to reproduce the behavior of the emission polarizations. However, emission from the lowest excited state (Γ_3) is magnetic dipole forbidden in z polarization using the D_{2d}^* double point group. In addition, the xy electric dipole mechanism is required to explain the signs of the MCD. For an applied magnetic field parallel to (001) the symmetry of the three D_{2d} sites are reduced to one of S_4^* and two of C_2^* symmetry (for sites with the elongation axis parallel and perpendicular to the applied magnetic field respectively). The energy levels that give rise to the ${}^3B_2({}^3T_2)$ and ${}^3A_2({}^3T_1)$ zero phonon lines split in a manner indicated in Fig. 8.

We first consider the S_4^* site. In the low-temperature limit the electronic selection rules give nonzero transitions to the lower of each doublet. The $\Gamma_3({}^3B_1) \rightarrow \Gamma_2({}^3B_2)$ transition is completely right circularly polarized, $e_+ = -1/2(e_x + i e_y)$, while the $\Gamma_3({}^3B_1) \rightarrow \Gamma_1({}^3A_2)$ transition is completely left circularly polarized, $e_- = 1/2(e_x - i e_y)$. This will contribute to a negative and positive MCD sign to these transitions, respectively, as is seen experimentally (Fig. 1 and Table I). If the magnetic dipole selection rules were used for the

$\Gamma_3({}^3B_1) \rightarrow \Gamma_2({}^3B_2)$ transition, a *positive* MCD would be predicted, contrary to the negative sign observed. The S_4^* site will not contribute to the MCD of the upper components $\Gamma_3({}^3B_1) \rightarrow \Gamma_3, \Gamma_4({}^3B_2)$ and $\Gamma_3({}^3B_1) \rightarrow \Gamma_3, \Gamma_4({}^3A_2)$ of the doublets.

For the two sites in which the elongated axis is perpendicular to the applied field, the situation is more ambiguous. All transitions are xy electric dipole allowed from the $\Gamma_2({}^3B_1)$ ground state, except for $\Gamma_2 \rightarrow \Gamma_2$ transitions. However, the Zeeman splitting of the excited state Γ_1, Γ_2 pairs are calculated to be split $<1 \text{ cm}^{-1}$ in the 5 T applied magnetic field and can be considered degenerate. It is not possible to determine the sign of the MCD of the C_2^* centers from the selection rules alone. However, the axial MCD of the S_4^* center determines that the limiting $\Delta A/A = 2$, while the transverse MCD of the C_2^* centers implies $|\Delta A/A| \ll 2$. (We use the standard definitions¹² $\Delta A = \langle e_- \rangle^2 - \langle e_+ \rangle^2$; $A = 1/2[\langle e_- \rangle^2 + \langle e_+ \rangle^2]$.) The observed $\Delta A/A$ ratios (Table I) indicate that the S_4^* sites are dominating the intensity of the zero phonon lines. The MCD sign of these zero phonon lines then confirms the present assignments.

D. High pressure luminescence

Recently high-pressure luminescence spectra have been measured for $\text{Cr}^{4+}:\text{YAG}$ and have been interpreted as due to a ${}^2T_2 - {}^1E$ crossover.⁸ The emitting state becomes blue-shifted with pressure as expected for an increase in the ligand field. At 206 kbar the blue shift ceases and the emission lifetime increases. This indicates that a component of the 1E state, which is insensitive to the ligand field, becomes the lowest excited state.

Figure 7 shows a ligand field calculation as a function of the ligand field parameter e_σ at the fixed geometry of YAG ($\alpha = 4.66^\circ$) with spin-orbit coupling included. An increase of the ligand field simulates the application of external pressure to the $\text{Cr}^{4+}:\text{YAG}$ system. As has been observed experimentally,⁸ at a certain value as the pressure (ligand field) is increased, one component of the 1E state becomes the lowest excited electronic state. For all reasonable values of ligand field parameters, only the Γ_1 component of the 1E state can become the emitting state.

E. d - s mixing

The D_{2d} symmetry allows the $3d_{z^2}$ orbital to mix with the $4s$ metal orbital. It is known that d - s mixing sometimes produces large energy shifts.¹⁹ In the present case the $3d_{z^2}$ orbital will be depressed as a function of α by an amount

$$E(3d_{z^2}) = -e_{ds}4(s^2 + 2\sqrt{2}sc)^2, \quad (5)$$

where e_{ds} is a parameter quantifying the d - s mixing.¹⁹ The above expression gives the correct values of $E(3d_{z^2}) = 0$ and $-4e_{ds}$ for the limiting cases of $\alpha = 0^\circ (T_d)$ and $\alpha = -35.26^\circ (D_{4h})$. For distortions of the order $\alpha \approx 5^\circ$, the energy shift due to d - s mixing amounts to $E(3d_{z^2}) \approx -0.25e_{ds}$. For CuCl_4^{2-} complexes it has been found¹⁹ that $e_{ds} = 1000 \text{ cm}^{-1}$, so the effects here will be small.

The d - s mixing was investigated as a possible reason for the large reduction in the Racah parameter B , but we con-

clude that d - s mixing is not important for these systems. Both the ${}^3B_1({}^3A_2)$ ground state and ${}^3B_2({}^3T_2)$ first excited state contain the d_{z^2} orbital so their energy difference is unaffected by e_{ds} . The ${}^3A_2({}^3T_1)$ excited state to a first approximation does not contain the d_{z^2} orbital and so the transition energy will be calculated to be larger if e_{ds} is included. Since the 3T_1 state is at $\Delta + 12B$ from the ground state in the tetrahedral limit, this would require a further reduction of B . However, since the effect is likely to be quite small, e_{ds} mixing was not included in the final fit.

F. Multiple Cr^{4+} sites

Although the luminescence for the two sites shown in Fig. 4 can be of comparable intensity, the lower energy luminescence arises from a minority site, its intensity being enhanced by laser selection. This is evident from the absence of comparable absorption features associated with the low-energy site. Luminescence from up to four Cr^{4+} sites has been previously observed⁸ and it has been suggested that they arise from site perturbation by the Ca^{2+} codopant.

The dodecahedral, octahedral, and tetrahedral sites in YAG have the bond lengths 2.38 (av), 1.94, and 1.76 Å respectively. The Ca-O bond length of the CaO_6 center in CaO is 2.405 Å, so substitution of Ca^{2+} at the dodecahedral site would seem most likely. The dodecahedral polyhedra share the short edge of the tetrahedral polyhedra,¹⁰ an increase in the dodecahedral polyhedra from Ca^{2+} substitution could possibly increase the short edge of the tetrahedral polyhedra. This would reduce tetragonally elongated distortion and increase the energy of the Cr^{4+} emitting state, as observed. In addition, the garnet grossularite ($\text{Ca}_3\text{Al}_2\text{Si}_3\text{O}_{12}$) contains Ca^{3+} ions in the dodecahedral site.¹⁰ The presence

of Ca^{3+} could also account for some minority sites, as could calcium ions in the next nearest-neighbor positions.

G. Comparison with previous ligand field parameters

The ligand field parameters $e_\sigma = 13\,585\text{ cm}^{-1}$, $e_\sigma/e_\pi = 3$ give a cubic ligand field splitting $\Delta = 4/9(3e_\sigma - 4e_\pi) = 10\,063\text{ cm}^{-1}$, similar to the values 9150 cm^{-1} (Ref. 6) and $10\,667\text{ cm}^{-1}$ (Ref. 5) found previously. The value of $B = 430\text{ cm}^{-1}$ obtained here is lower than values [515 cm^{-1} (Ref. 6) and 500 cm^{-1} (Ref. 5)] previously given. Our value is $\sim 43\%$ of the free ion value. This large reduction in the Racah parameters of $d^2\text{ MO}_4^{x-}$ ions has previously been commented on by many others and is largest in FeO_4^{2-} where a reduction to 27% of the free ion value is observed.²⁰ We have investigated possible explanations for this reduction, which include d - s mixing and a differential nephelauxetic effect but conclude that neither of these refinements can account for the large reduction. It appears that large covalency effects are present in these systems.

V. CONCLUSIONS

The MCD and luminescence of the Cr^{4+} ion in the D_{2d} distorted tetrahedral sites of YAG and YGG hosts has been studied. The identification and nature of the different observed sites has been investigated by site selective luminescence. The spectra can be assigned using the geometry of the host lattice, the energies from a ligand field calculation and the polarization and MCD selection rules. A large reduction of the interelectron repulsion ligand field parameter B from the free-ion value was required. We examine some possible reasons for this and conclude that covalency effects are very important for these tetraoxo ions.

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