

## Bond-charge-model calculation of vibrational properties in small carbon aggregates: From spherical clusters to linear chains

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The bond-charge model, originally devised to calculate the phonon spectrum of bulk semiconductors and extended with success to describe the vibrations of graphite and fullerenes  $C_{60}$  and  $C_{70}$ , is applied to calculate the normal modes of vibration of small carbon aggregates, as the smallest fullerene ( $C_{20}$ ), and odd-numbered linear and cyclic  $C_n$  clusters ( $n=5-19$ ). The resulting spectra provide a satisfactory account of the experimental findings and are in overall agreement with the results of *ab initio* calculations.

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### I. INTRODUCTION

The physics of small carbon clusters has become a well-established subject of research, gathering the interest of scientists from many different fields.<sup>1</sup> The existence of pure carbon clusters ranging from very small (5–10 atoms), to intermediate (20–100 atoms) and to large (hundreds of atoms) sizes, has been experimentally demonstrated.<sup>2–9</sup> Small clusters ( $n \leq 20$ ), which have low-dimensional geometries (linear chains or rings, curved graphitic sheets, etc.) have been shown to play an important role in pyrolysis and combustion processes<sup>10</sup> as well as in astrophysical problems (linear  $C_5$  has been detected in the IRC+10216 carbon star<sup>11</sup>). Polycyclic and cagelike clusters, including those belonging to the fullerene family, are believed to become energetically favored for more than 20–30 atoms.<sup>12–14</sup> Finally, to the family of  $C_n$  clusters also belong the large structures, like single or multilayer nanotubes and giant fullerenes, containing several hundreds of atoms.

Fullerenes and other carbon clusters, besides their intrinsic interest, display many potential applications as the building blocks of carbon-assembled materials.<sup>15</sup> Structural, electronic, and vibrational properties of the carbon aggregates have been extensively studied, using many different theoretical approaches, both *ab initio*<sup>1,14,16–26</sup> and semiempirical,<sup>13,27–30</sup> depending on the cluster size. Large struc-

tures are in general beyond the capabilities of present day *ab initio* methods, the limiting size being about ten atoms for the quantum-chemical configuration interaction (CI) method, and about one hundred atoms for calculations based on density-functional theory (DFT). Vibrational properties studies, in particular, require large computational efforts to be carried out within the framework of a fully *ab initio* scheme.<sup>17,18,31</sup> Theoretical predictions of the normal modes of vibration, however, can be extremely useful for the identification of the different  $C_n$  species and for the interpretation of their measured vibrational spectra.<sup>9</sup>

All that considered, a reliable, computationally simple semiempirical model such as the bond-charge model (BCM) remains a good option for the calculation of the phonon properties of carbon systems. BCM has been successfully applied in the past to bulk semiconductors,<sup>32,33</sup> open and reconstructed semiconductor surfaces,<sup>34</sup> and to GaAs superlattices.<sup>35</sup> More recently, some of us have developed the extension of BCM to  $sp^2$  structures, such as graphite<sup>36</sup> and fullerenes  $C_{60}$  (Ref. 37) and  $C_{70}$ .<sup>38</sup> In the case of bulk graphite, our BCM employs five parameters (two of them being introduced to mimic the interplanar Van der Waals interaction). When used to compute the phonon dispersion curves, it yields an agreement with the experimental data which is equivalent to that obtainable using a 21-parameters force-constants model.<sup>39</sup> The observed eigenfrequencies of

the 14 Raman and infrared (IR) active modes of fullerene  $C_{60}$  are reproduced by the (three-parameter) BCM calculation within 3%.<sup>37</sup> BCM has also been extended to solid  $C_{60}$  crystal<sup>40</sup> and to the calculation of IR and Raman spectra of  $C_{70}$ , yielding results of similar quality.<sup>38</sup> In the present paper, BCM is applied to fullerene  $C_{20}$  (dodecahedral cage), and then, with minor modifications, to  $sp$ -bonded structures, such as linear chains and planar rings. We show that in all cases the model is able to provide an overall account of the experimental findings, at a computational cost similar to that of force-constant models. With respect to the latter models, BCM requires fewer parameters (three in the case of fullerenes, reducing to two in the case of  $sp$ -bonded structures), all of them with a clear physical meaning. In particular, due account is given to the effects of the valence charge redistribution during the atomic motion.

The paper is organized as follows: first, we recall the features of the BCM. We then present the BCM results for the case of the dodecahedral  $C_{20}$  fullerene, for which the calculation is a straightforward extension of the work previously presented for  $C_{60}$  and  $C_{70}$ . In the following section, the extension of BCM to one-dimensional ( $sp$ -bonded) structures is presented. The results for the family of odd-numbered linear chains  $C_5$ – $C_{19}$  and planar rings  $C_{11}$ – $C_{19}$  are given. For the linear chains, BCM results are compared with those obtained from a traditional force-constants model (FCM), where the electronic degrees of freedom are completely neglected. It is found that the FCM predicts a behavior for the highest vibrational frequency as a function of the chain length that is at odds with the experimental (and BCM) results. In the case of  $C_5$ , detailed results for the isotopic effects are also presented, in comparison with experimental data. Results for the 20 atoms polyacetylenic ring are also included, for the sake of comparison with the case of the icosahedral  $C_{20}$  cage.

## II. MODEL

The adiabatic bond-charge model is based on a representation of the valence electron charge density by means of massless point charges, located near the maxima of the charge distribution, and free to follow adiabatically the ionic motion.<sup>32</sup> The bond charges (BC's) are meant to represent the first term of a multipole expansion of the valence charge distribution.

An immediate advantage of BCM, with respect to ordinary force-field models for the calculation of vibrational properties, is the small number of parameters required by the model. Moreover, the parameters have a clear physical meaning, and prove to be easily transferable among the different carbon structures. This can be understood in keeping with the fact that the BCM includes only first-neighbor phenomenological interactions, while long-range effects are

taken into account through a screened Coulomb interaction.

In more detail, the interactions included in the model are the following. (i) The screened Coulomb interaction between negative BC and Carbon atoms, with the latter carrying a positive charge determined by the neutrality condition. This interaction acts among all particles, and is controlled by the model parameter  $z^2/\epsilon$ . (ii) A two-body repulsive potential  $\Phi$  acting between nearest-neighboring atoms, and parametrized by its first ( $\Phi'_{\text{ion-ion}}$ ) and second ( $\Phi''_{\text{ion-ion}}$ ) derivatives, respectively. (iii) For  $sp^3$  and  $sp^2$ -bonded systems, an angular potential of the Keating form,

$$V_K = -(\beta/8\Delta)(\vec{\mathbf{d}}_{oi} \cdot \vec{\mathbf{d}}_{oj} - \Delta)^2, \quad (1)$$

where  $\vec{\mathbf{d}}_{oi} = \vec{\mathbf{d}}_{oi}^0 + \vec{\mathbf{x}}_{oi}$  is the vector joining the atom  $o$  to the BC  $i$ ,  $\vec{\mathbf{x}}_{oi}$  is the variation with respect to the equilibrium value  $\vec{\mathbf{d}}_{oi}^0$ , and  $\Delta = \vec{\mathbf{d}}_{oi}^0 \cdot \vec{\mathbf{d}}_{oj}^0$  is proportional to the BC angle. Thus,  $V_K$  is controlled by the parameter  $\beta$ . Because the three-body Keating potential is built with scalar products, the condition of rotational invariance is automatically satisfied.

Since the Keating term for transverse angle-bending displacement vanishes at angles of 180 degrees, we find that this term can be neglected for  $sp$ -bonded linear systems.<sup>41</sup>

Note that  $\Phi'_{\text{ion-ion}}$  is not a free parameter, because the equilibrium condition determines it as a function of  $z^2/\epsilon$  and the atomic geometry.

In the harmonic regime, the elements of the BCM force constant matrix are obtained by analytical calculation of first- and second-order derivatives of the above potential. Using the matrix notation, the coupled dynamical equations for the ion and BC displacement vectors ( $\mathbf{u}$  and  $\mathbf{v}$ , respectively) can be written as

$$\mathbf{M}\omega^2\mathbf{u} = \left( \mathbf{R} + \frac{z_{at}^2}{\epsilon} \mathbf{C}_R \right) \mathbf{u} + \left( \mathbf{T} + \frac{z_{at}z_{bc}}{\epsilon} \mathbf{C}_T \right) \mathbf{v}, \quad (2)$$

$$\mathbf{m}\omega^2\mathbf{v} = \left( \mathbf{T}^\dagger + \frac{z_{at}z_{bc}}{\epsilon} \mathbf{C}_T^\dagger \right) \mathbf{u} + \left( \mathbf{S} + \frac{z_{bc}^2}{\epsilon} \mathbf{C}_S \right) \mathbf{v}, \quad (3)$$

where  $\mathbf{M}$  and  $\mathbf{m}$  are the ion and BC mass matrices,  $\omega$  is the eigenfrequency, and  $\mathbf{R}$ ,  $\mathbf{T}$ ,  $\mathbf{S}$ ,  $\mathbf{C}_R$ ,  $\mathbf{C}_T$ , and  $\mathbf{C}_S$  are the short-range and Coulomb parts of atom-atom, atom-BC, and BC-BC interactions, respectively.

In the adiabatic approximation ( $\mathbf{m}=0$ ), one solves Eq. (3) for  $\mathbf{v}$  and replaces it into Eq. (2). This provides an equation for  $\mathbf{u}$ ,

$$M\omega^2\mathbf{u} = D_{eff}\mathbf{u}, \quad (4)$$

where

$$\mathbf{D}_{eff} = \left[ \mathbf{R} + \frac{z_{at}^2}{\epsilon} \mathbf{C}_R - \left( \mathbf{T} + \frac{z_{at}z_{bc}}{\epsilon} \mathbf{C}_T \right) \cdot \left( \mathbf{S} + \frac{z_{bc}^2}{\epsilon} \mathbf{C}_S \right)^{-1} \cdot \left( \mathbf{T}^\dagger + \frac{z_{at}z_{bc}}{\epsilon} \mathbf{C}_T^\dagger \right) \right] \quad (5)$$

is the effective ion-ion force-constant matrix, including the indirect ion-ion interaction mediated by the interposed BC.

The determination of the rest (equilibrium) positions of the BC's can be more or less demanding, depending on the degree of symmetry of the system. In the case of an infinite diamondlike crystal, or a graphite sheet, or an infinite linear chain, BC equilibrium positions are trivially found at the bond midpoints. In less symmetric cases, they must be determined by a separate analytic or numerical calculation. For example, in the case of a finite linear chain, the BC equilibrium positions are still on the bond axis, but displaced away from the bond center, especially in peripheral bonds. In ring and in spherical structures they are radially displaced, outwards with respect to the bond midpoints. Finally, in more complicated structures such as  $C_{60}$  and  $C_{70}$ , the equilibrium positions have been determined<sup>37,38,42</sup> by a numerical steepest descent relaxation algorithm. It must be stressed that the atomic positions, however, are never relaxed: they are an input data of the calculation. The global equilibrium condition is enforced, after having relaxed the BC positions, by computing the  $\Phi'_{\text{ion-ion}}$  that make the given structure a stationary point of the total potential. A direct consequence of this approach, and of the choice of limiting the short-range interactions to first neighbors, is that not every low-symmetry atomic geometry can be stabilized. It would be of course possible to stabilize them at the price of an increase in the number of model parameters.

### III. RESULTS

#### A. Cage $C_{20}$

The energetical ordering, and relative stability, of three different isomers of  $C_{20}$  (fullerene dodecahedral cage, open bowl, and closed planar ring) is still a matter of debate. All these structures have a very similar total energy. Hartree-Fock (HF) calculations<sup>18,23,25</sup> predict the planar ring as the lowest-energy structure. DFT-LDA where LDA is local-density approximation (Refs. 18, 23, 43, and 44) and tight-binding<sup>13,27</sup> favor the cage structure. The inclusion of correlation effects in second-order Møller-Plesset perturbation theory (MP2) leads to different results favoring either the cage<sup>18,25</sup> or the bowl structure.<sup>44</sup> Coupled-cluster calculations favor, with almost the same energy, the bowl and the cage.<sup>24</sup> Calculations using the Becke-Lee-Yang-Parr (BLYP and B3LYP) hybrid method either predict a bowl or ring ground state.<sup>21,44</sup> On the other hand, the inclusion of gradient corrections on DFT or HF calculations produced large effects on the energetical ordering of the isomers, recovering the ring as the most stable configuration.<sup>18,23,14</sup> A diffusion Monte Carlo calculation<sup>23</sup> predicts the bowl as the lowest-energy structure. Moreover, a realistic calculation of the relative abundance of the isomers must also take into account the vibrational entropy contributions. The results of such a calculation indicate that the ring structure is the dominating one at the standard experimental conditions.<sup>21</sup>

The dodecahedral cage structure, which would make  $C_{20}$  the smallest fullerene, exists then probably only as a metastable state.<sup>45</sup> Calculations suggest also that this structure would undergo Jahn-Teller (JT) distortions, reducing the  $Y_h$  symmetry of the idealized regular dodecahedron.<sup>18,21,25,27,43,46</sup> Despite the lack of experimental data, many

TABLE I. Vibrational eigenfrequencies ( $\text{cm}^{-1}$ ) of  $C_{20}$  in the  $Y_h$  cage structure, obtained with BCM. Degeneracies are 1, 3, 4, and 5, for  $A$ ,  $T$ ,  $G$ , and  $H$  modes, respectively. The bond length is set to 1.45 Å; the parameter values are  $\Phi''_{\text{ion-ion}} = 144 \times 10^4 \text{ dyn/cm}$ ,  $\beta = 50.5 \times 10^4 \text{ dyn/cm}$ ;  $z^2/\epsilon = 1.63$ .

| $A_g$ | $T_{1u}$ | $T_{2g}$ | $T_{2u}$ | $G_g$ | $G_u$ | $H_g$ | $H_u$ |
|-------|----------|----------|----------|-------|-------|-------|-------|
| 841   | 925      | 1065     | 990      | 970   | 682   | 525   | 736   |
|       |          |          | 1195     | 1162  | 1313  | 1089  | 1464  |
|       |          |          |          |       |       | 1510  |       |

theoretical studies of the vibrational properties of cage  $C_{20}$  have been published in the past five years, both for the idealized<sup>29</sup> and for several JT distorted structures.<sup>18,21,27,28</sup>

Note that the JT splittings in the vibrational spectra are generally limited, allowing for the recognition of multiplets of nearby frequencies, reminiscent of the original  $Y_h$  degeneracies of the symmetric structure.

Since the detailed geometry of the actual Jahn-Teller distorted structure is far from being established, and in the absence of any experimental data, the highly symmetric  $Y_h$  configuration has been chosen in the present work. This choice both simplifies the comparison with other calculations and allows us to establish a meaningful link to the normal modes of  $C_{60}$ , the paradigmatic fullerene. For example, it is easy to identify the (single)  $A_g$  totally symmetric mode that corresponds to the ‘‘breathing mode’’ of the molecule, at  $841 \text{ cm}^{-1}$ . In Table I we report the BCM results for the 14 different nonzero frequencies of the 54 normal modes of the molecule, together with their symmetry classification. These values were obtained with the same model parameters as in Ref. 37 and with  $\Phi''_{\text{ion-ion}} = 144 \times 10^4 \text{ dyn/cm}$ , corresponding to a single bond length of 1.45 Å.<sup>18,47</sup> The geometrical relaxation of the positions of the BC's, performed as in Ref. 38, yields equilibrium positions that are radially displaced by 0.08 Å with respect to the bond centers.

The Raman active modes are predicted at 525, 841, 1089, and  $1510 \text{ cm}^{-1}$ , while the (single) IR line is found at  $925 \text{ cm}^{-1}$ . These values coincide within  $\approx 15\%$  with those obtained in Ref. 29 for the same structure, the agreement being better for the higher-frequency modes.<sup>48</sup> A comparison with the IR spectra predicted by recent, *ab initio* calculations for different Jahn-Teller distorted structures (and, eventually, with future experimental data) is also possible, taking into account that the modes generated from the splitting of our  $G_u$ ,  $H_u$ , and  $T_{2u}$  modes will also become IR allowed. In the IR spectrum we expect, besides a triplet at about  $925 \text{ cm}^{-1}$ , additional multiplets at about 680, 740, 1000, 1200, 1300, and  $1460 \text{ cm}^{-1}$ . Such a distribution of the IR peaks agrees with the predictions of Ref. 21, with larger splittings ( $\approx 100 \text{ cm}^{-1}$ ) in the lower-frequency range, and smaller ones ( $\approx 10 \text{ cm}^{-1}$ ) for the higher frequencies.

#### B. Linear chains and planar rings

For clusters with less than 20 atoms, fullerene structures with  $sp^2$  coordination become energetically disfavored, in favor of structures with lower coordination number.<sup>13,14</sup> A general consensus exists about the ground-state geometry of  $C_5$ ,  $C_7$ , and  $C_9$ : they are ‘‘cumulenic’’ linear chains, with

TABLE II. Calculated and observed vibrational frequencies for linear  $C_5$  ( $\text{cm}^{-1}$ ).

| BCM <sup>a,b</sup> | BCM <sup>a,c</sup> | FC <sup>a,d</sup> | HF <sup>e</sup> | MP2 <sup>f</sup> | B3LYP <sup>g</sup> | Expt.                     |
|--------------------|--------------------|-------------------|-----------------|------------------|--------------------|---------------------------|
| 2376               | 2164               | 2164              | 2344            | 2358 (2193)      | 2216               | 2164 <sup>b</sup>         |
| 2043               | 1860               | 1840              | 2220            | 2018 (1877)      | 1969               |                           |
| 1507               | 1372               | 1337              | 1632            | 1471 (1368)      | 1440               |                           |
| 813                | 739                | 702               | 863             | 786 (731)        | 776                | 798 $\pm$ 45 <sup>i</sup> |
| 567                | 509                | 508               | 648             | 487 (453)        | 533                | 512 $\pm$ 45 <sup>i</sup> |
| 336                | 302                | 325               | 222             | 281 (261)        | 215                | 222 $\pm$ 45 <sup>i</sup> |
| 141                | 127                | 132               | 112             | 130 (121)        | 116                | 101 $\pm$ 45 <sup>i</sup> |

<sup>a</sup>Present work.<sup>b</sup>BCM parameters, scaled from fullerene calculations:  $\Phi''_{\text{ion-ion}} = 200 \times 10^4$  dyn/cm,  $\beta=0$ ,  $z^2/\epsilon=1.63$ . Bond length  $r_0=1.3$  Å.<sup>c</sup>BCM parameters:  $\Phi''_{\text{ion-ion}}=163.7 \times 10^4$  dyn/cm,  $\beta=0$ ,  $z^2/\epsilon=1.31$ .<sup>d</sup>FCM parameters:  $K_{\text{str}}=91.56 \times 10^4$  dyn/cm,  $K_{\text{bend}}=2.29 \times 10^4$  dyn/cm.<sup>e</sup>Reference 17.<sup>f</sup>Reference 31, in parentheses values scaled by a factor of 0.93.<sup>g</sup>Reference 20.<sup>h</sup>References 52 and 59.<sup>i</sup>Reference 56.

interatomic distances of about 1.3 Å and very slight bond alternation ( $\pm 0.02$  Å). More generally, for  $n < 10$  theory predicts a different behavior for odd- and even-numbered  $C_n$ : the former are cumulenic linear chains, while the latter

are planar monocyclic rings with a nearly isoenergetic linear isomer.<sup>17,20,22,31</sup> For  $10 < n < 20$  a cyclic ground state is predicted for both odd- and even-numbered clusters, with polyacetylenic (partially dimerized) bonds for  $n = 12, 16, 20$  and cumulenic (undimerized) bonds in the other cases. From the experimental point of view, the situation is complicated by the fact that energetic differences between isomers are small.<sup>14,23</sup> Hence, clusters with geometries different from the ground-state one may be detected experimentally, due to entropic contributions. This is indeed the case for  $C_{13}$ , which has been observed as a linear chain.<sup>50</sup> Besides  $C_{13}$ , all chains belonging to the family of odd-numbered linear structures ( $C_5$ – $C_{11}$ ) have been experimentally identified with certainty. Cyclic structures, instead, seem to be more difficult to detect by spectroscopic techniques. When charged clusters are considered, the situation outlined above may change substantially: for example, linear chains from  $C_5^-$ – $C_{20}^-$  have been observed.<sup>8,12</sup> Finally, a solid carbon allotrope based on  $sp$ -hybridized  $C_n$  chains with 8–28 atoms stabilized by nonreacting terminal groups has been reported.<sup>2,51</sup>

Concerning vibrational properties, some of the IR active frequencies of odd-numbered linear  $C_n$  are experimentally known, both for clusters in the gas phase and deposited on inert matrices.<sup>4,52–56</sup> In some cases, the isotopic effects on the highest stretching mode also have been experimentally investigated.<sup>31,52</sup> Theoretical predictions, on the other hand, are available for the vibrational spectra of several clusters:  $C_2$ – $C_{10}$  have been studied at the HF level;<sup>17</sup> electronic correlation effects have been considered for  $C_5$  and  $C_7$  using

TABLE III. Longitudinal frequencies for odd-numbered  $C_n$  linear chains up to 19 atoms ( $\text{cm}^{-1}$ ). Experimental data are reported in parentheses, where available. BCM parameters as in column 2 of Table II.

| $n=5$  | $n=7$  | $n=9$                              | $n=11$ | $n=13$                    | $n=15$ | $n=17$ | $n=19$ |
|--|--|------------------------------------|--------|---------------------------|--------|--------|--------|
| 2164 (2164, <sup>a</sup> 2169 <sup>b</sup> ) | 2160 (2128, <sup>a</sup> 2138 <sup>c</sup> ) | 2150                               | 2142   | 2135                      | 2129   | 2125   | 2121   |
| 1860   | 2014 (1893 <sup>d</sup> )                    | 2065(1997 <sup>d</sup> )           | 2086   | 2096                      | 2100   | 2102   | 2103   |
| 1372   | 1764   | 1918                               | 1990   | 2028                      | 2050   | 2064   | 2073   |
| 739 (798 $\pm$ 45 <sup>e</sup> )             | 1424   | 1711                               | 1853   | 1931                      | 1978   | 2008   | 2029   |
|  | 1006   | 1450                               | 1678   | 1806 (1809 <sup>f</sup> ) | 1885   | 1937   | 1972   |
|  | 523 (548 $\pm$ 90 <sup>e</sup> )             | 1141 (1258 $\pm$ 50 <sup>e</sup> ) | 1466   | 1654                      | 1771   | 1848   | 1901   |
|  |  | 788                                | 1221   | 1476                      | 1637   | 1744   | 1818   |
|  |  | 404 (484 $\pm$ 48 <sup>e</sup> )   | 946    | 1274                      | 1483   | 1624   | 1722   |
|  |  |                                    | 646    | 1050                      | 1311   | 1489   | 1613   |
|  |  |                                    | 329    | 806                       | 1122   | 1339   | 1493   |
|  |  |                                    |        | 547                       | 918    | 1176   | 1361   |
|  |  |                                    |        | 277                       | 701    | 1001   | 1218   |
|  |  |                                    |        |                           | 474    | 815    | 1065   |
|  |  |                                    |        |                           | 239    | 620    | 903    |
|  |  |                                    |        |                           |        | 418    | 732    |
|  |  |                                    |        |                           |        | 210    | 556    |
|  |  |                                    |        |                           |        |        | 373    |
|  |  |                                    |        |                           |        |        | 188    |

<sup>a</sup>IR in matrix, Refs. 52 and 59.<sup>b</sup>Gas phase, Refs. 4 and 53.<sup>c</sup>Gas phase, Ref. 54.<sup>d</sup>Reference 59 as reassigned in Ref. 31.<sup>e</sup>Photoelectron spectroscopy, Ref. 56.<sup>f</sup>Reference 50.

TABLE IV. Transversal frequencies for odd-numbered  $C_n$  linear chains up to 19 atoms ( $\text{cm}^{-1}$ ). Experimental data are reported in parentheses, where available. BCM parameters as in Table III.

| $n=5$   | $n=7$                       | $n=9$ | $n=11$ | $n=13$ | $n=15$ | $n=17$ | $n=19$ |
|---|-----------------------------|-------|--------|--------|--------|--------|--------|
| 509 (512±45 <sup>a</sup> )                    | 553 (496±110 <sup>a</sup> ) | 566   | 569    | 569    | 568    | 567    | 566    |
| 302 (222±45 <sup>a</sup> )                    | 433                         | 491   | 519    | 534    | 542    | 547    | 550    |
| 127 (101±45 <sup>a</sup> , 118 <sup>b</sup> ) | 292                         | 394   | 451    | 484    | 504    | 517    | 526    |
|   | 161                         | 288   | 370    | 423    | 457    | 480    | 496    |
|   | 65 ( $\approx 70^c$ )       | 186   | 285    | 355    | 402    | 435    | 459    |
|   |                             | 100   | 202    | 283    | 343    | 386    | 418    |
|   |                             | 40    | 128    | 214    | 282    | 334    | 374    |
|   |                             |       | 68     | 149    | 222    | 281    | 327    |
|   |                             |       | 27     | 93     | 165    | 228    | 280    |
|   |                             |       |        | 49     | 114    | 178    | 233    |
|   |                             |       |        | 19     | 71     | 131    | 188    |
|   |                             |       |        |        | 37     | 90     | 145    |
|   |                             |       |        |        | 14     | 55     | 106    |
|   |                             |       |        |        |        | 29     | 73     |
|   |                             |       |        |        |        | 11     | 45     |
|   |                             |       |        |        |        |        | 23     |
|   |                             |       |        |        |        |        | 9      |

<sup>a</sup>Photoelectron spectroscopy, Ref. 56.

<sup>b</sup>Gas phase, Ref. 53.

<sup>c</sup>Reference 60.

MP2 perturbation theory,<sup>19,31</sup> and within the Becke-Perdew (B3LYP) density-functional scheme for  $C_5$ – $C_{13}$ .<sup>20,22</sup> Both the HF and MP2 calculated spectra must be scaled by a factor of about 0.9 in order to reproduce the experimental data.<sup>57</sup>

However, *ab initio* calculations become extremely heavy for more than 10 atoms. It is then clearly interesting to investigate the ability of a simple model to reproduce correctly the vibrational spectra of these molecules. In the following, we show that BCM is indeed an efficient tool for the study of  $C_n$  linear chains, being more precise than a trivial force-constants model, but also far from requiring the computational effort of an *ab initio* calculation, hence not suffering from their typical size limitations.

The interactions included in our BCM parametrization for *sp*-bonded carbon atoms are reduced, as previously mentioned, to the screened Coulomb interaction, plus a first-neighbor repulsion. Due to the lower coordination number and to the absence of *sp*<sup>2</sup> or *sp*<sup>3</sup> bonds, the three-body Keating potential can be dropped off. In this simple scheme, the model contains only two free parameters (the value of  $z^2/\epsilon$  and that of  $\Phi''_{\text{ion-ion}}$ ),  $\Phi'_{\text{ion-ion}}$  being determined by the equilibrium condition. For simplicity, we have considered a unique bond length of 1.3 Å, neglecting the small differences (which are always less than 3%) that high-level calculations report for different bonds within the chains, and between different chains. However the bonds are not completely equivalent in our calculation, since the relaxed bond-charge positions are generally different for the different bonds. The small differences in the resulting frequencies obtained by taking also bond length differences into account cannot be considered very significant, due to the semiempirical character of the calculation. Once the angular potential is eliminated, the simplest approach of rescaling the parameter val-

ues used for fullerenes (where the Keating potential plays an important role) becomes less effective. However, we show the results obtained in this way for  $C_5$  in column 1 of Table II. On the other hand, since reliable and precise experimental data are available for the highest vibrational mode of some well-characterized chain, namely,  $C_5$  and  $C_7$ , the model parameters can be easily refitted. We have then simply fixed  $z^2/\epsilon$  at the graphite value,<sup>36</sup> and fitted the (unique) value of  $\Phi''_{\text{ion-ion}}$  in order to reproduce exactly the highest  $C_5$  frequency. These parameter values have then been used to compute the full vibrational spectra of  $C_5$  and of the other  $C_n$  chains, yielding very satisfactory results. The results for  $C_5$  are reported in the second column of Table II. Those for the longer chains are shown in Tables III and IV.

In parallel, we have performed a force-constant (FC) model calculation with the same number of parameters (two), trying to fit them to the experimentally known data in a way similar to that of Ref. 52.

Even if, at a first sight, the main features of the spectra are almost equally well fitted in the FC and BCM approach, the advantages of the latter are evident. First of all, in the FCM the two model parameters (a stretching force constant  $K_{\text{str}}$  analogue to our  $\Phi''_{\text{ion-ion}}$ , and a bending force constant  $K_{\text{bend}}$ , corresponding to our factor  $\Phi'_{\text{ion-ion}}/r_0$ ) determine, respectively and *independently*, the frequencies of the longitudinal and transverse modes. Since the experimental data on transverse frequencies are affected by large error bars, the bending force constant remains essentially undetermined. Moreover, in order to satisfy the equilibrium condition, it is necessary to consider short-range interactions extended at least to second neighbors, while in the BCM the only interaction acting beyond first neighbors is the screened Coulomb potential. In the BCM, stretching and bending frequencies

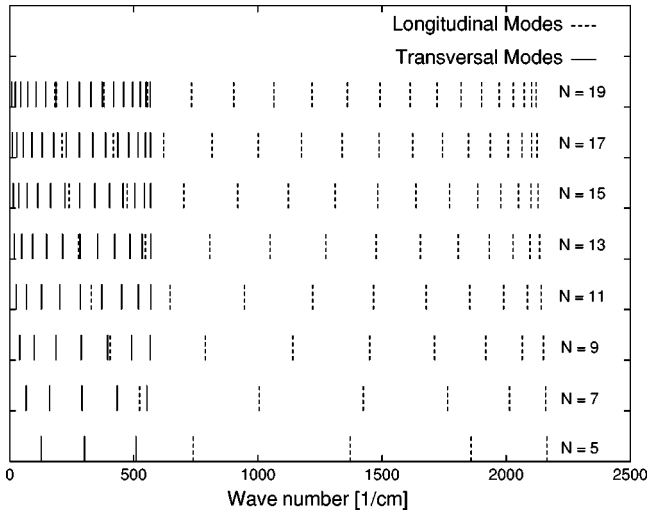


FIG. 1. Calculated eigenfrequencies for the set of  $C_n$  linear chains. Full lines, transversal modes; dashed lines, longitudinal modes.

cannot be varied independently: a natural interplay between them exists due to the consideration of the Coulomb interaction.

Another important difference between FC and BCM approaches is found in the different behavior of the highest vibrational frequency as a function of the number of atoms. In the BCM, the limiting value for  $n \rightarrow \infty$  is approached from above, as shown in Fig. 1, where the vibrational spectra for the whole set of chains is reported, separating longitudinal (stretching) and transversal (bending) modes. In the FC model the highest frequency in the  $n \rightarrow \infty$  limit, although similar to the BCM one, is approached from below. The experimental evidence supports the BCM predictions, at least for the shorter chains, where the highest frequency in  $C_5$  is  $20\text{--}30\text{ cm}^{-1}$  higher than in  $C_7$ .<sup>52–54</sup> Similar differences between the FCM and the BCM results are found in the behavior of the highest transversal frequency. Lowest and highest longitudinal and transversal frequencies, computed in both the FC and BCM approaches, are reported in Table V, for all of the chains considered.

The IR measurements on matrix-deposited  $C_5$  performed by Vala and co-workers<sup>52</sup> allow resolution of the isotopic effects on the highest stretching frequency. In the BCM, as in the FC model, these effects can be computed in a straightforward way, within the defect-of-mass (DOM) approximation. We report in Table VI the results of such a calculation, in comparison with the experimental data. As was the case for the results of Ref. 52 (a FCM calculation), all the mea-

TABLE VI. Isotopic effect on the frequency of the highest longitudinal mode of linear  $C_5$ , compared with experiment (Ref. 52).

| Isomer structure | Computed | Observed | Difference |
|------------------|----------|----------|------------|
| 12-12-12-12-12   | 2163.5   | 2164     | -0.5       |
| 13-12-12-12-12   | 2160.8   | 2161     | -0.2       |
| 13-12-12-12-13   | 2157.9   | 2158     | -0.1       |
| 12-13-12-12-12   | 2143.7   | 2146     | -2.3       |
| 12-12-12-13-13   | 2141.6   | 2144     | -2.4       |
| 13-12-12-13-12   | 2140.3   | 2142     | -1.7       |
| 13-13-12-12-13   | 2138.1   | 2140     | -1.9       |
| 12-12-13-12-12   | 2129.6   | 2129     | +0.6       |
| 13-12-13-12-12   | 2126.5   | 2128     | -1.5       |
| 13-12-13-12-13   | 2123.2   | 2126     | -2.8       |
| 12-13-12-13-12   | 2120.3   | 2122     | -1.7       |
| 13-13-12-13-12   | 2117.6   | 2117.9   | -0.3       |
| 13-13-12-13-13   | 2114.9   | 2117.5   | -2.6       |
| 12-13-13-12-12   | 2109.4   | 2111     | -1.6       |
| 13-13-13-12-12   | 2107.1   | 2109     | -1.9       |
| 13-12-13-13-12   | 2105.4   | 2106.5   | -1.1       |
| 13-13-13-12-13   | 2102.9   | 2104.5   | -1.6       |
| 12-13-13-13-12   | 2084.9   | 2086     | -1.1       |
| 13-13-13-13-12   | 2081.8   | 2083     | -1.2       |
| 13-13-13-13-13   | 2078.7   | 2079.5   | -0.8       |

sured frequencies are reproduced within 3%, confirming the validity of the DOM approximation.

Linear isomers of  $C_n$  with 11 or more atoms are predicted, by *ab initio* calculation, to be higher in energy than the corresponding cyclic structures. However, high-temperature preparation conditions, followed by a fast temperature decrease, allow the experimental detection of linear chains up to 13 atoms.<sup>50</sup> Despite the fact that no experimental data on cyclic  $C_n$  vibrational frequencies has been reported to date, it is clearly of interest to extend the BCM study to the ring isomers of  $C_{11}\text{--}C_{19}$ . Since in this case no experimental input can be used to fit the model parameters, the latter must be obtained by transferring or scaling those used for other structures. Similarly to the case of the linear chains, a single bond length of  $1.3\text{ \AA}$  has been considered for all the rings ( $C_{11}\text{--}C_{19}$ ) within the full  $D_{nh}$  symmetry. This approximation (which, according to *ab initio* calculations of Ref. 22, is excellent for  $C_{19}\text{--}C_{15}$  and becomes gradually less valid for smaller rings) simplifies the equilibrium condition (relaxation of the BC and determination of  $\Phi'_{\text{ion-ion}}$ ), and allows one to keep the minimum number of model parameters (two). For our calculations, we have then simply used the

TABLE V. Highest longitudinal ( $L$ ) and transversal ( $T$ ) vibrational frequencies for  $C_n$  linear chains ( $\text{cm}^{-1}$ ), computed with the present BCM and FCM (see text).

| $L$ | $n=5$ | $n=7$ | $n=9$ | $n=11$ | $n=13$ | $n=15$ | $n=17$ | $n=19$ |
|-----|-------|-------|-------|--------|--------|--------|--------|--------|
| BCM | 2164  | 2160  | 2150  | 2142   | 2135   | 2129   | 2125   | 2121   |
| FCM | 2164  | 2218  | 2240  | 2252   | 2258   | 2262   | 2265   | 2267   |
| $T$ | $n=5$ | $n=7$ | $n=9$ | $n=11$ | $n=13$ | $n=15$ | $n=17$ | $n=19$ |
| BCM | 509   | 553   | 566   | 569    | 569    | 568    | 567    | 566    |
| FCM | 508   | 547   | 562   | 569    | 573    | 576    | 577    | 579    |

TABLE VII. Vibrational frequencies for odd-numbered  $C_n$  rings up to 19 atoms ( $\text{cm}^{-1}$ ).

| $n = 11$         | $n = 13$         | $n = 15$         | $n = 17$         | $n = 19$         |
|------------------|------------------|------------------|------------------|------------------|
| 1977             | 2007             | 2026             | 2039             | 2048             |
| 1854             | 1914             | 1954             | 1982             | 2002             |
| 1605             | 1727             | 1809             | 1867             | 1908             |
| 1246             | 1447             | 1590             | 1693             | 1767             |
| 846              | 1094             | 1305             | 1462             | 1579             |
| 628 <sup>a</sup> | 728              | 971              | 1182             | 1347             |
| 546              | 547              | 638              | 870              | 1078             |
| 545              | 546              | 547              | 567              | 787              |
| 455              | 533 <sup>a</sup> | 546              | 547              | 547              |
| 448              | 481              | 497              | 546              | 545              |
| 305              | 478              | 496              | 508              | 515              |
| 287              | 367              | 463 <sup>a</sup> | 508              | 515              |
| 144              | 358              | 409              | 437              | 510              |
| 117              | 232              | 404              | 435              | 457              |
|                  | 215              | 297              | 409 <sup>a</sup> | 456              |
|                  | 105              | 287              | 344              | 380              |
|                  | 84               | 181              | 338              | 376              |
|                  |                  | 167              | 242              | 366 <sup>a</sup> |
|                  |                  | 80               | 233              | 291              |
|                  |                  | 64               | 144              | 285              |
|                  |                  |                  | 132              | 200              |
|                  |                  |                  | 63               | 192              |
|                  |                  |                  | 50               | 117              |
|                  |                  |                  |                  | 108              |
|                  |                  |                  |                  | 50               |
|                  |                  |                  |                  | 40               |

<sup>a</sup>Breathing mode.

values of  $z^2/\epsilon$  and  $\Phi''_{\text{ion-ion}}$  used for the linear chains. As for the other structures the bond-charge positions have been relaxed, and the  $\Phi'_{\text{ion-ion}}$  determined by the equilibrium condition. The resulting frequencies, for the 11–19 atoms rings, are reported in Table VII. A comparison with Tables III and IV shows that going from the open (linear) to the closed (ring) topology yields, as expected, a reduction of the spectrum width, with larger effects on the smaller clusters. The width of the vibrational spectrum of the closed rings is hence intermediate between those of the fullerene cage and of the open linear chains. In particular, the highest ring mode is lowered by 160–70  $\text{cm}^{-1}$  with respect to the linear chain one, and the lowest ring mode is found at about 4.5 times the frequency of the lowest mode of the corresponding linear chain. In the case of linear  $C_5$ – $C_{15}$  and ring  $C_{11}$ – $C_{15}$  our results can be compared with those of B3LYP calculations: BCM frequencies are generally slightly higher than the B3LYP ones, both for linear chains and planar rings.

### C. Ring $C_{20}$

The successful application of BCM to planar odd-numbered rings suggests a further extension: the  $sp$ -bonded isomer of  $C_{20}$ , which is also a planar ring but, in contrast to the odd-numbered  $C_n$  clusters, has a polyacetylenic (i.e., partially dimerized) structure. This structure is predicted to be the most stable  $C_{20}$  isomer at both the HF and DFT general-

TABLE VIII. Vibrational modes for the polyacetylenic ring isomer of  $C_{20}$  as obtained in BCM. Bond lengths are 1.24 and 1.35 Å (Ref. 21). Results from other calculations are reported for comparison.

| Degen. | LDA<br>(Ref. 44)     | HF<br>(Ref. 18) | B3LYP<br>(Ref. 21) | This work <sup>a</sup> | This work <sup>b</sup> |
|--------|----------------------|-----------------|--------------------|------------------------|------------------------|
| 2      | 2189                 | 2522            | 2240               | (2260) 2255            | 2075                   |
| 2      | 2177                 | 2506            | 2231               | (2204) 2186            | 2011                   |
| 1      | 2111                 | 2495            | 2143               | (2278) 2277            | 2096                   |
| 2      | 2076                 | 2477            | 2119               | (2106) 2073            | 1906                   |
| 2      | 2025                 | 2393            | 2101               | (1965) 1918            | 1763                   |
| 1      | 1994                 | 2346            | 2078               | (1843) 1787            | 1643                   |
| 1      | 1589                 | 1519            | 1563               | (1636) 1584            | 1455                   |
| 2      | 1450                 | 1417            | 1440               | (1464) 1411            | 1295                   |
| 2      | 1175                 | 1173            | 1174               | (1180) 1131            | 1038                   |
| 2      | 860                  | 869             | 860                | (864) 825              | 757                    |
| 1      | 561                  | 761             | 751                | (662) 616              | 553                    |
| 2      | 539–558 <sup>c</sup> | 757             | 731                | (644) 600              | 539                    |
| 2      | 531                  | 702             | 640                | (599) 599              | 538                    |
| 2      | 503                  | 618             | 566                | (592) 554              | 497                    |
| 2      | 493                  | 615             | 547                | (560) 553              | 496                    |
| 2      | 484                  | 577             | 493                | (554) 533              | 489                    |
| 2      | 480                  | 544             | 492                | (513) 484              | 434                    |
| 1      | 474                  | 536             | 468                | (615) 615              | 552                    |
| 2      | 440–471 <sup>c</sup> | 527             | 460                | (484) 482              | 432                    |
| 2      | 422                  | 514             | 435                | (416) 397              | 356                    |
| 2      | 415                  | 463             | 421                | (397) 393              | 352                    |
| 1      | 373                  | 425             | 387                | (404) 383              | 351                    |
| 1      | 350                  | 396             | 370                | (320) 311              | 279                    |
| 1      | 343                  | 395             | 368                | (311) 303              | 272                    |
| 1      | 329                  | 369             | 335                | (300) 290              | 261                    |
| 1      | 302                  | 340             | 307                | (290) 284              | 255                    |
| 2      | 262                  | 273             | 251                | (206) 205              | 184                    |
| 2      | 239                  | 252             | 233                | (205) 196              | 176                    |
| 2      | 166                  | 161             | 149                | (119) 119              | 107                    |
| 2      | 152                  | 143             | 133                | (114) 109              | 97                     |
| 2      | 83–96 <sup>c</sup>   | 69              | 64                 | (51) 51                | 46                     |
| 2      | 56–73 <sup>c</sup>   | 53              | 49                 | (42) 40                | 36                     |

<sup>a</sup>BCM parameters, scaled from fullerene calculations:  $\Phi''_{\text{ion-ion}} = 230.2$  (short bond) and 178.5 (long bond)  $10^4 \text{ dyn/cm}$ ,  $\beta = 0$  (in parentheses, results for  $\beta = 50.5 \times 10^4 \text{ dyn/cm}$ ),  $z^2/\epsilon = 1.63$ .<sup>b</sup>BCM parameters, scaled from chains calculations:  $\Phi''_{\text{ion-ion}} = 183.8$  (short bond) and 147.1 (long bond)  $10^4 \text{ dyn/cm}$ ,  $\beta = 0$ ,  $z^2/\epsilon = 1.31$ .<sup>c</sup>The LDA calculations of Ref. 44 are performed for a lower symmetry than that of the  $C_{10h}$  one.

ized gradient approximation levels,<sup>14,18,23,25</sup> and, together with the “bowl” isomer, is likely to be present at the experimental conditions.<sup>58</sup> For our calculations we take bond distances of 1.24 Å and 1.35 Å, from Ref. 21. In order to facilitate the comparison of the results with those given in Table I, BCM calculations are reported for two different parameter sets: the “fullerene” set (rescaling the icosahedral  $C_{20}$  value of  $\Phi''_{\text{ion-ion}}$  with the actual ring bond lengths), and the “linear chain” set used above for odd-numbered linear chains and cumulenic rings.

The results of such calculation are shown in Table VIII.

The vibrational spectrum contains 32 frequencies (22 doubly degenerate and 10 nondegenerate modes), distributed from  $\approx 40$  to  $\approx 2200$   $\text{cm}^{-1}$ . A comparison with the spectra computed by *ab initio* methods,<sup>18,21,44</sup> also reported in Table VIII, indicates overall agreement, with the linear chain parameters set to yielding frequencies that are systematically lower by about 10% with respect to those obtained using the fullerene parameters. The absence of modes in the region  $\approx 800$ – $\approx 1100$   $\text{cm}^{-1}$  (a “pseudogap” in the spectrum), predicted by BCM, is similarly present in HF, LDA, and B3LYP calculations. On the other hand, the width of a similar pseudogap around 1700  $\text{cm}^{-1}$  shows a stronger dependence on the method of calculation employed. As pointed out in Ref. 21, a basic difference distinguishing the vibrational properties of the cage and the ring  $\text{C}_{20}$  is the presence, in the phonon spectrum, of low-frequency bending modes that are absent in the case of the cage (see Table I). In Ref. 21 it was found that the entropic contributions of these low-lying modes are responsible for a large relative abundance of the ring structure at the usual experimental conditions ( $T \geq 1000$  K).

#### IV. SUMMARY AND CONCLUSIONS

We have shown that it is possible to compute the vibrational properties of a large class of pure carbon clusters—

ranging from linear  $\text{C}_5$  to fullerenes—using a reliable and computationally inexpensive method based on the bond-charge-model approach, obtaining an overall agreement with both experimental data and with *ab initio* results. The presented approach, due to the inclusion of the (classical) screened Coulomb interaction effects, is more efficient than traditional force-constant models with the same number of adjustable parameters. In particular, in the case of the linear chains, the BCM ensures that (a) a natural interplay between the frequencies of stretching and bending modes is present, (b) the experimental trend of the highest frequency with respect to the chain length is reproduced. Both of these features are missing in the case of the second-neighbors force-constant model. In conclusion, the BCM can be considered as an efficient tool for calculating the normal frequencies of carbon aggregates when *ab initio* calculations are not possible or available. Despite its simplicity, the presented model yields realistic theoretical eigenfrequencies that are quantitatively correct, for several clusters of very different size and dimensionality.

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- <sup>47</sup>As described in Ref. 37, we take  $(\Phi''_{\text{ion-ion}})_{C_{20}} = (\Phi''_{\text{ion-ion}})_{C_{60}} \cdot (d_{C_{60}}/d_{C_{20}})^3$ .
- <sup>48</sup>However, the authors of Ref. 29 state that their  $A_g$  Raman active mode ( $705\text{ cm}^{-1}$ ) may be too low, since that was the case for the corresponding  $A_g$  mode they predicted for  $C_{60}$  (Refs. 29 and 49).
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- <sup>58</sup>In principle, the BCM calculation could be extended also to the "bowl" isomer. However we have verified that, due to its reduced symmetry (Ref. 23), it is not possible to fully stabilize it by means of the first-neighbor interactions included in the present model: such an extension would then require an increase in the number of model parameters.
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