

## In-plane thermal conductivity and Lorenz number in $\text{YBa}_2\text{Cu}_3\text{O}_{7-y}$

K. Takenaka,\* Y. Fukuzumi, K. Mizuhashi, and S. Uchida

*Department of Superconductivity, The University of Tokyo, Bunkyo-ku, Tokyo 113, Japan*

H. Asaoka and H. Takei

*Institute for Solid State Physics, The University of Tokyo, Minato-ku, Tokyo 106, Japan*

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We make a tentative proposal to extract the electronic contribution to the in-plane thermal conductivity  $\kappa_{el}(T)$  of  $\text{YBa}_2\text{Cu}_3\text{O}_{7-y}$  in the normal state. We have measured  $\kappa_{ab}(T)$  for single crystals with various oxygen contents ( $6.06 \leq 7-y \leq 6.93$ ). The systematic study of the oxygen-content dependence of  $\kappa_{ab}$  for the insulating phase enables us to estimate the phononic contribution  $\kappa_{ph}(T)$  for the metallic phase to some extent. Based on the estimated  $\kappa_{ph}$ , we examined  $\kappa_{el}$  from the view point of whether the Wiedemann-Franz law holds or not. The estimated  $\kappa_{el}$  appears to show only a weak  $T$  dependence and no significant change at a characteristic temperature  $T^*$  below which the electrical conductivity  $\sigma_{ab}(T)$  is enhanced possibly due to the opening of a spin gap. The difference between  $\kappa_{el}$  and  $\sigma_{ab}$  suggests the violation of the Wiedemann-Franz law below  $T^*$ . We discussed the ambiguity of our analyses and other possible interpretations of the present result as well as the picture of the charge transport speculated from the above suggestion. [S0163-1829(97)02934-2]

### I. INTRODUCTION

A striking feature in the charge transport of high- $T_c$  superconductors is the systematic deviation from the  $T$ -linear behavior in the in-plane resistivity  $\rho_{ab}(\rho_a)(T)$  commonly observed below a characteristic temperature  $T^*$  well above  $T_c$  in the underdoped cuprates  $\text{YBa}_2\text{Cu}_3\text{O}_{7-y}$ ,<sup>1,2</sup>  $\text{YBa}_2\text{Cu}_4\text{O}_8$ ,<sup>3</sup> and  $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$ .<sup>4</sup> This characteristic behavior provides us with a clue to elucidate the mechanism of the charge transport or an interplay between charge and spin excitations.<sup>1,2</sup>

In order to get further insight into this issue, we have investigated the in-plane thermal conductivity  $\kappa_{ab}(T)$  of  $\text{YBa}_2\text{Cu}_3\text{O}_{7-y}$  using single crystals with various oxygen contents in the range  $6.06 \leq 7-y \leq 6.93$ . The thermal conductivity of  $\text{YBa}_2\text{Cu}_3\text{O}_{7-y}$  has so far been studied by many researchers.<sup>5</sup> However, the previous works focused on the superconducting state.<sup>6-13</sup> Much less attention has been paid to its magnitude and  $T$  dependence in the normal state to make a detailed comparison between thermal and electrical conductivity. Here we make an attempt to establish the relation between the thermal and electrical conduction by studying how the characteristic behavior in  $\rho_{ab}$  below  $T^*$  is reflected in  $\kappa_{ab}$ .

In metals the thermal and electrical relaxation are closely related to each other and the investigations of similarities and differences between them—generally it is carried out based on Lorenz number  $L_e(T) \equiv \kappa(T)/\sigma(T)T$ —has enabled us to identify the mechanism of the charge transport.<sup>14-16</sup> In high- $T_c$  superconductors, however, electronic ( $\kappa_{el}$ ) and lattice ( $\kappa_{ph}$ ) components of the in-plane thermal conductivity are both substantial, which has prevented us from understanding the thermal transport of the charge carriers.<sup>9-13</sup>

In order to determine  $\kappa_{el}$ , we have attempted to estimate  $\kappa_{ph}$  for the metallic phase by the detailed investigation of  $\kappa_{ab}$  for the insulating phase. The present study indicates that at

high temperatures the  $T$  dependence of  $\kappa_{ab}$  should be attributed primarily to  $\kappa_{ph}$ , suggesting that  $\kappa_{el}$  shows a weak  $T$  dependence over the whole  $T$  region in the normal state and hence the Wiedemann-Franz (WF) law does not hold below  $T^*$  while it holds above  $T^*$ . Examining the  $\mathbf{q}$  dependence of the scattering process based on the failure of the WF law and differences between the spin-gap structures around  $\mathbf{q}=0$  and  $\mathbf{Q}$  indicated by NMR experiments, it is suggested that the charge carriers are scattered predominantly by the processes with small momentum change.

### II. EXPERIMENTS

Single crystals of  $\text{YBa}_2\text{Cu}_3\text{O}_{7-y}$  were grown by a self-flux method using a  $\text{Y}_2\text{O}_3$  crucible.<sup>17,18</sup> The oxygen content was controlled by annealing them at 600 °C for 12 h in a sealed quartz tube together with about 10 g of polycrystalline  $\text{YBa}_2\text{Cu}_3\text{O}_{7-y}$  and determined by the iodometric titration of these polycrystals. After annealing, the crystals were slowly cooled in order to reduce oxygen disorder in the chain site.<sup>1</sup> In order to make the analyses and discussions more convincing, we have also investigated the  $a$ - $b$  anisotropy of the thermal conduction for detwinned  $\text{YBa}_2\text{Cu}_3\text{O}_{6.68}$  and the in-plane thermal conductivity of  $\text{YBa}_2(\text{Cu}_{0.99}\text{Zn}_{0.01})_3\text{O}_{6.93}$ . The twin defects were removed by applying uniaxial stress ( $\sim 10^2$  kgf/cm<sup>2</sup>) at 450 °C for 40 h. The annealing condition mentioned above was crucial to avoid introducing twin defects into the twin-free crystals.<sup>2</sup> The observation by a polarized optical microscope and single-crystalline x-ray diffraction confirm that the detwinning was perfect. The Zn content was examined by electron-probe microanalysis (EPMA).

The thermal conductivity was measured by a steady-state method. The specimen was anchored on a copper heat sink and a 120  $\Omega$  strain gauge was placed as a heater with silver paste. The temperature gradient across the specimen  $\Delta T$  (0.3–0.5 K) was measured by a differential Chromel-

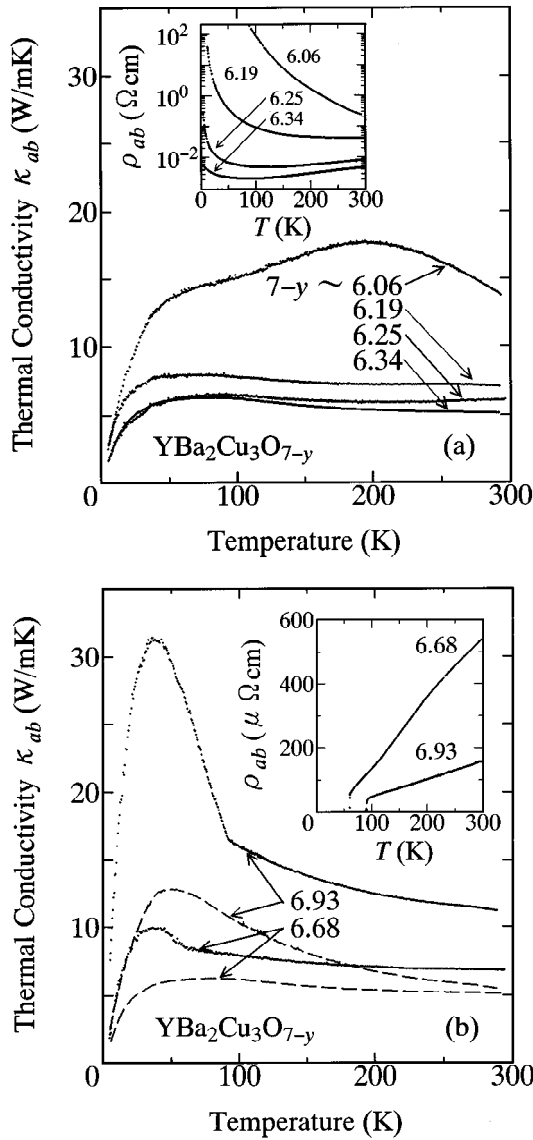


FIG. 1. In-plane thermal conductivity  $\kappa_{ab}$  of  $\text{YBa}_2\text{Cu}_3\text{O}_{7-y}$  plotted as a function of temperature for the insulating compounds ( $6.06 \leq 7-y \leq 6.34$ ) (a) and for the metallic compounds ( $7-y \sim 6.68$  and  $6.93$ ) (b). The dashed curve shown in (b) represents the estimated phonon contribution  $\kappa_{ph}$  described in the text. Insets show the in-plane resistivity  $\rho_{ab}$  measured on the same crystal.

Constantan thermocouple attached to the specimen using varnish.

The experimental results are shown in Fig. 1(a) for  $\kappa_{ab}$  of insulating  $\text{YBa}_2\text{Cu}_3\text{O}_{7-y}$  ( $6.06 \leq 7-y \leq 6.34$ ) and in Fig. 1(b) for  $\kappa_{ab}$  of metallic  $\text{YBa}_2\text{Cu}_3\text{O}_{7-y}$  ( $T_c \sim 63$  K and  $92$  K for  $7-y \sim 6.68$  and  $6.93$ , respectively). The results of  $\kappa_a$  and  $\kappa_b$  for twin-free  $\text{YBa}_2\text{Cu}_3\text{O}_{6.68}$  and  $\kappa_{ab}$  for  $\text{YBa}_2(\text{Cu}_{1-z}\text{Zn}_z)_3\text{O}_{6.93}$  ( $z = 0$  and  $\sim 0.01$ ,  $T_c \sim 80$  K) are displayed in Fig. 2 and Fig. 3, respectively. The major source of uncertainty in the thermal conductivity measurements is heat loss. The vacuum below  $10^{-6}$  torr and adopting a fine ( $25 \mu\text{m}$ ) Constantan wire as a lead reduce heat losses via residual gases and lead to below 1% of the measured value. Nevertheless, a strong  $T$  dependence ( $\propto T^3$ ) of heat loss via radiation still limits the accuracy of the measurement especially at high temperatures. In order to overcome this diffi-

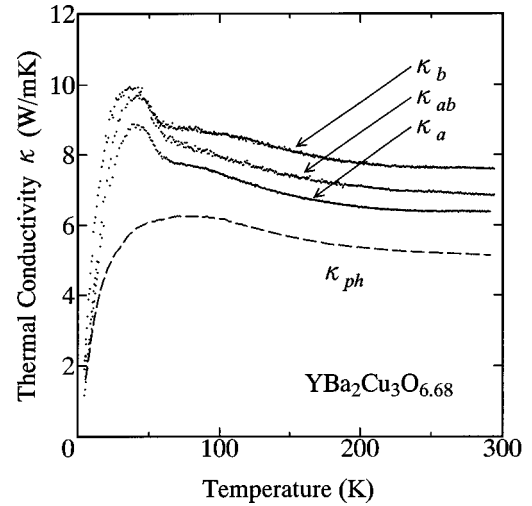


FIG. 2. Temperature dependence of in-plane thermal conductivity components  $\kappa_a$  and  $\kappa_b$  measured on a detwinned  $\text{YBa}_2\text{Cu}_3\text{O}_{6.68}$ . For a comparison,  $\kappa_{ab}$  and estimated  $\kappa_{ph}$  of  $\text{YBa}_2\text{Cu}_3\text{O}_{6.68}$  are also shown by a solid and a dashed curve, respectively. The  $a$ - $b$  anisotropy is attributable to the electronic components.

culty, we have used a thick single crystal with a large cross section (typically  $1 \times 1 \times 0.2 \text{ mm}^3$ ).<sup>19</sup> In the present work, the  $\rho_{ab}$  measurements confirm that the crystals used here have the same quality as the thinner one used for the previous resistivity measurements.<sup>1,20</sup> The insets in Fig. 1 show  $\rho_{ab}$  measured on the same crystal used for the  $\kappa_{ab}$  measurement. Our carefully designed experimental setup enables us to obtain highly reproducible and systematic thermal conductivity data.

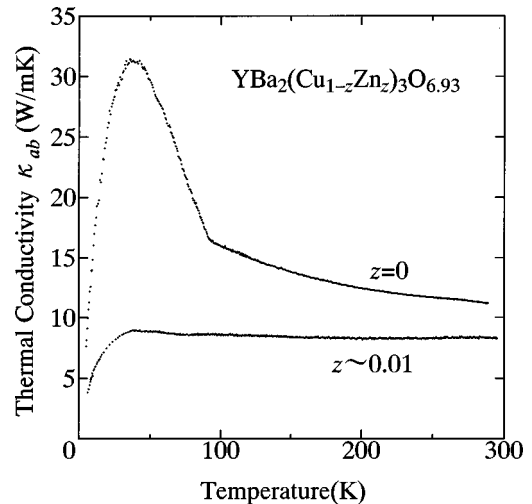


FIG. 3. Temperature dependence of  $\kappa_{ab}$  measured on  $\text{YBa}_2(\text{Cu}_{1-z}\text{Zn}_z)_3\text{O}_{6.93}$  for  $z = 0$  and  $\sim 0.01$  ( $T_c \sim 80$  K). The in-plane thermal conductivity is drastically suppressed by Zn substitution. In the normal state,  $T^{-1}$ -like behavior due to the phonon-phonon  $U$  process almost disappears. This can be interpreted as that the Zn ions disturb the phononic system of the  $\text{CuO}_2$  plane as a main heat channel. In the superconducting state, on the other hand, the abrupt peak observed for pure crystal almost disappears, contrary to  $L^* \sigma_1 T$  which shows a peak clearly below  $T_c$ . This suggests the existence of some process which contributes to  $W^{\text{el}}$  but not to  $\rho_{ab}$ .

### III. RESULTS

#### A. Insulating phase

In insulators, heat is carried by phonons and, in some cases, by magnons. Because  $\rho_{ab}$  measured in this work is at least  $5 \times 10^{-3} \Omega \text{ cm}$ , an electronic contribution is roughly estimated to be less than 2% of the measured value, assuming the WF law  $\kappa = L_0 \sigma T$  [ $L_0$  is the Lorenz number of a degenerate Fermi gas,  $(\pi^2/3)(k_B/e)^2 \approx 2.45 \times 10^{-8} \text{ W} \Omega / \text{K}^2$ ]. Therefore, we can ignore the electronic contribution for the present oxygen-content range.

The most notable feature of  $\kappa_{ab}$  is the two structures for the sample with the lowest oxygen content ( $7-y \sim 6.06$ ): a shoulder at  $\sim 80 \text{ K}$  and a broad peak at  $\sim 200 \text{ K}$ . The thermal conductivity of an ordinary insulating crystal is characterized by a  $T^3$  rise at low temperatures dominated by boundary scattering which crosses over to a  $T^{-1}$  tail at high temperatures due to a phonon-phonon umklapp( $U$ ) process.<sup>14</sup> In the present case, the peak at  $\sim 200 \text{ K}$  is hardly explained within the framework of the conventional lattice thermal conductivity. The origin of this ‘‘double-peak’’ structure is still in dispute as is discussed later (Sec. IV A). However, it is more essential that this structure is not remarkable except in the neighborhood of  $7-y = 6$ . This structure is rapidly suppressed by oxygenation and for the partially oxygenated compounds  $\kappa_{ab}$  is characterized solely by the broad peak at  $\sim 80 \text{ K}$  which is a typical lattice thermal conductivity of an ordinary insulator. Therefore, the shoulder at  $\sim 80 \text{ K}$  for  $\sim 6.06$  should be considered due to the same origin of the broad peak for the partially oxygenated compounds—the crossover from a  $T^3$  rise to a  $T^{-1}$  tail. The effect of the double-peak structure appears to be notable only for the higher- $T$  region. In this sense, we may argue the  $y$  dependence of  $\kappa_{\text{ph}}$  based on the lower-temperature part of  $\kappa_{ab}$ . For the range 6.19–6.34, we measured  $\kappa_{ab}$  on the *same* crystal with various oxygen contents, which enables us to make systematic and quantitative discussions on the  $y$  dependence of  $\kappa_{ab(\text{ph})}$ .

The decrease of  $\kappa_{ab(\text{ph})}$  with oxygenation is probably due to the increase of phonon-defect scatterings by the randomness in the chain layer. However, the present result shows that the drastic suppression by oxygen introduction is remarkable only in the neighborhood of  $7-y = 6$  and further oxygenation does not change  $\kappa_{ab}$  so strongly. In fact,  $\kappa_{ab}$  is almost the same for  $\sim 6.25$  and  $6.34$  except the slight difference near room temperature probably due to the remnants of the double-peak structure. This suggests that for the intermediate compositions the phononic state as a heat channel is the same regardless of oxygen content. In addition, it is noteworthy that  $T^{-1}$ -like behavior is clearly observed for  $\sim 6.34$  where the chain layers are considerably disordered. These features can be explained consistently by the picture that heat flows mainly through nearly perfect other layers and hence the randomness in the chain layers has rather indirect effects on the in-plane  $\kappa_{\text{ph}}$ .

A minor role of the chain layer as a heat channel is supported by the result that the  $a$ - $b$  anisotropy of the in-plane thermal conductivity measured on a twin-free crystal arises from the electronic contribution (Fig. 2 for the 60-K material and Ref. 8 for the 90-K material). The difference between

$\kappa_a$  and  $\kappa_b$  can roughly be scaled with the difference between  $\rho_a$  and  $\rho_b$ .<sup>21</sup> The in-plane phononic components should be isotropic.

Impurity effect on  $\kappa_{ab}$  suggests that a main heat channel is  $\text{CuO}_2$  plane. Zinc substitution suppresses  $\kappa_{ab}$  so drastically that in the normal state  $T^{-1}$ -like behavior, due to a phonon-phonon  $U$  process, almost disappears (Fig. 3). The NMR study indicates that the Zn ions are substituted selectively for the planar  $\text{Cu}(2)$ ,<sup>22</sup> and hence this result can be understood by the fact that the Zn substitution affects the phononic system of the  $\text{CuO}_2$  plane as a main heat channel, which is consistent with the fact that  $\kappa_{ab}$  is drastically suppressed by a slight oxygenation for the nearly stoichiometric region.

#### B. Metallic phase

For the 90-K material,  $\kappa_{ab}$  is characterized by a pronounced peak below  $T_c$  and a clear  $T^{-1}$ -like tail in the normal state. For the 60-K material, the overall feature is not affected by the oxygen reduction, though its magnitude and  $T$  dependence are suppressed compared with the 90-K material. The weak  $T$  dependence of  $\kappa_{ab}$  in the normal state reported previously is probably due to the radiation heat loss and/or less integrity of the specimens.

First, we examine  $\kappa_{ab}$  in the normal state. The result of  $\kappa_{ab}$  for the insulating phase provides us with the following picture of  $\kappa_{\text{ph}}$  at high temperatures:  $\kappa_{\text{ph}}$  can be described as  $\kappa_{\text{ph}} = (W_0^{\text{ph}} + \alpha T)^{-1}$ . Here  $W_0^{\text{ph}}$  and  $\alpha T$  are phonon thermal resistivities due to the phonon-defect and phonon-phonon processes, respectively. The  $y$  dependence of  $\kappa_{\text{ph}}$  is dominated by the randomness in the chain layers. For the intermediate compositions  $\kappa_{\text{ph}}$  is not sensitive to the oxygen content and can be commonly characterized by the  $T^{-1}$ -like tail. These features indicate that  $T^{-1}$ -like behavior of  $\kappa_{ab}$  for the two metallic samples should be attributed to not electronic but *phononic* origin. If we roughly estimate the electronic contribution assuming the WF law, the decrease of  $\kappa_{ab}$  for the 60-K material is hardly explained by increase of  $\rho_{ab}$  alone<sup>20,23</sup> and hence the increase of  $W_0^{\text{ph}}$  by introducing the randomness into the chain layers should be taken into account.

Turning to the superconducting state, the main subject is whether the pronounced peak below  $T_c$  is electronic or phononic in origin.<sup>24</sup> The present result supports the former because the peak for the 90-K material is larger than  $\kappa_{ab}$  for the  $\text{O}_{6.06}$  crystal. The phonon mean free path should be considered originally suppressed by some scatterers other than charge carriers, probably by slight nonstoichiometry (randomness in the chain layer). Some researchers claim the phononic origin based on the result that  $\kappa_{ab}$  of the crystal reduced further than  $\sim 6.06$  is larger than that of the 90-K material. However, the 90-K material ( $\sim 6.93$ ) contains the randomness in the chain layers. In the neighborhood of the stoichiometric composition  $\kappa_{ab}$  is considered to be drastically suppressed by the randomness in the chain layers and hence  $\kappa_{ab}$  of the 90-K material should be compared with the  $\text{O}_{6.06}$  crystal rather than the stoichiometric  $\text{YBa}_2\text{Cu}_3\text{O}_6$  because of the similar structural integrity.

#### IV. ANALYSES

##### A. Origin of the double-peak structure

We examine two candidates for the origin of this anomalous structure. One is heat conduction by the spin waves in the  $\text{CuO}_2$  plane.<sup>25</sup> The contribution of magnon ( $\kappa_{\text{mg}}$ ) is suggested by the following facts: (1) The peak temperature is comparable to the Néel temperature of the material. (2) A similar broad peak at  $\sim 200$  K is observed for  $\text{PrBa}_2\text{Cu}_3\text{O}_{7-y}$  (Ref. 26) in which few holes are doped into the  $\text{CuO}_2$  plane for any oxygen content and the planes remain to be a charge-transfer (CT) insulator.<sup>27,28</sup> (3) The drastic suppression of this peak by oxygen introduction or by a small concentration ( $< 2.5$  at. %) of Zn and Ni impurities<sup>12</sup> is consistent with the fact that the spin-correlation length in the plane decreases rapidly with hole doping into the planes or by substituting Cu with these impurities, respectively.<sup>29</sup>

As an alternative interpretation of this double-peak structure, the anomalous phonon damping mechanism due to tilt distortion of the  $\text{CuO}$  polyhedra is proposed.<sup>30</sup> Cohn *et al.* claimed that this interpretation has the following advantages over the magnetic origin: (1) weak magnetic field dependence and (2) absence of this structure in  $\kappa_{ab}$  for the  $T'$  compound  $\text{Pr}_2\text{CuO}_4$ ,<sup>26,31</sup> which has no apical oxygen. However, these facts might be explained also by spin-wave contribution as follows: (1) The magnetic coupling is much stronger than the effect of an ordinary magnetic field. (2) In the case of  $\text{Pr}_2\text{CuO}_4$ ,  $\kappa_{ab}$  is larger than that of  $\text{La}_2\text{CuO}_4$  or  $\text{YBa}_2\text{Cu}_3\text{O}_6$  probably because of a larger contribution of the lattice component due to better stoichiometry. Assuming  $\kappa_{\text{mg}}$  is nearly the same between  $\text{Pr}_2\text{CuO}_4$  and  $\text{YBa}_2\text{Cu}_3\text{O}_6$ ,  $\kappa_{\text{ph}}$  in  $\text{Pr}_2\text{CuO}_4$  is about twice as large as that in  $\text{YBa}_2\text{Cu}_3\text{O}_6$ .

##### B. Separation of electronic and lattice contributions

The present experimental result indicates that the  $T$  dependence of  $\kappa_{ab}$  should be attributed primarily to  $\kappa_{\text{ph}}$ . The subject in this section is to examine the magnitude and  $T$  dependence of the electronic contribution  $\kappa_{\text{el}}$ . It is plausible to discuss  $\kappa_{\text{el}}$  within the Boltzmann transport theory. It limits  $\kappa_{\text{el}} \leq L_0 \sigma T$ , where the equal sign represents the WF law.<sup>14</sup> We examine whether the estimation of  $\kappa_{\text{el}}$  assuming the WF law is consistent or not with the speculation of  $\kappa_{\text{ph}}$  deduced from the  $y$  dependence of  $\kappa_{ab}$  for the insulating phase.

The WF law appears hold where  $T$  linearity of  $\rho_{ab}$  is observed because it yields a  $T$ -independent  $\kappa_{\text{el}}$  and hence is self-consistent with the above conclusion that the  $T$  dependence of  $\kappa_{ab}$  is dominated by  $\kappa_{\text{ph}}$ . For the 90-K material,  $\kappa_{\text{el}}$  is estimated to be more than 40% of  $\kappa_{ab}$  from  $L_0 T / \rho_{ab}$ . Therefore, if  $\kappa_{\text{el}}$  would show a remarkable  $T$  dependence, the  $T$  dependence of  $\kappa_{ab}$  would be affected also by the electronic contribution.

The central concern is if the enhancement of  $\sigma_{ab}$  below  $T^*$  leads to a similar enhancement of  $\kappa_{\text{el}}$  for the 60-K material. The present study is, if anything, negative to this, suggesting violation of the WF law below  $T^*$ .  $\kappa_{\text{el}}$  appears to be almost  $T$  independent or, at least, to show a weaker  $T$  dependence than that estimated from the enhancement of  $\sigma_{ab}$  assuming the WF law.

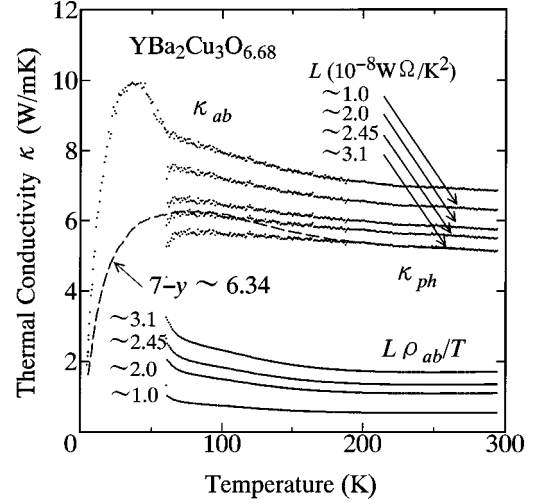


FIG. 4. The measured  $\kappa_{ab}$  and the estimated  $\kappa_{\text{el}}$  and  $\kappa_{\text{ph}}$  assuming the WF law with various values of Lorenz number for  $\text{YBa}_2\text{Cu}_3\text{O}_{6.68}$ . For comparison,  $\kappa_{ab}$  for  $\sim 6.34$  is also shown by a dashed curve. For the reasonable values of  $L$ ,  $\kappa_{\text{ph}}$  is  $T$  independent or decreases with temperature decreases, which is contradictory to the prediction based on the results of  $\kappa_{ab}$  for the insulating phase. The  $T$  dependence of  $\kappa_{ab}$  is primarily attributed to  $\kappa_{\text{ph}}$ .

If we estimate  $\kappa_{\text{el}}$  to be  $L_e T / \rho_{ab}$ ,  $\kappa_{\text{ph}} (= \kappa_{ab} - \kappa_{\text{el}})$  is  $T$  independent or decreases with decreasing temperature unless we adopt the substantially small value of  $L_e$  compared with the Sommerfeld value  $L_0$  and/or that measured on various metals<sup>32</sup> (Fig. 4). This behavior is usually observed for the heavily disordered phononic systems like amorphous solids or disordered alloys, where the phonon mean free path is so short that it is not sensitive to additional scattering processes.<sup>14</sup> This is contrary to the present experiment, suggesting that the phononic system of a main heat channel is rather indirectly affected by the randomness in the chain layers and hence  $\kappa_{\text{ph}}$  shows persistently  $T^{-1}$ -like behavior. For the intermediate compositions  $\kappa_{\text{ph}}$  is almost  $y$  independent and hence we may regard  $\kappa_{ab}$  for  $\sim 6.34$  as the ‘‘common’’  $\kappa_{\text{ph}}$  for the partially oxygenated compounds with oxygen content ranging from  $\sim 6.25$  to  $\sim 6.68$  (the 60-K material). The above estimated  $\kappa_{\text{ph}}$  is rather suppressed compared with  $\kappa_{ab}$  of  $\sim 6.34$  [the dashed curve in Figs. 1(b) and 4].

Assuming  $\kappa_{ab}$  for  $\sim 6.34$  to be equal to  $\kappa_{\text{ph}}$  for the 60-K material,  $\kappa_{\text{el}}$  can be determined as a difference between the measured  $\kappa_{ab}$  and the above-estimated  $\kappa_{\text{ph}}$  (Fig. 5). It follows that in the normal state  $\kappa_{\text{el}}$  is almost  $T$  independent, in contrast to the dashed curve  $L^* T / \rho_{ab}$  ( $L^*$  is chosen to coincide with  $\kappa_{\text{el}}$  at 300 K,  $\sim 3.1 \times 10^{-8} \text{ W } \Omega / \text{K}^2$ ). The difference is more clearly envisaged in terms of the Lorenz number  $L_e = \kappa_{\text{el}} \rho_{ab} / T$  (Fig. 6). One can rule out the contribution of the  $\text{CuO}$  chains to  $\kappa_{ab}$  by observing the difference in  $\kappa_a$  and  $\rho_a$  measured on the twin-free  $\text{YBa}_2\text{Cu}_3\text{O}_{6.68}$ . This indicates that this feature is inherent to the  $\text{CuO}_2$  plane.

If  $\kappa_{\text{ph}}$  of the 60-K material is substantially suppressed compared with  $\kappa_{ab}$  of  $\sim 6.34$ , it probably originates from the electron (charge carrier)-phonon interaction. However, this effect is considered to make only a minor contribution in this system by the following reason. The phonon thermal resistivity due to electron scattering,  $W_{\text{el}}^{\text{ph}}$ , is generally re-

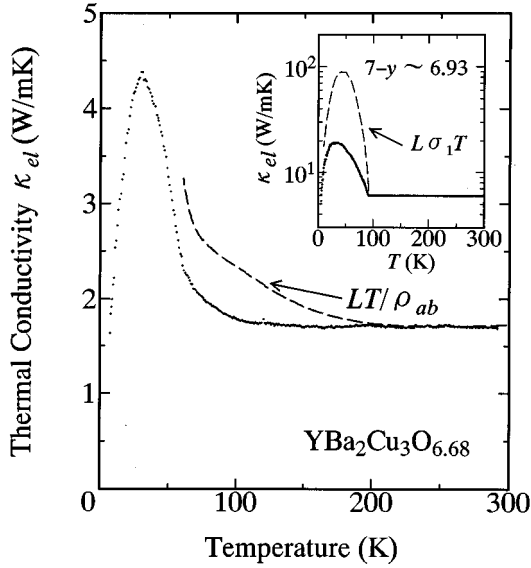


FIG. 5. Temperature dependence of the estimated electronic component of the in-plane thermal conductivity,  $\kappa_{el}$ , for the 60-K material. The details of the estimation are described in the text. The dashed curve shows  $L^*T/\rho_{ab}$  ( $L^*$  is chosen to coincide with  $\kappa_{el}$  at 300 K,  $\sim 3.1 \times 10^{-8} \text{ W } \Omega/\text{K}^2$ ). The inset shows  $\kappa_{el}$  (solid curve) and  $L^*\sigma_1 T$  (dashed curve) for the 90-K material.  $\sigma_1$  is taken from Ref. 34.

lated to the electrical resistivity due to phonon scattering,  $\rho_{ph}^{el}$ . In the conventional picture this relation is expressed as

$$W_{el}^{ph} = \frac{\rho_{ph}^{el}}{L_0 T} \frac{\pi n_a^2}{3} \quad (1)$$

at high temperatures ( $n_a$  is the number of electron per unit cell).<sup>14</sup> Previous studies indicate that the electron-phonon interaction plays only a minor role on the electrical resistivity,<sup>1,33</sup> and hence  $W_{el}^{ph}$  can be ignored in the present case.

The phonon-magnon (spin fluctuation) interaction may also have some contribution to  $W^{ph}$ . However, because

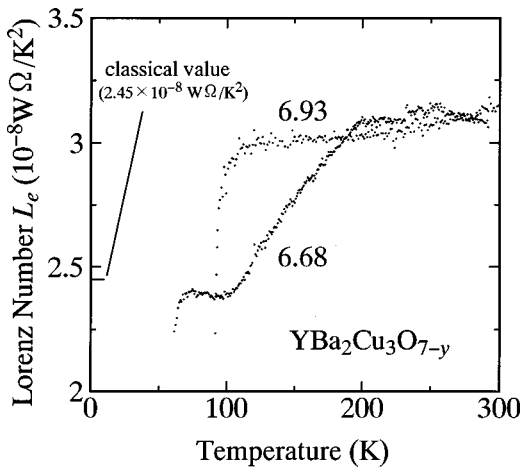


FIG. 6. Temperature dependence of the Lorenz number  $L_e = \kappa_{el}/\sigma_{ab} T$  estimated for  $\text{YBa}_2\text{Cu}_3\text{O}_{7-y}$  in the metallic phase. For the 60-K material,  $L_e$  is  $T$  dependent below  $T^*$  while it is  $T$  independent above  $T^*$ , suggesting the failure of the WF law below  $T^*$ .

boson-boson scattering hardly persists at low temperatures, a phonon-magnon coupling, if any, cannot contribute to  $W^{ph}$ . At high temperatures, if the spin scattering is dominant,  $\kappa_{ph}$  will increase monotonically with increasing oxygen content because the magnetic fluctuation or the magnetic order is destroyed by hole doping. Therefore, the phonon-magnon interaction seems to make only a minor contribution to  $W^{ph}$  because the  $y$  dependence of  $\kappa_{ph}$  is controlled by the randomness in the chain layers.

The effect of the anomalous double peak may still remain persistently at near room temperature for  $\sim 6.34$ . However, this effect tends to weaken the  $T^{-1}$ -like behavior and hence  $\kappa_{ph}$  for the 60-K material shows more clearly the  $T^{-1}$ -like behavior than  $\kappa_{ab}$  for  $\sim 6.34$ , which leads to a strengthening of the violation of the WF law.

Next, we make a rough estimation of  $\kappa_{el}$  at the superconducting state. It appears that the WF law holds over the whole  $T$  region as far as  $\rho_{ab}$  shows  $T$  linearity. Then, for the 90-K material we estimate  $\kappa_{el}$  and  $\kappa_{ph}$  in the normal state to be  $L^*T/\rho_{ab} = 6.0 \text{ W/mK}$  and  $\kappa_{ab} - \kappa_{el} = (W_0^{ph} + \alpha T)^{-1}$ , respectively. A least-squares fit yields  $\alpha = 5.50 \times 10^{-4} \text{ m/W}$  and  $W_0^{ph} = 4.48 \times 10^{-2} \text{ mK/W}$ . The estimated  $\kappa_{ph}$  at high temperatures is smoothly connected to  $\kappa_{ab}$  of the  $\text{O}_{6.06}$  crystal which is considered to represent  $\kappa_{ph}$  of the 90-K material at the low- $T$  region.  $\kappa_{el}$  in the superconducting state is determined as a difference between  $\kappa_{ab}$  and this  $\kappa_{ph}$ . Thus estimated  $\kappa_{ph}$ ,  $\kappa_{el}$ , and  $L_e$  are shown in Fig. 1(b) (dashed curve), the inset of Fig. 5, and Fig. 6, respectively. Then, we can make a parallel analysis on the charge (quasiparticle) transport in the superconducting state by formally comparing  $\kappa_{el}$  with the real part of the microwave conductivity,  $\sigma_1(T)$ . Reflecting the enhancement of the relaxation time and a rapid decrease in the quasiparticle density,  $\sigma_1$  shows a pronounced peak below  $T_c$ , which reaches a value more than 20 times the normal-state value.<sup>34</sup> It turns out that the estimated  $\kappa_{el}$  is smaller than  $L^*\sigma_1 T$  below  $T_c$ , as in the case of  $\kappa_{el}$  in the normal state below  $T^*$  for the underdoped compounds (Inset of Fig. 5). Thus, a common mechanism might be working which violates the WF law when either superconducting or spin gap opens.

We cannot completely exclude other possibilities of interpreting the experimental results. (1) Ignoring  $W_{el}^{ph}$  may be an oversimplification even if the electron-phonon interaction makes only a minor contribution to the carrier scattering. Therefore, the present interpretation might be altered according to a more precise estimation of  $W_{el}^{ph}$ : The WF law may hold also below  $T^*$ . In any case, it seems to be sure that the WF law holds at least above  $T^*$  or where  $\rho_{ab}$  shows the  $T$ -linear behavior. (2) A question arises whether  $L_e$  is much smaller than the Sommerfeld value  $L_0$ . For example, the Lorenz number of the nondegenerate Bose gas with charge  $2e$  is  $\sim \frac{1}{4} L_0$  (Ref. 35) (Sec. V). However, the present result is negative to this picture. Considering the possibility of the electron-phonon interaction and/or remnants of the double-peak structure,  $\kappa_{ab}$  for  $\sim 6.34$  does not appear to underestimate  $\kappa_{ph}$  for the 60-K material. Therefore,  $L_e$  hardly becomes small comparable to  $1/4 L_0$ , for example.

## V. DISCUSSION

Based on the correlation between  $T^*$  and the onset of a spin gap, we speculated that the in-plane charge transport is

dominated by the spin excitations and the enhancement of  $\sigma_{ab}$  below  $T^*$  is due to the decrease in the density of the spin excitations upon opening the spin gap.<sup>1</sup> If the spin gap has a *different* effect on the thermal and electrical conduction, as is suggested by the present result that  $\kappa_{el}$  appears to show a weak  $T$  dependence also below  $T^*$ , it might be necessary to take into account different scattering processes between electrical and thermal conduction.

The results of NMR experiments show that the Knight shift  $\Delta K$ , proportional to the uniform susceptibility  $\chi(\mathbf{q}=0)$ , is suppressed below  $T_K$  which is higher than the temperature  $T_R$  at which the nuclear relaxation  $(T_1 T)^{-1}$ , proportional to the staggered susceptibility  $\chi(\mathbf{q}=\mathbf{Q})$  with  $\mathbf{Q}=(\pi, \pi)$  (antiferromagnetic wave number), shows a peak.<sup>36</sup> The characteristic temperature  $T^*$  in the charge transport is rather close to  $T_K$ ,<sup>2,37,38</sup> and so the electrical conduction seems to be dominated by the process with small momentum change.

The intimate relation between  $\sigma_{ab}$  and  $\chi(0)$  leads to the following picture of the in-plane charge transport. Above  $T^*$  ( $\sim T_K$ ) both  $\sigma_{ab}$  and  $\kappa_{el}$  are dominated by spin excitations with small momentum and so the WF law holds. On the other hand, below  $T^*$ , where the spin excitations around  $\mathbf{q}=0$  are suppressed, excitations with larger momentum, for example,  $\mathbf{q}\sim\mathbf{Q}$ , become dominant, which incidentally coincides with the wave number of the nodes in a  $d_{x^2-y^2}$ -like spin gap.<sup>39</sup> In this case, the present experimental result can be explained if the process with a large momentum change contributes to the thermal resistance ( $W^{el}$ ) but not to the electrical resistance ( $\rho_{ab}$ ).

Contrary to this, if the WF law does hold also below  $T^*$ , it indicates that the spin gap has the *same* effect on the thermal and electrical conduction. One possible explanation is that the relaxation process depends only upon the frequency of the scattering.

However,  $\mathbf{q}$  dependence of scattering process, especially the connection between  $\sigma_{ab}$  and  $\chi(0)$ , is suggested also by studies of Zn-substitution effects on charge and spin excitation. A recent study<sup>20</sup> indicates that Zn substitution does not affect the  $T$ -dependent part of  $\rho_{ab}$  but only increases the  $T$ -independent residual resistivity. The NMR (Refs. 22 and 40) and neutron scattering<sup>41,42</sup> studies of the Zn-substitution effect indicate that the spin gap feature is sensitive to Zn around  $\mathbf{q}=\mathbf{Q}$ , while it is not around  $\mathbf{q}=0$ .

If the scattering process below  $T^*$  which contributes only to the thermal conductivity originates from spin excitations around  $\mathbf{q}=\mathbf{Q}$ , Zn substitution, which fills up the spin gap around  $\mathbf{q}=\mathbf{Q}$ , suppresses  $\kappa_{el}$  below  $T^*$  (or  $T_c$ ) further than the estimation taking into account only the elastic scattering by Zn ions. The above picture is not contradictory to the present experimental result. In Fig. 3,  $L^*\sigma T$ , which is estimated using  $\rho_{ab}$  and  $\sigma_1$  for Zn-substituted  $\text{YBa}_2\text{Cu}_3\text{O}_7$  taken from Ref. 20 and Ref. 43, respectively, is also shown. Below  $T_c$  ( $\sim 80$  K)  $L^*\sigma T$  exhibits a peak clearly while the measured  $\kappa_{ab}$  shows little change at  $T_c$ , suggesting the existence of some process which contributes to  $W^{el}$  but not to  $\rho_{ab}$ .

The mechanism of the charge transport related to  $\chi(0)$  appears to be hardly explained within the framework of the Fermi-liquid picture. Some theorists propose non-Fermi-

liquid models as an alternative. One of them is that based on the uniform resonating valence bond (RVB) state,<sup>44</sup> where one electron dissociates into a spinon-holon pair in the  $\text{CuO}_2$  plane and they are coupled through a gauge field. In this context, the in-plane electrical current is carried by holons which are scattered by the long-wavelength (small-momentum) fluctuation of the gauge field. This mechanism yields  $T$ -linear resistivity. These fluctuations are suppressed by the spinon condensation (singlets) and hence the resistivity is reduced in the spin gap region. On the other hand, the in-plane thermal current is carried by spinons in this model, so that the WF law is not necessarily to be obeyed.

The bipolaron model is proposed as another non-Fermi-liquid model of high- $T_c$  superconductors.<sup>35</sup> In this model, the current is carried by charged spin bipolarons, which form a condensed Bose gas below  $T_c$  and a nondegenerate gas above it. Extended (free) bipolarons whose density is proportional to  $T$  are scattered by localized bipolarons. This relaxation rate is proportional to  $T^2$  because only localized bosons within the energy shell of  $k_B T$  near the mobility edge contribute to the scattering and the number of the final state is proportional to  $T$ , so that the in-plane resistivity is expected to be linear in  $T$ . The magnetic properties are dominated by a singlet-triplet exchange of bipolarons: Below the characteristic temperature  $T^*$  which coincides with  $T_K$ , the triplet bipolarons turn into the singlet one, and so the spin degree of freedom is frozen. If singlets are lighter than triplets, this model can explain also the deviation from the  $T$ -linear behavior of the in-plane resistivity below  $T^*$ . However, in this scenario the failure of the WF law below  $T^*$  cannot be explained because the scattering mechanism itself is not altered at  $T^*$ . In addition, this model treats the carriers as a nondegenerate Bose gas with charge  $2e$  and hence predicts that the Lorenz number is  $3(k_B/2e)^2 \approx 1/4L_0$ , which is not favored by this study.

The superconducting and spin gaps have the same influence on the charge transport in the sense that they radically change the Lorenz number. However, for the 60-K material, where  $T^*$  does not coincide with  $T_c$ , the difference between the effects of the superconducting gap and that of the spin gap becomes apparent:  $\kappa_{el}$  appears to show a peak below  $T_c$  while it does not show an appreciable change at  $T^*$ . A surface resistance study by Kitano *et al.* suggests that  $\sigma_1$  for the 60-K  $\text{YBa}_2\text{Cu}_3\text{O}_{6.7}$  material also shows a peak below  $T_c$  (Ref. 45) as well as  $\rho_{ab}$  deviates from the  $T$ -linear behavior below  $T^*$ . In both cases, the peaks below  $T_c$  for the 60-K material are suppressed compared with that for the 90-K material. These differences originate probably from the differences between quasiparticles and normal carriers and/or superconducting and spin gaps.

## VI. SUMMARY

We have proposed and examined a tentative approach to determine the electronic contribution to the in-plane thermal conductivity of metallic  $\text{YBa}_2\text{Cu}_3\text{O}_{7-y}$  using  $\kappa_{ab}$  data measured on single crystals with various oxygen contents in the range  $6.06 \leq 7-y \leq 6.93$ . The present results suggest that the WF law does not hold below  $T_c$  or the characteristic temperature  $T^*$  which is close to the spin gap temperature at  $\mathbf{q}=0$ . Different  $T$  dependence between thermal and electrical

relaxation indicates that the charge carriers are scattered dominantly by the spin excitations with small momentum.

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- \*Present address: Department of Physics, Nagoya University, Furocho, Chikusa-ku, Nagoya 464-01, Japan. Electronic address: k46291a@nucc.cc.nagoya-u.ac.jp
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$$\frac{\dot{Q}_{\text{rad}}}{\dot{Q}_{\text{spec}}} = \frac{4\sigma T^3}{\kappa} \frac{S_{\text{cross}} + S_{\text{side}}}{S_{\text{cross}}} l < 2\%.$$
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Here,  $\sigma$  is the Stefan-Boltzman constant, equal to  $5.67 \times 10^{-12}$  W/cm<sup>2</sup> K<sup>4</sup>,  $\dot{Q}_{\text{rad(spec)}}$  is the heat flow rate through radiation (specimen),  $S_{\text{cross(side)}}$  is the cross-section (side) area of the specimen, and  $l$  is the length of the specimen [I. Yoshida (unpublished)]. In order to reduce the contact resistance, we used silver paste as contacts.

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