

## Site-selective spectroscopy of $\text{Tm}^{3+}$ centers in $\text{CaF}_2:\text{Tm}^{3+}$

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By polarized laser selective excitation, two single  $\text{Tm}^{3+}$  ion centers of tetragonal ( $C_{4v}$ ) and trigonal ( $C_{3v}$ ) symmetry have been identified in  $\text{CaF}_2:0.05\%\text{Tm}^{3+}$ . Both of these centers exhibit blue, violet, and ultraviolet upconversion fluorescence under red-dye laser excitation. Crystal-field analyses of their assigned energy levels and fluorescence lifetime data are presented. By Zeeman infrared-absorption spectroscopy, a further  $\text{Tm}^{3+}$  center of cubic symmetry is identified. Measurement of the line strength of its single infrared-absorption line provides a direct method of determining relative  $\text{Tm}^{3+}$  center concentrations. With deuteration treatment, two families of  $D^-$  centers derived from the  $C_{4v}$  and  $C_{3v}$  centers appear. Energy levels for four of the  $D^-$ -modified  $C_{4v}$  centers are reported. [S0163-1829(97)00841-2]

### I. INTRODUCTION

We report the results of a laser selective excitation (LSE) study of  $\text{CaF}_2:0.05\%\text{Tm}^{3+}$ . At  $\text{Tm}^{3+}$  concentrations of 0.05% or less, single  $\text{Tm}^{3+}$  ion centers are the major centers present. From the polarized LSE spectra, we identify a principal center of  $C_{4v}$  symmetry and a center of  $C_{3v}$  symmetry. A further  $\text{Tm}^{3+}$  center of cubic symmetry is identified through Zeeman studies of its single infrared-absorption line.

In the cubic  $\text{CaF}_2$  crystal lattice, lower than cubic-symmetry rare-earth centers are formed by charge compensation of the trivalent rare-earth ion by nearby interstitial  $\text{F}^-$  ions. As such centers can be oriented along equivalent crystal axes, no net polarization dependence will appear in their absorption spectra. However, previous studies of rare-earth-doped  $\text{CaF}_2$  have shown that a polarization dependence can be detected by LSE.<sup>1-4</sup> This is because differently oriented centers are preferentially excited with a polarized laser beam to produce polarized emission. This polarization dependence is used here to determine the  $\text{Tm}^{3+}$  point symmetries for two  $\text{Tm}^{3+}$  centers studied by LSE and to assign irreducible representation labels for the crystal-field levels of the  $C_{4v}$  center.

Both of these centers produced upconversion fluorescence when pumped at laser frequencies corresponding to excited-state absorption (ESA) transitions. Two sets of ESA transitions,  ${}^3F_4 \rightarrow {}^1G_4$  and  ${}^3H_4 \rightarrow {}^1D_2$ , pumped in the 650 nm region, produced blue, violet, and ultraviolet upconversion fluorescence. A preliminary report of the upconversion fluorescence for the  $C_{4v}$  center has been given in an earlier brief account.<sup>5</sup>

Because a  $\text{Tm}^{3+}$  cubic-symmetry center is centrosymmetric, electric-dipole transitions between  $4f^{12}$  electronic states are strictly forbidden, and only magnetic-dipole transitions can be observed. For absorption transitions from the  ${}^3H_6$  ground multiplet, the magnetic-dipole selection rules of  $\Delta L = \Delta S = 0$ ,  $\Delta J = 0, \pm 1$  allow magnetic-dipole transitions only to the  ${}^3H_5$  multiplet. One strong transition in the absorption spectrum of the  ${}^3H_5$  multiplet region has been assigned to a  $\text{Tm}^{3+}$  cubic center on the basis of its splitting pattern in applied magnetic fields.

### II. EXPERIMENTAL TECHNIQUES

The  $\text{CaF}_2$  crystals containing 0.01–0.05%  $\text{Tm}^{3+}$  were grown by the Bridgeman-Stockbarger method in graphite crucibles in a 38 kW Arthur D. Little rf induction furnace. Starting materials were  $\text{CaF}_2$  crystal offcuts purchased from Optovac Inc. and 99.9% pure  $\text{TmF}_3$  purchased from Alpha Inorganics Inc. Crystal boules were grown in a vacuum of  $10^{-5}$  torr at a lowering rate of  $8\text{ mm h}^{-1}$  and oriented by reference to intersecting  $\langle 111 \rangle$  cleavage planes. Deuteride ( $D^-$ ) ions could be diffused into the crystals by heating the crystal samples to  $850^\circ\text{C}$  in  $\frac{2}{3}$  atmosphere of deuterium gas and in contact with molten aluminum for periods of up to 40 h.

Optical absorption spectra were recorded photoelectrically with a Spex 1701 0.75 m monochromator using EMI 9558 or 6255 photomultiplier tubes and processed by a voltage-to-frequency converter. The radiation source was a voltage-stabilized 100 W tungsten-halogen lamp. Infrared-absorption spectra over the range  $4000\text{--}10\,000\text{ cm}^{-1}$  were recorded on a BioRad FTS-40 Fourier transform spectrometer at  $0.25\text{ cm}^{-1}$  resolution using a tungsten-halogen source, quartz beam splitter, and indium-antimonide detector.

The laser excitation experiments were performed using either a Spectra-Physics 375 cw dye laser pumped by a Spectra-Physics 2045E argon-ion laser or a Coherent 899 cw Ti:sapphire laser pumped by a Coherent I-90 argon-ion laser. The resulting fluorescence was analyzed by a Spex 1403 monochromator equipped with a RCA C31034 photomultiplier and using photon-counting techniques. Fluorescence lifetimes were measured using a PRA LN107 dye laser pumped by a PRA LN1000 nitrogen laser. The fluorescence signal was collected by the Spex 1701 monochromator, equipped with an EMI 9558 photomultiplier tube, and recorded on a digital storage oscilloscope.

Rhodamine 640 and coumarin 460 laser dyes were used to pump the  ${}^3F_3$ ,  ${}^3F_2$ , and  ${}^1G_4$  multiplets of  $\text{Tm}^{3+}$ . The rhodamine 640 laser dye was also used to obtain upconversion-fluorescence by pumping  ${}^3F_4 \rightarrow {}^1G_4$  and  ${}^3H_4 \rightarrow {}^1D_2$  excited-state absorption transitions. The lifetime of the  ${}^1D_2$  multiplet was obtained by using both lasers simultaneously, with the cw laser pumping  ${}^3H_6 \rightarrow {}^3F_3$  absorp-

TABLE I. Energies (in  $\text{cm}^{-1}$ ) and wave function composition of the intermediate coupled multiplets of  $\text{Tm}^{3+}$ , as derived from the  $C_{4v}$  center data.

Multiplet	Barycenter	Intermediate-coupled wave function
${}^3H_6(Z)$	400	$0.9957 {}^3H_6\rangle + 0.0921 {}^1I_6\rangle$
${}^3F_4(Y)$	6000	$0.7954 {}^3F_4\rangle + 0.5374 {}^1G_4\rangle - 0.2804 {}^3H_4\rangle$
${}^3H_5(X)$	8600	$1.0000 {}^3H_5\rangle$
${}^3H_4(A)$	12900	$0.7739 {}^3H_4\rangle + .5185 {}^3F_4\rangle - 0.3636 {}^1G_4\rangle$
${}^3F_3(B)$	14700	$1.0000 {}^3F_3\rangle$
${}^3F_2(C)$	15300	$0.8780 {}^3F_2\rangle - 0.4579 {}^1D_2\rangle - 0.1395 {}^3P_2\rangle$
${}^1G_4(D)$	21500	$0.7609 {}^1G_4\rangle + 0.5678 {}^3H_4\rangle - 0.3140 {}^3F_4\rangle$
${}^1D_2(E)$	28200	$0.6381 {}^1D_2\rangle + 0.6361 {}^3P_2\rangle + 0.4338 {}^3F_2\rangle$
${}^1I_6$	35200	$0.9957 {}^1I_6\rangle - 0.0921 {}^3H_6\rangle$
${}^3P_0$	35700	$0.9692 {}^3P_0\rangle - 0.2462 {}^1S_0\rangle$
${}^3P_1$	36600	$1.0000 {}^3P_1\rangle$
${}^3P_2$	38400	$0.7589 {}^3P_2\rangle - 0.6190 {}^1D_2\rangle - 0.2023 {}^3F_2\rangle$
${}^1S_0$	75000	$0.9692 {}^1S_0\rangle + 0.2462 {}^3P_0\rangle$

tion transitions and the pulsed laser pumping  ${}^3H_4 \rightarrow {}^1D_2$  excited-state absorption transitions.

All the above absorption and laser excitation experiments were performed with the crystal samples cooled by a CTI Cryogenics Cryodyne 22C closed-cycle helium cryostat. The sample temperature could be varied between 10 K and room temperature.

The infrared Zeeman measurements employed a cryostat with an Oxford Instruments 4 T superconducting solenoid built into its helium can. The crystals were mounted in a central tube through the solenoid. This arrangement eliminated the need for low-temperature infrared windows and gave crystal temperatures of 10 K. All the Zeeman spectra were recorded for the infrared radiation beam along the magnetic field direction.

### III. SPECTROSCOPY OF $\text{CaF}_2:\text{Tm}^{3+}$

The  $4f^{12}$  lowest-energy configuration appropriate to  $\text{Tm}^{3+}$  has a total of 91 electronic states in 13 free-ion multiplets. Multiplets are labeled either by the leading  $2S+1L_J$  term in the intermediate coupled free-ion wave function or by the single letter notation indicated in Table I. The crystal-field levels of a given multiplet are denoted by both the appropriate letter for that multiplet and by a numerical subscript, in sequence from the lowest-energy level of the multiplet. The free-ion multiplet wave functions and barycenters, obtained from the calculations of Sec. III C are given in Table I.

Absorption spectra of the first seven excited multiplets of  $\text{CaF}_2:\text{Tm}^{3+}$  are presented in Fig. 1. The spectra of infrared absorption transitions to the  ${}^3F_4$  and  ${}^3H_5$  multiplets were recorded for a 30 mm boule of  $\text{CaF}_2:0.01\%\text{Tm}^{3+}$ . The optical spectra were recorded for a 2 mm thickness of  $\text{CaF}_2:0.05\%\text{Tm}^{3+}$ . Transitions associated with three centers, labeled *A*, *B*, and *O* have been so identified in Fig. 1. These centers are structurally identical to three centers previously assigned for  $\text{CaF}_2:\text{Er}^{3+}$ :<sup>6-9</sup> (1) a tetragonal ( $C_{4v}$ ) symmetry center, the *A* center, with the charge compensating  $F^-$  ion in the  $\langle 100 \rangle$  nearest-neighbor interstitial position, (2) a

trigonal ( $C_{3v}$ ) symmetry center, the *B* center, in which the charge-compensating  $F^-$  ion was proposed to be in the  $\langle 111 \rangle$  next-nearest-neighbor interstitial position (this *B* center was later shown to have a more complex trigonal configuration<sup>1</sup>), and (3) a center with cubic ( $O_h$ ) symmetry, having remote  $F^-$  charge compensation.

For the non-Kramers ions such as  $\text{Tm}^{3+}$ , the electric-dipole selection rules for  $C_{4v}$  and for  $C_{3v}$  symmetry significantly restrict the number of transitions that can be observed. The absence of many transitions makes spectral assignments more difficult.

Laser selective excitation is a powerful method for resolving lines of multicenter spectra. A tunable dye laser is used selectively to excite a particular absorption line of a given center and the resulting fluorescence monitored. As only the selected center is excited, the resulting fluorescence arises from this center alone.<sup>1-4,6</sup> By this technique, excitation and fluorescence spectra can be obtained for each center exclusively in turn. Excitation and fluorescence spectra for two single  $\text{Tm}^{3+}$  centers were measured for  $\text{CaF}_2:0.05\%\text{Tm}^{3+}$  and these centers are determined to have  $\text{Tm}^{3+}$  ions in sites of  $C_{4v}$  and  $C_{3v}$  symmetry.

#### A. Laser selective excitation: $C_{4v}$ center

The transition polarizations for the  $C_{4v}$  center were determined by appropriate choices of polarizations for the incident laser and for the detected fluorescence. The chosen axes have the laser beam incident along the *x* axis and the fluorescence monitored in the *z* direction. The polarization of the laser is thus set at either *y* or *z* and a polarization analyzer is introduced before the spectrometer to admit either the *x*- or *y*-polarized component of the fluorescence. The standard notation for this experimental configuration is  $x(ab)z$  where *x* indicates the laser propagation direction and *z* the fluorescence detection direction.  $a=y$  or  $z$  and  $b=x$  or  $y$  indicate the choice of laser polarization and fluorescence analyzer polarization, respectively. Since only the polarizations are changed, the *x* and *z* propagation directions are omitted in the labeling of Figs. 2 and 3. For  $C_{4v}$  centers in a  $\text{CaF}_2$  crystal oriented with its principal axes parallel to the chosen *x, y, z* axes, the relative intensities of detected fluorescence for each combination of laser and fluorescence polarization are summarized in Table II. From the measured polarization, possible irrep combinations can be determined from the selection rules of Table III.

Figures 2(a)–2(d) show the excitation spectra of the  ${}^3H_4(A)$ ,  ${}^3F_3(B)$ ,  ${}^3F_2(C)$ , and  ${}^1G_4(D)$  multiplets obtained by tuning the laser continuously through the region of the absorption transitions to each of these multiplets while monitoring a single appropriate fluorescence transition. Figures 2(e) and 2(f) are upconversion excitation spectra obtained by tuning the laser through the  ${}^3F_4(Y) \rightarrow {}^1G_4(D)$  and  ${}^3H_4(A) \rightarrow {}^1D_2(E)$  excited-state absorption (ESA) transitions. Fluorescence spectra, obtained by setting the laser to pump a strong isolated absorption line and scanning the spectrometer through the region of the fluorescence transitions, are shown in Fig. 3.

In order to obtain energy-level irreducible representations from these spectra, we need the decomposition of *J* multiplets, transforming as irreducible representation of the full-

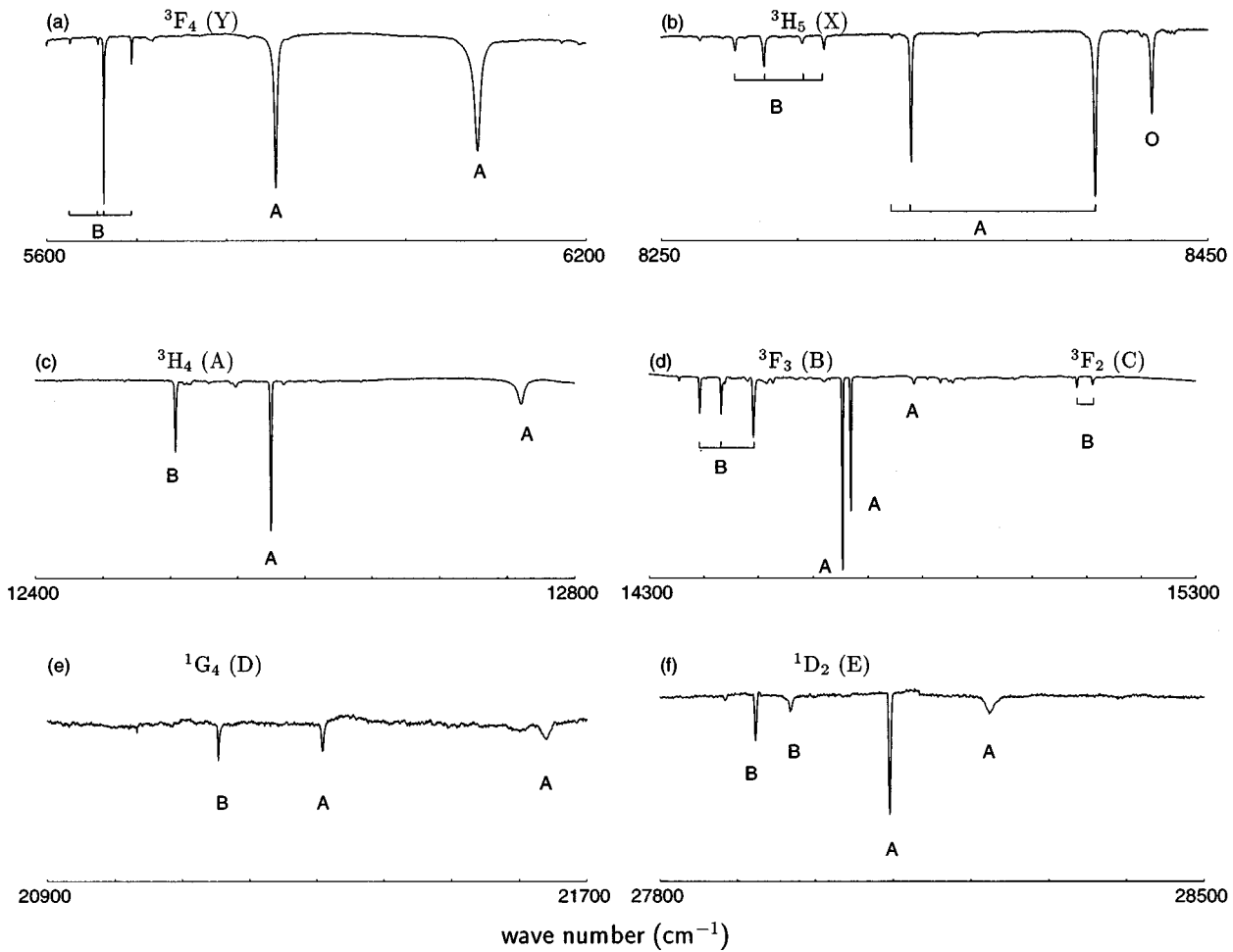


FIG. 1. 10 K absorption spectra of transitions to the (a)  ${}^3F_4$ , (b)  ${}^3H_5$ , (c)  ${}^3H_4$ , (d)  ${}^3F_3$  and  ${}^3F_2$ , (e)  ${}^1G_4$ , and (f)  ${}^1D_2$  multiplets of  $\text{CaF}_2:\text{Tm}^{3+}$ . Spectra (a) and (b) were recorded for a 30 mm thickness of  $\text{CaF}_2:0.01\%\text{Tm}^{3+}$ , and spectra (c)–(f) for a 2 mm thickness of  $\text{CaF}_2:0.05\%\text{Tm}^{3+}$ . Assigned absorption transitions are labeled A ( $C_{4v}$  center), B ( $C_{3v}$  center) and O (cubic center).

TABLE II. Polarization ratios for  $C_{4v}$  centers in (100)-oriented  $\text{CaF}_2$  crystals.

Transition polarizations		Polarization geometry			
Absorption	Emission	$yx$	$yy$	$zx$	$zy$
$\pi$	$\pi$	0	1	0	0
	$\sigma$	1	0	1	1
	$\pi_{md}$	1	0	0	0
	$\sigma_{md}$	0	1	1	1
$\pi_{md}$	$\pi$	0	0	0	1
	$\sigma$	1	1	1	0
	$\pi_{md}$	0	0	1	0
	$\sigma_{md}$	1	1	0	1
$\sigma$	$\pi$	1	0	1	1
	$\sigma$	1	2	1	1
	$\pi_{md}$	0	1	1	1
	$\sigma_{md}$	2	1	1	1
$\sigma_{md}$	$\pi$	1	1	1	0
	$\sigma$	1	1	1	2
	$\pi_{md}$	1	1	0	1
	$\sigma_{md}$	1	1	2	1

rotation group, into the point-group irreps.<sup>10</sup> The  ${}^3F_3$  excitation spectrum, Fig. 2(b), shows that the transitions from the ground state to this multiplet are  $\sigma$ ,  $\pi$ ,  $\pi_{md}$ , and  $\sigma$  allowed, respectively. As the  $J=3$  multiplet decomposes as  $\gamma_2 + \gamma_3 + \gamma_4 + 2\gamma_5$  irreducible representations of  $C_{4v}$ , the selection rules of Table II are only consistent with the ground state being either a  $\gamma_3$  or  $\gamma_4$  level. Further distinction is not possible purely on the basis of LSE experiments. It is necessary to appeal to crystal-field calculations to determine to which of these irreducible representations the ground-state level belongs. If crystal-field parameters similar to those obtained for other  $C_{4v}$  centers of  $\text{CaF}_2$  are adopted, the ground

TABLE III. Polarization selection rules for  $C_{4v}$  symmetry.  $\sigma$  indicates transitions which are both electric and magnetic dipole  $\pi$  allowed.

	$\gamma_1$	$\gamma_2$	$\gamma_3$	$\gamma_4$	$\gamma_5$
$\gamma_1$	$\pi$	$\pi_{md}$			$\sigma$
$\gamma_2$	$\pi_{md}$	$\pi$			$\sigma$
$\gamma_3$			$\pi$	$\pi_{md}$	$\sigma$
$\gamma_4$			$\pi_{md}$	$\pi$	$\sigma$
$\gamma_5$	$\sigma$	$\sigma$	$\sigma$	$\sigma$	$\pi, \pi_{md}$

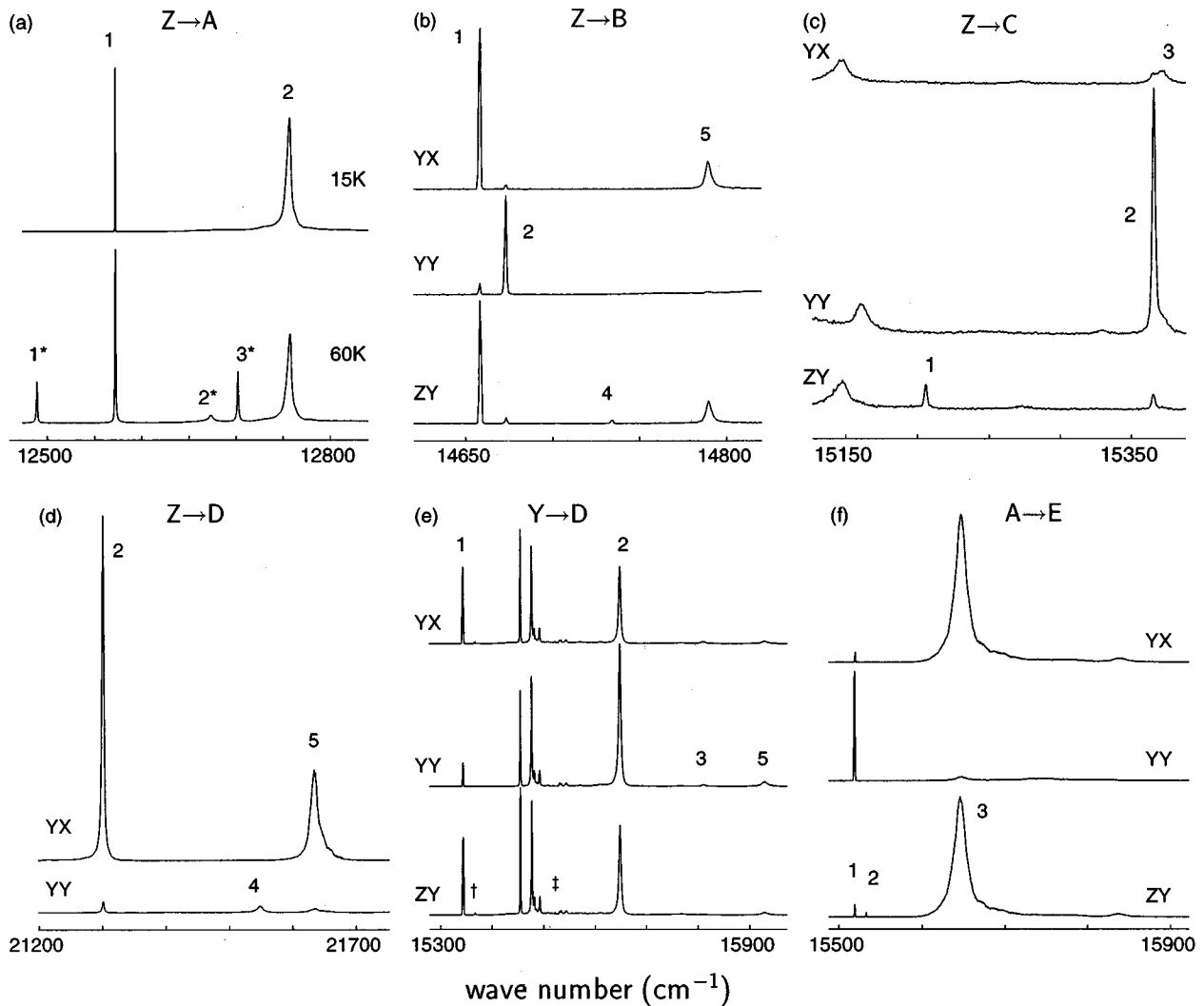


FIG. 2. 15 K (unless indicated) excitation spectra of the  $C_{4v}(A)$  center in  $\text{CaF}_2:0.05\%\text{Tm}^{3+}$ . (a)  $Z \rightarrow A$  transitions at 15 K and 60 K, monitoring  $A_1 \rightarrow Z_2$  ( $\sigma$ ) at  $12\,488\text{ cm}^{-1}$ , (b) polarized  $Z \rightarrow B$  transitions, monitoring  $A_1 \rightarrow Z_1$  ( $\pi$ ) at  $12\,572\text{ cm}^{-1}$ , (c) polarized  $Z \rightarrow C$  transitions, monitoring  $A_1 \rightarrow Z_1$  ( $\pi$ ) at  $12\,572\text{ cm}^{-1}$ , (d) polarized  $Z \rightarrow D$  transitions, monitoring  $D_1 \rightarrow Y_1$  ( $\pi$ ) at  $15\,345\text{ cm}^{-1}$ , (e) polarized  $Y \rightarrow D$  ESA transitions, monitoring  $D_1 \rightarrow Z_2$  ( $\sigma$ ) at  $20\,918\text{ cm}^{-1}$ , (f) polarized  $A \rightarrow E$  ESA transitions, monitoring  $E_1 \rightarrow B_2$  ( $\sigma$ ) at  $13\,415\text{ cm}^{-1}$ . Excitation transitions are labeled by numerical labels for energy levels of the upper multiplet, with transitions originating from the first excited state of the lower multiplet denoted by \*. In spectrum (e), the group of unlabeled lines between  $15\,450$  and  $15\,550\text{ cm}^{-1}$  are due to cross pumping of other  $\text{Tm}^{3+}$  centers, while the lines labeled † and ‡ are the  $Z_1 \rightarrow C_2$  GSA and  $A_1 \rightarrow E_1$  ESA transitions, respectively.

state is unequivocally a  $\gamma_3$  state.<sup>11</sup> This would then determine the levels of the  ${}^3F_3$  multiplet observed by absorption to be  $\gamma_5$ ,  $\gamma_3$ ,  $\gamma_4$ , and  $\gamma_5$ , respectively, with the one remaining  $\gamma_2$  level having a forbidden absorption transition from the ground state.

From this starting point, the remaining experimental levels and irreducible representation listed in Table IV can be determined from the polarization dependence and temperature dependence of the excitation and fluorescence spectra of Figs. 2 and 3. Transitions from excited states of a multiplet increase in intensity with increasing temperature. Spectral hole-burning experiments<sup>12</sup> have shown that the  $Z_1$  and  $A_1$  states of this center were singlet states of the same  $C_{4v}$  irreducible representation, in agreement with the assignments made here. In Table IV the experimentally determined levels are compared with the results of a crystal-field fit, discussed in Sec. III C.

With only one exception, all of the single-laser upconversion-excitation transitions observed for this center arose from pumping ESA transitions. The one ground-state absorption (GSA) transition which produced upconversion fluorescence is the  $Z_1 \rightarrow C_2$  transition, indicated by † in Fig. 2(e). This spectrum, which shows predominantly  ${}^3F_4(Y) \rightarrow {}^1G_4(D)$  ESA transitions, includes many strong lines which are not associated with the  $C_{4v}$  center, but rather are from overlap of fluorescence transitions corresponding to other centers. These other centers, only seen weakly in the absorption spectra, are thought to be cluster centers which have higher upconversion efficiencies than the single-ion centers, because of efficient energy transfer between ions. Transitions which are associated with the  $C_{4v}$  center could be distinguished from these by their reduction in intensity when the spectrometer was detuned slightly ( $\sim 1\text{ cm}^{-1}$ )

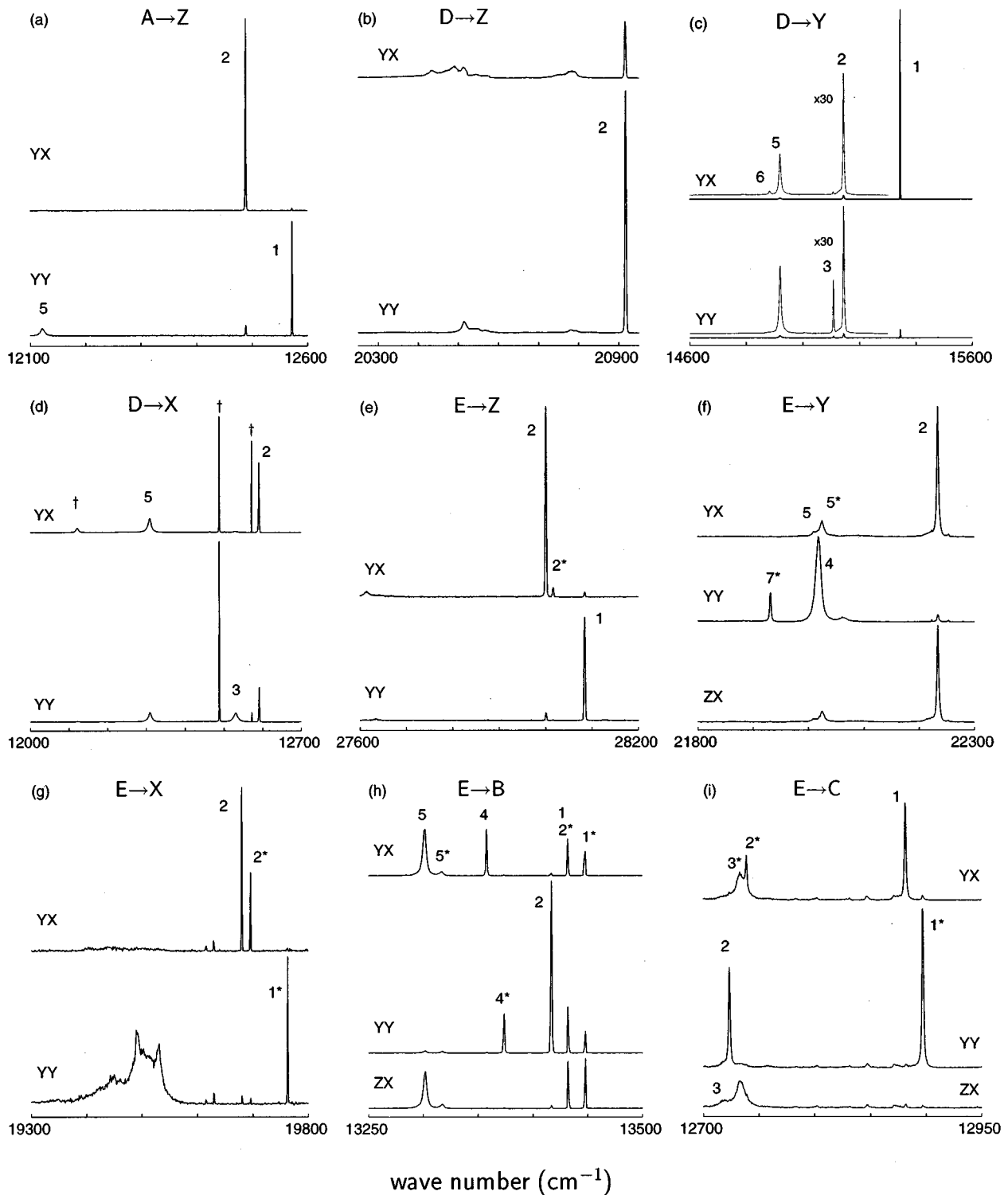


FIG. 3. 15 K fluorescence spectra of the  $C_{4v}(A)$  center in  $\text{CaF}_2:0.05\%\text{Tm}^{3+}$ . (a) Polarized  $A \rightarrow Z$  transitions, pumping  $Z_1 \rightarrow B_2$  ( $\pi$ ) at  $14\,675\text{ cm}^{-1}$ , (b), (c), (d) polarized  $D \rightarrow Z$ ,  $D \rightarrow Y$ , and  $D \rightarrow X$  transitions, pumping  $Z_1 \rightarrow D_2$  ( $\sigma$ ) at  $21\,302\text{ cm}^{-1}$ , (e), (f), (g), (h), (i) polarized  $E \rightarrow Z$ ,  $E \rightarrow Y$ ,  $E \rightarrow X$ ,  $E \rightarrow B$ , and  $E \rightarrow C$  transitions, pumping  $A_1 \rightarrow E_1$  ( $\pi$ ) ESA at  $15\,518\text{ cm}^{-1}$ . Fluorescence transitions are labeled by numerical labels for energy levels of the lower multiplet, with transitions originating from the first excited state of the upper multiplet denoted by \*.

from the peak of the fluorescence transition being monitored. Transitions associated with other centers remain unchanged in intensity. The two transitions labeled with † and ‡ were shown by this process, and by their polarization behavior, to be associated with the  $C_{4v}$  center, despite not matching any

${}^3F_4 \rightarrow {}^1G_4$  transitions. The transition labeled with † corresponds in energy to the  $Z_1 \rightarrow C_2$  transition and the transition labeled with ‡ corresponds to the  $A_1 \rightarrow E_1$  ESA transition. The weakness of this latter line in the  ${}^3F_4 \rightarrow {}^1G_4$  spectrum is attributed to a low  ${}^1D_2 \rightarrow {}^1G_4$  branching ratio.

TABLE IV. Measured and calculated crystal-field energy levels for the  $\text{CaF}_2:\text{Tm}^{3+}$   $C_{4v}$  center.

Energy level		Energies ( $\text{cm}^{-1}$ )		
		measured	calculated	
${}^3H_6$	$\gamma_3$	0	5.6	
	$\gamma_5$	84.0	94.3	
	$\gamma_1$	-	236.9	
	$\gamma_4$	-	402.2	
	$\gamma_3$	-	465.9	
	$\gamma_2$	-	488.9	
	$\gamma_5$	-	496.7	
	$\gamma_4$	-	555.9	
	$\gamma_1$	-	562.7	
	$\gamma_5$	-	690.0	
	${}^3F_4$	$\gamma_1$	5658.0	5646.5
		$\gamma_5$	5857.4	5853.4
		$\gamma_2$	5893.9	5901.9
		$\gamma_3$	6069.7	6070.5
		$\gamma_5$	6082.1	6077.5
$\gamma_1$		6118.1	6119.7	
$\gamma_4$		6173.1	6171.9	
${}^3H_5$	$\gamma_4$	8342.2	8337.9	
	$\gamma_5$	8411.7	8408.1	
	$\gamma_2$	8472.1	8472.0	
	$\gamma_3$	-	8636.8	
	$\gamma_5$	8694.1	8673.6	
	$\gamma_5$	-	8697.4	
	$\gamma_1$	-	8762.7	
${}^3H_4$	$\gamma_2$	-	8780.9	
	$\gamma_3$	12571.9	12577.9	
	$\gamma_5$	12757.2	12763.9	
	$\gamma_1$	-	12804.7	
	$\gamma_2$	-	12903.3	
	$\gamma_4$	-	12984.8	
	$\gamma_1$	-	13035.1	
${}^3F_3$	$\gamma_5$	-	13095.8	
	$\gamma_5$	14659.4	14663.2	
	$\gamma_3$	14674.7	14677.1	
	$\gamma_2$	14720.9	14725.3	
	$\gamma_4$	14732.7	14726.3	
	$\gamma_5$	14789.3	14790.6	
	$\gamma_4$	15206	15213.5	
${}^3F_2$	$\gamma_3$	15365	15364.4	
	$\gamma_5$	15370	15368.6	
	$\gamma_1$	-	15448.8	
	$\gamma_1$	21002.1	20995.0	
	$\gamma_5$	21302.4	21300.3	
${}^1G_4$	$\gamma_2$	21491.5	21498.9	
	$\gamma_3$	21549.4	21549.3	
	$\gamma_5$	21633.6	21638.4	
	$\gamma_4$	-	21722.3	
	$\gamma_1$	-	21730.8	
	$\gamma_3$	28089.8	28075.9	
	$\gamma_4$	28105.7	28112.4	
${}^1D_2$	$\gamma_5$	28218.1	28222.3	
	$\gamma_1$	-	28253.4	

TABLE V. Polarization selection rules for  $C_{3v}$  symmetry.  $\sigma$  indicates transitions which are both electric and magnetic dipole  $\sigma$  allowed.

	$\gamma_1$	$\gamma_2$	$\gamma_3$
$\gamma_1$	$\pi$	$\pi_{md}$	$\sigma$
$\gamma_2$	$\pi_{md}$	$\pi$	$\sigma$
$\gamma_3$	$\sigma$	$\sigma$	$\pi, \pi_{md}, \sigma$

### B. Laser selective excitation: $C_{3v}$ center

While many energy levels of the trigonal-symmetry  $C_{3v}$  center can be determined from the LSE experiments, the polarization ratios are not as straightforward to interpret as those of the  $C_{4v}$  center.

The transition selection rules for  $C_{3v}$  symmetry are summarized in Table V, with the mixed ( $\sigma, \pi$ ) polarization of  $\gamma_3 \rightarrow \gamma_3$  transitions leading to arbitrary polarization ratios whenever such transitions are involved. For  $\text{CaF}_2$  crystals oriented with their  $\langle 100 \rangle$  crystal axes parallel to the  $z$  and  $x$  axes,  $C_{3v}$  centers are expected to have  $yx:yy:zx:zy$  polarization ratios of unity for all transitions, because of the equal inclination of all possible orientations of the  $C_{3v}$  center to the  $z$  and  $x$  axes. Well-defined  $C_{3v}$  polarization ratios do result for crystals oriented with their  $\langle 111 \rangle$  body-diagonal axis aligned parallel with the  $z$  (fluorescence-detection) axis, and these are summarized in Table VI. These predicted polarization ratios are closer to 1:1 compared to those found for  $C_{4v}$  centers, as there are four possible nonorthogonal orientations of  $C_{3v}$  centers.

The absence of any polarization dependence for  $\langle 100 \rangle$ -oriented crystals and the polarization dependences observed for  $\langle 111 \rangle$ -oriented crystals confirm the  $C_{3v}$  symmetry of this center. However, the closeness of the various polarization ratios to 1:1 can lead to ambiguities in the interpretation of polarization data. Definite assignment of irreducible repre-

TABLE VI. Polarization ratios for  $C_{3v}$  centers in  $\langle 111 \rangle$ -oriented  $\text{CaF}_2$  crystals.

Transition polarizations		Polarization geometry			
Absorption	Emission	$yx$	$yy$	$zx$	$zy$
$\pi$	$\pi$	2	6	1	1
	$\sigma$	7	3	8	8
	$\pi_{md}$	6	2	1	1
	$\sigma_{md}$	3	7	8	8
$\pi_{md}$	$\pi$	1	1	2	6
	$\sigma$	8	8	7	3
	$\pi_{md}$	1	1	6	2
	$\sigma_{md}$	8	8	3	7
$\sigma$	$\pi$	7	3	8	8
	$\sigma$	11	15	10	10
	$\pi_{md}$	3	7	8	8
	$\sigma_{md}$	15	11	10	10
$\sigma_{md}$	$\pi$	8	8	7	3
	$\sigma$	10	10	11	15
	$\pi_{md}$	8	8	3	7
	$\sigma_{md}$	10	10	15	11

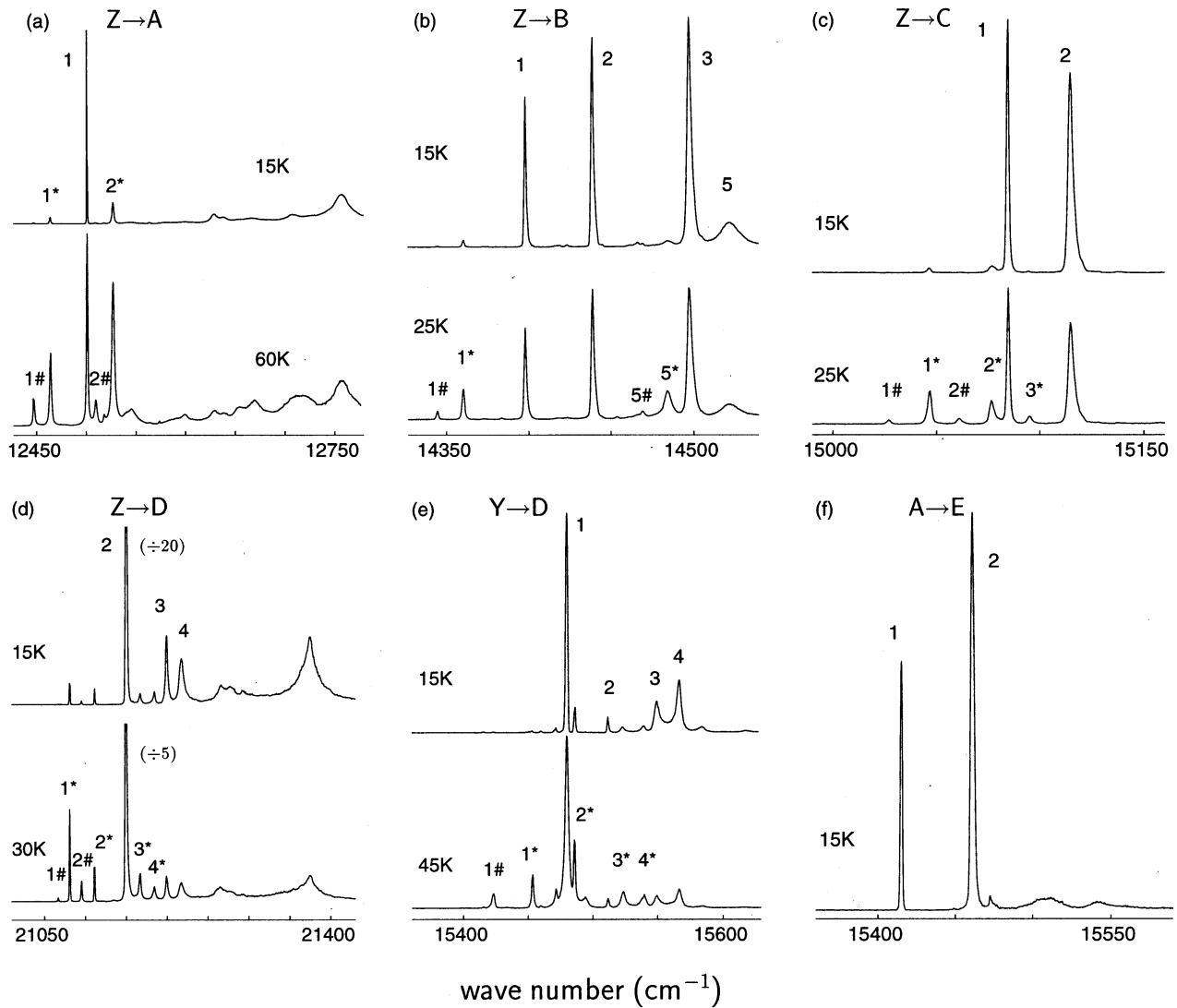


FIG. 4. Excitation spectra of the  $C_{3v}(B)$  center in  $\text{CaF}_2:0.05\%\text{Tm}^{3+}$ . (a)  $Z \rightarrow A$  transitions at 15 K and 60 K, monitoring  $A_1 \rightarrow Z_3$  at  $12\,448\text{ cm}^{-1}$ , (b)  $Z \rightarrow B$  transitions at 15 K and 25 K, monitoring  $A_1 \rightarrow Z_1$  at  $12\,502\text{ cm}^{-1}$ , (c)  $Z \rightarrow C$  transitions at 15 K and 25 K, monitoring  $A_1 \rightarrow Z_1$  at  $12\,502\text{ cm}^{-1}$ , (d)  $Z \rightarrow D$  transitions at 15 K and 30 K, monitoring  $D_1 \rightarrow Y_1$  at  $15\,479\text{ cm}^{-1}$ , (e)  $Y \rightarrow D$  ESA transitions at 15 K and 45 K, monitoring  $D_1 \rightarrow Z_2$  at  $21\,082\text{ cm}^{-1}$ , (f)  $A \rightarrow E$  ESA transitions at 15 K, monitoring  $E_1 \rightarrow Y_2$  at  $22\,254\text{ cm}^{-1}$ . Excitation transitions are labeled by numerical labels for energy levels of the upper multiplet, with transitions originating from the first and second excited states of the lower multiplet denoted with \* and #, respectively.

sentation to energy levels has not been possible using these polarization ratio data. For this reason, polarized spectra are not presented for this center. Temperature-dependent spectra are displayed in some cases and these aided in the determination of energy levels.

Figures 4(a)–4(d) show the excitation spectra of the  ${}^3H_4(A)$ ,  ${}^3F_3(B)$ ,  ${}^3F_2(C)$ , and  ${}^1G_4(D)$  multiplets while Figs. 4(e) and 4(f) are ESA upconversion excitation spectra. The fluorescence spectra are shown in Fig. 5.

The experimental energy levels of Table VII (without irreducible representation assignments) have been deduced from the LSE data. The experimentally determined levels are compared with the results of a crystal-field fit, as discussed in Sec. III C.

Some anomalous features appear in the spectra. In the  ${}^1D_2(E) \rightarrow {}^3F_4(Y)$  fluorescence spectrum, Fig. 5, seven tran-

sitions appear. Only six levels are expected for the  ${}^3F_4(Y)$  multiplet, and those transitions which have been labeled are consistent with the  ${}^1G_4(D) \rightarrow {}^3F_4(Y)$  fluorescence spectrum, Fig. 5(c). The extra transition, near  $22\,110\text{ cm}^{-1}$ , appears to tune with the  $C_{3v}$  center but remains unassigned. Another unassigned transition appears at  $19\,801\text{ cm}^{-1}$  in the  ${}^1D_2(E) \rightarrow {}^3H_5(X)$  fluorescence spectrum, Fig. 5(g). The transition from the  $D_1$  level to this  ${}^3H_5$  level should be present in the  ${}^1G_4(D) \rightarrow {}^3H_5(X)$  spectrum, Fig. 5(d), but is not observed. In addition, the crystal-field splitting between this level and the other  ${}^3H_5$  levels would be anomalously large in comparison to the other multiplets of this center, and also to the calculated splitting. The crystal-field fit described in Sec. III C was repeated, omitting the experimental energies of the  ${}^3H_5$  multiplet, and gave no indication that such a large splitting would be expected. Hence the line at  $19\,801\text{ cm}^{-1}$  also remains unassigned.

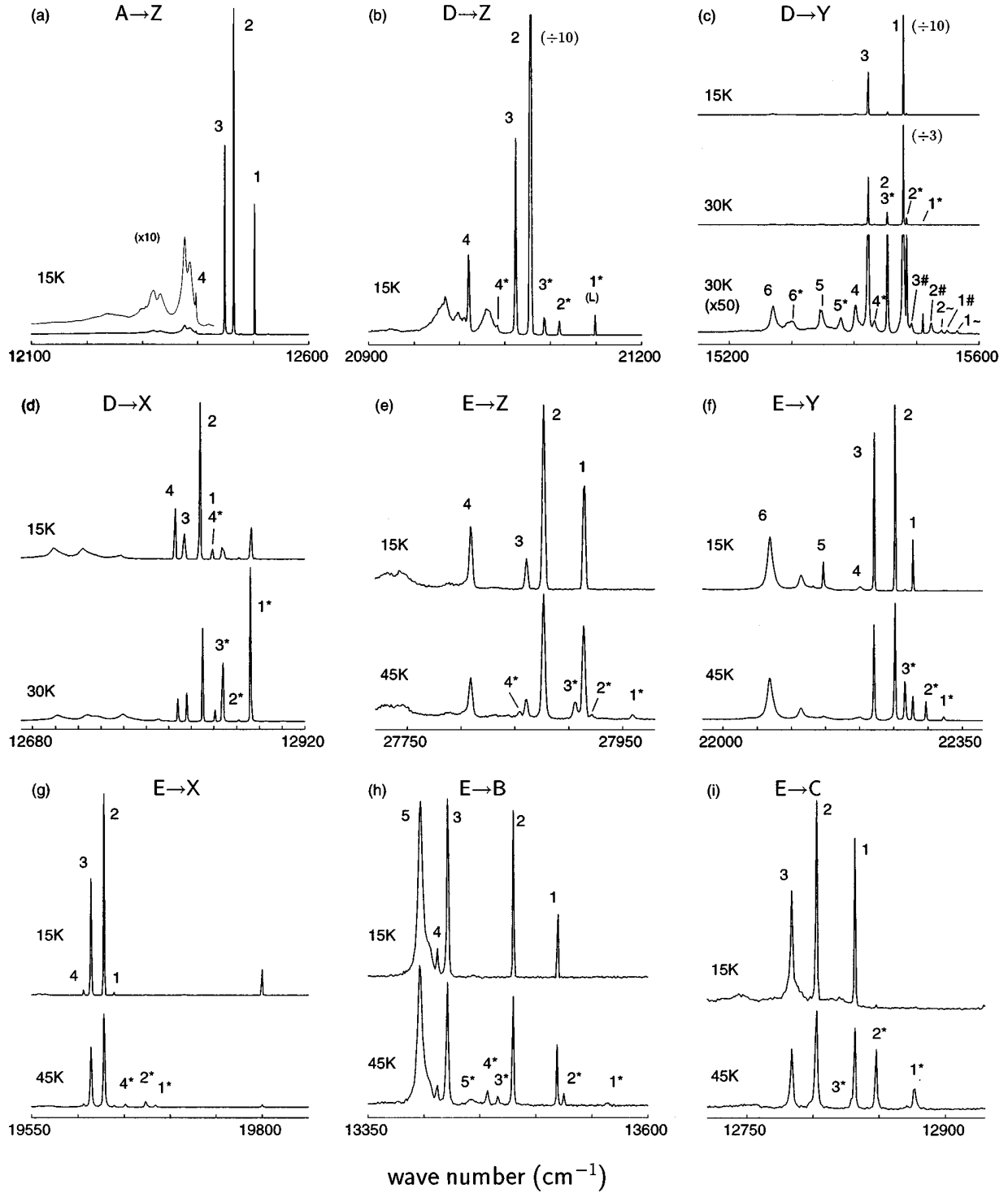


FIG. 5. Fluorescence spectra of the  $C_{3v}(B)$  center in  $\text{CaF}_2:0.05\%\text{Tm}^{3+}$  taken at the indicated temperatures. (a)  $A \rightarrow Z$  transitions, pumping  $Z_1 \rightarrow B_3$  at  $14\,497\text{ cm}^{-1}$ , (b), (c), (d)  $D \rightarrow Z$ ,  $D \rightarrow Y$  and  $D \rightarrow X$  transitions, pumping  $Z_1 \rightarrow D_2$  at  $21\,150\text{ cm}^{-1}$ , (e), (f), (g), (h), (i)  $E \rightarrow Z$ ,  $E \rightarrow Y$ ,  $E \rightarrow X$ ,  $E \rightarrow B$ , and  $E \rightarrow C$  transitions, pumping  $A_1 \rightarrow E_2$  ESA at  $15\,462\text{ cm}^{-1}$ . Fluorescence transitions are labeled by numerical labels for energy levels of the lower multiplet, with transitions originating from the first, second, and third excited states of the upper multiplet denoted with \*, #, and ~, respectively.

### C. Crystal-field calculations

In analyzing the energy level structure of the  $\text{Tm}^{3+}$  ion in these two centers, the free-ion and crystal-field Hamiltonian matrices for the entire  $4f^{12}$  configuration were diagonalized simultaneously, thereby including all intermediate-coupling and crystal-field  $J$ -mixing effects. The free-ion Hamiltonian is parametrized as<sup>13</sup>

$$\begin{aligned} \mathcal{H}_f = & \sum_{k=2,4,6} F^k f_k + \sum_i \zeta l_i \cdot s_i + \alpha L(L+1) + \beta G(G_2) \\ & + \gamma G(R_7) + \sum_{k=0,2,4} M^k m_k + \sum_{k=2,4,6} P^k p_k. \end{aligned} \quad (1)$$



TABLE VII. Measured and calculated energy levels for the  $\text{CaF}_2:\text{Tm}^{3+}$   $C_{3v}$  center. Irreducible representation assignments determined from the best crystal-field energy fit to assigned energy levels of the  $C_{3v}$  center.

Energy level		Energies ( $\text{cm}^{-1}$ )		
		measured	calculated	
${}^3H_6$	$\gamma_1$	0.0	7.9	
	$\gamma_2$	37.4	29.3	
	$\gamma_3$	53.4	60.8	
	$\gamma_3$	105.1	100.9	
	$\gamma_3$	-	195.1	
	$\gamma_1$	-	211.2	
	$\gamma_3$	-	266.1	
	$\gamma_3$	-	317.3	
	$\gamma_1$	-	385.0	
	${}^3F_4$	$\gamma_1$	5639.9	5634.7
$\gamma_3$		5664.7	5658.1	
$\gamma_3$		5696.6	5700.5	
$\gamma_2$		5716.9	5717.4	
$\gamma_1$		5770.7	5770.9	
$\gamma_3$		5848.2	5855.6	
${}^3H_5$		$\gamma_2$	8279.6	8266.0
	$\gamma_3$	8290.2	8299.2	
	$\gamma_1$	8304.0	8301.1	
	$\gamma_3$	8312.2	8322.9	
	$\gamma_3$	-	8394.1	
	$\gamma_2$	-	8417.4	
	$\gamma_3$	-	8460.2	
	${}^3H_4$	$\gamma_3$	12501.5	12521.0
$\gamma_1$		12564.6	12555.9	
$\gamma_2$		-	12604.7	
$\gamma_3$		-	12683.8	
$\gamma_3$		-	12768.9	
$\gamma_1$		-	12820.4	
${}^3F_3$		$\gamma_2$	14398.8	14411.7
	$\gamma_3$	14438.2	14432.6	
	$\gamma_1$	14497.2	14487.2	
	$\gamma_2$	14506.9	14500.6	
	$\gamma_3$	14520.8	14523.0	
	${}^3F_2$	$\gamma_3$	15086.2	15071.8
$\gamma_1$		15115.7	15113.8	
$\gamma_3$		15134.0	15132.2	
${}^1G_4$		$\gamma_1$	21118.9	21108.8
		$\gamma_3$	21149.8	21145.7
	$\gamma_3$	21205.3	21210.0	
	$\gamma_2$	21225.2	21231.4	
	$\gamma_1$	-	21276.0	
${}^1D_2$	$\gamma_3$	27918.4	27923.8	
	$\gamma_3$	27963.1	27967.0	
	$\gamma_1$	-	27996.4	

The splitting of the  $4f^{12}$  configuration into multiplets is dominated by the electrostatic and spin-orbit terms and the  $F^k$  and  $\zeta$  parameters which represent these were varied freely in the fit. The remaining terms representing configuration interactions ( $\alpha, \beta, \gamma$ ), spin-spin and spin-other-orbit interac-

tions ( $M^k$ ), and two-body electrostatically correlated magnetic interactions ( $P^k$ ) play a relatively small part. These terms were included, but were held fixed at values reported for  $\text{LaF}_3$ ,<sup>13</sup> rather than being varied.

Because the immediate environment of the  $\text{Tm}^{3+}$  ion in both sites is approximately cubic, the terms in the  $C_{4v}$  and  $C_{3v}$  crystal-field Hamiltonians were grouped into terms which transform as specific irreducible representations of the  $O_h$  group. The respective crystal-field Hamiltonians used were

$$\begin{aligned} \mathcal{H}_{C_{4v}} = & B_C^4 \left[ C_0^{(4)} + \sqrt{\frac{5}{14}} (C_4^{(4)} + C_{-4}^{(4)}) \right] \\ & + B_C^6 \left[ C_0^{(6)} - \sqrt{\frac{7}{2}} (C_4^{(6)} + C_{-4}^{(6)}) \right] \\ & + B_A^2 C_0^{(2)} + B_A^4 \left[ C_0^{(4)} - \sqrt{\frac{7}{10}} (C_4^{(4)} + C_{-4}^{(4)}) \right] \\ & + B_A^6 \left[ C_0^{(6)} + \sqrt{\frac{1}{14}} (C_4^{(6)} + C_{-4}^{(6)}) \right], \end{aligned} \quad (2)$$

$$\begin{aligned} \mathcal{H}_{C_{3v}} = & B_C^4 \left[ C_0^{(4)} + \sqrt{\frac{10}{7}} (C_3^{(4)} - C_{-3}^{(4)}) \right] \\ & + B_C^6 \left[ C_0^{(6)} - \sqrt{\frac{35}{96}} (C_3^{(6)} - C_{-3}^{(6)}) \right] \\ & + \sqrt{\frac{77}{192}} (C_6^{(6)} + C_{-6}^{(6)}) \\ & + B_A^2 C_0^{(2)} + B_A^4 \left[ C_0^{(4)} - \sqrt{\frac{7}{40}} (C_3^{(4)} - C_{-3}^{(4)}) \right] \\ & + B_A^6 \left[ \sqrt{\frac{11}{42}} (C_3^{(6)} - C_{-3}^{(6)}) + \sqrt{\frac{5}{21}} (C_6^{(6)} + C_{-6}^{(6)}) \right] \\ & + \hat{B}_A^6 \left[ C_0^{(6)} + \sqrt{\frac{160}{1029}} (C_3^{(6)} - C_{-3}^{(6)}) \right] \\ & - \sqrt{\frac{176}{1029}} (C_6^{(6)} + C_{-6}^{(6)}), \end{aligned} \quad (3)$$

where, in each case, the  $B_C^k$  terms alone form a cubic-symmetry Hamiltonian and the  $B_A^k$  terms represent the non-cubic distortions appropriate to the overall  $C_{4v}$  or  $C_{3v}$  symmetry.

One further parameter is required for each fit, representing a uniform shift of the entire configuration to bring the zero of energy to an appropriate level. The fitted parameters for the 31 levels of the  $C_{4v}$  center and the 30 levels of the  $C_{3v}$  center are listed in Tables VIII and IX, respectively.

For the  $C_{4v}$  center, the irreducible representations for the various energy levels were determined by the polarized LSE studies and used to assign energy levels for the crystal-field fit. A standard deviation of  $8.3 \text{ cm}^{-1}$  was obtained for the fit over 31 levels. From its assigned crystal-field wave function, the  $g_{\parallel}$  value of the  $B_1$  level was calculated to be  $g_{\parallel} = 5.9$ , in reasonable agreement with previous optical-Zeeman experiments which gave a  $g$  value of  $g_{\parallel} = 6.1$ .<sup>14</sup> The crystal-field

TABLE VIII. Best-fit values for the free-ion and crystal-field parameters (all in  $\text{cm}^{-1}$ ) which were varied in the crystal-field fit for the  $C_{4v}$  center of  $\text{CaF}_2:\text{Tm}^{3+}$ . Remaining free-ion parameters were fixed at the values for  $\text{LaF}_3:\text{Tm}^{3+}$  (Ref. 13).

Parameter	Value ( $\text{cm}^{-1}$ )	Parameter	Value ( $\text{cm}^{-1}$ )
$F^2$	102249.9	$B_A^2$	567.0
$F^4$	71603.9	$B_A^4$	392.8
$F^6$	51401.8	$B_A^6$	385.5
$\zeta$	2633.8	$B_C^4$	-1100.7
		$B_C^6$	489.0

parameters obtained are very similar to those reported for the  $C_{4v}$  centers in  $\text{CaF}_2:\text{Er}^{3+}$  (Ref. 15) and  $\text{CaF}_2:\text{Ho}^{3+}$  (Ref. 4), confirming that these centers are structurally similar.

For the  $C_{3v}$  center, irreducible representations for the various energy levels could not be unambiguously determined from the LSE spectra. Assignment of energy levels to particular crystal-field levels followed from an initial crystal-field calculation using estimated parameters. Following a fit from these assignments, two pairs of levels which had been reversed in order were reassigned to obtain the final fit. The assignment of the crystal-field levels were checked by repeating the fit with the exclusion of the levels of each multiplet in turn. The resulting predicted ordering and splitting of the multiplet in question was then compared with the experimental levels. In each case, the prediction was totally consistent with the assignments which had been made. A reasonable standard deviation of  $10.2 \text{ cm}^{-1}$  over the 30 measured levels was obtained. However, many of the proposed assignments are not consistent with the electric-dipole selection rules of Table V. Hence, the final level assignments are tentative and are presented only as a basis for further investigations. Polarized LSE results performed with an applied magnetic field would help establish unambiguous irreducible representation identifications.

#### D. Fluorescence lifetimes

The fluorescence decay times for fluorescence from the lowest levels of the  $^3H_4(A)$ ,  $^1G_4(D)$ , and  $^1D_2(E)$  multiplets for the  $C_{4v}$  and  $C_{3v}$  centers in  $\text{CaF}_2:0.05\%\text{Tm}^{3+}$  are given in Table X. No significant changes in these were observed for  $\text{Tm}^{3+}$  concentrations varying from 0.01% to 0.1%.

TABLE IX. Best-fit values for the free-ion and crystal-field parameters (all in  $\text{cm}^{-1}$ ) which were varied in the crystal-field fit for the  $C_{3v}$  center of  $\text{CaF}_2:\text{Tm}^{3+}$ . Remaining free-ion parameters were fixed at the values for  $\text{LaF}_3:\text{Tm}^{3+}$  (Ref. 13).

Parameter	Value	Parameter	Value
$F^2$	102372.0	$B_A^2$	231.4
$F^4$	71469.8	$B_A^4$	374.4
$F^6$	51497.8	$\hat{B}_A^6$	-480.9
$\zeta$	2635.6	$B_A^6$	-411.3
		$B_C^4$	-40.7
		$B_C^6$	556.5

TABLE X. Measured fluorescence lifetimes (in ms,  $\pm 5\%$ ) of the  $^3H_4$ ,  $^1G_4$ , and  $^1D_2$  multiplets for the  $C_{4v}$  and  $C_{3v}$  centers of  $\text{CaF}_2:\text{Tm}^{3+}$ .

Multiplet	$\text{CaF}_2:\text{Tm}^{3+}$ lifetime	
	$C_{4v}$ center	$C_{3v}$ center
$^3H_4$	3.3	3.1
$^1G_4$	2.1	1.1
$^1D_2$	0.18	0.10

#### E. Temperature dependence of the upconversion fluorescence

We reported the phenomenon of upconversion fluorescence in  $\text{CaF}_2:\text{Er}^{3+}$  and  $\text{CaF}_2:\text{Tm}^{3+}$  achieved by pumping either GSA or ESA transitions with a single tunable laser.<sup>5</sup> In contrast to a report of upconversion observed in  $\text{CaF}_2:0.2\%\text{Tm}^{3+}$ ,<sup>16</sup> the upconversion in our case is from single  $\text{Tm}^{3+}$  ion centers. It is caused by the overlap of a strong purely electronic ESA transition with weak vibronic sidebands associated with GSA transitions. Thus the laser is able to pump both transitions, one strongly and one weakly, at the same frequency.

It was found<sup>5</sup> that, for pumping the  $Y_1 \rightarrow D_1$  transition of the  $C_{4v}$  center in  $\text{CaF}_2:\text{Tm}^{3+}$ , the upconversion fluorescence increased dramatically as the sample was warmed from 10 K, being enhanced by a factor of 100 at room temperature (Fig. 6). This is attributed to the  $Y_1 \rightarrow D_1$  ESA transition at  $15\,344 \text{ cm}^{-1}$  having a transition energy only  $21 \text{ cm}^{-1}$  from the  $Z_1 \rightarrow C_2$  GSA transition at  $15\,365 \text{ cm}^{-1}$ . At low temperatures, there is essentially no overlap between these two electronic transitions, and the overlap with vibronic sidebands of lower-energy GSA transitions is responsible for the weak upconversion fluorescence observed. However, as the temperature increases, the two transitions broaden and begin to overlap, giving a much greater combined transition probability. In addition, the  $Z_2 \rightarrow C_2$  GSA transition near  $15\,280 \text{ cm}^{-1}$  has a much stronger transition moment than the  $Z_1 \rightarrow C_2$  transition and becomes important once the  $Z_2$  state acquires significant population at elevated temperatures.

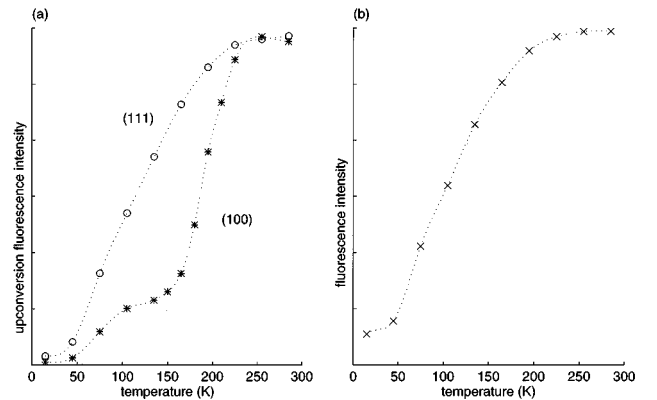


FIG. 6. Temperature dependence of the fluorescence intensity of the  $\text{Tm}^{3+}$   $C_{4v}$  center for laser pumping at the  $Y_1 \rightarrow D_1$  excitation line at  $15\,344 \text{ cm}^{-1}$ . (a)  $D \rightarrow Z$  upconversion blue fluorescence intensity monitored over a band of blue wavelengths for (100)- and (111)-oriented  $\text{CaF}_2:\text{Tm}^{3+}$ , and (b)  $A \rightarrow Z$  infrared fluorescence intensity for (111)-oriented  $\text{CaF}_2:\text{Tm}^{3+}$ .

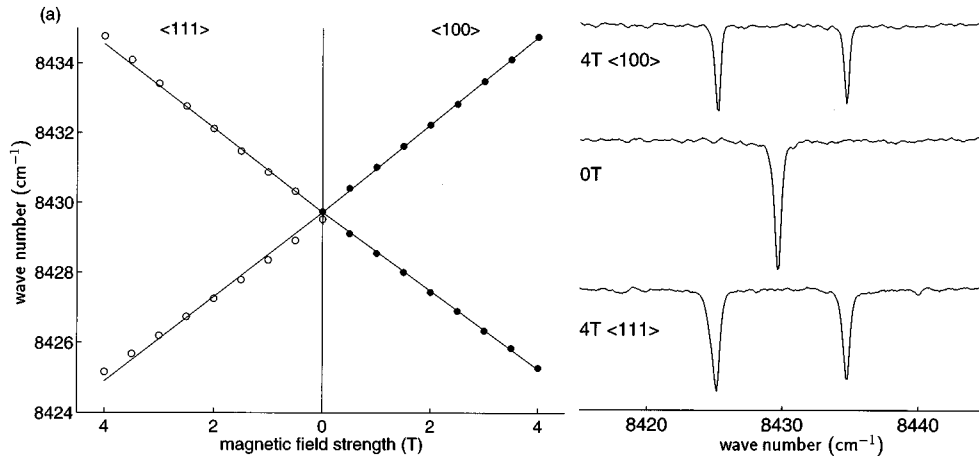


FIG. 7. Zeeman splittings of the  ${}^3H_6 \rightarrow {}^3H_5$  absorption line of the cubic center. (a) Splitting diagram for magnetic fields applied parallel to the  $\langle 111 \rangle$  and  $\langle 100 \rangle$  crystal axes. (b) 4 T  $\langle 100 \rangle$ , zero field, and 4 T  $\langle 111 \rangle$  absorption spectra of the cubic center transitions.

In Fig. 6(b), the temperature dependence of the  ${}^3H_4 \rightarrow {}^3H_6$  usual fluorescence is shown, for laser pumping at the same  $Y_1 \rightarrow D_1$  excitation frequency. This curve represents the ground-state absorption rate for pumping at that frequency, as nonradiative decay to the  ${}^3H_4$  multiplet is the predominant decay path for  $Tm^{3+}$  ions excited into the  ${}^3F_3$  and  ${}^3F_2$  multiplets. Curve (b) appears qualitatively similar to curve (a) for  $\langle 111 \rangle$ -oriented crystals, demonstrating that the efficiency of the off-resonance GSA step dominates the temperature dependence. For  $\langle 100 \rangle$ -oriented crystals, the temperature dependence of the  ${}^3H_4 \rightarrow {}^3H_6$  normal fluorescence follows a similar shape to that for  $\langle 111 \rangle$ -oriented crystals. However, the shape of the upconversion-fluorescence curve has a significantly different shape, which is attributed to the second, ESA, step in the upconversion process.

This can be explained in terms of the strong polarization dependence of transitions for  $C_{4v}$  centers. For a  $\langle 100 \rangle$ -oriented sample, all  $Tm^{3+}C_{4v}$  centers are oriented either parallel or perpendicular to the incident laser polarization direction and their transition intensities are dependent on the specific center orientation. As the ESA absorption is a  $\gamma_3 \rightarrow \gamma_3$   $\pi$ -allowed transition and  $\pi$  and  $\sigma$  transitions excite differently oriented  $C_{4v}$  centers independently, only overlap by GSA transitions of  $\pi$  polarization will contribute to assisting the ESA upconversion fluorescence. With a change in temperature, the set of nearby GSA levels will contribute a varying combination of  $\sigma$  and  $\pi$  transitions, which determines the upconversion fluorescence level.

For a  $\langle 111 \rangle$ -oriented sample, all  $C_{4v}$  centers are equally inclined at  $54.7^\circ$  to the incident laser polarization direction and the combined sequential absorption intensity is from equivalently oriented centers with no strong polarization dependence expected.

At temperatures above 160 K,  $C_{4v}$  centers start to freely reorient and spectral polarization effects wash out.<sup>17</sup> Hence, the temperature dependence of the upconversion becomes independent of the laser polarization at higher temperatures.

The upconversion fluorescence from the  ${}^1D_2$  multiplet, measured for pumping the  $A_1 \rightarrow E_1$  ESA transition at  $15\,518\text{ cm}^{-1}$ , increased with temperature only to 60 K, beyond which it began to decrease. As there are no electronic GSA transitions near this  $15\,518\text{ cm}^{-1}$  energy, this behavior is attributed to changing phonon-sideband intensities.

In contrast, for the  $C_{3v}$  center, the intensity of upconversion fluorescence from either the  ${}^1G_4$  or the  ${}^1D_2$  multiplet did not increase at all when the temperature was increased above 10 K.

#### F. Identification of a cubic center

In the infrared-absorption spectrum of the  ${}^3H_5$  multiplet, Fig. 1(b), a strong absorption line (identified as  $O$ ) was found at  $8429.6\text{ cm}^{-1}$  which could not be attributed to either the  $C_{4v}$  or  $C_{3v}$  centers. As this line is the only unassigned strong absorption line for all of the excited multiplets up to  ${}^1D_2$  at  $30\,000\text{ cm}^{-1}$  the possibility of it being associated with a  $Tm^{3+}$  cluster center could be rejected. This line is assigned to a  $Tm^{3+}$  center of cubic symmetry.

In external magnetic fields of up to 4 T, applied parallel to either the  $\langle 100 \rangle$  or  $\langle 111 \rangle$  crystal axes, this line was observed to split into two components. The spectra at 4 T in each orientation and the zero-field spectrum of this line are shown in Fig. 7(b), while Fig. 7(a) shows the experimental splitting diagrams with a superimposed linear fit to each.

The  $g$  values from these linear fits are

$$g_{\langle 100 \rangle} = 5.09 \pm 0.10,$$

$$g_{\langle 111 \rangle} = 5.17 \pm 0.10.$$

Within experimental uncertainty, the  $g$  value is isotropic, which supports the assignment of this line to a cubic-symmetry center.

Because this center has a center of inversion, electric-dipole transitions are strictly forbidden. Any observed pure electronic transitions of this cubic center could only be magnetic-dipole allowed. From the  $\Delta J = 0, \pm 1$ ,  $\Delta L = \Delta S = 0$  selection rules for magnetic-dipole transitions, only the  ${}^3H_6 \rightarrow {}^3H_5$  transitions of  $Tm^{3+}$  are allowed in magnetic-dipole absorption. This accounts for the absence of cubic center transitions in the absorption spectra to other multiplets.

The cubic crystal-field Hamiltonian for the  $4f^n$  configuration is parametrized by two parameters  $B_C^4$  and  $B_C^6$ :

$$\mathcal{H} = B_C^4 C_C^{(4)} + B_C^6 C_C^{(6)}.$$

The form of the angular operators of the fourth and sixth degree cubic terms,  $C_C^{(4)}$  and  $C_C^{(6)}$ , are given by the leading two terms of Eq. (2) or (3), depending on whether tetragonal or trigonal quantization axes are chosen. Plots of the cubic crystal-field eigenvalues for all  $J$  manifolds as a function of the cubic crystal field for the tetragonal quantization basis have been published.<sup>18</sup> These give the cubic-field energies as a function of the admixture  $X$  of the fourth and sixth degree terms of the cubic crystal-field Hamiltonian.

Estimates for the cubic crystal-field parameters can be obtained by considering the parameters found for the cubic center in  $\text{CaF}_2:\text{Er}^{3+}$ , (Ref. 8) or by taking the cubic part of the  $C_{4v}$  symmetry Hamiltonian for  $\text{CaF}_2:\text{Tm}^{3+}$   $C_{4v}$  center. In either case, the  $J=6$  splitting diagram<sup>18</sup> shows that the ground state of  $\text{Tm}^{3+}$  in this cubic center has a cubic irreducible representation of  $\Gamma_2$ . This cubic irreducible representation decomposes to  $\gamma_3$  in  $C_{4v}$  symmetry, in agreement with the  $\gamma_3$  ground state adopted for the  $C_{4v}$  center. As the magnetic-dipole operator transforms as  $\Gamma_4$ , transitions from the ground state are allowed only to  $\Gamma_4 \otimes \Gamma_2 = \Gamma_5$  states. There is only one  $\Gamma_5$  state in the  ${}^3H_5(X)$  multiplet, consistent with the observation of only one transition for the cubic center. The  $J=5$  splitting diagram<sup>18</sup> shows this  $\Gamma_5$  state to be the lowest ( $X_1$ ) level of the  ${}^3H_5$  multiplet.

As the  ${}^3H_6(\Gamma_2)$  initial and  ${}^3H_5(\Gamma_5)$  final states are the only  $\Gamma_2$  and  $\Gamma_5$  states of their respective multiplets, their wave functions are independent of the crystal-field parameters and are given by group theoretical considerations. For the tetragonal quantization axis these wave functions are

$$|Z_1, {}^3H_6, \Gamma_2\rangle = \sqrt{\frac{11}{32}} (|{}^3H_6, 2\rangle + |{}^3H_6, -2\rangle) \\ - \sqrt{\frac{5}{32}} (|{}^3H_6, 6\rangle + |{}^3H_6, -6\rangle) \\ |X_1, {}^3H_5, \Gamma_5\rangle = \begin{cases} \sqrt{\frac{15}{32}} |{}^3H_5, \pm 5\rangle - \sqrt{\frac{14}{32}} |{}^3H_5, \pm 1\rangle \\ - \sqrt{\frac{3}{32}} |{}^3H_5, \mp 3\rangle, \\ \frac{1}{\sqrt{2}} (|{}^3H_5, 2\rangle + |{}^3H_5, -2\rangle) \end{cases}.$$

In a magnetic field applied along either the tetragonal or trigonal quantization axis, the  $\Gamma_5$  triplet splits into three levels having magnetic-dipole  $\sigma_R$ ,  $\pi$ , and  $\sigma_L$  absorption transitions from the unsplit  $\Gamma_2$  ground state. In the experimental arrangement used the light propagation axis is necessarily parallel to the applied magnetic-field axis so that  $\pi$  transitions cannot be observed, leaving two subtransitions as observed. The isotropic  $g$  value calculated from this wave function is

$$g = 5.17,$$

which is in excellent agreement with the measured  $g$  values, confirming the assignment of this center to the cubic center.

### G. Relative concentrations of cubic and $C_{4v}$ centers

In principle, the concentration  $N$  of a particular center in a crystal can be found from the integrated absorption coefficient of an absorption line and the oscillator strength of that transition. In the absorption spectrum of the  ${}^3H_5$  multiplet for  $\text{CaF}_2:\text{Tm}^{3+}$  we have one transition  $Z_1 \rightarrow X_1$  for each of the cubic and  $C_{4v}$  centers, which is purely magnetic-dipole in character. Unlike electric-dipole transitions, magnetic-dipole transition strengths can be readily calculated if the  $JM$ -basis wave functions of the initial and final states are known.

The  $Z_1$  and  $X_1$  wave functions of the cubic center have been given in Sec. III F, and the corresponding wave functions of the  $C_{4v}$  center can be calculated from the crystal-field fit performed in Sec. III C and are

$$|Z_1, {}^3H_6, \Gamma_2 \gamma_3\rangle = 0.6991(|{}^3H_6, 2\rangle + |{}^3H_6, -2\rangle) \\ - 0.1005(|{}^3H_6, 6\rangle + |{}^3H_6, -6\rangle), \\ |X_1, {}^3H_5, \Gamma_5 \gamma_4\rangle = 0.7063(|{}^3H_5, 2\rangle + |{}^3H_5, -2\rangle).$$

The matrix elements of the magnetic-dipole transition operator  $\mathbf{L} + 2\mathbf{S}$  between the  $Z_1$  ground level and the  $X_1$  upper level are calculated for both the cubic and the  $C_{4v}$  center. With averaging over the three components of the dipole operator for the cubic center transition and over the three possible orientations of the tetragonal axis for the  $C_{4v}$  center, matrix elements of 0.277 and 0.134 are obtained for the cubic and  $C_{4v}$  centers, respectively. The relative concentrations of these two centers are determined from their absorption line strengths. Assuming Lorentzian line shapes for the two transitions in the absorption spectrum of Fig. 7(b) for  $\text{CaF}_2:0.01\%\text{Tm}^{3+}$ , the line strengths (integrated absorption coefficients) are 13.3 and 17.3  $\text{cm}^{-1} \text{m}^{-1}$  for the cubic and  $C_{4v}$  center lines, respectively.

These experimental line strengths and the calculated transition moments are combined to give a relative concentration of

$$\frac{N(C_{4v})}{N(\text{cubic})} = 2.7,$$

which may be compared with results described for  $\text{CaF}_2:\text{Er}^{3+}$ .<sup>9</sup> For 0.1%  $\text{Er}^{3+}$  the concentration of cubic centers is almost twice that of  $C_{4v}$  centers, but for 0.015%  $\text{Er}^{3+}$  the situation is reversed and the  $C_{4v}$  center concentration is about 1.5 times the cubic center concentration. These results are based on theoretical predictions and indirect estimates of the cubic center concentration from the total  $\text{Er}^{3+}$  concentration.

As the  $\text{Tm}^{3+}$  concentration is nominally 0.01% for the absorption spectrum analyzed, our result agrees with the  $\text{CaF}_2:\text{Er}^{3+}$  0.015% findings. For higher  $\text{Tm}^{3+}$  concentrations up to 0.05%, the relative concentration of cubic centers increases, but the ratio achieved was found to be more a function of the crystal growth rate rather than the  $\text{Tm}^{3+}$  concentration.

The usefulness of the  $\text{Tm}^{3+}$  estimates is that they do not rely on any model of defect aggregation in  $\text{CaF}_2$ , but follow directly from measurements of relative line strengths and known crystal-field wave functions.

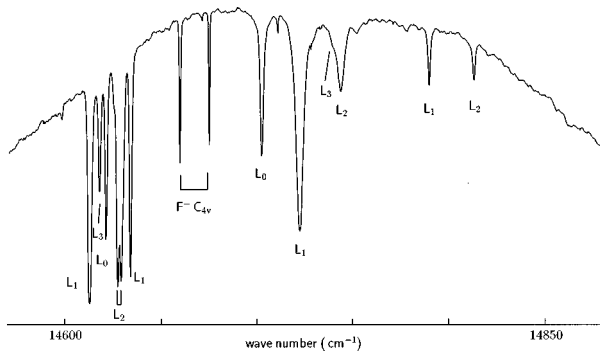


FIG. 8. 10 K laser transmission spectrum of the  ${}^3F_6$  multiplet for deuterated  $\text{CaF}_2:0.05\%\text{Tm}^{3+}$ . The new  $D^-$  centers are labeled  $L_0-L_3$ . The maximum absorption is 99.5% for the 10 mm sample length used.

#### H. $D^-$ -modified centers produced by deuteration of $\text{CaF}_2:\text{Tm}^{3+}$

The introduction of deuteride ( $D^-$ ) ions into rare-earth-doped  $\text{CaF}_2$  crystals produces a large number of new rare-earth centers involving the substitution of  $D^-$  ions for interstitial or lattice  $F^-$  ions.<sup>1-3,19</sup> Several new absorption features appeared in the  ${}^3F_3$  multiplet absorption spectrum of  $\text{CaF}_2:\text{Tm}^{3+}$  and were grouped into two regions, one group near the  $F^-C_{4v}$  center absorption lines around  $14\,700\text{ cm}^{-1}$  and the other group near the  $F^-C_{3v}$  center absorption lines around  $14\,400\text{ cm}^{-1}$ .

As the lower-energy group of lines was beyond the tuning range of the rhodamine 640 dye laser, they were not studied further. They are believed to be  $D^-$ -modified  $C_{3v}$  centers analogous to the  $P$ ,  $L$ ,  $T$ ,  $N$ ,  $S$ , and  $V$  centers found in  $\text{CaF}_2:\text{Er}^{3+}$ . The higher-energy group of lines, which appeared first at the lower  $D^-$  concentrations, was studied by LSE. Figure 8 shows the absorption spectrum of the  ${}^3F_3$  multiplet for this group of lines in deuterated  $\text{CaF}_2:0.05\%\text{Tm}^{3+}$ , obtained by measuring transmitted laser light. The new absorption features are assigned by LSE to four centers, labelled  $L_0-L_3$ . The  ${}^3H_6(Z)\rightarrow{}^3F_3(B)$  excitation and  ${}^3H_4(A)\rightarrow{}^3H_6(Z)$  fluorescence transitions measured for each of these centers are summarized in Table XI.

These absorption features resemble the modified  $D^-C_{4v}$  centers observed for  $\text{CaF}_2:\text{Pr}^{3+}:D^-$ , (Ref. 19), and this is supported by the polarization and bleaching behavior of these centers. The  $L_1$ ,  $L_2$ , and  $L_3$  centers all exhibit reversible polarized bleaching, similar to that previously observed for other rare earths.<sup>1-3,19</sup> From a  $D^-$  concentration series study, the  $L_0$  center forms earliest, followed by the  $L_1$  center. From its being the first center formed and from the ab-

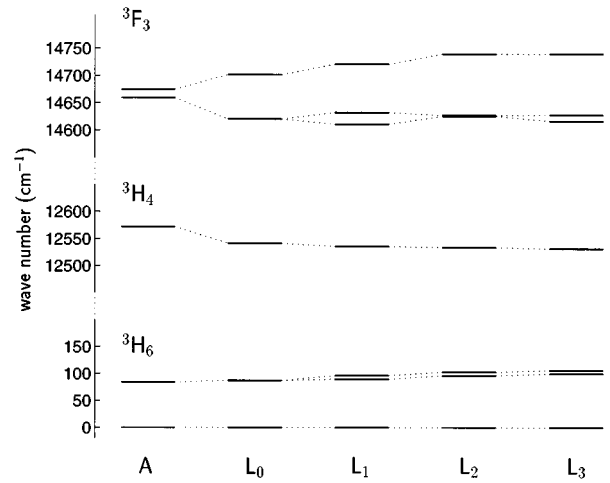


FIG. 9. Energy-level trends from the  $F^-C_{4v}(A)$  center to the hydrogenic centers ( $L_0-L_3$ ).

sence of any bleaching behavior, the  $L_0$  center is assigned as the  $D^-$  analog of the  $C_{4v}$  center with just a charge-compensating  $D^-$  ion located in the nearest-neighbor interstitial position. The  $L_1$ ,  $L_2$ , and  $L_3$  centers then follow as the first three members of a series analogous to the five  $CS$  centers identified for  $\text{CaF}_2:\text{Pr}^{3+}$ . Of these, the  $L_1$  center is believed to correspond to the  $CS(1)$  center involving one interstitial and one substitutional  $D^-$  ion.

The  ${}^3H_4(1)\rightarrow{}^3H_6(1)$  transition to the ground state is a  $\gamma_3$  singlet to  $\gamma_3$  singlet transition whose energy is relatively insensitive to the crystal field. For the  $L_0$  to  $L_3$  centers, this transition follows a systematic trend (Fig. 9 and Table II) to lower energies. This is the covalent shift from the successive replacement of  $F^-$  ions by  $D^-$  ions. First the charge-compensating  $F^-$  ion is replaced by a charge-compensating  $D^-$  with a shift from  $12\,571.9$  to  $12\,541.4\text{ cm}^{-1}$ . Then there is a successive replacement of the four  $F^-$  ions between the  $\text{Tm}^{3+}$  ion and its charge compensating  $D^-$  ion.

The parent  $C_{4v}$  center has a  $\gamma_3$  singlet ground state and a  $\gamma_5$  doublet ground state  $84\text{ cm}^{-1}$  above. With the  $L_0$  center assigned as the  $D^-C_{4v}$  center, the same  $\gamma_3$  singlet ground state is expected, and the first excited level of  $\gamma_5$  symmetry is  $87.4\text{ cm}^{-1}$  above. The  $L_1$ ,  $L_2$ , and  $L_3$  centers all have lower than  $C_{4v}$  symmetry as their first excited state of  $\gamma_5$  symmetry is now split into sets of two closely spaced levels (Fig. 9 and Table XI). The similar splittings of about  $6\text{ cm}^{-1}$  of these two levels indicate similar placements of the perturbing  $D^-$  off-axis neighbors in these three centers.

The crystal-field changes between the centers is evident in their absorption transition patterns, which differ markedly

TABLE XI. Transition energies (in  $\text{cm}^{-1}$ ) of the  ${}^3H_6\rightarrow{}^3F_3$  excitation and  ${}^3H_4\rightarrow{}^3H_6$  fluorescence transitions of the  $L_0$  to  $L_3$  centers in deuterated  $\text{CaF}_2:\text{Tm}^{3+}$ .

Center	${}^3H_6\rightarrow{}^3F_3$ excitation			${}^3H_4\rightarrow{}^3H_6$ fluorescence		
	$\gamma_3\rightarrow\gamma_5$	$\gamma_3\rightarrow\gamma_3$	$\gamma_3\rightarrow\gamma_2$	$\gamma_3\rightarrow\gamma_5$	$\gamma_3\rightarrow\gamma_3$	$\gamma_3\rightarrow\gamma_3$
$L_0$	14620.3	14701.4	-	12454.0	12541.4	12541.4
$L_1$	14610.7	14632.2	14720.6	12439.2	12446.1	12535.6
$L_2$	14625.6	14627.1	14739.4	12431.0	12437.5	12533.9
$L_3$	14616.4	14627.8	14739.5	12425.4	12431.7	12531.4

(Fig. 9). The parent  $C_{4v}$  center has two sharp absorption transitions to the two lowest levels of  $\gamma_5$  and  $\gamma_3$  symmetry of the  ${}^3F_3$  multiplet, which are separated by  $15.3 \text{ cm}^{-1}$  and weaker absorption lines to higher levels of this multiplet (not included in Fig. 9). The small splitting of  $15.3 \text{ cm}^{-1}$  is from a partial cancellation between different-rank terms of the  $C_{4v}$  crystal field.

For the  $D^-C_{4v}$  or  $L_0$  center these two lowest levels are  $81.1 \text{ cm}^{-1}$  apart, which is attributed to different proportions of the different rank crystal-field terms for the  $D^-$  charge-compensated center, with much less cancellation.

For the lower-symmetry  $L_1$ – $L_3$  centers, the lowest  $\gamma_5$  level of the  ${}^3F_3$  multiplet is split into two components just as was discussed for the lowest  $\gamma_5$  level of the ground  ${}^3H_6$  multiplet. The  $L_1$  center has these component levels separated by  $21.5 \text{ cm}^{-1}$ , the  $L_2$  center by  $1.5 \text{ cm}^{-1}$ , and the  $L_3$  center by  $11.4 \text{ cm}^{-1}$ .

The  $\gamma_3$  second level of the  ${}^3F_3$  multiplet follows a monotonic trend from  $14\,674.7$  to  $14\,739.4 \text{ cm}^{-1}$  (Fig. 9) as the crystal-field separation of the  $\gamma_5$  and  $\gamma_3$  levels of the  ${}^3F_3$  multiplet increases along the  $L_0$  to  $L_3$  center sequence.

#### IV. CONCLUSIONS

Three single- $\text{Tm}^{3+}$ -ion centers have been identified in  $\text{CaF}_2:\text{Tm}^{3+}$ . Two of these centers were identified using laser-selective excitation and upconversion techniques to

have  $C_{4v}$  and  $C_{3v}$  symmetries, as for the principal and secondary centers found for  $\text{CaF}_2:\text{Er}^{3+}$  (Refs. 1 and 15) and  $\text{CaF}_2:\text{Ho}^{3+}$  (Ref. 4). Irreducible representations of the energy levels of the  $C_{4v}$  center were determined by polarization dependences and used to assign states for a crystal-field fit.

A third center was identified as a cubic-symmetry center from its single strong absorption line in the  ${}^3H_5$  absorption spectrum. The isotropic Zeeman splittings of this line agree quantitatively with the magnetic behavior predicted by a cubic crystal-field analysis. Comparison of the line strength of this one cubic center absorption line with that of a related purely magnetic-dipole-allowed transition of the  $C_{4v}$  center allowed direct determination of the relative  $\text{Tm}^{3+}$  center concentrations. This method should find application for concentration series studies of  $\text{Tm}^{3+}$ ,  $\text{Eu}^{3+}$ , and other centers for which pure magnetic-dipole transitions exist.

$\text{Tm}^{3+}$  centers produced by deuteration are classified into  $D^-$ -modified  $C_{4v}$  and  $D^-$ -modified  $C_{3v}$  centers.

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