

# Impurity scattering effect on the specific-heat jump in anisotropic superconductors

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The specific-heat jump at a normal-superconducting phase transition in an anisotropic superconductor with nonmagnetic impurities is calculated within a weak-coupling mean-field approximation. It is shown that its dependence on the impurity concentration is remarkably different for  $d_{x^2-y^2}$ -wave and  $(d_{x^2-y^2} + s)$ -wave states. This effect may be used as a test for the presence of an  $s$ -wave admixture in the cuprates. [S0163-1829(97)04417-2]

There now exists a considerable experimental evidence supporting  $d$ -wave superconductivity in the cuprates, but the most direct probes of the superconducting state such as the electromagnetic penetration depth, photoemission, and quantum phase interference measurements neither confirm nor exclude a possible small  $s$ -wave admixture in a predominantly  $d_{x^2-y^2}$  superconductor.<sup>1</sup> The linear temperature dependence of the penetration depth at low temperatures<sup>2</sup> observed in  $\text{YBa}_2\text{Cu}_3\text{O}_{7-\delta}$  (YBCO) agrees with the theoretical predictions for a  $d$ -wave state.<sup>3</sup> However, the measurements only went down to about 1 K and an exponential behavior below this temperature, indicating a small nonzero gap minimum cannot be eliminated. Even by taking data at much lower temperatures, the presence of a small  $s$ -wave component in the order parameter cannot be entirely excluded in the penetration depth experiments. Similar constraints limit the angle resolved photoemission spectroscopy (ARPES) method. Although ARPES data<sup>1,4,5</sup> are consistent with a  $d_{x^2-y^2}$  scenario in  $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_8$  (BSCCO) as well as in YBCO, the experiments cannot decide with an accuracy greater than an instrumental resolution if the order parameter completely vanishes at the  $d_{x^2-y^2}$  nodal lines. This leaves the possibility of a small ( $<2$  meV)  $s$ -wave admixture. Therefore the above experimental methods do not rule out the presence of a small isotropic component, but place an upper bound on the minimum of the gap function. As analyzed in Ref. 1, the emerging picture from the Josephson experiments supports a scenario of a real mixture of  $s$  and  $d_{x^2-y^2}$  states in YBCO, but also does not definitely confirm the presence of the  $s$ -wave component. The existence of even a small  $s$ -wave admixture in a  $d$ -wave superconductor may be tested by thermodynamic measurements in the presence of nonmagnetic impurities. It is well known that the  $d$ -wave state is strongly suppressed by the defects,<sup>6,7</sup> and the  $s$ -wave state is not affected by the nonmagnetic scatterers.<sup>8</sup> In the case of a  $(d + s)$ -wave superconductor a power-law  $T_c$  suppression<sup>9</sup> should be observed above a certain impurity-doping level and the thermodynamic properties at large impurity concentration should resemble those of the  $s$ -wave state. In fact the critical temperature of YBCO is decreased below 12 K by the electron-irradiation,<sup>1</sup> and the Pr-doping or ion-beam damage lead to a long tail  $T_c$  suppression<sup>10</sup> characteristic for a small nonzero value of the gap function integrated over the Fermi surface.<sup>9</sup> However, despite the electron-irradiation removing the planar oxygens produces

the nonmagnetic defects,<sup>11</sup> it has not been determined whether the scattering centers created by Pr doping and ion-beam damage in YBCO are purely nonmagnetic.

In the present paper we suggest that more significant features attributed to the  $s$ -wave part of the order parameter may be seen in the specific-heat measurements. We study a nonmagnetic impurity effect on the specific-heat jump at a superconducting-normal phase transition in anisotropic superconductors and show that the result depends on the Fermi surface (FS) averages of the first four powers of the superconducting order parameter. A particularly large difference in the specific-heat jump between the states with a nonzero and a zero value of the order parameter FS average is observed. We suggest that this measurement may be used as a test for the presence of an  $s$ -wave admixture in a  $d_{x^2-y^2}$  state.  $\hbar = k_B = 1$  is taken throughout the paper.

We consider the effect of potential scattering by nonmagnetic, noninteracting impurities on the order parameter with its orbital part defined as

$$\Delta(\mathbf{k}) = \Delta e(\mathbf{k}), \quad (1)$$

where  $e(\mathbf{k})$  is a momentum-dependent function normalized by taking its average value over the Fermi surface  $\langle e^2 \rangle = \int_{\text{FS}} dS_k n(\mathbf{k}) e^2(\mathbf{k}) = 1$ , where  $\int_{\text{FS}} dS_k$  represents the integration over the Fermi surface and  $n(\mathbf{k})$  is the angle-resolved FS density of states, which obeys  $\int_{\text{FS}} dS_k n(\mathbf{k}) = 1$ . This normalization gives  $\Delta$  the meaning of the absolute magnitude of the order parameter. The function  $e(\mathbf{k})$  may belong to a one-dimensional (1D) irreducible representation of the crystal point group or may be given by a linear combination of the basis functions of different 1D representations. The impurity effect is studied in the  $t$ -matrix approximation.<sup>12,13</sup> This approach introduces two parameters describing the scattering process:  $c = 1/(\pi N_0 V_i)$  and  $\Gamma = n_i/(\pi N_0)$ , where  $N_0$ ,  $V_i$ , and  $n_i$  are, respectively, the overall density of states at the Fermi level, the impurity (defect) potential, and the impurity concentration. We assume an  $s$ -wave scattering by the impurities, that is  $V_i$  does not have an internal momentum dependence. It is particularly convenient to think of  $c$  as a measure of the scattering strength, with  $c \rightarrow 0$  in the unitary limit and  $c \gg 1$  for weak scattering, i.e., the Born limit.

The amplitude of the order parameter is determined by the mean-field self-consistent equation

$$\Delta(\mathbf{k}) = -T \sum_{\omega} \sum_{\mathbf{k}'} V(\mathbf{k}, \mathbf{k}') \frac{\tilde{\Delta}(\mathbf{k}')}{\bar{\omega}^2 + \xi_{k'}^2 + |\tilde{\Delta}(\mathbf{k}')|^2}, \quad (2)$$

where  $T$  is the temperature,  $\xi_k$  is the quasiparticle energy,  $\omega = \pi T(2n+1)$  ( $n$  is an integer), and  $V(\mathbf{k}, \mathbf{k}')$  is the phenomenological pair potential taken as

$$V(\mathbf{k}, \mathbf{k}') = -V_0 e(\mathbf{k}) e(\mathbf{k}'). \quad (3)$$

We have assumed a particle-hole symmetry of a quasiparticle spectrum. The renormalized Matsubara frequency  $\bar{\omega}(\mathbf{k})$  and the renormalized order parameter  $\tilde{\Delta}(\mathbf{k})$  are then given by

$$\bar{\omega} = \omega - \Sigma_0, \quad \tilde{\Delta}(\mathbf{k}) = \Delta(\mathbf{k}) + \Sigma_1 \quad (4)$$

with the self-energies defined as

$$\Sigma_0 = -\Gamma \frac{g_0}{c^2 + g_0^2 + g_1^2}, \quad \Sigma_1 = \Gamma \frac{g_1}{c^2 + g_0^2 + g_1^2} \quad (5)$$

and the  $g_0, g_1$  functions determined by the self-consistent equations

$$g_0 = \frac{1}{N_0 \pi} \sum_{\mathbf{k}} \frac{\bar{\omega}}{\bar{\omega}^2 + \xi_k^2 + |\tilde{\Delta}(\mathbf{k})|^2} \quad (6)$$

$$g_1 = \frac{1}{N_0 \pi} \sum_{\mathbf{k}} \frac{\tilde{\Delta}(\mathbf{k})}{\bar{\omega}^2 + \xi_k^2 + |\tilde{\Delta}(\mathbf{k})|^2}. \quad (7)$$

To proceed further, we restrict the wave vectors of the electron self-energy and pairing potential to the Fermi surface and replace  $\Sigma_{\mathbf{k}}$  by  $N_0 \int_{\text{FS}} dS_k n(\mathbf{k}) \int d\xi_k$ . Integrated over  $\xi_k$  the gap equation (2) can be transformed after a standard procedure<sup>14</sup> into

$$\ln\left(\frac{T}{T_{c0}}\right) = 2\pi T \sum_{\omega>0} \left(f_{\omega} - \frac{1}{\omega}\right), \quad (8)$$

where the  $f_{\omega}$  function is defined as

$$f_{\omega} = \int_{\text{FS}} dS_k n(\mathbf{k}) \frac{\tilde{\Delta}(\mathbf{k}) e(\mathbf{k})}{\Delta[\bar{\omega}^2 + |\tilde{\Delta}(\mathbf{k})|^2]^{1/2}}. \quad (9)$$

We expand Eq. (8) in powers of  $\Delta^2$  around  $\Delta=0$  using the relations (4)–(7). Keeping up to the fourth power terms in  $\Delta$  we get the gap equation in the Ginzburg-Landau regime

$$\ln\left(\frac{T}{T_{c0}}\right) = -f_0 - \frac{1}{2} f_1 \left(\frac{\Delta}{2\pi T}\right)^2 + \frac{1}{4} f_2 \left(\frac{\Delta}{2\pi T}\right)^4 \quad (10)$$

where the coefficients are given by

$$f_0 = -2\pi T \sum_{\omega>0} \left(f_{\omega} \Big|_{\Delta=0} - \frac{1}{\omega}\right), \quad (11)$$

$$f_1 = -(2\pi T)^3 \sum_{\omega} \left(\frac{df_{\omega}}{d\Delta^2}\right)_{\Delta=0}, \quad (12)$$

$$f_2 = 2(2\pi T)^5 \sum_{\omega} \left(\frac{d^2 f_{\omega}}{d(\Delta^2)^2}\right)_{\Delta=0}. \quad (13)$$

Taking the derivatives with respect to  $\Delta^2$

$$\frac{d}{d\Delta^2} = \frac{\partial}{\partial\Delta^2} + \sum_{\omega} \left\{ \frac{d\bar{\omega}}{d\Delta^2} \frac{\partial}{\partial\bar{\omega}} + \frac{d\tilde{\Delta}(\mathbf{k})}{d\Delta^2} \frac{\partial}{\partial\tilde{\Delta}(\mathbf{k})} \right\} \quad (14)$$

and with a use of the relations given in Eqs. (4)–(7) we calculate  $f_0$  and  $f_1$  coefficients

$$f_0(\varrho) = (1 - \langle e \rangle^2) \left[ \psi\left(\frac{1}{2} + \varrho\right) - \psi\left(\frac{1}{2}\right) \right], \quad (15)$$

$$\begin{aligned} f_1(\varrho) = & 2\langle e \rangle [2\langle e^3 \rangle + 5\langle e \rangle^3 - 7\langle e \rangle] \varrho^{-2} \left[ \psi\left(\frac{1}{2} + \varrho\right) \right. \\ & - \psi\left(\frac{1}{2}\right) \left. \right] + 2\langle e \rangle [-2\langle e^3 \rangle - 3\langle e \rangle^3 + 5\langle e \rangle] \varrho^{-1} \\ & \times \psi^{(1)}\left(\frac{1}{2} + \varrho\right) + 4\langle e \rangle^2 [1 - \langle e \rangle^2] \varrho^{-1} \psi^{(1)}\left(\frac{1}{2}\right) \\ & + \frac{1}{2} [-\langle e^4 \rangle + 3\langle e \rangle^4 + 4\langle e \rangle \langle e^3 \rangle - 6\langle e \rangle^2] \\ & \times \psi^{(2)}\left(\frac{1}{2} + \varrho\right) - \frac{1}{2} \langle e \rangle^4 \psi^{(2)}\left(\frac{1}{2}\right) + \frac{1}{6} [2(\langle e \rangle^2 - 1)^2 \\ & \times (c^2 + 1)^1 - \langle e \rangle^4 + 2\langle e \rangle^2 - 1] \varrho \psi^{(3)}\left(\frac{1}{2} + \varrho\right), \quad (16) \end{aligned}$$

where  $\varrho = [\Gamma/(c^2 + 1)]/(2\pi T)$  and  $\psi, \psi^{(n)}$  ( $n=1,2,3$ ) are the polygamma functions.<sup>15</sup> In the unitary limit  $c \rightarrow 0$  and  $\varrho = \Gamma/(2\pi T)$ . Alternatively for weak scattering ( $c \gg 1$ ) we keep only the terms linear in  $1/c^2$  in a Taylor's expansion which leads to the Born approximation scattering rate  $\varrho = \pi N_0 n_i V_i^2/(2\pi T)$  and  $\varrho/(c^2 + 1) = 0$ . Coefficients  $f_0$  and  $f_1$  involve three different types of the Fermi surface averages of the order parameter namely,  $\langle e \rangle$ ,  $\langle e^3 \rangle$ , and  $\langle e^4 \rangle$ . These averages enter the free energy and determine the thermodynamic properties at the phase transition. In this paper we discuss a specific-heat jump at  $T_c$ ,  $\Delta C(T_c) = C_S(T_c) - C_N(T_c)$ , where  $C_S(T_c)$  and  $C_N(T_c)$ , respectively are the specific heat of the superconducting and normal state,  $C_N(T_c) = (2\pi^2/3)N_0 T_c$ . We obtain<sup>14</sup> from Eq. (10)

$$\frac{\Delta C(T_c)}{C_N(T_c)} = \frac{12}{(f_1)_{T=T_c}} \left[ 1 + T_c \left( \frac{df_0}{dT} \right)_{T=T_c} \right]^2 \quad (17)$$

and finally,  $f_0$  from Eq. (15) yields

$$\frac{\Delta C(T_c)}{C_N(T_c)} = \frac{12}{f_1(\varrho_c)} \left[ 1 + (\langle e \rangle^2 - 1) \varrho_c \psi^{(1)}\left(\frac{1}{2} + \varrho_c\right) \right]^2, \quad (18)$$

where  $\varrho_c$  is  $\varrho$  at  $T=T_c$ . This rather cumbersome formula, when considered along with Eq. (16), reduces significantly for the  $\langle e \rangle = 0$  case:

$$\frac{\Delta C(T_c)}{C_N(T_c)} = \frac{12[1 - \varrho_c \psi^{(1)}[(1/2) + \varrho_c]]^2}{\frac{\mu}{6} \varrho \psi^{(3)}[(1/2) + \varrho_c] - (1/2) \langle e^4 \rangle \psi^{(2)}[(1/2) + \varrho_c]}, \quad (19)$$

where  $\mu = (1 - c^2)/(1 + c^2)$ . For an appropriate choice of  $\langle e^4 \rangle$  value,  $\Delta C(T_c)/C_N(T_c)$  from Eq. (19) agrees with the result obtained by Hirschfeld *et al.*<sup>13</sup> as well as that by Suzumura and Schulz<sup>16</sup> in the Born limit.

It is informative to discuss the limiting cases of Eq. (18), that is a pure system where  $\varrho_c = 0$  and a highly impure one with  $\varrho_c \rightarrow \infty$  in which  $T_c \rightarrow 0$  suppressed by the impurities. Using a series representation of the  $f_1$  function<sup>17</sup> we get in the  $\varrho_c = 0$  limit

$$\left( \frac{\Delta C(T_c)}{C_N(T_c)} \right)_{\varrho_c=0} = - \frac{24}{\psi^{(2)}(1/2)\langle e^4 \rangle} \approx \frac{1.426}{\langle e^4 \rangle}. \quad (20)$$

The  $\varrho_c \rightarrow \infty$  limit is obtained with a use of Eq. (16) and asymptotic forms of polygamma functions.<sup>15</sup> There are two cases to distinguish here. First, when the Fermi surface average of the order parameter  $\langle e \rangle \neq 0$  then

$$\left( \frac{\Delta C(T_c)}{C_N(T_c)} \right)_{\varrho_c \rightarrow \infty} = - \frac{24}{\psi^{(2)}(1/2)} \approx 1.426 \quad (21)$$

and the second,<sup>18</sup> with  $\langle e \rangle = 0$ , which leads to

$$\left( \frac{\Delta C(T_c)}{C_N(T_c)} \right)_{\varrho_c \rightarrow \infty} = 0. \quad (22)$$

We note, that a specific-heat jump value in the  $\varrho_c \rightarrow \infty$  limit for a nonzero value of  $\langle e \rangle$  given by Eq. (21) agrees with that of an isotropic  $s$ -wave superconductor. This fact has a simple intuitive interpretation. A nonzero Fermi surface average of the order parameter leads to an asymptotic power-law critical temperature suppression for large impurity concentration<sup>9</sup>  $T_c \sim (T_{c0})^{1/\langle e \rangle^2} [\Gamma/(c^2 + 1)]^{(1-1/\langle e \rangle^2)}$ , therefore  $T_c$  is almost constant for large  $\Gamma$  values. The impurity effect, then, in the large impurity concentration range is the same as in the case of  $s$ -wave superconductivity, where  $T_c$  is not changed by the nonmagnetic impurities. Indeed, as it has been shown for the representative order parameters,<sup>19,20</sup> the gap anisotropy is smeared out by the isotropic scattering when  $\langle e \rangle \neq 0$  and the density of states approaches that of an isotropic  $s$ -wave superconductor. Alternatively, for  $\langle e \rangle = 0$  we observe a strong impurity-induced suppression of the critical temperature<sup>6,7</sup> leading to a zero value at finite impurity concentration, which is reflected by a zero specific-heat jump limit value in Eq. (22). As a nonzero value of  $\langle e \rangle$  can be achieved only when  $e(\mathbf{k})$  contains a component belonging to an identity representation of the crystal point group, the measurement of the specific-heat jump at the phase transition in the limit of  $T_c \rightarrow 0$  (and large impurity concentration) may be used as a stringent test for the occurrence of even a small  $A_{1g}$  admixture to the order parameter. It should be noted that the effect at large impurity concentration for  $\langle e \rangle \neq 0$  [Eq. (21)] is independent of the amount of the  $s$ -wave content in the order parameter, however, as we discuss below, it may be hard to detect for a very small  $s$ -wave component as it would require an experiment at low temperatures.

We discuss our results in a context of high- $T_c$  superconductivity, considering a  $d_{x^2-y^2}$  state,<sup>1</sup> that is the order parameter given by Eq. (1) with  $e(\mathbf{k}) = (k_x^2 - k_y^2) \langle (k_x^2 - k_y^2)^2 \rangle^{-1/2}$ . As we have mentioned above, our main result that is the

value of the specific-heat jump at  $T_c \rightarrow 0$  is independent of the amplitude of the  $s$ -wave component and its origin. However, in order to establish a quantitative behavior of  $\Delta C(T_c)$  in a whole range of impurity doping we must work with a particular level of  $s$ -wave admixture. We do this by assuming that the  $s$ -wave component is an artifact of an orthorhombic anisotropy of the system and relate the amount of the  $s$ -wave admixture to the degree of this anisotropy.<sup>9,21</sup> This approach gives a semimicroscopic justification for the  $(d+s)$ -wave state. The orthorhombicity in the case of YBCO means that the  $a$ - and  $b$ -crystal axes in the  $\text{CuO}_2$  planes become inequivalent, which leads, with a simple approximation of an elliptical Fermi surface, to the following form of an energy band:<sup>9</sup>

$$\xi_{\mathbf{k}} = c_x k_x^2 + c_y k_y^2 - \epsilon_F, \quad (23)$$

where a ratio of the effective masses  $c_x/c_y$  is a dimensionless parameter describing the orthorhombic anisotropy of the Fermi surface and  $\epsilon_F$  is the Fermi energy. It is easy to see within this model, that a  $(d_{x^2-y^2} + s)$  state emerges from  $d_{x^2-y^2}$  in a natural way due to the orthorhombic distortion of the crystal lattice. A straightforward calculation based on a transformation from an elliptical FS to a circular one shows that the normalized  $d_{x^2-y^2}$  order parameter defined on the FS given by Eq. (23) can be represented on a circular FS as

$$\Delta(\mathbf{k}) = \Delta \frac{1 + (c_x/c_y)}{[(3/2) - (c_x/c_y) + (3/2)(c_x/c_y)^2]^{1/2}} \times \left[ \cos 2\varphi + \frac{1 - (c_x/c_y)}{1 + (c_x/c_y)} \right], \quad (24)$$

where  $\varphi$  is the polar angle. In order to clarify the terminology, we will refer to the circular Fermi surface when classifying the superconducting states. Therefore, as a  $d_{x^2-y^2}$  we define a state with  $e(\mathbf{k})$  proportional to  $\cos 2\varphi$  and the states with a nonzero  $s$ -wave contribution are called  $(d_{x^2-y^2} + s)$ . We note, that the order parameter from Eq. (24) is  $d_{x^2-y^2}$  when  $c_x/c_y = 1$  only, that is for a tetragonal symmetry, otherwise it contains a nonzero  $s$ -wave component proportional to  $(1 - c_x/c_y)$ . In Table I we present as the functions of the orthorhombic anisotropy parameter  $c_x/c_y$  the Fermi surface averages which enter the Ginzburg-Landau coefficients  $f_0$  and  $f_1$  given in Eqs. (15) and (16). We emphasize, that the assumption of the orthorhombic asymmetry as the mechanism producing the  $s$ -wave admixture in the order parameter does not affect the results since only the amplitude of this component matters in the calculation. Thus one can obtain the same results in a more phenomenological way assuming the presence of the  $s$ -wave phase and taking its level as given by  $\langle e \rangle$  in Table I for the  $c_x/c_y$  values considered in this paper.<sup>22</sup>

Based on the discussion of the specific-heat jump for a large impurity concentration in Eqs. (21) and (22) we can discuss this limit for  $d$ - and  $(d+s)$ -wave superconductors. For a pure  $d_{x^2-y^2}$  state ( $c_x/c_y = 1$ ) we have  $\langle e \rangle = 0$ . Therefore the specific-heat jump decreases to zero with a critical temperature driven to zero by impurities as in Eq. (22). On the other hand, even a slight  $s$ -wave component yields  $\langle e \rangle \neq 0$  and the specific-heat jump increases and reaches a

TABLE I. The elliptical Fermi surface averages of the powers of the normalized order parameter  $e(\mathbf{k}) = (k_x^2 - k_y^2) \langle (k_x^2 - k_y^2)^2 \rangle^{-1/2}$ .

$\nu\left(\frac{c_x}{c_y}\right)$	$\left[\frac{3}{2} - \frac{c_x}{c_y} + \frac{3}{2} \left[\frac{c_x}{c_y}\right]^2\right]^{-1/2}$
$\langle e \rangle$	$\nu\left(\frac{c_x}{c_y}\right) \left[1 - \frac{c_x}{c_y}\right]$
$\langle e^2 \rangle$	1
$\langle e^3 \rangle$	$\nu^3\left(\frac{c_x}{c_y}\right) \left[ \frac{5}{2} \left[1 - \left[\frac{c_x}{c_y}\right]^3\right] - \frac{3}{2} \frac{c_x}{c_y} \left[1 - \frac{c_x}{c_y}\right] \right]$
$\langle e^4 \rangle$	$16\nu^4\left(\frac{c_x}{c_y}\right) \left[ \frac{35}{128} \left[1 + \frac{c_x}{c_y}\right]^4 - \frac{5}{4} \left[1 + \frac{c_x}{c_y}\right]^3 + \frac{9}{4} \left[1 + \frac{c_x}{c_y}\right]^2 - 2 \left[1 + \frac{c_x}{c_y}\right] + 1 \right]$

finite nonzero value at  $T_c \rightarrow 0$  given by Eq. (21). Below, we present the specific-heat jump at the phase transition normalized by the specific heat in a normal state as a function of the normalized impurity scattering rate  $\varrho_c T_c / T_{c_0}$  in the Born limit [Fig. 1(a)], where  $\varrho_c T_c / T_{c_0} = \pi N_0 n_i V_i^2 / (2\pi T_{c_0})$  and in the unitary limit [Fig. 1(b)] with  $\varrho_c T_c / T_{c_0} = \Gamma / (2\pi T_{c_0})$ . Note that  $N_0 = (c_x c_y)^{-1/2} S / (2\pi \hbar^2)$ , where  $S$  is a sample surface area, hence  $T_{c_0}$  is different for different values of the  $c_x c_y$  product. In the Figs. 2(a) and 2(b) we show the same  $\Delta C(T_c) / C_N(T_c)$  data versus the normalized critical temperature  $T_c / T_{c_0}$ . The considered states contain a small  $s$ -wave admixture varying from about 8 to 16 %, therefore we observe a strong  $T_c$  suppression by the nonmagnetic impurities and a fast decrease in the specific-heat jump as long as a significant  $d$ -wave component is present. Once it is almost destroyed and the  $s$ -wave part, which is insensitive to the nonmagnetic defects, prevails, the BCS normalized specific-heat jump value of about 1.426 is restored in a sudden increase of  $\Delta C(T_c) / C_N(T_c)$ . The general tendency of the  $T_c$  suppression, given by Eq. (10) at  $\Delta = 0$ , changes at that doping level too and the critical temperature asymptotically goes to zero (Fig. 3). For the sake of comparison we show in Fig. 4 the specific-heat jump  $\Delta C(T_c)$  normalized by  $C_N(T_c)$  as a function of the impurity scattering rate  $\varrho_c T_c / T_{c_0}$  in the Born and unitary limits for the  $(s + d_{x^2-y^2})$  state, where the  $s$ -wave component is large ( $\sim 60\%$ ) and the  $d_{x^2-y^2}$  part is considered as minor.

One can notice from the above figures that the unitary and Born scattering limits differ for small values of the pair-breaking parameter and fall on the same curve in the range where practically the  $s$ -wave superconductivity is only left. The pair-breaking parameter  $\varrho_c T_c / T_{c_0}$ , however, has a different meaning in either case.

We have mentioned before that a detection of a small  $s$ -wave component would need a measurement at low temperatures. For instance, in a superconductor of the critical temperature in a clean limit  $T_{c_0} = 90$  K an  $s$ -wave content of about 7.4% ( $\langle e \rangle \approx 0.074$ ) can be observed at a temperature

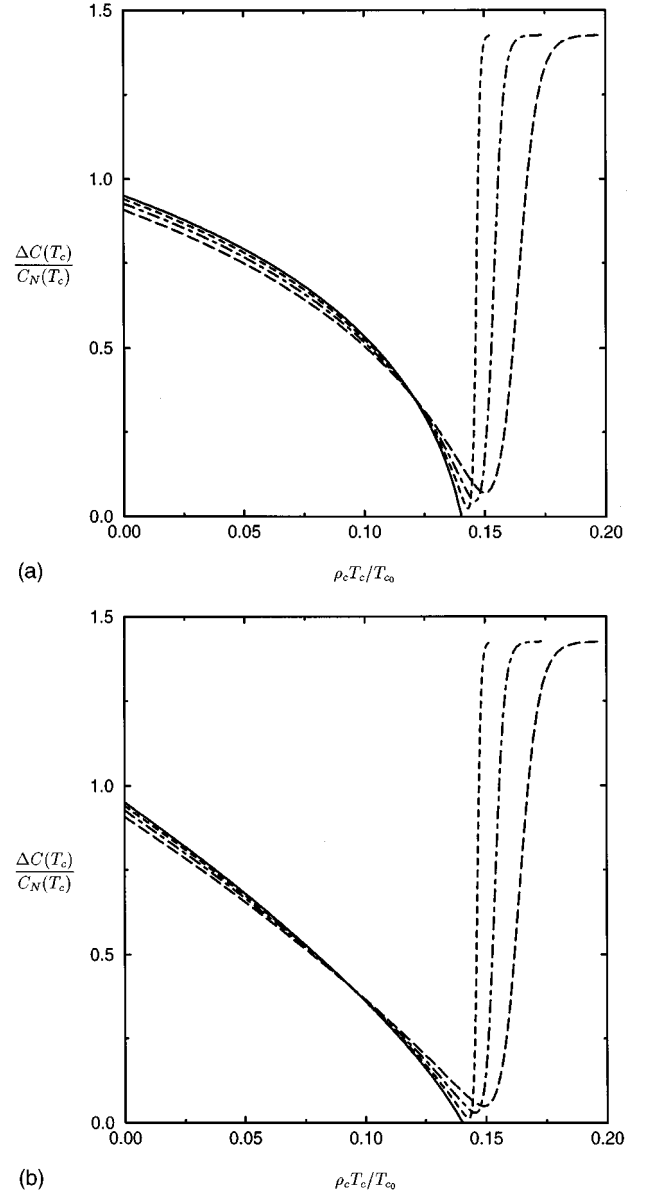


FIG. 1. Jump in specific heat at  $T_c$  normalized by the normal-state specific heat at  $T_c$  as a function of the normalized impurity scattering rate for  $c_x/c_y = 1$ , i.e.,  $\langle e \rangle = 0$  (solid),  $c_x/c_y = 0.9$ , i.e.,  $\langle e \rangle \approx 0.0742$  (short dashed),  $c_x/c_y = 0.85$ , i.e.,  $\langle e \rangle \approx 0.1139$  (dot dashed),  $c_x/c_y = 0.8$ , i.e.,  $\langle e \rangle \approx 0.1552$  (long dashed): (a) Born limit, (b) unitary limit.

of  $\sim 2.5$  K, which is the estimated position of the  $\Delta C(T_c) / C_N(T_c)$  minimum in Figs. 2(a) and 2(b). This minimum is a place where a distinct signal from the  $s$ -wave component appears, therefore its position is of special interest for possible experiments. We have found the minimum coordinates  $(\varrho_c T_c / T_{c_0})^*$  (Fig. 5) and  $(T_c / T_{c_0})^*$  (Fig. 6) as the functions of the order parameter FS average value  $\langle e \rangle$ , which multiplied by 100% gives the  $s$ -wave fraction in per cent in the normalized to unity order parameter  $\langle e^2 \rangle = 1$ . A plot of  $(\varrho_c T_c / T_{c_0})^*$  vs  $\langle e \rangle$  in Fig. 5 may also be of experimental use, since the scattering rate  $\varrho_c T_c / T_{c_0}$  is proportional to the impurity concentration, which can be estimated in the measurements. As one can see from Figs. 5 and 6 the measurements at low temperatures are required for small  $s$ -wave ad-

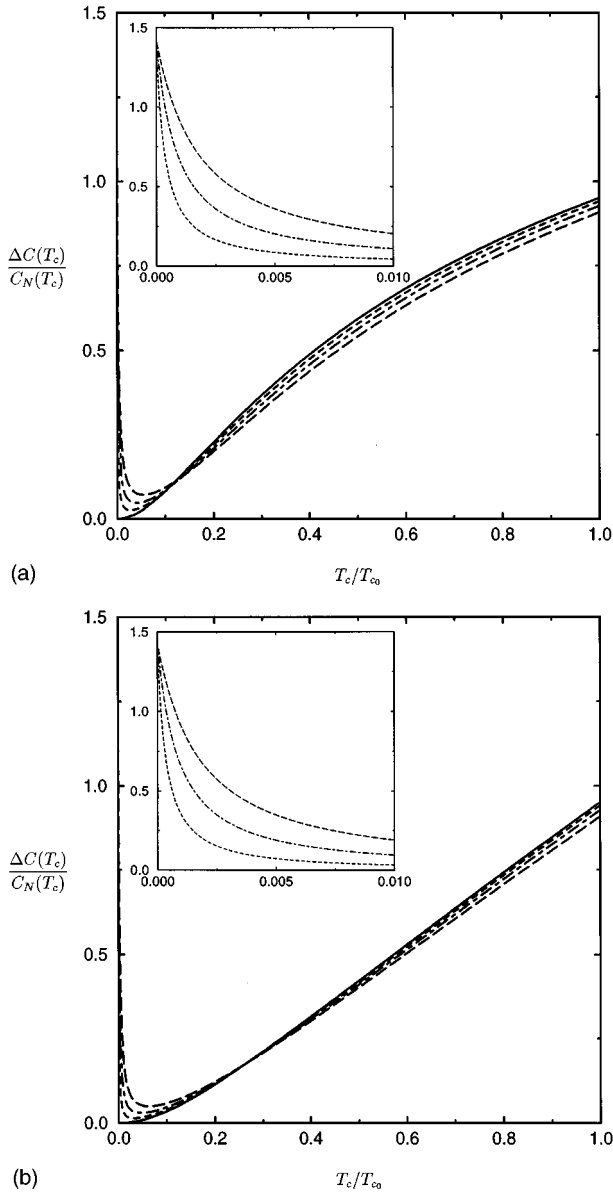


FIG. 2. Jump in specific heat at  $T_c$  normalized by the normal-state specific heat at  $T_c$  as a function of the normalized critical temperature  $T_c/T_{c0}$  for  $\langle e \rangle = 0$  (solid),  $\langle e \rangle \approx 0.0742$  (short dashed),  $\langle e \rangle \approx 0.1139$  (dot dashed),  $\langle e \rangle \approx 0.1552$  (long dashed): (a) Born limit, (b) unitary limit. The insets show  $\Delta C(T_c)/C_N(T_c)$  in the range of small  $T_c$ .

mixtures, however, they are to be performed at the phase transition which should be accessible as long as  $T_c$  is measurable. Assuming that a possible  $s$ -wave admixture is of the order of magnitude of the experimental resolution error ( $\sim 2.5$  meV) in the ARPES measurements<sup>5</sup> of the smallest energy gap values, we can estimate its fraction as a ratio  $2.5 \text{ meV}/34 \text{ meV} \approx 0.07$ , where 34 meV is a measured maximum  $|\Delta|$  value. Therefore from Fig. 6 we find that the abrupt rise in the normalized specific-heat jump should be observed at  $T_c \sim 2.5$  K in a superconductor of critical temperature in the absence of impurities  $T_{c0} = 90$  K. Experiments<sup>23</sup> investigating the disorder effect on the specific-heat jump at  $T_c$  in YBCO show  $\Delta C(T_c)/T_c$  suppression to zero with the increasing impurity concentration. However, the magnetic de-

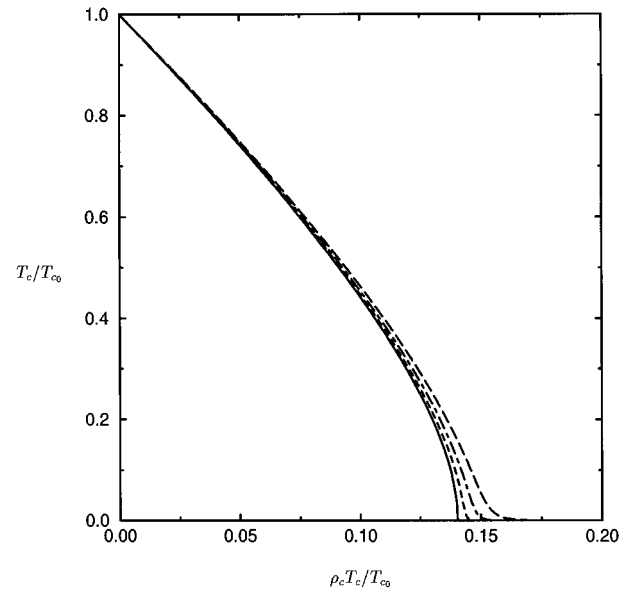


FIG. 3. Normalized critical temperature  $T_c/T_{c0}$  as a function of the normalized impurity scattering rate for  $\langle e \rangle = 0$  (solid),  $\langle e \rangle \approx 0.0742$  (short dashed),  $\langle e \rangle \approx 0.1139$  (dot dashed),  $\langle e \rangle \approx 0.1552$  (long dashed).

fects, which act as the pair breakers on both the  $d$ -wave and the  $s$ -wave states, were probably present in these studies.

It is noteworthy that the effect of an abrupt rise in the specific-heat jump at  $T_c$  may be observed even in the purely  $d$ -wave superconductors in the presence of a perpendicular magnetic field ( $\mathbf{H} \parallel c$  axis). The  $s$ -wave component in this case may be induced by the vortices.<sup>24</sup>

We have derived the specific-heat jump from a mean-field weak-coupling theory, neglecting the fluctuations and the strong-coupling effects. As the observation of thermody-

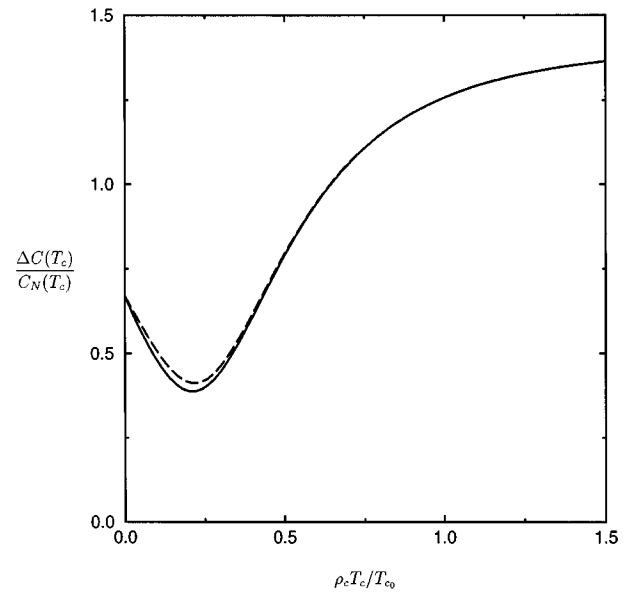


FIG. 4. Jump in specific heat at  $T_c$  normalized by the normal-state specific heat at  $T_c$  as a function of impurity scattering rate for  $\langle e \rangle \approx 0.6058$  ( $c_x/c_y = 0.3$ ) in the Born (dashed) and unitary (solid) limits.

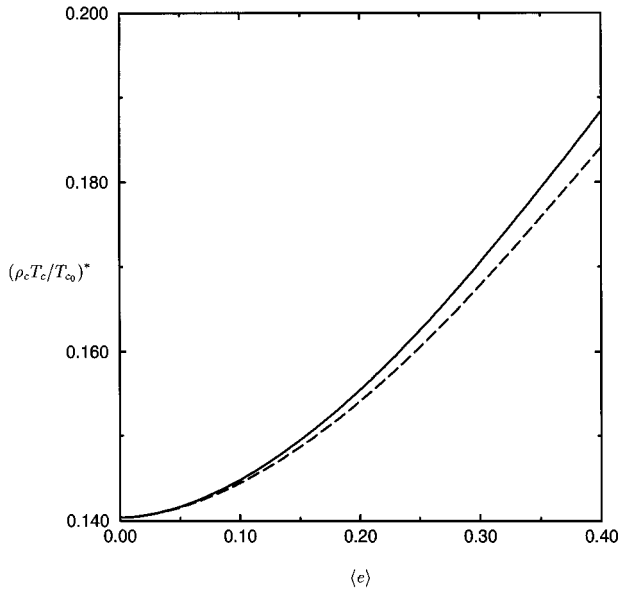


FIG. 5. Position of the minimum in the normalized specific-heat jump  $\Delta C(T_c)/C_N(T_c)$  on  $\rho_c T_c/T_{c0}$  axis [Figs. 1(a) and 1(b)] as a function of the  $s$ -wave component content  $\langle e \rangle$  in the Born (dashed) and unitary (solid) limits.

dynamic fluctuations in the specific heat of crystals of YBCO has been reported,<sup>25</sup> we expect our BCS result to be modified by the deviations from the mean-field approximation. We hope, however, that the feature of a sharp upturn in the specific heat will be still present. The strong-coupling corrections will rescale the scattering rates<sup>7</sup> and may change the magnitude of the specific-heat jump.<sup>26,27</sup>

In conclusion, we have calculated the electronic specific-heat difference between the superconducting and normal state at the phase transition as a function of the nonmagnetic impurity scattering rate in the general case of an anisotropic

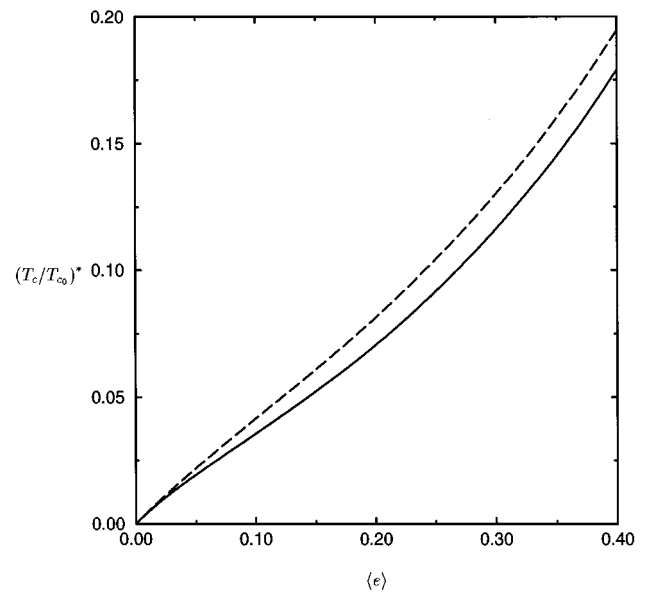


FIG. 6. Position of the minimum in the normalized specific-heat jump  $\Delta C(T_c)/C_N(T_c)$  on  $T_c/T_{c0}$  axis [Figs. 2(a) and 2(b)] as a function of the  $s$ -wave component content  $\langle e \rangle$  in the Born (dashed) and unitary (solid) limits.

superconductor. We have found that the result depends on the symmetry of the order parameter, given by a function  $e(\mathbf{k})$ , and that of the Fermi surface through the FS averages  $\langle e \rangle$ ,  $\langle e^3 \rangle$ , and  $\langle e^4 \rangle$ . A remarkably different dependence of the specific-heat jump on the impurity concentration for the systems with  $\langle e \rangle = 0$  and  $\langle e \rangle \neq 0$  is observed. We suggest that this effect may be used as a test for the  $s$ -wave component in the order parameter of the cuprates.

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