# Comprehensive numerical simulation of defect density and temperature-dependent transport properties in hydrogenated amorphous silicon

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In this work the mobility-lifetime products of electrons and holes,  $(\mu\tau)_e$  and  $(\mu\tau)_h$ , the response time of the photocurrent  $\tau_R$  and the electron drift mobility  $\mu_{de}$  have been numerically simulated as a function of the dangling bond density  $N_d$  and temperature T in hydrogenated amorphous silicon. We have considered all possible recombination and reemission processes occurring between extended and localized states. The simulated results are in good agreement with experimental data.  $(\mu\tau)_h$  in undoped *a*-Si:H is insensitive to  $N_d$  in the low- $N_d$  range in contrast to  $(\mu\tau)_e$ . This asymmetric  $N_d$  dependence of  $(\mu\tau)_e$  and  $(\mu\tau)_h$  is attributed to the inherent asymmetry of the density-of-states distribution of the conduction- and valence-band tails. The  $\mu\tau$  products and  $\tau_R$  decrease with the generation rate, whereas  $\mu_{de}$  increases. The effect of thermal broadening of the band-tail states must be taken into account in the simulation, especially the increase of the characteristic energy of the conduction band-tail states with T. Apart from the defect states, the band-tail states play a very important role in the determination of the photocarrier lifetimes. In the low- $N_d$  range, recombination via the band-tail states dominates over that via dangling bonds, while dangling bonds become the predominant recombination centers in the high- $N_d$  range. The transition from the tail-state-dominated to the defect-state-dominated recombination process depends essentially on the defect density, the temperature, the generation rate, and the Fermi-level position.

## I. INTRODUCTION

In the last two decades detailed studies have been performed to investigate the transport properties of hydrogenated amorphous silicon (a-Si:H)-related materials due to their great potential for photovoltaic and microelectronic device applications. The dangling bonds are believed to be the predominant recombination centers,<sup>1-5</sup> therefore the density of dangling bonds  $(N_d)$ should solely determine the recombination lifetimes ( $\tau_e$  and  $\tau_h$ ) of both photogenerated electrons and holes.<sup>6</sup> However, many experimental results show that  $N_d$  is not the only factor which determines the photoconductivity  $(\sigma_{\rm pc})$  in undoped *a*-Si:H, especially when  $N_d$  is low.<sup>7-9</sup> Furthermore, it is found that the mobility-lifetime products of electrons and holes  $[(\mu\tau)_e \text{ and } (\mu\tau)_h]$  show an asymmetric dependence on  $N_d$ . Increasing  $N_d$  up to about  $5 \times 10^{16}$  cm<sup>-3</sup> does not significantly change the ambipolar diffusion length  $(L_{\rm amb})$  which is determined by  $(\mu\tau)_h$  in undoped *a*-Si:H, whereas  $\sigma_{\rm pc}$ , which is dominated by  $(\mu\tau)_e$ , is very sensitive to  $N_d$ .<sup>10-13</sup> The asymmetric  $N_d$  dependence is difficult to be understood in the framework of the defect-dominated recombination mechanism alone. Previously, Sauvain et al. suggested that the change of the charged states of the dangling bonds with  $N_d$  is responsible for the effect.<sup>11</sup> However, the present authors proposed in an analytical model that recombination via the valence-band-tail states is the reason for it.<sup>12</sup>

Since no concensus for the explanation of the asymmetric behavior has been reached so far, in the present paper we explore the problem using numerical simulation. Simmons and Taylor extended the Shockley-Read-Hall statistics<sup>14</sup> to amorphous semiconductors, which have a continuous distribution of localized states in the band gap,<sup>15</sup> and simulated the photoconductivity in a-Si:H-based materials.<sup>16</sup> Since then, numerical simulation has been extensively used to study the transport properties. The first step in numerical simulation is to choose a proper transport mechanism. The multiple-trapping model has been successfully used to explain photocarrier transport at temperatures above 150 K.<sup>6,7,17-23</sup> The second step in modeling is to assume the distribution of the density of states (DOS) in the mobility gap. In the literature there are two extremes of the assumed DOS distributions of a-Si:H. In the first extreme, one neglects the dangling-bond states, and only the recombinations in the tail states are considered.<sup>7,16</sup> The reverse occurs in the second extreme; that is, one considers only the defect states as recombination centers.<sup>18-21</sup> But it is well known that both the conduction-band-tail (CBT) and valence-band-tail (VBT) states and defect states actually coexist in a-Si:H-based materials. All these localized states may play an important role in the recombination processes. In this paper we present a comprehensive simulation, which includes recombinations in the tails

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and the defect states.

The structure of the paper is the following: in Sec. II, we describe the model of simulation which is based on Schockley-Read-Hall statistics. We have considered all possible trapping, recombination, and reemission processes which may occur between the extended and localized states in the gap. In Sec. III we simulate  $(\mu\tau)_e$  and  $(\mu\tau)_h$ , then the response time  $(\tau_R)$  of photocurrent and the electron drift mobility  $(\mu_{de})$  as a function of  $N_d$ . The simulation reproduces quite well the asymmetric  $N_d$  dependence of the  $\mu\tau$  products. It shows that the asymmetric  $N_d$ dependence of the  $\mu\tau$  products is caused by the inherent asymmetry of the DOS distributions of the CBT and VBT states.

A key parameter determining the proper carrier transport mechanism is the temperature (T), because the reemission rate of trapped carriers is strongly dependent on this quantity. Therefore, in order to check the validity of our simulation model, we have also calculated the Tdependence of these transport parameters in Sec. IV. In the temperature range from 150 to 450 K, the simulated results are in good agreement with experimental data. In addition, from the comparison of the simulation with experimental results we conclude that the increase of the characteristic energy of the band-tail states with increasing T must be taken into account.

## **II. THEORY OF THE SIMULATION**

### A. Model of the DOS structure

Although the real DOS structure in the mobility gap of a-Si:H can be quite complicated, and depends on preparation methods and post-growth treatments, in our simulation we choose the most frequently used DOS structure in the literature as shown in Fig. 1. The extended states of the conduction and valence bands are separated from their respective tail states by their mobility edges  $E_c$  and  $E_v$ . The DOS distributions for the CBT and VBT states are assumed to be single exponential functions of energy with their respective characteristic energies  $E_{oc}$  and  $E_{ov}$ ,

$$N_{ct}(E) = N_{co} e^{-(E_c - E)/E_{oc}}, \quad N_{vt}(E) = N_{vo} e^{-E/E_{ov}}, \quad (1)$$

where E is the energy level measured from  $E_v$ .  $N_{co}$  and  $N_{vo}$  are the density of states at  $E_c$  and  $E_v$ , respectively.

The dangling bonds have three possible charge states, namely the negatively charged  $D^-$  state in which an additional electron is trapped, the neutral  $D^0$  state which has its own electron, and the positively charged  $D^+$  state in which a hole is trapped. Some researchers have proposed Gaussian functions to describe the distributions of these defect states in energy.<sup>6</sup> However, as will be dis-



FIG. 1. Model of the density-of-states distribution in the mobility gap used for the simulation.

cussed below, since the peak positions of the defect states are far away from both  $E_c$  and  $E_v$  and the width is narrow (between 0.2 and 0.4 eV),<sup>24,25</sup> in practice the reemission of trapped carriers from the defect states back to the extended states is negligible. Therefore, we can neglect the detailed energetic distribution of the defect states and use  $\delta$  functions to represent them. The  $D^+$  and  $D^0$  states are assumed to be at the same energy level as  $E_d$ , and the energy level for the  $D^-$  states is higher than the  $D^0$  states by a correlation energy U. Table I lists the parameters of the DOS structure in our simulation, which are within the range of published values in the literature.<sup>24-31</sup>

#### B. Occupation functions in different gap states

As pointed out by Rose,<sup>32</sup> a carrier trapped in a localized state has the possibility to be thermally reemitted back to its extended state, or to recombine with a carrier of opposite sign. In other words, any localized state can be either a recombination center or a trap state depending on the temperature, on the carrier concentrations, and on its capture cross section and energy level. Therefore, we have considered all the possible recombination and reemission paths which may take place in the tails and the defect states as shown in Fig. 2. Here we neglect the direct recombination between free electrons and holes in the extended states since, under the normal photoexcitation level, both the free-electron and hole densities  $(n_f)$ and  $p_f$ ) are much lower than the density of localized states. Hence the indirect recombination via the localized states dominates the carrier recombination process. Furthermore, we neglect the transitions of the carriers

TABLE I. Some parameters of the DOS distribution in the mobility gap.

Parameter	$E_{g}$	$E_{oc}$	$E_{ov}$	$E_d$	U	$N_{co} = N_{vo}$	$\mu_e$	$\mu_h$
value	1.9	30	55	0.9	0.3	$3 \times 10^{22}$	12	3.5
unit	eV	meV	meV	eV	eV	$cm^{-3}eV^{-1}$	$cm^2 V^{-1} s^{-1}$	$cm^2 V^{-1} s^{-1}$



FIG. 2. Recombination and reemission paths considered in the simulation.

among the localized states (tunneling or hopping processes), which may become more important at low temperatures.

Before we calculate the occupation functions in the gap states, we should mention the possible charge states which may exist in the CBT and VBT states. A neutral CBT state can trap an electron and become negatively charged. In reverse, a negatively charged CBT state can return to neutral when it loses its trapped electron due to recombination or reemission. Therefore, the two possible charge states for a CBT state are either neutral or negatively charged. In a similar argument, the VBT states can have either neutral or positively charged states. As discussed above, a defect state has three possible charge states. Next we calculate the occupation functions in the different gap states separately.

As shown in Fig. 2, there are four processes which may take place in a CBT state of energy level E, which are represented by the following four reactions with the rates  $R_1(E)$  to  $R_4(E)$ :

$$N_{ct}^{0}(E) + e \rightarrow N_{ct}^{-}(E), \quad R_{1}(E) = c_{1}n_{f}N_{ct}^{0}(E) , \quad (2)$$

$$N_{ct}^{-}(E) \to N_{ct}^{0}(E) + e \quad , \tag{3}$$

$$R_{2}(E) = v e^{-(E_{c}-E)/k_{B}T} N_{ct}^{-}(E) , \qquad (5)$$

$$N_{ct}^{-}(E) + h \rightarrow N_{ct}^{0}(E), \quad R_{3}(E) = c_{2}p_{f}N_{ct}^{-}(E) , \quad (4)$$

$$N_{ct}^{0}(E) \rightarrow N_{ct}^{-}(E) + h, \quad R_{4}(E) = \nu e^{-E/k_{B}T} N_{ct}^{0}(E) , \quad (5)$$

where  $R_1(E)$  corresponds to the capture of a free electron by a neutral CBT state,  $R_2(E)$  is the reemission of a trapped electron back to the conduction band,  $R_3(E)$  is the capture of a free hole by a trapped electron and subsequent recombination, and  $R_4(E)$  is the thermal excitation of an electron from the valence band into a neutral CBT state (or, in other words, a neutral CBT state emits a hole to the valence band).  $N_{ct}^0(E)$  and  $N_{ct}^-(E)$  are the density of the neutral and negatively charged CBT states,  $k_B$  is the Boltzmann constant, and v is the attempt-toescape frequency for trapped carriers.  $c_1 = vs_{coe}$  ( $s_{coe}$  is the capture cross section of neutral CBT states for free electrons, and v is the thermal velocity).  $c_2 = vs_{cnh}$  ( $s_{cnh}$  is the capture cross section of negatively charged CBT states for free holes).

In the steady state, the continuity condition for  $N_{ct}^0(E)$ and  $N_{ct}^-(E)$  requires

$$\frac{dN_{ct}^{0}(E)}{dt} = -\frac{dN_{ct}^{-}(E)}{dt}$$
$$= R_{2}(E) + R_{3}(E) - R_{1}(E) - R_{4}(E) = 0.$$
(6)

As a result,

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$$e^{-(E_c - E)/k_B T} N_{ct}^{-}(E) + c_2 p_f N_{ct}^{-}(E)$$
  
=  $v e^{-E/k_B T} N_{ct}^{0}(E) + c_1 n_f N_{ct}^{0}(E)$ . (7)

Since  $N_{ct}(E) = N_{ct}^{-}(E) + N_{ct}^{0}(E)$ ,  $N_{ct}^{-}(E)$  can be expressed in terms of  $N_{ct}(E)$ :

$$N_{ct}^{-}(E) = \frac{ve^{-E/k_{B}T} + c_{1}n_{f}}{ve^{-E/k_{B}T} + ve^{-(E_{c}-E)/k_{B}T} + c_{1}n_{f} + c_{2}p_{f}} \times N_{ct}(E) .$$
(8)

Finally, the fraction  $f_{ct}^{-}(E)$  of negatively charged CBT states is

$$f_{ct}^{-}(E) = \frac{N_{ct}^{-}(E)}{N_{ct}(E)}$$
$$= \frac{ve^{-E/k_{B}T} + c_{1}n_{f}}{ve^{-E/k_{B}T} + ve^{-(E_{c}-E)/k_{B}T} + c_{1}n_{f} + c_{2}p_{f}},$$
(9)

As a result, the fraction  $f_{ct}^0(E)$  of neutral CBT states is  $1-f_{ct}^-(E)$ .

In a similar way, the fraction  $f_{vt}^+(E)$  of positively charged VBT states is (see Appendix A)

$$f_{vt}^{+}(E) = \frac{N_{vt}^{+}(E)}{N_{vt}(E)} = \frac{c_{3}p_{f} + ve^{-(E_{c} - E)/k_{B}T}}{ve^{-E/k_{B}T} + ve^{-(E_{c} - E)/k_{B}T} + c_{4}n_{f} + c_{3}p_{f}}, \quad (10)$$

and for neutral VBT states, its fraction  $f_{vt}^0(E)$  is  $1-f_{vt}^+(E)$ .

The fraction  $f_d^0(E_d)$  of the  $D^0$  states is (see Appendix B)

$$f_{d}^{0}(E_{d}) = \frac{N_{d}^{0}(E_{d})}{N_{d}}$$

$$= \left[1 + \frac{c_{8}p_{f} + ve^{-(E_{c} - E_{d})/k_{B}T}}{c_{5}n_{f} + ve^{-E_{d}/k_{B}T}} + \frac{ve^{-E_{d}/k_{B}T} + c_{6}n_{f}}{ve^{-(E_{c} - E_{d} - U)/k_{B}T} + c_{7}p_{f}}\right]^{-1}.$$
(11)

Finally the fractions  $[f_d^-(E_d+U) \text{ and } f_d^+(E_d)]$  of the  $D^-$  and  $D^+$  states can be expressed in terms of  $f_d^0(E_d)$ , respectively,

$$f_{d}^{-}(E_{d} + U) = \frac{N_{d}^{-}(E_{d} + U)}{N_{d}}$$
$$= \frac{ve^{-E_{d}/k_{B}T} + c_{6}n_{f}}{ve^{-(E_{c} - E_{d} - U)/k_{B}T} + c_{7}p_{f}}f_{d}^{0}(E_{d}), \quad (12)$$
$$c_{c}^{+}(E_{c}) = \frac{N_{d}^{+}(E_{d})}{c_{8}p_{f}} + ve^{-(E_{c} - E_{d})/k_{B}T} + c_{7}p_{f}}$$

$$f_d^+(E_d) = \frac{N_d^-(E_d)}{N_d} = \frac{c_{B} f + v e}{c_5 n_f + v e^{-E_d / k_B T}} f_d^0(E_d) .$$
(13)

In fact,  $f_d^0(E_d)$ ,  $f_d^-(E_d + U)$ , and  $f_d^+(E_d)$  can be further simplified to

$$f_{d}^{0}(E_{d}) = \left[1 + \frac{c_{8}p_{f}}{c_{5}n_{f}} + \frac{c_{6}n_{f}}{c_{7}p_{f}}\right]^{-1},$$

$$f_{d}^{-}(E_{d} + U) = \frac{c_{6}n_{f}}{c_{7}p_{f}}f_{d}^{0}(E_{d}), \quad f_{d}^{+}(E_{d}) = \frac{c_{8}p_{f}}{c_{5}n_{f}}f_{d}^{0}(E_{d}).$$
(14)

The conditions for the above simplification are that the reemission terms  $(ve^{-(E_c - E_d)/k_BT}, ve^{-E_d/k_BT}, and ve^{-(E_c - E_d - U)/k_BT})$  are much smaller than the capture terms  $(c_5n_f, c_6n_f, c_7p_f, and c_8p_f)$ . These conditions can be normally fulfilled as long as  $E_d$  and  $E_d + U$  are located about 0.6 eV away from both  $E_c$  and  $E_v$ . For example, if we assume that  $n_f = p_f = 10^{12}$  cm<sup>-3</sup>, which corresponds to  $\sigma_{pc}$  of about  $10^{-6} \Omega^{-1}$  cm<sup>-1</sup>, then  $c_6n_f = 5 \times 10^3$  s<sup>-1</sup>, but  $ve^{-E_d/k_BT} = 2$  s<sup>-1</sup> at room temperature when  $E_d = 0.7$  eV. The significance of the above simplifications is that the fractions of the different charge states are determined only by the coefficients  $c_5 - c_8$  and the free-carrier densities, but independent of  $E_d$  and U. This is the reason why we can choose  $\delta$  functions to describe the energy distributions of the defect states in our simulation. Similar simplifications have been used by Hubin, Shah, and Sauvain.<sup>19</sup>

However, the energy range of  $E_d$  within which  $f_d^0(E_d)$ ,  $f_d^-(E_d + U)$ , and  $f_d^+(E_d)$  are insensitive to  $E_d$  depends strongly on T. In particular, at high temperatures those simplifications are not valid because the reemission terms cannot be neglected. Therefore, in our simulation we still use Eqs. (11)-(13), instead of the simplified forms given by Eq. (14).

From the above discussion we conclude that the occu-

TABLE II. List of the parameters used for the numerical simulation.

Parameter	v	ν	$s_{coe} = s_{voh}$	$s_{cnh} = s_{vpe}$	$s_{dnh} = s_{dpe}$	$s_{doe} = s_{doh}$
value unit	10 <sup>7</sup> cm/s	${10^{12} \over s^{-1}}$	$10^{-16}$ cm <sup>2</sup>	$5 \times 10^{-16}$ cm <sup>2</sup>	$10^{-15}$ cm <sup>2</sup>	$5 \times 10^{-16}$ cm <sup>2</sup>

pation functions of the defect states and of the CBT and VBT states are determined by  $n_f$ ,  $p_f$ , and T once the DOS structure and a set of capture cross sections have been chosen. The capture cross sections in our simulation are listed in Table II. We assume the same capture cross section of the charged CBT and VBT states for opposite sign free carriers, which is larger than those of their corresponding neutral states. The same assumption is made for the different defect states.

## C. Simulation procedure

Carrier transport in the framework of the multipletrapping mode is dominated by free carriers. In the simulation the free-electron and hole mobilities at their mobility edges ( $\mu_e$  and  $\mu_h$ ) are treated as constants. Therefore, the  $\mu\tau$  products can be obtained once  $\tau_e$  and  $\tau_h$  are calculated.

 $\tau_e$  and  $\tau_h$  are related to  $n_f$  and  $p_f$  and the generation rate g by  $n_f = g\tau_e$  and  $p_f = g\tau_h$ . Then  $(\mu\tau)_e = \mu_e n_f/g$ and  $(\mu\tau)_h = \mu_h p_f/g$ .  $n_f$  and  $p_f$  can be obtained by solving the following two combined equations (the charge neutrality and rate equations) using numerical iteration.

(a) The charge neutrality equation reads

$$Q_{s} = n_{f} - p_{f} + N_{A}^{-} - N_{D}^{+} + \int_{0}^{L_{c}} N_{ct}(E) f_{ct}^{-}(E) dE$$
  
-  $\int_{0}^{E_{c}} N_{vt}(E) f_{vt}^{+}(E) dE$   
+  $N_{d} f_{d}^{-}(E_{d} + U) - N_{d} f_{d}^{+}(E_{d}) , \qquad (15)$ 

where  $-eQ_s$  is the density of the space charge. For a uniform bulk material,  $Q_s$  is zero. On the right-hand side of the above equation,  $N_A^-$  and  $N_D^+$  are the densities of ionized acceptors and donors, the first integral (the fifth term) is the total density of electrons captured in the CBT states, while the second integral (the sixth term) is the total density of holes captured in the VBT states. The seventh and eighth terms are the densities of electrons and holes captured in the dangling-bond states, respectively.

(b) The rate equation of free electrons and holes in the steady state is

$$\frac{dn_f}{dt} = \frac{dp_f}{dt} = g - R_{\text{tot}} = 0 , \qquad (16)$$

where  $R_{tot}$  is the total recombination rate which is the sum of the net recombination rates in the dangling bonds  $(R_d)$ , in the CBT  $(R_{ct})$ , and in the VBT states  $(R_{vt})$ . Here  $R_{ct}$ ,  $R_{vt}$ , and  $R_d$  have the following forms:

$$R_{ct} = \int_{0}^{E_{c}} [R_{1}(E) - R_{2}(E)] dE$$
  
= 
$$\int_{0}^{E_{c}} [R_{3}(E) - R_{4}(E)] dE , \qquad (17)$$
  
$$R_{c} = \int_{0}^{E_{c}} [R_{c}(E) - R_{2}(E)] dE$$

$$K_{vt} = \int_{0}^{E_{c}} [R_{5}(E) - R_{7}(E)] dE , \qquad (18)$$

$$R_{d} = R_{11}(E_{d}) + R_{10}(E_{d}) - R_{9}(E_{d} + U) - R_{12}(E_{d})$$
$$= R_{13}(E_{d} + U) + R_{16}(E_{d}) - R_{15}(E_{d}) - R_{14}(E_{d}) .$$
(19)

## III. RESULTS AND DISCUSSION

## A. Dependence of $\mu \tau$ products on $N_d$

As a first application of our simulation program we calculated the  $\mu\tau$  products as a function of  $N_d$  spanning from  $10^{15}$  to  $10^{19}$  cm<sup>-3</sup> with an activation energy  $E_a$  of 0.8 eV (here  $E_a$  is defined as  $E_c - E_f$ ) and at a constant T of 300 K.

Then the calculated results are compared with our experimental data measured from two series of undoped a-Si:H samples in which  $N_d$  ranges from about  $3 \times 10^{15}$  to  $2 \times 10^{18}$  cm<sup>-3</sup>. The measured  $(\mu \tau)_e$  was evaluated from  $\sigma_{\rm pc}$  using the relation  $\sigma_{\rm pc} \approx eg \, (\mu \tau)_e$ , where e is the electron charge.  $L_{amb}$  has been measured by means of the steady-state photocarrier grating (SSPG) technique.33,34 was evaluated from Then  $(\mu \tau)_h$ the relation  $L_{\rm amb} \approx \sqrt{2k_B T/e(\mu\tau)_h}.$ valid This is since  $(\mu\tau)_{e} \gg (\mu\tau)_{h}$  in undoped *a*-Si:H. The excitation source is a HeNe laser (1.96 eV) and its intensity is  $3.4 \text{ mW/cm}^2$ corresponding to a generation rate of about  $5 \times 10^{19}$  $cm^{-3}s^{-1}$ . Such a low intensity does not cause any observable change of the optoelectronic properties during the measurements.  $N_d$  was obtained from the constant photocurrent (CPM) spectra.35

In the first series of the samples  $N_d$  was changed by utilizing the Staebler-Wronski effect;<sup>36</sup> that is, the samples were illuminated under white light with an intensity of 100 mW/cm<sup>2</sup> for different durations. In this case  $N_d$ increases up to about  $4 \times 10^{16}$  cm<sup>-3</sup> when the sample has been illuminated for 80 h. In the second series,  $N_d$  was varied by annealing the samples at different temperatures from 200 to 550 °C for 30 min in a nitrogen atmosphere. In this way  $N_d$  has been increased up to  $3 \times 10^{18}$  cm<sup>-3</sup>. The activation energy of the samples was between 0.78 and 0.82 eV, which was deduced from the temperature range between 200 °C and room temperature. The details about the second series can be found in our previous publication.<sup>12</sup>

Figure 3 shows the calculated results (lines) at two generation rates. The measured  $(\mu\tau)_e$  and  $(\mu\tau)_h$  are denoted by solid and open symbols (squares: first series; triangles: second series), respectively. The simulated results are in good agreement with experimental data. In this figure the asymmetric  $N_d$  dependence of  $(\mu\tau)_e$  and  $(\mu\tau)_h$  is clearly seen.  $(\mu\tau)_h$  behaves quite differently from  $(\mu\tau)_e$ 



FIG. 3. The  $\mu\tau$  products as a function of  $N_d$ . The lines are the simulated curves and the symbols (solid: electrons; open: holes) are the measured data. The squares are measured from the light-soaked series, and the triangles are from the high-temperature annealed series.

in the low- $N_d$  range. Take the case of  $g = 5 \times 10^{19}$  cm<sup>-3</sup>s<sup>-1</sup> as an example.  $(\mu\tau)_h$  does not decrease with increasing  $N_d$  when  $N_d \leq 3 \times 10^{16}$  cm<sup>-3</sup>; it even increases slightly in the very low- $N_d$  range. However,  $(\mu\tau)_e$  decreases monotonically with  $N_d$ , and can be approximated by a linear function of the inverse of  $N_d$ . When  $N_d$  is higher than  $10^{17}$  cm<sup>-3</sup>, both of them show a similar dependence on  $N_d$ .

As g increases, both  $(\mu\tau)_e$  and  $(\mu\tau)_h$  decrease. But the effect of g on the  $\mu\tau$  products becomes less pronounced when  $N_d$  increases. These results are consistent with the normally observed power-law dependence of  $\sigma_{\rm pc}$  and  $L_{\rm amb}$  on light intensity,<sup>11,37,38</sup> namely  $\sigma_{\rm pc} \propto g^{\gamma_{\rm pc}}$ , and  $L_{\rm amb} \propto g^{\gamma_l-1}$ , where  $\gamma_{\rm pc}$  and  $\gamma_l$  are the exponents which are less than unity and approach unity with increasing  $N_d$ .<sup>12</sup> In the case of  $g = 5 \times 10^{21} \text{ cm}^{-3} \text{ s}^{-1}$ ,  $(\mu\tau)_e$  also shows its insensitivity to  $N_d$  in the very low- $N_d$  range. Meanwhile, the  $N_d$  range within which  $(\mu\tau)_h$  is insensitive to  $N_d$  is extended.

The distributions of trapped electron and hole densities in the CBT and VBT states have been calculated according to  $N_{ct}^{-}(E) = N_{ct}(E)f_{ct}^{-}(E)$  and  $N_{vt}^{+}(E) = N_{vt}(E)f_{vt}^{+}(E)$ , respectively. Figure 4 shows two cases with  $N_d$  of  $3 \times 10^{15}$  and  $3 \times 10^{17}$  cm<sup>-3</sup>, respectively. It is clear that there are a lot of carriers trapped in the tail states; their total density and energetic distributions depend on  $N_d$ . Let us first discuss the trapped holes. The peak position of  $N_{vt}^{+}(E)$  moves toward midgap when  $N_d$  increases. Below the peak, most of the VBT states are neutral, and  $f_{vt}^{+}(E)$  decreases almost exponentially with decreasing E. Above the peak, only a small part of the VBT states are occupied by holes, and  $f_{vt}^{+}(E)$  becomes insensitive to E because in this case the VBT states are so deep that we can neglect the thermal reemission terms in Eq. (10).



FIG. 4. The distribution of the densities of electrons and holes trapped in the CBT and VBT states for two different values of  $N_d$  at  $g = 5 \times 10^{19}$  cm<sup>-3</sup> s<sup>-1</sup>.

Then

$$f_{vt}^{+}(E) = \frac{c_3 p_f}{c_4 n_f + c_3 p_f} .$$
 (20)

Therefore  $f_{vt}^+(E)$  is determined only by the ratio of  $n_f$  to  $p_f$ . This portion of the trapped holes have a very high probability to capture electrons and recombine. Therefore, either for free electrons or holes these deep VBT states will be recombination centers. Similar arguments can be applied to trapped electrons in the CBT states. However, on the one hand the trapped electrons are in much shallower states than the trapped holes. On the other hand, the integrated trapped hole density is much higher than the trapped electron density. Therefore, as will be seen below, the CBT states will play mainly the role of shallow trap states, instead of recombination centers.

It is seen from our simulation that the occupation functions of the defect states change with  $N_d$ . For instance, in the case of  $g = 5 \times 10^{19}$  cm<sup>-3</sup> s<sup>-1</sup>, a large fraction of the defect states are in  $D^-$  states when  $N_d$  is low. As  $N_d$  increases,  $f_d^-$  decreases, whereas  $f_d^0$  and  $f_d^+$  increases. As  $N_d \ge 10^{17}$  cm<sup>-3</sup> they become saturated, and most of the defect states are in  $D^0$  states. Since  $D^$ states have a larger capture cross section for free holes than  $D^0$  states, the decrease of  $f_d^-$  with  $N_d$  indicates that the average capture cross section of the defect states for holes becomes small. In contrast, the average capture cross section of the defect states for electrons should increase with  $N_d$ . This partially seems to explain the asymmetric  $N_d$  dependence of  $(\mu \tau)_e$  and  $(\mu \tau)_h$ .

As already discussed, recombination can take place in all the CBT, VBT, and defect states. Therefore, to clarify which recombination path is more important, one should compare  $R_{vt}$ ,  $R_{ct}$ , and  $R_d$ .  $R_{ct}$ , according to Eq. (17), is negligible when compared to the other two paths. Therefore, we just compare  $R_{vt}$  and  $R_d$  calculated from Eqs. (18) and (19). Figure 5 shows the ratio of  $R_{vt}$  to  $R_d$  as a function of  $N_d$  at two generation rates. It is found that recombination via defect states is not the predominant process at low  $N_d$ . In the case of  $g = 5 \times 10^{19}$  cm<sup>-3</sup> s<sup>-1</sup> and  $N_d = 10^{15}$  cm<sup>-3</sup>,  $R_{vt}$  is about two orders of magnitude higher than  $R_d$ . The simple reason is that in the VBT states the density of trapped holes, which are available as recombination centers for electrons, is higher than  $N_d$ . When  $N_d \ge 3 \times 10^{16}$  cm<sup>-3</sup> (roughly corresponding to the  $N_d$  value above which the asymmetric  $N_d$  dependence of the  $\mu\tau$  products disappears),  $R_d$  becomes larger than  $R_{vt}$ . In this case the deep VBT states available as recombination centers are less than  $N_d$ . Increasing g extends the  $N_d$  range in which recombination in the VBT states dominates.

We should go further to explore the origin of the asymmetric  $N_d$  dependence from the DOS structure of *a*-Si:H. We have recalculated  $(\mu\tau)_e$  and  $(\mu\tau)_h$  as functions of  $N_d$ by assuming an unrealistic DOS structure in the gap, namely  $E_{oc} = E_{ov}$ . The results are shown in Fig. 6. As one can see, when the DOS structures of the CBT and VBT states are the same, the asymmetric  $N_d$  dependence disappears.  $(\mu\tau)_h$  changes parallel with  $(\mu\tau)_e$ . When  $E_{oc}$  $(E_{ov})$  is large, both of them are insensitive to  $N_d$  when  $N_d$ is low because the recombination in the tail states dominates. In return, when  $E_{oc}$  ( $E_{ov}$ ) is small, both of them are very sensitive to  $N_d$  in the whole range of  $N_d$ . Now it is clear that in the low- $N_d$  range the asymmetric  $N_d$ dependence of the  $\mu\tau$  products in undoped a-Si:H samples is caused by the inherent asymmetry of the DOS structures of the CBT and VBT states. The recombination through the tail states plays a critical role for this effect.

The good agreement between the simulated and the measured  $\mu\tau$  products encourages us to calculate the



FIG. 5. The ratio of the net recombination rate via the VBT states to that via the defect states for two different values of g. The horizontal line separates the defect-dominated recombination region (lower) from the valence-band-tail-dominated recombination region (upper).



FIG. 6. The simulated  $\mu\tau$  products as a function of  $N_d$  under the assumption that  $E_{oc} = E_{ov}$ . It clearly shows that the asymmetric  $N_d$  dependence disappears in this case.

response time of the photocurrent and the electron drift mobility further. Due to the continuous distribution of trap states in the gap, generally the transient photocurrent cannot be described by a single exponential function of time.<sup>39,40</sup> Therefore,  $\tau_R$  is usually taken from the initial decay of the transient current.<sup>39,41</sup> It is also possible to obtain a single exponential decay of photocurrent when one applies a strong dc bias light during the transient measurement.<sup>38,42,43</sup>

When electrons dominate the photocurrent,  $\tau_R$  is related to  $\tau_e$  by<sup>32</sup>

$$\tau_R = \frac{N_t + n_f}{n_f} \tau_e \ . \tag{21}$$

Here we should emphasize that  $N_t$  is the density of the electrons trapped in the shallow trap states. The electrons captured by deep states no longer contribute to the conduction because there is only a very small possibility that they will be thermally reemitted back to the extended states before recombination. According to Rose's argument a demarcation level  $E_{de}$  can be introduced for the conduction-band-tail states by equating the thermal reemission  $[R_2(E)]$  and the recombination  $[R_3(E)]$  terms. The states above  $E_{de}$  have a much higher probability to be reemitted back; therefore, they can be treated as trap states. In reverse, the states below  $E_{de}$  are recombination centers. Under our light intensity  $p_f$  is between 10<sup>9</sup> and 10<sup>12</sup> cm<sup>-3</sup>; therefore, at room temperature  $E_{de}$  is located between about 0.68 and 0.50 eV below  $E_c$ . This means that most of the CBT states can be treated as trap states. Therefore,  $N_t$  can be obtained approximately by integrating the trapped electron density in the CBT states,

$$N_t = \int_0^{E_c} N_{ct}^{-}(E) dE = \int_0^{E_c} N_{ct}(E) f_{ct}^{-}(E) dE \quad .$$
 (22)

Finally,  $\mu_{de}$  is obtained by relating the  $\mu\tau$  product and  $\tau_{R}$  as follows:

$$\mu_{de} = (\mu \tau)_e / \tau_R \quad . \tag{23}$$

In Fig. 7 the calculated  $\tau_R$  and  $\mu_{de}$  are shown as a function of  $N_d$ , together with the measured data on the *a*-Si:H samples in the annealed and light-degraded states, respectively. The lowest limit of the time resolution in our transient photocurrent measurement is 200 ns. The small signal transient photocurrent is measured under a strong dc bias light corresponding to  $g = 5 \times 10^{19}$  cm<sup>-3</sup>s<sup>-1</sup> (solid symbols) and  $2.5 \times 10^{21}$  cm<sup>-3</sup>s<sup>-1</sup> (open symbols), respectively. The modulated beam (probe) has an intensity about a factor of 10 weaker than the bias beam. This condition enables us to approximate the photocurrent decay as a single exponential function before it decreases down to about 20% of its initial value.

As one can find in this figure, the agreement between the calculated and measured data for the annealed state is quite satisfactory. First, the calculated  $\tau_R$  decreases from 10  $\mu$ s to 3 ns when  $N_d$  increases from 10<sup>15</sup> to 10<sup>19</sup> cm<sup>-3</sup> for the case of  $g = 5 \times 10^{19}$  cm<sup>-3</sup> s<sup>-1</sup>, which can be attributed to the decrease of  $\tau_e$ . Second,  $\tau_R$  is more sensitive to g when compared to  $(\mu \tau)_e$ , especially at high  $N_d$ .

The calculated  $\mu_{de}$  shows a slight decrease from about 0.25 to 0.1 cm<sup>2</sup>V<sup>-1</sup>s<sup>-1</sup> in the case of  $g = 5 \times 10^{19}$  cm<sup>-3</sup>s<sup>-1</sup> when  $N_d$  changes four orders of magnitude, in qualitative agreement with the measured data. Such a small change in  $\mu_{de}$  is also consistent with published results which showed no observable change of electron drift mobility after light degradation.<sup>44,45</sup> In addition, the calculated  $\mu_{de}$  increases with g. The enhancement of drift mobility by optical bias has been observed by many researchers<sup>46-48</sup> and interpreted qualitatively in terms of either the quasi-Fermi-level shift or defect relaxation model.<sup>48</sup> Here we want to give a simple explanation for the phenomenon based on  $f_{ct}^{-}(E)$ . As discussed above, most of the CBT states are trap states. Therefore, we can neglect the recombination term ( $R_3$ ) and the hole reemission term ( $R_4$ ) in these states. According to Eq. (8), the ratio of  $N_{ct}^{-}(E)$  to  $n_f$  can be simplified to



FIG. 7. The simulated  $\tau_R$  and  $\mu_{de}$  as a function of  $N_d$  for two generation rates. The symbols are the measured  $\tau_R$  (circles) and  $\mu_{de}$  (diamond) at  $g \approx 5 \times 10^{19}$  (solid) and  $2.5 \times 10^{21}$  cm<sup>-3</sup> s<sup>-1</sup> (open).

$$\frac{N_{ct}^{-}(E)}{n_f} = \frac{N_{ct}(E)f_{ct}^{-}(E)}{n_f} = \frac{c_1 N_{ct}(E)}{v e^{-(E_c - E)/k_B T} + c_1 n_f} .$$
 (24)

The above equation implies that the increase of  $n_f$  by applying high g reduces the ratio  $N_{c}^{-}(E)/n_f$ , especially for the deep trap states for which  $ve^{-(E_c-E)/k_BT} < c_1 n_f$ . As a result,  $\mu_{de}$  increases. This also explains the stronger effect of g on  $\tau_R$  than on  $(\mu\tau)_e$ , because apart from the reduction of  $\tau_e$ , the increase of g gives rise to an additional reduction of the ratio  $N_t/n_f$ . The same argument can also be applied to the slight decrease of  $\mu_{de}$  with  $N_d$ , since  $n_f$  decreases with  $N_d$ ; therefore, it slightly increases the ratio  $N_t/n_f$ .

As one can notice in Fig. 7, the measured  $\mu_{de}$  in the light-degraded state deviates markedly from the calculation data. The measured value is about a factor of 4 lower then the calculated one. Here we tentatively attribute this discrepancy to the change of the DOS structure in the deep CBT states after degradation. Our simulation assumes the same single exponential function for the CBT states in the annealed and degraded states. As shown in Fig. 4 the peak position of  $N_{ct}^{-}(E)$  shifts away from the band edge with  $N_d$ . Since the ratio of  $N_t/n_f$  is strongly dependent on the DOS structure, certainly the difference of the assumed DOS structure from the real one can give rise to some discrepancy.

#### B. Effect of the temperature

So far we have simulated the transport parameters at a constant temperature of 300 K. Temperature is a critical quantity determining the transport mechanism. For example, at low temperatures hopping transport via localized states becomes the dominant process. The multiple-trapping model used in this work is valid only above certain temperatures.<sup>17</sup> On the other hand, even in the framework of the multiple-trapping model, T strongly affects the reemission rate, which in return determines the net recombination rates at different localized states, especially those in the band tails. Therefore, in this section we want to simulate the temperature dependence of  $\mu\tau$  products,  $\tau_R$  and  $\mu_{de}$ , and to test the validity of our simulation model in the temperature range between 150 and 450 K.

In Fig. 8 the calculated  $\mu\tau$  products are shown as functions of 1/T for  $E_a = 0.8$  and 0.6 eV. The results are compared with our experimental data shown by the symbols in the figure. The measurements were done on an undoped *a*-Si:H sample in a cryostat, which enables us to change the temperature from 110 to 350 K. Again,  $(\mu\tau)_e$ is evaluated from  $\sigma_{pc}$  and  $(\mu\tau)_h$  from  $L_{amb}$ .

As a first trial, we changed just the temperature, and performed the simulations with temperature-independent parameters as listed in Tables I and II. The overall tendencies of the T dependence of both  $(\mu\tau)_e$  and  $(\mu\tau)_h$ coincide with the experimental results; that is, both of them increase with T. But  $(\mu\tau)_h$  is more sensitive to T than  $(\mu\tau)_e$  in the case of undoped material.

The Fermi-level position strongly affects the tempera-



FIG. 8. The simulated  $\mu\tau$  products as a function of 1/T for two values of  $E_v$ . The symbols are measured data at  $g \approx 5 \times 10^{19}$  cm<sup>-3</sup> s<sup>-1</sup>. The dashed line is obtained with constant  $E_{oc}$ , and the other two with temperature dependent  $E_{oc}$ .

ture dependence of the  $\mu\tau$  products. As  $E_f$  shifts to  $E_c$ , on the one hand,  $(\mu\tau)_e$  increases in contrast to the decrease of  $(\mu\tau)_h$ , which can be attributed to the anticorrelated effect of the Fermi-level position on the  $\mu\tau$  products.<sup>49-51</sup> On the other hand, at low temperatures the  $\mu\tau$ products become insensitive to  $E_f$  and approach the same values. They are, for example, about  $10^{-7} \text{ cm}^2 \text{ V}^{-1}$ for  $(\mu\tau)_e$  and  $2 \times 10^{-10} \text{ cm}^2 \text{ V}^{-1}$  for  $(\mu\tau)_h$ . But at high temperatures, the  $\mu\tau$  products are strongly dependent on  $E_f$ .  $(\mu\tau)_e$  shows a strong T dependence when  $E_f$  shifts toward  $E_c$ , consistent with experimental findings.<sup>41</sup>

The quantitative agreement between the simulated and measured  $(\mu\tau)_h$  is quite satisfactory. However, the calculated  $(\mu\tau)_e$  (dashed line) with temperature-independent simulation parameters shows a poor fit to the measured data. In particular, the deviation between them increases systematically with decreasing temperature. As will be seen below, the agreement between the simulated and measured  $\tau_R$  becomes even worse in this case. The capture cross sections might change with T, but we think that the change of the DOS structures with T is the main factor leading to the discrepancy.

Aljishi et al. have measured  $E_{oc}$  and  $E_{ov}$  as functions of T by means of photoelectron yield spectroscopy in doped and undoped a-Si:H samples.<sup>52</sup> They found that both the DOS distributions of the CBT and VBT states are purely exponential functions of energy. Above room temperature  $E_{oc}$  increases almost linearly with T. For example, for undoped a-Si:H,  $E_{oc}$  is about 30 meV at 300 K and 50 meV at 500 K, respectively. Below room temperature  $E_{oc}$  shows a weaker T dependence. In contrast to  $E_{oc}$ ,  $E_{ov}$  is not strongly affected by T. Aljishi et al. interpreted the results in terms of thermal disorder. Based on their results, for simplicity, we assume a T-independent  $E_{ov}$ , but  $E_{oc}$  changes as a linear function of T with two different slopes in different T ranges. Then we recalculated the  $\mu\tau$  products. As shown in the figure, the agreement between the recalculated (solid line) and the mea-



FIG. 9. The simulated response  $\tau_R$  as a function of 1/T for two values of  $E_a$ . The symbols are experimental data published in Ref. 41.

sured  $(\mu \tau)_e$  is greatly improved. The best fit is reached with  $E_{oc} = 6 + 0.08T$  meV, when  $T \ge 275$  K, and  $E_{oc} = 18.2 + 0.032T$  meV when T < 275 K.

As in Sec. III B, we calculate  $\tau_R$  and  $\mu_{de}$  as functions of T. We have not yet measured  $\tau_R$  and  $\mu_{de}$  systematically at different T. Therefore, we took the published experimental results from Hoheisel and Fuhs<sup>41</sup> for comparison. The undoped a-Si:H sample has an  $E_a$  of 0.87 eV. As shown in Figs. 9 and 10,  $\tau_R$  increases with decreasing T; however,  $\mu_{de}$  decreases. As shown in Fig. 11, at low T there are more electrons trapped in the tail states than at high T, because carriers trapped in tail states at low T have less of a probability of being reemitted back to extended states. Therefore, the ratio of  $N_t$  to  $n_f$  increases at low T, which leads to the increase of  $\tau_R$  and the decrease of  $\mu_{de}$  according to Eqs. (21) and (23).

It is clear in Figs. 9 and 10 that the simulated  $\tau_R$  and  $\mu_{de}$  (dashed lines) using *T*-independent  $E_{oc}$  do not quantitatively fit the measured data. For example, the calculated  $\tau_R$  is much larger than the measured one at low temperatures. In reverse, at higher temperatures the calculated  $\tau_R$  becomes smaller than the measured one. We re-



FIG. 11. The distribution of electrons and holes trapped in the CBT and VBT states at two different temperatures.

call that  $\tau_R$  and  $\mu_{de}$  are directly related to the trapped electron density, which depends on the density of the CBT states. Therefore, the fact gives us a clue that the assumed density of the CBT states is too high at low *T*, and too low at high *T* for the simulation. This is evidence that the density of the tail state must increase with temperature. As done for the  $\mu\tau$  products, we recalculated  $\tau_R$  and  $\mu_{de}$  by introducing a *T*-dependent  $E_{oc}$ . As one can see in Figs. 9 and 10, the recalculated curves (solid lines) fit to the measured data quite well.

Consistent with experimental findings,<sup>41,43</sup> the Fermilevel position strongly affects  $\tau_R$ , as shown in Fig. 9. This can be attributed to the increase of the recombination lifetime of electrons when  $E_f$  is close to  $E_c$ . However,  $\tau_R$  at low T is less sensitive to  $E_f$  than at high T. This is because at low temperatures the thermally excited carriers do not affect the occupation functions in the different gap states as strongly as at high temperatures.

On the other hand,  $\mu_{de}$  is slightly enhanced when  $E_f$  moves toward  $E_c$ , as shown in Fig. 10. This means that the ratio  $N_t$  to  $n_f$  is not affected significantly by  $E_f$  if the DOS structure of the band tail states does not change. In



FIG. 10. The simulated  $\mu_{de}$  as a function of 1/T with two  $E_a$ . The symbols are from Ref. 41.



FIG. 12. The ratio of the net recombination rate via the VBT states to that at the defect states as a function of 1/T.

the literature, one usually finds that  $\mu_{de}$  decreases slightly with doping.<sup>31,41</sup> This discrepancy in our calculation can be easily ascribed to the change of the DOS structures with doping.<sup>52</sup>

Zhou and Elliott<sup>53</sup> found that, after intense light soaking at room temperature, the high-temperature photoconductivity decreases by more than one order of magnitude, but the low-temperature photoconductivity remains unchanged. These results mean that the recombination lifetime of free electrons at low temperatures is independent of the defect density. This again demonstrates the importance of the band-tail states on the recombination process. Figure 12 shows the ratio of  $R_{vt}$  to  $R_d$  as a function of T. It is clear that at low temperatures the recombination via the tail states entirely dominates the carrier recombination because at low T trapped carriers in the tail states have a very high probability to recombine before they are thermally reemitted back to the extended states.

## **IV. CONCLUSION**

In summary, based on Shockley-Read-Hall statistics we have simulated the  $\mu\tau$  products of electrons and holes, the response time of the photocurrent, and the electron drift mobility in *a*-Si:H as functions of dangling-bond density and temperature. We considered all possible recombination and reemission paths which may take place between extended and band tails or defect states. The simulated results are in good agreement with the experimental findings. The main conclusions are the following.

(a)  $(\mu\tau)_e$  and  $(\mu\tau)_h$  show an asymmetric dependence on  $N_d$ .  $(\mu\tau)_h$  is insensitive to  $N_d$  in contrast to  $(\mu\tau)_e$  in the low- $N_d$  region. The asymmetric  $N_d$  dependence can be attributed to the inherent asymmetry between the valence- and conduction-band-tail states in *a*-Si:H.

(b)  $\tau_R$  decreases substantially with  $N_d$ , but  $\mu_{de}$  is only slightly affected by  $N_d$ . This means that the ratio of trapped to free-electron density is not significantly changed by  $N_d$ .

(c) The  $\mu\tau$  products and  $\mu_{de}$  increase with increasing T, whereas  $\tau_R$  decreases. In undoped samples  $(\mu\tau)_h$  shows a stronger T dependence than  $(\mu\tau)_e$ , which again can be attributed to the asymmetry between the two types of the tail states. The increase of the  $\mu\tau$  products with T is explained in terms of the decrease of recombination rates in the tail states. The decrease of  $\tau_R$  and increase of  $\mu_{de}$ with T are mainly caused by the increase of the thermal reemission of trapped carriers in the tail states, which reduces the ratio of  $N_t/n_f$ .

(d) From the simulation we conclude that the characteristic energies of the tail states increase linearly with T. At low temperatures the T dependence of  $E_{oc}$  is weaker than at high temperatures.

(e) As a whole, both the recombination in the tails and the defect states must be considered in order to understand the recombination processes in *a*-Si:H. Recombination via the tail states is a predominant recombination path in the low- $N_d$  range. In reverse, recombination in the defect states dominates the carrier recombination process only for high values of  $N_d$ . The transition from tail-state-dominated to defect-state-dominated recombination processes depends strongly on the defect density, the generation rate, the Fermi-level position, and the temperature.

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## APPENDIX A: OCCUPATION FUNCTION OF THE VBT STATES

The four possible reactions occurring in a VBT state of energy E are the following, with the rates  $R_5(E)$  to  $R_8(E)$ :

$$N_{vt}^{0}(E) + h \rightarrow N_{vt}^{+}(E), \quad R_{5}(E) = c_{3}p_{f}N_{vt}^{0}(E) , \quad (A1)$$

$$N_{vt}^+(E) + e \rightarrow N_{vt}^0(E), \quad R_6(E) = c_4 n_f N_{vt}^+(E) , \quad (A2)$$

$$N_{vt}^+(E) \to N_{vt}^0(E) + h, \quad R_7(E) = ve^{-E/k_B T} N_{vt}^+(E) , \quad (A3)$$

$$N_{vt}^{0}(E) \to N_{vt}^{+}(E) + e ,$$
  

$$R_{8}(E) = v e^{-(E_{c} - E)/k_{B}T} N_{vt} 0(E) ,$$
(A4)

where  $R_5(E)$  means trapping of free holes into the neutral VBT states.  $R_6(E)$  is the capture of electrons by the positively charged VBT states and subsequent recombination.  $R_7(E)$  is the thermal reemission of trapped holes back to the valence band.  $R_8(E)$  is the thermal emission of electrons from the neutral VBT states to the conduction band.  $N_{vt}^0(E)$  and  $N_{vt}^+(E)$  are the density of the neutral and the positively charged VBT states.  $c_3 = vs_{voh}$  $(s_{voh}$  is the capture cross section of the neutral VBT states for free holes).  $c_4 = vs_{vpe}$  ( $s_{vpe}$  is the capture cross section of the positively charged VBT states for free electrons). In the steady state,

$$\frac{dN_{vt}^{0}(E)}{dt} = -\frac{dN_{vt}^{+}(E)}{dt}$$
$$= R_{6}(E) + R_{7}(E) - R_{5}(E) - R_{8}(E) = 0.$$
(A5)

Because  $N_{vt}(E) = N_{vt}^+(E) + N_{vt}^0(E)$ , one can obtain  $f_{vt}^+(E)$  [Eq. (10)].

## APPENDIX B: OCCUPATION FUNCTION OF THE DEFECT STATES

As shown in Fig. 2, there are the following eight reactions which may take place in the defect states with the rates  $R_9$  to  $R_{16}$ :

$$D^{-} \rightarrow D^{0} + e ,$$

$$R_{9}(E_{d} + U) = v e^{-(E_{c} - E_{d} - U)/k_{B}T} N_{d}^{-}(E_{d} + U) ,$$
(B1)

$$D^{+} + e \rightarrow D^{0}, \quad R_{10}(E_d) = c_5 n_f N_d^{+}(E_d) , \quad (B2)$$

$$D^0 + e \to D^-, \quad R_{11}(E_d) = c_6 n_f N_d^0(E_d) ,$$
 (B3)

$$D^{0} \rightarrow D^{+} + e, \quad R_{12}(E_{d}) = \nu e^{-(E_{c} - E_{d})/k_{B}T} N_{d}^{0}(E_{d}), \quad (B4)$$

$$D^{-} + h \rightarrow D^{0}, \quad R_{13}(E_d + U) = c_7 p_f N_d^{-}(E_d + U) , \quad (B5)$$

$$D^+ \to D^0 + h, \ R_{14}(E_d) = v e^{-E_d/\kappa_B T} N_d^+(E_d) ,$$
 (B6)

$$D^{0} \rightarrow D^{-} + h, \quad R_{15}(E_{d}) = \nu e^{-E_{d}/\kappa_{B}T} N_{d}^{0}(E_{d}) , \quad (B7)$$

$$D^0 + h \to D^+$$
,  $R_{16}(E_d) = c_8 p_f N_d^0(E_d)$ , (B8)

where  $R_9(E_d + U)$  denotes the thermal reemission of electrons in  $D^-$  states back to the conduction band.  $R_{10}(E_d)$ is the recombination of holes in  $D^+$  states with free electrons.  $R_{11}(E_d)$  is the trapping of free electrons into  $D^0$ states.  $R_{12}(E_d)$  is the thermal emission of electrons from  $D^0$  states to the conduction band.  $R_{13}(E_d + U)$  is the recombination of electrons in  $D^-$  states with free holes.  $R_{14}(E_d)$  is the thermal reemission of holes in  $D^+$  states back to the valence band.  $R_{15}(E_d)$  is the thermal emission of holes from  $D^0$  states to the valence band.  $R_{16}(E_d)$  is the trapping of free holes into  $D^0$  states.  $N_d^{-}(E_d^{-}+U)$ ,  $N_d^{+}(E_d^{-})$ , and  $N_d^0(E_d^{-})$  are the densities of  $D^{-}$ ,  $D^{+}$ , and  $D^0$  states, respectively. The coefficients are  $c_5 = vs_{dpe}$  ( $s_{dpe}$  is the capture cross section of  $D^+$  states for free electrons).  $c_6 = vs_{doe}$  (s<sub>doe</sub> is the capture cross section of  $D^0$  states for free electrons),  $c_7 = vs_{dnh}$  ( $s_{dnh}$  is the capture cross section of  $D^-$  states for free holes), and  $c_8 = vs_{doh}$  (s<sub>doh</sub> is the capture cross section of  $D^0$  states for free holes).

The steady-state condition requires that in the defect states

$$\frac{dN_d^{-}(E_d+U)}{dt} = \frac{dN_d^{+}(E_d)}{dt} = \frac{dN_d^{0}(E_d)}{dt} = 0 .$$
 (B9)

For the  $D^+$  states, one has

$$\frac{dN_d^+(E_d)}{dt} = R_{16}(E_d) + R_{12}(E_d) - R_{10}(E_d) - R_{14}(E_d)$$
  
=0. (B10)

Insertion of  $R_{16}(E_d)$ ,  $R_{12}(E_d)$ ,  $R_{10}(E_d)$ , and  $R_{14}(E_d)$  into the above equation yields

$$N_d^+(E_d) = \frac{c_8 p_f + v e^{-(E_c - E_d)/k_B T}}{c_5 n_f + v e^{-E_d/k_B T}} N_d^0(E_d) .$$
(B11)

In the same way for  $N_d^-(E_d + U)$ , we find

$$\frac{dN_d^-(E_d+U)}{dt} = R_{15}(E_d) + R_{11}(E_d) - R_9(E_d+U) - R_{13}(E_d+U) .$$
(B12)

Therefore,  $N_d^-(E_d + U)$  can be expressed in terms of  $N_d^0(E_d)$  by

$$N_{d}^{-}(E_{d}+U) = \frac{\nu e^{-E_{d}/k_{B}T} + c_{6}n_{f}}{\nu e^{-(E_{c}-E_{d}-U)/k_{B}T} + c_{7}p_{f}} N_{d}^{0}(E_{d}) .$$
(B13)

Since the total dangling-bond density is  $N_d = N_d^0(E_d) + N_d^+(E_d) + N_d^-(E_d + U)$ , we obtain

$$N_{d} = \left[ 1 + \frac{c_{8}p_{f} + ve^{-(E_{c} - E_{d})/k_{B}T}}{c_{5}n_{f} + ve^{-E_{d}/k_{B}T}} + \frac{ve^{-E_{d}/k_{B}T} + c_{6}n_{f}}{ve^{-(E_{c} - E_{d} - U)/k_{B}T} + c_{7}p_{f}} \right] N_{d}^{0}(E_{d}) .$$
(B14)

Therefore, we can obtain  $f_d^0$ ,  $f_d^-$ , and  $f_d^+$  as shown by Eqs. (11)–(13).

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FIG. 1. Model of the density-of-states distribution in the mobility gap used for the simulation.