

## Structural stability of $\text{LuBa}_2\text{Cu}_3\text{O}_{7-\delta}$ and superconductivity in thin films of $\text{Lu}_{1-x}\text{M}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$ ( $M = \text{Pr}$ , $\text{Tb}$ , and $\text{Ce}$ )

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We present here the results of our investigations of the structural and superconducting properties of thin films of  $\text{Lu}_{1-x}\text{M}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  ( $M = \text{Ce}$ ,  $\text{Pr}$ , and  $\text{Tb}$ ), the three ambivalent rare-earth ions. Our results show that  $\text{Pr}$  and  $\text{Tb}$  ions are in the trivalent state and  $\text{Ce}$  ions are tetravalent. Structural (in)stability of the parent material  $\text{LuBa}_2\text{Cu}_3\text{O}_{7-\delta}$  forms the basis of our arguments. We suggest a simple model to account for the influence of  $\text{Pr}$  ions on superconductivity in cuprates.

### INTRODUCTION

Since the discovery of  $\text{YBa}_2\text{Cu}_3\text{O}_{7-\delta}$ , extensive and intensive investigations have been reported in the literature pertaining to substitution of  $\text{Y}$  with a trivalent rare-earth ion from the lanthanide series.  $R\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  compositions with  $R = \text{Ce}$  and  $\text{Tb}$  do not form in the 1-2-3-orthorhombic structure. Both cerium and terbium form, respectively, double oxides  $\text{BaCeO}_3$  and  $\text{BaTbO}_3$ , which lead to the formation of multiphase samples.<sup>1,2</sup>  $\text{PrBa}_2\text{Cu}_3\text{O}_{7-\delta}$  does form in 1-2-3 phase but is semiconducting and antiferromagnetic [ $T_N(\text{Cu}) \approx 280$  K and  $T_N(\text{Pr}) \approx 17$  K].<sup>3,4</sup> As praseodymium also forms double oxide  $\text{BaPrO}_3$ , in which it is tetravalent,<sup>5</sup> some authors suggest that one of the possible mechanisms for the suppression of superconducting transition temperature,  $T_c$  in  $\text{PrBa}_2\text{Cu}_3\text{O}_{7-\delta}$  is that  $\text{Pr}$  ions have formal valence greater than +3.<sup>4</sup> Partial substitution of  $\text{Pr}$  in superconducting  $R$ -1:2:3 compounds depresses the  $T_c$ .<sup>6,7</sup> An interesting feature observed in these systems is that, the depression of  $T_c$  as a function of  $\text{Pr}$  concentration is related to the ionic radius of the host rare earth. It is lower for rare earths with smaller ionic radii.<sup>8</sup>

On the other hand, results relating to  $\text{Lu}$ -1:2:3 phase have been rather sketchy and/or controversial. *Ku et al.*<sup>9</sup> prepared 95% pure, superconducting polycrystalline  $\text{Lu}$ -1:2:3. Recently, *Liu et al.*<sup>10</sup> reported 90 K  $T_c$  for a single-crystal specimen. However, a number of other workers claimed that they could not stabilize the monophasic  $\text{Lu}$ -1:2:3 phase.<sup>11-17</sup> *Hor et al.* synthesized and examined by x-ray diffraction (XRD) most of the  $R$ -1:2:3 compounds, but could not prepare  $\text{Lu}$ -1:2:3.<sup>11</sup> *Tarascon et al.*<sup>12</sup> and *Moodenbaugh et al.*<sup>14</sup> could not reliably refine the  $\text{Lu}$ -1:2:3 lattice parameters and concluded that

a second phase accounted for at least half the powder pattern intensity. *Somasundaram et al.*<sup>15</sup> and *Balakrishnan, Varadaraju, and Subba Rao*<sup>16</sup> also concluded that monophasic  $\text{LuBa}_2\text{Cu}_3\text{O}_{7-\delta}$  cannot be prepared and a lower limit of the rare-earth ionic radius exists, which the 1:2:3 structure can tolerate. [Ionic radius of  $\text{Lu}^{+3}$  in eightfold coordination is 0.975 Å (Ref. 17)]. Among the nine references we have quoted (Refs. 9-17), seven references (Refs. 11-18) conclude that  $\text{LuBa}_2\text{Cu}_3\text{O}_{7-\delta}$  cannot be synthesized in polycrystalline ceramic form. Recently, an extensive investigation on the phase diagram of  $\text{Lu}$ - $\text{Ba}$ - $\text{Cu}$ - $\text{O}$  has been reported which claims that the  $\text{Lu}$ -1:2:3 phase cannot form in the polycrystalline form.<sup>18</sup> This is a complete report on this subject. Our own work, as presented in this paper, confirms the conclusion. However, the stabilization of the  $\text{Lu}$ -1:2:3 phase in single-crystal form may be due to the enhanced temperature (which is about 100-200°C higher than the bulk sintering temperature) and the starting composition 1:23:9 which is completely off-stoichiometric. These two factors perhaps result in the formation of single crystals of metastable phases like  $\text{Lu}$ -1:2:3. Our important observation indicates that even small amount of impurities such as  $\text{Pr}$  (or any well-defined trivalent rare earth) or  $\text{Sr}, \text{Ca}$  (which are probable impurities in  $\text{BaCO}_3$ ) would lead to stabilization of  $\text{Lu}$ -1:2:3 phase.

Here, we present the investigation of superconducting behavior of thin films of the mixed systems  $\text{Lu}_{1-x}\text{M}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$ ,  $M = \text{Ce}$ ,  $\text{Pr}$ , and  $\text{Tb}$ . We take advantage of the fact that  $\text{LuBa}_2\text{Cu}_3\text{O}_{7-\delta}$  is very close to the crystallographic stability due to the small ionic radius of  $\text{Lu}$  ions, enabling us to draw important conclusions about the valence state of these three ambivalent ions. In addition, the analysis of the data on samples of the  $\text{Lu}_{1-x}\text{Pr}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$ , and  $\text{Lu}_{1-x-y}\text{Pr}_x\text{Ca}_y\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$

systems suggests a plausible mechanism for the relation between the rate of suppression of  $T_c$  and the ionic radius of the host rare earth.

### EXPERIMENTAL

Thin films of  $\text{Lu}_{1-x}\text{Pr}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$ ,  $\text{Lu}_{1-x}\text{Tb}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$ ,  $\text{Lu}_{1-x-y}\text{Pr}_x\text{Ca}_y\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$ , and  $\text{Lu}_{1-x-y}\text{Ce}_x\text{Ca}_y\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  were grown *in situ* on  $\langle 100 \rangle \text{LaAlO}_3$ ,  $\langle 100 \rangle \text{MgO}$ , and  $\langle 100 \rangle \text{SrTiO}_3$  substrates by pulsed laser-ablation technique. Details of target preparation, growth conditions, and the characterization are described elsewhere.<sup>19-21</sup>

We have also tried the nominal composition of Lu-1:2:3 material by conventional solid-state method and by repeated firing at 900–915 °C for about 24 h. Beyond this temperature range we observed a partial melting and the material gets stuck to the crucible from which removal becomes difficult. Figure 1(a) shows the XRD pattern of nominal composition of bulk Lu-1:2:3 material. The sample is multiphasic containing the lines of  $\text{BaCuO}_2$ ,

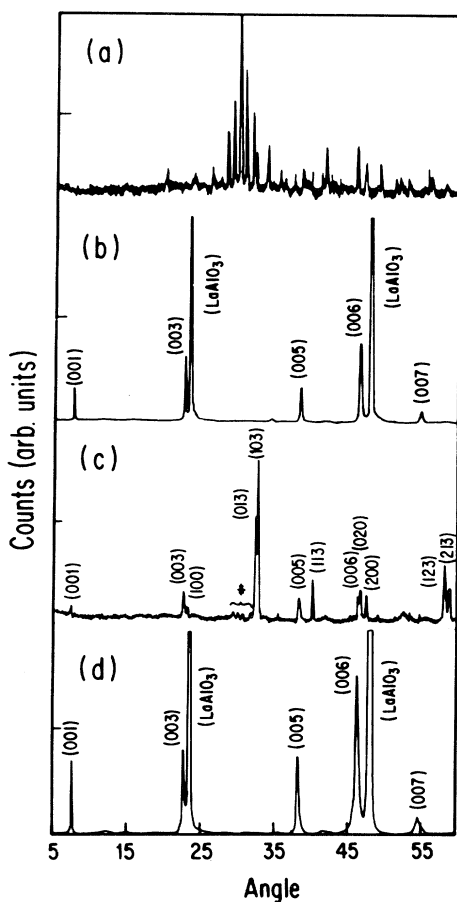


FIG. 1. X-ray-diffraction patterns of (a) the nominal composition of Lu-1:2:3, (b)  $\text{Lu}_{0.5}\text{Tb}_{0.5}\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  (thin film), (c)  $\text{Lu}_{0.8}\text{Tb}_{0.2}\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  bulk sample, and (d)  $\text{Lu}_{0.75}\text{Ce}_{0.15}\text{Ca}_{0.1}\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  thin film. Patterns in (b) and (d) exhibit only (001) reflections showing the films to be highly *c*-axis oriented.

$\text{Lu}_2\text{BaCuO}_5$ , and  $\text{CuO}$ . We also could not observe any lines corresponding to *R*-1:2:3 phase.

### RESULTS

Thin films of  $\text{Lu}_{1-x}\text{Tb}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  are crystalline, belong to 1-2-3 phase and are highly *c*-axis-oriented for  $0 \leq x \leq 0.6$  [inferred from the fact that XRD spectra contain only (001) diffraction lines in Fig. 1(b)]. For higher values of *x*, multiphase films are observed. A bulk sample with  $x=0.2$  is also essentially a single-phase material as is clear from the XRD shown in Fig. 1(c). With higher concentration of Tb ( $x \geq 0.3$ ), impurity phases ( $\text{BaTbO}_3$  being the most prominent phase) start appearing in the bulk samples. Structural stability limit is much higher ( $x=0.6$ ) for films because in thin films, the substrate also plays a role in stabilizing the 1-2-3 phase. The films superconduct with  $T_c \approx 88$  K, and  $\delta T_c \approx 1$  K, typical of well-formed 1-2-3 phase. Figure 2 shows normalized resistance,  $r(T) = R(T)/R(300\text{ K})$ , as a function of temperature, of the bulk sample with  $x=0.2$  [Fig. 2(a)] and of films with  $x=0.1, 0.3, 0.5$  [Figs. 2(b)–(d)].  $T_c$  is same in both film and bulk samples. The film, however, has a much better metallicity.  $J_c$  of the films was measured using patterned 10  $\mu\text{m}$  wide microbridges and using 1  $\mu\text{V}/\text{cm}$  criterion.  $J_c$  has been found to be greater than  $10^6$   $\text{A cm}^{-2}$  at 77 K for all the films of  $\text{Lu}_{1-x}\text{Tb}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  for  $x$  up to 0.5. The inset of Fig. 2 shows  $J_c$  as a function of temperature for a thin film of  $\text{Lu}_{0.5}\text{Tb}_{0.5}\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$ .  $J_c$  is found to be  $3.0 \times 10^6$   $\text{A cm}^{-2}$  at 77 K and  $2.8 \times 10^7$   $\text{A cm}^{-2}$  at 10 K.

XRD measurements showed that the bulk samples  $\text{Lu}_{1-x}\text{Pr}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$ , with  $x > 0.1$ , are single-phase material. Major component of samples with  $x \approx 0.1$  is the 1-2-3 phase. However, thin films of these materials, grown on  $\langle 100 \rangle \text{MgO}$  and  $\langle 100 \rangle \text{SrTiO}_3$  substrates are single phase and highly *c*-axis-oriented for all concentrations *x* of Pr including  $x=0$ . Figure 3 shows normalized resistance for films with  $0 \leq x \leq 0.55$ . A most notable

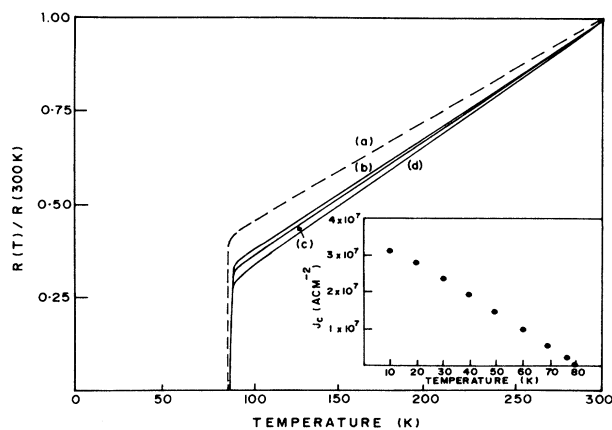


FIG. 2. (a)  $r(T) [=R(T)/R(300\text{ K})]$  as a function of temperature of a bulk sample of  $\text{Lu}_{0.8}\text{Tb}_{0.2}\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$ . (b)–(d) shows the similar plot for the thin films of  $\text{Lu}_{1-x}\text{Tb}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  for  $x=0.1, 0.3, 0.5$ . Inset shows the  $J_c$  as a function of temperature for one of the films of  $\text{Lu}_{0.5}\text{Tb}_{0.5}\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$ .

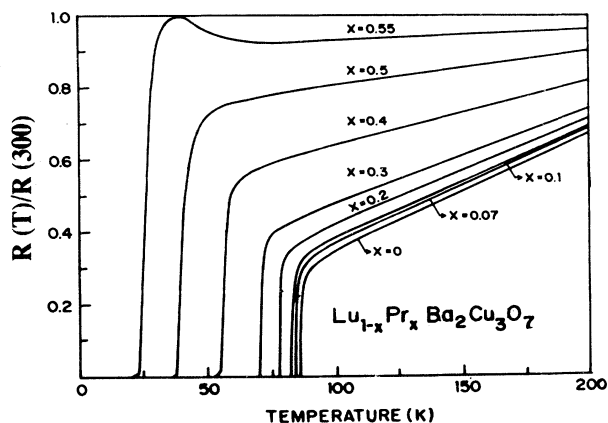


FIG. 3.  $r(T)$  as a function of temperature plot of thin films of composition  $\text{Lu}_{1-x}\text{Pr}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  for  $0 < x < 0.55$ .

feature is that  $T_c$  varies rather weakly with Pr concentration in samples for  $x \leq 0.2$ ; films with large concentration of Pr ions,  $x$  as large as 0.55, are superconducting ( $T_c = 23$  K). Figure 4 shows normalized transition temperature  $T_c(x)/T_c(x=0)$  in  $\text{Lu}_{1-x}\text{Pr}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$ , as a function of  $x$ . This is compared with the observed behavior for the systems with  $R = \text{Nd}$  and  $\text{Yb}$  as reported by Xu and Guan.<sup>8</sup>

Superconducting properties of Ca-doped systems  $\text{Lu}_{1-x-y}\text{Pr}_x\text{Ca}_y\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  were studied with the idea of obtaining information about hole localization by Pr ions. We chose low concentrations of Ca ions ( $y = 0.1$ ). This is because negligible disordering of oxygen atoms takes place at such low concentrations of Ca ions, for ex-

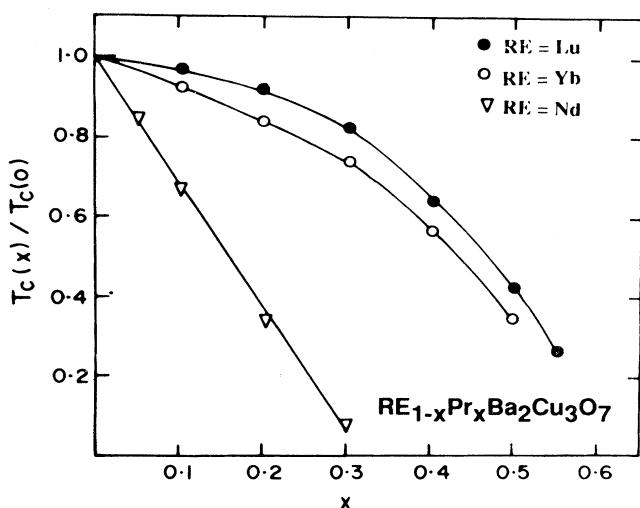


FIG. 4. Normalized superconducting transition temperature  $T_c(x)/T_c(0)$  as a function of Pr concentration  $x$ , in the systems  $R_{1-x}\text{Pr}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$ :  $R = \text{Nd}$  [ $T_c(0) = 95$  K for bulk],  $\text{Yb}$  [ $T_c(0) = 91.5$  K for bulk], and  $\text{Lu}$  [ $T_c(0) = 88$  K for thin films]. Data for Nd and Yb systems are from Xu and Guan (Ref. 8). Lu-based thin films have higher  $T_c$  for  $x > 0.2$ , e.g.,  $\text{Yb}_{0.5}\text{Pr}_{0.5-1:2:3}$  sample has  $T_c = 33$  K, while  $\text{Lu}_{0.5}\text{Pr}_{0.5-1:2:3}$  thin film has  $T_c = 37$  K.

ample, as in  $\text{Y}_{0.9}\text{Ca}_{0.1}\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$ .<sup>22</sup>

Figure 5 shows  $r(T)$  of thin films of a number of Lu-Pr-Ca combinations. All films show metallic behavior down to their respective  $T_c$ 's. It is instructive to note that  $T_c$  ( $= 64$  K) of  $\text{Lu}_{0.9}\text{Ca}_{0.1}\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  is significantly depressed. This  $T_c$  depression,  $\Delta T_c$  ( $\approx 22$  K) is much larger than  $\Delta T_c$  ( $\approx 10$  K) observed in  $\text{Y-1:2:3}$ .<sup>22</sup> Thus whereas  $T_c$  is rather insensitive to chemical pressure ( $T_c$ 's in Lu-1:2:3 and Y-1:2:3 are not very different),  $\Delta T_c$  is a sensitive function of chemical pressure. Resistivity studies of the films of compositions  $\text{Lu}_{0.9}\text{Pr}_{0.1}\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  and  $\text{Lu}_{0.8}\text{Pr}_{0.1}\text{Ca}_{0.1}\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  show that addition of Ca ions depresses  $T_c$ . This  $T_c$  depression,  $\Delta T_c$  ( $= 5$  K) is, however, reduced with respect to the case  $x = 0$  (no Pr ions). For  $x = 0.2$ ,  $\Delta T_c$  ( $= 3$  K) is further reduced. Interestingly enough, for  $x = 0.3$ , there is an enhancement of  $T_c$  instead of depression.

Attempts to prepare single-phase thin films or bulk sample of  $\text{Lu}_{1-x}\text{Ce}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  for  $x \geq 0.05$  were not successful. Not only bulk but even the films were multiphase and nonsuperconducting. However, by doping the system simultaneously with Ce and Ca ions, we obtained highly  $c$ -axis-oriented [see Fig. 1(d)] and superconducting films of  $\text{Lu}_{1-x-y}\text{Ce}_x\text{Ca}_y\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$ . The  $T_c$ 's of all the films are given in Table I. Comparison of XRD spectra of thin films of the composition  $\text{Lu}_{0.75}\text{Ce}_{0.15}\text{Ca}_{0.1}\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  and  $\text{Lu}_{0.70}\text{Ce}_{0.15}\text{Ca}_{0.15}\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  showed a small increase in  $c$ -axis length indicating that at least some of the Ca ions substitute at the Lu site.

## DISCUSSION

Partial substitution of Ce ions (which can exist in the trivalent or tetravalent states) resulted in multiphase materials. We would like to mention here that Th ions, which are always tetravalent, give multiphase materials.

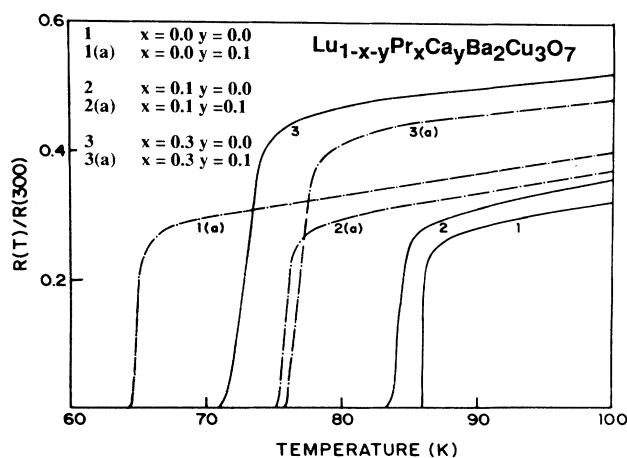


FIG. 5. Plot of  $r(T)$  as a function of temperature for thin films of compositions  $\text{Lu}_{1-x-y}\text{Pr}_x\text{Ca}_y\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$ . Notice that  $\Delta T_c = T_c[y = 0] - T_c[y]$  is large for  $x = 0.0$ , decreases as  $x$  increases and reverses sign for  $x = 0.3$ .

TABLE I. Summary of data on  $\text{Lu}_{1-x-y}\text{M}_x\text{Ca}_y\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  ( $M=\text{Ce}, \text{Pr}$ ) and values of  $J_{\text{ex}}$  at various concentration ( $x$ ) for  $M=\text{Pr}$ .

$M$	$x$	$y$	$T_c$ (K)	$J_{\text{ex}}$ (MeV)
Ce	0.05	0.05	81	
	0.1	0.1	74	
	0.15	0.05	63	
	0.15	0.10	69	
	0.15	0.15	58	
Pr	0.07		85	26
	0.05	0.05	82	
	0.1		83	38
	0.1	0.1	78	
	0.2		79	44
	0.2	0.1	76	
	0.3		70	51
	0.3	0.1	74	
	0.4		54	61
	0.4	0.1	57	
	0.5		37	65
	0.55		24	68

We also further note that  $\text{LuBa}_2\text{Cu}_3\text{O}_{7-\delta}$  is stabilized in the 1:2:3 phase by any other rare earth which is in a well-defined trivalent state, such as Sm, Gd [Refs. 13 and 16]. However, when our Ce samples are simultaneously doped with Ca ions, they give single-phase materials which is a strong support to the fact that Ce ions are in tetravalent state. Addition of an equal amount of Ca ions (a divalent ion) along with Ce or Th, helps in stabilizing the phase. This clearly implies that a divalent ion is needed to counteract the excess charge due to the tetravalent Ce ions. Further, the ionic radius of  $\text{Ce}^{4+}$  (0.97 Å) is smaller than that of  $\text{Lu}^{3+}$  ions (0.98 Å). Therefore, multiphase nature of both bulk and thin films of  $\text{Lu}_{1-x}\text{Ce}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$ , for  $x \geq 0.05$ , demonstrates that Ce is tetravalent in this system and that formation of thin films in the 1-2-3-phase also depends critically on the "average  $R$  radius." Addition of divalent Ca ions help stabilize the phase and restore superconductivity because (i) they are bigger and thus increase the average radius of the ions occupying the  $R$  site and (ii) they neutralize the excess positive charge of the Ce ions.

The central point of our discussion to follow is the fact that  $\text{LuBa}_2\text{Cu}_3\text{O}_{7-\delta}$  is close to structural (in)stability in both bulk and thin-film forms. In one case, it is just below the stability line and in the other, just above.

As described above, superconducting properties of thin films of  $\text{Lu}_{1-x}\text{Tb}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  are independent of  $x$  ( $0 \leq x \leq 0.6$ ),  $T_c \approx 88$  K.  $\text{Lu}_{1-x}\text{Tb}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  forms in the 1-2-3 phase in bulk as well for  $0.1 \leq x < 0.3$  and exhibits high-quality superconducting behavior, see Figs. 1(c) and 2(a), with nearly same  $T_c$  as in thin films. This is rather remarkable because,  $\text{LuBa}_2\text{Cu}_3\text{O}_{7-\delta}$  does not stabilize in polycrystalline form and  $\text{TbBa}_2\text{Cu}_3\text{O}_{7-\delta}$  does not exist.<sup>2,3,11-16,18</sup> From the considerations of structural stability of  $\text{LuBa}_2\text{Cu}_3\text{O}_{7-\delta}$ , it is clear that Tb ions cannot be in the tetravalent state as the radius of  $\text{Tb}^{4+}$  (0.88

Å) is smaller than that of trivalent Lu ions for eightfold coordination. Thus our experiments constitute a direct experimental determination of the valence of Tb ions and rule out the possibility of Tb ions being in the tetravalent state, at least in this system. It must be emphasized that the presence of Tb ions in rather large concentration in the system  $\text{Lu}_{1-x}\text{Tb}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  does not affect superconducting behavior of the films. Their superconducting properties ( $T_c$  is independent of  $x$  and  $J_c$  values are high, comparable to those reported for the best Y-1:2:3 films) bear a close similarity with those of  $\text{Lu}_{1-x}\text{R}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  ( $R$ =rare-earth ion, for instance Y and Sm).<sup>17</sup> These results also provide a strong supporting evidence that Tb ions are trivalent in  $\text{Lu}_{1-x}\text{Tb}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$ . This inference is confirmed by x-ray photoemission spectroscopy measurements.<sup>23</sup>

The valence of Pr ions in superconducting cuprates has been a subject of great controversy. Arguments, with supporting experimental evidence, have been advanced in favor of Pr ions being trivalent, mixed valent, and tetravalent in  $\text{PrBa}_2\text{Cu}_3\text{O}_{7-\delta}$  and several systems of the type  $\text{Pr}_{1-x}\text{R}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$ . Just as in the case of Tb ions, we argue that Pr ions in  $\text{Lu}_{1-x}\text{Pr}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  have to be essentially trivalent.

As described above, Pr ions stabilize the system  $\text{Lu}_{1-x}\text{Pr}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  in 1-2-3 phase, even if they are present in rather small concentration ( $x$  as small as 0.1), both in bulk as well as in thin-film form. This situation is similar to that encountered in the case of  $\text{Lu}_{1-x}\text{Tb}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  system, and unlike that for Ce substitution. As the radius of Pr ions in the tetravalent state (0.96 Å) is smaller, like Ce ions, than that of Lu ions, the lattice cannot be stabilized in the 1:2:3 phase if Pr ions are tetravalent. Further, as the redox potential of Tb ( $\text{Tb}^{4+} + e^- \rightarrow \text{Tb}^{3+}$ ;  $E^0 = 3.1$  V) is close to that of Pr ( $\text{Pr}^{4+} + e^- \rightarrow \text{Pr}^{3+}$ ;  $E^0 = 3.2$  V),<sup>24</sup> we suggest that just as terbium, Pr also would be in a trivalent state as both terbium and praseodymium occupy the same lattice site with a similar environment. Although it has been suggested that part of the Pr ions occupy the Ba site in the 1:2:3 structure, a recent report on observation of superconductivity in La-1:2:3 with  $T_c$  of 88 K again implies that the valence state of Pr seems to play a crucial role in depressing superconductivity.<sup>25</sup> In this context, our results establish that Pr ions are essentially in trivalent state in  $\text{Lu}_{1-x}\text{Pr}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  and the mechanism by which it depresses  $T_c$  must be of trivalent origin. At the same time, it has been suggested that some of the substituted Pr ions might enter the Ba site. However, from the neutron-diffraction studies on  $\text{Y}_{1-x}\text{Pr}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  system, several authors have shown that nearly all Pr occupies the rare-earth sites. A complete review on this aspect is given by Radousky.<sup>7</sup> We believe a similar behavior of Pr is expected in this system also. The primary mechanism of depression of superconductivity in our material is due to its occupation of the rare-earth site. Moreover the possibility of Pr occupying the Ba site is again challenged by the observation of superconductivity with a  $T_c$  of 88 K in  $\text{LaBa}_2\text{Cu}_3\text{O}_{7-\delta}$  (Ref. 25) (where  $\text{La}^{3+}$  is larger than  $\text{Pr}^{3+}$ ), which again implies that such disordering may not be the primary effect in

depressing superconductivity for Pr.

Unlike in the  $\text{Lu}_{1-x}\text{Tb}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  system,  $T_c$  of the bulk as well as thin-film samples of  $\text{Lu}_{1-x}\text{Pr}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  does vary with  $x$ . As shown in Fig. 4, however,  $T_c$  does not vary substantially in the films containing low concentration of Pr ions ( $x \leq 0.2$ ). This Pr dependence of  $T_c$  is very similar to that observed in  $\text{Yb}_{1-x}\text{Pr}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  wherein, it should be pointed out, the size of Yb ions is close to that of Lu ions. It must be contrasted with that of the systems  $R_{1-x}\text{Pr}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  ( $R = \text{Nd, Sm, Eu, and Gd}$ ) wherein Pr ions depress  $T_c$  rather strongly. In Fig. 4, we compare the normalized superconducting transition temperature  $T_c(x)/T_c(0)$  of  $\text{Lu}_{1-x}\text{Pr}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  (this work) with that of  $\text{Yb}_{1-x}\text{Pr}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  and  $\text{Nd}_{1-x}\text{Pr}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$ .<sup>8</sup> From these considerations, it follows that the Pr ions suppress  $T_c$  via two physically different, but connected, mechanisms in the host lattices having  $R$  ions with a large radius (such as  $\text{Nd}^{3+}$  ions) and the  $R$  ions with a relatively smaller radius (such as  $\text{Yb}^{+3}$  and  $\text{Lu}^{+3}$  ions). Besides the mechanisms connected with the valence state of Pr ions and their large  $4f$  conduction-electron wave-function overlap, some authors have also considered Abrikosov-Gorkov (AG) pair breaking due to the Pr moments as a possible mechanism of  $T_c$  suppression. The AG equation is given by<sup>26,27</sup>

$$\ln[T_{c(0)}(x=0)/T_{c(0)}(x)] = \psi[1/2 + 1/\{2\pi\tau_s T_{c(0)}(x)\}] - \psi(1/2), \quad (1)$$

where

$$\tau_s^{-1} = (2\pi/K_\beta)n_i N(E_f)J_{\text{ex}}^2(g-1)^2j(j+1). \quad (2)$$

$\psi$  is the digamma function,  $J_{\text{ex}}$  is interaction parameter,  $n_i$  is the concentration of Pr ions,  $g$  and  $j$  are, respectively, the Lande  $g$  factor and total angular momentum of the Hunds rules ground state of  $\text{Pr}^{+3}$ .  $N(E_f)$  is the density of states at the Fermi level which we take to be  $=0.44$  states/eV atom spin following Neumeier *et al.*<sup>28</sup>

Table I gives the values of  $J_{\text{ex}}$  for various concentrations of Pr. It is clear that the interaction parameter increases as the concentration of Pr increases suggesting that a more complex mechanism is operating.

Soderholm<sup>29</sup> has suggested that for Pr ions to influence superconducting properties of the systems  $R_{1-x}\text{Pr}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$ , it is essential that their ground state be magnetic and also that there should be significant  $4f$  conduction-electron hybridization. Following this line of approach, we propose a simple model to account for the observed behavior of Pr ions in the superconducting cuprate systems:

Pr ions are in a trivalent state, with a significant hybridization of their  $4f^2$  electrons with conduction electrons. Whether their crystal-field ground state is magnetic ( $J=4$ ,  $M_J = +/ -4$ ) or nonmagnetic ( $J=4$ ,  $M_J=0$ ) depends upon the unit-cell volume  $V$  of the crystal structure of the host matrix. We postulate that in the 1-2-3 lattice, there is a critical cell volume  $V_{\text{cr}}$  such that the ground state of Pr ions is magnetic for  $V > V_{\text{cr}}$  and nonmagnetic for  $V < V_{\text{cr}}$ . In  $\text{PrBa}_2\text{Cu}_3\text{O}_{7-\delta}$  and also in the

host lattices with  $R = \text{Nd}$  (for example), Pr ions are magnetic as in these cases,  $V > V_{\text{cr}}$  and, therefore, superconductivity is largely suppressed due to magnetic interaction. On the other hand,  $V < V_{\text{cr}}$  in the host lattices with  $R = \text{Yb}$  or  $\text{Lu}$ . This is the reason why the trend of variation of  $T_c$  with the concentration of Pr ions is different in  $\text{Lu}_{1-x}\text{Pr}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  or  $\text{Yb}_{1-x}\text{Pr}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  and  $\text{Nd}_{1-x}\text{Pr}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  as described above.

It is conceivable that the host lattices, which have  $V < V_{\text{cr}}$  for low concentration  $x$  of Pr ions, expand sufficiently and the cell volume  $V$  increases with  $x$ , so that  $V \rightarrow V_{\text{cr}}$  and the nature of the ground state of Pr ions changes (nonmagnetic  $\rightarrow$  magnetic) for certain values of  $x$ . When this happens, Pr ions suppress  $T_c$  more effectively via magnetic interaction. This is what happens in Lu- and Yb-based host lattices for large values of  $x$ .

Since Pr ions are trivalent, they cannot annihilate holes; they can only localize holes through the  $4f$  conduction-electron hybridization. Introducing divalent Ca ions in place of trivalent Lu ions in  $\text{LuBa}_2\text{Cu}_3\text{O}_{7-\delta}$  leads to a significant reduction of  $T_c$  due to the generation of extra holes (overdoping) in the matrix. When Ca and Pr ions are introduced simultaneously, two competing processes, namely, generation of extra holes due to the introduction of Ca ions and localization of holes by the Pr ions take place. For low Pr concentration ( $x=0.1$  and  $0.2$ ) hole localization is marginal, we observe a decrease in  $T_c$  due to overdoping. For higher Pr concentration ( $x > 0.2$ ), where there is considerable hole localization, introduction of Ca ions restores the hole density towards the optimum and there is an increase in  $T_c$ . The observations of Neumeier *et al.*<sup>28</sup> can also be explained as above. In samples of  $\text{Y}_{1-x-y}\text{Pr}_x\text{Ca}_y\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$ ,  $T_c$  decreases for  $x=0.1$  and  $y=0.05$  and  $0.1$ . It increases for  $x=0.15$  and low values of  $y (< 0.1)$  but decreases for  $y=0.1$ . For  $x=0.2$  it increases for  $y=0.1$ . These observations fit into the overall pattern suggested by our mechanism, since one would expect that Pr would be in magnetic ground state for values of  $x$  in the Y-Pr-Ca 1:2:3 system lower than those in the Lu-Pr-Ca system.

There are other high- $T_c$  materials such as  $\text{Pb}_2\text{Sr}_2\text{Ca}_{0.5}\text{Pr}_{0.5}\text{Cu}_3\text{O}_{7-\delta}$  ( $T_c \approx 60$  K) and  $\text{TlSr}_2\text{Ca}_{0.4}\text{Pr}_{0.6}\text{Cu}_2\text{O}_{7-\delta}$  ( $T_c \approx 70$  K) containing Pr ions in fairly high concentration. Their  $T_c$ , however, is close to that of their Gd analogs.<sup>30,31</sup> According to our model, Pr ions are trivalent in these materials also, having nonmagnetic crystal-field ground states.

To conclude, our work constitutes a direct experimental determination of valence of Pr and Tb ions in the  $\text{Lu}_{1-x}\text{M}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  system and shows that they are trivalent. The main thrust of our argument is based on the sensitivity of the stability of the structure of the parent system  $\text{LuBa}_2\text{Cu}_3\text{O}_{7-\delta}$  to the average ionic radius at the Lu site in bulk ceramic samples. Our data on thin films of  $\text{Lu}_{1-x}\text{Ce}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  demonstrate that formation of thin films in the 1:2:3 phase also depends critically on the average  $R$  radius. As Tb ions are trivalent, their effect on superconductivity is no different from that of other trivalent  $R$  ions. Because trivalent Pr ions have

somewhat extended  $4f$  wave function, they have stronger overlap with conduction electrons. Our results on Ca-doped and Ca-free films of Lu-Pr systems bring out very clearly the effect of releasing additional holes by Ca ions and the localization of holes due to Pr ions. Pr ions depress  $T_c$  more effectively if their crystal-field-split ground state is magnetic. Whether the ground state of Pr ions is magnetic or nonmagnetic is related to a critical size of the unit-cell volume of the 1-2-3 structure. If the ground state is nonmagnetic, Pr ions suppress  $T_c$  only

due to the hole localization. We suggest that similar considerations apply to other high- $T_c$  superconductors containing Pr ions.

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