

Phase diagram of ultrathin ferromagnetic films with perpendicular anisotropy

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Ultrathin ferromagnetic films with perpendicular spin anisotropy can possess an alternating up-down stripe-domain structure, with widths $L \pm \delta$. Considering the two inequivalent types of stripe domains to form a single unit, this structure may be thought of as a two-dimensional smectic crystal. It is subject to a weak stripe orientation energy. With increasing temperature the domain system changes from a smectic crystal phase to an "Ising nematic" phase, and then to a "tetragonal liquid" phase. We discuss its possible phase diagrams, in $(H_{\perp}, H_{\parallel}, T)$ space. This sequence of phases can occur whether or not the system ultimately undergoes a spin reorientation transition to a planar phase.

I. INTRODUCTION

A consistent physical picture has recently emerged^{1,2} to explain the unusual physical properties of numerous ultrathin ferromagnetic films that show (1) a planar magnetization for temperature T above the spin reorientation transition temperature T_R , (2) a perpendicular magnetization at low temperatures, and (3) an intermediate temperature regime having a perpendicular magnetization with an up-and-down stripe-domain structure.³⁻⁹ It is based upon the usual ferromagnetic exchange Hamiltonian, including the magnetostatic dipolar interaction, supplemented by an easy-axis spin anisotropy at the surface that favors spin orientation normal to the plane.^{10,11} The stripe-domain structure serves to minimize the magnetic dipole energy, with the stripe width limited by the energy it costs to form a conventional domain wall, which separates up and down stripes. (Later we will discuss another type of domain wall, which we call a *stripe rotation* domain wall, separating regions where the stripes are mutually perpendicular.) One of the predictions of the theory, in agreement with experiment, is that the stripe width is strongly dependent upon temperature T , growing to fill the entire sample at low temperatures.^{1,2} Another prediction, not yet verified by experiment, is that there is a phase transition from a stripe-domain phase with stripe orientation order and algebraically decaying spatial order to a phase with orientational order and exponentially decaying spatial order, which we call an *Ising nematic*.

In this work we present a more comprehensive picture of the stripe-domain structure. In addition to further elucidating the properties of the Ising nematic phase, we find that at a higher temperature, but still below T_R , there is yet another phase based upon the stripe local order, which we call a *tetragonal liquid*, in which even the stripe orientation order decays exponentially. (*Tetrago-*

nal applies because the substrate provides a stripe orientation anisotropy that favors only two, rather than a continuum, of preferred stripe orientations.) We also present results appropriate to the stripe-domain structure in a nonzero magnetic field H . In that case, the alternating up-down stripe-domain structure should possess unequal width $L \pm \delta$,^{1,2} where L and δ both depend upon H and T . We denote by u_s and v_s the displacements of the left and right domain walls bordering the s th stripe with equilibrium width $L + \delta$. Considering the two inequivalent types of stripe together, as a layer of thickness $2L$, this is a two-dimensional (2D) smectic-like crystal at temperature $T=0$. See Fig. 1.

We now present an overview that emphasizes the simpler case when $H=0$, where only the variable u need be discussed. In practice, because of the sensitivity to H , both u and v must be included.

Let the stripe density, in equilibrium, be $n=L^{-1}$. Considering the stripes to be aligned along the local y direction, so that moving along the local x direction takes us from stripe to stripe, changes in the stripe density are then given by $\delta n/n = -\delta L/L$. Going to the continuum form $u(x)$, this corresponds to $\partial_x u \approx (u_{s+2} - u_s)/2L = \delta L/L = -\delta n/n$. A continuum theory of the dependence on u of the conventional domain wall energy and on the magnetic dipole energy already has been developed in Refs. 1 and 2, involving energy densities associated with compression ($\partial_x u$) and bending ($\partial_y^2 u$). In addition, fourth-order gradient terms in the exchange energy within the conventional domain walls yield a continuum stripe orientation anisotropy energy density that tends to stabilize the conventional domain-wall orientation (within the plane), denoted by θ , where $\tan\theta = \partial_y u$, also discussed in Refs. 1 and 2. From the continuum energy and the associated elastic constants, the thermal fluctuations and their effect on the system can be determined. Unless indicated to the contrary, in what follows

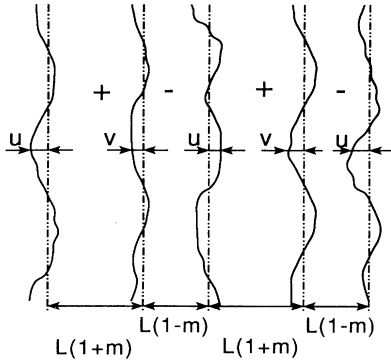


FIG. 1. The geometry of the stripe-domain structure.

the word *orientation* will refer to orientation of the stripe domains, to be distinguished from the spin orientation (since the spins within each stripe are normal to the plane). There are a number of phases with distinct types of order.

(1) At low temperatures, the stripe domains form what may be described as an oriented smecticlike crystal, where the stripe orientation anisotropy favors only two, mutually perpendicular, directions. Long-wavelength fluctuations cause the spatial order to fall off algebraically with distance. This phase also supports topological excitations: bound dislocation pairs with equal and opposite Burgers vectors $\pm 2L$. A dislocation in this system corresponds to the partial insertion into the structure of a smectic unit of width $2L$. See Fig. 2. (Without the stripe orientation energy, the long-wavelength fluctuations would cause the spatial order to decay exponentially, and individual dislocations would have a finite energy.)

(2) Thermally excited bound dislocation pairs become more common as the temperature is increased, until a Berezinskii-Kosterlitz-Thouless (BKT) transition occurs at a temperature T_P , at which unbound dislocations proliferate and thus the algebraic positional order (P) disappears.^{12,13} Despite this loss of algebraic positional order,

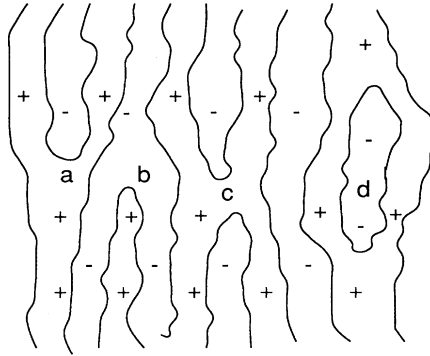


FIG. 2. Dislocations in the stripe-domain structure. (a) dislocation inserted from above; (b) dislocation inserted from below; (c) "strait," or "passage," due to two dislocations, one inserted from above and one from below; (d) "island," due to two dislocations inserted in the center, one ending above and one ending below the center.

orientational order persists. Since the stripe orientation energy continues to favor only two, mutually perpendicular, stripe orientations, this may be described as an Ising nematic structure.

(3) The Ising nematic phase is stable over a finite range of temperatures from T_P to a temperature T_O at which orientational (O) melting occurs. The stripe orientation energy continues to favor only two, mutually perpendicular, stripe orientations, thus making the transition at T_O have Ising-like symmetry. Near T_O , there is a proliferation what we have called a stripe rotation domain wall, within which the stripes rotate from one of the two preferred orientations to the other. Above T_O , this structure may be described as a disordered tetragonal liquid structure, with unbroken tetragonal symmetry.

An outline of the paper is as follows. Section II presents the microscopic Hamiltonian on which this work is based. Section III contains a detailed discussion of the sequence of phases for $H=0$. Section IV presents a detailed discussion of the properties of the Ising nematic and tetragonal liquid phase, including a very general treatment of the texture associated with stripe rotation domain walls. Section V discusses the Kosterlitz-Thouless transition T_P in a field, showing that, in mean-field theory, T_P is independent of field. Section VI discusses the phase diagram in $(H_{\perp}, H_{\parallel}, T)$ space. Section VII presents a summary and our conclusions. In Appendix A we compute certain stripe elastic constants for zero field. In Appendix B we compute these same elastic constants for nonzero field. In Appendix C we determine the leading term in the thermal renormalization of the elastic constant κ .

II. MICROSCOPIC HAMILTONIAN

We now present the microscopic Hamiltonian and its continuum limit, which we employ in order to obtain the elastic constants. In going to the continuum limit, we shall consider the in-plane geometry to be that of a square with lattice constant and nearest-neighbor distance a . However, we shall also give more general results in terms of the number of spins per unit area σ and nearest-neighbor distance a .

The microscopic spin anisotropy energy, assumed to be associated only with one surface plane, is given by

$$\mathcal{H}_{\text{an}} = -D \sum_i S_{iz}^2 \rightarrow -\lambda \int n_z^2 d^2x, \quad (1)$$

where we have taken $\mathbf{S}_i \rightarrow \mathbf{S}_n$, and $\lambda(T) = D(T) \langle S \rangle^2 / a^2$ for a square lattice; for other lattices $\lambda(T) = D(T) \langle S \rangle^2 \sigma$.

The total microscopic exchange energy takes the form

$$\mathcal{H}_{\text{ex}}^{\text{tot}} = -J \sum_{ij} \mathbf{S}_i \cdot \mathbf{S}_j. \quad (2)$$

In the continuum limit it can be transformed into a constant term (from uniform alignment) plus

$$\mathcal{H}_{\text{ex}} = \frac{\Gamma(T)}{2} \int (\partial_a \mathbf{n}) \cdot (\partial_a \mathbf{n}) d^2x, \quad (3)$$

where $\Gamma(T) = 2JS^2$ for a square lattice; for other lattices, $\Gamma(T) = zJS^2/2$, where z is the number of nearest neigh-

bors. This energy is the same for each of the N planes.

The magnetic dipolar energy \mathcal{H}_{dip} is represented by a sum with $\mathbf{m}_i = g\mu_B \mathbf{S}_i$, it becomes

$$\begin{aligned} \mathcal{H}_{\text{dip}} &= \frac{1}{2} \sum_{ij} \frac{(\mathbf{m}_i \cdot \mathbf{m}_j) - 3(\mathbf{m}_i \cdot \boldsymbol{\nu})(\mathbf{m}_j \cdot \boldsymbol{\nu})}{x_{ij}^3} \\ &= \frac{(g\mu_B)^2}{2} \sum_{ij} \frac{(\mathbf{S}_i \cdot \mathbf{S}_j) - 3(\mathbf{S}_i \cdot \boldsymbol{\nu})(\mathbf{S}_j \cdot \boldsymbol{\nu})}{x_{ij}^3}, \end{aligned} \quad (4)$$

where $\boldsymbol{\nu}$ is a unit vector pointing from \mathbf{x}_i to \mathbf{x}_j .

We now separate the magnetic dipole energy into a short-range and a long-range part. The short-range part has the form of a single-ion spin anisotropy, and favors in-plane spin orientation. Including the short-range part of the magnetic dipole energy, the total effective spin anisotropy can be written as

$$\lambda_{\text{eff}} = \lambda - (N\Omega/a)c_d, \quad (5)$$

where c_d is a dimensionless number on the order of unity for the $N=1$ square lattice, and varying as $\ln N$ for sufficiently large N . For stability of the stripe-domain structure, we assume that $\lambda_{\text{eff}} > 0$. In addition, for the spin reorientation transition to occur, the temperature dependence of λ_{eff} must be such that it is positive above T_R and negative below.¹⁴⁻¹⁶ The present considerations, however, apply even for systems that do not possess a spin reorientation transition, such as can occur for the thinnest of films.

The long-range part of the magnetic dipole energy can be transformed from summation over sites i and j in three-space to a form in which one integrates over the two-component vectors \mathbf{x} and \mathbf{x}' and one sums over the individual layers I and J , so $\sum_i \mathbf{S}_i \rightarrow \sum_I \int (d^2x/a^2) \mathbf{S}_n$

$$\begin{aligned} \mathcal{H}_{\text{dip}} &= \frac{(g\mu_B)^2}{2} \sum_{ij} \frac{(\mathbf{S}_i \cdot \mathbf{S}_j) - 3(\mathbf{S}_i \cdot \boldsymbol{\nu})(\mathbf{S}_j \cdot \boldsymbol{\nu})}{x_{ij}^3} \\ &\rightarrow \frac{\Omega}{4\pi} \sum_{IJ} \int \frac{(\mathbf{n} \cdot \mathbf{n}') - 3(\mathbf{n} \cdot \boldsymbol{\nu})(\mathbf{n}' \cdot \boldsymbol{\nu})}{|\mathbf{x} - \mathbf{x}'|^3} d^2x d^2x', \end{aligned} \quad (6)$$

where $\Omega = 2\pi(g\mu_B Sa)^{-2}$ for the square lattice; for other lattices $\Omega = 2\pi(g\mu_B S\sigma)^2$. Here g is the gyromagnetic ratio and μ_B is the Bohr magneton. In the integral, the short-range divergent part is considered to be subtracted; that is, $|\mathbf{x} - \mathbf{x}'|^{-3}$ is considered to be $|\mathbf{x} - \mathbf{x}'|^{-3} - \delta^{(2)}(\mathbf{x} - \mathbf{x}') \int d^2y |\mathbf{x} - \mathbf{y}|^{-3}$. The second term, when expressed in Fourier space, is independent of wave vector, and its zero-wave-vector part precisely cancels out the zero-wave-vector part of the first term. The remaining Fourier component of the long-range dipolar interaction goes to zero as $|\mathbf{q}|$, where \mathbf{q} is the wave vector. Nevertheless, it is the dominant interaction at large distances $L > L_d$, where

$$L_d = 4\pi\Gamma/\Omega. \quad (7)$$

For long-wavelength variations, each term in the sum over I and J is the same, giving a factor of N^2 .

In the continuum limit, one can show that a spin in one plane will have zero interaction with another plane of totally aligned spins. As a consequence, in Eq. (6) we

neglect the interaction between spins in different planes. Further, because we shall be interested in the stripe-domain phase with spins perpendicular to the film, we may neglect the term $3(\boldsymbol{\nu} \cdot \mathbf{n})(\boldsymbol{\nu}' \cdot \mathbf{n}')$ in Eq. (6). Now, by Gauss's law, in the first term one can convert the integrals over the area element $d^2x \rightarrow d\mathbf{A}$ to integrals over the surrounding contour element dL^2 . In terms of the displacement u , on summing over the interactions of (even) up domains and (odd) down domains, and neglecting any contribution from spins within the conventional domain walls, the magnetostatic energy is given by²

$$\mathcal{H}_{\text{dip}} = - \sum_{m,n} (-1)^{m-n} \int \int V(R_{mn}) f(\partial_y u_m, \partial'_y u_n) dy dy', \quad (8)$$

where

$$\begin{aligned} f(\partial_y u_m, \partial'_y u_n) &\equiv \cos(\partial_y u_m - \partial'_y u_n) \\ &\quad \times \sqrt{1 + (\partial_y u_m)^2} \sqrt{1 + (\partial'_y u_n)^2} \\ &\approx (1 + \partial_y u_m \partial'_y u_n), \end{aligned} \quad (9)$$

$$V(R) = \frac{\Omega}{\pi\sqrt{R^2 + l^2}}, \quad (10)$$

and

$$\begin{aligned} R_{mn}^2 &= [(m-n)L + u_m(y) - u_n(y')]^2 + (y - y')^2 \\ &= r_{mn}^2 + 2L(m-n)[u_m(y) - u_n(y')] \\ &\quad + [u_m(y) - u_n(y')]^2, \end{aligned} \quad (11)$$

with

$$l = \sqrt{\Gamma/2\lambda_{\text{eff}}}, \quad (12)$$

being a cutoff to account for the finite width of the conventional domain wall.¹⁷

In addition to these energies, there is an energy associated with length distortions of the conventional domain walls, given by

$$\mathcal{H}_{\text{dw}} = E_s \sum_n \sqrt{1 + (\partial_y u_n)^2} dy, \quad (13)$$

where the conventional domain-wall energy (per unit layer) is given by¹⁷

$$E_s = 2\sqrt{2\Gamma\lambda_{\text{eff}}}. \quad (14)$$

The expansion of the sum of \mathcal{H}_{dip} and \mathcal{H}_{dw} to second order in the displacements gives the elastic energy. A detailed calculation of the elastic coefficients is given in Appendixes A and B.

III. THE SEQUENCE OF PHASES AT $H=0$

In what follows, we present the appropriate continuum energy density, and then we discuss its consequences for long-wavelength fluctuations and topological excitations.

The BKT transition temperature is determined by the elastic moduli. Certain of these have already been studied in Refs. 1 and 2, starting from the microscopic Ham-

iltonian (see Appendix A), and expanding in terms of long-wavelength displacements.

A. Low T , bending energy \gg stripe orientation energy

When the bending energy greatly exceeds the stripe orientation energy, as can occur for short-wavelength variations of the displacement u along the stripe direction (where the characteristic length l_s determining "short" wavelength will be discussed in the next subsection), the macroscopic energy per unit area takes the form

$$E_1 = \frac{1}{2}K(\partial_x u)^2 + \frac{1}{2}\mu(\partial_y^2 u)^2, \quad (15)$$

where for $H=0$ the compression constant K and the bending constant μ already have been determined in Refs. 1 and 2. This energy density permits long-wavelength thermal fluctuations that, without a long-wavelength cutoff (of order l_s^{-1}), would make the fluctuations $\langle(\delta u)^2\rangle \sim T \int dk_x dk_y (Kk_x^2 + \mu k_y^4)^{-1}$ become infinite, causing the crystal phase to lose its compressional rigidity.^{1,2} In addition, it permits finite-energy dislocations in the stripe-domain structure of energy $E_d \sim \Omega L$ and core size $\sqrt{\mu/K} \sim L$. In principle, these dislocations can cause exponentially decaying order, with a characteristic decorrelation length $L \exp(\Omega L/T)$.

B. Low T , stripe orientation energy \geq bending energy

For long-wavelength variations of u along the stripe direction, the total effective energy per unit area takes the form

$$E_2 = \frac{1}{2}K(\partial_x u)^2 + \frac{1}{2}\nu(\partial_y u)^2 + \frac{1}{2}\mu(\partial_y^2 u)^2. \quad (16)$$

The stripe orientation constant ν is due to fourth-order gradients in the exchange energy within the conventional domain walls.^{1,2} The characteristic length scale l_s (the long-wavelength cutoff of the previous subsection) is

$$l_s = \sqrt{\mu/\nu}. \quad (17)$$

It has been previously established^{1,2} that

$$\mu \sim \Omega L, \quad \nu \sim \Gamma a^2 / l^3, \quad (18)$$

where Γ is a microscopic exchange constant, a is a microscopic length, and l is the conventional domain-wall thickness, given by Eq. (12), so $l/a \sim (\Gamma/\lambda_{\text{eff}} a^2)^{1/2}$. Thus

$$l_s \sim L \sqrt{\Omega a / \Gamma (l/a)^3} \sim L \sqrt{\Omega / \lambda_{\text{eff}} a (\Gamma / a^2 / \lambda_{\text{eff}})^{1/2}}, \quad (19)$$

which is comparable to or larger than L , since $\Omega/\lambda_{\text{eff}} a$ is on the order of unity, and $(\Gamma/a^2)/\lambda_{\text{eff}}$ is on the order of 10^2 . For wavelengths shorter than l_s , E_2 approaches E_1 . For wavelengths longer than l_s , the last term in Eq. (16) can be neglected, so E_2 approaches E_2' , where

$$E_2' = \frac{1}{2}K(\partial_x u)^2 + \frac{1}{2}\nu(\partial_y u)^2. \quad (20)$$

As shown by Berezinskii,¹² Mermin,¹⁸ and Jancovici,¹⁹ for $d=2$ systems with this type of energy density the long-wavelength thermal fluctuations cause the order parameter

$$\langle \exp i [u(\mathbf{r}) - u(\mathbf{0})] \rangle = \exp(-\frac{1}{2} \langle [u(\mathbf{r}) - u(\mathbf{0})]^2 \rangle),$$

to decorrelate algebraically. Hence the system remains, at least locally, a 2D smecticlike crystal at low temperatures.

C. Transition to the Ising nematic phase

On the longer distance scale described by E_2' , the 2D smecticlike crystal phase supports dislocations in the stripe-domain structure, now involving compression and stripe reorientation. Because E_2' has the same structure as for superfluids, and because dislocations are analogous to vortices (where the phase change of 2π is replaced by the Burgers vector $2L$), the energy of an individual dislocation is infinite but dislocation pairs of opposite signs have a finite energy (as for individual and bound vortices in superfluids). These lead to a BKT-like transition at T_P to an Ising nematic phase, where¹³

$$T_P = \frac{1}{2\pi} \sqrt{K_R \nu_R} L^2. \quad (21)$$

Here, K_R and ν_R are the large-scale limits of the constants K and ν , which are renormalized due to smectic fluctuations.^{20,21} This equation is obtained from the usual Kosterlitz-Thouless form $K'b^2/8\pi$, where the effective elastic constant K' is $\sqrt{K_R \nu_R}$ and the Burger's vector b is $2L$.²²

Above T_P , in the Ising nematic phase, no x derivatives of u appear in the energy density, because thermal dislocations destroy even algebraic long-range order, and make the value of u have no meaning. Thus, the compression constant K_R becomes zero. This result also can be derived by the following argument. First, scale the coordinates so that the energy E_2' becomes isotropic with the common elastic constant $\sqrt{K_R \nu_R}$. According to the BKT theory this common elastic constant becomes zero at $T > T_P$. Since ν_R is determined by the properties of each conventional domain wall, it cannot be zero for $T > T_P$. Hence we conclude that, if $\sqrt{K_R \nu_R} = 0$ for $T > T_P$, we must have $K_R = 0$.

Despite the fact that the value of u itself has no meaning, because the stripe orientation constant ν_R is nonzero the orientation $\theta \approx \partial_y u$ retains its physical significance. Using the full form for the stripe orientation energy, the elastic energy per unit area (in notation where the subscript R is suppressed) takes the form

$$E_3 = \frac{1}{2}\kappa(\partial_x \theta)^2 + \frac{1}{2}\mu(\partial_y \theta)^2 + \frac{1}{16}\nu[1 - \cos 4\theta]. \quad (22)$$

Here x' and y' are local coordinates perpendicular and parallel to the stripes, respectively. The value for μ is the same as in E_1 .^{1,2,23} For small θ , the last term reduces, up to a constant, to the form $(\nu/2)(\partial_y u)^2$ that was used in E_2 . Over large distances, the stripe orientation energy tends to orient the stripes along either of two mutually perpendicular axes.

In a fixed frame of reference the energy E_3 reads

$$E_3 = \frac{1}{2}\kappa(-\sin\theta\partial_x\theta + \cos\theta\partial_y\theta)^2 + \frac{1}{2}\mu(\cos\theta\partial_x\theta + \sin\theta\partial_y\theta)^2 + \frac{1}{16}\nu[1 - \cos 4\theta]. \quad (23)$$

In Appendix A we calculate the mean-field value for κ , finding it to be negative:

$$\kappa_{\text{mf}} = -\frac{7\Omega L}{4\pi^3} \xi(3). \quad (24)$$

This indicates, for $T > T_p$, an instability toward nonuniform along x' tilt angles. In addition, in Appendix C, we calculate the leading contribution of the smectic fluctuations to κ . This is dominated by the low momentum fluctuations, and requires an infrared cutoff. It provides a contribution to κ that is positive and proportional to T .

In writing down the mean-field and the low momentum fluctuation contributions, the effects of strong smectic fluctuations at high momentum must be accounted for. Thus, with subscript R for these strongly renormalized quantities, by (C12) we have

$$\kappa = (\kappa_{\text{mf}})_R - \frac{T}{64\pi} \left(\frac{K_R}{\nu_R} \right)^{3/2} \ln \frac{p_x^2 \mu_R}{4\nu_R}, \quad (25)$$

where the external momentum p_x is the infrared cutoff. Here we employ the relations^{1,2,24}

$$K_R = Z^2 K, \quad \nu_R = Z^{-1} \nu, \quad \mu_R = Z^{-1} \mu, \quad (\kappa_{\text{mf}})_R = Z^2 \kappa_{\text{mf}}, \quad (26)$$

$$Z = (w^*)^{2/5} \left(\frac{KT^2}{\mu^3} \right)^{-1/5} q_{uv}^{2/5}, \quad w^* = \frac{64\pi}{5}, \quad (27)$$

where the ultraviolet cutoff is given by

$$q_{uv} = l_s^{-1} = \left(\frac{\nu_R}{\mu_R} \right)^{1/2} = \left(\frac{\nu}{\mu} \right)^{1/2}. \quad (28)$$

We determine that, for $T = T_p$,

$$Z = \left[\frac{56\xi(3)}{5} \right]^{2/11} \approx 1.60. \quad (29)$$

This means that, without the logarithm, the second term in κ is about $0.015K/\nu$ of the first term. [In our evaluations, we have employed the mean-field values for K and μ , given in (A24) and (A25); ν disappears from the expression for Z .] Since $K/\nu \sim (\Gamma/\Omega a)^{1/2} \approx 30$, the coefficient of the second term is about half of the first. If the logarithm gives a factor somewhat larger than two, the second term alone might be enough to stabilize the system.

However, there is yet another contribution to κ that tends toward stability. It arises only in the Ising nematic phase, where there is a need for such a stabilizing term. In this phase there is an equilibrium density N of free dislocations of both signs, which make a contribution to κ that we call κ_d . A semiquantitative estimate, which we now present, indicates that κ_d is sufficiently large that it alone can overcome the negative mean-field contribution, thus stabilizing the system.

For $T > T_p$, the free dislocations form a neutral plasma of dislocations in which the field of an excess dislocation is screened over a finite distance L_s . To obtain L_s , we assume Boltzmann statistics for the dislocations, and thus we may employ the 2D Debye screening length, or

$$L_s^{-2} = \frac{2\pi N_d e^2}{T}, \quad (30)$$

where N_d is the dislocation density and the coupling energy e^2 is the charge squared. For e^2 we consider the coefficient of the logarithm in the interaction of two dislocations, thus obtaining for the charge squared [cf. Eq. (21)]

$$e^2 = \frac{\sqrt{K} \nu L^2}{2\pi}. \quad (31)$$

For N_d we employ the results of Kosterlitz for the plasma phase,¹³ now with characteristic minimal separation L , so

$$N_d = L^{-2} \exp[-2b\sqrt{T_p/(T-T_p)}], \quad (32)$$

where T_p is given in Eq. (21) and $b \approx 1.5$.¹³ Thus we obtain

$$L_s \sim L \sqrt{T/T_p} \exp[b\sqrt{T_p/(T-T_p)}]. \quad (33)$$

The excess density of dislocations of one sign, δN_d , creates a gradient of stripe orientation angle θ according to $\partial_x \theta \sim L(\delta N_d) \ln(L_s/L)$. (Note that it is linear in δN_d and, for fixed number of dislocations, inversely proportional to L . The logarithmic factor is due to a screening effect that takes place for distances larger than L_s ; the distortion grows as the screening length grows.) This requires an excess free energy per unit area that is quadratic in δN_d :

$$\delta E \sim E_d (\delta N_d)^2 / N_d \sim E_d (\partial_x \theta)^2 / [N_d (L \ln(L_s/L))^2].$$

The corresponding contribution κ_d to the elastic coefficient is thus on the order of $E_d / [N_d (L \ln(L_s/L))^2]$. An estimate of $E_d = \Omega L \sim 2T \ln(1/\sqrt{N_d} L)$ can be obtained from the work of Kosterlitz and Thouless.¹³ Thus we estimate that

$$\kappa_d \approx T / [N_d (L \ln(L_s/L))^2]. \quad (34)$$

(Note that $N_d L^2$ must be small, or no greater than 1, for this to be valid.) Hence, for a rather high concentration of dislocations (e.g., $N_d L^2 = 0.1$), $\kappa_d \approx 10T$. We therefore conclude that the most probable situation is that, within the Ising nematic phase, the overall κ is positive.

Nevertheless, we still cannot exclude the possibility of negative κ . If it is negative, the Hamiltonian of elastic distortion, Eq. (23), favors the development of textures with large gradients. The characteristic size of such a texture is defined by higher derivative terms in the elastic energy not included in Eq. (23). They become relevant at distances of the order of magnitude of $1/\sqrt{N_d}$ or L . Over such distances elastic theory becomes inapplicable; moreover, over this distance scale the fluctuations in the density of dislocations is very large. Therefore the system should be considered to be completely random over this distance scale.

To summarize, according to the sign of κ , two possibilities arise for the phase diagram when $T > T_p$:

(1) When $\kappa < 0$, we expect that melting will be via a first-order phase transition to a liquid of domains (with either of two mutually perpendicular orientations) pos-

sessing local nematic order but global tetragonal order. Because there are two preferred stripe orientations, the stripe domains are still preferentially oriented along either of two mutually perpendicular directions. In contrast to the Ising nematic phase, in this phase the probabilities for both directions are equal. For this reason we call it a tetragonal liquid. This phase persists until the spin reorientation phase transition.

(2) When $\kappa > 0$, the system undergoes a KT-like transition at T_p from a 2D smecticlike crystal phase to one with Ising nematic order. At a higher temperature T_O , this Ising nematic system melts to the tetragonal liquid phase, with local nematic order but global tetragonal order. This phase persists until the spin reorientation phase transition.

IV. ISING NEMATIC AND TETRAGONAL LIQUID PHASE

A transition in 2D from a positionally and orientationally ordered crystal to one possessing only orientational order (the hexatic phase, with sixfold orientational order) was considered by Halperin and Nelson.²⁵ The present system of stripe-domain walls, with its exchange-induced stripe orientation anisotropy, differs from the free 2D crystal they considered: a free-standing 2D crystal can be given an arbitrary rotation or translation without change of energy, whereas in the present case the stripe domains have a preferential orientation with respect to the crystal lattice of the magnetic field and its substrate. The present system is somewhat like a nematic in an applied electric or magnetic field, which tends to orient the nematic with twofold symmetry, but gives it no positional order. However, the present crystal field has fourfold (tetragonal) symmetry. Moreover, because the system has no long-range positional order, the fourfold symmetry reduces to twofold symmetry, and thus we call it the Ising nematic phase.

The orientational order parameter η is given by

$$\eta = \exp(i2\theta) . \quad (35)$$

It has a nonzero thermodynamic average $\langle \eta \rangle$ for $T > T_p$. For $\kappa > 0$, it is governed by the energy density E_3 , which has Z_2 (Ising-like) symmetry with respect to the order parameter. Thus, we expect that long-range orientational order persists for $T_p < T < T_O$, and that the phase transition at T_O is in the Onsager-Ising universality class. In particular, we expect that $\langle \eta \rangle \sim (T_O - T)^{1/8}$ just below the orientational melting point T_O .

Employing the angle θ , the total energy in the Ising nematic phase (IN) takes, from Eq. (23), the form

$$\begin{aligned} E_{\text{IN}} = & \frac{1}{2}(\kappa + \mu)(\nabla\theta)^2 \\ & + \frac{1}{2}(\kappa - \mu)\{\cos 2\theta[(\partial_x\theta)^2 - (\partial_y\theta)^2] \\ & \quad + \sin 2\theta(2\partial_x\theta\partial_y\theta)\} \\ & + \frac{\nu}{16}(1 - \cos 4\theta) . \end{aligned} \quad (36)$$

We first neglect the stripe orientation anisotropy constant ν , which operates only over relatively large distances. Moreover, the terms in $\cos 2\theta$ and $\sin 2\theta$ renormalize to zero over large distances (up to the distance scale l_s , determined by ν) when the thermally induced long-wavelength fluctuations are included. Thus the effective elastic constant is $\kappa + \mu$. We now estimate the Ising-like transition temperature T_O . To do so, we begin by noting that, as the temperature is increased, there will tend to be a proliferation of disclination-antidisclination pairs. As long as their characteristic separation is shorter than

$$l'_s = \sqrt{(\kappa + \mu)/\nu} , \quad (37)$$

so that the stripe orientation anisotropy may be neglected, these will be like the ordinary variety of disclination-antidisclination pairs,²⁶ and would lead to a Kosterlitz-Thouless transition. However, at a temperature just below that at which such a transition would actually occur, the characteristic separation of the disclination-antidisclination pairs becomes comparable to and then, on further increase of temperature larger than l'_s . At that point the stripe orientation anisotropy takes over, changing the nature of the disclination-antidisclination pairs, so that at large distances the stripes become oriented along either of the two favored, mutually perpendicular, directions. The energy of such pairs is proportional to their separation, as with domain walls, and thus we expect these excitations to lead to an Ising-like transition at T_O . To estimate T_O , we employ the value associated with the Kosterlitz-Thouless transition that does not quite occur. This leads to

$$T_O = \frac{\pi}{8}(\kappa + \mu) . \quad (38)$$

This equation is obtained from the usual Kosterlitz-Thouless form $Kb^2/8\pi$, where $K = \kappa + \mu$ is the elastic constant and $b = \pi$ is the Burgers vector corresponding to an individual disclination.

A new type of topological excitation occurs in the Ising nematic phase, which we call the stripe rotation domain wall. This linear defect separates regions in which the stripes are horizontal from those in which the stripes are vertical. These defects are expected to proliferate just above T_O . In what follows, we determine the profile of θ , the energy, and the preferential orientation of these stripe rotation domain walls.

Let the stripe rotation domain wall be tilted with respect to the x axis by the angle α . This means that the function $\theta(x, y)$ that minimizes Eq. (36) is a function of $\xi = x \cos\alpha + y \sin\alpha$. Because of the fourfold symmetry of the system, it will be sufficient to consider the case where θ takes on the value 0 for $\xi \rightarrow -\infty$, and either of $\pm\pi/2$ for $\xi \rightarrow +\infty$. The energy density becomes

$$E'_{\text{IN}} = g(\theta)(\partial_\xi\theta)^2 + h(\theta) , \quad (39)$$

where

$$g(\theta) = \frac{1}{2}[(\kappa + \mu) + (\kappa - \mu)\cos 2(\theta - \alpha)] , \quad (40)$$

$$h(\theta) = \frac{\nu}{16}(1 - \cos 4\theta) ,$$

This is minimized by

$$0 = \partial_{\theta} [h(\theta) - g(\theta)(\partial_{\xi}\theta)^2]. \quad (41)$$

In the present case the constant of integration is zero, since $h(\theta) \rightarrow 0$ and $\partial_{\xi}\theta \rightarrow 0$ as $\xi \rightarrow \infty$. Hence

$$\partial_{\xi}\theta = \pm \sqrt{h(\theta)/g(\theta)}, \quad (42)$$

whose solution is given by

$$\xi = \pm \int_{\theta_0}^{\theta} \sqrt{g(\theta)/h(\theta)} d\theta. \quad (43)$$

For our particular case,

$$\begin{aligned} \xi &= \pm \int_{\theta_0}^{\theta} \sqrt{g(\theta)/h(\theta)} d\theta \\ &= \pm l'_s \int_{\theta_0}^{\theta} \frac{\sqrt{1 + \sigma \cos 2(\theta - \alpha)}}{\sin 2\theta} d\theta, \end{aligned} \quad (44)$$

where $\sigma = (\kappa - \mu)/(\kappa + \mu)$, and θ_0 will be discussed shortly. This is a quadrature. Note the appearance of the characteristic length l'_s .

The energy per unit length is given by

$$E_O = \int E'_{IN} d\xi = 2 \int \sqrt{g(\theta)h(\theta)} d\xi. \quad (45)$$

For our particular case,

$$E_O = \pm \frac{\sqrt{(\kappa + \mu)\nu}}{2} \int_0^{\pm\pi/2} \sin 2\theta \sqrt{1 + \sigma \cos 2(\theta - \alpha)} d\theta, \quad (46)$$

where both '+'s are paired and both '-'s are paired. This expression must be minimized as a function of α . There are two types of stripe rotation domain walls within this framework, the appropriate choice depending upon the sign of σ . We discuss a number of cases:

(a) For $\sigma < 0$ and $\theta_{\infty} = \pi/2$, we have determined numerically that $\alpha = \pi/4$ minimizes E_O . In this case the stripe rotation domain wall is given in Fig. 3(a). Note

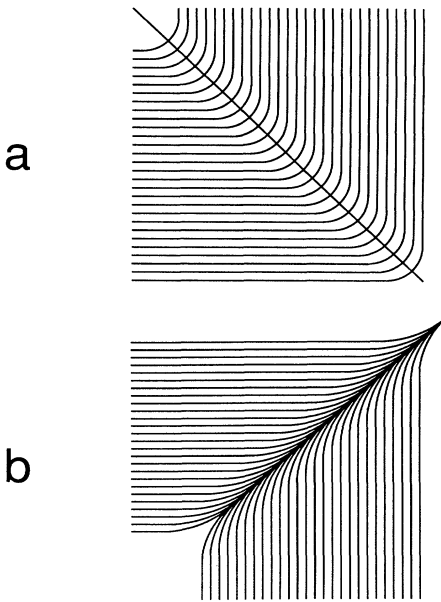


FIG. 3. Two stripe rotation domain-wall configurations.

that for $\alpha = \pi/4$ the second term in the square root of Eq. (46) reduces to $\sigma \sin 2\theta$, so the square root has its minimum when $\sin \theta$ has its maximum.

(b) For $\sigma > 0$ and $\theta_{\infty} = \pi/2$, we have determined numerically that $\alpha = 3\pi/4$ minimizes E_O . In this case the stripe rotation domain wall is given in Fig. 3(b). Note that for $\alpha = 3\pi/4$, the second term in the square root of Eq. (46) reduces to $-\sigma \sin 2\theta$, so the square root has its minimum when $\sin \theta$ has its maximum. (Despite the appearance of Fig. 3(b), it is not a representation of the stripe density, but rather only of their orientation: their density does not become infinite at the stripe rotation domain wall.)

(c) For $\sigma > 0$ and $\theta_{\infty} = -\pi/2$, $\alpha = \pi/4$ minimizes E_O . In this case the stripe rotation domain wall is a rotated version of Fig. 3(b).

(d) For $\sigma < 0$ and $\theta_{\infty} = -\pi/2$, $\alpha = 3\pi/4$ minimizes E_O . In this case the stripe rotation domain wall is a rotated version of Fig. 3(a).

We thus come to the conclusion that, by simple visual inspection of the stripes in a given sample, one can determine the sign of $\sigma = (\kappa - \mu)/(\kappa + \mu)$, and thus find which of κ and μ is larger. If the stripes simply appear to bend, as in Fig. 3(a), then $\sigma < 0$, or $\kappa < \mu$, which is reasonable if κ is only slightly positive because it involves cancelling positive and negative terms.

The intersection of two stripe rotation domain walls, which are perpendicular to one another, is a wedgelike structure with a pointlike singularity. This singularity is precisely the large scale (distances large than l'_s) version of an ordinary disclination, and within l'_s of the point singularity this structure looks like a standard disclination. However, at larger distances the stripes are oriented along either of the two favored, mutually perpendicular, directions. The energy of such a disclination is proportional to the wall length.

Consider now domain formation (e.g., of vertical stripe orientation within a bulk system of horizontal stripe orientation). If the domain is of dimension larger than the characteristic domain wall thickness l'_s , the orientation energy will cause it to have a rectangular shape, with sides making angles of $\pi/4$ and $3\pi/4$ to the horizontal. At each corner there will be a pointlike singularity, as discussed in the previous paragraph, with characteristic kink energy

$$E_K \sim E_O l'_s \sim (\kappa + \mu).$$

The energy to form the domain will be the sum of the wall energy, given by the product of E_O times the perimeter, and of the kink energy, given by the product of E_K times the number of kinks.

For $T > T_O$, both types of stripe orientations can occur, giving the system the symmetry of a tetragonal liquid with an external ordering field.

V. KOSTERLITZ-THOULESS TRANSITION T_P IN A FIELD

Equation (21) for T_P requires that the compression constant K be obtained in a field. We have performed a

formal evaluation in Appendix B, but it can also be obtained by a physical argument. To do so we recall a number of results from Ref. 2.

The reduced magnetization (multiply by $g\mu_B S/a^3$ to get the true magnetization), is given by

$$m = \frac{(L + \delta) - (L - \delta)}{(L + \delta) + (L - \delta)} = \frac{\delta}{L} = n\delta, \quad (47)$$

and the energy, per unit area and per unit layer, is given by

$$E = E_s n - \frac{\Omega}{\pi} n \ln \left[\frac{2}{\pi n l} \cos \frac{\pi n \delta}{2} \right] - h n \delta, \quad n \equiv \frac{1}{L}, \quad (48)$$

$$h \equiv g\mu_B S H / a^2.$$

Equation (48) is valid if $L - \delta \gg l$.

Minimization with respect to both n and δ , as done in Ref. 2, leads to the equilibrium conditions

$$\delta_0 = \frac{2}{\pi n_0} \sin^{-1} \frac{h}{h_c}, \quad n_0 = n^*(T) \sqrt{1 - (h/h_c)^2}, \quad (49)$$

where

$$n^*(T) = \frac{2}{\pi l} \exp \left[-\frac{\pi E_s}{\Omega} - 1 \right], \quad h_c \equiv \Omega n^*(T) / 2. \quad (50)$$

Thus the mean field magnetization is

$$m_0 = n_0 \delta_0 = \frac{2}{\pi} \sin^{-1} \frac{h}{h_c}. \quad (51)$$

It is convenient to consider the energy to be a function of both n and $m = n\delta$. Expanding it to second order in derivations from equilibrium, we find

$$\delta E = \frac{E_{nn}}{2} (\delta n)^2 + \frac{E_{mm}}{2} (\delta m)^2 + E_{nm} (\delta n)(\delta m), \quad (52)$$

where

$$E_{nn} \equiv \frac{\partial^2 E}{\partial n^2} = \frac{\Omega}{\pi n}, \quad E_{mm} \equiv \frac{\partial^2 E}{\partial m^2} = \frac{\pi \Omega n}{4} \sec^2 \frac{\pi m}{2}, \quad (53)$$

$$E_{nm} \equiv \frac{\partial^2 E}{\partial n \partial m} = \frac{\Omega}{2} \tan \frac{\pi m}{2}.$$

We now consider n to have a specific value, and we thermally average (52) over the variable m . Up to a constant that arises from the thermal averaging, we then may rewrite Eq. (52) as

$$\delta E = \frac{K_{\text{eff}}}{2} \left[\frac{\delta n}{n} \right]^2, \quad (54)$$

where the effective compression constant is given explicitly by

$$K_{\text{eff}} = n^2 (E_{nn} - E_{nm}^2 / E_{mm}) = \frac{\Omega n^*}{\pi} \left[1 - \frac{h^2}{h_c^2} \right]^{3/2}. \quad (55)$$

The choice of m as the second variable is not essential to this argument. It was only necessary that a *specific* choice of second variable, independent of n , be taken. For example, use of the variables n and δ would yield the same result for K_{eff} because, in local equilibrium, where first derivatives are all zero, $E_{nn} - E_{n\delta}^2 / E_{\delta\delta} = E_{nn} - E_{nm}^2 / E_{mm}$. [In this expression, on the left-hand-side we write $E(n, \delta)$, whereas on the right-hand-side we write $E(n, m)$.] Appendix B evaluates K_{eff} from a matrix diagonalization, obtaining agreement with the present result.

Use of K_{eff} and ν in Eq. (21) leads to

$$T_P = \frac{\sqrt{K_{\text{eff}} \nu}}{2\pi} L^2 \sim \frac{1}{n^*} \sqrt{\Omega \Gamma a^2 / l^3}. \quad (56)$$

Thus T_P is essentially independent of field, and this equation becomes a self-consistent equation for T_P . Its dominant temperature dependence arises from n^* . For $\Omega a \approx 1$ K, $\Gamma \approx 1000$ K, $l \approx 10a$, and $1/n^* = L$, we get $T_P \approx 10(L/l)K$. At low T there is no solution, but near the spin reorientation transition T_R , where L decreases and l increases, there is a solution. Hence, within the stripe-domain phase, there should be a transition from a smectic-like crystal phase to an Ising nematic phase with short-range smecticlike order.

Including the effect of renormalization on K_{eff} and ν produces only small corrections to $T_P(H)$.

VI. PHASE DIAGRAM IN $(H_{\perp}, H_{\parallel}, T)$ SPACE

Here we describe the global phase diagram of a thin ferromagnetic film in the three-dimensional space given by H_{\parallel} , H_{\perp} , and T , where H_{\parallel} and H_{\perp} are the components of the field parallel and perpendicular to the plane. (Previously we have considered only H_{\perp} .) It is depicted in Fig. 4. It is based upon an extrapolation from ordering of phases for $H=0$, and is expected to be correct in the topological sense. It is quite likely that experimental results will deviate in a quantitative way from this phase di-

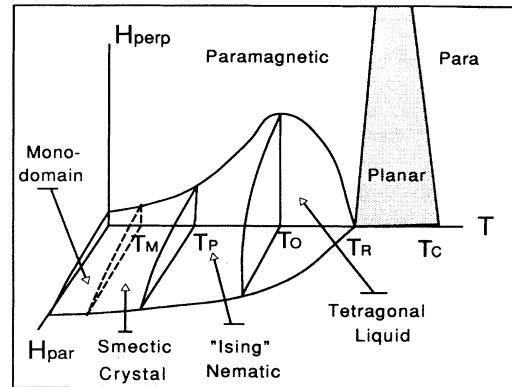


FIG. 4. Phase diagram when $\kappa > 0$, where the Ising nematic is stable. Note that the scale for H_{\parallel} and the maximum value for H_{\perp} in the planar phase is on the order of the spin anisotropy energy (~ 100 Oe), whereas the scale for H_{\perp} in the stripe-domain phases is on the order of an Oe. All the phase transitions except T_C are much closer to T_R than is shown in the figure.

agram, and it is not inconceivable that there will be significant deviations from it. Experiment, of course, will yield the phase diagram for an actual system. As discussed below, real systems will appear to have a somewhat different phase diagram, also depicted in Fig. 4, but with the monodomain phase considered to be the same as the paramagnetic phase.

The local order of the stripe-domain structure can exist in three modifications distinguished by their differing long-range order: the smectic phase, the Ising nematic phase, and the tetragonal liquid phase. In each of these phases, the characteristic H_{\perp} at which the system becomes paramagnetic is determined by h_c of Eq. (50).

At sufficiently low temperatures, the stripe-domain width L is sufficiently large that a single domain fills a real sample, thus giving the impression of a ferromagnetic state that is continuously connected to the paramagnetic state. However, for purposes of representing the phase diagram, we must consider the thermodynamic limit where the sample size is infinite. Thus an infinite sample will contain an infinite number of domains. In the true stripe-domain phase, there is long-range orientational order but, due to long-wavelength fluctuations, there is algebraically decaying positional order. This phase supports bound pairs of dislocations with equal and opposite Burgers' vectors. Adding H_{\perp} favors the growth of the domain whose spins are oriented along the field, so that the temperature at which domains of one spin direction fill all of the area should decrease with increasing field. Adding H_{\parallel} does not affect either type of domain to lowest order in the field, so we have drawn this smectic-nematic phase separation line to have no dependence on H_{\parallel} .

On further increase of temperature, to T_p , unbound dislocations proliferate, causing there to be exponentially decaying positional order. However, it retains long-range stripe orientation order. This phase may be described as an Ising nematic in which the conventional domain walls are oriented along one of two mutually perpendicular directions determined by the underlying substrate. Regions of such mutually perpendicular stripe directions are separated by what we call a stripe rotation domain wall. We have shown that T_p is independent of H_{\perp} . Presently we have no clear idea of what happens when H_{\parallel} is added, so we draw this phase separation line to have no dependence on H_{\parallel} .

At the even higher temperature T_o , the tetragonal symmetry is restored in the third order phase, in which the stripe rotation domain walls proliferate. We have called this phase a tetragonal liquid because there is exponentially rapid spatial decorrelation from one stripe orientation to the other. Adding H_{\parallel} should have no obvious effect on the stability of this phase relative to the Ising nematic phase.

For temperatures above T_R the system is in the planar phase, which has no domain structure. In the absence of in-plane spin anisotropy, the planar phase is strictly a plane in the three-dimensional space, much as the ferromagnetic phase for an Ising model is the line $H = 0$ in (H, T) space. If the in-plane spin anisotropy is not zero, the planar phase exists in a range of H_{\perp} determined by

the in-plane spin anisotropy.

Note that, near T_R , with $T < T_R$, the average domain separation L saturates at a value on the order of the dipole length of Eq. (7), as discussed by Yafet and Gyorgy.²⁷ See also Ref. 2.

The phase transition from the stripe-domain crystal to the Ising nematic is most probably in the Berezinskii-Kosterlitz-Thouless class (i.e., dislocation-mediated melting). The transition from Ising nematic to tetragonal liquid is most probably in the Ising class (i.e., stripe rotation domain-wall mediated melting). The universality class of the transition from tetragonal liquid to planar phase has not yet been investigated.

We emphasize that the scales of the magnetic fields at the transition lines vary significantly. For the stripe-domain phases in H_{\perp} , on the transition lines the scale for H_{\perp} is given via Eq. (50), with $H_c = h_c(a^2/g\mu_B S)$, a value determined by the ratio of the dipolar energy to the magnetization per unit length of a stripe. It contains the large stripe width in the denominator, and thus is relatively small, on the order of 1 Oe. On the other hand, for the stripe-domain phases in H_{\parallel} , on the transition lines the scale for H_{\parallel} is determined by the effective spin anisotropy λ_{eff} , which is on the order of 1000 Oe. The same large estimate applies for H_{\perp} in the planar phase, since the magnetic field must pull the magnetization out of the plane against the spin anisotropy. Note that even for the stripe-domain phases H_{\perp} varies by many orders of magnitude, being on the order of Ω/l at which temperatures, but on the order of Ω/L at low temperatures, where L/l is exponentially large. Thus H_{\perp} is on the order of hundredths of an Oersted or less at low temperatures.

The phase diagram for a finite film differs from that for an infinite sample in two respects. First, for a finite sample, at low temperatures the monodomain phase of a finite sample cannot be distinguished from the paramagnetic phase. Second, the stripe size decreases so rapidly

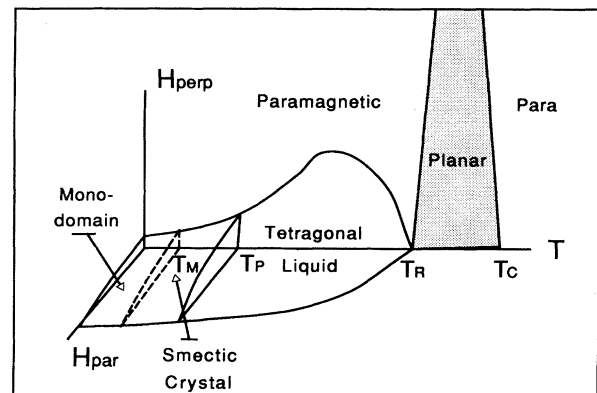


FIG. 5. Phase diagram when $\kappa < 0$, where the Ising nematic is unstable. Note that the scale for H_{\parallel} and the maximum value for H_{\perp} in the planar phase is on the order of the spin anisotropy energy (~ 100 Oe), whereas the scale for H_{\perp} in the stripe-domain phases is on the order of an Oe. All the phase transitions except T_c are much closer to T_R than is shown in the figure.

with increasing temperature that the transition from a single domain to the stripe-domain phase mimics a true phase transition. For that reason we indicate it by a dashed line, reserving solid lines for true phase transitions.

Finally, in Fig. 5, we present the phase diagram as it would appear for a finite film if the Ising nematic phase is unstable. Note that the phase transition between the smectic phase and the tetragonal liquid is expected to be first-order.

VII. SUMMARY AND CONCLUSIONS

We have studied the properties of ferromagnetic thin films that are subject to what has been called the spin reorientation transition. We predict a number of new results, including two new phases based upon a local stripe-domain structure. One is the Ising nematic phase, in which the tetragonal symmetry is reduced to Z_2 Ising symmetry. The other is the tetragonally symmetric liquid of mutually perpendicular stripe domains.

The Ising nematic phase supports long-range stripe orientation order. The transition between these two new phases is mediated by the proliferation of a new type of topological defect, a stripe rotation domain wall that separates regions of two mutually perpendicular orientations. We have found the stripe domain domain-wall structure (i.e., the distribution of orientation), their energy, and their width. We have established the equation for the long-range distortion for the Ising nematic, which is analogous to the Sine-Gordon equation, with field-dependent coefficients of the derivative terms.

We have analyzed the phase transition between the Ising nematic phase and the tetragonal liquid phases, and established that it is in the Ising universality class. We have found that, in the mean-field approximation, the Ising nematic is unstable with respect to orientational nonuniformities normal to the stripe direction. However, this instability can be suppressed by thermal fluctuations. Nevertheless, we cannot exclude the possibility that this instability results in a first-order phase transition from the smectic crystal directly to the tetragonal liquid.

All these phase transitions take place in the vicinity of the spin reorientation phase transition. Therefore, a high degree of resolution in temperature will be needed in order to identify the new phases. We have also found the global phase diagram in the three-dimensional space defined by $(H_{\parallel}, H_{\perp}, T)$. It contains the same phases as discussed here, as well as the planar phase and the paramagnetic phase.

All three of the stripe-domain phases are very sensitive to H_{\perp} (the characteristic field is on the order of 1 Oe), the much less sensitive to H_{\parallel} . Measurements in controlled weak fields would be highly desirable.

We predict the existence of either one or two tricritical points (according to whether $\kappa < 0$ or $\kappa > 0$), and a very special singular point at the spin reorientation transition T_R for zero field, at which three singular lines meet. The character of the singular behavior near this point is an open problem.

We have estimated (cf. Appendices A and B) the global stability of each of the new phases under small fluctua-

tions. Note that, although these phases were studied in the context of films that undergo the spin reorientation transition, there is no requirement that such a reorientation transition take place. Thus, it is, in principle, possible to have a film of just the right thickness that the perpendicular surface spin anisotropy always dominates, yet λ_{eff} is significantly renormalized, so there will be no spin reorientation transition. In this case, the system can go, with increase of temperature, from a monodomain to a smectic crystal to an Ising nematic to a tetragonal liquid, until the Curie point is reached at the highest of temperatures.

APPENDIX A: STABILITY OF THE STRIPED CRYSTAL AND MEAN-FIELD ELASTIC CONSTANTS FOR $H=0$

In this appendix we find the change of energy to second order in displacements $u_n(y)$ of the domain walls. Performing this expansion on the sum of Eqs. (13) and (8) yields

$$\begin{aligned} \delta\mathcal{H} = & \frac{E_s}{2} \sum_n \int \left[\frac{\partial u_n}{\partial y} \right]^2 dy \\ & - \sum_{m,n} (-1)^{m-n} \int \int dy dy' V(r_{mn}) \frac{\partial u_m}{\partial y} \frac{\partial u_n}{\partial y'} \\ & - \frac{1}{2} \sum_{m,n} (-1)^{m-n} \int \int dy dy' W(r_{mn}) \\ & \quad \times [u_m(y) - u_n(y')]^2, \end{aligned} \quad (\text{A1})$$

where

$$V(r) = \frac{\Omega}{\pi \sqrt{r^2 + l^2}}, \quad W(\mathbf{r}) = V'(r) \frac{y^2}{r^3} + V''(r) \frac{x^2}{r^2}, \quad (\text{A2})$$

$$\mathbf{r}_{mn} = [(n-m)L, y - y']. \quad (\text{A3})$$

The cutoff length l accounts for the finite domain-wall width.

The quadratic form (A1) can be diagonalized by Fourier transformation. We denote by $u_{\mathbf{p}}$ the Fourier transform of $u_m(y)$, where $-\pi/L \leq p_x \leq \pi/L$ and $-\infty \leq p_y \leq \infty$. Then

$$u(\mathbf{r}) = \int \int \frac{d^2 p}{(2\pi)^2} u_{\mathbf{p}} e^{i\mathbf{p} \cdot \mathbf{r}}. \quad (\text{A4})$$

The diagonalization of the quadratic form (A1) can be reduced to the calculation of the Fourier transform $\hat{V}(p_x, p_y)$ of the kernel $V(r)$ and its derivatives. One should also take into account the equilibrium condition

$$\begin{aligned} E_s = & \sum_j (-1)^j \int dy \left[V(R_j) - V'(R_j) \frac{x_j^2}{R_j} \right], \\ R^2 = & x_j^2 + y^2 + l^2, \quad x_j = jL. \end{aligned} \quad (\text{A5})$$

Let us introduce the Fourier transform $\tilde{V}(p)$ of the function $V(r)$, defined for any x and y

$$V(\mathbf{r}) = \int \int (d^2 p) \tilde{V}(\mathbf{p}) e^{i\mathbf{p} \cdot \mathbf{r}}, \quad (\text{A6})$$

where we introduce $(d^2 p) = d^2 p / (2\pi)^2$ for brevity. Keeping in mind later applications to finite magnetic field, we

calculate the more general Fourier transform $\hat{V}(\mathbf{p})$ of the function $V(2jL, y)$ of a discrete variable $x_j = 2jL$ and a continuous variable y

$$\begin{aligned}\hat{V}(\mathbf{p}) &= \sum_j \int dy e^{-2ip_x jL - ip_y y} V(2Lj, y) \\ &= \frac{1}{2L} \sum_k \tilde{V} \left[p_x + \frac{\pi k}{L}, p_y \right],\end{aligned}\quad (\text{A7})$$

and the Fourier transform $\hat{V}_\sim(\mathbf{p})$ of the function $V[(2j + \bar{\delta})L, y]$

$$\begin{aligned}\hat{V}_\sim(\mathbf{p}) &= \sum_j \int dy V[(2j + \bar{\delta})L, y] e^{-2ip_x jL - ip_y y} \\ &= \frac{e^{ip_x L \bar{\delta}}}{2L} \sum_k e^{i\pi k \bar{\delta}} \tilde{V} \left[p_x + \frac{\pi k}{L}, p_y \right],\end{aligned}\quad (\text{A8})$$

where we introduce the dimensionless variable

$$\bar{\delta} = \delta/L + 1. \quad (\text{A9})$$

In the last two expressions we have used Eq. (A6) and the Poisson summation formula

$$\sum_{j=-\infty}^{+\infty} e^{ijp} = 2\pi \sum_{k=-\infty}^{+\infty} \delta(p - 2\pi k). \quad (\text{A10})$$

To find $\tilde{V}(\mathbf{p})$ we use a Gaussian integral representation of the inverse square root occurring in the kernel $V(r)$

$$\frac{1}{\sqrt{r^2 + l^2}} = \frac{1}{\sqrt{\pi}} \int_{-\infty}^{\infty} d\xi e^{-\xi^2(r^2 + l^2)}. \quad (\text{A11})$$

Then

$$\begin{aligned}\tilde{V}(\mathbf{p}) &= \frac{\Omega}{\pi} \frac{1}{\sqrt{\pi}} \int \int \int dx dy d\xi e^{-ip_x r - \xi^2 r^2} \\ &= \frac{\Omega}{\sqrt{\pi}} \int \frac{d\xi}{\xi^2} e^{-\xi^2 l^2 - p^2/4\xi^2},\end{aligned}\quad (\text{A12})$$

and the last integration, over ξ , leads to

$$\tilde{V}(\mathbf{p}) = \frac{2\Omega}{p} e^{-pl}. \quad (\text{A13})$$

The remaining unknowns can be found by the use of Eqs. (A7) and (A8) and the relation

$$W(\mathbf{x}) = \frac{\partial^2 V(\mathbf{x})}{\partial x^2}. \quad (\text{A14})$$

Then

$$\hat{W}(\mathbf{p}) = -\frac{2\Omega p_x^2}{p} e^{-pl}, \quad (\text{A15})$$

$$\hat{V}_\sim(\mathbf{p}) = \frac{\Omega}{L} e^{ip_x L \bar{\delta}} \sum_k e^{i\pi k \bar{\delta}} \frac{e^{-lp_k}}{p_k}, \quad (\text{A16})$$

$$\hat{W}_\sim(\mathbf{p}) = -\frac{\Omega}{L} e^{ip_x L \bar{\delta}} \sum_k e^{i\pi k \bar{\delta}} \frac{(p_x + a_k)^2 e^{-lp_k}}{p_k}, \quad (\text{A17})$$

$$\hat{V}(\mathbf{p}) = \hat{V}_\sim(\mathbf{p})|_{\bar{\delta}=0}, \quad \hat{W}(\mathbf{p}) = \hat{W}_\sim(\mathbf{p})|_{\bar{\delta}=0}. \quad (\text{A18})$$

In the last three formulas $p_k^2 = (p_x + a_k)^2 + p_y^2$ and $a_k = \pi k/L$.

According to (A1) and the definitions (A7) and (A8)

$$\begin{aligned}\delta\mathcal{H} &= \frac{1}{L} \int \left\{ p_y^2 \left[\frac{E_s}{2} - \hat{V}(\mathbf{p}) + \tilde{V}_\sim(\mathbf{p}) \right] \right. \\ &\quad \left. - (\hat{W}(0) - \hat{W}_\sim(0) - \hat{W}(\mathbf{p}) + \hat{W}_\sim(\mathbf{p})) \right\} \\ &\quad \times u_p u_{-p}(d^2p),\end{aligned}\quad (\text{A19})$$

where the argument $\bar{\delta}$ in $\hat{V}_\sim(\mathbf{p})$ and $\hat{W}_\sim(\mathbf{p})$ is set to 1. For the equilibrium condition (A5) we obtain

$$E_s = \tilde{V}(0) - \hat{V}_\sim(0) + \frac{\partial}{\partial p_x} p_x (\hat{V}(\mathbf{p}) - \hat{V}_\sim(\mathbf{p}))|_{\mathbf{p}=0}. \quad (\text{A20})$$

Using Eqs. (A16)–(A18) one obtains

$$\delta H = \frac{1}{2L} \int (d^2p) \Phi(\mathbf{p}) u_p u_{-p}, \quad (\text{A21})$$

where

$$\begin{aligned}\Phi(\mathbf{p}) &= \frac{2\Omega}{L^2} \sum_{k=-\infty}^{\infty} \left\{ e^{-l|b_k|} \left[\frac{p_y^2}{2} \left[\frac{1}{|b_k|} - l \right] + |b_k| \right] \right. \\ &\quad \left. - e^{-ls_k} s_k \right\},\end{aligned}\quad (\text{A22})$$

and $b_k = (2k + 1)\pi/L$, $s_k^2 = (p_x + b_k)^2 + p_y^2$.

In the limit $l \rightarrow 0$ we find

$$\Phi(\mathbf{p}) = \frac{2\Omega}{L} \left[\frac{p_x^2}{2\pi} - \frac{1}{L} \sum_{k=-\infty}^{\infty} \left[s_k - |b_k + p_x| - \frac{p_y^2}{2|b_k|} \right] \right]. \quad (\text{A23})$$

We have verified numerically that $\Phi(\mathbf{p})$ is positive for all \mathbf{p} . Thus the stripe-domain structure is stable with respect to arbitrary small displacements. A statement in the literature that striped crystals are unstable under a particular infinitesimal displacement is incorrect, apparently due to an error in the evaluation of an integral.²⁸

In order to find K , μ , and κ we must expand (A22) in a series over powers of p_x and p_y ; the coefficients of p_x^2 , p_y^4 , and $p_x^2 p_y^2$ will yield the desired elastic constants. As a result, for the elastic coefficients we obtain

$$K = \frac{\Omega}{\pi L}, \quad (\text{A24})$$

$$\mu = \frac{7\Omega L}{16\pi^3} \zeta(3), \quad (\text{A25})$$

$$\kappa = -\frac{7\Omega L}{4\pi^3} \zeta(3). \quad (\text{A26})$$

Thus, the mean-field calculation results in a negative value for the constant κ . It does not matter for the smectic crystal state, since the term proportional to $(\partial u / \partial x)^2$ is positive. However, this term vanishes in the Ising nematic phase, where the term $(\kappa/2)(\partial^2 u / \partial x \partial y)^2 = (\kappa/2)(\partial \theta / \partial x)^2$ prevails. Thus the negative mean-field value of κ can result in an instability of the Ising nematic phase. An analysis of the stabilizing effects of thermal fluctuations is given in the text and in Appendix C.

**APPENDIX B: STABILITY AND ELASTIC CONSTANTS
FOR $H \neq 0$**

In the presence of a magnetic field we must consider two displacement fields $\{u_n\}$ and $\{v_n\}$. The equilibrium

distances between nearest unperturbed domain walls are $L + \delta$ and $L - \delta$. We denote by u_n and v_n the displacements of the left and right domain walls bordering the n th stripe with the equilibrium width $L + \delta$. The Hamiltonian in this case consists of two terms the same as (A1), one for each field, an interaction term and a field term

$$\begin{aligned} \mathcal{H}^{(h)} = & E_s \sum_n \int \left[\sqrt{1 + (\partial u_n / \partial y)^2} + \sqrt{1 + (\partial v_n / \partial y)^2} \right] dy \\ & - \frac{1}{2} \sum_{m,n} \int \int V(R'_{mn}) \cos \left[\frac{\partial u_m}{\partial y} - \frac{\partial u_n}{\partial y'} \right] \sqrt{1 + (\partial u_m / \partial y)^2} \sqrt{1 + (\partial u_n / \partial y')^2} dy dy' \\ & - \frac{1}{2} \sum_{m,n} \int \int V(R''_{mn}) \cos \left[\frac{\partial v_m}{\partial y} - \frac{\partial v_n}{\partial y'} \right] \sqrt{1 + (\partial v_m / \partial y)^2} \sqrt{1 + (\partial v_n / \partial y')^2} dy dy' \\ & + \sum_{m,n} \int \int V(R'''_{mn}) \cos \left[\frac{\partial v_m}{\partial y} - \frac{\partial u_n}{\partial y'} \right] \sqrt{1 + (\partial v_m / \partial y)^2} \sqrt{1 + (\partial u_n / \partial y')^2} dy dy' \\ & - 2h \sum_n \int [\delta + v_n(y) - u_n(y)] dy, \end{aligned} \quad (\text{B1})$$

where

$$R_{mn}'^2 = (x_{mn} + u_m - u_n)^2 + (y - y')^2, \quad x_{mn} = 2(m - n)L, \quad (\text{B2})$$

$$R_{mn}''^2 = (x_{mn} + v_m - v_n)^2 + (y - y')^2, \quad (\text{B3})$$

$$R_{mn}'''^2 = (\bar{x}_{mn} + v_m - u_n)^2 + (y - y')^2, \quad (\text{B4})$$

$$\bar{x}_{mn} = [2(m - n) + \bar{\delta}]L.$$

We first obtain the equilibrium conditions, from which L and δ can be found. Setting $u_n = 0$, $v_n = 0$ in (B1), and dividing by the area, we obtain

$$E = E_s n - \frac{n}{2} \sum_k \int [V(R'_k) - V(R''_k)] dy - hn\delta, \quad (\text{B5})$$

where $n = 1/L$, $R_k'^2 = (2kL)^2 + y^2$, and $R_k''^2 = [(2k + \bar{\delta})L]^2 + y^2$. The integral and sum in the middle term can be rewritten by the use of (A16) and (A18) as

$$\begin{aligned} \delta \mathcal{H}^{(h)} = & \frac{E_s}{2} \sum_n \int \left[\left[\frac{\partial u_n}{\partial y} \right]^2 + \left[\frac{\partial v_n}{\partial y} \right]^2 \right] dy \\ & - \frac{1}{2} \sum_{m,n} \int \int \left[V(R_{mn}) \left(\frac{\partial u_m}{\partial y} \frac{\partial u_n}{\partial y'} + \frac{\partial v_m}{\partial y} \frac{\partial v_n}{\partial y'} \right) - 2V(\bar{R}_{mn}) \frac{\partial u_m}{\partial y} \frac{\partial v_n}{\partial y'} \right. \\ & \left. + \frac{1}{2} W(R_{mn}) [(u_m - u_n)^2 + (v_m - v_n)^2] - W(\bar{R}_{mn}) (u_m - v_n)^2 \right] dy dy', \end{aligned} \quad (\text{B8})$$

where

$$R_{mn}^2 = x_{mn}^2 + (y - y')^2, \quad (\text{B9})$$

$$\bar{R}_{mn}^2 = \bar{x}_{mn}^2 + (y - y')^2. \quad (\text{B10})$$

$$\hat{V}(\mathbf{p}=0) - \hat{V}_-(\mathbf{p}=0) = \frac{\Omega}{L} \sum_{k=-\infty}^{+\infty} \frac{e^{-l|b_k|} - e^{i\pi k \bar{\delta} - l|b_k|}}{|b_k|}. \quad (\text{B6})$$

The sum gives

$$\begin{aligned} \frac{2L}{\pi} \mathcal{R} \left[\ln(1 + e^{-l\pi/L + i\pi\bar{\delta}}) - \ln(1 - e^{-l\pi/L}) \right]_{l \ll L} \\ = \frac{2L}{\pi} \ln \left[\frac{2}{\pi n l} \cos \frac{\pi n \bar{\delta}}{2} \right], \end{aligned} \quad (\text{B7})$$

which then yields (48) of the text. A logarithmic term like this was obtained by Marchenko in the context of the competition between two types of facet on a crystal surface.²⁹

Expansion of (B1) to terms first order in u_n and v_n , and the requirement that the state be a local minimum with respect to them, yields two conditions, equivalent to (49). Expansion (B1) to terms second order in u_n and v_n yields

On Fourier transforming (B8) we obtain

$$\delta \mathcal{H}^{(h)} = \frac{1}{2L} \int (d^2 p) (u_{-p} v_{-p}) \begin{bmatrix} H_1(\mathbf{p}) & H_2(\mathbf{p}) \\ H_2^*(\mathbf{p}) & H_1(\mathbf{p}) \end{bmatrix} \begin{bmatrix} u_{\mathbf{p}} \\ v_{\mathbf{p}} \end{bmatrix}, \quad (\text{B11})$$

where now $-\pi/2L \leq p_x \leq \pi/2L$ and $-\infty \leq p_y \leq \infty$, and

$$H_1(\mathbf{p}) = E_s p_y^2 - \hat{V}(\mathbf{p}) p_y^2 - \hat{W}(0) + \hat{W}(\mathbf{p}) + \hat{W}_-(0), \quad (\text{B12})$$

$$H_2(\mathbf{p}) = e^{i p_x L \bar{\delta}} [p_y^2 \hat{V}_-(\mathbf{p}) - \hat{W}_-(\mathbf{p})]. \quad (\text{B13})$$

Thus the problem is reduced to that of a pair of coupled oscillators. Diagonalization of the matrix in (B11) yields

$$\mathcal{H}_{\text{el}} = \delta \mathcal{H}^{(h)} = \frac{1}{2L} \int (d^2 p) [H_+ |w_+|^2 + H_- |w_-|^2], \quad (\text{B14})$$

$$H_{\pm} = H_1 \pm |H_2|, \quad (\text{B15})$$

$$w_{\pm} = \frac{1}{\sqrt{2}} (u \pm e^{i\phi(p_x, p_y, h)} v), \quad e^{i\phi(p_x, p_y, h)} = \frac{H_2}{|H_2|}. \quad (\text{B16})$$

H_+ and H_- correspond to the optic and acoustic branches of the spectrum. Note that $|\phi(0,0,0)| = \pi$, so that $w_+ = (u-v)/\sqrt{2}$ in zero magnetic field. Explicitly, on eliminating E_s via Eq. (A20) (which also holds in a field, where $\bar{\delta} \neq 1$), we obtain

$$H_{\pm} = \frac{\Omega}{L^2} \left\{ \left[\sum_k e^{-l|a_k|} (1 - e^{i\pi k \bar{\delta}}) \times \left[|a_k| + p_y^2 \left[\frac{1}{|a_k|} - l \right] \right] - p_k e^{-l p_k} \right] \pm \left[\sum_k e^{i\pi k \bar{\delta}} p_k e^{-l p_k} \right] \right\}, \quad (\text{B17})$$

where $p_k^2 = (p_x + a_k)^2 + p_y^2$ and $a_k = \pi k / L$.

When $p_y = 0$ we have

$$|H_2| = \frac{\Omega}{L^2} \left| |p_x| - \frac{\pi}{2L} \sec^2 \frac{\pi n \bar{\delta}}{2} - i p_x \tan \frac{\pi n \bar{\delta}}{2} \right|. \quad (\text{B18})$$

From (B18), it is clear that $\phi(p_x \rightarrow +0, p_y = 0, h \rightarrow +0) = -\pi$, as noted above. Again for $p_y = 0$, we have

$$H_{\pm} = \frac{\Omega}{L} \left[-|p_x| + \frac{L}{\pi} p_x^2 + \frac{\pi}{2L} \sec^2 \left[\frac{\pi n \bar{\delta}}{2} \right] \times \left\{ 1 \pm \left[1 + \left[\frac{2L}{\pi} \right]^2 \cos^2 \frac{\pi n \bar{\delta}}{2} \right] \times \left[p_x^2 - \frac{\pi |p_x|}{L} \right] \right\}^{1/2} \right]. \quad (\text{B19})$$

In Fig. 6, these two quantities are plotted in dimensionless units of $\omega = 2H / [\pi \Omega (n^*)^2]$ (the upper and lower curves corresponding to H_+ and H_- , respectively), as a function of the dimensionless variable $p_x L_0 / \pi$. Here L_0 , the value of L in zero field, is employed, so that the Brillouin zone moves in with increasing field.

Expansion of H_- to second order in p_x^2 yields the elastic constant K in a magnetic field:

$$K(h) = \frac{\Omega}{\pi L} \cos^2 \frac{\pi n \bar{\delta}}{2}. \quad (\text{B20})$$

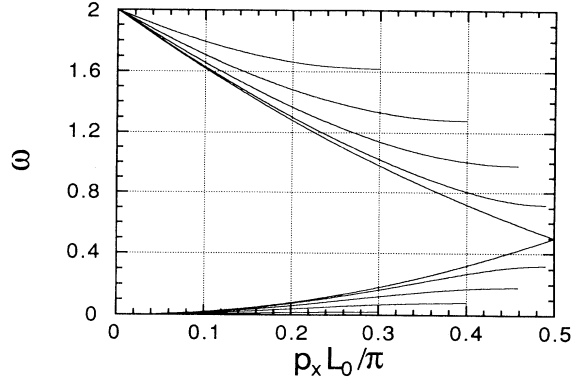


FIG. 6. Values of H_{\pm} normalized as indicated in the text. Five values of the h/h_c were chosen: 0, 0.2, 0.4, 0.6, 0.8, 1.0. For $h/h_c = 0$, the upper and lower curves meet at the Brillouin zone; the other curves deviate from these monotonically with h/h_c . We employ L_0 , the value of L in zero field, so that the Brillouin zone moves toward the origin as h/h_c increases

To find $\mu(h)$ and $\kappa(h)$ we must employ the full expression for H_- . The p_y^4 term yields

$$\mu(h) = \frac{\Omega L}{4\pi^3} \sum_{k=1}^{\infty} \frac{1 - \cos \pi k \bar{\delta}}{k^3}, \quad (\text{B21})$$

which agrees with Eq. (A25) when $h = \delta = 0$. The $p_x^2 p_y^2$ term yields

$$\kappa(h) = -\frac{\Omega L}{\pi^3} \sum_{k=1}^{\infty} \frac{1 - \cos \pi k \bar{\delta}}{k^3}, \quad (\text{B22})$$

which agrees with Eq. (A26) for $h = \delta = 0$.

APPENDIX C: THERMAL RENORMALIZATION OF κ

In Appendix B we found the elastic constant κ to be negative. It implies an instability in the system, which would result in a first-order transition from the smectic crystal to the tetragonal liquid phase. The thermal corrections to κ induced by the nonlinearities of the elastic Hamiltonian can stabilize the Ising nematic phase. We now determine the one-loop correction to κ .

The two-point correlation function is defined as usual:

$$G(\mathbf{p}) = \langle u(\mathbf{p}) u(-\mathbf{p}) \rangle. \quad (\text{C1})$$

The anharmonic terms of the Hamiltonian are

$$\frac{K}{2} \left[\frac{\partial u}{\partial x} \left[\frac{\partial u}{\partial y} \right]^2 + \frac{1}{4} \left[\frac{\partial u}{\partial y} \right]^4 \right]. \quad (\text{C2})$$

These two anharmonic terms occur because the bending energy actually is not $(K/2)(\partial_x u)^2$, but $(K/2)[\partial_x u + \frac{1}{2}(\partial_y u)^2]^2$, a form that is invariant under rotations.

Dyson's equation is given by

$$(G(\mathbf{p}))^{-1} = (G^{(0)}(\mathbf{p}))^{-1} - \Sigma(\mathbf{p}), \quad (\text{C3})$$

with the bare correlator

$$G^{(0)}(\mathbf{p}) = \frac{T}{Kp_x^2 + \mu p_y^4 + \nu p_y^2}. \quad (\text{C4})$$

All diagrams of the second order are represented in Fig. 7, where solid lines are the bare correlators, short lines intersecting solid lines represent differentiation with respect to y or multiplication by p_y , short double lines represent differentiation with respect to x or multiplication by p_x , and each solid circle is a vertex $-K/2T$. All internal momenta must be integrated over. Each diagram must have its combinatorial factor determined individually. To obtain the corrections to κ we need not compute the diagrams in full detail, but only the coefficients of $p_x^2 p_y^2$ in their Taylor expansions.

Two obvious relations that will be employed are

$$\frac{\partial}{\partial q_y} (G^{(0)}(\mathbf{q}))^n = -\frac{2n(2\mu q_y^3 + \nu q_y)}{T} (G^{(0)}(\mathbf{q}))^{n+1}, \quad (\text{C5})$$

$$\frac{\partial}{\partial q_x} (G^{(0)}(\mathbf{q}))^n = -\frac{2nKq_x}{T} (G^{(0)}(\mathbf{q}))^{n+1}. \quad (\text{C6})$$

The graph in Fig. 7(a) gives a contribution to Σ of

$$2\alpha p_x^2 \int G^{(0)}(\mathbf{q}) G^{(0)}(\mathbf{p}-\mathbf{q}) (p_y - q_y)^2 q_y^2 (d^2q), \quad (\text{C7})$$

where $\alpha = (K/2T)^2$ and $(d^2q) = dq_x dq_y / (2\pi)^2$. The coefficient of $p_x^2 p_y^2$ is

$$2\alpha \int G \left[G + 2q_y \frac{\partial}{\partial q_y} G + \frac{q_y^2}{2} \frac{\partial^2}{\partial q_y^2} G \right] (d^2q), \quad (\text{C8})$$

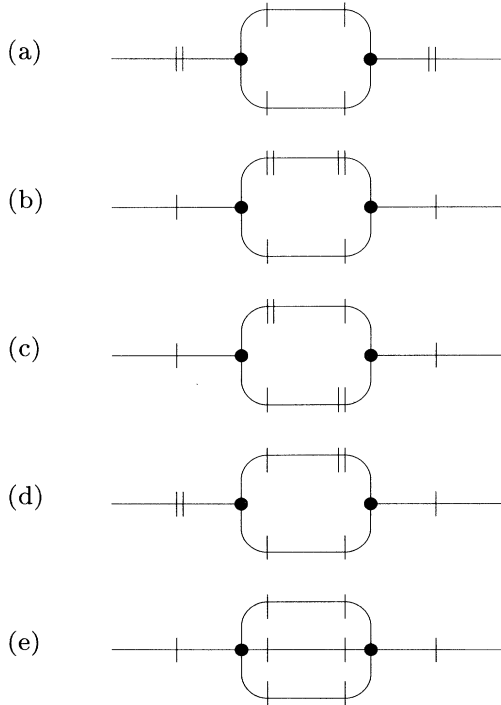


FIG. 7. Diagrams relevant to thermal renormalization of the elastic constant κ . Solid lines indicate bare correlators, with \mathbf{p} entering and leaving. Single short lines indicate y momentum and double short lines indicate x momentum. Solid circles indicate vertices $-K/2T$.

where we have used the obvious relation $\partial f(p-q)/\partial p|_{p=0} = -\partial f(q)/\partial q$, and introduced G instead of $G^{(0)}(\mathbf{q})$ for brevity. Using relation (C5) we can reduce this term to

$$\alpha \int q_y^2 \left[-\frac{3}{2} G^2 + \frac{4\nu q_y^2}{3T} G^3 \right] (d^2q) \\ = \alpha T \left[\frac{3}{2} \frac{\partial}{\partial \nu} + \frac{2}{3} \nu \frac{\partial^2}{\partial \nu^2} \right] \int G(\nu) (d^2q). \quad (\text{C9})$$

The last integral can be easily evaluated. As a result we have

$$\frac{\partial T^2}{2\pi\sqrt{K}} \left[\frac{3}{2} \frac{\partial}{\partial \nu} + \frac{2}{3} \nu \frac{\partial^2}{\partial \nu^2} \right] \frac{1}{\sqrt{\nu}} \sinh^{-1} \sqrt{\nu/\mu} \frac{1}{p_x}, \quad (\text{C10})$$

where we introduce the infrared cutoff p_x . Finally, to obtain the leading term we differentiate only $1/\sqrt{\nu}$ before \sinh^{-1} , thus yielding for the thermal correction to κ ,

$$\delta\kappa = -\frac{T}{64\pi} \left[\frac{K}{\nu} \right]^{3/2} \ln \frac{p_x^2 \mu}{4\nu}. \quad (\text{C11})$$

We can now write out the renormalized value of κ because, as will be seen later, the other graphs give smaller contributions to κ . Thus

$$\kappa' = \kappa - \frac{T}{64\pi} \left[\frac{K}{\nu} \right]^{3/2} \ln \frac{p_x^2 \mu}{4\nu}. \quad (\text{C12})$$

We now show that the contributions from the diagrams in Figs. 7(b) and 7(c) cancel. We can consider them together because both have the same external momenta p_y . Their contribution to Σ is

$$4p_y^2 \alpha \int G^{(0)}(\mathbf{q}) G^{(0)}(\mathbf{p}-\mathbf{q}) \\ \times [q_x^2 (p_y - q_y)^2 + q_x q_y (p_x - q_x) (p_y - q_y)] (d^2q). \quad (\text{C13})$$

Note that they have the same combinatorial factor. The coefficient of $p_x^2 p_y^2$ is

$$4\alpha \int q_y^2 G \left[q_x \frac{\partial G}{\partial q_x} + q_x^2 \frac{\partial^2 G}{\partial q_x^2} \right] (d^2q) \\ = 4\alpha \left[-\frac{2K}{T} \right] \int 2q_y^2 q_x^2 \left[G^3 + \frac{1}{3} q_x \frac{\partial}{\partial q_x} G^3 \right] (d^2q), \quad (\text{C14})$$

where we have used (C6). This integral, on integration by parts, is zero.

The contribution from the diagram in Fig. 7(d) to Σ is

$$8\alpha p_x p_y \int G^{(0)}(\mathbf{q}) G^{(0)}(\mathbf{p}-\mathbf{q}) q_x q_y (p_y - q_y)^2 (d^2q). \quad (\text{C15})$$

The coefficient of $p_x^2 p_y^2$ is

$$8\alpha \int q_x q_y G \left[q_y^2 \frac{\partial^2}{\partial q_x \partial q_y} G + 2q_y \frac{\partial}{\partial q_x} G \right] (d^2q). \quad (\text{C16})$$

On application of (C5) and (C6) this becomes

$$8\alpha \frac{2K}{T} \int (q_x q_y)^2 \left[-\frac{2q_y}{3} \frac{\partial}{\partial q_y} G^3 - 2G^3 \right] (d^2q), \quad (\text{C17})$$

which gives zero, on integration by parts.

So far we have considered the one-loop contributions to κ , which yielded a term logarithmic in the external momentum. We now show that many-loop diagrams do not yield logarithmic terms, but rather terms that vary as a power of the external momentum.

Each line connecting two vertices has zero momentum dimension because it includes both the bare correlator, which is proportional to p^{-2} (its p^4 term is irrelevant since the divergence occurs when $p=0$), and the factors of p , one for each vertex. Each loop implies an integration over internal momentum, i.e., gives an extra p^2 . Because the momentum dependence of the interaction has been transferred to the lines, the vertices contribute factors proportional to (K/T) . Finally, to find a correction to κ one must differentiate a diagram with respect to momentum twice. The result of counting the powers of momentum is $2L - 2$, where L is a number of the loops in a diagram. Clearly, only the one-loop correction ($L=1$) can be logarithmic. For example, the contribution of the diagram in Fig. 7(a) is of second order in p .

Let us now perform a more quantitative analysis. We consider a diagram with $V = V_3 + V_4$ vertices (where V_3 and V_4 are the numbers of vertices with 3 and 4 legs, respectively), L loops, and N lines. These four quantities are not independent. They satisfy the two relations

$$N = \frac{1}{2}(3V_3 + 4V_4 - 2), \quad (\text{C18})$$

$$L = \frac{1}{2}V_3 + V_4. \quad (\text{C19})$$

In order to evaluate any diagram one must find ultraviolet cutoffs \bar{p}_x and \bar{p}_y . They can be found by comparison of the terms of second and fourth orders in p in the bare correlator. The result is

$$\bar{p}_x = \frac{v}{\sqrt{K\mu}}, \quad \bar{p}_y = \sqrt{v/\mu}. \quad (\text{C20})$$

Using the fact that a V_3 vertex has one leg multiplied by p_x and two legs multiplied by p_y , and a V_4 vertex has four legs multiplied by p_y , for any diagram one obtains schematically

$$\left[\frac{K}{T} \right]^V \int \frac{T^N p_x^n p_y^m}{(Kp_x^2 + vp_y^2)^N} (d^2p)^L, \quad (\text{C21})$$

where $n = V_3 - 2$ and $m = 2V_3 + 4V_4 - 2$. Rescaling the momenta via $q_x = \sqrt{K}p_x$ and $q_y = \sqrt{v}p_y$, from (C21) one obtains, to within a numerical factor, the expression

$$T^{N-V} K^{V-(V_3-2+L)/2} v^{-(2V_3+4V_4-2+L)/2} \bar{q}^{2L-2}, \quad (\text{C22})$$

where $\bar{q} = v/\sqrt{\mu}$ is the common ultraviolet cutoff for q_x and q_y . This agrees with the result obtained above from power counting. Using (C18) and (C19), the correction to κ goes as

$$T \left[\frac{K}{v} \right]^{3/2} \left[\frac{T}{\mu} \left[\frac{K}{v} \right]^{1/2} \right]^{L-1}. \quad (\text{C23})$$

For $L=1$ we obtain the previous result [Eq. (C11)],

$$T \left[\frac{K}{v} \right]^{3/2} \ln \frac{p_x^2 \mu}{v}, \quad (\text{C24})$$

where the logarithm occurs because q in Eq. (C22) has an exponent of zero, so we must employ the infrared cutoff p_x . For $T \approx T_p \sim \sqrt{K}vL^2$, the factor in square brackets in (C23) becomes a numerical constant on the order of unity. This estimate remains invariant under renormalization. Thus all multiple graphs contribute to κ values of the same order of magnitude, differing only by a numerical factor, whereas the one-loop contributions contain a large logarithmic factor.

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²³Note that there were misprints for μ in Refs. 1 and 2. The correct value for μ , as derived in Appendix A, is given by $\mu = 7\Omega L \zeta(3)/16\pi^3$.

²⁴The last relation is given in Refs. 1 and 2, but it is easily deduced by employing those works and the following considerations. On scaling via $u \rightarrow Z_u u$, $x \rightarrow Z_x x$, $y \rightarrow Z_y y$, the coefficients in the elastic energy (integrated over space) have the following renormalization factors: K by $Z_u^2 Z_x^{-1} Z_y = Z^2$,

- μ by $Z_u^2 Z_x Z_y^{-3} = Z^{-1}$, ν by $Z_u^2 Z_x Z_y^{-1} = Z^{-1}$, and κ by $Z_u^2 Z_x^{-1} Z_y^{-1}$. Comparison of the terms for μ and ν yields $Z_y = 1$, from which it follows that κ gets renormalized in the same way as K .
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