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**COMMENTS AND ADDENDA**


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**Microscopic Description of Magnon-Optical-Phonon Interaction in  $\text{FeCl}_2 \cdot 2\text{H}_2\text{O}$** 

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(Received 10 January 1972)

A simple physical mechanism is described for the magnon-optical-phonon interaction observed in  $\text{FeCl}_2 \cdot 2\text{H}_2\text{O}$ : A vibration of the waters of hydration gives rise to a modulating Coulomb potential at the  $\text{Fe}^{2+}$  site; this potential acts on the orbital part of the  $\text{Fe}^{2+}$  wave function and is coupled to the spin via the spin-orbit coupling. The calculated magnitude ( $\sim 1.2 \text{ cm}^{-1}$ ) of the interaction is in remarkably good agreement with the experimental value of  $1.3 \text{ cm}^{-1}$ .

The interaction between magnons and *long-wavelength acoustic* phonons gives rise to a wide variety of magnetoelastic phenomena, which have been studied extensively by microwave and ultrasonic techniques.<sup>1</sup> However, there have been only a few observations of magnon-phonon interactions involving either *short-wavelength* (high- $k$ ) phonons<sup>2</sup> or *optical* phonons.<sup>3-6</sup> Using inelastic neutron diffraction, Dolling and Cowley<sup>2</sup> have observed a level anticrossing (as a function of  $k$ ) of a magnon and a short-wavelength acoustic phonon in antiferromagnetic  $\text{UO}_2$ . In  $\text{CoF}_2$ , effects of the magnon-phonon interaction on both magnons and optical phonons have been observed in far-infrared<sup>3</sup> and Raman<sup>4</sup> experiments. But perhaps the simplest magnon-optical-phonon interaction to analyze is that observed in the far-infrared experiments of Hay and Torrance.<sup>5</sup> As a function of applied magnetic field, magnons and optical phonons are observed to interact strongly in the antiferromagnetic, ferrimagnetic and ferromagnetic phases of  $\text{FeCl}_2 \cdot 2\text{H}_2\text{O}$ . In a similar material,  $\text{CoCl}_2 \cdot 2\text{H}_2\text{O}$ , Torrance and Tinkham<sup>6</sup> have observed similar effects, but as in  $\text{CoF}_2$  the magnetic excitation spectrum is more complex. Unfortunately, a satisfactory theoretical description of this interaction and its magnitude has been given only for  $\text{UO}_2$ .<sup>2</sup> In this paper<sup>7</sup> we develop a simple microscopic description of the origin of the magnon-optical-phonon interaction in  $\text{FeCl}_2 \cdot 2\text{H}_2\text{O}$ .

Magnon-phonon interactions generally arise

from the modulation by lattice vibrations of one or more of the following interactions: (i) the dipole-dipole interaction, (ii) the exchange interaction (although only the anisotropic part gives rise to a first-order interaction), and (iii) the crystal field at the spin site. This modulating field may be felt by the orbital angular momentum and transferred to the spin via the spin-orbit coupling.

Considering a given material and a given mechanism, the phonons with higher energies will give rise to a greater modulation of one of the above interactions, since these phonons generally have larger relative displacements of neighboring ions. Therefore, we expect optical and short-wavelength acoustical phonons to *generally* interact more strongly with magnons than the more familiar long-wavelength acoustic phonons. For the former phonons, the interaction energies ( $\sim 1-10 \text{ cm}^{-1}$ ) are in fact much larger than typical long-wavelength acoustic interactions ( $\sim 10^{-4}-10^{-1} \text{ cm}^{-1}$ ).

Below its Néel temperature of  $23 \text{ }^\circ\text{K}$ ,  $\text{FeCl}_2 \cdot 2\text{H}_2\text{O}$  is metamagnetic.<sup>8</sup> For low magnetic fields,  $H_0$ , applied along the easy axis ( $z$ ), the  $\text{Fe}^{2+}$  spins order antiferromagnetically (AF). At  $H_0 = H_{C1} = 35 \text{ kOe}$ , there is a discontinuous transition to a ferrimagnetic phase. At  $H_0 = H_{C2} = 45 \text{ kOe}$  there is a second metamagnetic transition to a ferromagnetic state, with all the  $\text{Fe}^{2+}$  spins aligned along the field. Far-infrared-absorption results for all three phases are summarized in Fig. 1: The dots represent the observed absorption frequencies.<sup>5</sup> The heavy solid

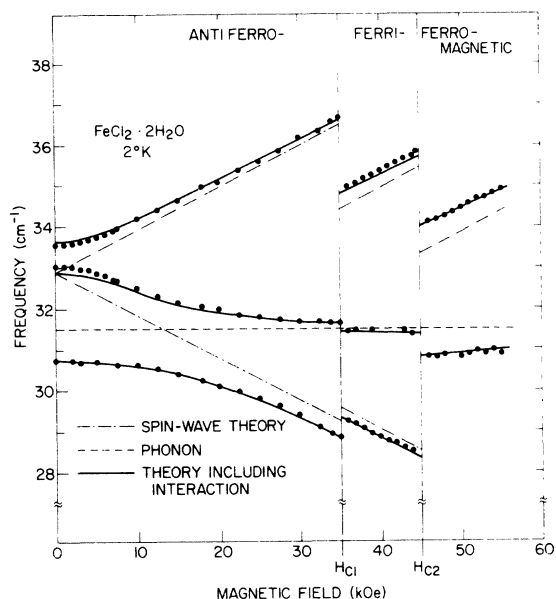


FIG. 1. Absorption frequencies in  $\text{FeCl}_2 \cdot 2\text{H}_2\text{O}$ .

curves in Fig. 1 are the theoretical fit, which was obtained<sup>5</sup> by assuming that the calculated spin-wave excitations (dash-dot lines in Fig. 1) interact with a phonon at  $31.5 \text{ cm}^{-1}$  (dashed line). In the ferromagnetic region the phenomenological magnon-phonon coupling energy necessary to best fit the data is  $1.3 \pm 0.1 \text{ cm}^{-1}$ . The value necessary in the AF region is empirically  $\sim 1/\sqrt{2}$  times as large. Furthermore, intensity measurements<sup>9</sup> showed that this was a normally infrared *inactive* phonon, presumably an optical phonon with  $k=0$ .

In order to obtain a microscopic description of the magnon-phonon interaction in  $\text{FeCl}_2 \cdot 2\text{H}_2\text{O}$ , we first consider which of the phonons may be involved. Since we are looking for a  $k=0$ , optical phonon, we need only consider the relative vibrations of atoms within the  $\text{FeCl}_2 \cdot 2\text{H}_2\text{O}$  unit cell, which is schematically shown in Fig. 2. The vibrational frequencies associated with the strong bonds between  $\text{Fe}^{2+}$  and  $\text{Cl}^-$  ions are surely much higher than the observed  $31.5 \text{ cm}^{-1}$  (which is particularly low for an optical-phonon frequency). For simplicity we therefore regard the  $\text{Fe}^{2+}$  and  $\text{Cl}^-$  ions as stationary and consider the possible phonon modes associated with the weakly bonded waters of hydration, which might be expected to have very low frequencies. In fact, very recently Nicoli and Tinkham<sup>10</sup> have shown that the frequency of the corresponding phonon<sup>6</sup> in  $\text{CoCl}_2 \cdot 2\text{H}_2\text{O}$  shifts downward upon deuteration by 5%. This is the amount of shift expected if the phonon is dominated by the rigid motion of the waters of hydration. For this reason, we neglect the internal vibrations and rotations of the water molecules.

In order to find the mechanism for the magnon-

optical-phonon coupling in  $\text{FeCl}_2 \cdot 2\text{H}_2\text{O}$ , we first recognize that vibrations of the water molecules do not modulate the dipole-dipole interaction [mechanism (i) above]. Furthermore, the exchange paths between  $\text{Fe}^{2+}$  spins probably do not involve the waters of hydration [mechanism (ii)]. However, the vibrational modes of the water molecules can modulate the crystal field at the  $\text{Fe}^{2+}$  site [mechanism (iii)], giving rise to the observed interaction  $\text{FeCl}_2 \cdot 2\text{H}_2\text{O}$ . Therefore, only even-parity displacements of the waters need be considered. There are three such modes, which are shown schematically in Fig. 2 and labeled A, B, and C. For these modes the displacements are directed along the  $y$ ,  $x$ , and  $z$  axes, respectively.<sup>11</sup>

The Coulomb potential  $V(r)$  at an  $\text{Fe}^{2+}$  site is principally modulated by the displacement of the point charges on the nearest-neighbor waters of hydration. The first term in the expansion is shown in Fig. 2. Note that each mode contributes, but that each contribution has a different dependence on the position,  $x$ ,  $y$ ,  $z$ , of the  $d$  electron. In this figure  $u$  is the displacement of the water molecules and  $Z'$  is the magnitude of the equivalent point charge, which is related to the dipole moment.<sup>12</sup>

The ground state of a free  $\text{Fe}^{2+}$  ion is  $^5D$ , which is split by the octahedral component of the crystal field into an orbital triplet,  $^5T_{2g}$ , which lies  $\Delta \sim 10^4 \text{ cm}^{-1}$  below an orbital doublet  $^5E_g$ . The energies of the  $^5T_{2g}$  wave functions  $|xz\rangle$ ,  $|xy\rangle$ , and  $|yz\rangle$  are then split by the rhombic part of the crystal field, leaving  $|xz\rangle$  lowest.<sup>9,13</sup> (We adopt the convention that  $|xy\rangle$  is  $xy$  times a real, positive, radial function,<sup>11</sup> etc.) Neglecting all states not belonging to the  $^5D$  manifold, we express the potential in terms of the  $d$ -electron orbital-angular-momentum operators,  $L_x$ ,  $L_y$ ,  $L_z$ , since these are easier to work with than

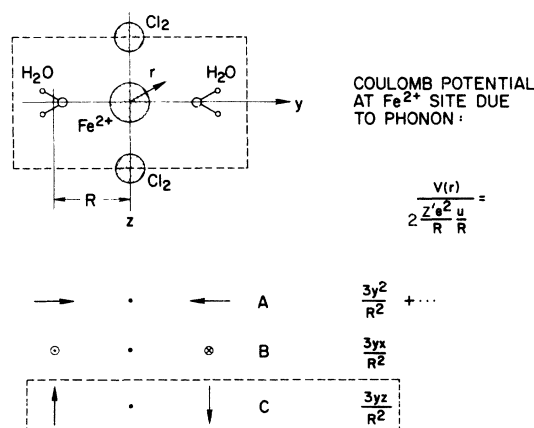


FIG. 2. Schematic diagram of the vibrational modes of the waters of hydration, neglecting the small distortion from local octahedral symmetry.

the coordinates. We now consider a perturbing Hamiltonian containing the spin-orbit coupling and a *general* quadratic potential:

$$\mathcal{H} = \lambda \vec{L} \cdot \vec{S} + \sum_{i,j} t_{ij} L_i L_j, \quad (1)$$

where the coefficients  $t_{ij}$  are proportional to the phonon amplitude  $u$ .

We use the perturbation procedure of Abragam and Pryce<sup>14</sup> to calculate the effect of Eq. (1) on the ground state and express the result in the form of a spin Hamiltonian:

$$\mathcal{H}_{\text{spin}} = -DS_z^2 - E(S_x^2 - S_y^2) + \mathcal{H}_{\text{m-ph}}, \quad (2)$$

where  $z$  is the axis of magnetization. The spin-orbit coupling gives rise to the magnetic anisotropy parameters  $D$  and  $E$ :  $D = \lambda^2(1/\Delta_x - 1/2\Delta_x - 2/\Delta)$  and  $E = \lambda^2(1/2\Delta_x - 2/\Delta)$ . Here  $\Delta_x$  and  $\Delta_z$  are the energies of  $|xy\rangle$  and  $|zy\rangle$  with respect to the ground state  $|xz\rangle$ , and  $\Delta$  is the  $T_{2g}-E_g$  splitting. Neglecting the effect of the  ${}^5E_g$  level, we find the leading term in the magnon-phonon coupling,

$$\begin{aligned} \mathcal{H}_{\text{m-ph}} = 3\lambda^2 \left( \frac{1}{\Delta_x \Delta_z} [t_{xy}(S_x S_y + S_y S_x) + t_{xz}(S_x S_z + S_z S_x) \right. \\ \left. + t_{yz}(S_y S_z + S_z S_y)] + \frac{1}{\Delta_x^2} (t_{zz} - t_{yy}) S_x^2 \right. \\ \left. + \frac{1}{\Delta_z^2} (t_{xx} - t_{yy}) S_z^2 \right). \quad (3) \end{aligned}$$

Thus, several types of coupling between magnons and phonons arise. For example, mode A (which transforms like  $y^2$  and hence  $t_{yy}$ ) modulates the single-ion anisotropy energy, as seen from Eqs. (2) and (3). Similarly, mode B (which transforms like  $xy$  and hence  $t_{xy}$ ) gives rise to a term containing  $S_x S_y$  and hence couples one phonon to two magnons.<sup>15</sup> The coupling between one magnon and one phonon is caused by the  $t_{xz}$  and  $t_{yz}$  terms.<sup>15</sup> In our case there is no phonon transforming like  $xz$ , but mode C does transform like  $yz$ . Therefore, it must be the phonon (C) polarized along the axis of magnetization ( $z$ ), which is observed to interact with the magnons in  $\text{FeCl}_2 \cdot 2\text{H}_2\text{O}$ .<sup>16</sup>

A crude picture of how the motion of the water molecules is coupled to the  $\text{Fe}^{2+}$  spins is as follows: The wave function ( $|xz\rangle$ ) of the  $\text{Fe}^{2+}$  ion has lobes in the  $xz$  plane. The vibration of the water molecules along  $z$  causes the  $\text{Fe}^{2+}$  lobes to wobble slightly about the  $x$  axis. This wobbling gives rise to a wobbling of the spin due to the spin-orbit coupling.

We may now relate  $t_{yz}$  of Eqs. (1) and (3) to the modulated Coulomb potential due to mode C (Fig. 2) and express the phonon displacement  $u$  and  $S_y$  and  $S_z$  in terms of the creation operators,  $c_k^\dagger$  and  $a_k^\dagger$ , and annihilation operators,  $c_k$  and  $a_k$ , for phonons and magnons, respectively. For the ferro-

magnetic phase, we sum Eq. (3) over the lattice and obtain

$$\begin{aligned} \mathcal{H}'_{\text{m-ph}} = \sum_k \frac{\lambda^2}{\Delta_x \Delta_z} \frac{Z' e^2}{R} \frac{3\langle \gamma^2 \rangle}{7R^2} \left( \frac{2S-1}{R} \right) \\ \times \left( \frac{\hbar S}{2m\omega_k} \right)^{1/2} (c_k^\dagger a_k + c_k a_k^\dagger), \quad (4) \end{aligned}$$

neglecting terms proportional to  $c_k^\dagger a_{-k}^\dagger + c_{-k} a_k$  which do not contribute to the resonant interaction in first order.<sup>7</sup>

In order to calculate the magnitude of the magnon-phonon coupling constant for  $\text{FeCl}_2 \cdot 2\text{H}_2\text{O}$ , we have measured  $\Delta_x = 3600 \text{ cm}^{-1}$  (using infrared absorption). We have chosen  $\lambda = -95 \text{ cm}^{-1}$  ( $\lambda_0 = -104 \text{ cm}^{-1}$  for the free ion) and  $\Delta_z = 715 \text{ cm}^{-1}$  so that the theoretical values for  $D$  and  $g$  are  $9.56 \text{ cm}^{-1}$  and  $2.26$ , consistent with the experimental values<sup>9</sup> of  $9.58 \text{ cm}^{-1}$  and  $2.23$ , respectively. The equivalent point charge  $Z'$  of the water molecule is given by  $Z' = Z[(1-d/2R)^{-4} - (1+d/2R)^{-4}]$ , where  $Z = 2$  and the dipole spacing  $d$  is  $0.23 \pm 0.03 \text{ \AA}$  (obtained by comparing the parameters of free  $\text{H}_2\text{O}$  to those of  $\text{H}_2\text{O}$  in  $\text{FeCl}_2 \cdot 2\text{H}_2\text{O}$ <sup>12</sup>). We use  $R = 2.43 \text{ \AA}$ ,<sup>12</sup>  $\langle \gamma^2 \rangle = 0.392 \text{ \AA}^2$ ,<sup>17</sup>  $S = 2$ ,  $m$  is the mass of the water molecule, and  $\omega_k(k=0) = 2\pi \times 31.5 \text{ cm}^{-1}$ . Substituting these values into Eq. (4), we obtain a value for the magnon-optical-phonon coupling of  $1.2 \pm 0.1 \text{ cm}^{-1}$ , in remarkably good agreement with the *experimental value*<sup>5</sup> of  $1.3 \pm 0.1 \text{ cm}^{-1}$ .

The above values are for the ferromagnetic region. Since the phonon wave function is spread out over all sites, its amplitude on a particular *sublattice* in the AF region is only  $1/\sqrt{2}$  times as large as for the ferromagnetic region; hence there is a reduction of  $1/\sqrt{2}$  in the magnon-phonon coupling, as observed.<sup>5,6</sup> For the ferrimagnetic region, reduction factors of  $\sqrt{3/5}$  and  $\sqrt{1/5}$  give much better agreement with experiment (Fig. 1) than the factors used in Ref. 5. (There are complications, however, due to the fact that the spin-wave amplitudes are not entirely localized to one sublattice, but these corrections are only  $\sim 10\%$  for  $\text{FeCl}_2 \cdot 2\text{H}_2\text{O}$ .)

In conclusion, we note that point-charge crystal-field calculations are often poor. For example, attempts to calculate the magnon-phonon interaction in  $\text{CoF}_2$  using a point-charge model<sup>18,19</sup> have resulted in values far smaller than the observed interaction. In  $\text{FeCl}_2 \cdot 2\text{H}_2\text{O}$ , however, covalency and charge-transfer effects are not so important in the binding (or motion) of the waters of hydration. For this reason we believe that in this case a point-charge calculation of the magnon-phonon interaction may be more accurate than would normally be expected. The excellent agreement thus obtained is, therefore, not completely fortuitous.

We wish to acknowledge helpful discussions with

K. W. H. Stevens and K. A. Hay. We also thank D. F. Nicoli and M. Tinkham for communicating their experimental results on  $\text{CoCl}_2 \cdot 2\text{D}_2\text{O}$  prior to publication.

*Note added in proof.* It has just come to our attention that two neutron-diffraction groups have recently observed magnetic excitations interacting

with high- $k$  acoustic phonons. This interaction has been observed in  $\text{FeCO}_3$  by Wrege, Spooner, and Gersch,<sup>20</sup> and in  $\text{FeF}_2$  by Rainford and Houmann.<sup>21</sup> In addition, Lovesey<sup>22</sup> has made a calculation (based on a similar mechanism to the one described here) of the magnon-acoustic-phonon coupling in  $\text{FeF}_2$  and finds good agreement with experiment.

<sup>1</sup>See, for example, R. L. Comstock, *Proc. IEEE* **53**, 1508 (1965).

<sup>2</sup>G. Dolling and R. A. Cowley, *Phys. Rev. Letters* **16**, 683 (1966); *Phys. Rev.* **167**, 464 (1968).

<sup>3</sup>S. J. Allen and H. J. Guggenheim, *Phys. Rev. Letters* **21**, 1807 (1968); *Phys. Rev. B* **4**, 937 (1971); *Phys. Rev. B* **4**, 950 (1971).

<sup>4</sup>R. M. MacFarlane, *Phys. Rev. Letters* **25**, 1454 (1970).

<sup>5</sup>K. A. Hay and J. B. Torrance, Jr., *J. Appl. Phys.* **40**, 999 (1969).

<sup>6</sup>J. B. Torrance, Jr. and M. Tinkham, *Phys. Rev.* **187**, 595 (1969).

<sup>7</sup>This work is also the basis of a talk given by one of the authors (J. B. T.) at the Princeton Conference on Exchange Interactions, May 12-14, 1971 (unpublished).

<sup>8</sup>A. Narath, *Phys. Rev.* **139**, A1221 (1965).

<sup>9</sup>K. A. Hay and J. B. Torrance, *Phys. Rev. B* **2**, 746 (1970).

<sup>10</sup>D. F. Nicoli and M. Tinkham (private communication).

<sup>11</sup>We approximate the symmetry about the  $\text{Fe}^{2+}$  site as orthorhombic, with principal axes  $x$ ,  $y$ ,  $z$ . As an indication of the actual departure from orthorhombic symmetry, the Fe-Cl bond inclines  $43.6^\circ$  (instead of  $45^\circ$ ) from the nearest-neighbor Fe-Fe bond and  $12.3^\circ$  from the axis of

magnetization ( $z$ ).

<sup>12</sup>B. Morosin and E. J. Graeber, *J. Chem. Phys.* **42**, 898 (1965).

<sup>13</sup>C. E. Johnson, *Proc. Phys. Soc. (London)* **88**, 943 (1966).

<sup>14</sup>A. Abragam and M. H. L. Pryce, *Proc. Roy. Soc. (London)* **A205**, 135 (1951).

<sup>15</sup>This result can be most easily seen if  $S_x$  and  $S_y$  are transformed into the raising and lowering operators  $S^+$  and  $S^-$ .

<sup>16</sup>That the interacting phonon transforms like  $yz$  or  $xz$  can be seen directly using group theory.

<sup>17</sup>R. Ingalls, *Phys. Rev.* **133**, A787 (1964).

<sup>18</sup>D. L. Mills and S. Ushioda, *Phys. Rev. B* **2**, 3805 (1970).

<sup>19</sup>T. Moriya (private communication as quoted in Ref. 18).

<sup>20</sup>D. E. Wrege, S. Spooner, and H. A. Gersch, *Proceedings of the Magnetism and Magnetic Materials Conference, Chicago, November, 1971* (AIP, New York, 1972).

<sup>21</sup>B. D. Rainford and J. C. G. Houmann (unpublished).

<sup>22</sup>S. W. Lovesey, *J. Phys. C* (to be published).

## ERRATA

Mössbauer Studies on  $^{57}\text{Fe}$  Atoms in Rare-Gas Matrices between 1.45 and 20.5 K, T. K. McNab, H. Micklitz, and P. H. Barrett [*Phys. Rev. B* **4**, 3787 (1971)]. There is an error in the association between figure captions and the figures in Figs. 4, 5, and 6. The figure above Fig. 4 caption is Fig. 5, the figure above Fig. 5 caption is Fig. 6, and the figure above Fig. 6 caption is Fig. 4.

Calculation of the Spin-Lattice Coefficients of  $\text{Gd}^{3+}$  in  $\text{CaF}_2$  Using a Point-Charge Model for the Crystal-line Field, R. Calvo, M. C. G. Passeggi, and M. Tovar [*Phys. Rev. B* **4**, 2876 (1971)]. We discovered an algebraic error in Eq. (12) where  $B_{5g}^{(4)}$ ,

$B_{5g}^{(6,a)}$ , and  $B_{5g}^{(6,b)}$  should have opposite signs. As a consequence, the value of  $G_{5g}^{(2)}$  obtained from Eq. (11) is  $G_{5g}^{(2)} = +0.07 \text{ cm}^{-1}$  in disagreement in sign with the experimental value. There is also a typographical error in Eq. (9), where  $B_{5g}^{(4)}$  should be multiplied by  $(C_2^{(4)} - C_{-2}^{(4)})$ .

Zener Theory, W. A. Smit and G. Vertogen [*Phys. Rev. B* **4**, 2249 (1971)]. The denominator in the right-hand side of formula (24) should be replaced by  $12[2 - f^2(R)][4 - f^2(R)]$ . This change is necessary because of a page make-up error and does not alter any of the results in the paper.