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PHYSICAL REVIEW B

VOLUME 5, NUMBER 10

15 MAY 1972

## Structure of Orthorhombic $V_{0.95}Cr_{0.05}O_2$ †

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(Received 15 July 1971)

A structure determination of the orthorhombic phase of  $V_{0.95}Cr_{0.05}O_2$  based on powder data indicates that the probable space group is  $F222$  and the room-temperature lattice parameters are  $a_0 = 13.015 \text{ \AA}$ ,  $b_0 = 12.597 \text{ \AA}$ , and  $c_0 = 5.795 \text{ \AA}$ . The structure represents a distortion of the tetragonal rutile structure of high-temperature ( $T > 68^\circ\text{C}$ )  $V_2O_4$  having cell parameters  $a_r \approx a_0/2\sqrt{2} \approx b_0/2\sqrt{2}$  and  $c_r \approx \frac{1}{2}c_0$ . The orthorhombic cell contains  $16(V_{0.95}Cr_{0.05})_2O_4$ . There are four distinguishable  $c$ -axis chains of edge-shared octahedra: Cations  $V_1$  in  $4a$  and  $V_2$  in  $4b$  occupy alternate positions of one chain, the  $V_1$ -O separations being nearly uniform ( $\approx 1.895 \text{ \AA}$ ), whereas the  $V_2$ -O distances along  $b_0$  are shorter ( $1.85 \text{ \AA}$ ) and the four others are longer ( $2.04 \text{ \AA}$ ). Cations  $V_3$  in  $8j$  and  $V_4$  in  $8i$  exhibit antiferroelectric displacements along half the  $c$ -axis chains, and cations  $V_5$  form  $V_5$ - $V_5$  pairs along the fourth  $c$ -axis chain. The relationship of this structure to the semiconductor-to-metal transition in  $VO_2$  is discussed.

### I. INTRODUCTION

In a previous paper<sup>1</sup> it has been argued that the monoclinic-to-tetragonal transition in  $VO_2$  at  $340 \text{ K}$  consists of two distinguishable components: an antiferroelectric-to-paraelectric transition and a simultaneous change from homopolar bonding of V-V pairs to metallic bonding within a  $V^{4+}$  ion chain along the monoclinic axis  $a_m \approx 2c_r$ , which is parallel to the tetragonal  $c_r$  axis. The two transitions, monoclinic-to-orthorhombic at  $T'_t$  and orthorhombic-to-tetragonal at  $T_t$ , that have been reported<sup>2</sup> for the system  $V_{1-x}Cr_xO_2$  were therefore interpreted to signal the separation of these two components. According to this interpretation, the orthorhombic phase appearing in the intermediate temperature range  $T'_t < T < T_t$  should manifest primarily—if not purely—antiferroelectric-type displacements of the  $V^{4+}$  ions from the centers of symmetry of their octahedral sites. In order to check this hypothesis, the orthorhombic room-temperature structure of  $V_{0.95}Cr_{0.05}O_2$  has been determined from intensity measurements of an x-ray powder diffraction pattern.

### II. EXPERIMENTAL

The powder material used in this study was prepared by firing  $V_2O_3$ ,  $V_2O_5$ , and  $CrO_2$  for five days at  $700^\circ\text{C}$  in an open platinum bullet that had been sealed in an evacuated quartz tube. The  $V_2O_3$  was prepared by hydrogen reduction of Johnson Mathey

$V_2O_5$ , and the  $CrO_2$  was obtained by decomposition of  $CrO_3$  at 20 kbar and  $400^\circ\text{C}$ .<sup>3</sup>

Positions of the diffraction peaks were obtained from a  $\frac{1}{4}^\circ/\text{min}$  diffractometer scan using a Norelco goniometer and monochromated (graphite crystal)  $Cu K\alpha$  radiation. These were corrected by calibration against a silicon external standard. Lattice parameters were obtained by refinement of  $2\theta$  values using the simplex method. Observed  $d$  spacings and those calculated from the refined lattice parameters are listed for comparison in Table I.

Intensity data were collected on a Norelco diffractometer by accumulating counts while scanning over the peak, or group of peaks, at  $\frac{1}{4}^\circ/\text{min}$  and subtracting background. The value of background at a particular  $2\theta$  value was taken from a curve constructed from background regions containing no peaks.

Refinement of variable atomic-position parameters and the cell temperature factor was made using a simplex program that minimized the discrepancy factor

$$R = 100 \sum_i |I_i^{\text{calc}} - I_i^{\text{obs}}| / \sum_i I_i^{\text{obs}}$$

The atomic scattering factors for  $V^{3+}$  and  $O^{2-}$  ions were those of Cromer and Waber,<sup>4</sup> while the real and imaginary parts of the anomalous dispersion terms were taken from Cromer.<sup>5</sup> The intensity data were corrected for polarization due to the curved, graphite diffracted-beam monochromator.

TABLE I. Observed and calculated intensities for  $V_{0.95}Cr_{0.05}O_2$ .

$I_{obs}$	$I_{calc}$	$hkl$	$d_{calc}$	$d_{obs}$	$I_{obs}$	$I_{calc}$	$hkl$	$d_{calc}$	$d_{obs}$
2.0	0.62	200	6.507	...	20.0	23.64	513	1.540	1.541
		020	6.298	...			280	1.530	...
2.0	2.70	111	4.881	...	9.7	11.46	153	1.522	1.522
		220	4.526	...			642	1.521	
49.3	55.41	311	3.348	3.350	2.0	1.19	660	1.509	...
2.0	2.50	131	3.290	...			462	1.507	...
886.3	890.65	400	3.254	3.252			533	1.455	1.455
893.0	869.00	040	3.142	3.147	220.1	221.12	004	1.449	1.449
							751		
		002	2.898	...			353	1.445	1.446
2.0	2.03	420	2.891	...			840		
		240	2.835	...	6.6	1.75	571	1.434	1.435
88.8	70.73	331	2.676	2.676			802	1.419	...
							480	1.417	1.417
2.0	1.71	202	2.647	...	67.9	82.28	204	1.414	...
		022	2.632	...			024	1.412	...
868.0	872.09	222	2.440	2.439	7.5	7.03	911	1.394	1.394
17.9	17.98	511	2.333	2.333					
							822	1.384	1.384
94.6	101.34	151	2.275	...	3.1	6.47	082		
		440	2.263	2.262			224	1.380	1.380
2.0	1.47	600	2.169	...			282	1.353	...
195.6	196.78	402	2.164	2.164	2.0	0.31	191		
209.8	182.13	042	2.132	2.132			662	1.338	1.338
2.0	0.03	060	2.099	...	276.2	272.58	713	1.332	
							931	1.331	
		531	2.067	...			404	1.324	1.324
		620	2.051	...			553	1.321	...
45.4	45.60	422	2.047	2.048	151.4	152.12	044	1.316	1.316
		351	2.039	...			173	1.310	...
		242	2.026	...	2.0	4.75	1000	1.301	...
37.1	48.25	260	1.998	1.998			391	1.298	
							424	1.295	
30.1	18.94	113	1.889	1.890	13.3	9.13	842	1.293	1.293
		640	1.786	...			244	1.290	
2.0	1.95	442	1.783	...			860	1.286	
		460	1.764	...			733	1.276	
36.1	34.39	711	1.753	1.753	28.5	21.68	1020	1.275	
		313	1.748	...			680		1.273
							482	1.273	
26.2	28.03	133	1.739	...			771	1.262	1.262
		602	1.737	1.736	13.0	7.87	373	1.260	...
		551	1.728	...			0100		...
		171	1.704	...			2100	1.237	
2.0	0.27	062	1.700	...	4.1	6.98	951	1.226	1.230
429.4	431.58	622	1.674	1.674	19.6	28.49	444	1.220	1.220
499.6	468.24	262	1.645	1.645			591	1.206	...
							604		...
134.2	168.14	731	1.631	1.631	2.0	6.79	1040	1.203	...
		333	1.627	1.627			064	1.192	...
		800					1002	1.187	...
6.6	14.45	371	1.598	1.599			624	1.183	1.184
152.9	150.52	820	1.575	1.575	5.4	6.26	753		
		080							

TABLE I. (Continued)

$I_{\text{obs}}$	$I_{\text{calc}}$	$hkl$	$d_{\text{calc}}$	$d_{\text{obs}}$	$I_{\text{obs}}$	$I_{\text{calc}}$	$hkl$	$d_{\text{calc}}$	$d_{\text{obs}}$
10, 6	11, 21	862	1.175	...	2, 0	6, 71	791	1.098	...
		573					393	1.097	...
		<u>4100</u>		1.175	3, 1	7, 64	<u>6100</u>	1.089	...
		264	1.173	...			<u>4102</u>		1.088
12, 5	22, 40	<u>1022</u>	1.167	1.167			<u>3111</u>	1.088	...
		682					<u>1200</u>	1.085	
41, 3	21, 48	<u>0102</u>	1.155		64, 7	67, 49	335	1.082	1, 082
		<u>1111</u>					804		
		913	1.153	1, 153			773	1.075	...
		115	1.150		2, 0	2, 71	<u>1220</u>	1.069	...
90, 6	84, 71	<u>2102</u>	1.137	1, 137			824	1.066	1, 065
		880	1.131	1, 132	45, 4	50, 42	084		
		193	1.129	1, 128			<u>2120</u>	1.036	
2, 0	0, 00	644	1.125	...	22, 3	33, 51	<u>1062</u>	1.033	1, 034
							<u>5111</u>	1.031	
21, 0	15, 13	971	1.107	1, 109			<u>4120</u>	0.999	0, 9992
		<u>1060</u>			18, 7	19, 60	<u>8100</u>	0.906	

## III. RESULTS

Chamberland<sup>6</sup> has shown that the powder patterns of a number of cation-substituted VO<sub>2</sub> phases can be indexed on the basis of an orthorhombic cell of either *Fmmm*, *Fmm2*, or *F222* symmetry. Our sample of composition V<sub>0.95</sub>Cr<sub>0.05</sub>O<sub>2</sub> had the orthorhombic lattice parameters  $a_o = 13.015 \text{ \AA}$ ,  $b_o = 12.597 \text{ \AA}$ , and  $c_o = 5.795 \text{ \AA}$  at room temperature (see Appendix). The systematic extinctions were consistent with a face-centered cell, and subsequent refinement indicated that the probable space group is *F222*.

Three observations suggested that the orthorhombic structure, like the monoclinic structure of VO<sub>2</sub>, is closely related to the tetragonal rutile structure of high-temperature VO<sub>2</sub>: (i) the existence of the rutile structure at  $T > T_t$  (space group *P4<sub>2</sub>/mnm*;  $a_r = 4.5534 \text{ \AA}$ ,  $c_r = 2.8502 \text{ \AA}$  for VO<sub>2</sub>),<sup>7</sup> (ii) the derivation of the low-temperature ( $T < T_t'$ ) forms from the rutile structure, and (iii) similarities in the three powder patterns. In addition, the orthorhombic and tetragonal axes are related as  $a_o \approx b_o \approx 2\sqrt{2}a_r$  and  $c_o \approx 2c_r$ . Therefore the observed orthorhombic cell was superimposed on the rutile lattice so that its long axes lay along the diagonals of the rutile cell, as illustrated in Fig. 1. Models were then derived by assigning the thirty-two vanadium and sixty-four oxygen atoms in the cell to positions consistent with each of the three space groups (*Fmmm*, *Fmm2*, *F222*) and small displacements from the tetragonal lattice positions.

Refinement in space group *F222* using the sixty intensities listed in Table I gave the cell temperature factor and thirteen variable position param-

eters of Table II with a reliability factor  $R = 6.0\%$ .

Sixteen peaks, or composite peaks, having zero observed intensity were assigned an intensity equal to half that of the minimum peak in the pattern and were included in the refinement. There were no outstanding discrepancies among these peaks. However, removing their contribution to the refined reliability factor gave a value  $R = 5.6\%$ . It should be noted that the data used in the refinement include all of the peaks allowed by the space group *F222* over the range  $12^\circ < 2\theta < 102^\circ$ , with the exception of two low-intensity envelopes containing contributions from seven or more allowed peaks.

The model consistent with space group *Fmmm* allowed seven variable position parameters and

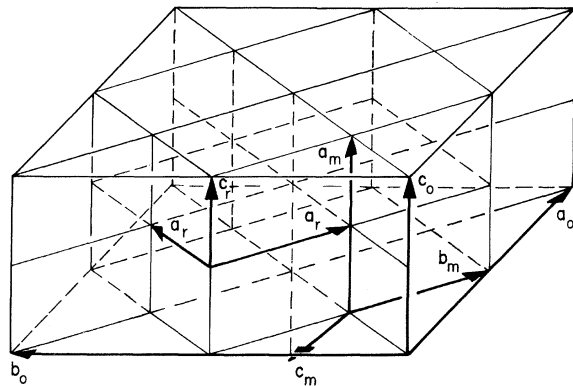


FIG. 1. Relationship of tetragonal and monoclinic phases to the orthorhombic phase in interval  $T_t' < T < T_t$  of V<sub>0.95</sub>Cr<sub>0.05</sub>O<sub>2</sub>.

was refined on the same data to a reliability factor  $R = 15.7\%$ . The high  $R$  factor obtained was the basis for the rejection of this model. A similar refinement of the twenty-one variable position parameters allowed by space group  $Fmm2$  converged to a reliability factor  $R = 6.3\%$ . The fact that the  $R$  factor increased in spite of the increase in the number of parameters indicates that this model is unsatisfactory in comparison to that of  $F222$ .

The above observations are regarded as a clear indication that the most probable space group is  $F222$ .

#### IV. DISCUSSION

Figure 2 illustrates the atomic displacements responsible for the change from tetragonal to orthorhombic symmetry. The orthorhombic structure contains four distinguishable chains of edge-shared octahedral sites parallel to the  $c_o$  axis. One chain contains the two distinguishable cations  $V_1$  and  $V_2$ , which remain in the center of symmetry of their respective octahedral sites. The  $O_1$  ions in an  $a_o$ - $c_o$  plane are displaced toward the  $V_1$  ions and away from the  $V_2$  ions; they are also rotated about their nearest  $V_1$ - $V_2$  axis. The second chain consists of alternating  $V_3$  and  $V_3'$  ions, which are oppositely displaced along the orthorhombic  $a_o$  axis to make very short  $V_3$ - $O_5$  bonds: The  $V_3$ - $O_5$  separation is 1.68 Å, whereas the  $V_3$ - $O_6$  separation is 2.20 Å. The third chain also consists of oppositely displaced  $V_4$  and  $V_4'$  ions, but along the orthorhombic  $b_o$  axis to make two shortest  $V_4$ - $O_2$  bonds of 1.87 Å. Only the fourth chain consists of V-V pairs along the  $c_o$  axis. The bridging  $O_6$  ions between the  $V_5$ - $V_5'$  pairs have a  $V_5$ - $O_6$  separation of only 1.84 Å.

Analysis of the principal driving force responsible for this structure must begin with a definition of cooperative ferroelectric-type displacements, which create preferential metal-anion bonds. The phenomenological definition in terms of vibration-mode softening, although descriptively useful, pro-

vides no insight as to why a particular mode, or set of modes, becomes soft. For this latter purpose, we must consider the electronic energies, since the internal energy is the sum of all the one-electron energies. As there are no localized 3d electrons in  $VO_2$ ,<sup>1</sup> we need consider only itinerant electrons in band or molecular-orbital states.

The compound  $SrVO_3$  has the cubic perovskite structure and is reported to be metallic and Pauli paramagnetic.<sup>8</sup> This demonstrates that the  $V^{4+}$ - $O^{2-}$ :  $p_r$ - $V^{4+}$  interactions are strong enough to delocalize the single 3d electron per  $V^{4+}$  ion in this compound.<sup>9</sup> (The formal valence  $V^{4+}$  indicates the number of "3d" electrons per cation, since the Fermi energy lies between a filled  $O^{2-}$ : 2p valence band and an empty V: 4s conduction band.) Qualitatively, the band structure for this compound would be similar to that for  $ReO_3$ , the  $Sr^{2+}$  ions donating two electrons per cation to the  $(VO_3)^{2-}$  array. This band structure, in the absence of spin-orbit coupling, would be like that shown schematically in Fig. 3.

Let us assume that at low temperatures  $SrVO_3$  undergoes an antiferroelectric distortion to tetragonal symmetry, the  $V^{4+}$  ions along any tetragonal  $c_t$  axis being displaced away from one near-neighbor anion on this axis toward another. Such a displacement would be similar to the displacement of the  $V_3$  ions in  $V_{0.95}Cr_{0.05}O_2$  to form one short  $V_3$ - $O_5$  bond and one long  $V_3$ - $O_6$  bond. Ferroelectric displacements along the tetragonal [001] axis, but antiferroelectric displacements within the (001) planes, would lead to a tetragonal, antiferroelectric phase. Such a distortion would remove the three-fold degeneracy (spin-orbit coupling neglected) of the  $\pi^*$  band, raising the bands formed from  $d_{yz}d_{zx}$  orbitals relative to those formed from  $d_{xy}$  orbitals, where the  $z$  axis is taken parallel to  $c_t$ . The energies of the  $d_{yz}d_{zx}$  orbitals would be raised because the atomic displacement would increase the covalent mixing between these orbitals and the nearest-neighbor anion  $p_y p_x$  orbitals, thereby destabilizing the antibonding  $d_{yz}d_{zx}$  orbitals and stabilizing the anion  $p_y p_x$  orbitals. The increase  $\Delta E_g$  in the splitting between  $d_{yz}d_{zx}$  and  $p_x p_y$  orbitals would be proportional to the magnitude  $\delta$  of the atomic displacements. In general, the number of states stabilized in the bonding  $\pi$  band is proportional to  $(E_g + \Delta E_g)/ (W_b + \frac{1}{2}E_g) = A + B\delta + \dots$ , where  $W_b$  is the width of the  $\pi$  band. Since the elastic restoring forces vary as  $\delta^2$ , a finite displacement of the cations can be anticipated at low temperatures if the destabilized, antibonding  $\pi^*$  band states are empty, the elastic restoring forces are relatively small (i. e., the  $V^{4+}$  ion is small for its octahedral interstice), and  $W_b/E_g \lesssim 1$ . Ferroelectric-type displacements are common where the  $d$  orbitals are empty. Although a  $V^{4+}$  ion has one 3d electron, a finite displacement that is large enough to raise the  $d_{yz}d_{zx}$  bands

TABLE II. Crystal structure of  $V_{0.95}Cr_{0.05}O_2$ .

Space group: $F222$ (No. 22)			
Unit-cell dimensions: $a = 13.015$ Å, $b = 12.597$ Å, $c = 5.795$ Å			
Cell content: $16V_2O_4$			
$V_1$ in (4a)	(0, 0, 0)		
$V_2$ in (4b)	(0, 0, $\frac{1}{2}$ )		
$V_3$ in (8j)	( $x$ , $\frac{1}{4}$ , $\frac{1}{4}$ ; $\frac{1}{2}-x$ , $\frac{1}{4}$ , $\frac{1}{4}$ )	$x = 0.0218(2)$	
$V_4$ in (8i)	( $\frac{1}{4}$ , $y$ , $\frac{1}{4}$ ; $\frac{1}{4}$ , $\frac{1}{2}-y$ , $\frac{1}{4}$ )	$y = 0.0024(2)$	
$V_5$ in (8h)	( $\frac{1}{4}$ , $\frac{1}{4}$ , $z$ ; $\frac{1}{4}$ , $\frac{1}{4}$ , $\frac{1}{2}-z$ )	$z = 0.0316(8)$	
$O_1$ in (16k)	( $x$ , $y$ , $z$ ; $x$ , $\bar{y}$ , $\bar{z}$ ; $\bar{x}$ , $\bar{y}$ , $z$ ; $\bar{x}$ , $y$ , $\bar{z}$ )	$x = 0.1020(5)$ ; $y = 0.0074(5)$ ; $z = 0.2325(10)$	
$O_2$ in (16k)		$x = 0.2515(5)$ ; $y = 0.1004(5)$ ; $z = 0.0089(10)$	
$O_3$ in (8f)	(0, $y$ , 0; 0, $\bar{y}$ , 0)	$y = 0.1506(5)$	
$O_4$ in (8f)		$y = 0.3533(5)$	
$O_5$ in (8j)	( $x$ , $\frac{1}{4}$ , $\frac{1}{4}$ ; $\frac{1}{2}-x$ , $\frac{1}{4}$ , $\frac{1}{4}$ )	$x = 0.1506(5)$	
$O_6$ in (8j)		$x = -0.1473(5)$	
Cell temperature factor: 1.3			

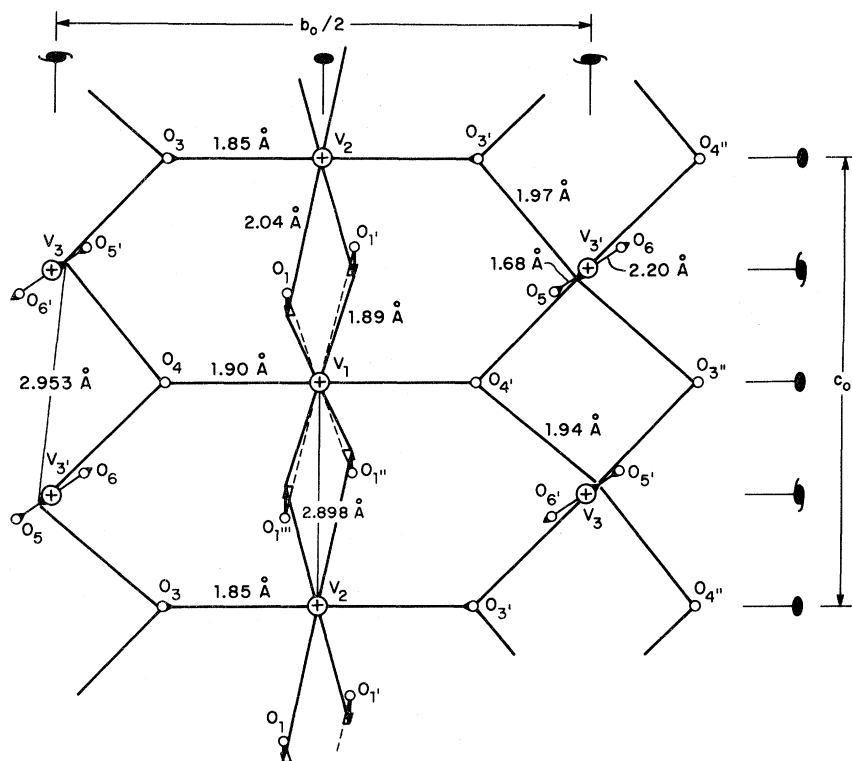
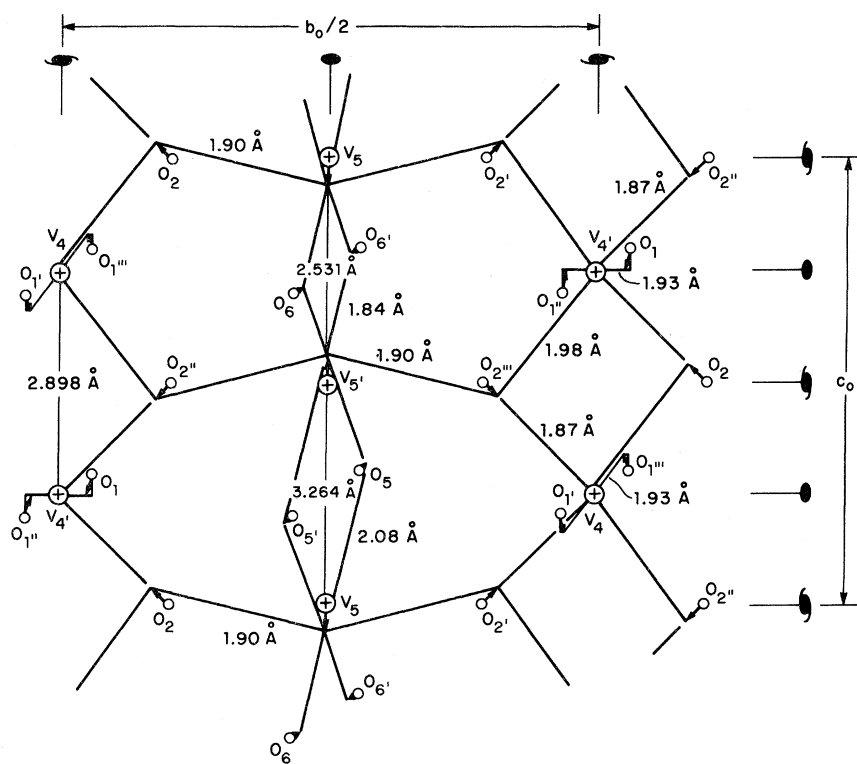
(a) ORTHORHOMBIC F222  $\text{VO}_2:\text{Cr}$ 

FIG. 2. Structure of orthorhombic room-temperature  $\text{V}_{0.95}\text{Cr}_{0.05}\text{O}_2$ : anion coordination about (a)  $(\text{V}_1 + \text{V}_2)$  and  $\text{V}_3$  chains and (b)  $\text{V}_4$  and  $\text{V}_5$  chains of alternate  $b_0$ - $c_0$  planes.

(b) ORTHORHOMBIC F222  $\text{VO}_2:\text{Cr}$

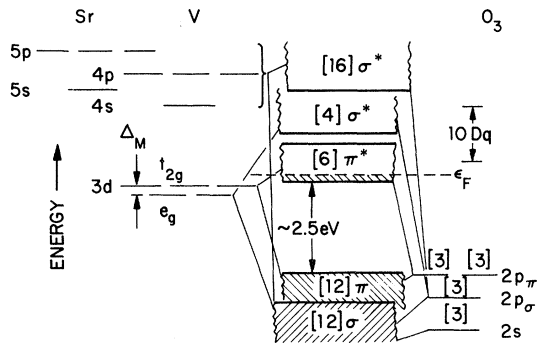


FIG. 3. Schematic one-electron band structure of  $SrVO_3$  without spin-orbit coupling, after J. B. Goodenough [J. Appl. Phys. **37**, 1415 (1966)] and L. F. Mattheiss [Phys. Rev. **181**, 987 (1969)].

above the Fermi energy of  $SrVO_3$  is theoretically possible, especially if the antiferroelectric displacements, which increase the size of the unit cell in the basal planes, simultaneously split the  $d_{xy}$  band to stabilize occupied  $d_{xy}$  states relative to unoccupied  $d_{xy}$  states. In this latter case, the antiferroelectric phase change would be accompanied by a metal-to-semiconductor transition with decreasing temperature. The apparent lack of a spontaneous distortion in  $SrVO_3$  is probably due to the breadth of the  $\pi^*$  band and the fact that such a distortion would tend to quench any stabilization due to spin-orbit coupling.

In the rutile structure of tetragonal  $VO_2$ , each anion has three near-neighbor cations in the same plane, and the anion  $p$  orbital perpendicular to this plane is a  $p_r$  orbital available for  $\pi$  bonding with cation  $d$  orbitals. In the metallic, tetragonal phase of  $VO_2$ , the occupied  $3d$  bands consist of pseudodegenerate  $\pi^*$  bands and a  $d_{||}$  band having  $a_{1g}$  symmetry at  $\Gamma$ , the center of the Brillouin zone, as shown in Fig. 4(a). In the monoclinic, low-temperature phase an antiferroelectric displacement of the cations lifts the  $\pi^*$  bands above the Fermi energy, and a V-V pairing along the tetragonal  $[001]$  axis splits the  $d_{||}$  band in two, as shown in Fig. 4(b).<sup>1</sup> Therefore a semiconductor-to-metal transition accompanies the phase transformation with increasing temperature. That such a transition has been found in  $VO_2$ , but not in  $SrVO_3$ , is probably due to not only the narrowness and relative stability of the  $d_{||}$  band in  $VO_2$ , but also a quenching of the orbital angular momentum in  $VO_2$ .

In the composition  $V_{0.95}Cr_{0.05}O_2$ , the chromium ion apparently holds three localized  $3d$  electrons in a  ${}^4A_{2g}$  ground-state manifold. Therefore the formal valences for the compound are  $V_{0.9}^{4+}V_{0.05}^{5+}Cr_{0.05}^{3+}O_2$  where the  $V^{5+}$  ions are trapped at sites neighboring the  $Cr^{3+}$  ions. Since Cr-V bonding is not anticipated, stabilization by the cooperative

V-V pairing along the tetragonal  $[001]$  axis must be reduced. On the other hand, the small  $V^{5+}$  ion, which has empty  $3d$  orbitals, generally undergoes a ferroelectric-type displacement from the center of symmetry of an octahedral interstice, so that stabilization of a cooperative antiferroelectric distortion might even be increased despite lack of participation in this distortion by the  $Cr^{3+}$  ion. Therefore the appearance in  $V_{0.95}Cr_{0.05}O_2$  of an orthorhombic phase in the temperature interval  $T'_t < T < T_t$  was presumed<sup>1</sup> to signal the presence of primarily antiferroelectric displacements in this phase. The existence of V-V pairs along only one-fourth of the  $c_o$ -axis chains clearly demonstrates the anticipated suppression of V-V homopolar bonding in the orthorhombic-vs-monoclinic phases.

The existence of antiferroelectric displacements is also clearly evident for the  $V_3$  and  $V_4$  ions. The  $V_3$  ions form one strong  $p_y$ - $d_{xy}$   $\pi$  bond and a weaker  $p_x$ - $d_{xx}$   $\pi$  bond with the nearest-neighbor  $O_5$  anion. Competition with  $(sp_x p_x)$  hybridization for  $\sigma$  bonding weakens the second of these, so that the  $V_3$ - $O_5$  separation is intermediate to that found for V-O double  $\pi$  and single  $\pi$  bonds in other compounds: 1.57 and 1.76 Å, respectively.<sup>10</sup> This places the one  $3d$  electron per  $V^{4+}$  ion at  $V_3$  sites in  $d_{z^2-y^2}$  orbitals that are probably localized, the  $V_3$ - $V_3$  separations being  $R = 2.963 > 2.94 \text{ \AA} \approx R_c$  (the estimated critical separation for localized vs itinerant  $3d$  electrons at  $V^{4+}$  ions in oxides<sup>11</sup>). Electron localization would split the  $d_{z^2-y^2}$  bands by the electrostatic energy  $U$  responsible for correlating one  $3d$  electron per  $V^{4+}$  ion at a  $V_3$  site.

Each  $O_2$  ion shares its  $p_r$  orbital with a  $(d_{xy} \pm id_{xz})$  orbital at a nearest-neighbor  $V_4$  ion and a  $d_{xy}$  orbital at a  $V_5$  ion, so that the  $V_4$ - $O_2$  and  $V_5$ - $O_2$  distances are intermediate between single  $\pi$ -bond and no  $\pi$ -bond values: 1.76 and 1.91 Å, respectively.<sup>10</sup> A  $d_{z^2-y^2}$  band associated with a  $V_4$  chain ( $R = 2.9 \text{ \AA} < R_c$ ) would be split in two by the antiferroelectric configuration along the chain.

Although the formation of  $V_5$ - $V_5$  homopolar-bonded pairs splits the half-filled  $d_{z^2-x^2}$  band in two,

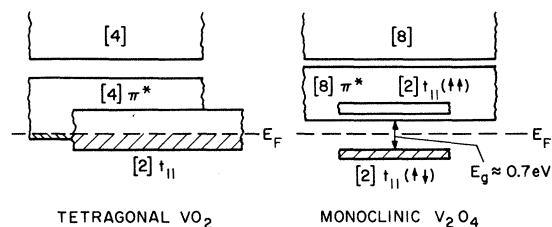


FIG. 4. Schematic modification of  $d$ -band structure of  $VO_2$  on passing from metallic to semiconducting phase, after Ref. 1.

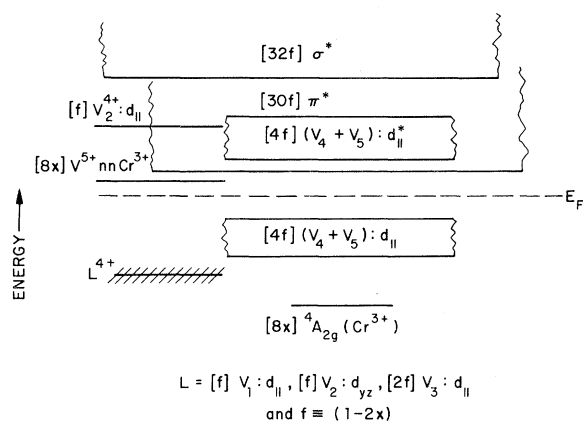


FIG. 5. Schematic  $d$ -band structure of orthorhombic  $8V_{0.95}Cr_{0.05}O_2$ , where  $x=0.05$  and levels associated with a formal valence state represent a localized orbital or  $d$ -state manifold.

the  $d_{yz}$  and  $d_{xy}$  orbitals  $\pi$  bond with two  $O_6$  ions and two  $O_2$  ions, thereby destabilizing these  $\pi^*$  orbitals to energies above the Fermi energy. The  $V_5$ - $O_6$  and  $V_5$ - $O_2$  distances of 1.84 and 1.90 Å, respectively, are intermediate between single  $\pi$ -bond and zero  $\pi$ -bond values, like the  $V_4$ - $O_2$  distances, because each cation orbital shares its  $\pi$  bonding with two anions and each anion  $p_\pi$  orbital shares its  $\pi$  bonding with two cations. Similarly, the  $V_2$  cation

shares its  $d_{xy}$  orbital with two  $O_3$  ions, and the  $V_1$  cation shares its  $d_{yz}$  and  $d_{xy}$  orbitals with two  $O_4$  ions and four  $O_1$  ions to form a nearly octahedral interstice. This leaves the single  $d$  electron per  $V^{4+}$  ion of the  $V_1+V_2$  chains in  $V_1: d_{z^2-x^2}$  and  $V_2: (d_{z^2-x^2}, d_{yz})$  orbitals. The difference in potential at  $V_1$  and  $V_2$  would split the  $d_{z^2-x^2}$  band in two, thereby localizing the single electron per  $V^{4+}$  ion at  $V_1$  in a  $d_{z^2-x^2}$  orbital and the single electron per  $V^{4+}$  ion at  $V_2$  in a  $d_{yz}$  orbital.

In summary, all of the V-O separations can be consistently correlated with enhanced  $\pi$  bonding that would raise the  $\pi^*$  bands above the Fermi energy: only the  $V_2: d_{yz}$  orbitals are an exception, and these appear to be occupied by one localized electron. Furthermore, doubling of the  $c$  axis ( $c_0 \approx 2c_r$ ) splits the  $d_{||}$  bands in two, thereby making the orthorhombic phase a semiconductor. Finally, half of the  $3d$  electrons appear to be localized: those in the  $(V_1+V_2)$  chains and the  $d_{||}$  electrons at the  $V_3$  ions. This leads to the schematic band scheme of Fig. 5, which is to be compared to those of Fig. 4. It also means that the magnetic susceptibility should be intermediate between the low value found in monoclinic  $VO_2$ , where all  $d_{||}$  electrons are spin-paired in homopolar bonds, and the exchange-enhanced value of metallic, tetragonal  $VO_2$ . Kosuge<sup>12</sup> first reported just such an intermediate susceptibility in the orthorhombic phase of  $V_{1-x}Fe_xO_2$  samples, and Mitsuishi<sup>13</sup> first noted that

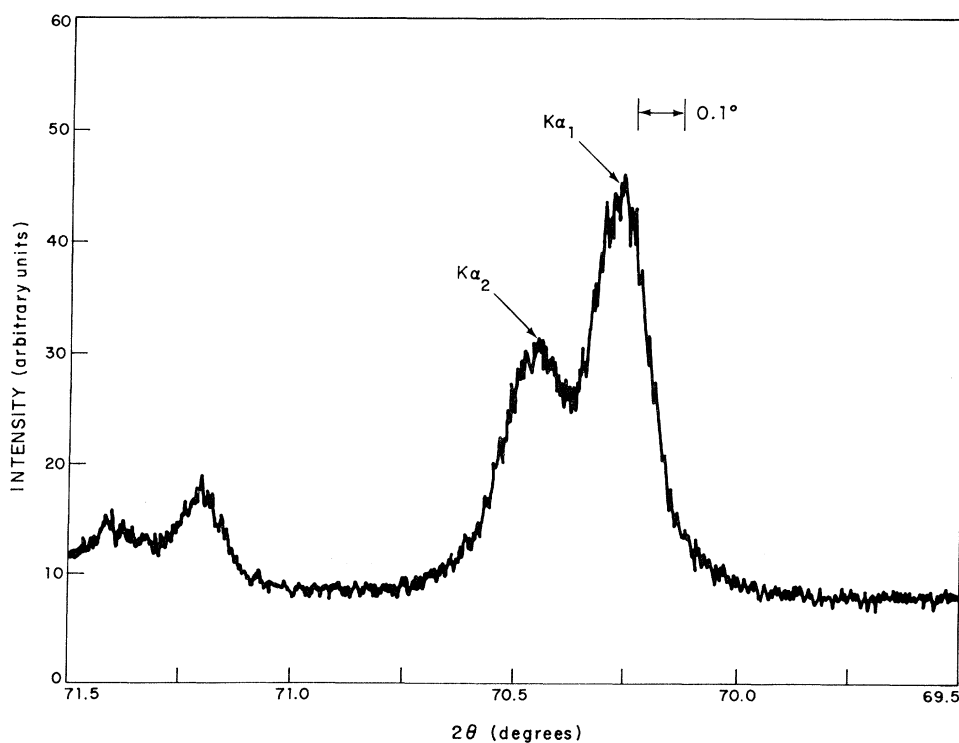


FIG. 6. Trace of x-ray diffraction pattern near  $2\theta = 70^\circ$  for powdered  $V_{0.95}Cr_{0.05}O_2$ .

a semiconductor-to-metal transition is associated with the orthorhombic-to-tetragonal phase change at  $T_t$ .

In conclusion, the structure of orthorhombic  $V_{0.95}M_{0.05}^{3+}O_2$ , although somewhat more complex than anticipated in Ref. 1, is quite consistent with the argument presented there. However, this structure is not the only intermediate phase encountered in the system  $V_{1-x}M_x^{3+}O_2$ . The Bordeaux group<sup>2</sup> has observed, in a sample with  $x=0.1$ , an orthorhombic structure without a doubling of the rutile  $c$  axis. Clearly there can be no V-V pairing along the  $c$  axis in this phase. There can be only ferroelectric displacements within any one chain. Marezio *et al.*<sup>14</sup> have reported a monoclinic phase for  $x=0.024$  in which half the chains had V-V pairing and half had antiferroelectric displacements of the vanadium ions. It would be interesting to know whether, at smaller  $x$ , there is lesser suppression of V-V pairing. It is also important to establish the role of oxygen content in the stabilization of these intermediate phases.

#### ACKNOWLEDGMENTS

The authors would like to thank H. Y-P. Hong for the Weissenberg study on our sample and R. J.

Arnott for a helpful discussion concerning the structure refinement.

#### APPENDIX

After this paper was submitted, our attention was called to a recent single-crystal study<sup>14</sup> of "nominal"  $V_{0.976}Cr_{0.024}O_2$ . This crystal was monoclinic, space group  $C2/m$ . Its powder pattern was remarkably similar to that of the orthorhombic phase reported here. It was distinguished from our orthorhombic phase with the aid of Guinier photographs, which showed a clearly resolved doublet in the vicinity of  $d=1.338$  Å. In view of this report, it became incumbent upon us to establish that our phase was indeed orthorhombic and not monoclinic.

Figure 6 is a trace of the single peak that we observe with the  $\alpha_1$  and  $\alpha_2$  contributions (separation  $\sim 0.2^\circ$ ) clearly resolved. It shows no sign of splitting. In addition Guinier photographs were taken, and no definite sign of splitting was observed. As a final precaution, Weissenberg photographs were taken using a single crystal selected from the powder. These photographs indicated  $mmm$  symmetry, and the measured angle between the principal axes of the monoclinic cell was  $90.0^\circ \pm 0.1^\circ$ .

<sup>†</sup>Work sponsored by the Department of the Air Force.

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