Strain field due to substitutional transition-metal impurities in bcc metals: Application to dilute vanadium alloys

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The formalism for the generalized Kanzaki lattice static method is developed for a metallic crystal with bcc symmetry. The interactions up to second-nearest neighbors are included in the derivation of the dynamical matrix, impurity-induced force, and the atomic displacements. The formalism is applied to calculate the strain field due to 3d, 4d, and 5d substitutional transition-metal impurities (Ti, Cr, Mn, Fe, Nb, Mo, Ta, and W) in the vanadium metal, the only bcc systems in which the study of electric field gradients has been performed. The effective ion-ion interaction potential for the transition metals, proposed by Wills and Harrison, which includes properly the d-band effects, is used in the numerical calculations. In all the systems the atomic displacements are calculated up to 21 nearest neighbors and these show oscillatory behavior. The maximum displacement (or strain field) is caused by a Ta impurity and is about 3.5% of the first-nearest-neighbor distance. The strain field is found to depend, both in strength and range, on the excess ion-ion interaction potential due to the impurity. The atomic displacements exhibit the same trend, as shown by x-ray studies of the fractional change in the lattice parameter.

I. INTRODUCTION

The introduction of a substitutional impurity in an otherwise perfect crystal changes the electrostatic interac-'tions in the vicinity of the impurity.^{1,2} The change in the electrostatic interactions is brought about by two distinct physical effects: the different electronic structure and different size of the impurity. Both cause a change in the atomic force constants between the impurity and host atoms. The two effects are in fact interrelated. The altered electrostatic interactions force the host atoms to move to new equilibrium positions, thus producing a strain field in the host lattice around the impurity.^{$3-5$} Precise knowledge of the impurity-induced strain field is of immense importance in studying several electronic properties such as the redistribution of conductionelectron density, residual resistivity, electric field gradient, self-energy of the impurity, diffusion, etc. $6-10$

The theoretical study of the impurity-induced strain field can be classified into three categories: elastic continfield can be classified into three categories: elastic continuum models, ⁹ computer simulations, ^{11, 12} and lattice stat-
ic methods. ^{4, 5, 13} The merits and demerits of these methods are discussed in our recent paper.¹⁴ Out of these, the lattice static method is the most suitable as it takes care of the discrete nature of the whole lattice. The two lattice static methods, Kanzaki and Green-function methods, ^{5,13} are based on the Born-von Karman theory of crystalline solids. The Kanzaki and Green-function methods are different in approach, but are equivalent. Recently, we¹⁴ have generalized the Kanzaki method to evaluate the strain field so that it is applicable to different types of impurities. It is applied to evaluate the strain field due to substitutional impurities in Al and Cu, having fcc structure, where the excess impurity potential is evaluated using the dielectric screening theory. It has been found that the calculation of the strain field due to a substitutional transition-metal (TM) impurity in a TM host does not exist, which may be due to the complexity of the systems. A TM impurity causes resonant scattering of the conduction electrons in a metallic system, 15 which is difficult to deal with theoretically. Therefore precise knowledge of the interionic potential in such complicated systems was not available. Wills and Harrison¹⁶ have given an effective interionic potential for the TM's which includes the d-band effects approximately. We therefore think it worthwhile to apply the lattice static method¹⁴ for evaluating the strain field due to the substitutional TM impurities in a TM host with bcc structure.

The plan of the paper is as follows. In Sec. II we give necessary expressions of the lattice static method with reference to the bcc crystal structure. In Sec. III we apply the formalism to calculate the strain field due to 3d, 4d, and 5d TM impurities in vanadium (V) metal. The results are discussed in Sec. IV.

II. THEORY

Consider a perfect monatomic crystal where \mathbf{R}_n^0 and $\phi(\mathbf{r})$ denote the lattice points and ion-ion pair potential, respectively. Let a substitutional impurity, assumed to be situated at the origin, be introduced in the crystal which displaces the surrounding host ions to new equilibrium positions defined as

$$
\mathbf{R}_n = \mathbf{R}_n^0 + \mathbf{u}(\mathbf{R}_n^0) \tag{1}
$$

where $\mathbf{u}(\mathbf{R}_n^0)$ is the displacement of the *n*th nearest neighbor (nNN) of the impurity. Kanzaki¹³ assumed that $u(R_n^0)$ are produced by an appropriate distribution of external forces (Kanzaki forces} in the lattice which depend upon the nature of the impurity. The total energy of the strained lattice can be expanded in powers of $\mathbf{u}(\mathbf{R}_n^0)$, which in the harmonic approximation is given as

$$
\Phi = \sum_{n} \phi(\mathbf{R}_{n}) = \Phi_{0} - \sum_{n,\alpha} u_{\alpha}(\mathbf{R}_{n}^{0}) F_{\alpha}(\mathbf{R}_{n}^{0}) + \frac{1}{2} \sum_{n,\alpha} \sum_{n',\beta} u_{\alpha}(\mathbf{R}_{n}^{0}) u_{\beta}(\mathbf{R}_{n'}^{0}) \phi_{\alpha\beta}(n,n'),
$$
\n(2)

where

$$
F_{\alpha}(\mathbf{R}_n^0) = -\frac{\partial \phi}{\partial u_{\alpha}(\mathbf{R}_n^0)} \bigg|_{u_{\alpha}(\mathbf{R}_n^0) = 0},
$$
\n(3)

$$
\phi_{\alpha\beta}(n,n') = \frac{\partial^2 \phi}{\partial u_{\alpha}(\mathbf{R}_n^0) \partial u_{\beta}(\mathbf{R}_{n'}^0)} \bigg|_{u_{\alpha}(\mathbf{R}_n^0) = u_{\beta}(\mathbf{R}_{n'}^0) = 0}.
$$
 (4)

Here α and β (=1, 2, 3) denote the Cartesian components. $\Phi_0 = \sum_n \phi(\mathbf{R}_n^0)$ is the total potential energy of the perfect lattice and $F_{\alpha}(\mathbf{R}_n^0)$ is the α component of the external force acting on the atom \mathbb{R}^0 . $\phi_{\alpha\beta}(n, n')$ are the force constants which satisfy the crystal symmetries. ' The equilibrium values of $\mathbf{u}(\mathbf{R}_n^0)$ are obtained by minimizing Φ with respect to $\mathbf{u}(\mathbf{R}_{n}^{0})$, which gives

$$
F_{\alpha}(\mathbf{R}_n^0) = \sum_{n',\beta} \phi_{\alpha\beta}(n,n') u_{\beta}(\mathbf{R}_n^0).
$$
 (5)

Equation (5) shows that $u(R_n^0)$ can be evaluated if one knows $F_{\alpha}(\mathbf{R}_n^0)$ and $\phi_{\alpha\beta}(n, n')$. It is convenient to express $u(R_n^0)$ in terms of normal coordinates $Q(q)$ as

$$
\mathbf{u}(\mathbf{R}_n^0) = \sum_q \mathbf{Q}(\mathbf{q}) \exp(i\mathbf{q} \cdot \mathbf{R}_n^0) \;, \tag{6}
$$

where q is the wave vector in Fourier space. The normal coordinates satisfy the property $Q^*(q) = Q(-q)$. With the help of Eq. (6}, one can Fourier transform the total energy Φ of the strained lattice. The equilibrium state is then obtained by minimizing Φ with respect to $Q(q)$ to get

$$
\sum_{\beta} \left[N \phi_{\alpha\beta}(-\mathbf{q}) Q_{\beta}(\mathbf{q}) - F_{\beta}(\mathbf{q}) \delta_{\alpha\beta} \delta_{-\mathbf{q},\mathbf{q}} \right] = 0 \tag{7}
$$

where

$$
F_{\alpha}(\mathbf{q}) = \sum_{n} F_{\alpha}(\mathbf{R}_{n}^{0}) \exp(i\mathbf{q} \cdot \mathbf{R}_{n}^{0}) , \qquad (8)
$$

$$
\phi_{\alpha\beta}(\mathbf{q}) = \sum_{n-n'} \phi_{\alpha\beta}(n-n') \exp[-iq \cdot (\mathbf{R}_{n'}^0 - \mathbf{R}_n^0)] \ . \tag{9}
$$

N is the total number of atoms in the lattice. $F(q)$ and $\phi_{\alpha\beta}(\mathbf{q})$ are the Fourier transforms of $\mathbf{F}(\mathbf{R}_n^0)$ and $\phi_{\alpha\beta}(n - n')$, and $\phi_{\alpha\beta}(q)$ is usually called the dynamical matrix.

The dynamical matrix for a crystal structure can be obtained from Eq. (9). $\phi_{\alpha\beta}(n)$ for a central ion-ion potential can be written as

$$
\phi_{\alpha\beta}(n) = \frac{\partial^2 \phi}{\partial r_\alpha \partial r_\beta}\Big|_{\mathbf{r} = \mathbf{R}_n^0} = \frac{R_{n\alpha}^0 R_{n\beta}^0}{|\mathbf{R}_n^0|^2} (A_n - B_n) + \delta_{\alpha\beta} B_n,
$$
\n(10)

where

$$
A_n = \frac{\partial^2 \phi}{\partial r^2}\Big|_{r=R_n^0}, \quad B_n = \frac{1}{|\mathbf{R}_n^0|} \frac{\partial \phi}{\partial r}\Big|_{r=R_n^0}.
$$
 (11)

 R_{na}^0 is the α Cartesian component of \mathbb{R}_n^0 . In a metallic crystal, the ions are screened by the conduction electrons thereby decreasing the ionic potentials faster, which exhibit oscillatory behavior at large distances. It has been found that in the d-band metals the screening is very heavy. ¹⁸⁻²⁰ Therefore the major contribution to $\phi_{\alpha\beta}(q)$ and $F_a(q)$ in these systems is expected to arise from a few NN's. Including the interactions up to 2NN's (the simplest approximation), $\phi_{\alpha\beta}(\mathbf{q})$ for a bcc structure, from Eqs. (9) and (10), becomes

$$
\phi_{aa}(\mathbf{q}) = \frac{8}{3}(A_1 + 2B_1)[1 - \cos(q_a a/2)\cos(q_\beta a/2)\cos(q_\gamma a/2)] + 4A_2[\sin^2(q_a a/2) + \sin^2(q_\beta a/2) + \sin^2(q_\gamma a/2)] ,
$$

\n
$$
\phi_{a\beta}(\mathbf{q}) = \frac{8}{3}(A_1 - B_1)\sin(q_a a/2)\sin(q_\beta a/2)\sin(q_\gamma a/2) ,
$$
\n(12)

with $\alpha \neq \beta \neq \gamma$. Here a denotes the lattice parameter.

One can also obtain the Fourier transform of the Kanzaki force $F_a(q)$ from Eqs. (3) and (8). Considering only the 1NN interactions one gets, for the bcc structure,

$$
F_{\alpha}(\mathbf{q}) = \frac{8}{\sqrt{3}} i F_1 \sin(q_{\alpha} a/2) \sin(q_{\beta} a/2) \sin(q_{\gamma} a/2) , \quad (13)
$$

with

$$
F_{\rm I} = -\left[\frac{\partial}{\partial r}\Delta\phi(r)\right]_{r=\mathbf{R}_{\rm I}^0},\qquad(14)
$$

where $\alpha \neq \beta \neq \gamma$. Here F_I is the force acting on the 1NN site, the position of which is given by \mathbf{R}_1^0 . $\Delta \phi(\mathbf{r})$ is the excess interionic potential due to a substitutional impurity and is defined as¹⁴

$$
\Delta \phi(\mathbf{r}) = \phi_{\mathrm{IH}}(\mathbf{r}) - \phi_{\mathrm{HH}}(\mathbf{r}) \tag{15}
$$

where $\phi_{HH}(\mathbf{r})$ and $\phi_{HH}(\mathbf{r})$ are the host-host and impurityhost interionic potentials. Similarly, considering only the 2NN interactions, $F_a(q)$ becomes

$$
F_a(\mathbf{q}) = i2F_{\text{II}}\sin(q_a a) \tag{16}
$$

where

$$
F_{\rm II} = -\left[\frac{\partial}{\partial r}\Delta\phi(\mathbf{r})\right]_{r=\mathbf{R}_2^0}
$$
 (17)

and \mathbb{R}^0_2 defines the position of the 2NN site.

With the knowledge of $\phi_{\alpha\beta}(\mathbf{q})$ and $F_{\alpha}(\mathbf{q})$, one can solve Eq. (7) for $Q_{\alpha}(q)$ using the properties of determinants. For the radial forces on the 1NN only (usually called the F_I system) of the impurity,

$$
iQ_1(\mathbf{q}) = \frac{\sqrt{3}F_1}{NA_1} \begin{vmatrix} \sin x \cos y \cos z & G_2 & G_3 \\ \sin y \cos z \cos x & G_1 & G_4 \\ \sin z \cos x \cos y & G_4 & G_1 \end{vmatrix} / \Delta , \qquad (18)
$$

where

$$
\Delta = \begin{vmatrix} G_1 & G_2 & G_3 \\ G_2 & G_1 & G_4 \\ G_3 & G_4 & G_1 \end{vmatrix},\tag{19}
$$

$$
G_1 = \left[1 + \frac{2B_1}{A_1}\right] \left[1 - \cos x \cos y \cos z\right]
$$

$$
+\frac{3}{2}\frac{A_2}{A_1}(\sin^2 x + \sin^2 y + \sin^2 z) ,
$$
 (20)

$$
G_2 = \left[1 - \frac{B_1}{A_1}\right] \sin x \sin y \cos z \tag{21}
$$

$$
G_3 = \left[1 - \frac{B_1}{A_1}\right] \sin x \sin z \cos y \tag{22}
$$

$$
G_4 = \left[1 - \frac{B_1}{A_1}\right] \sin y \sin z \cos x \tag{23}
$$

$$
x = \frac{q_x a}{2} , y = \frac{q_y a}{2} , z = \frac{q_z a}{2} .
$$
 (24)

 $Q_2(q)$ and $Q_3(q)$ can be obtained from $Q_1(q)$ using cubic symmetry.

For the radial forces acting only on the 2NN sites (called the F_{II} system) of the impurity, Eq. (7) gives

$$
iQ_1 = \frac{3}{4} \frac{F_{\text{II}}}{NA_1} \begin{vmatrix} \sin 2x & G_2 & G_3 \\ \sin 2y & G_1 & G_4 \\ \sin 2z & G_4 & G_1 \end{vmatrix} / \Delta . \tag{25}
$$

For the substitutional impurities, Rattan et al.¹⁴ have proposed a model to calculate the impurity-induced force given as

$$
F_{\alpha}(\mathbf{R}_n^0) = -\frac{\partial}{\partial u_{\alpha}(\mathbf{R}_n^0)} \sum_{n'} \Delta \phi(|\mathbf{R}_{n'}|) .
$$
 (26)

In the central field approximation, both $u(R_n^0)$ and $F(R_n^0)$ are parallel to \mathbf{R}_n^0 ; therefore, one can expand $\Delta \phi(|\mathbf{R}_{n'}|)$ in powers of displacements to write

$$
F_{\alpha}(\mathbf{R}_n^0) = -\frac{\partial}{\partial r} \Delta \phi \left|_{|\mathbf{r}| = |\mathbf{R}_n^0|} - \mathbf{u}(\mathbf{R}_n^0) \frac{\partial^2}{\partial r^2} \Delta \phi \right|_{|\mathbf{r}| = |\mathbf{R}_n^0|}.
$$
\n(27)

Equation (27) can be solved in two approximations. If $u(R⁰)$ is very small, the second term in Eq. (27) can be neglected. It is called the first approximation in which the force constants of the host metal remain unchanged in the presence of the impurity. If $u(R_n^0)$ is significant, both terms in Eq. (27) should be retained. It is called the second approximation and takes care of the impurityinduced change in the force constants of the lattice. To include the interactions up to 2NN's, the atomic displacements in the $F_{\rm I}$ and $F_{\rm II}$ systems can be combined to evaluate $u_{\alpha}(\mathbf{R}_n^0)$ in the second approximation just as done by Kanzaki.¹³

III. CALCULATIONS AND RESULTS

In the estimation of impurity-induced strain field in dilute metallic alloys, the central quantity of interest is the excess interionic potential $\Delta \phi(r)$. In a TM the s electrons in the outermost shell of the atom get detached and are free to move in the crystal. Therefore the TM ion possesses quasilocalized d electrons in the outermost shell, the effect of which should be included in evaluating the ion-ion interaction potential. In the formation of a TM, the d shells are broadened into quasilocalized bands, with finite bandwidth, as a result of the Pauli exclusion principle. Further, the d band experiences the crystal potential of the TM, as a result of which it suffers distortion. The d-band distortion is responsible for the s-d hybridization and has the effect of shifting the d -band center.

In free-electron metal alloys, the calculation of $\Delta\phi(r)$ in the dielectric screening approach is simple because of their on band structure. But in the TM alloys the band structure is anisotropic and complicated, which makes the evaluation of $\Delta \phi(r)$, in the dielectric screening approach, difficult. However, we outline, in the Appendix, the evaluation of $\Delta \phi(r)$ in the dielectric screening approach (not used in the present calculations) and discuss it in light of the present calculations. Wills and Harrison¹⁶ have given the analytical form for the effective interionic potential for TM's which includes the effect of the shift in the d-band center and the finite d-bandwidth. They have calculated a number of properties such as the bulk modulus, Griineisen constant, and the elastic constants of a larger number of TM's and obtained reasonable agreement for most of the TM's. Here we use the effective ion-ion interaction potential due to Wills and Harrison for the host metal, which is defined as

$$
\phi_{HH}(r) = \phi_{HH}^{FE}(r) + \phi_{HH}^{c}(r) + \phi_{HH}^{b}(r) , \qquad (28)
$$

where

$$
\phi_{\rm HH}^{\rm FE}(r) = Z_{s\rm H}^2 e^2 \cosh^2(\kappa r_{c\rm H}) \frac{e^{-\kappa r}}{r} \tag{29}
$$

$$
\phi_{HH}^c(r) = Z_{dH} \frac{225}{\pi^2} \frac{\hbar^2 r_{dH}^6}{mr^8} , \qquad (30)
$$

 $20 - 6$

$$
\phi_{HH}^b(r) = -Z_{dH} \left[1 - \frac{Z_{dH}}{10} \right] \left[\frac{12}{n} \right]^{1/2} \frac{28.1}{\pi} \frac{\hbar^2 r_{dH}^3}{mr^5} \ . \tag{31}
$$

Here *n* is the number of 1NN's in a crystal, Z_{sH} and Z_{dH} are the number of s and d electrons in the host atom which are related to the valency Z_H as $Z_{sH} + Z_{dH} = Z_H$. $\phi_{HH}^{FE}(r)$ is the free-electron contribution obtained from the Ashcroft empty-core model potential in conjunction with the Thomas-Fermi approximation. κ is the Thomas-Fermi constant, and r_{cH} is the model-potential core radius of the host atom. $\phi_{HH}^c(r)$ is the contribution arising from the shift in the d-band center (or s-d hybridization) and depends upon the d-state radius r_{dH} of the host atom. $\phi_{HH}^b(r)$ is the contribution arising from the finite dbandwidth and is of the form of a resonant bond.²¹ The term $Z_{dH}(1 - Z_{dH}/10)$ represents the continuous filling of the bonding through antibonding levels. In a TM the $s-d$ hybridization arises from the scattering of mobile s electrons from the d-shell electrons at the ion sites, which causes distortion of the d shells. Therefore the screening of polarized d charge by the s electrons is described by the matrix elements $\langle k|\Delta_{sd}|d\rangle$, where $|k\rangle$ and $|d\rangle$ are plane waves and atomic d state and Δ_{sd} is the s-d hybridization potential.¹⁶ The parameter r_{dH} is determined by the matrix elements $\langle k | \Delta_{sd} | d \rangle$ and therefore includes the s-d hybridization effects partially. Further, the s-d hybridization changes the s and d charge in a TM atom. The change in d charge, ΔZ_d , is accompanied by an equal and opposite change in s charge, ΔZ_s , to make the atom neutral. Wills and Harrison¹⁶ (and also this paper) used $Z_s = 1.5$, which is obtained from self-consistent calculations. In the dielectric screening approach (see the Appendix), the screening, by s electrons, of the polarized d charge at the ionic sites is included through the screened form factors of monopoles and dipoles represented by $A_{\rm s}(\mathbf{K})/\epsilon_0(\mathbf{K}).$

Equations (28) - (31) can be generalized to write the interatomic potential for the impurity-host ion interaction in an alloy. Wills and Harrison¹⁶ suggested that the freeelectron contribution due to the impurity-host interaction, $\phi_{IH}^{FE}(r)$, is obtained by replacing $Z_{sH}e$ by $(Z_{sH}Z_{sI}e^{2})^{1/2}$ and cosh(κr_{cH}) by

'

$$
[\cosh(\kappa' r_{cH})\cosh(\kappa' r_{cI})]^{1/2}
$$

where Z_{sI} and r_{cI} are the number of s electrons and the model-potential core radius, respectively, of the impurity. κ changes to the new value κ' as the conduction-electron density changes with the addition of the impurity. The d-electron contribution to the interionic potential depends upon Z_{dH} and r_{dH} . For the impurity-host interaction in the alloy, r_{dH} is replaced by $(r_{dH}r_{d1})^{1/2}$, where r_{dI}

is the d-state radius of the impurity ion. But very little is known about the variation of the number of d electrons in the d band by the introduction of the impurity. As the simplest approximation, one can take the effective number of d electrons, Z_d^{eff} , in an alloy to be the weighte average (by concentration) of the number of d electrons in the host and impurity ions, i.e.,

$$
Z_d^{\text{eff}} = C_H Z_{dH} + C_I Z_{dI} \tag{32}
$$

where Z_{dI} is the number of d electrons in the impurity ion. C_H and C_I are the concentrations of the host and impurity ions, respectively. Taking into account the above facts, $\phi_{IH}(r)$ can be written as

$$
\phi_{IH}(r) = \phi_{IH}^{FE}(r) + \phi_{IH}^{c}(r) + \phi_{IH}^{b}(r) ,
$$
\n(33)

where

$$
\phi_{\text{IH}}^{\text{FE}}(r) = Z_{s\text{H}}Z_{s\text{I}}e^2\text{cosh}(\kappa' r_{c\text{H}})\text{cosh}(\kappa' r_{c\text{I}})\frac{e^{-\kappa' r}}{r},\tag{34}
$$

$$
\phi_{IH}^{c}(r) = Z_d^{\text{eff}} \frac{225}{\pi^2} \frac{\hbar^2 r_{dH}^3 r_{dI}^3}{mr^8} , \qquad (35)
$$

$$
\phi_{\text{IH}}^{b}(r) = -Z_{d}^{\text{eff}} \left[1 - \frac{Z_{d}^{\text{eff}}}{10} \right] \left[\frac{12}{n} \right]^{1/2} \frac{28.1}{\pi} \frac{\hbar^{2} r_{d\text{H}}^{3/2} r_{d\text{I}}^{3/2}}{mr^{5}} \ . \tag{36}
$$

The potential $\phi_{IH}(r)$ includes the impurity-induced s-d hybridization through r_{dI} and effective charge $Z_s = 1.5$ and the corresponding d charge Z_d . But as the TM impurity is introduced in a different TM host, there may be a further transfer of electrons to or from the s and d bands, as a result of which the conduction-electron charge would rearrange around the impurity site to screen or unscreen it. To include these effects, the d charge on the impurity may be varied (keeping the atom neutral) and its value should be calculated selfconsistently. The charge transfer will be appreciable only when the TM's, mixed together to form an alloy, have d band centers differing by large amount of energy. But most of the TM's considered in this text have their dband centers close to each other (within a few eV), and therefore the charge transfer may be quite small and neglected here. Hence the application of the potential $\phi_{IH}(r)$ given by Eqs. (33)–(36) is quite reasonable. Thus, in a metallic alloy the excess interionic potential due to the impurity can be written from Eqs. (15) and (28) – (36) as

$$
\Delta \phi(r) = \Delta \phi^{\text{FE}}(r) + \Delta \phi^c(r) + \Delta \phi^b(r) , \qquad (37)
$$

where

$$
\Delta \phi^{\text{FE}}(r) = \frac{Z_{sH}e^2}{r} \left[Z_{sI} \cosh(\kappa' r_{cH}) \cosh(\kappa'_{cI}) e^{-\kappa' r} - Z_{sH} \cosh^2(\kappa r_{cH}) e^{-\kappa r} \right],
$$
\n(38)

$$
\Delta \phi^c(r) = [Z_d^{\text{eff}} r_{dI}^3 - Z_{dH} r_{dH}^3] \frac{225}{\pi^2} \frac{\hbar^2 r_{dH}^3}{mr^8} , \qquad (39)
$$

TABLE I. Some physical parameters and atomic force constants at 1NN and 2NN (in a.u.) of V met

-ai								
	$\mathbf{\Omega}_{0}$		A_1 (10 ⁻²)	B_1 (10 ⁻²)	A_2 (10 ⁻²)	B_2 (10 ⁻²)		
5.7205	93.6		-0.44602	0.229 05	-0.43544	0.1341 [~]		

$$
\Delta \phi^b(r) = \left[-Z_d^{\text{eff}} \left\{ 1 - \frac{Z_d^{\text{eff}}}{10} \right\} r_{d1}^{3/2} + Z_{dH} \left\{ 1 - \frac{Z_{dH}}{10} \right\} r_{dH}^{3/2} \right\} \left[\frac{12}{n} \right]^{1/2} \frac{28.1}{\pi} \frac{\hbar^2 r_{dH}^{3/2}}{mr^5} \ . \tag{40}
$$

Equations (33) – (40) are valid at all concentrations. Here r) represents the change in the free-electro o the ion-ion interaction potential. $\Delta \phi^c(r)$ the contribution arising from the impurity-i hybridization and therefore describes the of the host metal on the impurity a third contribution $\Delta \phi^b(r)$ gives the change in i teraction potential due to the impurityd-band width.

In the present study, we are interested in dilute metallic alloys. In dilute metallic alloys, the conductionlectron density remains nearly the same as that in pure host metal. Therefore the Thomas-Fermi does not change with the addition of the im lute alloy. Further, for $c_1 \ll c_H$, the effective number of d electrons is nearly the same as Z_{dH} [see Eq. (32)]. Hence for a dilute alloy $\Delta \phi(r)$ can be obtained from Eqs. (37) – (40) by substituting

$$
\kappa' = \kappa \ , \quad Z_d^{\text{eff}} = Z_{dH} \ . \tag{41}
$$

We apply the formalism to calculate the strain field in V-based dilute alloys with $3d$, $4d$, and $5d$ substitutional

FIG. 1. $\phi_{HH}(r)$ as a function of r for the V metal.

impurities, namely, Ti, Cr, Mn, Fe, Nb, Mo The parameters needed to evaluate $\phi_{HH}(r)$, $\phi_{IH}(r)$, and taken from Wills and Harrison.¹⁶ Some physical p given in Table I. The forces F_I and F_{II} , evaluated in the ters and the calculated force constants of V metal are second approximation, for all the T_M impurities, are given in Table II.

Figure 1 shows $\phi_{HH}(r)$ as a function of r for The contributions $\phi_{HH}^{FE}(r)$ as a function of r for \vec{v} metal.
The contributions $\phi_{HH}^{FE}(r)$ and $\phi_{HH}^{EF}(r)$ are positive and are found to decay fast. On the other hand, $\phi_{HH}^b(r)$ tive and decreases slowly. The addition of th butions gives a minimum in $\phi_{HH}(r)$ occurring at $r=4.0$ istance less than the 1NN distance

Figure 2 shows $\Delta \phi(r)$ as a function of r for the TM impurities Cr, Mn, Nb, and Ta in the V metal. It has been found that $\Delta \phi(r)$ due to Nb, Mo, Ta, W, and Ti is posia.u. , a distance close to that of 1NN. ues of r and shows a minimum at $r \approx 4.8$ here close to that of TNN. On the other hand
o Cr, Mn, and Fe is negative at small r value and exhibits a maximum at $r \approx 4.8$ a.u. Such of $\Delta \phi(r)$ is due to the fact that $\phi_{HH}(r)$ is larger $\phi_{IH}(r)$ for these impurities. The intercomparison shows

FIG. 2. $\Delta \phi(r)$ as a function of r for Nb, Ta, Cr, and Mn impurities in V metal.

			Mn	Fe	Nb	Mo	Ta	W
$F_{\rm T}$	-1.57908	0.84225	0.80098	0.720 68	-1.94310	-3.19591	-2.86442	-5.54025
$F_{\rm II}$	-1.31534	0.94574	.205 47	. 557 86	-3.09578	-2.68534	-3.80122	-3.63119

TABLE II. Impurity-induced forces F_I and F_{II} (in 10⁻³ a.u.), evaluated in the second approximation, for Ti, Cr, Mn, Fe, Nb, Ta, and W impurities in V metal.

that $\Delta \phi(r)$ is stronger and long ranged for the 4d and 5d impurities as compared to that for the 3d impurities. Among all the TM impurities, $\Delta\phi(r)$ is the strongest for Ta with the maximum range.

The atomic displacements are calculated in the F_I and F_{II} systems using Eqs. (6), (18), and (25). The numerical calculations are simplified by replacing the summation over q by the integration over the cube inscribing the first Brillouin zone (BZ) and using the fact that, for any function $F(q)$,

$$
\int_{\mathrm{BZ}} F(\mathbf{q}) d\mathbf{q} = \frac{1}{4} \int_{\mathrm{cube}} F(\mathbf{q}) d\mathbf{q} , \qquad (42)
$$

for the bcc structure. The cube edge is $4\pi/a$. The integration is carried out by the Gaussian quadrature method, and a convergence up to five decimal places is achieved. The atomic displacements calculated in the F_I and F_{II} systems are then combined to include the interactions up to 2NN's using the method of Kanzaki.¹³ The values of F_I and F_{II} given in Table II are used to evaluate the atomic displacements $u(r)$ for the various NN's.

Tables III-X give $u(R_n^0)$ up to 21 NN's due to Ti, Cr, Mn, Fe, Nb, Mo, Ta, and W, respectively, as these are of interest in the theoretical study of other physical properties. $6 - 10$ Some of the interesting features which emergency out of the present calculated values of $u(R_n^0)$ for the diferent impurities are given below.

(i) The strain field $u(r)$ is oscillatory in nature, but its strength decreases with the increase of r. It is in agreement with the existing calculations of $u(r)$ for vacancies and interstitials. $^{13,14,22-25}$ One should note that $u(r)$ is maximum at the 2NN site in all the substitutional TM impurities except for W.

(ii) $u(r)$ at the 1NN site has the same sign as that of F_I and F_{II} , but at the 2NN site its sign is opposite to that of $F_{\rm I}$ and $F_{\rm II}$.

(iii) It is found that $u(r)$ is maximum in magnitude and longest in range for the Ta impurity. Similar behavior is also exhibited by $\Delta \phi(r)$ for Ta. One should note that the maximum value of $|u(r)|$ in Ta, at the 2NN site, is about 3.5% of the 1NN distance \mathbb{R}^0 . In general, it is found that stronger $\Delta \phi(r)$ will produce a stronger strain field around

TABLE III. Atomic displacements (a.u.) of the NN's of Ti impurity in V metal.

TABLE IV. Atomic displacements (a.u.) of the NN's of Cr				
impurity in V metal.				

TABLE IV. Atomic displacements (a.u.) of the NN's of Cr

 \overline{a}

TABLE V. Atomic displacements (a.u.) of the NN's of Mn impurity in V metal.

TABLE VII. Atomic displacements (a.u.) of the NN's of Nb impurity in V metal. L,

		Displacements components		
NN's				
(n_1, n_2, n_3)	u_x	u_{v}	u_{z}	$ {\bf u} $
111	0.01938	0.01938	0.01938	0.033 58
200	-0.05715	0.0	0.0	0.05715
220	-0.01793	-0.01793	0.0	0.02535
311	0.01989	0.00097	0.00097	0.01993
222	-0.01338	-0.01338	-0.01338	0.023 17
400	-0.01889	0.0	0.0	0.01889
331	0.008 19	0.008 19	0.00040	0.01159
420	-0.01438	-0.00609	0.0	0.01562
422	-0.01341	-0.00635	-0.00635	0.01614
511	0.01641	0.00021	0.00021	0.01641
333	0.00597	0.00597	0.00597	0.01033
440	-0.00856	-0.00856	0.0	0.012 11
531	0.011 17	0.003 51	0.00022	0.01171
600	-0.01263	0.0	0.0	0.01263
442	-0.00848	-0.00848	-0.00409	0.01267
620	-0.01207	-0.00190	0.0	0.01222
533	0.00837	0.00261	0.00261	0.009 15
622	-0.01162	-0.00213	-0.00213	0.01201
444	-0.00600	-0.00600	-0.00600	0.01040
551	0.00580	0.00580	0.00021	0.00820
711	0.01449	0.00016	0.00016	0.01449
640	-0.00881	-0.00348	0.0	0.009 47
642	-0.00839	-0.00344	-0.00162	0.00921
731	0.01095	0.000 53	0.00012	0.01096

	Displacements components					
NN's						
(n_1, n_2, n_3)	u_x	u_{y}	u _z	$ {\bf u} $		
111	-0.05012	-0.05012	-0.05012	0.08682		
200	0.15090	0.0	0.0	0.15090		
220	0.04760	0.04760	0.0	0.06732		
311	-0.05203	-0.00279	-0.00279	0.05218		
222	0.034 67	0.034 67	0.034 67	0.06006		
400	0.05146	0.0	0.0	0.05146		
331	-0.02177	-0.02177	-0.00114	0.03081		
420	0.03875	0.01618	0.0	0.04199		
422	0.03562	0.01649	0.01649	0.04258		
511	-0.04344	-0.00065	-0.00065	0.043 44		
333	-0.01575	-0.01575	-0.01575	0.02728		
440	0.02285	0.02285	0.0	0.03231		
531	-0.02961	-0.00932	-0.00065	0.03105		
600	0.03470	0.0	0.0	0.03470		
442	0.02241	0.02241	0.01062	0.033 43		
620	0.03276	0.00500	0.0	0.033 14		
533	-0.02219	-0.00696	-0.00696	0.024 28		
622	0.03127	0.005 52	0.005 52	0.032 24		
444	0.01578	0.01578	0.01578	0.02734		
551	-0.01532	-0.01532	-0.00060	0.02168		
711	-0.03851	-0.00039	-0.00039	0.038 52		
640	0.02361	0.009 19	0.0	0.02534		
642	0.02239	0.00904	0.004 19	0.024 50		
731	-0.02907	-0.00134	-0.00030	0.02910		

TABLE VI. Atomic displacements (a.u.) of the NN's of Fe impurity in V metal.

TABLE IX. Atomic displacements (a.u.) of the NN's of Ta impurity in V metal.

	Displacements components					
NN's						
(n_1, n_2, n_3)	u_x	u,	u _z	$ {\bf u} $		
111	-0.06011	-0.06011	-0.06011	0.104 11		
200	0.16790	0.0	0.0	0.16790		
220	0.05187	0.05187	0.0	0.07336		
311	-0.05385	-0.00212	-0.00212	0.05992		
222	0.04121	0.04121	0.04121	0.07138		
400	0.05082	0.0	0.0	0.05082		
331	-0.02363	-0.02363	-0.00091	0.03342		
420	0.03992	0.01760	0.0	0.043 63		
422	0.03874	0.01946	0.01946	0.04752		
511	-0.04788	-0.00037	-0.00037	0.04788		
333	-0.01754	-0.01754	-0.01754	0.03037		
440	0.024 44	0.02444	0.0	0.034 57		
531	-0.03246	-0.01020	-0.00044	0.034 03		
600	0.03308	0.0	0.0	0.03308		
442	0.02482	0.02482	0.01260	0.03730		
620	0.03285	0.00560	0.0	0.03332		
533	-0.02433	0.00750	0.00750	0.026 55		
622	0.03242	0.006 52	0.006 52	0.03371		
444	0.01784	0.01784	0.01784	0.03090		
551	-0.01697	-0.01697	0.00051	0.02401		
711	-0.04179	0.000 53	0.00053	0.04179		
640	0.02483	0.01027	0.0	0.02687		
642	0.02392	0.01028	0.00501	0.02651		
731	-0.03165	-0.00173	-0.00037	0.03170		

TABLE X. Atomic displacements (a.u.) of the NN's of W impurity in V metal.

the impurity as is also expected based on physical arguments.

(iv) Figure 3 shows the variation of $|u(r)|$, at the 1NN and 2NN sites, as a function of the atomic number of the TM impurity. For comparison, the magnitude of the fractional change in lattice parameter, i.e., $|a^{-1}(da/dc)|$, is also plotted as a function of the atomic number of the impurity. Here c denotes the concentration of the impurity. It is evident from Fig. 3 that the variation of $|u(r)|$ exhibits the same trend as that of $|a^{-1}(da / dc)|$. We have also investigated the variation of $|u(r)|$ at the higher NN's (i.e., 3NN's, 4NN's, 5NN's, 6NN's, etc.), and the same trend is observed. It is well known that x-raydiffraction studies²⁶ yield an average value of $a^{-1}(da/dc)$ caused by ¹ at. % of impurity. Therefore the direct comparison of $u(r)$ at different NN's, with $a^{-1}(da/dc)$, is not justifiable.

In Fig. 4 is plotted $u(r)$, for a particular NN, as a function of $a^{-1}(da/dc)$ for the impurities. It shows that, for a particular NN , $u(r)$ is linearly proportional to $a^{-1}(da/dc)$ except for the Ti impurity. But the slope of the straight line so obtained is different (both in magnitude and sign} for the different NN's; e.g., the slope is negative for the straight line corresponding to the 1NN's and 4NN's, while it is positive for those corresponding to 2NN's and 3NN's. Further, it is found that the average value of the atomic displacements, $\langle u(r) \rangle$, evaluated by using the values of $u(r)$ at the first 7NN's is directly proportional to $a^{-1}(da/dc)$, except for the impurity W, and yields the correct sign of $a^{-1}(da/dc)$.

It is interesting to examine the variation of $u(r)$ for the elements belonging to a particular series. $\mathbf{u}(\mathbf{r})$ at the lNN and 4NN sites (Fig. 4) increases approximately linearly as one goes through the $3d$ series from Ti to Fe,

FIG. 3. Magnitude of the atomic displacements $|u(r)|$ as a function of the atomic number of the impurity. The dashed line joining the triangles and the dot-dashed line joining the squares show $|\mathbf{u}(\mathbf{r})|$ of the 1NN's and 2NN's, respectively, of the impurity. For comparison, the solid line joining the circles shows $|a^{-1}(da /dc)|$ for the different impurities.

FIG. 4. $\mathbf{u}(\mathbf{r})$ as a function of $a^{-1}(da/dc)$ for the different NN's of the impurities. Open circles, solid circles, triangles, and squares represent $u(r)$ for the 1NN's, 2NN's, 3NN's, and 4NN's of the impurities, and the corresponding lines passing through them are represented by solid, dashed, dot-dashed, double-dot-dashed lines, respectively.

i.e., with the increase in the number of d electrons. A similar variation of $\mathbf{u}(\mathbf{r})$ is also found in going through the 4d and Sd series. On the other hand, at the 2NN and $3NN$ sites $u(r)$ is found to decrease in going through each of the $3d$, $4d$, and $5d$ series. The same trend is also found for the higher-order NN's of the impurity. The abovementioned variation of $u(r)$ at different NN's is due to its oscillatory behavior.

(v) The strain field $u(r)$ does not show any dependence on the excess impurity charge $\Delta Z = Z_{I} - Z_{H}$. The values of the electric field gradient in the V-based alloys with $3d$, 4d, and 5d impurities²⁷ also does not show any dependence on ΔZ . On the other hand, both the strain field and the electric field gradient show linear dependence on $a^{-1}(da/dc)$, which indicates that $u(r)$ may play a significant role in explaining the electric field gradient in these alloys.

IV. DISCUSSION

The generalized Kanzaki method¹⁴ has been applied to evaluate the strain field due to substitutional impurities in metallic crystals with bcc structure. The expressions for the dynamical matrix, impurity-induced force, and the atomic displacements, taking into account the interactions up to the 2NN's, have been derived for the bcc symmetry. The formalism has been applied to evaluate $u(r)$ in V-based metallic alloys with 3d, 4d, and 5d TM impurities.

In a TM the atomic d shells are distorted by the crystal field, 21 which give two contributions. First, the distortion shifts the center of the d band, and second, it broadens the d state into bands with finite bandwidth. Wills and Harrison¹⁶ have proposed an effective ion-ion interaction which takes care of both these contributions and further have suggested its generalization to the alloys. It is found that both $\phi_{HH}(r)$ and $\Delta\phi(r)$ (Figs. 1 and

2) are very small and vary smoothly beyond the 2NN distance. Therefore the contributions to $\phi_{\alpha\beta}(\mathbf{q})$ and $F_{\alpha}(\mathbf{q})$ are expected to be negligibly small beyond the 2NN site. In the present investigations, $\mathbf{u}(\mathbf{r})$ has been calculated for Ti, Cr, Mn, Fe, Nb, Mo, Ta, and W impurities in the V metal. It has been found that the strength and range of $u(r)$ is proportional to the magnitude and range, respectively, of $\Delta \phi(r)$. The direct comparison of $a^{-1}(da/dc)$ with oscillatory $u(r)$ is not possible because the x-ray study gives some sort of average value of $a^{-1}(da/dc)$. But it is found that $u(r)$ for all impurities, at a particular NN, is approximately proportional to $a^{-1}(da/dc)$. Further, the averaged value of atomic displacement, $\langle u(\mathbf{r}) \rangle$, for all the impurities is proportional to $a^{-1}(da/dc)$ both in magnitude and sign except W.

The lattice static method, formulated in Sec. II, is based on the harmonic approximation, which neglects the angular forces in the TM's. The study of lattice dynamics of the TM's, $^{18-20,28,29}$ in the harmonic approximation, yields reasonably good agreement between the calculated and experimental values of phonon frequencies, which shows that this approximation works reasonably well in the TM's. The angular forces can be taken care of partially in the evaluation of effective ion-ion interaction by using the dielectric tensor approach (see the Appendix). But the numerical evaluation of the local field effect [see Eq. $(A21)$], which describes angular forces, is complicated and consumes lot of computer time 30 as it involves the exact electronic band structure. We want to point out that the effective ion-ion interaction potential pro-
posed by Wills and Harrison¹⁶ uses $Z_s = 1.5$, and this value has been taken from the self-consistent calculations done by Pettifor³¹ and Moriarty.³² As the TM impurit is dissolved in a different TM host, the value of Z_s may further become different as a result of charge transfer. Therefore some residual electrostatic interaction, although very small in the dilute alloys under investigation, might have been left. To improve upon the present results, one should investigate in detail the electronic band structure of the TM in the presence of the TM impurity and calculate self-consistently the effective value of the d charge on the impurity.

ACKNOWLEDGMENTS

We are thankful to Professor W. A. Harrison, Stanford University, for his suggestions on the interionic potential. Financial assistance from DAE, Bombay (India) is acknowledged.

APPENDIX

In the TM's the conduction electrons possess both s and d characters. The d conduction electrons are quasilocalized and anisotropic and give rise to a nonuniform component of conduction-electron density, which is responsible for the existence of local fields (LF's), in addition to the uniform s-conduction-electron density. The overall conduction-electron density in the TM's becomes nonuniform, which makes the dielectric function a matrix, $\epsilon_H(K, K')$, and the off-diagonal elements of it are manifestations of the LF effects. K and K' are wave vectors in Fourier space, and the subscript "H" indicates that the dielectric matrix is of the host metal.

 $v(\mathbf{K}) = \frac{4\pi e^2}{K^2} \left[1 - f_{\text{xc}}(\mathbf{K}) \right]$,

The general expression for
$$
\epsilon_H(\mathbf{K}, \mathbf{K'})
$$
 is³³
 $\epsilon_H(\mathbf{K}, \mathbf{K'}) = \delta_{\mathbf{K}, \mathbf{K'}} - v(\mathbf{K}) \chi_H(\mathbf{K}, \mathbf{K'})$, (A1)

where

$$
(\mathbf{A2})
$$

$$
\chi_{H}(\mathbf{K}, \mathbf{K}') = \sum_{\lambda, \lambda' \mathbf{k}, \mathbf{K}''} \left[\frac{f_0(E_{\mathbf{k}}^{\lambda}) - f_0(E_{\mathbf{k} + \mathbf{K}''}^{\lambda'})}{E_{\mathbf{k}}^{\lambda} - E_{\mathbf{k} + \mathbf{K}''}^{\lambda'} } \right] \langle \psi_{\mathbf{k}}'(\mathbf{r}) | e^{-i\mathbf{K} \cdot \mathbf{r}} | \psi_{\mathbf{k} + \mathbf{K}''}^{\lambda'}(\mathbf{r}) \rangle \langle \psi_{\mathbf{k} + \mathbf{K}''}^{\lambda'}(\mathbf{r}) | e^{i\mathbf{K}' \cdot \mathbf{r}} | \psi_{\mathbf{k}}^{\lambda}(\mathbf{r}) \rangle .
$$
 (A3)

Here $f_0(E_{\mathbf{k}}^{\lambda})$ is the Fermi-Dirac distribution function. $E_{\mathbf{k}}^{\lambda}$ is the energy eigenvalue for the electron wave function $\psi_{\mathbf{k}}^{\lambda}(\mathbf{r})$, where k and λ are the electron wave vector and band index, respectively. The function $v(K)$ is the electron-electron interaction potential, which includes the exchange interactions through the function $f_{\text{xc}}(K)$. $\chi_H(K, K')$ is the polarizability matrix which is the product of the band structure part (in square brackets} and the overlap matrix element part. The periodicity of the electron charge distribution requires $\epsilon_H(K, K')$ to be nonzero when $K' = K + G$, where G is the reciprocal lattice vector.

In the TM's the formalism for the effective ion-ion interaction should include the effect of anisotropic and nonuniform density of d electrons, $34,35$ which gives rise to LF's and is responsible for the existence of angular forces.^{18-20,29} Rattan et al.¹⁴ have derived the expres sion for $\Delta \phi(r)$ due to a substitutional impurity in a TM, which is given as

$$
\Delta \phi(\mathbf{r}) = \frac{\Delta Z Z_{\rm H} e^2}{|\mathbf{r}|} + \frac{2}{N} \sum_{\mathbf{K}}' \Delta F(\mathbf{K}) e^{-i\mathbf{K} \cdot \mathbf{r}} \,, \tag{A4}
$$

where the excess impurity valency $\Delta Z = Z_{\rm I} - Z_{\rm H}$ and N is the total number of lattice points in the lattice. The prime over the summation sign excludes the $r = 0$ term. The first term gives the change in the direct ion-ion Coulomb interaction due to the presence of the impurity. The second term represents the change in the ion-ion interaction via electrons and is equal to twice the Fourier transform of the change in the energy wave number characteristic function $\Delta F(K)$. The function $\Delta F(K)$ takes care of the LF effects and hence the angular forces in the TM's. The general expression for $\Delta F(K)$ is given $as¹⁴$

$$
\Delta F(\mathbf{K}) = \frac{\Omega_0 K^2}{8\pi e^2} \sum_{\mathbf{G}} \Delta V^b(\mathbf{K}) \left[\epsilon_{\mathbf{H}}^{-1}(\mathbf{K}, \mathbf{K} + \mathbf{G}) - \delta_{\mathbf{K}, \mathbf{K} + \mathbf{G}} \right]
$$

$$
\times V^b(\mathbf{K} + \mathbf{G}), \qquad (A5)
$$

where

$$
\Delta V^b(\mathbf{K}) = V_1^b(\mathbf{K}) - V_H^b(\mathbf{K}) .
$$
 (A6)

Here we have used the periodicity condition. $V_H^b({\bf K})$ and $V_1^b(K)$ are the Fourier transforms of the bare electron-ion potentials for the host and impurity ions, and Ω_0 is the atomic volume. Nonlinear effects arising from the exchange and correlation interactions are included. If the function $\Delta F(K)$ is assumed to be spherically symmetric, Eq. (A4) becomes

$$
\Delta \phi(r) = \frac{\Delta Z Z_{\rm H} e^2}{|r|} + \frac{\Omega_0}{\pi^2} \int \Delta F(\mathbf{K}) \frac{\sin(Kr)}{Kr} K^2 dK \tag{A7}
$$

which is the usual expression for the free-electron metals.⁶ From Eqs. (A4)–(A7) it is evident that $\Delta \phi(r)$ can be estimated with the knowledge of $\epsilon_H^{-1}(\mathbf{K}, \mathbf{K}')$, $V_I^b(\mathbf{K})$, and $V_{\rm H}^{b}({\bf K}).$

The inversion of the dielectric function is a difficult problem as it is an infinite-dimensional matrix. Therefore one has to resort to some simplifications. It is convenient to evaluate the inverse dielectric matrix in the mixedband scheme¹⁸⁻²⁰ in which the s conduction electrons are represented by plane waves and the d conduction electrons by a local representation, i.e.,

$$
\psi_{\mathbf{k}}^{s}(\mathbf{r}) = \frac{1}{\sqrt{\Omega}} \exp(i\mathbf{k}\cdot\mathbf{r}) \tag{A8}
$$

$$
\psi_{\mathbf{k}}^d(\mathbf{r}) = \frac{1}{\sqrt{N}} \sum_n \exp(i\mathbf{k} \cdot \mathbf{R}_n^0) \phi_{\lambda}(\mathbf{r} - \mathbf{R}_n^0) \ . \tag{A9}
$$

 $\Omega = N\Omega_0$ is the volume of the crystal, and \mathbb{R}^0 are the direct lattice vectors of the crystal structure. $\phi_{\lambda}(r - R_n^0)$ are the atomic wave functions centered at the \mathbb{R}^0 site. Equation (A9) gives one of the local representations, and one can also use Wannier functions in place of the atomic wave functions. In such a mixed-band scheme, $\epsilon_H(K, K')$ can be split into two parts. First is the purely diagonal part $\epsilon_0(\mathbf{K})$ arising from the intraband transitions in the s band and is just the Lindhard function. The second contribution arises from the intraband and interband transitions between the partially filled s and d subbands and contains both the diagonal and nondiagonal contribu tions. Therefore $\epsilon_H(K, K+G)$ can be written as^{18,19,}

$$
\epsilon_{H}(\mathbf{K}, \mathbf{K} + \mathbf{G}) = \epsilon_{0}(\mathbf{K}) \delta_{\mathbf{K}, \mathbf{K} + \mathbf{G}}
$$

$$
-v(\mathbf{K}) \sum_{s, s'} A_{s}(\mathbf{K}) f_{ss'}(\mathbf{K}) A_{s'}^{*}(\mathbf{K} + \mathbf{G}),
$$

where

$$
A_s(\mathbf{K}) = \int \phi_{l\lambda}^*(\mathbf{r}) e^{-i\mathbf{K}\cdot\mathbf{r}} \phi_{l\lambda'}(\mathbf{r} + \mathbf{R}_n^0) d\mathbf{r} , \qquad (A11)
$$

$$
f_{ss'}(\mathbf{K}) = \sum_{\mathbf{k} \leq \mathbf{k}_{\text{FH}}} \frac{f_0(E_{\mathbf{k}}^{l\lambda}) - f_0(E_{\mathbf{k}+\mathbf{K}}^{l\lambda})}{E_{\mathbf{k}}^{l\lambda} - E_{\mathbf{k}+\mathbf{K}}^{l\lambda}}
$$

× $\exp[-i(\mathbf{k}+\mathbf{K}) \cdot (\mathbf{R}_n^0 - \mathbf{R}_n^0)]$. (A12)

(A10) The subscript s denotes $\{nl\lambda\lambda'\}$, where *l* is the orbital quantum number.

> The inverse dielectric matrix can now be obtained easily from Eq. $(A10)$ and is written as

$$
\epsilon_{\mathbf{H}}^{-1}(\mathbf{K}, \mathbf{K} + \mathbf{G}) = \frac{1}{\epsilon_0(\mathbf{K} + \mathbf{G})} \left[\delta_{\mathbf{K}, \mathbf{K} + \mathbf{G}} + \frac{\nu(\mathbf{K})}{\epsilon_0(\mathbf{K})} \sum_{ss'} A_s(\mathbf{K}) F_{ss'}(\mathbf{K}) A_{s'}^{\dagger}(\mathbf{K} + \mathbf{G}) \right],
$$
\n(A13)

where

$$
F_{ss'}(\mathbf{K}) = [f_{ss'}^{-1}(\mathbf{K}) - f_{ss'}^d(\mathbf{K})]^{-1}
$$

=
$$
\left[f_{ss'}^{-1}(\mathbf{K}) - \sum_{\mathbf{G}'} A_s(\mathbf{K} + \mathbf{G}') A_s^*(\mathbf{K} + \mathbf{G}') \frac{\nu(\mathbf{K} + \mathbf{G}')}{\epsilon_0(\mathbf{K} + \mathbf{G}')} \right]^{-1}.
$$
 (A14)

In the TM's the crystal field polarizes the d -electron charge, producing monopoles and dipoles at the lattice positions which are screened by the s conduction electrons. Thus the present model of the mixed-band scheme is similar to the breathing shell model.³⁶ For the intraband transitions, $A_s(\mathbf{K})/\epsilon_0(\mathbf{K})$ gives the screened form factor for the monopoles, while for the interband transitions it gives the screened form factor for the dipoles. Putting $\epsilon_0(\mathbf{K}) = 1$ for the ideal insulators, Eq. (A13) gives screened dipolar model of screening.²⁸ Equation (A13) includes all sorts of interactions between the screened monopoles and dipoles mediated by the resonant function $F_{ss'}(\mathbf{K}).$

Substituting Eq. $(A13)$ in Eq. $(A5)$, we get

$$
\Delta F(\mathbf{K}) = \Delta F^{(0)}(\mathbf{K}) + \sum_{s'} \sum_{\mathbf{G}} X_{s'}(\mathbf{K}) U_{s'}(\mathbf{K} + \mathbf{G}) , \qquad (A15)
$$

where

$$
\Delta F^{(0)}(\mathbf{K}) = \frac{\Omega_0 K^2}{8\pi e^2} \Delta V^b(\mathbf{K}) \left[\frac{1}{\epsilon_0(\mathbf{K})} - 1 \right] V^b_{\mathbf{H}}(\mathbf{K}) , \quad (A16)
$$

$$
X_{s'}(\mathbf{K}) = \frac{\Omega_0 K^2}{8\pi e^2} v(\mathbf{K}) \sum_{s} \Delta V^b(\mathbf{K}) \frac{A_s(\mathbf{K})}{\epsilon_0(\mathbf{K})} F_{ss'}(\mathbf{K}) , \quad (A17)
$$

$$
U_{s'}(\mathbf{K}+\mathbf{G}) = \frac{A_{s'}^{*}(\mathbf{K}+\mathbf{G})}{\epsilon_{0}(\mathbf{K}+\mathbf{G})} V_{\mathrm{H}}^{b}(\mathbf{K}+\mathbf{G}) .
$$
 (A18)

Taking the Fourier transform of Eq. (A15) and using the identity

$$
\sum_{n} U(\mathbf{R}_{n}^{0}) \exp(i\mathbf{K} \cdot \mathbf{R}_{n}^{0}) = \sum_{\mathbf{G}} U(\mathbf{K} + \mathbf{G}) , \qquad (A19)
$$

we obtain

$$
\Delta F(\mathbf{r}) = \Delta F^{(0)}(\mathbf{r}) + \sum_{n} \sum_{s'} U_{s'}(\mathbf{R}_n^0) X_{s'}(\mathbf{r} - \mathbf{R}_n^0) \ . \tag{A20}
$$

 $\Delta F(\mathbf{r})$, $\Delta F^{(0)}(\mathbf{r})$, $U_s(\mathbf{r})$, and $X_s(\mathbf{r})$ are the Fourier transforms of $\Delta F(K)$, $\Delta F^{(0)}(K)$, $U_s(K)$, and $X_s(K)$, respectively. Equation (A20) gives the exact expression for the change in the energy wave number characteristic function in r space.

Substituting the values of $\Delta F(K)$ in Eq. (A4), one can obtain

$$
\Delta \phi(\mathbf{r}) = \frac{\Delta Z Z_{\rm H} e^2}{|\mathbf{r}|} + 2\Delta F(\mathbf{r})
$$

=
$$
\frac{\Delta Z Z_{\rm H} e^2}{|\mathbf{r}|} + 2\Delta F^{(0)}(\mathbf{r})
$$

+
$$
2 \sum_{n} \sum_{s'} U_{s'}(\mathbf{R}_n^0) X_{s'}(\mathbf{r} - \mathbf{R}_n^0) , \qquad (A21)
$$

which gives the exact expression for $\Delta\phi(r)$ in the mixedband scheme. Both $\Delta F(r)$ and $\Delta \phi(r)$ depend upon the electronic band structure through $X_{s'}(\mathbf{r}-\mathbf{R}_{n}^0)$ and the crystal structure through the lattice sum. The first two terms in Eq. (A21) are isotropic and correspond to the free-electron-like contribution. The last term represents the interaction of monopoles and dipoles arising from the distortion (polarization} of the d-electron charge on the TM ions. Therefore this term incorporates the effect of angular forces or LF's, which is anisotropic in nature. The comparison of Eqs. (37) and (A21) shows that the effects of $\Delta \phi^c(r)$ and $\Delta \phi^b(r)$ are included in the last term of Eq. (A21) more precisely. But the numerical calculation of Eq. (A21) is too difficult as it requires firstprinciples calculations of the electronic band structure, which in itself is a separate problem. But if one neglects the d-band contribution in Eq. $(A21)$, a simple metal expression for $\Delta \phi(\mathbf{r})$ is retrieved. ¹⁴

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