Spin ladders with spin gaps: A description of a class of cuprates

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We investigate the magnetic properties of the Cu-O planes in stoichiometric $Sr_{n-1}Cu_{n+1}O_{2n}$ (n = 3, 5, 7, ...) which consist of CuO double chains periodically intergrown within the CuO₂ planes. The double chains break up the two-dimensional antiferromagnetic planes into Heisenberg spin ladders with $n_r = \frac{1}{2}(n-1)$ rungs and $n_l = \frac{1}{2}(n+1)$ legs and described by the usual antiferromagnetic coupling J inside each ladder and a weak and frustrated interladder coupling J'. The resulting lattice is a new two-dimensional trellis lattice. We first examine the spin excitation spectra of isolated quasi-one-dimensional Heisenberg ladders which exhibit a gapless spectrum when n_r is even and n_l is odd (corresponding to $n = 5, 9, \ldots$) and a gapped spectrum when n_r is odd and n_l is even (corresponding to $n = 3, 7, \ldots$). We use the bond operator representation of quantum $S = \frac{1}{2}$ spins in a mean-field treatment with self-energy corrections and obtain a spin gap of $\approx \frac{1}{2}J$ for the simplest single-rung ladder (n = 3), in agreement with numerical estimates. We also present results of the dynamical structure factor $S(q, \omega)$. The spin gap decreases considerably on increasing the width of the ladders. For a double ladder with four legs and three rungs (n = 7) we obtain a spin gap of only 0.1J. However, a frustrated coupling, such as that of a trellis lattice, introduced between the double ladders leads to an enhancement of the gap. Thus stoichiometric $Sr_{n-1}Cu_{n+1}O_{2n}$ compounds with $n = 3, 7, 11, \ldots$, will be frustrated quantum antiferromagnets with a quantum-disordered or spin-liquid ground state.

I. INTRODUCTION

The so-called "infinite-layer" or "all-layer" compound SrCuO₂ represents a new family of the Cu-O superconductors. This compound crystallizing in the tetragonal structure is characterized by an infinite stacking of CuO₂ planes with intervening Sr layers without oxygen, representing the simplest possible charge reservoir. The infinite-layer SrCuO₂ compounds (Ref. 1) are synthesized under extreme conditions involving high temperatures and high oxygen pressures, and superconductivity in the Cu-O layers is induced by modification of the intervening Sr layers. The Cu-O layers can be either hole or electron doped depending on the dopants introduced in the Sr layer. The high-pressure forms of SrCuO₂ are however unstable above certain temperatures and a homologous series of oxides formulated as $Sr_{n-1}Cu_{n+1}O_{2n}$ (with $n = 3, 5, 7, 9, \ldots$) begins to be mixed in with the parent $(n = \infty)$ phase.

The homologous series of Cu-rich high-pressure phases $Sr_{n-1}Cu_{n+1}O_{2n}$ were recently studied² and were shown to consist of parallel lines of CuO double chains periodically intergrown within the CuO₂ sheets as illustrated in Fig. 1(a). The occurrence of these double chains was interpreted as resulting from a periodic shear operation with a shear vector of $\frac{1}{2}\langle 110 \rangle$ in the parent $(n = \infty)$ phase. This can also be visualized as the appearance of domain walls within the planes. The structure of one such sheet for a general n is shown in Fig. 1(a). The Cu atoms are shown as big black dots while the oxygen atoms are located at all the points of intersections of the straight lines. The Sr atoms (not shown in the figure)

are located at the centers of the squares which are empty and in planes displaced by $\pm c/2$ with respect to the copper oxide sheet shown, with c being the lattice constant perpendicular to the Cu-O planes. The dotted circles are the square coordinated Cu atoms (as in the parent compound) and there are respectively $0,1,2,\ldots$ such Cu atoms in between two double chains for $n = 3,5,7,\ldots$ Thus the introduction of the CuO double chains periodically in the matrix of square planar coordinated CuO₂ produces a superlattice geometry with unit cell parameters $(na \times a)$.

The double chains in $Sr_{n-1}Cu_{n+1}O_{2n}$ compounds affect dramatically the magnetic properties of the copper oxide planes. In the stoichiometric compounds the Cu sites are singly occupied (in the hole notation) and the O sites are empty. This can be modeled by an S = 1/2Heisenberg model on the new lattice, the trellis lattice, formed from the Cu sites. The exchange interaction between Cu atoms which are both not located along the double chains is given by that of the bulk $(n = \infty)$ value of J. Along the double chains however, two Cu ions [such as A and B of Fig. 1(a)] are connected via an O site by 90° bonds, which gives rise to a ferromagnetic exchange.³ The superexchange path between these Cu ions is through two orthogonal O orbitals and this introduces a Hund's rule contribution leading to a ferromagnetic exchange J' (< 0) given in perturbation theory by

$$J' = \frac{8t_{pd}^4}{\Delta^2} \left[\frac{1}{E_T + 2\Delta} - \frac{1}{E_S + 2\Delta} \right],$$
 (1.1)

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where t_{pd} is the nearest-neighbor hopping in the plane between the Cu $3d(x^2-y^2)$ and the O 2p(x, y) orbitals, $\Delta = \epsilon_p - \epsilon_d$ with ϵ_p and ϵ_d being respectively the on-site energies of the O 2p(x, y) and the Cu $3d(x^2-y^2)$ levels, and E_T and E_S are respectively the triplet and singlet levels. Using the values of $E_T = 1.8$ eV and $E_S = 7.3$ eV obtained from the level splittings⁴ assuming an onsite Coulomb repulsion of $U_p = 4$ eV,⁵ and taking the standard values of $t_{pd}=1.3$ eV and $\Delta = 3.3$ eV,⁵ we obtain J'/J = 0.1-0.2.

Thus the planes in $Sr_{n-1}Cu_{n+1}O_{2n}$ are broken up into Heisenberg ladders with a weak and frustrated interladder coupling as illustrated in Fig. 1(b). There are 0,1,2,... vertical chains (separated by width a) cutting the dashed region in Fig. 1(b) corresponding to n = 3, 5, 7,... The lattice that results is a trellis lattice consisting of individual ladders with $n_r = \frac{1}{2}(n-1)$ rungs and $n_l = \frac{1}{2}(n+1)$ legs and coupled to each other through zigzag couplings J'. Since $J' \ll J$ we can to a first approximation neglect J' and divide the Cu-O planes into independent sets of ladders with $n_r=1,2,3,...$ rungs and $n_l=2,3,4,...$ legs corresponding to n = 3,5,7,... The compounds with odd n_r and even n_l (corresponding to



FIG. 1. (a) Schematic diagram of a single copper oxide sheet in $Sr_{n-1}Cu_{n+1}O_{2n}$ showing the parallel lines of CuO double chains. The Cu atoms are shown as big black dots while the oxygen atoms are located at all the points of intersections of the straight lines. The dashed regions correspond to the usual square coordinated CuO₂ regions. (b) The two-dimensional trellis lattice formed from the exchange couplings in a single copper oxide plane of $Sr_{n-1}Cu_{n+1}O_{2n}$ at stoichiometry. The usual antiferromagnetic coupling J describes each of the ladders having $n_r = \frac{1}{2}(n-1)$ rungs and $n_l = \frac{1}{2}(n+1)$ legs and the ladders are coupled to one another through weak and frustrated zigzag couplings J'.

n = 3, 7, 11,...) and those with even n_r and odd n_l (corresponding to n = 5, 9, 13,...) exhibit different spin excitation spectra, the former having a spin gap with a short-range exponentially decaying magnetic correlation function, while the latter are gapless with a long-range power-law decay of the correlation function (as in the case of a single chain corresponding to n = 1).

It was argued in Ref. 6 that $Sr_{n-1}Cu_{n+1}O_{2n}$ compounds with $n = 3, 7, 11, \dots$ would be frustrated quantum antiferromagnets and spin liquids. This argument was motivated by numerical studies^{7,8} on isolated Heisenberg single-rung antiferromagnetic ladders with couplings Jwhich exhibited a gap of $\approx \frac{1}{2}J$. Here we will follow an alternate treatment in terms of bond operators formulated⁹ to study two-dimensional dimerized Heisenberg systems. In Sec. II we will emphasize some of the important features of these bond operators and use them in a meanfield treatment to study the simplest single-rung Heisenberg ladder with two legs. In Sec. III we report the results of the calculations on the spin excitation spectrum, spin gap, ground-state energy, and the dynamical structure factor $S(k, \omega)$. We extend the calculations to double ladders and also to periodic ladders in Sec. IV. We show that the spin gap decreases considerably on increasing the width (rungs) of the ladders. In Sec. V we study the effect of frustration on two spin ladders and show that any nonzero frustrated coupling leads to an enhancement of the gap. This important result points toward the stabilization of a spin-liquid ground state in a trellis lattice. Such lattices will be realizations of shortrange RVB (resonating valence bond) ground states in an $S = \frac{1}{2}$ system.¹⁰

II. SINGLE SPIN LADDER

We investigate here the properties of a single spin- $\frac{1}{2}$ ladder, shown in Fig. 2(a), which is described by the standard Heisenberg antiferromagnetic model

$$H = J \sum_{i} \mathbf{S}_{l_{i}} \cdot \mathbf{S}_{r_{i}} + \lambda J \sum_{i,m=l,r} \mathbf{S}_{m_{i}} \cdot \mathbf{S}_{m_{i+1}}, \qquad (2.1)$$

where we take J to be the strength of the interaction along the rungs (i) of the ladder and λJ the interaction along the legs of the ladder. \mathbf{S}_{l_i} and \mathbf{S}_{r_i} are respectively spin- $\frac{1}{2}$ operators at the left (l) and right (r) -hand sites on each rung i of the ladder. In the limit of $\lambda = 0$ (strongcoupling limit) the Hamiltonian of Eq. (2.1) reduces to a sum over contributions from independent two-spin rungs. Thus it would be natural to tackle this problem from the limit of singlet dimers placed on the rungs and then switch on the interaction between them. It will be seen later that this starting point leads to reasonable results even for the case of $\lambda = 1$ which is of interest here. We follow the bond operator representation of quantum S = $\frac{1}{2}$ spins introduced⁹ to study specifically the properties of dimerized phases. We emphasize here the essential features of the bond operators.

We consider two $S = \frac{1}{2}$ spins S_l and S_r placed on each rung. The Hilbert space is spanned by four states which

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can be combined to form the singlet $|s\rangle$ and the three triplet $|t_x\rangle$, $|t_y\rangle$, and $|t_z\rangle$ -states defined as being created out of the vacuum $|0\rangle$ by the singlet and triplet creation operators

$$|s\rangle = s^{\dagger}|0\rangle = \frac{1}{\sqrt{2}}(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle),$$

$$|t_{x}\rangle = t_{x}^{\dagger}|0\rangle = -\frac{1}{\sqrt{2}}(|\uparrow\uparrow\rangle - |\downarrow\downarrow\rangle),$$

$$|t_{y}\rangle = t_{y}^{\dagger}|0\rangle = \frac{i}{\sqrt{2}}(|\uparrow\uparrow\rangle + |\downarrow\downarrow\rangle),$$

$$|t_{z}\rangle = t_{z}^{\dagger}|0\rangle = \frac{1}{\sqrt{2}}(|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle).$$
(2.2)

A representation of the spins S_l and S_r in terms of these singlet and triplet operators is given by

$$S_{l\alpha} = \frac{1}{2} (s^{\dagger} t_{\alpha} + t_{\alpha}^{\dagger} s - i \epsilon_{\alpha\beta\gamma} t_{\beta}^{\dagger} t_{\gamma}), \qquad (2.3)$$

$$S_{r\alpha} = \frac{1}{2} \left(-s^{\dagger} t_{\alpha} - t_{\alpha}^{\dagger} s - i \epsilon_{\alpha\beta\gamma} t_{\beta}^{\dagger} t_{\gamma} \right), \qquad (2.4)$$

where α , β , and γ represent respectively the components along the x, y, and z axes and ϵ is the Levi-Cività symbol representing the totally antisymmetric tensor. Henceforth, it is assumed that all repeated indices over α , β , and γ are summed over.

A constraint of the form,

$$s^{\dagger}s + t^{\dagger}_{\alpha}t_{\alpha} = 1, \qquad (2.5)$$

is introduced for each dimer in order to restrict the physical states to either singlets or triplets. Taking the singlet and triplet operators at each site to satisfy the bosonic commutation relations,

$$[s,s^{\dagger}] = 1, \ [t_{\alpha},t_{\beta}^{\dagger}] = \delta_{\alpha\beta}, [s,t_{\alpha}^{\dagger}] = 0,$$
(2.6)

we can reproduce the $S = \frac{1}{2}$, SU(2) algebra of the spins S_l and S_r :

$$[S_{m\alpha}, S_{m\beta}] = i\epsilon_{\alpha\beta\gamma}S_{m\gamma}, m = l, r, [S_{l\alpha}, S_{r\beta}] = 0,$$
$$\mathbf{S}_l \cdot \mathbf{S}_r = -\frac{3}{4}s^{\dagger}s + \frac{1}{4}t^{\dagger}_{\alpha}t_{\alpha}, S_l^2 = S_r^2 = \frac{3}{4}.$$
(2.7)

Substituting the operator representation of spins defined in Eqs. (2.3) and (2.4) into the original Hamiltonian of Eq. (2.1) we obtain the following form:

$$H = H_0 + \lambda (H_1 + H_2), \qquad (2.8)$$

where

$$H_0 = \sum_i J(-\frac{3}{4}s_i^{\dagger}s_i + \frac{1}{4}t_{i\alpha}^{\dagger}t_{i\alpha}) - \sum_i \mu_i(s_i^{\dagger}s_i + t_{i\alpha}^{\dagger}t_{i\alpha} - 1),$$
(2.9)

$$H_{1} = +\frac{J}{2} \sum_{i} (t_{i\alpha}^{\dagger} t_{i+1\alpha} s_{i+1}^{\dagger} s_{i} + t_{i\alpha}^{\dagger} t_{i+1\alpha}^{\dagger} s_{i} s_{i+1} + \text{H.c.}),$$
(2.10)

$$H_{2} = -\frac{J}{2} \sum_{i} \frac{1}{2} (1 - \delta_{\alpha\beta}) (t_{i\alpha}^{\dagger} t_{i+1\alpha}^{\dagger} t_{i\beta} t_{i+1\beta})$$
$$-t_{i\alpha}^{\dagger} t_{i+1\beta}^{\dagger} t_{i+1\alpha} t_{i\beta} + \text{H.c.}). \qquad (2.11)$$

The part of the Hamiltonian containing triple t operators



FIG. 2. (a) Single $S = \frac{1}{2}$ Heisenberg antiferromagnetic ladder with couplings J along the rungs (i) and λJ along the left (l) and right (r) legs of the ladder. (b) The dispersions of the spin-triplet excited states of the ladder of (a) relative to the band minimum at $k = \pi$ for several values of λ . The continuous curves are obtained from the present mean-field method and the filled circles are the Lanczos results of Ref. 10 obtained on a 2 × 12 ladder. (c) The spin gap Δ (in units of J) as a function of λ obtained from the present mean-field treatment (continuous curve). The dashed curve is a similar plot after including higher-order terms as described in the Appendix. In the inset a plot of $\Delta/\lambda J$ versus λ^{-1} is shown. The continuous curve is from the present treatment (without higher-order terms) and the filled circles are the numerical results of Ref. 8. The dashed line in the inset is obtained after including a self-energy correction (described at the end of Sec. III B) to the present treatment.

vanishes identically in the present case due to reflection symmetry.¹¹ A site-dependent chemical potential μ_i is introduced to impose the constraint of Eq. (2.5). The Hamiltonian of Eq. (2.8) can now be solved by a meanfield decoupling of the quartic terms. This yields an effective Hamiltonian H_m with only quadratic operators. We take $\langle s_i \rangle = \bar{s}$, which means that the s bosons are condensed. We replace the local constraint μ_i by a global one μ in accordance with the translational invariance of the problem along the ladder axis (\hat{y} axis here). We will consider here only the terms H_0 and H_1 in Eq. (2.8) and will show later in the Appendix that inclusion of H_2 changes the results only slightly. We perform a Fourier transformation of the operators $t_{i\alpha}^{\dagger} = \frac{1}{\sqrt{N}} \sum_{k} t_{k\alpha}^{\dagger} e^{ikr_i}$, where N is the number of dimers or rungs in the ladder and k is the wave vector whose only nonzero component is along the ladder axis. Thus, retaining only terms H_0 and H_1 in Eq. (2.8) we obtain the mean-field limit,

$$H_{m}(\mu, \bar{s}) = N(-\frac{3}{4}J\bar{s}^{2} - \mu\bar{s}^{2} + \mu) + \sum_{k} [\Lambda_{k}t^{\dagger}_{k\alpha}t_{k\alpha}$$
$$+ \Delta_{k}(t^{\dagger}_{k\alpha}t^{\dagger}_{-k\alpha} + t_{k\alpha}t_{-k\alpha})], \qquad (2.12)$$

where

$$\Lambda_{k} = \frac{J}{4} - \mu + \lambda J \bar{s}^{2} \cos k,$$

$$(2.13)$$

$$\Delta_{k} = \frac{\lambda}{2} J \bar{s}^{2} \cos k.$$

We have taken the lattice constant to be unity. The ground-state wave function can be written in the form $|\phi\rangle = C \exp[\sum_i \bar{s}_i s_i^{\dagger} - \sum_k b_k t_{k\alpha}^{\dagger} t_{-k\alpha}^{\dagger}]|0\rangle$. The above mean-field Hamiltonian Eq. (2.12) can be diagonalized by a Bogoliubov transformation into new boson operators $\gamma_{k\alpha}$ given by

$$\gamma_{k\alpha} = \cosh\theta_k t_{k\alpha} + \sinh\theta_k t^{\dagger}_{-k\alpha}, \qquad (2.14)$$

where the coefficients $\cosh \theta_k$ and $\sinh \theta_k$ obtained in terms of Λ_k , Δ_k , and ω_k are given by

$$\cosh^{2} \theta_{k} = \frac{1}{2} \left(\frac{\Lambda_{k}}{\omega_{k}} + 1 \right),$$

$$(2.15)$$

$$\sinh^{2} \theta_{k} = \frac{1}{2} \operatorname{sgn}(\Delta_{k}) \left(\frac{\Lambda_{k}}{\omega_{k}} - 1 \right).$$

We finally obtain

$$H_{m}(\mu, \bar{s}) = N(-\frac{3}{4}J\bar{s}^{2} - \mu\bar{s}^{2} + \mu) - \frac{N}{2}\left(\frac{J}{4} - \mu\right) + \sum_{k}\omega_{k}(\gamma_{k\alpha}^{\dagger}\gamma_{k\alpha} + \frac{1}{2}), \qquad (2.16)$$

where

$$\omega_{k} = [\Lambda^{2}_{k} - (2\Delta_{k})^{2}]^{\frac{1}{2}}.$$
 (2.17)

The parameters μ and \bar{s} are determined by solving the saddle-point equations;

$$\left\langle \frac{\partial H_m}{\partial \mu} \right\rangle = 0, \quad \left\langle \frac{\partial H_m}{\partial \bar{s}} \right\rangle = 0.$$
 (2.18)

We obtain the following self-consistent equations from Eq. (2.18) evaluated at T = 0:

$$(\bar{s}^2 - \frac{3}{2}) + \frac{1}{2\pi} \left[\sqrt{1+d} \mathbf{E} \left(\sqrt{\frac{2d}{1+d}} \right) + \frac{1}{\sqrt{1+d}} \mathbf{K} \left(\sqrt{\frac{2d}{1+d}} \right) \right] = 0,$$

$$(2.19)$$

$$igg(rac{3}{2} + 2rac{\mu}{J}igg) + rac{2\lambda}{\pi d} igg[rac{1}{\sqrt{1+d}} \mathbf{K}\left(\sqrt{rac{2d}{1+d}}
ight) \\ -\sqrt{1+d} \mathbf{E}\left(\sqrt{rac{2d}{1+d}}
ight)igg] = 0.$$

where $\mathbf{K}(\eta)$ and $\mathbf{E}(\eta)$ are respectively the complete elliptic integrals of the first and second kind of modulus η . The dimensionless parameter d is defined as

$$d = \frac{2\lambda \bar{s}^2}{(\frac{1}{4} - \frac{\mu}{J})}.$$
 (2.20)

III. RESULTS

A. Spin-triplet spectrum

For each λ , the self-consistent solutions of \bar{s} and μ are obtained from Eqs. (2.19) and are used to determine the excitation spectrum of the system of a single ladder obtained from Eq. (2.17) as,

$$\omega_{k} = J \left(\frac{1}{4} - \frac{\mu}{J}\right) [1 + d\cos k]^{\frac{1}{2}}.$$
 (3.1)

These quasiparticle excitations arising from the spintriplet states of the spin ladder form a band whose bandwidth is a function of λ . The band minimum is at $k = \pi$ and in Fig. 2(b) we present plots of ω_k/J (relative to the band minima) as a function of k for $\lambda=0.1$, 0.5, and 1.0. We notice that the dispersion around the band minimum gets more linear with increasing λ which is reminiscent of the case of a linear chain ($\lambda = \infty$).

Recently extensive numerical calculations have been performed¹² on Heisenberg spin ladders using Lanczos techniques. The spin-triplet dispersion relations were obtained on a ladder with 2×12 sites and for various values of λ . The results of such a calculation are shown as filled circles in Fig. 2(b) and the agreement with the present work is very good. For small values of λ the spin-triplet excitation spectrum has a bandwidth of $2\lambda J$ which is in excellent agreement with those obtained from the Lanczos data and from the strong-coupling expansions of Heisenberg ladders.¹² The dispersion relation of Eq. (3.1) can be parametrized by a spin-wave velocity which is given by $c_s = J(\frac{1}{4} - \frac{\mu}{J})(\frac{d}{2})^{\frac{1}{2}}$. The spin-wave velocity reduces to 0 as $\lambda \to 0$ and is 1.1J for $\lambda = 1.0$.

B. Spin gap

If the excitation spectrum ω_k is real and positive everywhere in the Brillouin zone then the system is in a magnetically disordered (spin-liquid) phase and has a spin gap given by

$$\Delta = J\left(\frac{1}{4} - \frac{\mu}{J}\right) [1 - d]^{\frac{1}{2}}.$$
(3.2)

This is indeed found to be the case for a single Heisenberg antiferromagnetic ladder. In Fig. 2(c) we present a plot (continuous line) of the spin gap (in units of J) as a function of λ obtained numerically by solving the nonlinear Eqs. (2.19) and then substituting the self-consistent solutions \bar{s} and μ into Eq. (3.2). Alternatively, Eqs. (2.19) and (2.20) can be combined to give the following single equation for d:

$$d = \lambda \left[3.0 - \frac{2}{\pi} \frac{1}{\sqrt{1+d}} \mathbf{K} \left(\sqrt{\frac{2d}{1+d}} \right) \right], \qquad (3.3)$$

which is easier to solve numerically. The solution of d for a given λ can be used in Eq. (2.19) to determine μ and the spin gap is then obtained from Eq. (3.2)

We can analytically study the asymptotic behavior of the spin gap. For small values of λ (and hence d) the elliptic integrals of Eq. (2.19) can be expanded in a power series as

$$E(\eta) = \frac{\pi}{2} (1 - \frac{1}{4}\eta^2 - \frac{3}{64}\eta^4 - \cdots),$$

$$K(\eta) = \frac{\pi}{2} (1 + \frac{1}{4}\eta^2 + \frac{9}{64}\eta^4 - \cdots),$$
(3.4)

and we obtain

$$d = 2\lambda [1 - \frac{3}{8}\lambda^2 + O(\lambda^4)], \qquad (3.5)$$

$$\left(\frac{1}{4} - \frac{\mu}{J}\right) = 1 + \frac{1}{4}\lambda^2 + O(\lambda^4),$$
(3.6)

and the spin gap is given by

$$\Delta = J\left(1 - \lambda - \frac{\lambda^2}{4} + \frac{\lambda^3}{8} + O(\lambda^4)\right).$$
(3.7)

This is consistent with Fig. 2(c) which shows a linear drop of the spin gap Δ for small values of λ . As λ gets larger the spin gap as shown in Fig. 2(c) deviates considerably from the linear behavior and does not exhibit any critical value for λ where the gap vanishes. Thus, starting from the limit of $\lambda = 0$ with spin singlets on each rung of the ladder, we see that any finite coupling λ along the legs of the ladder delocalizes the singlets on the rungs, thereby reducing the magnitude of the gap but not closing it completely.

The spin gap seems to approach zero as $\lambda \to \infty$ which should be the right gapless limit corresponding to decoupled spin- $\frac{1}{2}$ chains. However, on closer inspection of Eq. (3.3) we notice that at $\lambda = \infty$, *d* is given by the solution of the equation $\frac{1}{\sqrt{1+d}}\mathbf{K}\left(\sqrt{\frac{2d}{1+d}}\right) - \frac{3\pi}{2} = 0$. This gives a value of d which is very close to 1. In addition it can be shown using Eq. (2.19) that as $\lambda \to \infty$, $\frac{\mu}{J}$ diverges as $\lambda \ln(1-d)$. Hence the spin gap given by Eq. (3.2) also diverges as $\lambda \to \infty$. In the present case the spin gaps start to increase for values of $\lambda \geq 3$ and the mean-field treatment ceases to be valid.

The Heisenberg ladder has also been extensively studied numerically^{8,12} using the Lanczos technique and the spin gaps have been determined on $2 \times N$ ladders with N = 4, 6, 8, 10, and 12. An extrapolation of these results to the bulk limit is shown (as filled circles) in the inset of Fig. 2(c) as a plot of $\frac{\Delta}{\lambda J}$ versus λ^{-1} for values of $\lambda \leq 1$. The full curve in the same inset indicates results obtained from the present calculation. The agreement is found to be good only for small values of λ .

The deviation of the present spin gaps from those obtained numerically can be traced to the λ^2 terms in the expansion of the spin gap as obtained in Eq. (3.7). In Ref. 12 it was shown in a strong-coupling expansion that the spin gap varied as $\Delta = J(1-\lambda+\frac{3}{4}\lambda^2)$ for small values of λ . The λ^2 term arises in the strong-coupling expansion from short-range effects as the two nearest-neighbor singlets surrounding the rung which is excited to a triplet state are ineffective in contributing to the energy of spintriplet excitations to $O(\lambda^2)$. Thus the positive coefficient obtained for the λ^2 term shifts the spin gaps above the $1-\lambda$ line as λ is increased in agreement with the numerical results [see the filled circles in the inset of Fig. 2(c)]. However, in the present treatment a positive coefficient is obtained in the expansion for $(\frac{1}{4} - \frac{\mu}{J})$ [Eq. (3.6)] but the expansion of $(1-d)^{\frac{1}{2}}$ produces an overall negative coefficient for the λ^2 terms which shifts the spin gaps below the $1 - \lambda$ line as λ is increased. This implies that for a finite λ the singlet and triplet levels are not pushed apart enough due to the wrong treatment of the short-range effect in the present mean-field method. This arises from treating the local constraint as valid only on the average. We have therefore added an additional self-energy term of $O(\lambda^2)$ in the triplet levels of Eq. (2.9) which corrects for the neglect of short-range effects in the present treatment. We use the self-energy term $\beta \lambda^2$ with an optimum value of $\beta = 0.7$ which gives reasonable values of the spin gaps for $\lambda \leq 1$, as shown by the dashed line in the inset of Fig. 2(c). It should be pointed out that this selfenergy correction does not modify the dispersion curves of the spin triplets shown in Fig. 2(a), it merely shifts the positions of the minima at $k = \pi$.

C. Ground-state energy

The ground-state energy obtained from Eq. (2.16) is given by (neglecting the H_2 term)

 \mathbf{F}

$$\frac{D_G}{NJ} = \left(-\frac{3}{4}\bar{s}^2 - \tilde{\mu}\bar{s}^2 + \tilde{\mu}\right) - \frac{1}{2}\left(\frac{1}{4} - \tilde{\mu}\right) \\
+ \frac{1}{\pi}\left(\frac{1}{4} - \tilde{\mu}\right)\left(1 + d\right)^{\frac{1}{2}}\mathbf{E}\left(\sqrt{\frac{2d}{1+d}}\right), \quad (3.8)$$

where d is defined in Eq. (2.20) and $\tilde{\mu} = \frac{\mu}{J}$.

In the limit of $\lambda \to 0$ the correct ground-state energy $\frac{E_G}{NJ} = -\frac{3}{4}$ is recovered. As λ is switched on the groundstate energy decreases, and we present in Table I the values of $\frac{E_G}{2NJ}$ obtained from Eq. (3.8) for certain values of λ . As discussed before for the spin gap, the ground-state energies have also been obtained numerically¹² on finitelength ladders, and the extrapolated values to the bulk limit are also presented in Table I. We observe that the energies obtained from the present mean-field treatment compare well with numerical estimates for $\lambda \leq 0.5$.

D. Structure factor

Structure factors are important physical quantities which can be measured experimentally. The dynamic spin structure factor is defined as

$$S^{0,\pi}(q,\omega) = \int dt e^{i\omega t} \langle S^{0,\pi}_{q,z}(t) S^{0,\pi}_{-q,z}(0) \rangle, \qquad (3.9)$$

where $S^{0,\pi}_{q,\alpha}$ represents the Fourier transform of the α th

TABLE I. Results of the ground-state energy $\frac{E_G}{2NJ}$ of a Heisenberg ladder.

| $\frac{E_G}{2NI}$ | |
|-------------------|---|
| Present work | Ref. 12 |
| -0.394 | -0.43 |
| -0.475 | -0.578 |
| | $\frac{\frac{E_G}{2NJ}}{-0.394}$ -0.475 |

component of the spin-operator combination along the rungs given by

$$S_{q,\alpha}^{0,\pi}(t) = \sum_{i} e^{i\mathbf{q}\cdot\mathbf{r}_{i}} [S_{l_{i,\alpha}}(t) \pm S_{r_{i,\alpha}}(t)], \qquad (3.10)$$

where \mathbf{S}_{l_i} and \mathbf{S}_{r_i} are the two spin operators at each rung *i* of the ladder as shown in Fig. 2(a). Using the representations of the spins \mathbf{S}_l and \mathbf{S}_r in terms of the singlet and triplet operators as defined in Eqs. (2.3) and (2.4) we obtain

$$S_{l_{i,\alpha}} + S_{r_{i,\alpha}} = -i\epsilon_{\alpha\beta\gamma}t^{\dagger}_{i\beta}t_{i\gamma},$$

$$S_{l_{i,\alpha}} - S_{r_{i,\alpha}} = s^{\dagger}_{i}t_{i\alpha} + t^{\dagger}_{i\alpha}s_{i}.$$
(3.11)

Substituting these in Eq. (3.10) and transforming the t operators to Bogoliubov operators defined in Eq. (2.14) we obtain the following expressions for the dynamic spin structure factors:

$$S^{\pi}(q,\omega) = \frac{1}{3}\bar{s}^{2}(\cosh 2\theta_{q} - \sinh 2\theta_{q})[n_{q} + \Theta(\omega)]\delta(\omega_{q} - |\omega|), \qquad (3.12)$$

$$S^{0}(q,\omega) = \frac{1}{9}\sum_{k} \{[\cosh 2(\theta_{k+q} - \theta_{k}) + 1]n_{k}(1 + n_{k+q})\delta(\omega_{k+q} - \omega_{k} - \omega)$$

$$+ \frac{1}{2}[\cosh 2(\theta_{k+q} - \theta_{k}) - 1][n_{k} + \Theta(\omega)][n_{k+q} + \Theta(\omega)]\delta(\omega_{k+q} + \omega_{k} - |\omega|)\}, \qquad (3.13)$$

where $\Theta(\omega)$ is a step function, n_k is the Bose occupation factor, and $\cosh \theta_k$ and $\sinh \theta_k$ are defined in Eq. (2.14)

The first term of Eq. (3.13) represents the simultaneous emission and absorption of excitations and vanishes identically at T = 0 while the second term corresponds to creation or annihilation of two excitations. In Fig. 3 we present the results of the structure factors $S^{0,\pi}(q,\omega)$ as a function of ω for $q = \pi$ and for $\lambda = 1.0$ after applying the self-energy correction described at the end of Sec. III B. The dominant contribution to the structure factor comes from $S^{\pi}(q,\omega)$. The static structure factors $S_{\rm st}^{0,\pi}(q) = \int d\omega S^{0,\pi}(q,\omega)$ show peaks at the commensurate wave vector of $q = \pi$. The spin-spin correlations in the present case decay exponentially at large distances with a correlation length given by

$$\xi = \frac{c_s}{\Delta} = \left[\frac{d}{2(1-d)}\right]^{\frac{1}{2}},\qquad(3.14)$$

where c_s is the spin-wave velocity, Δ the spin gap, and d is as defined in Eq. (2.20). We notice that $\xi \to 0$ as $\lambda \to 0$ and for $\lambda = 1$ we obtain a value of $\xi = 2.66$.

IV. DOUBLE AND PERIODIC ARRAY OF LADDERS

We now consider the effects on the spin gap of increasing the number of rungs in the ladder. We first consider the case of a simply connected double-rung ladder shown in Fig. 4(a). The two spin ladders (denoted by left (L)



FIG. 3. Dynamical structure factors $S^{0,\pi}(q,\omega)$ as a function of frequency ω for $q = \pi$.

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and right (R)] are connected with a strength of $\lambda' J$ and in each individual ladder we assume as before an interaction strength of J along the rungs and λJ along the legs of the ladders. The Hamiltonian of the system can be written as

$$H = \sum_{\ell} [(H_{0_{\ell}} + \lambda H_{1_{\ell}}) + \frac{1}{2}\lambda' H_{1_{\ell}}'], \qquad (4.1)$$

where ℓ denotes the ladder index, left (L) or right (R), and

$$H_{0_{\ell}} = J \sum_{i} \left(-\frac{3}{4} s_{i_{\ell}}^{\dagger} s_{i_{\ell}} + \frac{1}{4} t_{i_{\ell}\alpha}^{\dagger} t_{i_{\ell}\alpha} \right) - \sum_{i \in R_{\ell}} \mu_{i} \left(s_{i_{\ell}}^{\dagger} s_{i_{\ell}} + t_{i_{\ell}\alpha}^{\dagger} t_{i_{\ell}\alpha} - 1 \right),$$

$$H_{1_{\ell}} = \frac{J}{2} \sum_{i} \left(t^{\dagger}_{i_{\ell}\alpha} t_{i+1_{\ell}\alpha} s_{i+1_{\ell}}^{\dagger} s_{i_{\ell}} + t_{i_{\ell}\alpha}^{\dagger} t_{i+1_{\ell}\alpha}^{\dagger} s_{i_{\ell}} s_{i+1_{\ell}} + \text{H.c.} \right),$$

$$H_{1_{\ell}} = -\frac{J}{4} \sum_{i,\ell' \neq \ell} \left(t_{i_{\ell}\alpha}^{\dagger} t_{i_{\ell'}\alpha} s_{i_{\ell}}^{\dagger} s_{i_{\ell'}} + t_{i_{\ell}\alpha}^{\dagger} t_{i_{\ell'}\alpha}^{\dagger} s_{i_{\ell}} s_{i_{\ell'}} + \text{H.c.} \right).$$
(4.2)

Here, we have neglected terms of the form H_2 of Eq. (2.11) as it is shown in the Appendix not to change the results significantly in the parameter range $0 \le \lambda \le 1$, which is of interest here. It should be pointed out here that the Hamiltonian containing triple t operators will not vanish here in the H' part of the Hamiltonian connecting the two ladders as it did in the previous case along the ladder axis. However this will not give any contribution in the magnetically disordered phase when we take averages over the operators in the mean-field decoupling.

Replacing $\langle s_{i_\ell} \rangle = \bar{s}$ and $\mu_{i_\ell} = \mu$ in Eq. (4.2) and taking Fourier transforms of the t operators we obtain

$$H_{m}(\mu,\bar{s}) = 2N(-\frac{3}{4}J\bar{s}^{2} - \mu\bar{s}^{2} + \mu) + \sum_{k,\ell} [\Lambda_{k}t^{\dagger}_{k_{\ell}\alpha}t_{k_{\ell}\alpha} + \Delta_{k}(t^{\dagger}_{k_{\ell}\alpha}t^{\dagger}_{-k_{\ell}\alpha} + t_{k_{\ell}\alpha}t_{-k_{\ell}\alpha}) + \frac{\Gamma_{k}}{2}\sum_{\ell'\neq\ell} (2t^{\dagger}_{k_{\ell}\alpha}t_{k_{\ell'}\alpha} + t^{\dagger}_{k_{\ell}\alpha}t^{\dagger}_{-k_{\ell'}\alpha} + t_{k_{\ell}\alpha}t_{-k_{\ell'}\alpha})],$$

$$(4.3)$$

where Λ_k and Δ_k are as defined in Eq. (2.13) and $\Gamma_k = -\frac{\lambda'}{4}\bar{s}^2 J$. We perform a Bogoliubov transformation into two new boson operators defined in terms of the *t* operators of the left- and right-hand ladders as

$$\gamma_{1,2_{k\alpha}} = \frac{1}{\sqrt{2}} [(\cosh\theta_{1,2_{k}}t_{k_{L}\alpha} + \sinh\theta_{1,2_{k}}t_{-k_{L}\alpha}^{\dagger}) \\ \pm (\cosh\theta_{1,2_{k}}t_{k_{R}\alpha} + \sinh\theta_{1,2_{k}}t_{-k_{R}\alpha}^{\dagger})].$$
(4.4)

These are simply symmetric (bonding) and antisymmetric (antibonding) combinations of the individual transformations in the left and right ladders. We can now diagonalize the Hamiltonian of Eq. (4.3) using this transformation and we obtain the following:

$$H_{m}(\mu, \bar{s}) = 2N(-\frac{3}{4}J\bar{s}^{2} - \mu\bar{s}^{2} + \mu) - N\left(\frac{J}{4} - \mu\right) + \sum_{k,b=1,2} [\omega_{b_{k}}(\gamma^{\dagger}_{b_{k\alpha}}\gamma_{b_{k\alpha}} + \frac{1}{2})], \qquad (4.5)$$

where $\omega_{1,2_k}$ is defined as

$$\omega_{1,2_k} = [\Lambda_k^2 - (2\Delta_k)^2 \pm 2\Gamma_k(\Lambda_k - 2\Delta_k)]^{\frac{1}{2}}.$$
 (4.6)

Thus the spin-triplet excitation spectrum of a double ladder with three rungs and four legs consists of two branches for each λ and λ' representing the bonding and the antibonding states. The splitting of these two branches is governed by the transfer matrix proportional to Γ_k which is in turn proportional to λ' . As $\lambda' \to 0$ the two branches collapse into a single branch of the onerung ladder described in Sec. III A. We have plotted in Fig. 4(b) the spin-triplet excitation spectrum for the double ladder with the value $\lambda = \lambda' = 1$ and after applying the self-energy correction in each ladder as described at the end of Sec. III B. For comparison we show in the same figure a plot (shown as the dashed curve) of the excitation spectrum of a single-rung ladder ($\lambda' = 0$).

Following the same procedure as described in Sec. II we can write down the mean-field equations evaluated at T = 0 as

$$(\bar{s}^2 - \frac{3}{2}) = -\frac{1}{4} \int \frac{dk_y}{2\pi} \left(\frac{1 + \frac{d}{2}a_+}{[1 + da_+]^{\frac{1}{2}}} + \frac{1 + \frac{d}{2}a_-}{[1 + da_-]^{\frac{1}{2}}} \right),$$
(4.7)

$$\left(rac{3}{2}+2rac{\mu}{J}
ight) = rac{\lambda}{2}\int rac{dk_{m y}}{2\pi} \left(rac{a_+}{[1+da_+]^{rac{1}{2}}}+rac{a_-}{[1+da_-]^{rac{1}{2}}}
ight),$$

where d is defined in Eq. (2.20), and a_{\pm} is given by

$$a_{\pm} = \cos k \pm \frac{\lambda'}{4\lambda}.$$
 (4.8)

The expression for the spin gap is

$$\Delta = J\left(\frac{1}{4} - \frac{\mu}{J}\right) \left[1 - d\left(1 + \frac{\lambda'}{4\lambda}\right)\right]^{\frac{1}{2}}.$$
 (4.9)

We have plotted in Fig. 4(c) the spin gaps of the double ladder as a function of λ' for different values of λ . We obtain a value of 0.12*J* for the spin gap for $\lambda = \lambda' =$ 1.0 and we notice that for each λ the spin gap reduces drastically with increasing λ' . This can be explained by the fact the singlets along the rungs of the two ladders can delocalize not only along the ladder axes via the λ coupling but also across the ladders through the transfer matrix proportional to λ' . This produces for any nonzero coupling of two single-rung ladders ($\lambda' \neq 0$) a splitting in the excitation spectrum of the individual ladders into two branches, one above (the antibonding branch) and the other below (the bonding branch) as shown in Fig. 4(b). Since the spin gap is a measure of the minimum energy in the excitation spectrum (at $k = \pi$) and since one of the branches of the double ladder is always lower than that of a single ladder for any nonzero λ' , we can conclude that the spin gap of a double ladder is lower than that of a single ladder and the spin gap should progressively decrease on increasing the width of the ladder.



FIG. 4. (a) Double Heisenberg ladder consisting of two single $S = \frac{1}{2}$ antiferromagnetic ladders of Fig. 2(a) connected to each other through the couplings $\lambda' J$. (b) The dispersions of the spin-triplet excited states [bonding and antibonding states of Eq. (4.6)] of the double ladder of (a) for $\lambda = \lambda' = 1.0$. The dashed curve is a similar plot for a single ladder ($\lambda' = 0$) with $\lambda = 1$. (c) The spin gap Δ (in units of J) as a function of λ' for several values of λ . The dashed curves are similar plots obtained for the case of a double ladder connected through the zigzag frustrated couplings $J' = \lambda' J$ of Fig. 1(b).

In the same context it is worthwhile to consider a periodic array of ladders, with $\lambda=1$ in each ladder, and simply connected to one another by λ' , and then to ask the question for what value of λ' should the spin gap disappear, since it is known that the ground state of a two-dimensional antiferromagnet has long-range order. We can study the system of ladders arranged periodically within the formalism described previously, and the self-consistent equations are given by

$$(\bar{s}^2 - \frac{3}{2}) = -\frac{1}{2} \int \int \frac{d^2k}{(2\pi)^2} \frac{1 + \frac{d}{2}a}{[1 + da]^{\frac{1}{2}}},$$

$$\begin{pmatrix} \frac{3}{2} + 2\frac{\mu}{J} \end{pmatrix} = +\lambda \int \int \frac{d^2k}{(2\pi)^2} \frac{a}{[1 + da]^{\frac{1}{2}}},$$
(4.10)

where **k** is now a two-dimensional wave vector with components k_y along the ladder axis and k_x across the ladders. The parameter d is defined in Eq. (2.20) and a is given by

$$a = \cos k_y - \frac{\lambda'}{2\lambda} \cos k_x. \tag{4.11}$$

Combining the equations in Eq. (4.10) we can write down the following single mean-field equation for d which is easier to solve numerically.

$$\frac{d}{\lambda} = 3.0 - \int \int \frac{d^2k}{(2\pi)^2} \frac{1}{[1+da]^{\frac{1}{2}}}.$$
(4.12)

The excitation spectrum of the periodic system of ladders has a minimum at $\mathbf{k} = (\pi, \pi)$ and the spin gap given by $\Delta = J(\frac{1}{4} - \frac{\mu}{J})[1 - d(1 + \frac{\lambda'}{2\lambda})]^{\frac{1}{2}}$ is plotted in Fig. 5 as a function of λ' with λ set to 1.0. We have again applied the self-energy corrections on each individual ladder as described at the end of Sec. III B. We notice that the spin gap vanishes for a value of $\lambda' \approx 0.25$. As described before for the double ladder, the decrease in the spin gap with increasing λ' is explained by the delocalization of the singlets across the ladders. In this case the transfer matrix connecting the ladder is twice as large as in the case of a double ladder thereby decreasing the spin gap with λ' even faster than that of a double ladder.



FIG. 5. The spin gap (in units of the rung coupling J) of a periodic arrangement of ladders as a function of the interladder coupling λ' and with an intraladder coupling of $\lambda = 1$.

V. FRUSTRATED DOUBLE LADDERS AND THE TRELLIS LATTICE

We now consider the double ladders described in the previous section but connected to each other through zigzag frustrated couplings $J' = \lambda' J$ as shown in Fig. 1(b), where J is the standard rung coupling. We retain the Hamiltonian in the form of Eq. (4.2) but with $H'_{1\ell}$ containing an additional term given by

$$H_{1_{\ell}}'' = -\frac{J}{4} \sum_{i,\ell'\neq\ell} (t_{i_{\ell}\alpha}^{\dagger} t_{i+1_{\ell'}\alpha} s_{i_{\ell}}^{\dagger} s_{i+1_{\ell'}} + t_{i_{\ell}\alpha}^{\dagger} t_{i+1_{\ell'}\alpha}^{\dagger} s_{i_{\ell}} s_{i+1_{\ell'}} + \text{H.c.}).$$
(5.1)

The mean-field Hamiltonian and the excitation spectrum are those described by Eqs. (4.5) and (4.6) with Γ_k replaced by a new form $\Gamma_k = -\frac{\lambda'}{4}\bar{s}^2 J(1+\cos k)$ We obtain the self-consistent equations of Eq. (4.7) but with a_{\pm} given by $a_{\pm} = \cos k \pm \frac{\lambda'}{4\lambda}(1+\cos k)$.

The excitation spectrum of the double ladder with a zigzig frustrated coupling also consists of two branches, as in the case of a simply connected double ladder. However, in the present case at the minimum position of the spectrum $(k = \pi)$ the two branches become degenerate. This can be explained by the fact that the singlets on two successive rungs of each ladder are completely out of phase and hence are not able to delocalize across the ladders through the zigzag frustrated couplings λ' . Thus the spin gap defined by the minimum value of the spectrum at $k = \pi$ is now given by,

$$\Delta = J\left(\frac{1}{4} - \frac{\mu}{J}\right) [1 - d]^{\frac{1}{2}},$$
(5.2)

which does not contain the λ' term explicitly. Thus the spin gaps should not change much from the values obtained for a single ladder, and this is indeed what is observed as shown by the dashed lines in Fig. 4(c). We have again applied the self-energy correction to each individual ladder. We notice from Fig. 4(c) that for each λ the spin gap slightly increases with λ' . This simply reflects the slight changes in the self-consistent solutions of d and μ with λ' .

We can extend the results discussed above to the trellis lattice shown in Fig. 1(b). The excitation spectrum would now be a function of **k** with components k_y along the ladder axis and k_x across the ladders. At the point $k_y = \pi$ the spectrum would be dispersionless with k_x as a result of destructive interference of successive rung singlets along the ladder axis. Thus a frustrated coupling between the ladders in the trellis lattice will not affect the spin gaps of each individual ladder and hence it will only help in retaining the spin-liquid nature.

VI. CONCLUSIONS

We have shown that the CuO double chains intergrown periodically in the CuO₂ planes of the new series of infinite-layer compounds $Sr_{n-1}Cu_{n+1}O_{2n}$ (n = 3,5,7,...)creates a new two-dimensional spin lattice, the trellis lattice. Such a lattice can be described by Heisenberg spin ladders with $n_r = \frac{1}{2}(n-1)$ rungs and $n_l = \frac{1}{2}(n+1)$ legs with the usual antiferromagnetic coupling J inside each ladder and a weak and frustrated interladder coupling $J' \ll J$. On neglecting J' the Cu-O planes can be thought of as built up of independent quasi-onedimensional ladders with odd n_r and even n_l (corresponding to n = 3, 7, 11,...) and those with even n_r and odd n_l (corresponding to n = 5, 9, 13,...) which exhibit different spin excitation spectra. The former are gapped with short-range exponentially decaying magnetic correlation functions while the latter are gapless with a longrange power-law decay of the correlation functions.

We have used the bond operator representation of quantum spins in a mean-field treatment with self-energy correction to study first the excitations of the simplest single-rung ladder with two legs corresponding to n = 3. We have obtained the spin-triplet dispersion with a minimum at $k = \pi$ and a spin gap of $\approx \frac{1}{2}J$. These values are in good agreement with numerical estimates.^{8,12} It should be pointed out that conventional spin-wave calculations applied to a ladder^{13,12} predict gapless excitations for all values of the couplings λJ along the legs of the ladder, even for $\lambda=0$, which is clearly unphysical.

We have been able to extend the mean-field treatment to double ladders and to a periodic array of ladders. We find that increasing the rungs of the ladder drastically reduces the spin gap. For a double ladder with four legs and three rungs corresponding to n = 7 we obtain a spin gap of only 0.1J. In a periodic array of ladders with intraladder coupling J we find that the spin gap vanishes for an interladder coupling of $\approx 0.25J$.

We have also studied the effect of a frustrated coupling, such as that of a trellis lattice, introduced between two ladders. We find a slight enhancement in the spin gap. Extending the results to a trellis lattice we can show that the spin-liquid nature will be preserved. Thus stoichiometric $\operatorname{Sr}_{n-1}\operatorname{Cu}_{n+1}\operatorname{O}_{2n}$ compounds with $n = 3, 7, 11, \ldots$ will be frustrated quantum antiferromagnets with a quantum-disordered or spin-liquid ground state. The resulting trellis lattice will be a realization of the short-range RVB ground state for a spin- $\frac{1}{2}$ system.¹⁰

The implications of these results to the other high- T_c compounds can be conjectured as follows. Certain underdoped high- T_c samples have been experimentally shown to have spin gaps and a theoretical description of this in terms of frustrated next-nearest-neighbor coupling in a two-dimensional antiferromagnetic lattice is obtained only with large and unphysical values of the coupling. However, if the two-dimensional Cu-O planes were thought of as having some microstructure (introduced upon doping) then the spin gaps could be explained quite naturally.

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APPENDIX

The results presented in Sec. III were obtained by neglecting the term H_2 of Eq. (2.11) containing four triplet operators. Here we will study the changes on including this term in a similar mean-field treatment as presented before. By taking quadratic decouplings of the operators in H_2 and performing Fourier transformations we obtain,

$$H_{2} = \frac{J}{3} \left[\sum_{k} \cos k_{y} [2Pt_{k\alpha}^{\dagger} t_{k\alpha} - Q(t_{k\alpha}^{\dagger} t_{-k\alpha}^{\dagger} + t_{k\alpha} t_{-k\alpha})] - N(P^{2} - Q^{2}) \right], \quad (A1)$$

where λ and N are as defined previously and P and Q are two new mean fields defined as

$$P = \langle t_{i\alpha}^{\dagger} t_{i+1\alpha} \rangle,$$

$$Q = \langle t_{i\alpha} t_{i+1\alpha} \rangle.$$
(A2)

On including Eq. (A1) into Eq. (2.11) we obtain a mean-field Hamiltonian similar to Eq. (2.12):

$$H_{m}(\mu, \bar{s}, P, Q) = N(-\frac{3}{4}J\bar{s}^{2} - \mu\bar{s}^{2} + \mu) - \frac{N\lambda J}{3}(P^{2} - Q^{2}) + \sum_{k} [\Lambda_{k}t^{\dagger}_{k\alpha}t_{k\alpha} + \Delta_{k}(t^{\dagger}_{k\alpha}t^{\dagger}_{-k\alpha} + t_{k\alpha}t_{-k\alpha})], \qquad (A3)$$

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but with Λ_k and Δ_k replaced by

$$\Lambda_{k} = \frac{J}{4} - \mu + \lambda J \bar{s}^{2} \cos k + \frac{2\lambda}{3} P J \cos k,$$

$$(A4)$$

$$\Delta_{k} = +\frac{\lambda}{2} J \bar{s}^{2} \cos k - \frac{\lambda}{3} Q J \cos k.$$

We can diagonalize Eq. (A3) by a Bogoliubov transformation as described earlier and the spectrum is given by Eq. (2.17) but with Δ_k and Λ_k replaced by their new forms. The parameters μ , \bar{s} , P, and Q are obtained by solving the saddle-point equations which reduce at T = 0to the following equations;

$$(\bar{s}^{2} - \frac{3}{2}) = -\int \frac{dk}{2\pi} \frac{\Lambda_{k}}{2\omega_{k}},$$

$$\left(\frac{3}{2} + 2\frac{\mu}{J}\right) = \lambda \int \frac{dk}{2\pi} \frac{\Lambda_{k} - 2\Delta_{k}}{\omega_{k}} \cos k,$$

$$P = \int \frac{dk}{2\pi} \frac{\Lambda_{k}}{2\omega_{k}} \cos k,$$

$$Q = -\int \frac{dk}{2\pi} \frac{\Delta_{k}}{\omega_{k}} \cos k.$$
(A5)

By numerically solving for the mean-field parameters the spin gap is obtained and is plotted in Fig. 2(b) (dashed lines) as a function of λ . We notice that the inclusion of H_2 does not change the results significantly even for $\lambda \approx 1$

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