

## K-C<sub>70</sub>: Stable phases and electronic structures

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Photoelectron spectroscopy has been used to determine the K<sub>x</sub>C<sub>70</sub> phase diagram for  $0 \leq x \leq 6$  and to investigate the electronic properties of the stable phases. Core-level analysis and vacuum distillation experiments indicate equilibrium K<sub>1</sub>C<sub>70</sub>, K<sub>4</sub>C<sub>70</sub>, and K<sub>6</sub>C<sub>70</sub> phases at 300 K. They show a eutectoid transformation from a high-temperature K<sub>3</sub>C<sub>70</sub> phase into K<sub>1</sub>C<sub>70</sub> and K<sub>4</sub>C<sub>70</sub> at 440 K. Studies in the low-doping regime demonstrate that K incorporation raises the ordering transition temperatures relative to those observed for pure C<sub>70</sub>. These stabilizations are discussed in terms of peritectoid transformations. The K-C<sub>70</sub> results are then examined in the context of the alkali-metal fullerides of C<sub>60</sub> to obtain a broader view of the thermodynamics of both systems. Valence-band photoemission results demonstrate that the singly and doubly degenerate lowest unoccupied states of C<sub>70</sub> are filled upon doping to  $x=6$ . They also demonstrate that none of the K-C<sub>70</sub> phases are metallic, despite odd-integer filling, with band splittings that are related to reduced crystal symmetry and electron-electron correlation. Finally, the deposition of small quantities of C<sub>70</sub> onto a potassium film at 40 K demonstrates that the ionization state for C<sub>70</sub> molecules can be increased beyond 6.

### I. INTRODUCTION

While the behavior of solid C<sub>60</sub> upon doping has been investigated extensively,<sup>1</sup> very little is known about the compounds of C<sub>70</sub>, the next stable fullerene. The maximum conductivity of K- and Rb-doped C<sub>70</sub> has been observed to be more than 100 times smaller than that for the corresponding C<sub>60</sub> compounds.<sup>2,3</sup> Photoemission studies have been reported for K-doped C<sub>70</sub> by Benning *et al.*,<sup>4</sup> and for Rb-doped C<sub>70</sub> by Takahashi *et al.*<sup>3</sup> The dielectric function and the distribution of unoccupied electronic states has been investigated using electron-energy-loss spectroscopy by Sohmen and Fink.<sup>5</sup> Imaeda *et al.*<sup>6</sup> have used electron-spin resonance and microwave absorption to probe the electronic and magnetic properties of K<sub>x</sub>C<sub>70</sub>. No evidence of superconductivity has been reported for alkali-metal-doped C<sub>70</sub> and, to our knowledge, no reports have been presented of the stable phases of the C<sub>70</sub> fullerides. While we might expect similarities to the C<sub>60</sub> fullerides, we also note that fine tuning of the C<sub>60</sub> fullerides by alkali-metal substitutions has resulted in a variety of intriguing properties.<sup>1</sup> Such subtleties should be manifest in C<sub>70</sub> as well, exacerbated by the different ordering transitions of C<sub>70</sub>.<sup>7-9</sup>

This paper focuses on the structural and electronic properties of K-doped C<sub>70</sub>, starting with high quality crystalline C<sub>70</sub> thin films. We have used core-level photoemission to investigate the stoichiometry- and temperature-dependent occupancy of the interstitial sites of the C<sub>70</sub> lattice, deducing information concerning the phase diagram. At each stage, we have used valence-band photoemission to probe the occupied part of the electronic structure. Some aspects of these studies are analogous to those for the C<sub>60</sub> fullerides where core-level photoemission provided insight into the occupation of the tetrahedral and octahedral sites and established the

existence of a eutectoid transformation for  $0 < x < 3$  at 425 K for K<sub>x</sub>C<sub>60</sub>.<sup>10,11</sup> Interesting perspectives are offered by distillation experiments that allowed us to probe the high-temperature behavior of the K-C<sub>70</sub> system.

We find three equilibrium phases at room temperature, namely K<sub>1</sub>C<sub>70</sub>, K<sub>4</sub>C<sub>70</sub>, and K<sub>6</sub>C<sub>70</sub>. For K<sub>1</sub>C<sub>70</sub>, the K ions occupy the pseudo-octahedral sites in the close-packed C<sub>70</sub> structure. K<sub>4</sub>C<sub>70</sub> and K<sub>6</sub>C<sub>70</sub> exhibit characteristics analogous to their C<sub>60</sub> counterparts. The K<sub>3</sub>C<sub>70</sub> structure is stable above 440 K but transforms upon cooling into K<sub>1</sub>C<sub>70</sub>, plus K<sub>4</sub>C<sub>70</sub>, exhibiting eutectoid behavior. We propose a K-C<sub>70</sub> phase diagram, compare with the C<sub>60</sub> fullerides, and discuss the implications. In the low-doping regime, we find dopant-induced increases in the ordering temperatures relative to pure C<sub>70</sub>. Such stabilizations are discussed in terms of peritectoid transformations.

Valence-band photoemission results confirm electron transfer to the low-lying unoccupied states of C<sub>70</sub>. They also demonstrate that none of the K-C<sub>70</sub> phases has states near the Fermi level. This explains the transport properties and the absence of superconductivity. We interpret the formation of band gaps in terms of the reduced symmetry of the crystal lattice and the effects of electron correlation. Finally, although electron transfer is limited to six for crystalline C<sub>70</sub>, we show that the ionization state of C<sub>70</sub> can be increased for C<sub>70</sub> molecules "solvated" on a potassium metal surface. This tendency to accept more than six electrons suggests that higher doping is possible in the C<sub>70</sub> host, as for Na<sub>10</sub>C<sub>60</sub> (Ref. 12) and the alkaline-earth-doped fullerides Ca<sub>5</sub>C<sub>60</sub> (Refs. 13 and 14) and Ba<sub>6</sub>C<sub>60</sub>.<sup>15,16</sup>

### II. EXPERIMENT

Most of the C<sub>70</sub> films studied here were  $\sim 200$  Å thick, but those used for the distillation experiments were con-

siderably thicker. All were grown on GaAs(110) substrates that were cleaved *in situ*. The growth, doping, and subsequent characterizations were performed under ultrahigh vacuum conditions. C<sub>70</sub> was evaporated from a tantalum boat ~10 cm from the GaAs surface. The deposition rate, 0.5–1 monolayers per minute, was monitored by a quartz-crystal oscillator. The substrate was held at ~450 K during film growth. Scanning tunneling microscopy studies<sup>17</sup> of C<sub>70</sub> samples grown under these conditions showed a close-packed surface at room temperature with at least 400×400-Å<sup>2</sup> domains. Low-energy electron-diffraction measurements at 300 K showed a 1×1 hexagonal pattern, and measurements at 40 K (Ref. 18) revealed a doubling of the unit cell, as observed with electron<sup>7</sup> and x-ray<sup>8,9</sup> diffraction.

These C<sub>70</sub> films were doped at ~450 K by exposure to a potassium flux from thoroughly degassed SAES getter sources. The doping concentrations were determined from K 2*p* and C 1*s* core-level intensities, taking into consideration the calculated cross sections<sup>19</sup> for the photoemission process. A tungsten filament was used as a heater during the growth, doping, and subsequent distillation. The distillation procedures were done by heating *in vacuo* to desorb either C<sub>70</sub> or K. Core-level measurements as a function of time at temperatures of 495–650 K showed the changes in stoichiometry with stabilization when distinct phase boundaries were reached. Temperatures were determined with a chromel-alumel thermocouple attached to the sample holder. The estimated uncertainty in absolute sample temperature was ±25° for measurements done at ~425 K or above. This is a very conservative estimate that considers possible errors related to positioning of thermocouples. The reproducibility in temperature was much better, ±2 K. Cooling to 40 K was done using a closed-cycle helium refrigerator. The measurement to maximize the ionization state were performed after C<sub>70</sub> was deposited on a clean K metal surface at 40 K. Under these conditions, the formation of an ordered C<sub>70</sub> array was inhibited and K ions could “solve” the C<sub>70</sub> molecules.

The valence-band photoemission measurements were performed using a He lamp ( $h\nu=21.2$  eV). The data were corrected for the contribution from He I satellite radiation. Photoemitted electrons were energy analyzed with a double-pass cylindrical mirror analyzer. The energy resolution was 60 meV. High-resolution core-level studies were performed using monochromatized Al K $\alpha$  radiation ( $h\nu=1486.6$  eV) with an energy resolution of 600 meV. Angle-dependent results were obtained by tilting the sample relative to the acceptance aperture of the hemispherical analyzer. Lower-resolution studies done in parallel with the valence-band investigations used non-monochromatic Al K $\alpha$  radiation to determine stoichiometries. All spectra are referenced to the Fermi level of the grounded spectrometers,  $E_F$ .

Particular attention was paid to the possibility of “surface” effects. For  $x \leq 1$  and  $x \geq 4$ , there were no differences, within experimental error, in stoichiometries deduced from x-ray photoemission measurements done with takeoff angles of 75° and 15°, although this should change the probe depth by a factor of ~4. Likewise, the

emission from the C<sub>70</sub> states occupied during doping scaled with the core-level results. For  $1 < x < 4$ , however, the surface-sensitive valence-band results and the grazing emission core-level results showed an apparent K deficiency relative to that deduced from the bulk sensitive measurements. The largest difference occurred at  $x=3$ , where the surface sensitive results gave  $x$  values that were lower by ~30%. This difference can be related to the microscopic structure of the surface itself. As we will discuss below, cycling through the eutectoid temperature for  $1 < x < 4$  induces crystallographic transformations and phase separations. This would influence the microstructure, reducing grain sizes and introducing defects.<sup>20,21</sup>

### III. RESULTS AND DISCUSSION

#### A. Phase formation at 300 K

In Fig. 1 we show a series of K 2*p* core-level spectra acquired at room temperature. For the spectrum at  $x=0.4$ , the background contribution from the C 1*s* satellites has been subtracted.<sup>4</sup> (The C 1*s* spectra are shown below.) At the lowest doping, a spin-orbit split 2*p*<sub>3/2,1/2</sub> doublet appears at 295.2 and 298.0 eV. By analogy to K-C<sub>60</sub>,<sup>10,11</sup> this doublet can be attributed to K ions in

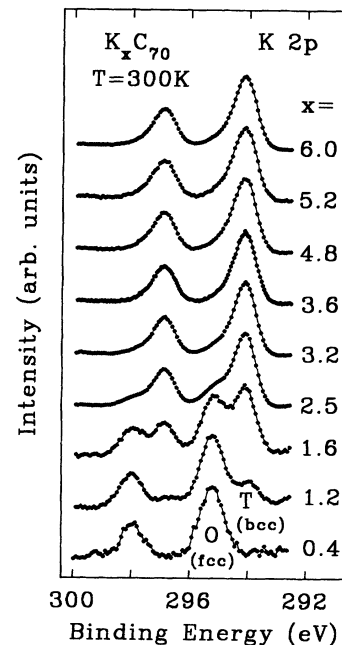


FIG. 1. K 2*p* core-level spectra for K<sub>x</sub>C<sub>70</sub>, measured at 300 K and normalized in height. Doping first results in K ions in pseudo-octahedral sites of the fcc-based C<sub>70</sub> lattice, labeled O, as K<sub>1</sub>C<sub>70</sub> forms with 2*p*<sub>1/2,3/2</sub> features at 298.0 and 295.2 eV, respectively. A second doublet, labeled T, develops at the expense of the first when  $x$  exceeds 1 because a bcc-based K<sub>4</sub>C<sub>70</sub> nucleates and grows. For  $x$  between ~1 and ~4, the spectra represent a superposition of the two phases in a ratio corresponding to the lever rule. Doping beyond 4 gives rise to K interstitials in the K<sub>4</sub>C<sub>70</sub> lattice, and ultimately to K<sub>6</sub>C<sub>70</sub> formation.

pseudo-octahedral sites of the close-packed  $C_{70}$  lattice. This analogy is justified by the likelihood that the Madelung potentials of these sites are comparable to those of  $C_{60}$  and the two fullerenes have approximately equal polarizabilities. These sites have lower symmetry than in  $C_{60}$  because of the lower symmetry of the  $C_{70}$  molecules themselves,<sup>22</sup> and are "pseudo-octahedral." The K binding energies are slightly higher than in  $C_{60}$  because of the larger lattice constant.<sup>8,9</sup> This interstitial site filling should be independent of whether the starting phase of  $C_{70}$  had face-centered-cubic (fcc) or hexagonal-closed-packed (hcp) symmetry<sup>8,9</sup> at the growth temperature because pseudo-octahedral sites are present and, in terms of their first coordination shell with  $C_{70}$ , they are identical in both structures. From geometric considerations, the octahedral site diameter is 2.07 Å at room temperature based on the lattice constant and the molecular orientation.<sup>8,9</sup>

The exclusive filling of octahedral sites for  $x \leq 1$  implies that a  $K_1C_{70}$  phase is formed at room temperature. This structure is analogous to the  $Rb_1C_{60}$  and  $Cs_1C_{60}$  phases at 300 K,<sup>10</sup> as well as  $Cs_1C_{84}$ .<sup>23</sup> It is also analogous to the  $K_1C_{60}$  phase that is an equilibrium phase only above 425 K.<sup>11</sup>

A second K  $2p$  doublet develops at 294.1 and 297.0 eV when  $x$  exceeds 1, as pseudotetrahedral site occupancy becomes necessary. Figure 1 shows that this tetrahedral feature grows while emission from the octahedral site decreases. Emission from the octahedral sites is lost by  $x \sim 4$ . This behavior is very different from what has been observed in either K- $C_{60}$  or K- $C_{84}$  at 300 K (Refs. 10, 11, and 23) because both had  $x=3$  phases that nucleated after the terminal solubility of the solid solution phase was exceeded. In those cases, there was a fixed ratio of  $\sim 2:1$  in the emission intensities for ions in the tetrahedral and octahedral sites for  $0 < x \leq 3$  because the  $x=3$  phase was fcc derived.<sup>10,11,23</sup> Further analysis of Fig. 1 indicates that the energy difference between the "octahedral" and "tetrahedral" features is smaller than observed for  $K_3C_{60}$ .<sup>10</sup> Instead, it agrees with the difference observed for K ions in octahedral sites of  $K_1C_{60}$  and tetrahedral sites of  $K_4C_{60}$ .<sup>10,11</sup> We conclude that doping beyond  $x=1$  at 300 K results in the formation of  $K_4C_{70}$  with a body-centered-derived structure.<sup>24</sup> The K- $C_{70}$  results are analogous to what was seen in Cs- $C_{60}$ , a system characterized by the formation of  $Cs_1C_{60}$  and  $Cs_4C_{60}$  and the absence of an  $x=3$  phase at room temperature.<sup>10</sup>

Figure 2 presents a provisional phase diagram for the K- $C_{70}$  system. Although photoemission is a surface-sensitive technique, the x-ray photoemission spectroscopy (XPS) results presented here for the K  $2p$  and C  $1s$  photoelectrons cover a probe depth of  $\sim 75$  Å. Previous XPS results for  $C_{60}$  fullerenes have been consistent with bulk structural data.<sup>4,10</sup> For K- $C_{60}$ , those results were used to demonstrate the existence of a eutectoid transformation involving bulk  $K_1C_{60}$ ,  $K_3C_{60}$ , and solid solution phases.<sup>11</sup> The above discussion of the K  $2p$  core-level results allows us to establish much of the behavior at 300 K. Other portions are deduced from results presented below. At

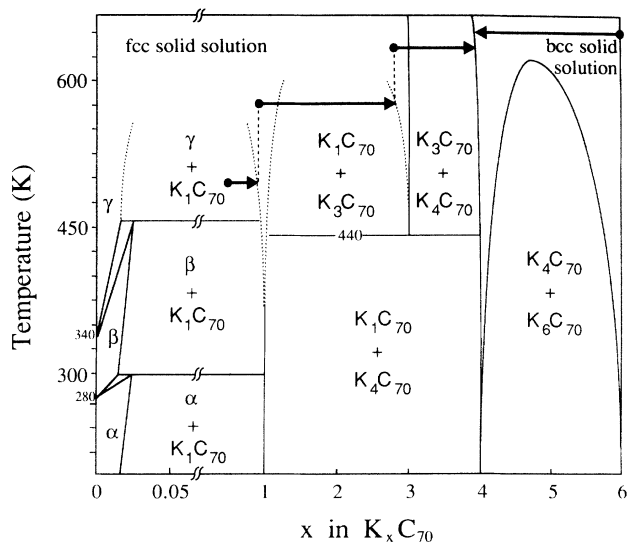


FIG. 2. Provisional phase diagram of K- $C_{70}$  based on the results presented here for K incorporation and values from the literature for the transformation temperatures between the aligned-and-frozen, aligned-but-rotating, and tumbling phases. Dilute doping stabilizes these ordered phases, raising their transition temperatures and defining the  $\alpha$ ,  $\beta$ , and  $\gamma$ -solid solution fields. These fields are shown to terminate at classical peritectoid transformations, although the exact stoichiometries and temperatures are not known. At higher doping levels, there is coexistence with  $K_1C_{70}$ . For  $x > 1$ , the phase diagram shows separation into  $K_1C_{70}$  and  $K_4C_{70}$  below a eutectoid temperature of 440 K and the formation of  $K_3C_{70}$  above 440 K. The implications of this  $K_3C_{70} \rightleftharpoons K_1C_{70} + K_4C_{70}$  transformation are discussed in the text. Doping beyond about 4 produces another two-phase region, the character of which depends on temperature. A high ordering tendency of the K ions in the tetrahedral sites would raise the miscibility gap. The horizontal arrows depict distillation pathways with terminal stoichiometries determined from core-level intensities.

300 K, we conclude that  $K_1C_{70}$  and  $K_4C_{70}$  are the equilibrium phases. For  $1 < x < 4$ , there will be coexistence with the relative amounts of the phases dictated by equilibrium thermodynamics. Accordingly, the spectral results of Fig. 1 represent the superposition of contributions from those phases for  $1 < x < 4$ . This is consistent with the observation that the two K  $2p$  doublets in Fig. 1 have equal intensities at  $x=1.6$ , as required by the lever rule at this doping level. Indeed, fitting of the core-level spectra throughout this range supports this equilibrium picture.

Figure 1 shows that there were no changes in spectral shape in the K  $2p$  spectra for doping beyond  $x=4$ , as for K- $C_{60}$  where all of the ions were in tetrahedral sites in the bcc-based lattice for  $x > 4$ .<sup>10</sup> Doping beyond  $x=6$  resulted in the accumulation of a surface species with potassium-oxygen bonding, as was evident from the appearance of O  $1s$  core-level emission. Under such conditions, x-ray photoemission measurements at grazing emission showed a buildup of K on the surface, bonded to oxygen. No surface excess was evident in angle-dependent studies at lower stoichiometry.

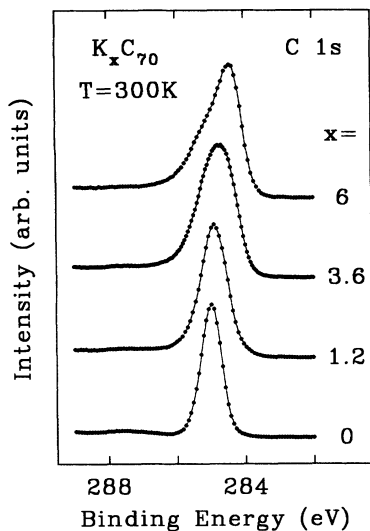


FIG. 3. Normalized C 1s photoemission spectra for  $K_x C_{70}$ . The spectrum for  $x=0$  represents pure  $C_{70}$  at 300 K with a binding energy of 285 eV and a full width that suggests almost equivalent C sites. The spectrum for  $x=1.2$  represents the  $K_1 C_{70}$  phase with broadening due to inequivalent C atoms because of the filling of the pseudo-octahedral sites. For  $x=3.6$ , a nearly pure  $K_4 C_{70}$  sample, the C 1s core level is slightly shifted to lower binding energies and considerably broadened. Doping beyond  $x=4$  produces an asymmetric C 1s spectrum that suggests a reduced symmetry for the  $K_6 C_{70}$  phase.

Additional information can be obtained by considering the C 1s core-level spectra of Fig. 3. The spectrum for undoped  $C_{70}$  is shown at the bottom with a full width at half maximum of 0.7 eV and centered at 285-eV binding energy. Doping to about  $x=1$  led to a peak shift to 284.9 eV and a broadening (width  $\sim 1.0$  eV). The lower binding energy reflects the change due to the adjacent  $K^+$  ions and the screening of C 1s holes by the additional electron on the  $C_{70}$  molecule in  $K_1 C_{70}$ . The larger width indicates that the C atoms become more inequivalent due to the filling of the pseudo-octahedral sites. Doping beyond  $x=1$  resulted in a slight shift in binding energy and considerable broadening ( $\sim 1.3$  eV at  $x=3.6$ , a sample that would be  $\sim 90\%$   $K_4 C_{70}$ ). The C 1s level was markedly asymmetric for  $x > 4$ , suggesting reduced lattice symmetry for the  $K_6 C_{70}$  phase. It must remain for diffraction analyses to determine the symmetries of the phases, but the spectroscopic evidence demonstrates their stoichiometries.

#### B. Temperature dependencies and a eutectoid transformation

Core-level photoemission studies conducted as a function of temperature and composition for  $1 \leq x \leq 4$  make it possible to determine changes in site occupancy for the K ions. In this way, it is possible to demonstrate phase transformations, as was done by Poirier and Weaver<sup>11</sup> for K-C<sub>60</sub>. Their work showed an invariant transformation, written  $K_1 C_{60} \rightleftharpoons C_{60}(\text{solid solution}) + K_3 C_{60}$ , where the eutectoid temperature was 425 K, in agreement with temperature-dependent Raman spectroscopy studies of

$K_x C_{60}$  (Ref. 25) and reanalysis of x-ray data.<sup>26,27</sup> Here,  $K_3 C_{70}$  was not stable at 300 K, but we suspected that it might be stable at higher temperature.

Figure 4 shows K 2p core-level results for  $K_{2.5} C_{70}$  obtained at 300 and 450 K. The lower-temperature results are characteristic of  $K_1 C_{70}$  and  $K_4 C_{70}$  in a ratio of 1:1 for  $K_{2.5} C_{70}$ . Line-shape decomposition shows that the intensity ratio between tetrahedral and octahedral features was  $\sim 4$  at 300 K, with tetrahedral emission representing ions in bcc-based  $K_4 C_{70}$ , and octahedral emission corresponding to fcc-based  $K_1 C_{70}$ . Heating to 450 K changed the tetrahedral-to-octahedral ratio to  $\sim 1.5$  and shifted the energy position of the tetrahedral feature to that expected for a fcc-based structure.<sup>10</sup> We note that a change due to lattice expansion would lead to a shift in the opposite direction. Heating to higher temperatures had no effect beyond thermal broadening and, ultimately, distillation (discussed below). Cooling to 300 K restored the signature shown. Measurements for samples of lower or higher stoichiometry produced analogous behaviors, with differences related to the relative amounts of the phases present. For a sample with  $x$  very near 3 obtained by distillation, the low-temperature structure was again two phase, but high-temperature results showed a tetrahedral-to-octahedral ratio of nearly 2, the value expected for ions distributed in an  $A_3 C_{60}$ -like lattice. Together, these results demonstrate that there is a eutectoid reaction that dictates the transformation from one phase ( $K_3 C_{70}$ ) into two ( $K_1 C_{70} + K_4 C_{70}$ ) upon cooling through the invariant temperature of 440 K, as depicted in Fig. 2.

We propose that  $K_3 C_{70}$  is unstable at low temperature because the pseudotetrahedral sites are reduced in size by the alignment of the  $C_{70}$  molecules. In particular, the "top" molecule of a  $C_{70}$  tetrahedron would be directed toward this interstitial site. This could lead to a higher formation energy for a fcc-type  $K_3 C_{70}$  structure compared to a bct-like  $K_4 C_{70}$  structure. Above 440 K,

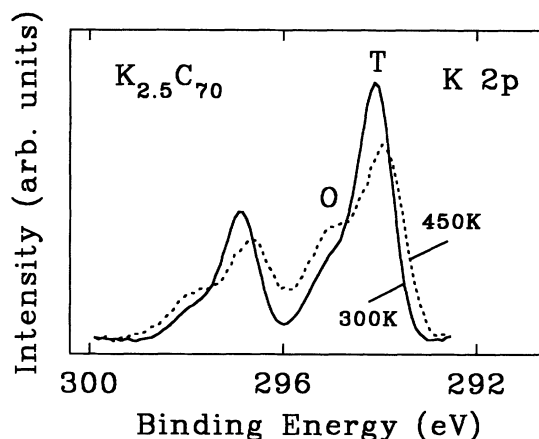


FIG. 4. K 2p core-level spectra for  $K_{2.5} C_{70}$  measured at 300 and 450 K. These results, which are representative of those for  $1 < x < 4$ , show a transformation from a  $K_3 C_{70}$  phase (with tetrahedral and octahedral site occupancy in the fcc-based lattice with a 2 to 1 ratio) to a mixed-phase regime,  $K_1 C_{70} + K_4 C_{70}$ , upon cooling. The binding energies for the K ions are distinctly different in the fcc- and bcc-based structures.

thermally activated libration of  $C_{70}$  molecules may lead to a structure that has an averaged fcc symmetry with the tetrahedral sites enlarged compared to lower temperatures. This might favor the formation of  $K_3C_{70}$ , as in  $K_3C_{60}$  and  $K_3C_{84}$ .<sup>10,11,13</sup>

### C. Distillation studies

Distillation studies that involve heat treatments and preferential desorption make it possible to obtain isothermal cuts across the phase diagram. Isothermal distillation takes advantage of the difference in vapor pressure for the components of a single-phase sample or the difference in vapor pressure for the two phases in a coexistence region. Although complex to model on an atomic or molecular scale, fulleride distillation proceeds until a single phase is reached that is stable.<sup>28</sup> Here, distillation experiments for K- $C_{70}$  allow us to confirm the formation of  $K_1C_{70}$ ,  $K_3C_{70}$ , and  $K_4C_{70}$ , and to identify some of the high-temperature phase boundaries.

Figure 5 shows the results of distillation experiments for  $K_xC_{70}$ . The data points represent measured compositions as a function of time at the temperatures indicated. The lines were added as a guide to the eye. The bottom curve shows the changes in composition of an 800-Å-thick film that had an initial stoichiometry of  $K_{0.5}C_{70}$  as it was held at 495 K *in vacuo*, then 575 K, and finally 635 K. The measured compositions at saturation are close to  $K_1C_{70}$ ,  $K_3C_{70}$ , and  $K_4C_{70}$ . The corresponding distillation pathways are indicated by the arrows in Fig. 2. We emphasize that the final compositions represent phase boundaries at the given distillation temperatures, as depicted by the points of the arrows in Fig. 2. An end point of distillation can deviate substantially from an integer value at

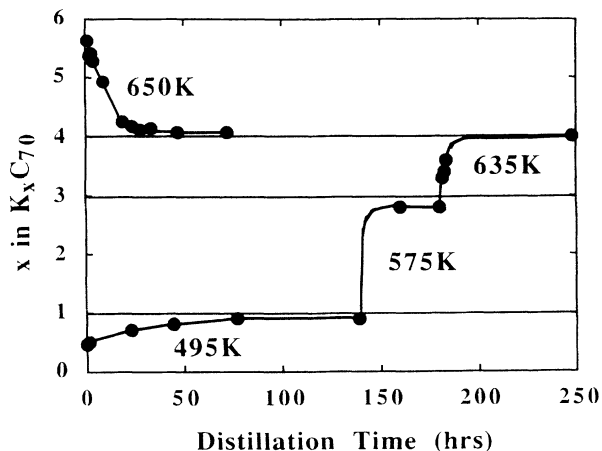


FIG. 5. Summary of results obtained during distillation of samples of initial stoichiometry of  $K_{0.5}C_{70}$  and  $K_6C_{70}$  at the temperatures given. These distillation pathways are drawn as isothermal lines in Fig. 2. For  $x < 4$ , distillation favors  $C_{70}$  sublimation until stable phase boundaries are encountered. Such behavior leads to  $K_1C_{70}$ ,  $K_3C_{70}$ , and  $K_4C_{70}$  with stoichiometry deviations that reflect the stable phase in an open thermodynamic system at the appropriate temperature. Distillation from  $x = 6$  also terminates at  $x \approx 4$  because  $K_4C_{70}$  is the most stable phase.

high temperature because it represents the thermodynamic state with the lowest free energy. Since the vacancy energy is small, this can be well away from the integer stoichiometry for an open thermodynamic system such as that represented by the distillation experiment. Once equilibrated, the films were stable against further changes at the distillation temperatures.

In Fig. 5, the increase in relative K content indicates that  $C_{70}$  evaporates when the stoichiometry is below  $\sim 4$ . In contrast, the relative K content can be reduced by distillation of a saturated  $K_6C_{70}$  film. In this case, heating to 650 K produces  $K_4C_{70}$ , as seen in the top curve. The fact that  $K_4C_{70}$  is reached by distilling from either higher or lower stoichiometry indicates that this compound is the most stable in the K- $C_{70}$  series against sublimation. Even  $K_4C_{70}$  can also be sublimed, but this occurs stoichiometrically; at 675 K the sublimation rate is  $\sim 0.2$  monolayers per hour.

Figure 2 depicts  $K_4C_{70}$  and  $K_6C_{70}$  as phases that can tolerate appreciable deviations from stoichiometry. At high temperature, above a miscibility gap, the phases merge and the tetrahedral sites would be occupied randomly. Since the width of the miscibility gap reflects the details of the ion-ion interactions, it would extend to relatively high temperature if  $K_4C_{70}$  and  $K_6C_{70}$  were well ordered. (In the limit of high ordering, the system would exhibit a different behavior. At high temperature, it would form a liquid and the liquid would transform into solids via eutectic or peritectic reactions. In our picture, we are assuming that the  $x = 4$  phase undergoes a change in lattice constant upon doping and ultimately is indistinguishable from an  $x = 6$  phase with a large number of vacancies.) If the enthalpies of formation of these phases are nearly equal, as calculations have suggested for K- $C_{60}$ ,<sup>29</sup> and they are close to the enthalpies of a random array of K ions in the interstitial sites, then a miscibility gap would be much lower. While we cannot define the top of the miscibility gap with precision, the distillation experiments at 650 and 635 K from higher and lower stoichiometries resulted in single-phase  $K_4C_{60}$ . This supports placement of the miscibility gap below  $\sim 635$  K; if it were above, one might expect two different distillation end points, terminating on the two extremes of the  $K_4C_{70}$  phase field.

### D. Solid solutions and orientational ordering

Equilibrium doping of  $C_{70}$  first produces a solid solution of K ions in the lattice, favoring octahedral sites. This is analogous to K- $C_{60}$ , where we termed the solid solution the  $\alpha$  phase and did not distinguish between solid solutions of the simple cubic phase and the fcc phase.<sup>10</sup> The differences in solution for these two phases have not yet been explored for  $C_{60}$ , but one can anticipate that the first-order transition from simple cubic (sc) to fcc pure  $C_{60}$  must be extended to a peritectoid reaction upon doping, and coexistence fields must be established. Indeed, Samara *et al.*<sup>30</sup> have found that the sc structure could be stabilized by hydrostatic pressure, finding an increase in transition temperature of  $\sim 90$  K under 8 kbar of pressure. For  $C_{70}$ , with a close-packed phase above

~340 K, a rhombohedral or distorted hcp phase between ~280 and ~340 K, and a monoclinic phase below ~280 K,<sup>8,9</sup> there must be three solid solution phases and, assuming that the transformations are first order, there must be two invariant reactions and two regions of coexistence. Since K or other impurities in the C<sub>70</sub> lattice should hinder rotation and tumbling, the ordered phases should be stabilized.

To investigate phase stabilization, we undertook valence-band photoemission studies of pure C<sub>70</sub> and K-doped C<sub>70</sub> in the dilute regime. They were motivated by the fact that Knupfer, Poirier, and Weaver<sup>18</sup> recently demonstrated that the electronic structure, and hence the photoemission spectra, were sensitive to molecular ordering. Figure 6 summarizes the results for a sample of stoichiometry  $x \cong 0.05$  measured at 40, 300, and 450 K. The inset compares the spectra for undoped and doped C<sub>70</sub> at 450 K. For undoped C<sub>70</sub>, there are distinct features at 2, 2.6, 2.9, 3.5, and 4.2 eV. Upon doping, Fig. 6 demonstrates that they shift uniformly ~0.3 eV to higher binding energy as the Fermi level is pinned at the edge of the lowest unoccupied electronic states, as for K<sub>x</sub>C<sub>60</sub>.<sup>4,31</sup> The group of levels within ~3 eV of  $E_F$  accounts for 20 electrons, and the group between ~3 and ~5 eV accounts for another 20. These occupied states are derived from nondegenerate or twofold degenerate molecular orbitals with  $\pi$  character, as discussed by An-

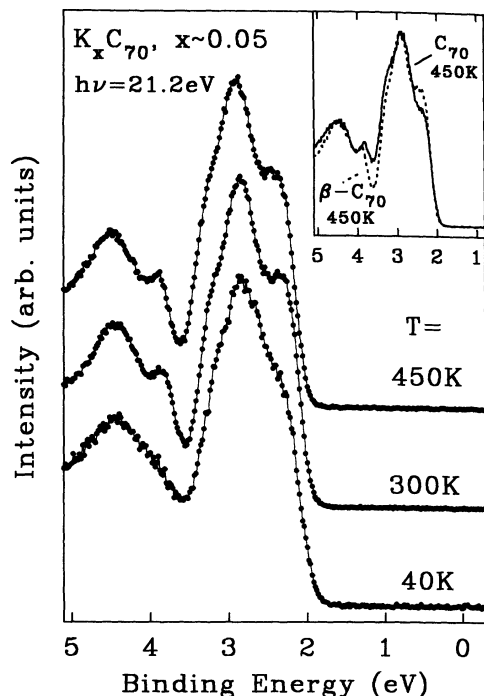


FIG. 6. Valence-band photoemission spectra for dilute doping of C<sub>70</sub> with  $x \cong 0.05$ . The low-temperature spectrum is indistinguishable from that of pure C<sub>70</sub>. The results obtained at 300 and 450 K show changes in the ~3.8-eV feature and the shoulder-peak-shoulder line shapes because of the transformation from the low-temperature phase into the high-temperature phase characterized by molecular rotation. The inset shows that spectrum for the stabilized  $\beta$ -C<sub>70</sub> phase differs substantially from that of pure C<sub>70</sub> at 450 K, i.e., from the random-tumbling state.

dreoni, Gygi, and Parrinello.<sup>32</sup> For undoped C<sub>70</sub>, cooling from the high-temperature random-tumbling phase to the aligned-but-rotating and then the aligned-but-frozen phases resulted in spectral differences that were particularly evident in the feature near 3.5 eV (~3.8 eV in Fig. 6 because of the Fermi-level shift) and the shoulder-peak-shoulder appearance of the leading manifold of states. The 3.5-eV feature was sharpest for the random-tumbling phase, and least distinct in the ordered low-temperature phase.<sup>18</sup>

Comparison of the doped and undoped results at 40 K revealed identical behavior, as expected because the temperature was far below the ordering temperature of the host. However, examination of the doped results at 300 and 450 K shows no changes other than phonon broadening, in contrast to what is found for undoped C<sub>70</sub>.<sup>18</sup> In the inset of Fig. 6 we compare the doped and undoped results measured at 450 K. (The spectrum for pure C<sub>70</sub> is shifted to compensate for the Fermi-level shift.) The two curves differ sharply, especially at ~2.3 and ~3.8 eV. This indicates that the ordering of the C<sub>70</sub> molecules is different in the two samples. Moreover, the curve for the slightly doped sample at 450 K is nearly identical to that of pure C<sub>70</sub> at room temperature.<sup>18</sup> We conclude that dilute doping of C<sub>70</sub> stabilizes the aligned-but-rotating phase to at least 450 K, preventing the molecules from undergoing random tumbling. Modest doping of C<sub>70</sub> then has a comparable effect as modest hydrostatic pressure for C<sub>60</sub> (Ref. 30) with respect to the tumbling transition. Unfortunately, heating above ~450 K resulted in distillation and prevented further investigation.

To take into account the three distinct phases for pure C<sub>70</sub> and the stabilization induced by dilute doping, in Fig. 2 we sketch a provisional low  $x$ -phase behavior that is consistent with elementary first-order thermodynamics. The transitions for pure C<sub>70</sub> are taken to occur at 280 and 340 K, but these temperatures represent averages from the literature<sup>7-9</sup> and may be refined. Solid solutions formed from the three pure phases are labeled  $\alpha$ ,  $\beta$ , and  $\gamma$ . The transition temperatures will increase with doping until the solubility limits are reached. The  $\beta$ - $\gamma$  transition occurs above ~450 K at the solubility limit, as denoted by the horizontal line at about 455 K. The peritectoid transformation from  $\gamma$ -C<sub>70</sub> plus K<sub>x</sub>C<sub>70</sub> into  $\beta$ -C<sub>70</sub> then necessitates coexistence fields, as sketched, although the positions of the phase boundaries remain to be determined. For the  $\alpha$ - $\beta$  transition, we expect a corresponding increase in temperature upon doping, but the data indicate that it remains below room temperature at the solubility limit. The fact that the  $\beta$ - $\gamma$  transition is stabilized more than the  $\alpha$ - $\beta$  transition is consistent with the expectation that free tumbling of a "rugby ball" in a lattice is more easily frustrated than spinning. Pressure-dependent studies of pure C<sub>70</sub> and further dopant-dependent investigations are clearly needed. As a caution, we note that unintentional "doping" with impurities is likely to raise the transition temperatures.

#### E. Comparisons of K<sub>x</sub>C<sub>70</sub> with A<sub>x</sub>C<sub>60</sub>

The phase diagram of Fig. 2 indicates that doping beyond the terminal solid solubilities for  $\alpha$ ,  $\beta$ , and  $\gamma$

phases will nucleate the  $K_1C_{70}$  phase. Doping beyond  $x=1$  below 440 K will result in  $K_4C_{70}$  nucleation and coexistence with  $K_1C_{70}$ . Doping between  $x=1$  and 3 above 440 K will produce  $K_3C_{70}$  with no  $K_4C_{70}$  formation until  $x$  exceeds 3. Finally,  $K_6C_{70}$  will be formed and saturation doping will be achieved at  $x=6$ . While the proposed phase diagram specifies stoichiometries, it does not contain the details of the various crystal structures. In particular, the crystal symmetry of the phases might be lower than the respective  $K_xC_{60}$  phases since  $C_{70}$  itself has a lower molecular symmetry. Such structural detail must await diffraction experiments.

The differences in the  $K-C_{60}$  and  $K-C_{70}$  phase behaviors can be examined through Fig. 7, where we depict very schematically the Gibbs free energies  $G$  as a function of stoichiometry for the phases that have been reported. The phase diagram represents a collection of such sketches, each obtained at a different temperature.  $K_4C_{70}$  is always the most stable, and  $G$  is drawn accordingly. Coexistence of  $K_4C_{70}$  and  $K_6C_{70}$  is reflected by the tie line for  $x=4$  and 6. At the left, the solid solution phases are depicted as a single curve, although there should be separate curves for the  $\alpha$ ,  $\beta$ , and  $\gamma$  solutions. The relative values for the minima in  $G$  for  $K_1C_{70}$  and  $K_3C_{70}$  depend on temperature. Below 440 K, the free-energy minimum for  $K_3C_{70}$  falls above the tangent line drawn between  $K_1C_{70}$  and  $K_4C_{70}$ , and it is unstable. Above 440 K,  $G$  lies lower and new tangent lines that intersect  $K_3C_{70}$  must be included, as drawn by dashed lines. Three phases will coexist when a common tangent line can be drawn and conditions are established for a eutectoid ( $x=1,3,4$ ) or peritectoid ( $\alpha,\beta,1$  or  $\beta,\gamma,1$ ) reaction.

Studies of the fullerides of  $C_{60}$ , and now  $C_{70}$ , have shown that changes in the host-guest pairing alter the relative stabilities of the  $A_1C_n$  and  $A_3C_n$  phases for  $A=K, Rb,$  and  $Cs$ , as can be understood from the free-energy sketches in Fig. 7. For example,  $K_1C_{60}$  is stable above 425 K, an effect related to rotation of the fullerenes that reduces the size of the tetrahedral sites so that  $K_3C_{60}$  is then destabilized relative to  $K_1C_{60}$  for  $0 < x \leq 1$ . Replac-

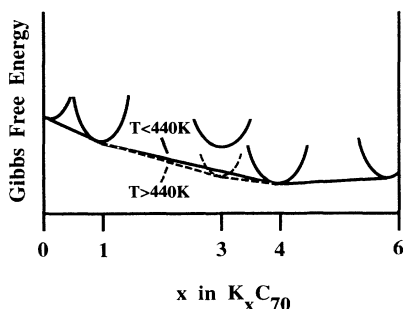


FIG. 7. Schematic plots of the Gibbs free energies for the solid solution phase and the  $K_1C_{70}$ ,  $K_3C_{70}$ ,  $K_4C_{70}$ , and  $K_6C_{70}$  phases. Thermodynamic considerations stipulate that phases connected by tie lines, as drawn, will coexist for intermediate stoichiometries. For the temperatures associated with the solid lines, these correspond to  $\alpha+1$ ,  $1+4$ , and  $4+6$ . Changes in temperature shift the free-energy curves. The dashed lines depict conditions under which  $K_3C_{70}$  is stable, as is the case when  $T > 440$  K (Fig. 2).

ing  $K$  by a larger ion,  $Rb$ , stabilizes the  $A_1C_{60}$  phase at all temperatures for  $x \leq 1$ . Substituting  $Cs$  for  $K$  destabilizes the  $A_3C_{60}$  phase altogether, giving a phase diagram at 300 K that is similar to Fig. 2. It remains to be seen whether  $Cs_3C_{60}$  is stable at elevated temperature, but we speculate that it is. We also speculate that substitution of  $Rb$  or  $Cs$  for  $K$  in  $C_{70}$  will produce  $x=1$  phases and will raise the eutectoid temperature above 440 K.

Finally, we note that the eutectoid reaction that links (bcc-based)  $K_4C_{70}$  to (fcc-based)  $K_1C_{70}$  and (fcc-based)  $K_3C_{70}$  will be sluggish because the change in crystal structure introduces a free-energy barrier against nucleation of the nascent phase. Accordingly, one must be careful to avoid quenching in the high-temperature phase, and should be aware of the implications of cycling through the eutectoid temperature as far as the microstructure is concerned.<sup>20</sup> Both effects will be important in analyzing diffraction data, particularly for powder samples.

#### F. Fulleride metallicity

Figure 8 shows photoemission valence-band spectra for  $K_xC_{70}$  measured at 450 K, so as to examine the propensity of each fulleride phase, including  $K_3C_{70}$ , to exhibit emission from states at the Fermi level. This would be a

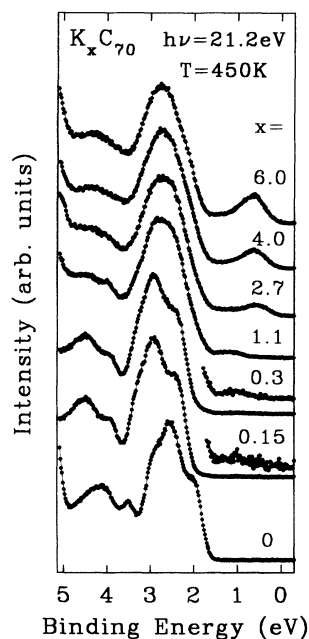


FIG. 8. Photoemission valence-band spectra for  $K_xC_{70}$  at 450 K, a temperature that stabilizes  $x=1, 3, 4,$  and  $6$  phases. The initial shift observed upon dilute doping corresponds to Fermi-level pinning at the conduction-band minimum (transport band gap 2.1 eV). Dilute doping also stabilizes the aligned-but-rotating  $\beta$  phase at this temperature. The spectrum for  $x=1.1$  shows that the  $K_1C_{70}$  phase has a gap of at least 0.7 eV, and with a LUMO-derived feature centered 1.3 eV below  $E_F$ . The gap is related to reduced crystal symmetry and the effects of electron correlation. A gap is also observed for  $x \approx 3$  but it is small. The fullerides with  $x=4$  and  $6$  also show no emission at  $E_F$ .

necessary, but not sufficient, condition for metallic character. The bottom curve represents the high-temperature phase of pure C<sub>70</sub>. As discussed above, dilute doping stabilizes the aligned-but-rotating  $\beta$  phase and shifts the valence bands by  $\sim 0.3$  eV as  $E_F$  is pinned at the conduction-band minimum. The energy separation between the valence-band maximum, taken as the onset of emission, and the pinned Fermi level represents the band gap of C<sub>70</sub>. This energy, 2.1 eV, is in excellent agreement with that deduced from combined photoemission and inverse photoemission experiments.<sup>4</sup> It corresponds to the energy needed to create an isolated electron and an isolated hole, corresponding to  $N - 1$  and  $N + 1$  final states of the molecular solid with account taken of the effects of electron correlation. Knupfer, Poirier, and Weaver<sup>18</sup> have shown that the electron correlation energy  $U$  for C<sub>70</sub> is  $\sim 1$  eV. Correlation effects in C<sub>60</sub> have been discussed by several authors.<sup>33-36</sup> The transport gap exceeds the optical gap<sup>37</sup> because the low-energy optical transitions create electron-hole pairs that are bound on a molecule as an exciton.

The spectrum for  $x = 1.1$  represents the valence band of K<sub>1</sub>C<sub>70</sub>, although with a small amount of K<sub>3</sub>C<sub>70</sub>. For it, the main feature centered at  $\sim 2.7$  eV and the feature at  $\sim 4.5$  eV are broader than in pristine C<sub>70</sub>. Cooling to 300 and to 40 K produced slightly sharper structures, but there were no other effects. Significantly, the onset of the leading feature of K<sub>1</sub>C<sub>70</sub> is 0.7 eV below  $E_F$ . This feature, centered at  $\sim 1.3$  eV, is K induced and reflects states derived from the lowest unoccupied molecular orbital (LUMO) of C<sub>70</sub>, a singly degenerate  $a_1''$  orbital.<sup>32</sup> Similar placement of the first alkali-metal-induced valence-band feature has been reported in Refs. 3 and 4. Its energy position is remarkable because, in an independent particle picture, a system with one valence electron in a primitive unit cell should have a half-filled band that is cut by the Fermi level.

We propose that the width of the LUMO-derived band for K<sub>1</sub>C<sub>70</sub> and the  $\sim 0.7$ -eV gap reflect a combination of two factors, namely band splitting due to reduced crystal lattice symmetry, and electron-electron correlation. For band splitting to be important, one must consider a non-cubic structure of K<sub>1</sub>C<sub>70</sub>, the likelihood of an expanded unit cell, and the folding back of the Brillouin zone. Such symmetry lowering would not be surprising given the oblong shape of C<sub>70</sub> and the doubling of the unit cell observed for C<sub>70</sub> at low temperature.<sup>7-9</sup> For correlation to be important, the on-site correlation energy for K<sub>1</sub>C<sub>70</sub> must be non-negligible, an effect that is reasonable given that  $U \sim 1$  eV for C<sub>70</sub>.<sup>18</sup>

Figure 9 compares the emission features near  $E_F$  for K<sub>1</sub>C<sub>70</sub> and the more symmetric isoelectronic Rb<sub>1</sub>C<sub>60</sub> compound. For Rb<sub>1</sub>C<sub>60</sub>, independent particle band calculations (rocksalt structures) predict a narrow occupied band<sup>38</sup> (full width  $< 0.2$  eV), while experiment<sup>34</sup> shows the band to be much larger. As discussed by Benning, Stepniak, and Weaver,<sup>34</sup> the broadening reflects electron correlation. Stepniak *et al.*<sup>35</sup> noted that the temperature dependence of the resistivity for Rb<sub>1</sub>C<sub>60</sub> indicates activated transport, implying localization of the states at  $E_F$ .

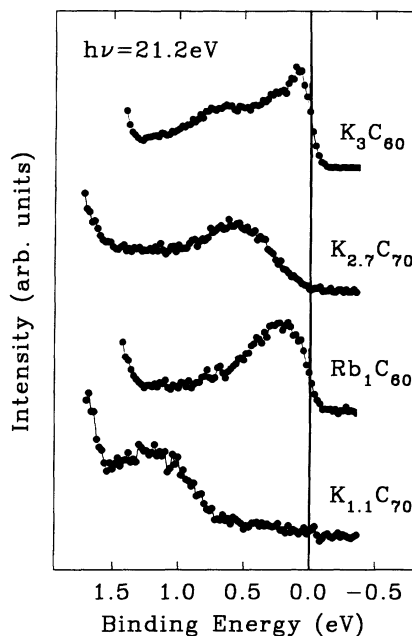


FIG. 9. Comparison of photoemission spectra for K<sub>1.1</sub>C<sub>70</sub> and Rb<sub>1</sub>C<sub>60</sub>. In K<sub>1.1</sub>C<sub>70</sub> there is a contribution of the K<sub>3</sub>C<sub>70</sub> phase between  $E_F$  and 0.7 eV. K<sub>1</sub>C<sub>70</sub> is insulating, despite integer filling, because of a contribution of reduced symmetry of the lattice and electron correlation. In contrast, Rb<sub>1</sub>C<sub>60</sub> shows states at  $E_F$  but with pronounced broadening of the partially occupied LUMO band. K<sub>2.7</sub>C<sub>70</sub> exhibits a broad band of emission centered at  $\sim 0.5$  eV with the Fermi level at the band edge. This suggests that K<sub>3</sub>C<sub>70</sub> is a narrow-gap material. In contrast, K<sub>3</sub>C<sub>60</sub> exhibits emission at  $E_F$ .

Benning, Stepniak, and Weaver<sup>34</sup> suggested that the location of the peak in the LUMO band well below  $E_F$  for Rb<sub>1</sub>C<sub>60</sub> was consistent with a pseudogap. With increased  $U$  relative to the bandwidth, this gap would become larger and a Mott-Hubbard-like splitting would be observed. For K<sub>1</sub>C<sub>70</sub>, we see that the LUMO band centered at  $\sim 1.3$  eV is again very broad, suggestive of electron correlation. (In Fig. 9, there is also weak emission between this band and  $E_F$  because the stoichiometry exceeded 1, and K<sub>3</sub>C<sub>70</sub> was beginning to form.) While it is tempting to attribute the full development of a gap to Mott-Hubbard behavior, the size of the gap, 0.7 eV, is very large compared to  $U$ . This suggests that the K<sub>1</sub>C<sub>70</sub> phase has a ground-state gap because of reduction in the symmetry of the crystal lattice, and the gap measured with photoemission is increased by electron removal for a correlated system.

The spectrum in Fig. 8 for  $x = 2.7$  at 450 K represents the valence band of K<sub>3</sub>C<sub>70</sub>. Significantly, an additional feature due to occupation of the LUMO + 1 band appears at  $\sim 0.5$  eV, and this is emphasized in Fig. 9. Despite odd-integer doping, we again find no evidence of emission at the Fermi level. Comparison to the spectrum for K<sub>3</sub>C<sub>60</sub> (Fig. 9) shows important differences. For the latter, there is a distinct peak at  $E_F$  and there is structure at  $\sim 0.3$  eV that has been attributed to vibronic coupling



during electron excitation. The broad feature at  $\sim 0.7$  eV has been related by Benning *et al.*<sup>36</sup> to the incoherent part of the spectral function, and by Knupfer *et al.*<sup>39</sup> to coupling to an intrinsic charge-carrier plasmon during electron excitation. For  $K_3C_{70}$ ,  $E_F$  lies at the edge of the band derived from the LUMO+1 orbital. The LUMO+1 band is very broad, comparable to  $K_3C_{60}$ , an effect we again attribute to correlation. The difference is that  $K_3C_{70}$  is not metallic, though the gap appears to be small. Again, the formation of the gap probably reflects a continuation of Mott-Hubbard-like behavior and the reduced symmetry of the crystal lattice.

The valence-band spectrum of  $K_4C_{70}$  exhibits a single K-induced band that is centered 0.7 eV below  $E_F$  (Fig. 8). The insulating character is analogous to what has been seen for all of the  $A_4C_{60}$  fullerides where deviations from metallic character were attributed to electron correlation and the formation of Mott-Hubbard-like insulators.<sup>34,36</sup> Figure 8 shows that continued doping to  $x=6$  increases the intensity of the LUMO feature without introducing new features, as for the  $C_{60}$  fullerides.<sup>4,31,34,36</sup> A spectral shift away from  $E_F$  is generally observed in photoemission spectra as an alkali-metal fulleride is saturated to produce  $x=6$ . This results from a complete filling of the LUMO-derived bands and the movement of the Fermi level from the top of this band to the bottom of the LUMO+1 band. For  $K_6C_{70}$ , it was found that minimal annealing time at 450 K (the doping temperature) prevented this shift from occurring because K was desorbed. Thus the spectra in Figs. 1, 3, and 8 actually represent stoichiometries slightly less than 6.0. A shift as shown in Ref. 4 can be produced by doping at lower temperature or by cooling to room temperature immediately after doping to saturation. The insulating character of  $K_6C_{70}$  is expected since the  $e''_1$ - and  $a''_1$ -derived bands are filled. For  $K_6C_{70}$ , the centers of the highest occupied molecular orbital (HOMO) and LUMO bands are separated by  $\sim 2$  eV, whereas photoemission and inverse photoemission measurements for  $C_{70}$  indicate a center-to-center separation of  $\sim 3.6$  eV. Benning *et al.*<sup>36</sup> have discussed this effect by noting that the occupied states of the pure material are measured by electron removal, and empty states are studied by electron addition, creating  $N-1$  and  $N+1$  final states. For photoemission from  $K_6C_{70}$ , the LUMO is occupied and can be studied by electron removal. The effect of correlation is then obvious when the final-state configurations are different.

The results of Fig. 8 were obtained at 450 K. Cooling to 300 and to 40 K failed to give any evidence of the onset of "metallic" character or increased emission near  $E_F$ . Finally, comparison of the present data with those in the literature for K- $C_{70}$  (Ref. 4) and Rb- $C_{70}$  (Ref. 3) shows qualitative agreement with account taken of differences in the  $x$  values and the placement of the Fermi level. We note that the stoichiometries have been determined in previous studies by comparing the intensities of the HOMO and LUMO features, assuming constant photoionization cross sections,<sup>4</sup> or by thickness monitor readings during deposition.<sup>3</sup> Both methods are less accurate than direct measurements of the core-level intensities and binding energies. Electron-energy-loss studies of  $Rb_xC_{70}$

also found that none of the phases between  $0 \leq x \leq 6$  is metallic.<sup>5</sup> The metallic  $K_4C_{70}$  phase deduced from electron-spin-resonance investigations<sup>6</sup> can probably be explained by a small contamination of the samples with  $C_{60}$  (and  $K_3C_{60}$ ), as mentioned in Ref. 6.

### G. Charge transfer to single molecules

For solid K- $C_{70}$ , saturation occurs at  $x=6$ . This saturation of charge transfer need not be intrinsic to the molecule but could reflect maximum filling of the interstitial lattice sites. Previously, we have investigated higher coordination of ions with  $C_{60}$  by depositing onto an alkali-metal surface at 40 K. Under those conditions, we found partial filling of the  $t_{1g}$  band of  $C_{60}$  as a charge state of  $8^-$  was achieved.<sup>34</sup> Figure 10 shows the result of equivalent  $C_{70}$  deposition onto K metal, with comparison to saturated  $K_6C_{70}$ . The spectra are normalized to the intensity of the feature located near 2.8 eV. The intensity between 0 and  $\sim 1.9$  eV is much higher for  $C_{70}$  deposition onto K, and there is a significant change in line shape with an additional feature appearing at  $\sim 0.3$  eV. These results indicate the filling of additional unoccupied states of  $C_{70}$ . Moreover, the  $\sim 2.8$  eV feature is narrower for  $C_{70}$  on K and is shifted slightly to higher binding energy. The different shifts indicate that further electron transfer to  $C_{70}$  causes a rearrangement of the electronic structure. Adding more  $C_{70}$  ultimately results in a photoemission spectrum equivalent to  $K_6C_{70}$  as the coordination number is progressively diluted in this metastable structure.

We can estimate the K- $C_{70}$  coordination by assuming equal photoionization cross sections for the  $a''_1$ ,  $e''_1$ , and additional states. Comparison of intensities within 1.9 eV of  $E_F$  yields an effective charge transfer of  $\sim 12$  electrons to the  $C_{70}$  molecules on K metal. We envision this

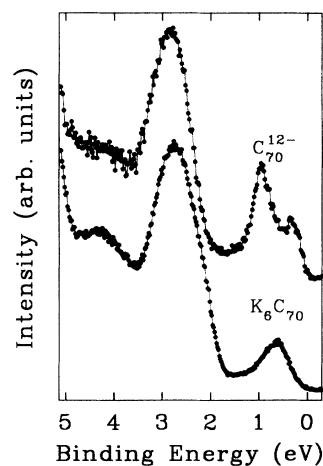


FIG. 10. Comparison of the photoemission spectrum for  $K_6C_{70}$  and for  $C_{70}$  deposited on a potassium metal surface at 40 K. The higher intensity of the leading feature and the shift to higher binding energies for the upper curve shows that more than six electrons have been transferred to the  $C_{70}$  molecules. We emphasize that the enhanced charge transfer exists because the K ions "solvate" the  $C_{70}$  molecules.

charge state as arising from K saturation of the C<sub>70</sub> molecule. The K-C<sub>70</sub> atomic and molecular arrangements are then very different from those of an ordered fulleride crystal or an adsorbate on a rigid surface. The increased number of electrons that can be transferred to C<sub>70</sub> compared to C<sub>60</sub> might reflect the larger molecule size.

#### IV. SUMMARY

This paper has focused on the K-C<sub>70</sub> system, defining the phase diagram and examining the electronic properties of the different phases. We have discussed phase stabilization at low doping, the structures that form upon higher doping, and the means that they can be examined spectroscopically. We have noted the importance of electron correlation and crystal symmetry in determining the valence-band structures. Comparison with the fullerenes of C<sub>60</sub> have shown common features that are rationalized via subtle changes in the Gibbs free energies of the respective phases. Logical continuations of this work will emphasize pressure-dependent studies of C<sub>70</sub> to explore phase stabilization, diffraction investigations to determine the crystal symmetries, and studies of the Rb and Cs fullerenes of C<sub>70</sub>.

*Note added in proof.* A recent article by Kobayashi *et al.*<sup>40</sup> reports x-ray diffraction results for K<sub>x</sub>C<sub>70</sub>. They find the first doped phase to have the same structure as the starting material (fcc or rhombohedral). This is consistent with the K<sub>1</sub>C<sub>70</sub> phase reported here. The bct and bcc phases reported in Ref. 40 are likely to correspond to K<sub>4</sub>C<sub>70</sub> and K<sub>6</sub>C<sub>70</sub> as described here. While the "saturation-doped," fcc K<sub>9</sub>C<sub>70</sub> phase of Ref. 40 was not obtained under our reaction conditions, its existence is not inconsistent with our phase diagram for compounds with  $x < 6$ .

#### ACKNOWLEDGMENTS

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<sup>1</sup>See, for example, the review by J. H. Weaver and D. M. Poirier, in *Fullerene Fundamentals*, edited by H. Ehrenreich and F. Spaepen, Solid State Physics Vol. 48 (Academic Press, New York, in press), Chap. 1, other reviews in that volume, and extensive citations therein. See also A. F. Hebard, *Ann. Rev. Mater. Sci.* **23**, 159 (1993).

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