Group-theoretical derivation of the numbers of independent physical constants of quasicrystals

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Formulas for calculating the characters of the representation matrices and the number of independent components of any physical-property tensor of quasicrystals have been derived by using grouprepresentation theory, where the internal symmetry of the physical-property tensor was considered. As an example of their application, we have calculated the number of independent elastic constants up to cubic order for many of the quasicrystals discovered to date.

As is well known, the number of independent components of a physical-property tensor in a certain structure is determined by the point-group symmetry which the structure possesses. It follows that the number of independent components of all kinds of physical-property tensors can be obtained with group-representation theory. For periodic structures, systematic results have already been given. '

Since the discovery of icosahedral quasicrystals in Al-Mn alloys,² other quasicrystals with ten-,³ twelve-,⁴ and eightfold⁵ symmetries have been obtained in succession. Additionally, Feng and co-workers 6.7 have discovered an incommensurate structure with cubic point-group symmetry in V-Ni-Si alloys. Recently, Janssen⁸ gave a clear theoretical explanation for quasiperiodic structures, which may have either crystallographic or noncrystallographic point-group symmetry. They can be obtained by projecting a higher-dimensional periodic structure upon the physical space or by cutting a higher-dimensional structure.^{9,10}

Quasicrystals possess various point-group symmetries. Moreover, in the process of the group-theoretical derivation of the numbers of independent physical constants, the internal symmetry of the physical-property tensor the internal symmetry of the physical-property tensor
must be considered.¹¹ In the case of quasicrystals, a vector in the complementary subspace may transform under nonequivalent irreducible representation compared with that for the vector in the physical subspace. These special properties of quasicrystals make it more complicated to derive the number of independent physical constants.

In this paper, we will apply group-representation theory to derive formulas for calculating the characters of the representation matrices and the number of independent components for any possible physical-property tensor in a quasicrysta1 (Sec. II). As an example we will also calculate the number of independent elastic constants up to cubic order for many of the quasicrystals discovered to date.

I. INTRODUCTION **II. THE FUNDAMENTAL THEORY**

A. The basic formula for calculating the number of independent components

In a periodic structure, let $F_{ii} \ldots i$ represent a component of a tensor of rank n in three-dimensional space; the total number of such components is $3ⁿ$. In general, they are independent of each other. If \hat{A} is a coordinatetransformation matrix, the relationship between components $F'_{i'j'k'}$, l' and F_{ijk} , l' is

$$
F'_{i'j'k',\dots l'} = (\hat{A} \times \hat{A} \times \ldots \times \hat{A})_{ijk,\dots l, i'j'k',\dots l'} F_{ijk,\dots l} , \qquad (1)
$$

where $F_{ijk...l}$ are related to the old coordinate system and $F'_{i'j'k'_{i+1},l'}$ to the new system. In addition, the direct product $\widehat{\Gamma}(g) = \widehat{A} \times \cdots \times \widehat{A}$ spans a 3ⁿ-dimensional representation space of the symmetry group G. In general, it is reducible. The character of this tensor representation can be calculated by the following formula:

$$
\chi(g) = \operatorname{Tr} \widehat{\Gamma}(g) = [\operatorname{Tr} \widehat{A}(g)]^n , \qquad (2)
$$

where $\hat{\Gamma}(g)$ and $\hat{A}(g)$ are the representation matrices corresponding to element g in G . The representation can also be reduced to the direct sum of some irreducible representations. The number a_i of the *i*th irreducible representation $\Gamma^{(i)}$ is determined by:

$$
a_i = \frac{1}{|G|} \sum_{g \in G} \chi(g) \overline{\text{Tr}\Gamma^{(i)}(g)},
$$
 (3)

where $|G|$ is the order of group G and the overbar mean the complex conjugate.

As we know, the symmetry of a physical-property tensor is decided by the macro-symmetry of the material considered, so the number of independent components of the tensor is fixed for a given material. In other words, every independent component will transform under identity representation. This means that the number of independent components is just the number a_1 of the identity representation (Γ_1) in the direct representation. Therefore, the basic formula for calculating the number ofindependent components is:

$$
a_1 = \frac{1}{|G|} \sum_{g \in G} \chi(g) \tag{4}
$$

B. The principal characteristics of physical-property tensors in quasicrystals

A quasicrystal can be obtained by projecting a higherdimensional periodic structure onto a three- or lower dimensional physical subspace.^{9,10} Let $\tilde{\mathbf{r}}$ be a position vector in the higher-dimensional space, \mathbf{r}_{\parallel} and \mathbf{r}_{\perp} its projections in the parallel (physical) subspace P^{\parallel} and the per-
pendicular (complementary) subspace P^{\perp} , respectively hence

 $\tilde{\mathbf{r}} = \mathbf{r}_{\parallel} \oplus \mathbf{r}_{\perp}$. (5)

As we know, for d-dimensional quasicrystals with a noncrystallographic point group there exist at least two d-dimensional nonequivalent irreducible representations. r_{\parallel} transforms under a d-dimensional irreducible represen tation Γ_i whereas r_i transforms under a different ddimensional representation Γ'_i . Moreover, the action of a given element of such a noncrystallographic point group on r_{\parallel} and r_{\perp} gives a certain intrinsic relation $\hat{A}'(g)r_{{}_{\parallel}}=[\hat{A}(g)r_{{}_{\parallel}}]_{{}_{\perp}}$. For example, we have $(\mathbf{r}_{\perp})_n = (\mathbf{r}_{\parallel})_{(3n)(\text{mod}5)}$ for the pentagonal structure. However, it should be noted here that, for some quasicrystals with crystallographic point symmetry, such as cubic quasicrystals, these two representations are equivalent¹² (both of them are Γ_3), so the difference discussed above disappears.

As an example, we will analyze the transformation

$$
F_i, F_{ij}, F_{ijk}, F_{ijkl}, F_{ijklmn}, \ldots, F_{ai}, F_{aij}, F_{ik\beta l}, F_{\alpha j\beta l}, F_{\alpha j\beta l\gamma n}, F_{ij\beta l\gamma n}, F_{ijkl\gamma n}, \ldots
$$

It is very important to point out that, as in periodic structures, physical-property tensors have some internal symmetry because of physical definitions or thermodynamics, even if macrosymmetry is not considered. Such an internal symmetry implies that some subscripts are commutable, and the number of independent components of this physical-property tensor reduces. Now we use the notation $\{\cdots\}$ to represent that the subscripts in { } can commute with each other. For quasicrystals with the n-dimensional point group, the representation space of which can be reduced to the direct sum of two equivalent irreducible subspaces, the two types of subscripts i and α are also commutable, unlike those for quasicrystals with noncrystallographic point-group symmetry (in this case, the two irreducible subspaces are nonequivalent).

TABLE I. Characters of icosahedral symmetry $[\tau=(1+\sqrt{5}/2]$. a_1 is the number of independent components of the elastic-constant tensor.

	1E	$12C_5$	$12C_5^2$	$20C_3$	$15C_2$	a ₁
Γ_{1}						
\mathbf{r}_{Γ_3}		τ	$1-\tau$	o		
$^\dagger\Gamma'_3$	3	$1-\tau$	τ	O		
Γ_4	4					
Γ_5	5	0	0			
\boldsymbol{C}_{ijkl}	21			0		2
\pmb{K}_{ijkl}	45	Ω		0	5	2
\boldsymbol{R}_{ijkl}	54			o	2	
C_{ijklmn}	56			2	8	4
K_{ijklmn}	165			3	5	5
R_{ijklmn}^{\perp}	189			o	5	4
R_{ijklmn}^2	270	0	0	0	10	

characteristics of the elastic-coefficient tensors in quasicrystals with the above theory. The elastic deformation in quasicrystals is described by the phonon strain tensor $E_{ij} = (\partial_j U_i + \partial_i U_j)/2$ and the phason strain tensor $W_{ij} = \delta_j W_i$. Here, E_{ij} is the gradient of phonon displacement $\dot{\mathbf{U}}(\mathbf{r}_{\parallel})$; hence both ∂_i and U_i transform under the same operator $\hat{A}(g)$. W_{ij} is the gradient of phason displacement $\mathbf{W}(\mathbf{r}_{\parallel})$, so ∂_j and W_i transform under the operators $\hat{A}(g)$ and $\hat{A}'(g)$, respectively.¹³ From now on we use subscripts i, j, k, l, m, n, \ldots , for coordinate components in P_{\parallel} , and subscripts $\alpha, \beta, \gamma, \ldots$, for coordinate components in P_1 . It follows that the physical-property tensors in quasicrystals may be classified as follows

C. The representation matrices and character formulas

In order to determine the value of a_1 in Eq. (4), we will give the formulas for calculating the representation matrices and the corresponding characters of various kinds of tensors below. For each operator, we use A and A' for $\hat{A}(g)$ and $\hat{A}'(g)$ for convenience

(1) Tensor of rank 2, $F_{\{ij\}}$.

$$
[\hat{\Gamma}(g)]_{ij,i'j'} = \{ A \times A \}_{ij,i'j'} = \frac{1}{2} (A_{ii'} A_{jj'} + A_{ij'} A_{ji'}) ,
$$
 (6)

$$
\chi(g) = \frac{1}{2} (A_{ii} A_{jj} + A_{ij} A_{ji}) = \frac{1}{2} \{ [\text{Tr}\,\hat{A}(g)]^2 + \text{Tr}\,\hat{A}(g^2) \} .
$$
\n(7)

(2) Tensor of rank 4, $F_{\{\{i j\},\{kl\}\}}$.

$$
\begin{split} [\hat{\Gamma}(g)]_{ijkl,i'j'k'l'} &= \{ \{ A \times A \} \times \{ A \times A \} \}_{ijkl,i'j'k'l'} \\ &= \frac{1}{2} [(\{ A \times A \} \times \{ A \times A \})_{ijkl,i'j'k'l'} + (\{ A \times A \} \times \{ A \times A \})_{ijkl,k'l'l'j'}] \\ &= \frac{1}{8} (A_{ii'} A_{jj'} + A_{ij'} A_{ji'}) (A_{kk'} A_{ll'} + A_{kl'} A_{lk'}) + \frac{1}{8} (A_{ik'} A_{jl'} + A_{il'} A_{jk'}) (A_{ki'} A_{lj'} + A_{kj'} A_{li'}) \,, \end{split} \tag{8}
$$

III. APPLICATION: CALCULATION OF THE NUMBER OF INDEPENDENT COMPONENTS OF THE ELASTIC-CONSTANT TENSOR IN QUASICRYSTALS

The elastic energy density f of a quasicrystal is a function of phonon strain field E_{ij} and phason strain field W_{ij} , and can be expanded in terms of a Taylor series in the vicinity of $E_{ij} = 0$ and $W_{ij} = 0$ to the third order:

TABLE II. Characters of C_{8v} symmetry.

	ϵ	2α	$2\alpha^2$	$2\alpha^3$	α^4	4β	$4\alpha\beta$	a ₁
Γ_1					1			
Γ_2								
Γ_3						1		
Γ_4								
$\boldsymbol{r}_{\Gamma_5}$	2	$\sqrt{2}$	0	$\sqrt{2}$	2	0	ი	
Γ_6	2	0	2	0	2	0	0	
\mathbf{r}_{7}	2	$\sqrt{2}$	0	$\sqrt{2}$	2	0	0	
\boldsymbol{C}_{ijkl}	6	0	2	0	6	$\overline{2}$	$\overline{2}$	2
\pmb{K}_{ijkl}	10	2	2	$\mathbf{2}$	10	$\mathbf{2}$	$\overline{2}$	3
\boldsymbol{R}_{ijkl}	12	\cdot 2	0	$^{-2}$	12	0	0	1
\boldsymbol{C}_{ijklmn}	10	0	2	0	10	2	$\overline{2}$	2
\boldsymbol{K}_{ijlkmn}	20	$\overline{2}$	0	-2	20	0	0	\overline{c}
R_{ijlkmn}	24	0	0	0	24	0	0	3
R_{ijklmn}^2	30	$\overline{2}$	2	2	30	2	2	5
C'_{ijkl}	21	$3 + 2\sqrt{2}$	1	$3 - 2\sqrt{2}$	5	5	5	5
C'_{ijklmn}	56	$4 + 2\sqrt{2}$	0	$4 - 2\sqrt{2}$	8	8	8	9
R_{ijkl}^{\prime}	24	$4 - 2\sqrt{2}$	0	$4 + 2\sqrt{2}$	8	0	0	1
R_{ijklmn}^{1}	84	$6 - 4\sqrt{2}$	0	$6 + 4\sqrt{2}$	20	0	0	5
R_{ijklmn}^2	60	$4 + 2\sqrt{2}$	0	$4 - 2\sqrt{2}$	20	4	4	8

TABLE III. Characters of C_{10v} symmetry $[\tau = (1 + \sqrt{5})/2]$.

$$
f(E_{pq}, W_{pq}) = \frac{1}{2} \frac{\partial^2 f}{\partial E_{ij} \partial E_{kl}} \Big|_{0} E_{ij} E_{kl} + \frac{1}{2} \frac{\partial^2 f}{\partial W_{ij} \partial W_{kl}} \Big|_{0} W_{ij} W_{kl} + \frac{\partial^2 f}{\partial E_{ij} \partial W_{kl}} \Big|_{0} E_{ij} W_{kl} + \frac{1}{6} \frac{\partial^3 f}{\partial E_{ij} \partial E_{kl} \partial E_{mn}} \Big|_{0} E_{ij} E_{kl} E_{mn}
$$

+
$$
\frac{1}{6} \frac{\partial^3 f}{\partial W_{ij} \partial W_{kl} \partial W_{mn}} \Big|_{0} W_{ij} W_{kl} W_{mn} + \frac{1}{2} \frac{\partial^3 f}{\partial E_{ij} \partial E_{kl} \partial W_{mn}} \Big|_{0} E_{ij} E_{kl} W_{mn}
$$

+
$$
\frac{1}{2} \frac{\partial^3 f}{\partial E_{ij} \partial W_{kl} \partial W_{mn}} \Big|_{0} E_{ij} W_{kl} W_{mn} + \cdots,
$$
(24)

	ϵ	2α	$2\alpha^2$	$2\alpha^3$	$2\alpha^4$	$2\alpha^5$	α^6	6β	$6\alpha\beta$	a ₁
Γ_1										
Γ_2										
Γ_3										
Γ_4										
$\displaystyle{ \raisebox{0.6ex}{\scriptsize{*}}} \Gamma_{5}$		$\sqrt{3}$		0						
Γ_6										
Γ_7		0		0						
Γ_8				2			2	0	0	
$^\dagger \Gamma_{9}$				0		$\sqrt{3}$		о	0	
C_{ijkl}	6						6			
\pmb{K}_{ijkl}	10						10	2		
\boldsymbol{R}_{ijkl}	12						12			
C_{ijklmn}	10						10			
K_{ijklmn}	20			Ω		6	20	0	o	
R_{ijklmn}^{\perp}	24	- 6	o			-6	24	0	0	
R_{ijklmn}^2	30	10	Ω			10	30			
C'_{ijkl}	21	$7 + 3\sqrt{3}$			0	$7 - 3\sqrt{3}$	5			
C'_{ijklmn}	56	$12 + 6\sqrt{3}$	2	0	2	$12 - 6\sqrt{3}$	8	8	8	
R'_{ijkl}	24	$-9 - 3\sqrt{3}$		0	0	$-9+3\sqrt{3}$	8			
$R^{1'}_{ijklmn}$	84	$-21 - 9\sqrt{3}$		0	$\mathbf 0$	$-21+9\sqrt{3}$	20		o	
R_{ijklmn}^{2}	60	$15 + 5\sqrt{3}$	2	0	$\mathbf 0$	$15 - 5\sqrt{3}$	20	4	4	

TABLE IV. Characters of C_{12v} symmetry.

with the conditions $f(0,0)=0$, $\partial f/\partial E_{ij}|_{0}=0$, $\partial f/\partial W_{ij}|_{0}=0$, where $|_{0}$ means $E_{pq}=0$ and $W_{pq}=0$. The definitions of the fourth-order and sixth-order elastic-constant tensors are:

$$
C_{ijkl} = \frac{\partial^2 f}{\partial E_{ij} \partial E_{kl}} \Big|_0, \quad K_{ijkl} = \frac{\partial^2 f}{\partial W_{ij} \partial W_{kl}} \Big|_0, \quad R_{ijkl} = \frac{\partial^2 f}{\partial E_{ij} \partial W_{kl}} \Big|_0,
$$

$$
C_{ijklmn} = \frac{\partial^3 f}{\partial E_{ij} \partial E_{kl} \partial E_{mn}} \Big|_0, \quad K_{ijklmn} = \frac{\partial^3 f}{\partial W_{ij} \partial W_{kl} \partial W_{mn}} \Big|_0,
$$

$$
R_{ijklmn}^1 = \frac{\partial^3 f}{\partial E_{ij} \partial E_{kl} \partial W_{mn}} \Big|_0, \quad R_{ijklmn}^2 = \frac{\partial^3 f}{\partial E_{ij} \partial W_{kl} \partial W_{mn}} \Big|_0.
$$
 (25)

According to the analyses of physical-property tensors in quasicrystals in Sec. II, C_{ijkl} corresponds to $F_{\{\{ij\},\{kl\}\}}$, K_{ijkl} corresponds to $F_{\{\alpha j,\beta l\}}$ and so on, i.e.,

$$
C_{ijkl} \to F_{\{(ij),\{kl\}}}, K_{ijkl} \to F_{\{\alpha j,\beta l\}},
$$

\n
$$
R_{ijkl} \to F_{\{ij\},\beta l},
$$

\n
$$
C_{ijklmn} \to F_{\{\{ij\},\{kl\},\{mn\}}}, K_{ijklmn} \to F_{\{\alpha j,\beta l,\gamma n\}},
$$

\n
$$
R_{ijklmn} \to F_{\{\{ij\},\{kl\},\gamma n}, R_{ijklmn} \to F_{\{ij\},\{\beta l,\gamma n\}}.
$$
 (26)

Obviously, once the point symmetry group of the quasicrystal is given, the representation matrices and corresponding characters of the above seven types of elastic-constant tensors can be derived, and then the number of independent components a_1 can easily be obtained from Eq. (4). The results for the icosahedral quasicrystal are listed in Table I.

In Tables II-IV, the tensors C_{ijkl} , K_{ijlk} , R_{ijkl} , C_{ijklmn} , K_{ijklmn} , R_{ijklmn}^2 , R_{ijklmn}^2 correspond to planar quasicrystals with eight-, ten-, and twelvefold symmetries, the tensors C'_{ijkl} , K_{ijkl} , R'_{ijkl} , C'_{ijklmn} , K_{ijklmn} , $R^{1'}_{ijklmn}$, $R^{2'}_{ijklmn}$ to three-dimensional cases. For cubic quasicrystals, the sub-

TABLE V. Characters of cubic quasicrystal point symmetry.

	1E	$8C_3$	$3C_4^2$	$6C_2$	6C ₄	a ₁
г						
Γ_{2}						
\star [†] Γ_3						
Γ'_3						
C_{ijkl}	21					
\pmb{K}_{ijkl}	21					
\boldsymbol{R}_{ijkl}	36					3
C_{ijklmn}	56	າ	ጸ	8		6
K_{ijklmn}	56	2	8	8		6
R_{ijklmn}^1	126		10	10		9
R_{ijklmn}^{\prime}	126	0	10	10		9

scripts of a tensor which refer to the physical space can commute with those which refer to the complementary space, i.e., $\alpha i \rightarrow \{\alpha i\}$ and $F_{\alpha i} \rightarrow F_{\{\alpha i\}}$ for the tensor of rank 2. Similarly, commutation relations between subscripts can be found for other tensors. All the results are listed in Table V.

In Tables I-V, the notations $*$ and \dagger are used to indicate the transformations in physical space and complementary space of icosahedral, planar quasicrystals with eight-, ten-, and twelvefold symmetries and cubic quasicrystals, respectively. For the three-dimensional quasicrystals with eight-, ten-, and twelvefold symmetries in Tables II–IV, both ∂_j and U_i in E_{ij} transform under the
representation $(\Gamma_1 + \Gamma^*)$, but ∂_j and W_i in W_{ij} transform under the representation Γ^* and Γ^{\dagger} respectively (here, Γ^* and Γ^{\dagger} are the representations labeled by $*$ and \dagger respectively in Tables II-IV; hence, in the characteristic formulas in Sec. II, the representation of $\widehat{A}(g)$ under which E_{ii} transforms is $(\Gamma_1 + \Gamma^*)$ the representation of $\hat{A}(g)$ under which W_{ij} transforms is Γ^* , and the representation of $\hat{A}'(g)$ takes the representation Γ^{\dagger} for both planar and three-dimensional cases.¹⁴

Finally, we would like to make mention of some previous work. Levine et al.¹⁵ derived the elastic-constant tensor of rank 4 of icosahedral and pentagonal quasicrystals, Socolar¹⁶ gave those of planar octagonal and dodecagonal quasicrystals, Hu, Ding, and Yang¹⁴ obtained those for three-dimensional eight-, ten-, and twelvefold symmetries, Yang et al.¹² derived those for cubic quasicrystals, Ishii¹⁷ discussed thoroughly the relation between phase transformations and the cubic-order invariants for the phason strains in icosahedral quasicrystals, Oxborrow and Henley¹⁸ pointed out that there exists only one third-order phason strain constant in the dodecagonal phase, and so on. Our results all agree with theirs. Of course, using the method in the above example, we can easily obtain any higher-order constants of physicalproperty tensors for quasicrystal structures.

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