# Force-constant model for the vibrational modes in  $C_{70}$

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A force-constant model previously developed for  $C_{60}$  is extended to  $C_{70}$ . Using the force constants determined for the  $C_{60}$  molecule, the vibrational frequencies for the  $C_{70}$  molecule are calculated and are identified with the irreducible representations of the  $D_{5h}$  symmetry group, according to which the vibrational modes transform. A comparison of the predictions of this model with the experimentally reported values for the Raman- and infrared-active modes shows good agreement overall. The effect of doping by alkali-metal atoms is taken into account by modifying the force constants in order to produce a good fit to the available data for doped  $C_{60}$ . The model is then used to predict the frequencies of the Raman- and infrared-active modes in alkali-metal-doped  $C_{70}$ .

# I. INTRODUCTION

In the production of  $C_{60}$  by laser evaporation<sup>1</sup> or electrical arc discharge<sup>2</sup> of graphite, appreciable amounts of other fullerenes, particularly  $C_{70}$ , are produced. The  $C_{70}$ molecule is ellipsoidal in shape and has the symmetry group  $D_{5h}$ .<sup>3,4</sup> This structure lacks inversion symmetry, but has mirror symmetry instead. Nuclear magnetic resonance (NMR) measurements are consistent with the ellipsoidal structure, showing a NMR spectrum with five resonant lines, in contrast to  $C_{60}$  which shows a single NMR line.<sup>5</sup> In addition to the two nearly hemispherical caps that form the icosahedral  $C_{60}$  molecule, the  $C_{70}$ molecule contains a belt of ten additional carbon atoms located in five hexagons along the equator of the ellipsoid, perpendicular to a fivefold symmetry axis.

The vibrational frequencies of  $C_{60}$  and  $C_{70}$  have been measured by a variety of techniques. Raman scattering  $(RS)$ ,  $6^{-8}$  infrared absorption  $(IR)$ ,  $7^{-11}$  inelastic neutron scattering  $(NIS),^{12,13}$  high-resolution electron-energy- $\frac{1}{2}$  (Fig.  $\frac{1}{2}$ ),  $\frac{1}{2}$  and photoluminescences (HREELS) spectroscopy,<sup>14</sup> and photoluminescence have been employed in the study of the vibrational modes in  $C_{60}$ , and taken together, they provide a comprehensive picture of the vibrational modes of  $C_{60}$ . Consistent with the more limited availability of pure  $C_{70}$ , the experimental data for vibrational modes in  $C_{70}$  are much more limited, with only Raman scattering and infrared absorption measurements reported so  $far.^{8,9,16}$ 

Several calculations of the frequencies of the vibrational modes of the  $C_{60}$  molecule were performed using various theoretical approaches: modified neglect of differential overlap  $(MNDO),$ <sup>17</sup> quantum consistent force field for  $\pi$  electrons (QCFF/PI),<sup>18</sup> self-consistent-field treatment using Slater-type orbitals with three Gaussians  $(STO-3G/SCF),<sup>19</sup>$  double- $\zeta$  polarization with Moller-Plesset second-order perturbation (DZP/MP2),<sup>20</sup> real space quantum molecular dynamics  $(QMD)$ ,<sup>21</sup> and Car-Parinello molecular dynamics.<sup>22</sup> Of these, the QCFF/PI method, $^{18}$  which has been parametrized with respect to the vibrational frequencies of conjugated and aromatic hydrocarbons, $^{23}$  gives the best results for the vibrational frequencies in  $C_{60}$ . In addition, there have been a number of force-constant models employed to calculate the vibrational frequencies for the  $C_{60}$  molecule.<sup>24-26</sup> When the force-constant model for  $C_{60}$  is framed to include interactions through third nearest neighbors, and including four bond-stretching and four angle-bending force constants, good agreement with the experimental vibrational frequencies is obtained for the various mode frequencies that have been measured to date.<sup>27</sup>

For the  $C_{70}$  molecule, fewer calculations of the vibrational frequencies have been reported in the literature.

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Assuming a structure with  $D_{5h}$  symmetry, Slanina et al.<sup>28</sup> calculated the vibrational frequencies of  $C_{70}$  using the Austin model 1 (AM1) technique. Comparison of these calculations to the observed Raman-active modes was not possible because the irreducible representations, according to which the vibrational modes transform, were not determined. As for the infrared-active modes, no obvious correspondence between the patterns of the calculated and observed<sup>8</sup> spectra could be discerned.

More recently, the vibrational frequencies of  $C_{70}$  were calculated at the MNDO level.<sup>29</sup> Only the frequencies of the IR-active modes and the completely symmetric nondegenerate Raman-active modes were reported. Similar to the case in  $C_{60}$ , the frequencies of the high-frequency tangential modes in  $C_{70}$  calculated by the MNDO technique are  $\sim 200 \text{ cm}^{-1}$  higher than the measured values. Similar results were obtained for the vibrational frequencies in  $C_{60}$  and  $C_{70}$  by use of tight-binding molecular dynamics.<sup>30</sup> Better agreement with experiment was obtained by the use of the QCFF/PI method,  $31$  and a complete listing of the eigenfrequencies and their symmetries was obtained. However, no attempt was made in that work to compare in detail the observed Raman- and infrared-active modes with the results of the calculation. A force-constant model using a Born potential was reported recently<sup>32</sup> but a comparison between the calculated and measured frequencies shows poor agreement.

In the present work, we extend a force-constant model for  $C_{60}$  which includes atom-atom interactions up to the  $\rm third\text{-}nearest\ neighbors,^{27}$  to yield information about the vibrational modes for  $C_{70}$ . The force constants used in the model for  $C_{60}$  (Ref. 27) were introduced under the following assumptions. Each atom is assumed to be a point mass and to be connected by springs to its first-, second-, and third-nearest neighbors. Two springs, with spring constants  $s_p$  and  $s_h$ , are used to model the stretching of the pentagonal and hexagonal bonds, respectively. The stretching of bonds connecting an atom to its secondnearest neighbors, whether these bonds lie in the pentagonal or hexagonal faces, is modeled by springs with spring constant  $s_2$ . A bond connecting an atom to its third-nearest neighbor will lie in a hexagonal face only, and the corresponding spring constant is  $s_3$ . The bending of the pentagonal angle of  $108^\circ$  and the hexagonal angle of  $120^{\circ}$  is modeled by torsional springs with spring constants  $a_p$  and  $a_h$ , respectively (see Table I). In addition, the bending of the angles between the spring connecting an atom to its first-nearest neighbors and the springs connecting this atom to its second- and third-nearest neighbors are modeled by springs of spring constants <sup>b</sup> and c, respectively. The eigenfrequencies obtained with this model were shown to be in excellent agreement with all the experimental data obtained by Raman scattering, infrared, inelastic neutron, and high-resolution energy-loss spectroscopies.

It is the purpose of this paper to apply this forceconstant model, originally developed for  $C_{60}$  to the calculation of the frequencies of the vibrational modes in  $C_{70}$ , to classify the modes according to the irreducible representations of the symmetry group for  $C_{70}$ , and to compare the predictions of this model to available ex-

TABLE I. The values of the bond-stretching force constants  $(K_{str})$ , in mdyn/Å, and of the angle-bending force constants ( $K_{\text{bend}}$ ), in mdyn  $\text{\AA}/(\text{rad})^2$ , used in the force-constant model for the vibrational modes in  $C_{60}$  and  $C_{70}$ . The primed quantities are the corresponding values used in  $M_6C_{60}$  and  $M_6C_{70}$ .

$K_{\rm str}$	$\rm Value$	$K_{\rm bend}$	Value
$\boldsymbol{s}_p$	2.35	$a_p$	1.0
$s_h$	4.0	$a_h$	0.25
$s_2$	1.21	b	0.095
$s_3$	$-1.05$	c	0.325
	2.6	$a_n$	0.8
$\frac{s'_p}{s'_h}$	3.4	$a_h$	0.2
$s_2'$	1.2	b'	0.077
$s_3'$	$-0.85$	$\boldsymbol{c}'$	0.26

perimental data. As a zeroth-order approximation, the same set of force constants as was used in the case of  $C_{60}$ is now used to calculate the eigenfrequencies in  $C_{70}$ . A perturbation whose efFect is to reduce the shear forces between the belt atoms and the atoms in the adjacent layers is then introduced and is shown to lead to an improved set of calculated eigenfrequencies, while introducing only two additional parameters.

In addition, we consider how the force constants in  $C_{60}$ are modified by doping with alkali-metal atoms, using exactly the same functional form of the dynamical matrix as was used for the case of undoped  $C_{60}$ . The modified force constants are then used to predict the frequencies of the Raman-active and infrared-active modes in the alkalimetal-doped  $C_{70}$ . Insofar as the vibrational frequencies for alkali-metal-doped  $C_{70}$  have not yet been reported, this calculation should be useful for experimentalists embarking on measurements of the vibrational spectra in doped  $C_{70}$ . The approach taken here can further be extended to obtain approximate descriptions for phonon modes in yet larger fullerenes.

### II. VIBRATIONAL MODES IN  $C_{70}$

The structure of the  $C_{70}$  molecule has  $D_{5h}$  as its symmetry group. The character table for the point group  $D_{5h}$ , along with the basis functions that transform according to the various irreducible representations, is presented in Table II. By decomposing the  $(210-6=204)$ dimensional reducible representation according to which the coordinates of the 70 carbon atoms of  $C_{70}$  transform, the number of distinct eigenvalues, corresponding to vibrational modes that transform according to each irreducible representation of the point group  $D_{5h}$ , can be determined. There are 122 distinct nonzero vibrational frequencies which result from diagonalizing the dynamical matrix. In Table III the irreducible representations of point group  $D_{5h}$  are listed together with the number of distinct eigenvalues  $N_{\omega}$  and the corresponding degeneracies g for each irreducible representation of  $D_{5h}$ . The cap and belt modes ( $N^{\text{cap}}_{\omega}$  and  $N^{\text{belt}}_{\omega}$ ), which are more clearly delineated below, are also listed separately. In the listings of this table, the six degrees of freedom corresponding to pure translations and rotations of the molecule have al-

TABLE II. Character table for the point group  $D_{5h}$ . Note that  $\tau = (1 + \sqrt{5})/2$  so that  $\tau = -2 \cos(2\alpha) = -2 \cos(4\pi/5)$ and  $\tau - 1 = 2\cos\alpha = 2\cos(2\pi/5)$ . Modes with  $A'_1, E'_2$ , and  $E''_1$  symmetries are Raman active, while modes with  $E'_1$  and  $A''_2$ symmetries are infrared active.

$D_{5h}$	$\bm E$	$2C_5$	$2C_5^2$	$5C_2'$	$\sigma_h$	$2S_5$	$2S_5^3$	$5\sigma_{\rm m}$	Basis functions
$A'_1$	$+1$	$+1$	$+1$	$+1$	$+1$	$+1$	$+1$	$+1$	$x^2 + y^2, z^2$
$A'_2$	$+1$	$+1$	$+1$	$^{-1}$	$^{\mathrm{+1}}$	$+1$	$+1$	$-1$	$R_{z}$
$E_1^\prime$	$+2$	$\tau-1$	$-\tau$	0	$+2$	$\tau-1$	$-\tau$	$\bf{0}$	$(x,y), (xz^2,yz^2), [x(x^2+y^2), y(x^2+y^2)]$
$E_2^{\prime}$	$+2$	$-\tau$	$\tau-1$	$\bf{0}$	$+2$	$-\tau$	$\tau-1$	$\bf{0}$	$(x^{2}-y^{2}, xy), [y(3x^{2}-y^{2}), x(x^{2}-3y^{2})]$
$A_1''$	$+1$	$+1$	$+1$	$+1$	$-1$		$-1$	$-1$	
$A_2''$	$+1$	$+1$	$+1$	$-1$	$\sim$	$-1$	$-1$	$+1$	$z, z^3, z(x^2+y^2)$
$E_1^{\prime\prime}$	$+2$	$\tau-1$	$-\tau$	0	$^{-2}$	$1-\tau$	$+\tau$	$\bf{0}$	$(R_x,R_y),\,(xz,yz)$
$E_{2}^{\prime\prime}$	$+2$	$-\tau$	$\tau-1$	0	$-2$	$+\tau$	$1-\tau$		$[xyz,z(x^2-y^2)]$

ready been subtracted, leaving a total of 204 vibrational degrees of freedom for the dynamical matrix. The symmetries of the belt modes are found by forming the direct metries of the belt modes are found by forming the direct<br>product of the equivalence transformation  $\chi^\mathrm{atom\; sites}$  for the belt atoms with the irreducible representations of the vector. The symmetries of the cap modes of  $C_{70}$  are then found by subtraction of  $N_{\omega}^{\text{belt}}$  from the total  $N_{\omega}$  for the C7p molecule.

We have carried out a calculation of the frequencies and corresponding eigenvectors of the vibrational modes in the  $C_{70}$  molecule using the force-constant model discussed above, incorporating the lower  $D_{5h}$  symmetry of the  $C_{70}$  molecule into the calculation. The values of the force-constant parameters used in the lowest-order calculation of the vibrational modes in  $C_{70}$  are given in Table I, and are the same values as were used in the calculation of the mode frequencies in  $C_{60}$ .<sup>27</sup> These force constants were determined by fitting the calculated frequencies for the Raman-active modes to the measured Raman frequencies for  $C_{60}$ .

The equivalence of all the atomic sites in  $C_{60}$  implies that there are only two different bond lengths connecting nearest-neighbor carbon atoms, and two different angles between such bonds. For the nearest-neighbor interactions in  $C_{60}$ , two bond-stretching force constants,  $s_p$ and  $s_h$ , for the pentagonal and hexagonal bonds, respectively, are assumed. , as well as two angle-bending force constants,  $a_p$  and  $a_h$ , for the pentagonal and hexagonal angles, respectively.

TABLE III. The  $D_{5h}$  irreducible representations ( $\mathcal{R}$ ) together with the number of distinct eigenvalues  $(N_\omega)$  and the corresponding degeneracies g. The symbols  $N_{\omega}^{\text{belt}}$  and  $N_{\omega}^{\text{c}}$ denote the number of distinct eigenvalues associated with the "belt" and "cap" modes, respectively, for each irreducible representation.

R	$N_\omega^{\mathrm{belt}}$ ن '	$N^{\texttt{cap}}_{\omega}$ $\boldsymbol{\omega}$	$N_\omega$	g
$\boldsymbol{A}^{\prime}$	າ	10	12	
$A_2'$	2	,,	9	
$E^\prime_1$		17	21	ົ
$E_2^{\prime}$		18	22	ച
$A_1''$		8	9	
$A_{2}$		9	10	
$E_1'$	2	17	19	ົ
$E_{2}^{\prime\prime}$	2	18	20	$\overline{2}$

The lower  $D_{5h}$  symmetry for the  $C_{70}$  molecule implies that there are five inequivalent atomic sites in the  $C_{70}$ molecule, which, in turn, allows for the existence of eight different bond lengths as well as 12 different angles between the bonds connecting nearest-neighboring atoms.<sup>29</sup> Thus if only nearest-neighbor interactions are considered in constructing the dynamical matrix, the lower symmetry of the C7p molecule requires consideration of eight different bond-stretching and 12 different angle-bending force constants. In the lowest-order model, we have considered only two distinct bond-stretching and two distinct angle-bending force constants for bonds connecting nearest-neighbor atoms. In this approximation, each bond is classified as either long or short, depending on whether its length is longer or shorter than the average bond length. Similarly, an angle is classified as either belonging to a pentagon or a hexagon. Although this lowest-order approximation for  $C_{70}$  is certainly an oversimplification of the  $C_{70}$  structure, nevertheless, we feel that this model contains the basic physics, has no adjustable parameters, and provides a first-order approximation to the molecular vibrations for  $C_{70}$ . A comparison of the calculated mode frequencies to the experimental data for  $C_{70}$ , presented below, shows that this crude approximation can account for most of the experimental observations.

The determination of the irreducible representations according to which the various modes transform can be achieved once the eigenvectors are determined. For nondegenerate modes, the irreducible representations can be determined by inspecting the transformation properties of the eigenvectors under horizontal and vertical reflection planes. For twofold degenerate modes, inspection of the effect of the reHection in the horizontal plane as well as the rotation about the fivefold axis on the eigenvectors is carried out in making the symmetry identification.

The values of the 122 distinct vibrational frequencies for the  $C_{70}$  molecule calculated in this simple way are given in Table IV, along with the irreducible representation labels of the corresponding modes. In this table we also give the percent radial character of the atomic displacements  $P_r$  for each of the 122 modes. Values for  $P<sub>r</sub>$  are obtained by considering the ratio of the sum of the squares of the radial components of the atomic displacement vectors to the sum of the squares of all the displacement vectors for mode  $\omega$ . It is of interest to

					Modes (in $cm^{-1}$ ) even under reflection						
$A'_1$ modes $A'_2$ modes				$E_1'$ modes		$E_2'$ modes					
$\boldsymbol{\omega}$	$P_r$ <sup>a</sup>	$P_b^{\,b}$	$\omega$	${P_r}^{\rm a}$	$P_b^{\,\,\mathrm{b}}$	$\omega$	$P_r$ <sup>a</sup>	$P_b^{\,b}$	$\omega$	$P_r$ <sup>a</sup>	$P_b{}^{\rm b}$
312	69.9	11.5	602	70.1	24.6	403	15.5	18.4	275	73.1	24.7
508	94.4	24.6	641	44.3	11.4	437	73.6	14.6	399	88.1	$31.4^{\circ}$
539	99.7	7.5	682	79.7	$54.6^{\circ}$	513	95.2	$36.3^{\circ}$	535	92.9	25.5
589	98.4	$50.2^{\circ}$	861	84.0	$27.4^{\circ}$	611	93.7	21.9	612	89.8	$40.5^{\circ}$
738	94.3	2.4	937	18.2	13.4	636	68.7	22.3	640	14.4	12.9
828	30.9	3.9	1133	2.1	3.9	638	96.7	27.4	682	93.6	$54.4^\circ$
1192	5.1	5.5	1272	0.8	21.7	675	95.7	$44.5^{\circ}$	762	93.8	1.7
1275	2.5	25.1	1396	0.5	7.2	753	77.9	4.7	777	85.8	15.5
1295	2.4	31.7	1550	0.6	15.5	820	53.8	25.0	838	25.6	9.1
1409	1.0	$35.1^{\circ}$				877	65.2	13.1	865	33.2	17.6
1490	0.4	2.4				1008	7.1	11.1	876	70.6	15.2
1586	1.0	0.0				1033	11.4	7.7	1010	15.2	14.6
						1222	3.0	$33.7^{\circ}$	1082	11.3	27.4
						1227	4.4	4.1	1184	3.7	3.3
						1284	0.6	15.3	1213	1.3	3.8
						1359	0.4	31.9 <sup>c</sup>	1318	1.8	5.6
						1405	0.6	9.6	1374	1.6	17.2
						1414	$1.6\,$	19.2	1396	1.1	$53.4^{\circ}$
						1453	1.2	10.5	1417	1.2	1.2
						1531	1.5	9.2	1475	1.0	17.5
						1577	0.5	5.2	1567	$0.2\,$	6.0

TABLE IV. All calculated modes for  $C_{70}$  using the same set of force constants as in  $C_{60}$ . The frequencies  $\omega$  are expressed in cm<sup>-1</sup> while  $P_r$  and  $P_b$  denote percentages of the radial and belt character of each mode.

Modes (in  $cm^{-1}$ ) odd under reflection

0.7 1.4



 ${}^aP_r$  is a measure of the radial character, expressed as a percentage, for each of the vibrational modes.  $P_r$  is obtained by considering the ratio of the sum of the squares of the radial components of the atomic displacements to the sum of all the squares of the atomic displacement vectors.

 ${}^{\text{b}}P_{b}$  is the contribution of the belt atoms to the vibrational mode, expressed as a percentage.  $P_{b}$  is obtained by considering the sum of the squares of the displacements of the belt atoms, normalized with respect to the square of the norm of the eigenvector.

note that the low-frequency modes below  $\sim 900 \text{ cm}^{-1}$ tend to have predominantly radial displacements, while the higher-frequency modes tend to have predominantly tangential displacements, though exceptions to this classification are found for a number of the low-frequency modes. Also shown in Table IV for each mode is the sum of the squares of the displacements of the belt atoms  $P_b$ , normalized with respect to the sum of the squares of the displacements of all the atoms, given as a percentage. Since modes with like symmetries mix in the dynamical matrix, the eigenvectors associated with the belt modes include some displacements of the atoms in the hemispherical caps (particularly those modes with the same symmetry and about the same frequencies). In identifying the belt modes, we select modes with the proper symmetries (given in Table III) which have the largest  $P_b$ 

TABLE V. All calculated modes for  $C_{70}$  and  $M_6C_{70}$  obtained by adding a perturbation to the dynamical matrix, which causes a reduction in the restoring forces on a belt atom displaced from equilibrium in the belt plane.

Frequencies of the modes, even under reflection, expressed in $\rm cm^{-1}$							
	$A'_1$ modes	$A'_2$ modes		$E'_1$ modes		$E'_2$ modes	
$C_{70}$	$M_6C_{70}$	$M$ <sub>6</sub> $C$ <sub>70</sub> $C_{70}$	$C_{70}$	$M_6C_{70}$	$C_{70}$	$M_6C_{70}$	
$230^{\circ}$	269 <sup>a</sup>	558 536	389 <sup>a</sup>	$328^a$	260	247	
308	294	$563^{\mathrm{a}}$ 540	397	381	$348^a$	317 <sup>a</sup>	
475	437	563 <sup>a</sup> 618	420	397	415 <sup>a</sup>	371 <sup>a</sup>	
536	462	$705^{\mathrm{a}}$ 619 <sup>a</sup>	468	409	494	451	
737	678	867 910	550 <sup>a</sup>	503 <sup>a</sup>	508 <sup>a</sup>	$456^{\mathrm{a}}$	
825	814	1123 1066	574	518	620	565	
1160	1156	1201 1226	623	613	685	626	
1253	1260	1396 1340	719	628	705	634	
1290	$1288^a$	1512 1437	744	684	809	769	
1335 <sup>a</sup>	1302		809	782	850	798	
1483	1438		982	939	856	838	
1585	1495		1026	1008	980	923	
			$1187^{\rm a}$	$1168^a$	1070	1058	
			1213	1209	1182	1186	
			1262	1259	1203	1193	
			1316 <sup>a</sup>	$1282^a$	1305	1285	
			1375	1325	$1325^a$	1319	
			1377	1356	1350	1326 <sup>a</sup>	
			1430	1387	1414	1340	
			1503	1427	1415	1365	
			1563	1473	1548	1469	
					1571	1473	
		Frequencies of the modes, odd under reflection, expressed in $\rm cm^{-1}$					
	$A_1''$ modes	$A_2''$ modes		$E_1''$ modes		$\overline{E_2''}$ modes	



<sup>a</sup>Identified as a belt mode.

values (see Table IV), and in this way we identify modes that involve predominantly belt and cap displacements. We refer to such modes as "belt" and "cap" modes, respectively.

Among the modes given in Table IV, those that transform according to the  $A'_1, E'_2$ , or  $E''_1$  irreducible representations are Raman active, with the  $A'_1$  modes being observed in the  $(||, ||)$  polarization geometry and the  $E''_1$ modes observed in the  $(\parallel, \perp)$  polarization, and the  $E'_2$ symmetry modes seen in both polarization geometries. A comparison between the calculated frequencies shown in Table IV and the measured Raman-active and infraredactive mode frequencies (see Tables VI and VII) shows an overall satisfactory agreement, especially for the highfrequency modes. The agreement is not as good for the low-frequency modes.

To obtain better agreement for the low-frequency modes, we add a perturbation to the dynamical matrix as discussed above. We assume that the force constants for  $C_{60}$ , which are used in the calculation of the eigenfrequencies in  $C_{70}$ , overestimate the shear restoring forces between the belt atoms and the two adjacent layers of atoms on both sides of the belt. The need for such a correction is expected since the C7o molecule is strained in the belt region, as evidenced by the appreciable deviation of the hexagonal and pentagonal angles from their ideal values.

The perturbation matrix which we introduce depends on two parameters:  $k_1$  which is a force constant for bond bending of the bond connecting a belt atom to its firstnearest neighbor on an adjacent layer, and  $k_2$  which is a bond-bending force constant for a bond connecting two nearest-neighbor belt atoms.

By inspecting the eigenvectors of the modes listed in Table IV, we find that the frequency of the lowest calculated belt mode with  $A'_1$  symmetry has a value of  $589 \text{ cm}^{-1}$  while the lowest measured Raman-active mode with  $A'_1$  symmetry occurs at 228 cm<sup>-1</sup>. We further find that the frequency of this  $A'_1$  mode is sensitively determined by shear restoring forces for the belt carbon atoms. The reduction in these shear restoring forces, as introduced by the perturbation, is thus adjusted so as to cause a downshift in the  $A'_1$  mode at 589 cm<sup>-1</sup> to a value of 230  $cm^{-1}$ ; this mode is then identified with the Raman-active mode whose measured frequency is  $228 \text{ cm}^{-1}$ . Furthermore, the  $E_2'$  mode which is calculated to be 275  $cm^{-1}$  in the absence of the mode-softening perturbation is downshifted in frequency to a value which we choose to be 260  $cm^{-1}$ , in agreement with Raman scat- $\rm{teering\ measurements\ (between\ 256\ cm^{-1}\ and\ 261\ cm^{-3}}$ 

TABLE VI. Comparison between the measured and calculated Raman-active mode frequencies in  $C_{70}$  expressed in cm<sup>-1</sup>. Calculated values (model) include the effect of perturbation theory (see text).

$(cm^{-1})^a$ $\boldsymbol{\omega}$	$\omega$ (cm <sup>-1</sup> ) <sup>b</sup>	$\omega$ (cm <sup>-1</sup> ) <sup>c</sup>	Model	Symmetry
228			230	$A'_1$
258	261 <sup>d</sup>	256	260	$E_2^{\prime}$
399	$400^e$ (shoulder)		348	$E_2^{\prime}$
409	411 <sup>e</sup>		415	$E_2^{\prime}$
455	$459^e$		475, 460	$A_1',E_1''$
508	$501^{\circ}$	517	508	$E_2'$
569	$573^{\circ}$	564	536, 555	$A_1',E_1''$
701	704 <sup>d</sup>		705	$E_2^{\prime}$
714			716	$E_1^{\prime\prime}$
737	739 <sup>d</sup>	740	737	$A_1'$
766	$770^e$		796, 805	$E_1^{\prime\prime},E_2^{\prime}$
1062	$1062^d$	1065	1070	$E_2'$
1165			1160	$A_1'$
1182	$1186^d$	1182	1182, 1172	$E_2',E_1''$
1227	1231 <sup>d</sup>	1224	1202, 1203	$E_2',E_1''$
1257	$1260^{\circ}$		1253	$A'_1$
1296	$1298^e$ (shoulder)		1305	$E_2^{\prime}$
1313	$1317e$ (shoulder)		1325	
1335	$1336^e$		1335	$\overset{\_}{E_2'}\!\!\!\!\!E_2'$
1367	$1370^{\circ}$	1370	1350	$E_2^{\prime}$
1447	1448 <sup>d</sup>		1415	
1459			1422	$\overset{.}{E_2^{\prime\prime}}$
1469	$1471^{\circ}$		1483	
1493			1494	$\begin{array}{c} A'_1 \ E''_1 \end{array}$
1515	1517 <sup>d</sup>	1513	1540, 1548	$E_1^{\prime\prime},E_2^{\prime}$
1565	1569 <sup>d</sup>	1567	1571	$E_{2}^{\prime}$

Measured in Ref. 9.

Measured in Ref. 8.

Measured in Ref. 16.

<sup>d</sup>Observed in both ( $||, ||$ ) and ( $||, \perp$ ) polarizations.

 $^{\circ}$ Observed only in ( $||, ||$ ) polarization.

as given for the three different experiments in Table IV). These requirements on the amount of shift in these two vibrational modes determine the parameters introduced into the perturbation, yielding  $k_1 = -0.830$  mdyn/Å and  $k_2 = -0.415$  mdyn/Å. The negative sign is indicative of the fact that the perturbation acts to reduce the restoring forces that act on a belt atom if it is given a predominantly radial displacement in the belt plane. The values of the  $C_{70}$  mode frequencies, calculated to first order in perturbation theory, are listed in Table V; the values of  $P_r$  and  $P_b$  in Table IV are essentially unchanged by this perturbation. To obtain more accurate values it would be necessary to go to second-order perturbation theory.

In Table VI, we list the values of the Raman-active modes measured experimentally  $8,16$  along with suggested mode assignments as deduced from this calculation. The calculated mode frequencies listed in this table include the efFect of the mode-softening perturbation of the belt modes. Similarly, the corresponding calculated infraredactive mode frequencies are compared with the experimentally observed infrared frequencies in Table VII. It is noted that the agreement between the experimental values of the frequencies and the calculated ones is, overall, very satisfactory.

TABLE VII. Comparison between the frequencies, in  $cm^{-1}$ , measured by infrared absorption with our calculated frequencies and mode assignments. Calculated values  $\omega_{\rm calc}$ include the effects of perturbation theory (see text).

$\overline{(cm^{-1})^a}$ $\boldsymbol{\omega}$	$\omega$ (cm <sup>-1</sup> ) <sup>b</sup>	$\omega_{\rm calc}\,\,({\rm cm}^{-1})$	Mode
458	458	468	$E_1'$
535	535	525	$A_2''$
565	565	550	$E_1^{\prime}$
578	578	574	$E_1^\prime$
642	642	623	$E_1'$
674	674	668	$A_2''$
796	795	809	$E_1^{\prime}$
	1086	1053	$A_2''$
1134	1134	1187	$E_1^\prime$
	1252	1262	$E_1^\prime$
	1292	1271	$A_2''$
	1321	1316	$E_1^{\prime}$
1415	1414	1377	$E_1^{\prime}$
1431	1430	1430	$E_1^\prime$
1462	1460	1450	$A_2''$
	1562	1563	$E_1^{\prime}$

<sup>a</sup>Measurements reported in Ref. 9.

Measurements reported in Ref. 8.

	Even parity			Odd parity		
$\nu_i(\mathcal{R})$		Frequency $\rm (cm^{-1})$	$\nu_i(\mathcal{R})$	Frequency $\left(\text{cm}^{-1}\right)$		
	$C_{60}$ model	$M_6C_{60}$ model		$C_{60}$ model	$M_6C_{60}$ model	
$\nu_1(A_g)$	492	505	$\nu_1(A_u)$	1142	1089	
$\nu_2(A_g)$	1468	1429				
			$\nu_1(F_{1u})$	505	473	
$\nu_1(F_{1g})$	501	497	$\nu_2(F_{1u})$	589	602	
$\nu_2(F_{1g})$	981	940	$\nu_3(F_{1u})$	1208	1211	
$\nu_3(F_{1g})$	1346	1322	$\nu_4(F_{1u})$	1450	1409	
$\nu_1(F_{2g})$	541	508	$\nu_1(F_{2u})$	367	352	
$\nu_2(F_{2g})$	847	803	$\nu_2(F_{2u})$	677	627	
$\nu_3(F_{2g})$	931	903	$\nu_3(F_{2u})$	1025	1062	
$\nu_4(F_{2g})$	1351	1324	$\nu_4(F_{2u})$	1212	1230	
			$\nu_5(F_{2u})$	1575	1488	
$\nu_1(G_g)$	498	479				
$\nu_2(G_g)$	626	589	$\nu_1(G_u)$	385	366	
$\nu_3(G_g)$	805	754	$\nu_2(G_u)$	789	755	
$\nu_4(G_g)$	1056	1102	$\nu_3(G_u)$	929	890	
$\nu_5(G_g)$	1375	1348	$\nu_4(G_u)$	961	966	
$\nu_6(G_g)$	1521	1435	$\nu_5(G_u)$	1327	1295	
			$\nu_6(G_u)$	1413	1357	
$\nu_1(H_g)$	269	260				
$\nu_2(H_g)$	439	415	$\nu_1(H_u)$	361	355	
$\nu_3(H_g)$	708	660	$\nu_2(H_u)$	543	519	
$\nu_4(H_g)$	788	791	$\nu_3(H_u)$	700	655	
$\nu_5(H_g)$	1102	1110	$\nu_4(H_u)$	801	760	
$\nu_6(H_g)$	1217	1224	$\nu_5(H_u)$	1129	1140	
$\nu_7(H_g)$	1401	1346	$\nu_6(H_u)$	1385	1358	
$\nu_8(H_g)$	1575	1485	$\nu_7(H_u)$	1552	1456	

TABLE VIII. Normal mode vibrations of  $C_{60}$  and  $M_6C_{60}$  calculated using the force constants given in Table I.

# III. DISCUSSION

The model considered above for  $C_{60}$  and  $C_{70}$  can be extended to treat the vibrational modes in doped fullerenes. It is observed that the Raman-active mode frequencies in solid  $M_6C_{60}$ , where M represents an alkali-metal atom, are largely *independent* of the ionic radius or mass of M. This suggests that these modes are very nearly ideal intramolecular modes, and that we should consider the anions  $C_{60}$ <sup>6-</sup> as being largely decoupled from the metal cations. It should therefore be possible in a first approximation to treat the effect of doping on the vibrational modes by considering the effect of charge transfer, from the alkali-metal atoms to the fullerene molecule, on the force constants of the model. Calculations using the  $QCFF/PI$  method<sup>33</sup> indicate that the C<sub>60</sub><sup>6-</sup> ion retains its icosahedral symmetry, but that the short C=C bond is elongated while the long C—C bond shrinks slightly. Thus the difference in bond length has been calculated to decrease from 0.06 Å in C<sub>60</sub> to 0.01 Å in C<sub>60</sub><sup>6-</sup>, so that for  $C_{60}$ <sup>6-</sup> there is almost no distinction between the bond lengths for the C=C and C-C bonds.<sup>34</sup> Accordingly we expect the pentagonal bond-stretching force constant  $s_n$  to increase while the hexagonal bond-stretching force constant  $s_h$  will decrease. The effect of doping on  $s_2$  and  $s_3$  is not expected to be large because the length of the bonds connecting an atom to its secondand third-nearest neighbors does not change appreciably. A  $20\%$  reduction in the angle-bending force constants is assumed in order to obtain a good fit to the available Raman data of  $M_6C_{60}$ .<sup>6</sup> The values of the force constants used in the calculation of the vibrational frequencies in  $M_6C_{60}$  are listed in Table I as the primed quantities. We note that the bond-stretching constants  $s_p$ ,  $s_h$ , and  $s_3$  change between 10% and 20% while  $s_2$  is almost unchanged. The bond-bending force constants all change by about 20%. The results of this calculation for  $M_6C_{60}$ are shown in Table VIII. Although it may be possible to apply this model to  $M_3C_{60}$  by considering values of the force constants which are intermediate between their values in  $C_{60}$  and their corresponding values in  $M_6C_{60}$ , the situation in this case is certainly more complicated.

The metallic nature of  $M_3C_{60}$  makes the optical spectroscopic measurements harder to perform. Furthermore the Jahn-Teller coupling may lead to a ground state which is not accurately characterized by the  $I_h$  symmetry of the icosahedral point group. In  $M_3C_{60}$ , the strong electronphonon coupling causes an extensive broadening of the  $H<sub>q</sub>$ -derived Raman-active phonon modes, as well as frequency shifts for these modes, while other modes may be hardly affected by this coupling. Consequently it is not possible to obtain accurately the shift in phonon frequencies in  $M_3C_{60}$  as compared with those in  $C_{60}$  by simply considering a modification of the force constants due to the charge transfer; only a first-order approximation is possible.

The set of force constants used in calculating the eigenfrequencies in  $M_6C_{60}$  is used here to predict the values of the Raman- and infrared-active modes in  $M_6C_{70}$ . Using the same perturbation matrix with the same values of  $k_1$  and  $k_2$  as in the calculation of the vibrational frequencies in  $C_{70}$ , all the frequencies of the vibrational modes in  $M_6C_{70}$  are calculated and listed in Table V along with the corresponding irreducible representations of the  $D_{5h}$  point group according to which these modes transform. Although most of the eigenfrequencies in  $C_{60}$ and  $C_{70}$  undergo a negative shift as a result of doping, some modes, whose frequencies are sensitively dependent on the bond-stretching force constant of the pentagonal nearest-neighbor bonds, do experience an upward shift in frequency. There are no reported measurements of the frequencies of the vibrational modes in  $M_6C_{70}$ , and thus we believe that the results in Table V may be useful for the interpretation of future spectroscopic measurements on doped  $C_{70}$ .

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