

## Mass formula for ${}^3\text{He}$ clusters

F. Castaño

*Departamento de Física, Facultad de Ciencias, Universidad de Extremadura, 06071 Badajoz, Spain*

M. Membrado

*Departamento de Física Teórica, Facultad de Ciencias, Universidad de Zaragoza, 50009 Zaragoza, Spain*

A. F. Pacheco

*Departamento de Física Teórica, Facultad de Ciencias, Universidad de Zaragoza, 50009 Zaragoza, Spain  
and Instituto de Ciencia de Materiales (Consejo Superior de Investigaciones Científicas),  
Universidad de Zaragoza, 50009 Zaragoza, Spain*

J. Sañudo

*Departamento de Física, Facultad de Ciencias, Universidad de Extremadura, 06071 Badajoz, Spain*

(Received 7 December 1992; revised manuscript received 25 May 1993)

We have obtained an analytic expression for the total energy of  ${}^3\text{He}$  clusters composed of  $N$  atoms. It is a variational solution of an energy density functional, where the extended Thomas-Fermi method has been used for the kinetic-energy density. The energy is calculated as an expansion in decreasing powers of the cluster radius,  $R \propto N^{1/3}$ . Contributions of volume ( $R^3$ ), surface ( $R^2$ ), curvature ( $R$ ), constant ( $R^0$ ), ( $1/R$ ), and ( $1/R^2$ ) are identified in the formula. Simple analytical formulas are also derived for relevant quantities such as chemical potentials, fusion and fission potentials, surface thickness, unit radii, and relative compression. Our results are compared with other available theoretical calculations.

### I. INTRODUCTION

The study of the stability and structure of rare-gas clusters has received growing attention in the past few years.<sup>1-5</sup> At present, although the experimental values of the binding energy,<sup>6</sup> density,<sup>7</sup> compressibility,<sup>7</sup> and surface tension<sup>8</sup> of the two heliums are known, the experimental information about helium drops is still rather poor.<sup>3,9,10</sup> On the theory side, previous studies<sup>4,11-23</sup> of  ${}^3\text{He}$  and  ${}^4\text{He}$  clusters have mainly dealt with the description of ground-state properties along two principal routes. First we find microscopic-type calculations such as those in Refs. 4 and 11, where a Monte Carlo method is performed using the Aziz *et al.*<sup>24</sup> atomic potential as input. Second, we find the density-functional method equipped with phenomenological zero-range interactions.<sup>13,15,16,21</sup> This second strategy is more economical and, usually, provides a clearer picture of the structure of these systems.

The aim of this work is to obtain an analytical formula for the total energy, and other physical observables, of a cluster composed of  $N$  atoms of  ${}^3\text{He}$ , using a variational ansatz for the structure of the cluster. This work can be considered as a continuation of another dealing with  ${}^4\text{He}$  clusters.<sup>21</sup> As the  ${}^3\text{He}$  atom is a fermion, the many-body equation with which one would have, in principle, to deal with, are of the Hartree-Fock type. But this type of calculation is difficult and does not permit to obtain analytic expressions, therefore we will resort to the widely used semiclassical approximation for the kinetic-energy density and apply the extended Thomas-Fermi (ETF) method.

The use of this approximation is justified because the genuine quantum-mechanical effects are not very pronounced. We will use a functional of phenomenological nature which has already been successfully used in the description of atomic nuclei.<sup>25</sup> With respect to the variational ansatz, we have used a function proposed by some of us in the description of the physics of metallic clusters.<sup>26-28</sup>

The paper is organized as follows. Section II presents the energy functional to be used in the ETF method; from this functional we will obtain the energy of the clusters as a function of two variational parameters. The other parameters of the model are fixed so as to reproduce the experimental data for  ${}^3\text{He}$ , and take into account higher-order inhomogeneity corrections (ETF parameters). In this second section we impose the condition that the total energy of a cluster must be a minimum. This leads to mathematical conditions which fix analytically the variational parameters and the other coefficients of the mass formula. Section III presents our results and a comparison with other available theoretical calculations; in particular, the value of the coefficients in the mass formula, fission, and fusion potentials, the chemical potential, skin depth, unit radii, relative compression, and profile of densities are all discussed as a function of  $N$ . Finally, in Sec. IV we give our conclusions.

### II. DENSITY FUNCTIONAL AND CALCULATION OF THE ENERGY OF THE CLUSTERS

In the present work, as in Ref. 21, we will use the following functional for the total energy of the clusters:

$$E[n] = \int \left[ \frac{\hbar^2}{2m^*} \mathcal{T} + \frac{b}{2} n^2 + \frac{c}{2} n^p + d(\nabla n)^2 \right] d\mathbf{r},$$

$$= E_{\text{kin}} + E_a + E_r + E_d, \quad (2.1)$$

where  $n(\mathbf{r})$  is the particle density and  $m^*$  is the effective mass of a  ${}^3\text{He}$  atom. Functionals of the type (2.1) have been extensively used in the description of atomic nuclei and can be derived from a phenomenological interaction of the Skyrme type.<sup>25</sup> The coefficients of the functional are determined by fitting an appropriate set of experimentally known quantities. For the effective mass we use

$$\frac{1}{m^*} = \frac{1}{m} \left[ 1 - \frac{n}{n_c} \right]^2, \quad (2.2)$$

with  $n_c = 0.0406 \text{ \AA}^{-3}$ , and  $m$  being the mass of the  ${}^3\text{He}$  atom. Equation (2.2) accounts very accurately for the variation of the specific heat with pressure<sup>29</sup> and is necessary for a proper description of  ${}^3\text{He}$  at  $T=0$ . On the contrary, the use of  $m^*$  is not necessary when dealing with  ${}^4\text{He}$ ; that is because there  $m^* = m$ .

The first term in Eq. (2.1),  $E_{\text{kin}}$ , represents the cluster kinetic energy and it was obtained by performing ETF calculations based on the following kinetic-energy density,<sup>30</sup>  $\mathcal{T}(\mathbf{r})$ :

$$\mathcal{T}(\mathbf{r}) = \alpha n^{5/3} + \beta \frac{(\nabla n)^2}{n} + \delta \nabla^2 n, \quad (2.3)$$

with  $\alpha = \frac{3}{5}(3\pi^2)^{2/3}$ ,  $\beta = \frac{1}{18}$ , and  $\delta = \frac{1}{3}$ . This functional can be obtained through a development of the cluster kinetic energy as a power series of  $\hbar$ , when dealing with a fermionic assembly moving in a smoothly varying local potential. In this respect we find a clear difference with respect to what we used in Ref. 21. There, the ground state of the system was formed by a Bose condensate and, hence,  $\mathcal{T}(\mathbf{r})$  could be written down exactly. The coefficient  $\beta = \frac{1}{18}$  accounts for high-order inhomogeneity corrections involving the high-order density dependence of the effective mass.<sup>31</sup> The validity of Eq. (2.3) is justified in this system because in a microscopic quantum-mechanical analysis of it, neither shell effects nor Friedel-oscillations have been observed to occur; hence, a semiclassical description is quite close to the quantum-mechanical one.<sup>15</sup>

The term with  $b$  represents the attractive component of the interatomic potential—at long distance—while  $c$  stands for the short-distance repulsive interaction. The value of the coefficients  $b$ ,  $c$ , and  $p$  is chosen so as to fit the bulk properties of  ${}^3\text{He}$ . The fourth term of the functional represents a repulsive effect at the surface, and  $d$  will be fixed so as to fit the experimental value of the surface tension, once the trial function for the density is given.

### A. Bulk parameters

In order to fix the bulk parameters, let us consider a fermionic assembly of  $N$  particles, which form a sphere of constant density and radius  $R$ . If the energy is given by Eq. (2.1), then

$$E = \frac{3\hbar^2}{10m} \left[ \frac{9\pi}{4} \right]^{2/3} \frac{N^{5/3}}{R^2} \left[ 1 - \frac{3N}{4\pi n_c R^3} \right]^2$$

$$+ \frac{3b}{8\pi} \frac{N^2}{R^3} + \frac{c}{2} \left[ \frac{3}{4\pi} \right]^{p-1} \frac{N^p}{R^{3(p-1)}}, \quad (2.4)$$

where it is assumed that  $b < 0, c > 0$  and  $p > 1$ . This expression for the energy had a parallel counterpart in the bosonic case; there the expression was simpler because the kinetic-energy term was absent. For a fixed  $N$ , the condition of extremum,  $dE/dR = 0$ , leads to

$$0 = r^{3p-5} + \frac{5b}{2(12\pi^5)^{1/3}} \frac{m}{\hbar^2} r^{3p-6} - 5r_c^3 r^{3p-8}$$

$$+ 4r_c^6 r^{3p-11} + \frac{5(p-1)c}{2(12\pi^5)^{1/3}} \left[ \frac{3}{4\pi} \right]^{p-2} \frac{m}{\hbar^2}, \quad (2.5)$$

where we have adopted the convention  $R = rN^{1/3}$  and  $r_c = (3/4\pi n_c)^{1/3}$ . The solution of Eq. (2.5) provides a value for  $r$ ,  $r_0$ , which depends exclusively on the parameters of the potential; moreover, we obtain a unit radius not dependent on  $n$ , i.e., a saturating behavior.<sup>32</sup> To assure stability, we must analyze the second derivative  $d^2E/dR^2|_{r=r_0}$ , too,

$$\frac{d^2E}{dR^2} \Big|_{r=r_0} = \frac{9}{4\pi} \frac{N^{1/3}}{r_0^4} \left\{ \frac{3}{5}(12\pi^5)^{1/3} \frac{\hbar^2}{m} \right.$$

$$\times \left[ \left( \frac{5}{3} - p \right) - 5 \left( \frac{8}{3} - p \right) \frac{r_c^3}{r_0^3} \right.$$

$$\left. \left. + 4 \left( \frac{11}{3} - p \right) \frac{r_c^6}{r_0^6} \right] \right.$$

$$\left. + \frac{3b}{r_0} (1 - p/2) \right\}. \quad (2.6)$$

If  $b = c = 0$ , there are two possible solutions for Eq. (2.5),  $r_0 = r_c$  and  $r_0 = 4^{1/3} r_c$ . The first one corresponds to a minimum (with  $E = 0$ ) and the second one to a maximum. If  $c = 0$ ,  $b$  values increasingly negative imply a decrease of the  $r_0$  value, corresponding to the minimum (so that  $r_0 < r_c$ ). On the other hand, if  $b = 0$ , an increasing  $c$  implies an increasing value for  $r_0$ , corresponding to the minimum ( $r_0 > r_c$ ), but such state will have  $E > 0$  and will eventually decay. Thus, we can see how the two, attractive and repulsive, terms are necessary to provide a unit radius  $r_0 > r_c$  such that a stable equilibrium (minimum of the energy), without decay ( $E < 0$ ), can be implemented.

Our next task will be to apply these ideas to the  ${}^3\text{He}$  case and then to determine the bulk properties of our model. Eq. (2.5) gives  $r_0(n_0)$  which, inserted into Eq. (2.4) will give the binding energy per particle

$$\frac{E}{N} \Big|_{r=r_0} = \frac{E}{N} \Big|_0.$$

In a parallel way, we can also calculate the compressibility of the liquid  ${}^3\text{He}$ ,  $K_0$ . From its definition, we have

$$K_0^{-1} = K^{-1} \Big|_{r=r_0} = \frac{n_0 r_0^2}{9} \left[ \frac{\partial^2(E/N)}{\partial r^2} \right]_{r=r_0}. \quad (2.7)$$

The last three equations will provide the basic properties of our system when saturation is reached, i.e., bulk properties ( $N \rightarrow \infty$ ). Using<sup>15,16</sup>  $b = -683.0 \text{ K } \text{\AA}^3$ ,  $c = 1.405\,057 \times 10^6 \text{ K } \text{\AA}^{3(p-1)}$ , and  $p = 4.1$ , we can fit the experimental values of the density (or unit radius),  $n_0 = 1.6347 \times 10^{-2} \text{ \AA}^{-3}$  ( $r_0 = 2.4443 \text{ \AA}$ ), binding energy  $E/N|_0 = -2.49 \text{ K}$ , and compressibility  $K_0 = 5.056 \text{ K}^{-1} \text{ \AA}^3$  for  ${}^3\text{He}$ .

### B. Trial function for the density: Semi-infinite medium

As a trial function to implement the variational calculation, we are going to use a function proposed by some of us, and which has been used both in Ref. 21 and in analytical calculation of metallic clusters. Its form is

$$n(\mathbf{r}) = \bar{n} \begin{cases} 1 - R(1+s/R)e^{-R/s} \frac{\sinh(r/s)}{r}, & r \leq R \\ R \left[ \cosh(R/s) - \frac{\sinh(R/s)}{R/s} \right] \frac{e^{-r/s}}{r}, & r > R; \end{cases} \quad (2.8)$$

$\bar{n}$ ,  $R$ ,  $s$  are the variational parameters which have to be fixed.

From now on, we will assume that any helium drop formed by  $N$  atoms has a spherical symmetry and, of course, the density function  $n(\mathbf{r})$  verifies the normalization condition

$$\int n(\mathbf{r}) d\mathbf{r} = N, \quad (2.9)$$

hence, there are two independent parameters at our disposal; suppose that they are  $R$  and  $s$ . It is easy to see that, due to (2.8) and (2.9)  $R$  can be interpreted as the equivalent sharp radius. It is useful to use the convention  $R = rN^{1/3}$ ,  $r$  being the equivalent-sharp unitary radius, and as  $\bar{n} = 3/4\pi r^3$ ; thus, our final variational parameters are  $r$  and  $s$ .

Inserting Eq. (2.8) into (2.1) and using  $\hbar^2/m = 16.044 \text{ K } \text{\AA}^2$ , we can obtain the leptodermic development of the four terms composing the cluster energy, i.e.,  $E_{\text{kin}}$ ,  $E_a$ ,  $E_r$ , and  $E_d$ . As the expressions are rather long they are omitted (available on request).

By adding all the contributions with the same order in  $N$ , we can express the energy as

$$E(r, s, N) = N \left[ \sum_{n=0}^{\infty} f_n(r, s) N^{-n/3} \right], \quad (2.10)$$

where, as usual,  $f_0$  represents the volume term,  $f_1$  the surface term,  $f_2$  that of curvature, etc.

The total energy must be an extremum, minimum, with respect to variations in the parameters  $r$  and  $s$ , i.e.,

$$\frac{\partial}{\partial r} E(r, s, N) = \sum_{n=0}^{\infty} (f_n)_r^{(1)}(r, s) \Big|_{r_m, s_m} N^{-n/3} = 0, \quad (2.11a)$$

$$\frac{\partial}{\partial s} E(r, s, N) = \sum_{n=0}^{\infty} (f_n)_s^{(1)}(r, s) \Big|_{r_m, s_m} N^{-n/3} = 0, \quad (2.11b)$$

where  $r_m$  and  $s_m$  indicate the values of  $r$  and  $s$  at the minimum. Now, after performing a Taylor expansion in Eqs. (2.11) around  $r_0$  and  $s_0$ , of the form

$$r_m = r_0 + \sum_{i=1}^{\infty} \Delta_i N^{-i/3}, \quad (2.12a)$$

$$s_m = s_0 + \sum_{i=1}^{\infty} \Delta_i N^{-i/3}, \quad (2.12b)$$

then for the lowest  $n$ , by noting that  $f_0$  does not depend on  $s$ , we will have

$$(f_0)_r^{(1)}(r=r_0) = 0, \quad (2.13a)$$

$$(f_1)_s^{(1)}(r=r_0, s=s_0) = 0. \quad (2.13b)$$

Equation (2.13a) expresses something already known: with the chosen values of  $b$ ,  $c$ , and  $p$ , the volume energy per atom for bulk matter is an extremum in  $r_0$  (see Sec. II A). Equation (2.13b) corresponds to the minimization of the surface tension of the semi-infinite medium and allows us to calculate  $s = s_0(n_c, d, \beta, \delta)$ . To fix  $d$ , we will use the experimental value of the surface tension  $\sigma_0 = 0.113 \text{ K } \text{\AA}^{-2}$ . We know that

$$\sigma = \lim_{N \rightarrow \infty} \frac{E(r_m, s_m, N) - N f_0(r_0)}{4\pi r_m^2 N^{2/3}}.$$

By looking at Eq. (2.10) and doing a Taylor expansion around  $r_0$  and  $s_0$ , as defined, we have

$$\sigma = \frac{f_1(r_0, s_0(n_c, d, \beta, \delta))}{4\pi r_0^2}. \quad (2.14)$$

In this way, having Eqs. (2.13b) and (2.14) fitted to the experimental surface tension, we obtain expressions for  $s_0(n_c)$  and  $d(n_c, \beta, \delta)$ .

Using for  $n_c$ ,  $\beta$ , and  $\delta$ , the values fixed at the beginning of this section, we obtain

$$s_0 = 2.4171 \text{ \AA}, \quad (2.15a)$$

$$d = 1.8831 \times 10^3 \text{ K } \text{\AA}^5. \quad (2.15b)$$

At this point, all the parameters entering the density functional are fixed. The value of  $d$  given in (2.15b) differs only slightly from that found by Stringari and Treiner<sup>15,16</sup> ( $d^{\text{ST}} = 2.222 \times 10^3 \text{ K } \text{\AA}^5$ ). These authors use an identical density functional and analyze it through the Euler-Lagrange equations.

### C. Condition of extremum for the energy

Now we are ready to proceed to the minimization. The idea consists of obtaining  $s_m(r_m)$  as a certain  $s_0(r_0)$  plus corrections; this is shown in Eqs. (2.12), in such a way that Eqs. (2.11) are fulfilled at all orders in  $N$ . The

reader interested in details is referred to Ref. 21; here only the last formula is written. The energy at the minimum can be expressed, in a self-explanatory notation, as

$$E = a_v(r_0)N + a_s(r_0, s_0)N^{2/3} + a_c(r_0, s_0)N^{1/3} + a_0(r_0, s_0)N^0 + \sum_{n=-1}^{-\infty} a_n(r_0, s_0)N^{n/3}, \quad (2.16)$$

where the new coefficients have been tabulated in Table I.

### III. RESULTS AND DISCUSSION

In Fig. 1, we have plotted  $E/N$  as a function of  $N$ , including the contribution of the successive terms given by Eq. (2.16). To make the comprehension of the figure easier, we have plotted the results with two different scales both in abscissas (ordinary, for  $N < 100$ , and the other logarithmic, for  $N > 100$ ) as in ordinates (both ordinary). From this figure we observe how the contribution of  $a_0$  together with that of  $a_{-1}$ , and specially that of  $a_{-2}$ , allows the existence of bound states from a minimum  $N$  value, namely  $N_m \approx 38$ . A value of  $N$  lower than  $N_m$  would correspond to a metastable state which we will refer to later.

Previous calculations related to  ${}^3\text{He}$  are the one by Pandharipande, Pieper, and Wiringa<sup>4</sup> and the one by Stringari and Treiner,<sup>15</sup> which are also written in Table I, to have a first-sight comparison. The calculation of Ref. 4 uses a Hamiltonian containing the HFDHE2 (Hartree-Fock dispersion) interatomic potential of Aziz *et al.*,<sup>24</sup> and the results are obtained through a variational Monte Carlo (VMC) method. The values in Table I are fitted to VMC energies for the interval  $20 \leq N \leq 240$ .

Referring to  $a_v$  and  $a_s$  the different results show a remarkable concordance, specially for  $a_s$ , while there exists a marked discrepancy with respect to  $a_c$ . At this point it is worth emphasizing that while our calculation is completely analytical and that we are able to calculate as many coefficients as we wish, in Ref. 4, the values are obtained using a best fit with an accuracy of  $\chi^2$ , of the order of one per degree of freedom, and coming only up to  $a_c$ . Normally, one would expect that the curvature correction to the energy of a convex surface would be positive, and indeed these authors admit the lack of physical

TABLE I. Energy coefficients (in K) of the mass formula for  ${}^3\text{He}$  clusters.

	Present work	Reference 4	Reference 15
$a_v$	-2.49	-2.09 <sup>a</sup>	-2.49 <sup>b</sup>
$a_s$	8.48	9.90 <sup>a</sup>	8.42 <sup>b</sup>
$a_c$	5.31	-9.90 <sup>a</sup>	4.09 <sup>b</sup>
$a_0$	-16.08		-19.80 <sup>c</sup>
$a_{-1}$	-0.01		
$a_{-2}$	-31.32		

<sup>a</sup>Fit to VMC energies for  $20 \leq N \leq 240$ .

<sup>b</sup>Asymptotic properties of EL energies for bulk and semi-infinite liquid.

<sup>c</sup>Fit to QM energies. This is required to reproduce the energy of light magic clusters.

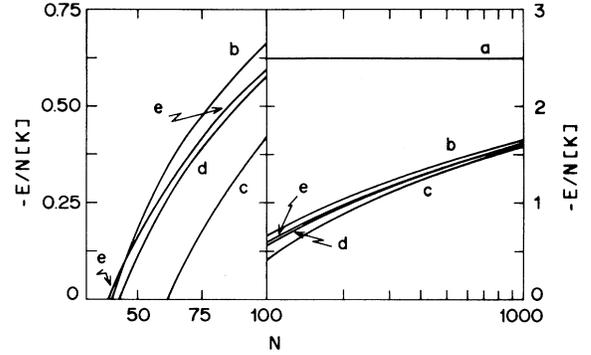


FIG. 1. Energy per atom in a  ${}^3\text{He}$  cluster: Contribution of the different terms of the mass formula (see the text). Curve a:  $a_v$ ; curve b:  $a_v + a_s N^{-1/3}$ ; curve c:  $a_v + a_s N^{-1/3} + a_c N^{-2/3}$ ; curve d:  $a_v + a_s N^{-1/3} + a_c N^{-2/3} + a_0 N^{-1}$ ; curve e:  $a_v + a_s N^{-1/3} + a_c N^{-2/3} + a_0 N^{-1} + a_{-1} N^{-4/3} + a_{-2} N^{-5/3}$ .

meaning of the  $a_c$  found in the fit. They are also aware of the high influence that the degree of the assumed polynomial has on the value of  $a_c$ . In the case of having extended the calculation up to terms in  $N^0$ , the value of the obtained  $a_c$  would have been positive; this would have been confirmed if one had extended the calculations further, i.e., up to  $N^{-1/3}$  or  $N^{-2/3}$ . It is also likely that in these cases the  $a_v$  of these authors would have got near ours. The discrepancies existing in the coefficients are, however, not very important for the cluster energy as one can observe in Fig. 2. As a general tendency in the figure, our values are higher than those of Ref. 4, for  $N > 50$ .

With respect to Ref. 15, those authors are precisely the density functional given in Eq. (2.1) and their results are derived through a quantum-mechanical (QM) calculation. The values presented in Table I for  $a_v$ ,  $a_s$ , and  $a_c$  are obtained by these authors from the asymptotic properties of Euler-Lagrange (EL) energies for the bulk and semi-infinite liquids, whereas  $a_0$  is obtained by fitting QM energies so as to reproduce the energy of light magic clusters.  $a_v$  and  $a_s$  should be coincident with ours because the two calculations give the bulk properties of the system and also fit the surface tension of the semi-infinite

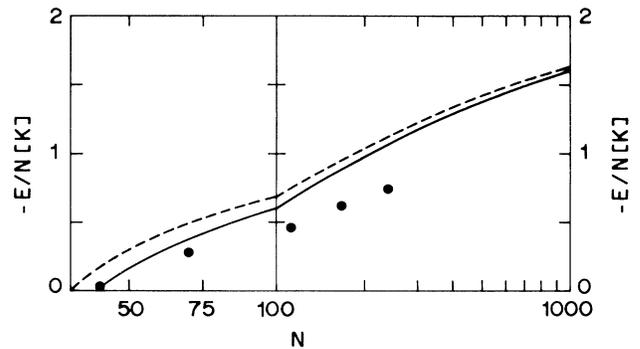


FIG. 2. Energy per atom in a  ${}^3\text{He}$  cluster. The continuous line represents the present work. The  $\bullet$  represent the VMC energies of Ref. 4. The dotted line represents the asymptotic properties of the EL and QM energies (Ref. 15).

medium. However, a small discrepancy is observed with regard to  $a_s$ , which is probably traced to a misprint or to the existence of a rounding error in any of the magnitudes involved in the computation of this coefficient. Within the general agreement, with respect to  $a_c$  and  $a_0$  we find a greater discrepancy. Again one should remember that the  $a_0$  of Ref. 15 was obtained through a numerical adjustment and no more coefficients were calculated. In Fig. 2 we have also drawn the  $E/N$  values from Ref. 15. There, one observes how for small  $N$ , they lie between those of Refs. 4 and 15, and for large  $N$ , our values tend to coincide with those of Ref. 15 (asymptotically the coincidence is complete). It is, likewise, interesting to find out what is the minimum value of  $N$ ,  $N_m$ , necessary to have a stable  ${}^3\text{He}$  cluster. As already mentioned, in our calculation we find  $N_m \approx 38$ , to be compared with  $N_m = 40$  obtained in Ref. 4 and with  $N_m = 30$  of Ref. 15. This greater proximity to the result of Ref. 4 is not strange because in the range of small  $N$ ,  $E/N$  in the two cases is quite similar (see Fig. 2).

Now let us define the fusion potential,  $\phi_{\text{FU}}$ , as the energy released when a cluster of  $N$  atoms captures 1 atom more; i.e., in the transit  $N \rightarrow N+1$ . Analogously, the fission potential  $\phi_{\text{FI}}$  is the energy to be added to an  $N$ , atom cluster, to strip one atom [ $N \rightarrow (N-1)+1$ ]. Hence,  $\phi_{\text{FU}} = E(N) - E(N+1)$  and  $\phi_{\text{FI}} = E(N-1) - E(N)$ , respectively. Using Eq. (2.16) and the coefficients quoted in Table I, we will have

$$\begin{aligned}\phi_{\text{FU}}[K] &= 2.49 - 5.66N^{-1/3} - 1.77N^{-2/3} + 0.94N^{-4/3}, \\ \phi_{\text{FI}}[K] &= 2.49 - 5.66N^{-1/3} - 1.77N^{-2/3} - 0.95N^{-4/3}.\end{aligned}\quad (3.1)$$

In Fig. 3 these values, together with the QM results of Ref. 15, are plotted. Unfortunately, these potentials have not been computed in Ref. 4. In Fig. 3 we observe that the agreement for  $\phi_{\text{FI}}$  is better than that for  $\phi_{\text{FU}}$ . As  $N$  gets higher values, the difference between  $\phi_{\text{FU}}$  and  $\phi_{\text{FI}}$ , in our analysis, comes down quickly; in Ref. 15 this occurs more slowly. When  $N \rightarrow \infty$ ,  $\phi_{\text{FU}}$  and  $\phi_{\text{FI}}$  tend to the same asymptotic value.

Another interesting observable is the chemical potential, which is defined at zero temperature as  $dE(N)/dN$ . In our analysis, we find

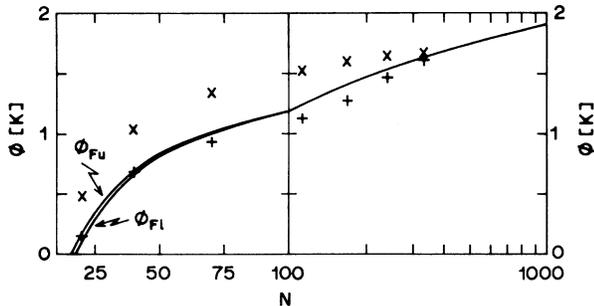


FIG. 3. Fusion and fission potentials. ( $\times$ ) for fusion and ( $+$ ) for fission represent the QM values of Ref. 15.

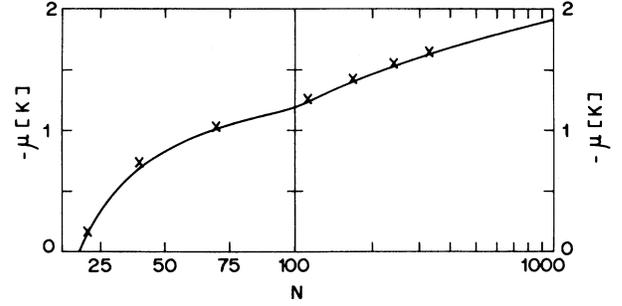


FIG. 4. Chemical potential. Crosses represent EL values of Ref. 15.

$$\begin{aligned}\mu[K] &= -2.49 + 5.66N^{-1/3} + 1.77N^{-2/3} \\ &\quad + 0.3 \times 10^{-3}N^{-4/3},\end{aligned}\quad (3.2)$$

and it is obvious that  $\mu = (\phi_{\text{FU}} + \phi_{\text{FI}})/2$ . Due to the smallness of the coefficient of the  $N^{-4/3}$  term in Eq. (3.2), this term in the sum can be neglected.

In Fig. 4,  $\mu$  is plotted vs  $N$  and compared with the results obtained in Ref. 15 by numerical integration of the EL equation (these results are denoted by crosses); the agreement is excellent. Observing Figs. 2 and 4 we note that, for small  $N$ ,  $\mu$  may be negative in spite of having  $E/N$  positive; this corresponds to metastable states. The minimum number of atoms to form a metastable cluster, in our analysis, is  $N_m^{\text{met}} \approx 17$ ; Strinari and Treiner predict  $\approx 16$ , while Pandharipande, Pieper, and Wiringa, although they have not explicitly calculated it, estimate  $N_m^{\text{met}} \approx 20$ . Thus, the agreement is notable.

Two other interesting physical magnitudes are the surface thickness,  $t(N)$ , and the unitary radius  $\hat{r}_0(N)$ .  $t(N)$  is defined as the distance between the points where the density has 0.9 and 0.1 its central density,

$$t(N) = r_{10}(N) - r_{90}(N). \quad (3.3)$$

$\hat{r}_0(N)$  is defined as

$$\hat{r}_0(N) = \left[ \frac{5}{3} \langle r^2(N) \rangle \right]^{1/2} N^{-1/3}, \quad (3.4)$$

with  $\langle r^2(N) \rangle = (1/N) \int n(r)r^2 dr$  being the mean quadratic radius. Using the trial function (2.8), it is easy to prove that

$$t = 2s_m \ln(5) + O(1/R^2), \quad (3.5)$$

and

$$t(N)[\text{\AA}] = 7.78 - 3.21N^{-1/3} + O(1/R^2). \quad (3.6)$$

In Table II, we give the values obtained by means of Eq. (3.6) and compare them with those given by the repeatedly mentioned authors. The agreement is fairly good, however the discrepancy, for small and intermediate  $N$ , with the results of Ref. 15 are more sensitive. Asymptotically, Stringari and Treiner find  $t(\infty) = 8.3 \text{ \AA}$ , and Pandharipande, Pieper, and Wiringa suggest a number close to  $8 \text{ \AA}$ ; our result is  $7.8 \text{ \AA}$ .

Inserting Eq. (2.8) into (3.4), we obtain

TABLE II. Surface thickness (in Å),  $t(N)$ , for  ${}^3\text{He}$  clusters.

$N$	Present work	Reference 4	Reference 15
20	6.6		8.6 <sup>b</sup>
40	6.8		8.8 <sup>b</sup>
70	7.0		8.8 <sup>b</sup>
112	7.1		9.5 <sup>b</sup>
168	7.2	$\sim 8^a$	9.6 <sup>b</sup>
240	7.3	$\sim 8^a$	9.5 <sup>b</sup>
330	7.3		9.4 <sup>b</sup>
$\infty$	7.8		8.3 <sup>b</sup>

<sup>a</sup>VMC values.<sup>b</sup>QM values.

$$\hat{r}_0(N) = r_m \left[ 1 + 10 \frac{s_m^2}{r_m^2} N^{-2/3} \right]^{1/2}, \quad (3.7)$$

where again the values of  $r$  and  $s$  at the minimum are used.

The result given by Eq. (3.7) is exact.<sup>33</sup> Considering now Eqs. (2.12) we obtain

$$\hat{r}_0(N)[\text{Å}] = 2.44 - 0.23N^{-1/3} + 12.66N^{-2/3} + O(1/R^2). \quad (3.8)$$

In Table III, the values coming from Eq. (3.8) are given together with the VMC values of Ref. 4 and the QM values of Ref. 15. Our results coincide pretty well with those of Ref. 4 and are slightly higher than those of Ref. 15; this discrepancy quickly disappears as  $N$  grows.

It is interesting to study the behavior of the density at the origin (center of the droplet) as  $N$  grows. The habitual strategy is by analyzing the relative compression  $\delta n/n_0$ , which is defined as

$$\frac{\delta n}{n_0} = \frac{n_c}{n_0} - 1, \quad (3.9)$$

which, bearing in mind Eq. (2.8), can be expressed as

$$\frac{\delta n}{n_0} = \left[ \frac{r_0}{r_m} \right]^3 - 1 - \left[ \frac{r_0}{r_m} \right]^3 \left[ \frac{r_m}{s_m} N^{1/3} + 1 \right] e^{-(r_m/s_m)N^{1/3}}. \quad (3.10)$$

We have found

TABLE III. Unit radii (in Å),  $\hat{r}_0(N)$ , for  ${}^3\text{He}$  clusters.

$N$	Present work	Reference 4	Reference 15
20	4.1		4.0 <sup>b</sup>
40	3.5	3.3 <sup>a</sup>	3.1 <sup>b</sup>
70	3.1	3.0 <sup>a</sup>	2.9 <sup>b</sup>
112	2.9	2.9 <sup>a</sup>	2.8 <sup>b</sup>
168	2.8	2.8 <sup>a</sup>	2.7 <sup>b</sup>
240	2.7	2.7 <sup>a</sup>	2.6 <sup>b</sup>
330	2.7		2.6 <sup>b</sup>
$\infty$	2.4		2.4 <sup>b</sup>

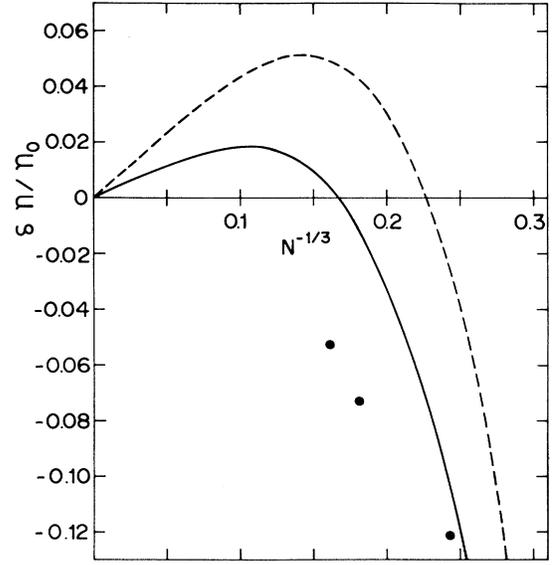
<sup>a</sup>VMC values.<sup>b</sup>QM values.

FIG. 5. Relative compression,  $\delta n/n_0$ , as a function of  $N^{-1/3}$  for  ${}^3\text{He}$  clusters. The dashed line corresponds to the properties of the EL equation of Ref. 15. The (●) corresponds to the VMC calculations of Ref. 4.

$$\begin{aligned} \frac{\delta n}{n_0} = & 0.278N^{-1/3} - 0.811N^{-2/3} \\ & - 0.348N^{-1} - 7.85N^{-4/3} \\ & - (1.01N^{1/3} + 1.60 - 2.60N^{-1/3}) \\ & \times e^{-1.01N^{1/3} + 0.323 - 1.87N^{-1/3}}. \end{aligned} \quad (3.11)$$

In Fig. 5, Eq. (3.11) is plotted together with the result found in Ref. 15 and others which are deduced from the microscopic calculation of Ref. 4. As the reader can see, our results are intermediate between these two.

Finally, in Fig. 6 we have plotted the density profile of the cluster with  $N = 186$  and its comparison with the results of the other authors. It can be observed there in the zone where most of the atoms are lodged how our results are intermediate between those of these authors, while in

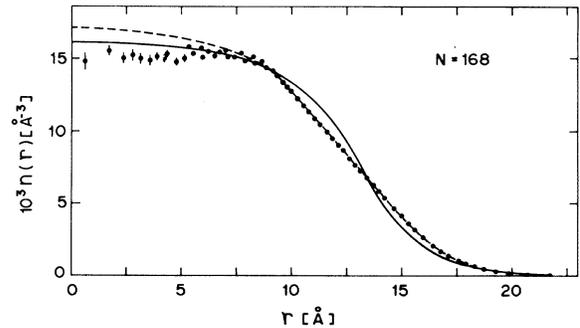


FIG. 6. Density profile of a  ${}^3\text{He}$  cluster with  $N = 168$  atoms. The dashed line represents the QM calculations of Ref. 15 whereas (●) corresponds to the microscopic calculation of Ref. 4.

the periphery of the cluster our results are more acute. This last observation can be traced to the fact that we have obtained a skin depth somewhat smaller.

#### IV. CONCLUSION

We have obtained an analytical expression for the total energy of  $^3\text{He}$  clusters, from an explicit density ansatz and a phenomenological density functional for the energy; the ETF method is used to obtain the kinetic-energy density. The trial function for the density depends on two parameters which are fixed by imposing the condition that the energy cluster must be a minimum; the other parameters of the model have been fixed by adjusting the bulk and semi-infinite media and take into account higher-order inhomogeneity corrections (Kirzhnits

terms). The leptodermic development has allowed the computation of the energy as a series in decreasing powers of  $R(N^{1/3})$ . Specifically, the volume, surface, curvature, constant,  $1/R$  and  $1/R^2$  terms have been computed. We have also presented analytic expressions for the fusion and fission potentials, chemical potential, surface thickness, unitary radii and the relative compression. Our resulting mass formula is in good agreement with the prediction obtained by other not so economical theoretical methods.

#### ACKNOWLEDGMENTS

This work was supported in part by the Spanish DGI-CYT (Projects Nos. PS90-0101 and PB90-0916).

- <sup>1</sup>See, for example, and references therein, R. S. Berry, T. L. Beck, and H. L. Davis, in *Physics and Chemistry of Small Clusters*, edited by P. Jena, B. K. Rao, and S. N. Khanna (Plenum, New York, 1986), p. 185; J. Farges, M. F. de Feraydy, B. Raoult, and G. Torchet, *ibid.*, p. 15; U. Landman, R. N. Balnett, J. Luo, D. Scharf, and J. Jortner, in *Few-Body Systems and Multiparticle Dynamics*, edited by D. Micha, AIP Conf. Proc. No. 162 (AIP, New York, 1987), p. 200; T. Moller, *Z. Phys. D* **20**, 1 (1991); J. Wormer and T. Moller, *ibid.* **20**, 39 (1991).
- <sup>2</sup>O. Echt, K. Sattler, and E. Rehnagel, *Phys. Rev. Lett.* **47**, 1121 (1981); A. Ding and J. Hesslich, *Chem. Phys. Lett.* **94**, 54 (1983).
- <sup>3</sup>P. W. Stephens and J. G. King, *Phys. Rev. Lett.* **51**, 1538 (1983).
- <sup>4</sup>V. R. Pandharipande, S. C. Pieper, and R. B. Wiringa, *Phys. Rev. B* **34**, 4571 (1986).
- <sup>5</sup>E. G. Syskakis, F. Pobell, and H. Ullmaier, *Phys. Rev. Lett.* **55**, 2964 (1985).
- <sup>6</sup>W. Keller, *Helium 3 and Helium 4* (Plenum, New York, 1969).
- <sup>7</sup>J. C. Wheatley, *Rev. Mod. Phys.* **47**, 467 (1975).
- <sup>8</sup>M. Ino, M. Suzuki, A. J. Ikushima, and Y. Okuda, *J. Low Temp. Phys.* **59**, 291 (1985); M. Ino, M. Suzuki, and A. J. Ikushima, *ibid.* **61**, 155 (1985).
- <sup>9</sup>J. Gspaun and H. Vollmar, *J. Chem. Phys.* **73**, 1657 (1980).
- <sup>10</sup>H. Buchenau, E. L. Knuth, J. Northby, J. P. Toennies, and C. Winkler, *J. Chem. Phys.* **92**, 6875 (1990).
- <sup>11</sup>V. R. Pandharipande, J. G. Zabolitsky, S. C. Pieper, R. B. Wiringa, and H. Helmbrecht, *Phys. Rev. Lett.* **50**, 1676 (1983).
- <sup>12</sup>R. Meltzer and J. G. Zabolitsky, *J. Phys. A* **17**, L565 (1984).
- <sup>13</sup>S. Stringari, *Phys. Lett.* **107A**, 36 (1985).
- <sup>14</sup>E. Krotscheck, G. X. Qian, and W. Kohn, *Phys. Rev. B* **31**, 4245 (1985).
- <sup>15</sup>S. Stringari and J. Treiner, *J. Chem. Phys.* **87**, 5021 (1987).
- <sup>16</sup>S. Stringari and J. Treiner, *Phys. Rev. B* **36**, 8369 (1987).
- <sup>17</sup>P. Sindzingre, M. L. Klein, and D. M. Ceperley, *Phys. Rev. Lett.* **63**, 1601 (1989).
- <sup>18</sup>S. A. Chin and E. Krotscheck, *Phys. Rev. Lett.* **65**, 2658 (1990).
- <sup>19</sup>M. Casas and S. Stringari, *J. Low Temp. Phys.* **79**, 135 (1990).
- <sup>20</sup>M. V. Rama and K. B. Whaley, *Phys. Rev. Lett.* **64**, 1126 (1990).
- <sup>21</sup>L. C. Balbas, A. Mañanes, M. Membrado, A. F. Pacheco, and J. Sañudo, *J. Chem. Phys.* **94**, 7335 (1991).
- <sup>22</sup>L. Serra, J. Navarro, M. Barranco, and N. V. Giai, *Phys. Rev. Lett.* **67**, 2311 (1991).
- <sup>23</sup>M. Membrado, A. F. Pacheco, and J. Sañudo, *Il Nuovo Cimento* **14D**, 177 (1992).
- <sup>24</sup>R. A. Aziz, V. P. S. Nain, J. S. Carley, W. L. Taylor, and G. T. McConville, *J. Chem. Phys.* **70**, 4330 (1979).
- <sup>25</sup>D. Vautherin and D. M. Brink, *Phys. Lett.* **32B**, 149 (1970); M. Beiner, H. Flocard, N. Van Giai, and P. Quentin, *Nucl. Phys. A* **238**, 29 (1975).
- <sup>26</sup>M. Membrado, A. F. Pacheco, and J. Sañudo, *Phys. Rev. B* **41**, 5643 (1990).
- <sup>27</sup>A. Mañanes, M. Membrado, J. Sañudo, A. F. Pacheco, and L. C. Balbas, *Z. Phys. D* **19**, 55 (1991).
- <sup>28</sup>M. Membrado, A. F. Pacheco, and J. Sañudo, *Solid State Commun.* **77**, 887 (1991).
- <sup>29</sup>S. Stringari, *Phys. Lett.* **106A**, 267 (1984).
- <sup>30</sup>J. Treiner and H. Krivine, *Ann. Phys. (N.Y.)* **170**, 406 (1986).
- <sup>31</sup>See, for example, and references therein, M. Brack, C. Guet, and H. B. Hakansson, *Phys. Rep.* **123**, 276 (1985).
- <sup>32</sup>For saturation and nonsaturation of fermions, see, for example, A. F. Pacheco and J. Sañudo, *Am. J. Phys.* **56**, 40 (1988).
- <sup>33</sup>M. Membrado, A. F. Pacheco, and J. Sañudo, *Rev. Acad. Cienc. Zaragoza* **46**, 57 (1991).