

## Bistability and negative photoconductivity in optically induced real-space transfer

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Optically induced charge transport in a modulation-doped GaAs/Al<sub>x</sub>Ga<sub>1-x</sub>As heterostructure is studied theoretically. Intersubband excitation of electrons in the GaAs quantum well due to infrared absorption leads to their real-space transfer into the adjacent Al<sub>x</sub>Ga<sub>1-x</sub>As layer. We derive a system of nonlinear model equations considering as relevant physical mechanisms the generation-recombination processes in GaAs, longitudinal and transverse dielectric carrier relaxation, as well as resonant and non-resonant tunneling across the interface, and analyze its behavior with the methods of nonlinear dynamics. We predict optoelectronic bistability associated with different photoconductive responses between the two locally stable steady states corresponding to different types of tunneling prevalent: the regime of dominant resonant-tunneling currents shows negative differential photoconductivity, whereas the non-resonant tunneling state is practically invariant with respect to changes of the IR intensity.

### I. INTRODUCTION

Nonlinear transport and instabilities associated with parallel charge transport in low-dimensional semiconductor structures have received much attention recently.<sup>1,2</sup> One important effect is the real-space transfer of electrons in modulation-doped GaAs/Al<sub>x</sub>Ga<sub>1-x</sub>As heterostructures. It can result from electron heating due to an applied electric field whereby the electrons are thermionically emitted from the high-mobility undoped GaAs well into the low-mobility *n*-Al<sub>x</sub>Ga<sub>1-x</sub>As barrier, thus producing negative differential conductivity, in analogy with the intervalley transfer in momentum space in the Gunn effect. In this paper we show that real-space transfer can also be induced by intersubband infrared excitation of carriers in the GaAs quantum well and subsequent tunneling into the adjacent Al<sub>x</sub>Ga<sub>1-x</sub>As layer, instead of field-induced carrier heating.

Electrically induced real-space transfer has been studied extensively both experimentally and theoretically.<sup>1-5</sup> ac-driven current oscillations<sup>6</sup> associated with negative differential conductivity were observed. A physical mechanism of a real-space transfer oscillator, which gives periodic<sup>7</sup> and chaotic<sup>8,9</sup> self-generated oscillations under dc conditions, has recently been proposed and numerically investigated. Also, using a reduced model, bistability and hysteretic switching transitions between oscillatory and stationary states were predicted.<sup>10</sup>

Although direct infrared absorption experiments between quantum well (QW) states in GaAs/Al<sub>x</sub>Ga<sub>1-x</sub>As heterostructures<sup>11</sup> and intersubband-induced photocurrents detected by applying a bias perpendicular to the layers<sup>12</sup> were reported a few years ago, static measurements of optically induced photoconductivity parallel to the layers were not reported until recently, with first results showing *negative* photoconductivity.<sup>13</sup> Recent interest has been focused on optical properties of electric-field tunable quantum well structures, such as optically induced charge accumulation, optoelectronic bistability, etc.<sup>14</sup>

In the present paper we propose and numerically investigate a mechanism for intersubband excitation-induced charge transport parallel to the layers of a modulation-doped GaAs/Al<sub>x</sub>Ga<sub>1-x</sub>As heterostructure. Our aim is to develop a dynamic theory of real-space transfer due to the optically induced transitions between the two two-dimensional (2D) subbands in the GaAs quantum well, and subsequent resonant and nonresonant tunneling into the Al<sub>x</sub>Ga<sub>1-x</sub>As barrier whose potential profile is calculated self-consistently by considering the space-charge dynamics. The model is analyzed using the tools of nonlinear dynamical systems.

The organization of the paper is as follows. After introducing the relevant physical mechanisms (Sec. II), the model equations are derived (Sec. III) and investigated (Sec. IV). The resulting negative photoconductivity and optoelectronic bistability are discussed in Sec. V. Finally, in Sec. VI, we summarize.

### II. THE RELEVANT PHYSICAL MECHANISMS

A sample as schematically shown in Fig. 1 will be considered. It consists of GaAs quantum wells of width  $2L_1$  embedded in thick Al<sub>x</sub>Ga<sub>1-x</sub>As barriers of width  $2L_2 = 2(L_2^u + L_2^d)$ , which are heavily *n* doped in the center (width  $2L_2^d$ ). The sample possesses a prism geometry and is illuminated on the edge by polarized monochromatic infrared radiation.<sup>13</sup>

The band structure of a single GaAs/Al<sub>x</sub>Ga<sub>1-x</sub>As layer sequence is shown schematically in Fig. 2. Since all the sequences are considered to be dynamically equivalent, we assume periodic boundary conditions. Taking into account experimentally used layer widths,<sup>13</sup> the GaAs QW contains two parabolic two-dimensional subbands,  $S_1^{(1)}$  and  $S_1^{(2)}$ , occupied by an electron density (dimension,  $1/m^3$ ) of  $n^{(1)}(x, t)$  and  $n^{(2)}(x, t)$ , respectively. Separation of conduction-band electrons, which reside in the GaAs channel at low bias  $U_0$  and low intensity  $I$  of the infrared (IR) irradiation, from their parent donors leads to band bending in the Al<sub>x</sub>Ga<sub>1-x</sub>As layer due to

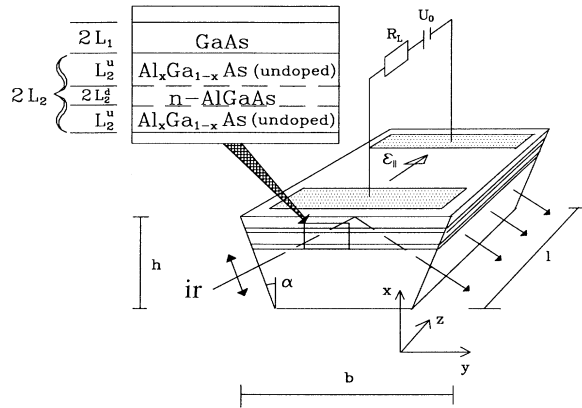


FIG. 1. Sample geometry (schematic): the sample is illuminated from the edge with IR radiation, which traverses the GaAs/Al<sub>x</sub>Ga<sub>1-x</sub>As layers twice, and is connected to an external circuit with bias voltage  $U_0$  and load resistance  $R_L$ . The GaAs layers have a width of  $2L_1$ , the Al<sub>x</sub>Ga<sub>1-x</sub>As layers [width,  $2L_2 = 2(L_2^d + L_2^u)$ ] are center doped in a region of width  $2L_2^d$  and undoped outside.

the buildup of an internal electric field  $\epsilon_1$  perpendicular to the layers, which determines the interface potential barrier  $\Phi_0 \equiv -e \int_0^{L_2} \epsilon_1(x, t) dx$  and the potential loss in the doped region  $\Phi_1 \equiv -e \int_{L_2^u}^{L_2} \epsilon_1(x, t) dx$ . Within the Al<sub>x</sub>Ga<sub>1-x</sub>As potential, only the energetically lowest two-dimensional subband  $S_2$ , assumed to be parabolic, is

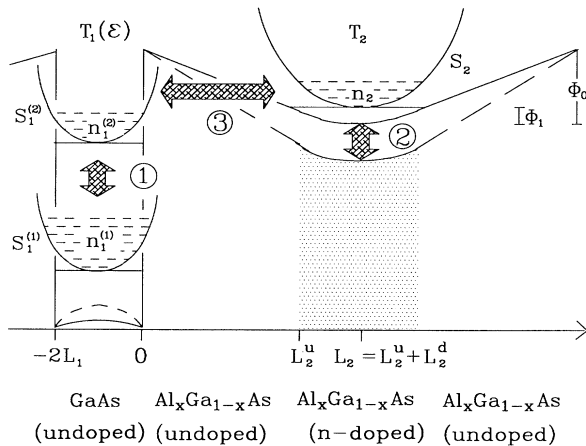


FIG. 2. Band structure of a GaAs/Al<sub>x</sub>Ga<sub>1-x</sub>As layer sequence (schematic), illustrating the system's relevant physical mechanisms: (1) the generation-recombination processes between the GaAs subbands  $S_1^{(1)}$  and  $S_1^{(2)}$  with averaged occupation densities  $n_1^{(1)}$  and  $n_1^{(2)}$ , (2) the space-charge dynamics in the Al<sub>x</sub>Ga<sub>1-x</sub>As layer determining the total potential barrier  $\Phi_0$  and the potential loss in the doped region  $\Phi_1$ , and (3) the tunneling currents which are responsible for the carrier exchange between the GaAs and Al<sub>x</sub>Ga<sub>1-x</sub>As region and thus determine the averaged carrier density in Al<sub>x</sub>Ga<sub>1-x</sub>As,  $n_2$ . The electron temperature  $T_1$  depends on the longitudinal electric field  $\epsilon_{||}$ . (The GaAs and Al<sub>x</sub>Ga<sub>1-x</sub>As layers are denoted by subscripts 1 and 2, respectively, while different subbands are denoted by superscripts.)

considered to have a nonvanishing occupation density  $n(x, t)$ , whose spatial average is denoted by  $n_2 \equiv \int_0^{L_2} n(x, t) dx / L_2$ .

The circled numbers in Fig. 2 correspond to the relevant physical mechanisms determining the system's dynamic behavior: (1) the generation-recombination kinetics between the two GaAs subbands, (2) the space-charge dynamics in the Al<sub>x</sub>Ga<sub>1-x</sub>As layer, and (3) the tunneling currents, which are responsible for the interaction between the two layers. Additionally we consider electron heating in the GaAs channel due to the applied bias  $U_0$ , resulting in an electron temperature  $T_1$  there that depends on the longitudinal electric field  $\epsilon_{||}$  parallel to the layers. Thermionic emission across the barrier  $\Phi_0$  can be neglected at the relatively small fields  $\epsilon_{||}$  considered.

Based on the above physical mechanisms, we will derive a set of nonlinear differential equations for the spatially averaged carrier densities of the two GaAs subbands,  $n_1^{(1)} \equiv \int_{-L_1}^0 n^{(1)}(x, t) dx / L_1$  and  $n_1^{(2)} \equiv \int_{-L_1}^0 n^{(2)}(x, t) dx / L_1$ , respectively, the dielectric relaxation of  $\epsilon_{||}$ , and the potential values  $\Phi_0$  and  $\Phi_1$  in the following section.

### III. THE MODEL EQUATIONS

#### A. Generation-recombination kinetics

The temporal evolution of  $n_1^{(1)}$  is governed by the rate equation

$$\begin{aligned} \dot{n}_1^{(1)} = & (X + S) \int_{-\infty}^{\infty} \mathcal{D}_1^{(2)}(E) f(E, E_{F_1}^{(2)}, T_1) \\ & \times [1 - f(E - \hbar\omega_{\hat{k}}, E_{F_1}^{(1)}, T_1)] dE \\ & - X^* \int_{-\infty}^{\infty} \mathcal{D}_1^{(1)}(E) f(E, E_{F_1}^{(1)}, T_1) \\ & \times [1 - f(E + \hbar\omega_{\hat{k}}, E_{F_1}^{(2)}, T_1)] dE. \end{aligned} \quad (1)$$

$\mathcal{D}_1^{(i)}(E) \equiv \Theta(E - E_1^{(i)}) m_1^* / (\pi \hbar^2 L_1)$  is the quasi-two-dimensional density of states corresponding to  $S_1^{(i)}$ ,  $i = 1, 2$ , where  $m_1^*$  is the effective mass in GaAs,  $\Theta$  is the Heaviside function, and  $E_1^{(1)}$  and  $E_1^{(2)}$  are the minimum energies of the ground and first excited subband, respectively, where the energetic origin is defined to be the value at the GaAs/Al<sub>x</sub>Ga<sub>1-x</sub>As interface.  $\omega_{\hat{k}}$  is the frequency of the monochromatic infrared irradiation, whose photon energy  $\hbar\omega_{\hat{k}}$  is assumed to be equal to  $E_1^{(2)} - E_1^{(1)}$ .  $f(E, E_{F_1}^{(i)}, T_1) \equiv \{1 + \exp[(E - E_{F_1}^{(i)}) / k_B T_1]\}^{-1}$ ,  $i = 1, 2$ , is the Fermi-Dirac distribution function with the Boltzmann constant  $k_B$ , quasi-Fermi energies  $E_{F_1}^{(i)}$ ,  $i = 1, 2$ , and electron temperature  $T_1$ . The carriers in the GaAs channel can be considered thermalized at this temperature, since intrasubband relaxation takes place on a much smaller time scale than the relevant intersubband processes,<sup>15</sup> which are assumed to be photon absorption, stimulated emission of radiation, and intersubband relaxation with corresponding transition coefficients  $X^*$ ,  $X$ , and  $S$ , respectively.

If one further assumes spatial homogeneity of the elec-

tron temperature inside the GaAs layer and small transversal currents across the barrier, the convective, diffusive, and electron-pressure-induced heat flow in the energy balance equation<sup>16</sup> can be neglected, and only the terms describing Joule's heating and the energy loss due to polar-optical scattering remain. If  $j_{\perp}\epsilon_{\perp} \ll j_{\parallel}\epsilon_{\parallel}$  holds, where  $j_{\perp}$  and  $j_{\parallel}$  are the transversal and longitudinal current densities, respectively, and the constant energy relaxation time  $\tau_e$  is introduced, we can then roughly estimate  $T_1$  as a function of the applied electric field by

$$T_1 = T_L + \frac{e\mu_1\tau_e}{k_B}\epsilon_{\parallel}^2, \quad (2)$$

where  $\mu_1$  is the electron mobility in the GaAs channel.

$X^*$  is calculated in the second quantization picture by using Fermi's golden rule<sup>17</sup> and considering the dipole-allowed transitions only. Assuming the direction of polarization to be perpendicular to the layers, which is a good approximation for small angle  $\alpha$  (cf. Fig. 1), we obtain

$$X^* = \frac{e^2 n_r}{8\epsilon_1 \pi^2 \hbar c^3} \omega_k^3 \left[ \int_{-\infty}^{\infty} dx x \psi_1^{(1)}(x) \psi_1^{(2)}(x) \right]^2 N, \quad (3)$$

where  $n_r$  is the refractive index of GaAs,  $\epsilon_1$  is the permittivity of GaAs, and  $\psi_1^{(i)}$ ,  $i=1,2$  are the wave functions of the ground and first excited energy eigenstate of the GaAs potential, respectively. Neglecting space charges, they are given by the eigenfunctions of a square-well potential with finite height.  $N$  is the total photon number located within one GaAs layer. Applying the simple approximation that the intensity of the IR radiation is spatially constant within each GaAs layer, whereas it decreases exponentially during its double passage through all the layers, and taking into account the sample geometry, we obtain

$$N = 2 \frac{L_1 b \ln_r(T-1)}{\hbar \omega_k c \sin \alpha \cos \alpha \ln T} I, \quad (4)$$

which allows us to express  $X^*$  in terms of the intensity of the incident radiation  $I$  if the optical transmission coefficient  $T$  is known.

$X$  is equal to  $X^*$ .<sup>17</sup> Assuming the energy spacing  $E_1^{(2)} - E_1^{(1)}$  between the GaAs subband minima to be bigger than the energy  $\hbar\omega_{LO}$  of LO phonons, polar optical scattering is the main intersubband relaxation mechanism.<sup>15,18,19</sup> In a phenomenological approach, we will thus assume  $S$  to be a constant given by experimental data.<sup>18</sup>

To deduce the final expression for  $\dot{n}_1^{(1)}$ , which is given by

$$\begin{aligned} \dot{n}_1^{(1)} = & \frac{n_1^{(2)} - n_1^{(1)}}{e \frac{n_1^{(2)}/n_{c_1} - e}{n_1^{(1)}/n_{c_1}}} \\ & \times \{ [X(I) + S](e^{n_1^{(2)}/n_{c_1}} - 1) \\ & - X^*(I)(e^{n_1^{(1)}/n_{c_1}} - 1) \}, \quad (5) \end{aligned}$$

the integrations in (1) were carried out, and the quasi-Fermi energies  $E_{F_1}^{(i)}$  were substituted by the averaged occupation densities  $n_1^{(i)}$ ,  $i=1,2$  using

$$E_{F_1}^{(i)} = E_1^{(i)} + k_B T_1 \ln[\exp(n_1^{(i)}/n_{c_1}) - 1], \quad (6)$$

where  $n_{c_1} \equiv m_1^* k_B T_1 / (\pi \hbar^2 L_1)$  is the quasi-two-dimensional degeneracy concentration in GaAs.

We emphasize that (5) and (6) are exact results which do not imply the approximation of nondegenerate Maxwell-Boltzmann statistics leading to the usual mass-action kinetics of generation-recombination processes. As a matter of fact, this approximation does not hold in the relevant experiments<sup>13</sup> which are conducted at helium temperature and with high effective doping concentrations, since the nondegeneracy condition  $n_1^{(i)} \ll n_{c_1}$ ,  $i=1,2$  is violated. The analytical evaluation of the integrals (1) is possible for 2D parabolic subbands, as opposed to the 1D or 3D case, due to the constant density of states.

$n_1^{(2)}$  as a function of time is governed by the equation of continuity

$$\dot{n}_1^{(2)} = -\dot{n}_1^{(1)} + \frac{1}{eL_1} j_{\perp}, \quad (7)$$

where  $j_{\perp} \equiv (j_{1 \rightarrow 2} - j_{2 \rightarrow 1})$  holds, and  $j_{1 \rightarrow 2}$  and  $j_{2 \rightarrow 1}$  are the transversal electric currents through the GaAs/ $\text{Al}_x\text{Ga}_{1-x}\text{As}$  interface resulting from both resonant and nonresonant tunneling.

## B. Space-charge dynamics

The calculation of the tunneling currents requires the knowledge of the ground-state energy of the transversal electron potential in the  $\text{Al}_x\text{Ga}_{1-x}\text{As}$  layer. The explicit potential form and its temporal behavior, however, is governed by the space-charge dynamics of the conduction-band electrons. In this section we will therefore find a description of the dielectric relaxation in the  $\text{Al}_x\text{Ga}_{1-x}\text{As}$  layer based on a small number of dynamic degrees of freedom, extending the technique used in Refs. 7, 9, and 10 for homogeneously doped layers to center doped ones.

The starting point is the Maxwell-Ampère law for the interior field  $\epsilon_{\perp}$ , where the drift-diffusion approximation is used to describe the microscopic current density

$$\dot{\epsilon}_{\perp}(x,t) = -\frac{e}{\epsilon_2} [\mu(x)n(x,t)\epsilon_{\perp}(x,t) + D(x)n'(x,t)] + j_{\text{ex}}(x,t)$$

$$\text{with } \mu(x) = \begin{cases} \mu_2^u, & 0 \leq x < L_2^u \\ \mu_2^d, & L_2^u \leq x < L_2, \end{cases}$$

$$D(x) = \begin{cases} D_2^u, & 0 \leq x < L_2^u \\ D_2^d, & L_2^u \leq x < L_2. \end{cases} \quad (8)$$

The prime denotes the spatial derivative in the  $x$  direction,  $\epsilon_2$  is the permittivity in  $\text{Al}_x\text{Ga}_{1-x}\text{As}$ ,  $D_2^d$  and  $D_2^u$  are the diffusion coefficients in the doped and undoped  $\text{Al}_x\text{Ga}_{1-x}\text{As}$  regions, respectively, and  $j_{\text{ex}}$  is the external current density exchanged with the GaAs layer. Assum-

ing spatial homogeneity in longitudinal direction, the Maxwell equations show that  $j_{\text{ex}}$  cannot have a dependence on  $x$ . The periodic boundary conditions that we consider in this model then imply that  $j_{\text{ex}}$  vanishes for symmetry reasons.

$\epsilon_1$  and the potential  $\Phi(x, t) \equiv e \int_x^{L_2} \epsilon_1(x', t) dx'$  hold and are assumed to meet the following boundary conditions:

$$\begin{aligned} \Phi(0) &= -\Phi_0, \quad \Phi'(0) = -e\epsilon_{1_0}, \\ \Phi(L_2^u) &= -\Phi_1, \quad \lim_{x \nearrow L_2^u} \Phi'(x) = -e\epsilon_1^-, \\ \lim_{x \searrow L_2^u} \Phi'(x) &= -e\epsilon_1^+, \\ \Phi(L_2) &= 0, \quad \Phi'(L_2) = 0. \end{aligned} \quad (9)$$

Poisson's equation is given by

$$\epsilon_1' = \frac{e}{\epsilon_2} [N_D^* \Theta(x - L_2^u) - n(x)], \quad (10)$$

where the doping density is approximated by a Heaviside function.  $N_D^* \equiv N_D^+ + n_t$  is the effective doping density given by the concentration of ionized donors  $N_D^+ \equiv N_D - n_D$  (where  $N_D$  is the doping density and  $n_D$  is the electron density in the donors) and the concentration of ionized deep traps  $n_t$ , which results from persistent photoconductivity. Using (10) to substitute  $n$  in (8) leads after integration from 0 to  $L_2$  and consideration of the boundary conditions to

$$\begin{aligned} \dot{\Phi}_0 &= \frac{e}{\epsilon_2} \left\{ -\frac{\epsilon_2}{2} [\mu_2^u(\epsilon_1^-)^2 - \mu_2^d(\epsilon_1^+)^2] + \frac{\epsilon_2}{2} \mu_2^u \epsilon_{1_0}^2 \right. \\ &\quad \left. + e(D_2^u \Delta n_2^u + D_2^d \Delta n_2^d) - N_D^* \mu_2^d \Phi_1 \right\}, \end{aligned} \quad (11)$$

where  $\Delta n_2^u \equiv n(L_2^u) - n(0)$  and  $\Delta n_2^d \equiv n(L_2) - n(L_2^u)$  result from the diffusion terms in (8). Analogously we obtain (12) by integrating (8) from 0 to  $L_2^u$ ,

$$\dot{\Phi}_1 = \frac{e}{\epsilon_2} \left\{ \frac{\epsilon_2}{2} \mu_2^d (\epsilon_1^+)^2 + e D_2^d \Delta n_2^d - N_D^* \mu_2^d \Phi_1 \right\}. \quad (12)$$

Integration of (10) from  $L_2^u$  to  $L_2$  results in an expression for  $\epsilon_1^+$ ,

$$\epsilon_1^+ = -\frac{e}{\epsilon_2} L_2^d (N_D^* - n_2^d), \quad (13)$$

where the averaged carrier density in the doped region  $n_2^d \equiv \int_{L_2^u}^{L_2} n(x, t) dx / L_2^d$  has been introduced.

Analogously we obtain (14) by integrating (10) from 0 to  $L_2$ ,

$$\epsilon_{1_0} = \epsilon_1^- - \epsilon_1^+ + \frac{e}{\epsilon_2} [L_2^u n_2^u - L_2^d (N_D^* - n_2^d)], \quad (14)$$

where  $n_2^u \equiv \int_0^{L_2^u} n(x, t) dx / L_2^u$  is the averaged carrier density in the undoped region.

For a consistent description of the dielectric relaxation, the equation of continuity has to be met at the interface

between the undoped and doped  $\text{Al}_x\text{Ga}_{1-x}\text{As}$  regions. Diffusion currents can be neglected within the mean free path of collisionless flight of the electrons. Beyond this finite length scale the average velocity of the carriers is much smaller due to the enhanced scattering of the electrons by phonons or by the barrier. This means that the assumption of constant electron density breaks down and transversal diffusion currents will become important. If we further assume that surface charges can be eliminated adiabatically,

$$\mu_2^u n^- \epsilon_1^- = \mu_2^d n^+ \epsilon_1^+ \quad (15)$$

holds, where  $n^-$  and  $n^+$  are the carrier concentrations on the undoped and doped side of the interface, respectively. We thus obtain

$$\epsilon_1^- = \frac{\mu_2^d}{\mu_2^u} \frac{n_2^d}{n_2^u} \epsilon_1^+, \quad (16)$$

since flat carrier density profiles in the doped and undoped regions can be assumed for thin doping widths ( $< 100$  Å), resulting in the relations  $n^- \approx n_2^u$  and  $n^+ \approx n_2^d$ . Using (16), insertion of Eqs. (13) and (14) in (11) and (12), respectively, then leads to the final formulas for the temporal evolution of  $\Phi_0$  and  $\Phi_1$ ,

$$\begin{aligned} \dot{\Phi}_0 &= \frac{e}{\epsilon_2} \left\{ \frac{e^2}{2\epsilon_2} [L_2^d (N_D^* - n_2^d)]^2 \left[ \mu_2^d - \mu_2^u \left( \frac{\mu_2^d}{\mu_2^u} \frac{n_2^d}{n_2^u} \right)^2 \right] \right. \\ &\quad \left. + \mu_2^u \frac{e^2}{2\epsilon_2} \left[ L_2^u n_2^u - \frac{\mu_2^d}{\mu_2^u} \frac{n_2^d}{n_2^u} L_2^d (N_D^* - n_2^d) \right]^2 \right. \\ &\quad \left. - \mu_2^d N_D^* \Phi_1 \right\}, \end{aligned} \quad (17)$$

$$\dot{\Phi}_1 = \frac{e\mu_2^d}{\epsilon_2} \left\{ \frac{e^2}{2\epsilon_2} [L_2^d (N_D^* - n_2^d)]^2 - N_D^* \Phi_1 \right\}. \quad (18)$$

Thus, unlike the homogeneously doped case,<sup>7,9,10</sup> the barrier height  $\Phi_0$  and the averaged carrier concentration in the  $\text{Al}_x\text{Ga}_{1-x}\text{As}$  region  $n_2$  does not suffice for a consistent description of the space-charge dynamics in the center doped case. The knowledge of  $\Phi_1$  and the averaged carrier density in both regions is necessary as well. Using carrier conservation given by  $n_1 L_1 + n_2^u L_2^u + n_2^d L_2^d = N_D^* L_2^d$ , where  $n_1 \equiv n_1^{(1)} + n_1^{(2)}$  was introduced for convenience, to substitute  $n_2^d$ , however, merely  $n_2^u$  must be expressed in terms of the other dynamic variables to guarantee a closed dynamic system.

To compute the ground-state energy  $E_2$  of the  $\text{Al}_x\text{Ga}_{1-x}\text{As}$  potential, we simply assume it to be parabolic in the center region and linear elsewhere, which is a good approximation for small carrier concentrations in the undoped region and a flat carrier profile in the center. Knowledge of the boundary conditions (9) then allows the calculation of  $E_2$  in second-order perturbation theory,

$$E_2 = c_1(\Phi_1)\Phi_0 + c_2(\Phi_1) \quad (19)$$

with

$$c_1 \equiv 2\sqrt{\gamma\pi} \left[ \frac{1}{2\gamma L_2^u} e^{-\gamma(L_2^d)^2} - \frac{L_2^d}{L_2^u} \iota(\gamma) \right] - 1$$

and

$$c_2 \equiv \frac{\hbar}{L_2^d} \left( \frac{\Phi_1}{2m_2^*} \right)^{1/2} + 2\sqrt{\gamma\pi}\Phi_1 \left[ \left[ 1 + \frac{L_2^d}{L_2^u} - \frac{\gamma}{2(L_2^d)^2} \right] \iota(\gamma) - \frac{1}{2\gamma} e^{-\gamma(L_2^d)^2} \left[ \frac{1}{L_2^u} - \frac{1}{L_2^d} \right] \right],$$

where  $\gamma \equiv \sqrt{2m_2^* \Phi_1} / (\hbar L_2^d)$  was defined for convenience and  $\iota \equiv \int_{L_2^d}^{\infty} e^{-\gamma x^2} dx$  has to be computed numerically.

### C. Longitudinal dielectric relaxation

The longitudinal electric field  $\epsilon_{\parallel}$  is a time-dependent variable since the sample conductivity  $\sigma = e(L_1\mu_1n_1 + L_2^u\mu_2^un_2^u + L_2^d\mu_2^dn_2^d)/(L_1 + L_2)$  varies with the averaged carrier densities  $n_1$ ,  $n_2^u$ , and  $n_2^d$  in the regions of different carrier mobilities  $\mu_1$ ,  $\mu_2^u$ , and  $\mu_2^d$ , respectively (where  $\mu_1$ ,  $\mu_2^u \ll \mu_2^d$  hold due to enhanced impurity scattering in the doped  $\text{Al}_x\text{Ga}_{1-x}\text{As}$  region), thus influencing the voltage loss at the load resistance  $R_L$  and, due to the fixed bias voltage  $U_0$ ,  $\epsilon_{\parallel}$  itself. Using Maxwell's and Kirchhoff's laws and assuming spatial homogeneity in the  $y$  and  $z$  directions, the dielectric relaxation of  $\epsilon_{\parallel}$  is given by

$$\dot{\epsilon}_{\parallel} = \frac{1}{\epsilon_2 \left[ \frac{C}{C_i} + 1 \right]} [J_0 - (\sigma_L + \sigma)\epsilon_{\parallel}], \quad (20)$$

where  $J_0 \equiv U_0/(R_L A)$  and  $\sigma_L \equiv l/(R_L A)$  with the cross section  $A \equiv (L_1 + L_2)b$  of one GaAs/ $\text{Al}_x\text{Ga}_{1-x}\text{As}$  layer sequence were introduced for convenience.  $C_i \equiv A\epsilon_2/l$  and  $C$  are the intrinsic sample capacity and the capacity of the external circuit, respectively, if  $\epsilon_1 \approx \epsilon_2$  is assumed.

### D. Resonant and nonresonant tunneling currents

When real-space transfer is induced by a strong electric field, the major coupling mechanism between the GaAs and  $\text{Al}_x\text{Ga}_{1-x}\text{As}$  layer is provided by thermionic emission, a process in which the hot electrons in the well spill over the energy barrier into the adjacent layer.<sup>10</sup> This mechanism can, however, be neglected in the case of low bias voltages ( $U_0 \ll l[ (|E_1^{(2)}| - k_B T_L) / (e\mu_1\tau_e) ]^{1/2}$ ) and optical pumping, since the extended GaAs states generating a 3D band are practically vacant if low temperatures and thin GaAs layers are assumed.<sup>20</sup> Instead, carrier transport then takes place due to tunneling through the barrier. Depending on whether the energy levels of the electronic states localized on both sides of the barrier are lined up or not, resonant or nonresonant tunneling dominates.

Calculation of the current density of *resonant* tunneling  $j^{\text{re}}$  is performed under the assumption of the Schrödinger

equation being separable in longitudinal and transversal components, of the conservation of longitudinal momentum in the tunneling process, and of the quasistationarity of the  $\text{Al}_x\text{Ga}_{1-x}\text{As}$  potential during tunneling. Then a coherent quantum-mechanical picture can be used, where the one-electron eigenfunctions of the two-layer system  $\psi^{\pm} = (\psi_1^{(2)} \pm \psi_2)/\sqrt{2}$  are given in zero-order perturbation theory as the symmetric and antisymmetric superpositions of the localized wave functions  $\psi_1^{(2)}$  and  $\psi_2$ , and the corresponding eigenvalues  $E^{\pm}$  result from tunnel splitting of the eigenvalues  $E_1^{(2)}$  and  $E_2$  of the isolated systems. Examining the time dependence of the wave functions one finds that an originally localized state oscillates between the regions on both sides of the barrier with a frequency  $\nu_{\text{re}} = \Delta E / (2\pi\hbar)$  where  $\Delta E = E^+ - E^-$  is the energy splitting.<sup>21,22</sup> Thus, summing over all electrons and considering conservation of the longitudinal momentum, expressions for the electric current densities in the resonant case, denoted by (re), are obtained,

$$j_{1 \rightarrow 2}^{(\text{re})} = \frac{eL_2}{\pi\hbar} \Delta E n_2, \quad j_{2 \rightarrow 1}^{(\text{re})} = \frac{eL_1}{\pi\hbar} \Delta E n_1^{(2)}. \quad (21)$$

Applying the method used in Ref. 23 to a system with different effective masses on each side of the barrier, the energy splitting can be computed under the assumption  $E_1^{(2)} = E_2$ ,

$$\Delta E = \frac{\hbar^2}{2} \left[ \frac{1}{m_1^*} + \frac{1}{m_2^*} \right] \left[ \psi_{\text{II}}^{(\text{if})} \frac{d}{dx} \psi_{\text{I}}^{(\text{if})} - \psi_{\text{I}}^{(\text{if})} \frac{d}{dx} \psi_{\text{II}}^{(\text{if})} \right], \quad (22)$$

where  $\psi_{\text{I/II}}^{(\text{if})}$  and  $d\psi_{\text{I/II}}^{(\text{if})}/dx$  are the interface values of the relevant localized wave functions in the regions 1 and 2, respectively (normalized to regions 1 and 2, respectively) and their derivatives.  $\psi_{\text{I}}^{(\text{if})}$  and  $d\psi_{\text{I}}^{(\text{if})}/dx$  are obtained from  $\psi_1^{(2)}$  and  $d\psi_1^{(2)}/dx$  by renormalization. For the computation of  $\psi_{\text{II}}^{(\text{if})}$  and its derivative, we approximate the  $\text{Al}_x\text{Ga}_{1-x}\text{As}$  potential by a symmetric triangular potential, since we assume  $L_2^u \gg L_2^d$ . Neglecting renormalization, we then obtain

$$\psi_{\text{II}}^{(\text{if})} = \text{Ai}[\alpha_{\text{Ai}}^{2/3} L_2 - \xi_M^{(1)}], \quad (23)$$

$$\frac{d}{dx} \psi_{\text{II}}^{(\text{if})} = -\alpha_{\text{Ai}}^{2/3} \text{Ai}'[\alpha_{\text{Ai}}^{2/3} L_2 - \xi_M^{(1)}], \quad (24)$$

where  $\alpha_{\text{Ai}} \equiv 2m_2^* (\Phi_0 - \Phi_1)^2 / [\hbar^2 (L_2^u)^2]$  holds, and  $\xi_M^{(1)}$  is the first maximum of the Airy function  $\text{Ai}(x)$ , which has to be computed numerically.

Coherent resonant tunneling not only occurs when the eigenenergies of the localized states  $E_1^{(2)}$  and  $E_2$  exactly coincide. It is rather a resonant phenomenon leading to maximum tunneling currents in case of exact alignment, but also taking place for small deviations.<sup>22</sup> This will qualitatively be taken into account in our model by assuming the resonant-tunneling current to be proportional to the overlap  $\eta$  of the energetic "windows"  $[(E_1^{(2)} - \Delta E)/2, (E_1^{(2)} + \Delta E)/2]$  and  $[(E_2 - \Delta E)/2, (E_2 + \Delta E)/2]$ , which are created by tunnel splitting of  $E_1^{(2)}$  and  $E_2$ . Multiplying (21) by

$$\eta(E_2, \Delta E) = \begin{cases} \Delta E - |E_2 - E_1^{(2)}|, & \Delta E \geq |E_2 - E_1^{(2)}| \\ 0 & \text{otherwise,} \end{cases} \quad (25)$$

after subtraction and use of carrier conservation we then obtain

$$j^{(re)} = \frac{e}{\pi\hbar} \eta(\Phi_0, \Phi_1) [N_D^* L_2^d - (n_1^{(1)} + 2n_1^{(2)}) L_1], \quad (26)$$

which meets the above requirements.  $\eta$  is a dynamic variable due to the dependence of  $\Delta E$  and  $E_2$  on  $\Phi_0$  and  $\Phi_1$ . Equation (22) is also considered to hold for  $E_1^{(2)} \approx E_2$  and  $E_1^{(2)} \neq E_2$ .

*Nonresonant tunneling*, which describes incoherent total-energy-conserving traversing of a potential barrier due to the quantum-mechanical tunnel effect is basically possible for all values of  $E_2$ . It dominates when resonant tunneling vanishes due to a strong deviation of  $E_1^{(2)}$  and  $E_2$ .

Assuming the participating subbands  $S_1^{(2)}$  and  $S_2$  to be thermalized with the electron temperatures  $T_1$  and  $T_2 \equiv T_L$  ( $T_2 \equiv T_L$  results from the low mobility in the doped  $\text{Al}_x\text{Ga}_{1-x}\text{As}$  region) and quasi-Fermi energies  $E_{F_1} \equiv E_{F_1}^{(2)}$  and  $E_{F_2}$ , respectively, their energy distribution can be described by the Fermi-Dirac function  $f(E, E_{F_i}, T_i)$ ,  $i=1,2$ , and the semiclassical ansatz (27) can be made for the current densities for nonresonant tunneling from region  $i$  to  $j$ ,  $j_{i \rightarrow j}^{(nr)}$ ,  $i, j=1,2, i \neq j$ :

$$j_{i \rightarrow j}^{(nr)} = eL_i \int_{-\infty}^0 \mathcal{D}_j(E) f(E, E_{F_j}, T_j) \Theta(E - E_i) \times [1 - f(E, E_{F_i}, T_i)] \tau(E) v_j(E_j) dE, \quad (27)$$

where  $\mathcal{D}_i \equiv \Theta(E - E_i) m_i^* / (\pi\hbar^2 L_i)$  for  $i=1,2$ , and  $E_i \equiv E_i^{(2)}$  are the densities of states for subbands  $S_1^{(2)}$  and  $S_2$ , respectively.  $v_1 \equiv (\Delta E_c + E_1^{(2)}) / 2\pi\hbar$  and  $v_2 \equiv (\Phi_0 + E_2) / 2\pi\hbar$  are the frequencies of hitting the barrier in regions 1 and 2, respectively, where a simple approximation similar to the treatment in  $\alpha$  decay has been used.<sup>24</sup>  $\tau(E) = \exp[-\Lambda(-E)^{3/2}]$  with  $\Lambda \equiv 4\sqrt{2} m_2^* L_2^u / [(\Phi_0 - \Phi_1) 3\hbar]$  is the tunneling probability obtained from a WKB approximation.

Due to the  $\exp[(-E)^{3/2}]$  term, the integral in (27) is not analytically solvable. Its approximation by the zero-temperature limit, where  $f(E, E_{F_j}, T_j)$  is substituted by  $\Theta(E - E_{F_j})$ ,  $j=1,2$ , is not reasonable, since it would not exclude the unphysical case of decoupled GaAs and  $\text{Al}_x\text{Ga}_{1-x}\text{As}$  subsystems resulting from vanishing tunneling currents. Instead, we apply the mean value theorem, leading to

$$j_{i \rightarrow j}^{(nr)} = \frac{em_j^*}{\pi\hbar^2} v_j \exp[-\Lambda(-\xi^*)^{3/2}] \times \int_{\max(E_1^{(2)}, E_2)}^0 f(E, E_{F_j}, T_j) \times [1 - f(E, E_{F_i}, T_i)] dE, \quad (28)$$

and approximate the mean value  $\xi^* \in [\max(E_1^{(2)}, E_2), 0]$  by  $\xi \equiv \sum_{i=1}^2 \max(E_1^{(2)}, E_2, E_{F_i}) / 2$ . This approximation is good if the relative deviation of the quasi-Fermi energies  $E_{F_1}$  and  $E_{F_2}$  is small, which is the case for physically reasonable parameters as the numerical simulations show. The integral in (28) can then be calculated analyti-

cally if  $T_1 \approx T_2 \equiv T_L$  is assumed. After expressing  $E_{F_1}$  and  $E_{F_2}$  by  $n_1^{(2)}$  and  $n_2$ , respectively, using formulas corresponding to (6), we obtain  $j^{(nr)} \equiv j_{1 \rightarrow 2}^{(nr)} - j_{2 \rightarrow 1}^{(nr)}$ ,

$$j^{(nr)} = \frac{ek_B T_L}{2\pi\hbar^3} \ln \left[ \frac{\varphi_1 + 1}{\varphi_2 + 1} \right] (\varphi_1 - \varphi_2)^{-1} \times [m_2^* (\Phi_0 + E_2) \varphi_2 - m_1^* (\Delta E_c + E_1) \varphi_1] \times \exp[-\Lambda(-\xi)^{3/2}], \quad (29)$$

where  $\varphi_1 \equiv \exp[-\beta\Theta(\delta E)\delta E][\exp(n_1^{(2)}/n_{c_1}) - 1]$  and  $\varphi_2 \equiv \exp[\beta\Theta(-\delta E)\delta E][\exp(n_2/n_{c_2}) - 1]$  with  $\beta \equiv (k_B T_L)^{-1}$ ,  $n_{c_2} \equiv m_2^* k_B T_L / (\pi\hbar^2 L_2)$ , and  $\delta E \equiv E_2 - E_1^{(2)}$  were introduced for convenience. The total current density  $j = j^{(re)} + j^{(nr)}$  is then given by Eqs. (26) and (29).

For the calculation of  $n_2^u = n_2^{u(re)} + n_2^{u(nr)}$  we assume that all carriers in the undoped region stem either from resonant or nonresonant tunneling currents, where the contributions are denoted by  $n_2^{u(re)}$  and  $n_2^{u(nr)}$ , respectively. Other factors influencing  $n_2^u$  such as diffusion will be neglected. Thus  $n_2^u$  can be expressed in terms of the tunneling current densities.

Within the framework of the coherent picture applied in this model, the time needed to traverse the potential barrier in resonant tunneling is given by  $\tau_{re} = \pi\hbar / \Delta E$  for all carriers alike. If  $\Phi_0 + E_2 \approx \Phi_1$  holds, the undoped region coincides with the traversed potential barrier and we thus obtain

$$n_2^{u(re)} = \frac{\tau_{re}}{eL_2^u} (j_{1 \rightarrow 2}^{(re)} + j_{2 \rightarrow 1}^{(re)}). \quad (30)$$

In the case of  $n_2^{u(nr)}$ , we neglect the dependence of the tunneling time and the width of the traversed barrier upon the total carrier energy, assuming the carriers with the least possible total energy  $E = \max(E_1^{(2)}, E_2)$  to determine the tunneling time  $\tau_{nr}$  as well as the undoped region to coincide with the transversal barrier. Then  $\tau_{nr} = [-2m_2^* \max(E_1^{(2)}, E_2)]^{1/2} L_2^u / (\Phi_0 - \Phi_1)$  is obtained using a simple formula given in Ref. 25. Hence  $n_2^{u(nr)}$  results as

$$n_2^{u(nr)} = \frac{\sqrt{2m_2^*} [-\max(E_1^{(2)}, E_2)]^{1/2}}{e(\Phi_0 - \Phi_1)} (j_{1 \rightarrow 2}^{(nr)} + j_{2 \rightarrow 1}^{(nr)}), \quad (31)$$

where  $j_{1 \rightarrow 2}^{(nr)} + j_{2 \rightarrow 1}^{(nr)}$  is given in (29), substituting the difference by a sum.

Equations (5), (7), (20), (17), and (18) represent our basic model. They constitute an autonomous nonlinear set of differential equations

$$\dot{\mathbf{x}} = \mathbf{F}(\mathbf{x}) \quad (32)$$

with  $\mathbf{x} \equiv (n_1^{(1)}, n_1^{(2)}, \varepsilon_{\parallel}, \Phi_0, \Phi_1)^T$ , whose static and dynamic behavior will be analyzed in the following section.

#### IV. FIXED POINTS AND STABILITY ANALYSIS

The above system has one fixed point, being defined by vanishing temporal derivatives and denoted by an asterisk, in the dark regime ( $I=0$ ), which splits up into three with incident IR intensities ( $I>0$ ). These can be classified according to the type of tunneling current prevalent: the pair of resonant fixed points  $\mathbf{x}^{*(r1)}$  and  $\mathbf{x}^{*(r2)}$  describe a steady state, in which  $j^{(re)} \gg j^{(nr)}$  and  $n_2^{u(re)} \gg n_2^{u(nr)}$  hold, whereas in the nonresonant steady state  $\mathbf{x}^{*(nr)}$ ,  $j^{(re)}$  and  $n_2^{u(re)}$  vanish.

The formation of  $\mathbf{x}^{*(r1)}$  and  $\mathbf{x}^{*(r2)}$ , which only differ considerably in their  $\Phi_0$  component, is a direct implication of  $j^{(re)}$ 's resonance feature, which can easily be seen under the assumption of  $j^{(nr)} = n_2^{u(nr)} = 0$ : Eqs. (5) and (7) lead to a third-order polynomial for  $\exp[n_1^{(2)*} / n_{c1}(\epsilon_{\parallel})]$  in the steady state, which yields a unique solution due to Descartes's sign rule. Thus  $n_1^{(2)*}(\epsilon_{\parallel})$  and, using (5),  $n_1^{(1)*}(\epsilon_{\parallel})$  can be computed. Subtracting (18) from (17) leads to a unique expression for  $n_2^{u*}[n_1^{(1)*}(\epsilon_{\parallel}), n_1^{(2)*}(\epsilon_{\parallel})]$ . By inserting the functional form of  $n_1^{(1)*}$ ,  $n_1^{(2)*}$ , and  $n_2^{u*}$  in  $\sigma$ , one obtains the stationary current density versus electric-field characteristic  $j_{\parallel}^*(\epsilon_{\parallel}) \equiv \sigma(\epsilon_{\parallel})\epsilon_{\parallel}$  (Fig. 3, Table I). For  $I=0$  and  $I=\infty$  it ascends linearly with a bigger slope in the first case whereas for  $0 < I < \infty$  it shifts from the  $I=\infty$  line to the  $I=0$  one with increasing  $\epsilon_{\parallel}$  and is therefore nonlinear. This corresponds to a transition from the low conductivity state (dotted line) to a high conductivity state (solid line) with increasing  $\epsilon_{\parallel}$ , which

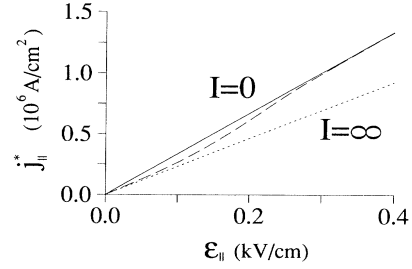


FIG. 3. Calculated stationary current density vs electric-field characteristics for different IR intensities  $I$  (numerical parameters cf. Table I). Whereas in the dark ( $I=0$ ) the system is monostable with a linear characteristic (solid line), incident IR radiation leads to the formation of two locally stable branches corresponding to the respective tunneling currents prevalent: a nonresonant state (solid line), which is practically independent of  $I$ , and a resonant-tunneling state (dashed for  $I=1 \text{ W/cm}^2$ , dotted for  $I=\infty$ ), which changes with  $I$ .

becomes more pronounced and sets in later at larger  $I$ . The reason for this is that optically induced real-space transfer allows the electrons to stay in the low conductivity  $\text{Al}_x\text{Ga}_{1-x}\text{As}$  layer up to larger fields.

Since the differential conductivity is therefore always positive, a unique stationary field  $\epsilon_{\parallel}^*$  given by the intersection of the device characteristic with the load line exists for each  $I$ ,  $U_0$ , and  $R_L$ . Thus  $n_1^{(1)*}$ ,  $n_1^{(2)*}$ ,  $n_2^{u*}$ , and, using (12),  $\Phi_1^*$  are determined uniquely. To find  $\Phi_0$  the so

TABLE I. Numerical parameters used in the simulations.

$\Delta E_c$	248.5 meV	$\Delta E_c / E_G = 0.85 \pm 0.03$ from Ref. 27.
		$E_G^{\text{GaAs}}(4.2 \text{ K}) = 1.52 \text{ eV}$ from Ref. 28, p. 218,
		$E_G^{\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}}(4.2 \text{ K}) = 1.8 \text{ eV}$ from Ref. 28, p. 604
$m_1^*$	$0.0665m_0$	( $m_0$ is the electron mass), Ref. 28, p. 222, Ref. 72C1
$m_2^*$	$0.0924m_0$	Ref. 28, p. 334, Ref. 80L1
$n_r$	3.30	Ref. 28, p. 242, Ref. 62P and 61H
$\epsilon_1$	$12.53\epsilon_0$	( $\epsilon_0$ is the absolute permittivity), Ref. 28, p. 243, Ref. 72R3 and 69J2
$\epsilon_2$	$11.79\epsilon_0$	Ref. 28, p. 167, Ref. 71F (assuming a linear dependence upon GaAs and AlAs for $\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}$ )
$\mu_1$	$10^5 \text{ cm}^2/\text{Vs}$	Ref. 28, p. 531 for $T_L = 5 \text{ K}$
$\mu_2^u$	$3.8 \times 10^4 \text{ cm}^2/\text{Vs}$	From Ref. 28, p. 531, Fig. 78, p. 607, Fig. 22 (assuming the same composition dependence of the Hall mobility for 5 and 300 K)
$\mu_2^d$	$100 \text{ cm}^2/\text{Vs}$	Private communication from M. Asche, ZIE, Berlin
$N_D^*$	$1.18 \times 10^{18} \text{ cm}^{-3}$	From Ref. 13 (assuming that the number of ionized carriers is equal to those in the GaAs region)
$T_L$	5 K	Ref. 13
$\alpha$	$21^\circ$	Ref. 15
$T$	0.5	Ref. 13
$L_1$	$32.5 \text{ \AA}$	Ref. 13
$L_2^d$	$50 \text{ \AA}$	Ref. 13
$L_2^u$	$200 \text{ \AA}$	Ref. 13
$\tau_{12}$	12 ps	Ref. 15
$\tau_e$	0.4 ps	Ref. 27, p. 234
$U_0$	6 V	(1/1000 of the value in Ref. 8 was assumed)
$R_L$	283 $\Omega$	Ref. 8
$C$	$1.57 \times 10^{-14} \text{ F}$	Ref. 8
$h$	$3.5 \times 10^{-4} \text{ m}$	Ref. 15
$b$	$10^{-3} \text{ m}$	Ref. 15
$l$	$10^{-2} \text{ m}$	Ref. 15

determined  $n_2^{u*}$  must be identified with its definition  $n_2^{u(re)}(\Phi_0)$  given in Eqs. (30) and (31). Since  $E_2$  is a linear function of  $\Phi_0$  and  $n_2^{u(re)}$ 's dependence on  $E_2$  yields a resonance behavior via (26), there are zero, one, or two stationary values of  $\Phi_0$ . It can be shown that there is exactly one for  $I=0$  and two for  $I>0$ .<sup>20</sup>

Due to almost identical  $n_1^{(1)*}$ ,  $n_1^{(2)*}$ , and  $n_2^{u*}$ , the longitudinal current density  $j_{\parallel}^*$  practically coincides with the two resonant steady states. They both show negative differential photoconductivity (NDPC) for IR intensities between a threshold value  $I_{th}$  and a saturation intensity  $I_s$  as shown in Figs. 4(a) and 4(b) for different lattice temperatures  $T_L$  and different effective doping concentrations  $N_D^*$ . NDPC is defined here by the negative change of the conductivity  $-\Delta\sigma$  with increasing intensity  $I$ , normalized by the dark conductivity  $\sigma_0$  ( $I=0$ ). This explains the negative photoconductivity observed by Heinrich *et al.*,<sup>13</sup> although NDPC was defined there slightly different, viz., with respect to variation of the IR frequency at fixed IR intensity  $I$ . Unlike  $I_s$ ,  $I_{th} \propto \exp(N_D^*/T_L)$  shows a sensitive dependence on the lattice temperature  $T_L$  and effective doping concentration  $N_D^*$ . Figure 4 shows that the onset of NDPC at low intensities is favored by low lattice temperatures and high doping concentrations, as indeed applied in Ref. 13. It is not sensitive to a variation of the GaAs QW width  $2L_1=65-90$  Å.

In the nonresonant regime, the photoconductivity of the only existing steady state  $\mathbf{x}^{*(nr)}$  remains practically

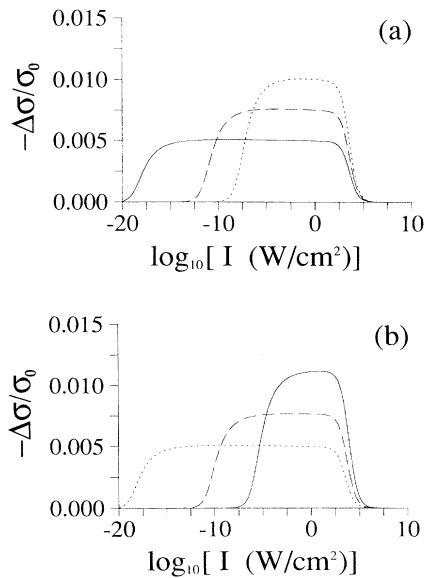


FIG. 4. Calculated negative differential photoconductivity of the resonant-tunneling state. The negative change of the conductivity  $-\Delta\sigma = \sigma_0 - \sigma(I)$  normalized to the dark conductivity  $\sigma_0$  as a function of the optical intensity  $I$  is plotted (a) for different lattice temperatures  $T_L = 5$  K (solid line),  $T_L = 7.5$  K (dashed line), and  $T_L = 10$  K (dotted line), and (b) for different effective doping concentrations  $N_D^* = 5.0 \times 10^{17} \text{ cm}^{-3}$  (solid line),  $N_D^* = 7.5 \times 10^{17} \text{ cm}^{-3}$  (dashed line), and  $N_D^* = 1.18 \times 10^{17} \text{ cm}^{-3}$  (dotted line). (Parameters cf. Table I.)

constant at the dark value  $\sigma_0$  for all IR intensities, since the absolute value of the nonresonant-tunneling currents there is too small to permit a measurable number of carriers to leave the GaAs QW and enter the  $\text{Al}_x\text{Ga}_{1-x}\text{As}$  region. Additionally, considering diffusion currents across the interface would reduce  $\sigma^{*(nr)}$  to  $\sigma^{*(nr)} < \sigma_0$ , but would not influence the practically vanishing negative photoconductivity of the nonresonant steady state, since diffusion does not distinguish between the ground and the excited GaAs subband.

The stability of the steady states  $\mathbf{x}^{*(ri)}$ ,  $i=1,2$ , and  $\mathbf{x}^{*(nr)}$  against infinitesimal fluctuations  $\delta\mathbf{x}(t) = \delta\mathbf{x}(0)\exp(\lambda t)$  is determined by linearizing the dynamical system around each steady state and computing the eigenvalues  $\lambda$  of the Jacobian matrix  $J(\mathbf{x}^*)_{ij} = (\partial\dot{x}_i/\partial x_j)^*$ ,  $i, j = 1, \dots, 5$ . We find that the incident radiation leads to a supercritical pitchfork bifurcation, since  $\mathbf{x}^*$ , which is a stable node (e.g., all eigenvalues negative) at  $I=0$ , splits up into the two stable nodes  $\mathbf{x}^{*(r2)}$  and  $\mathbf{x}^{*(nr)}$ , and the saddle  $\mathbf{x}^{*(r1)}$  (1 positive, 4 negative eigenvalues) for  $I>0$ , where the eigenvector corresponding to  $\mathbf{x}^{*(r1)}$ 's unstable mode coincides with the  $\Phi_0$  coordinate axis in the system's phase space  $(n_1^{(1)}, n_1^{(2)}, \varepsilon_{\parallel}, \Phi_0, \Phi_1)^T$ .

Each fixed point possesses one (negative) eigenvalue, the order of magnitude of whose absolute value can be tuned with the IR intensity. Thus, unlike the typical time scale of the interlayer transitions and the transversal dielectric relaxation in  $\text{Al}_x\text{Ga}_{1-x}\text{As}$ , the time scale on which carrier exchange between the two GaAs subbands occurs varies with  $I$ .

The linear bifurcation analysis therefore shows that under IR excitation ( $I>0$ ) bistability between the two stable steady states  $\mathbf{x}^{*(r2)}$  and  $\mathbf{x}^{*(nr)}$  occurs (Fig. 3). This optoelectronic bistability can be illustrated by a reinterpretation of Fig. 3 in terms of stable resonant and nonresonant tunneling states. The solid line corresponds to the  $I$ -independent nonresonant states  $\mathbf{x}^{*(nr)}$ , while the dashed and dotted lines, depending on  $I$ , correspond to the stable resonant state  $\mathbf{x}^{*(r2)}$ . It should be noted that self-generated oscillatory instabilities as found for electrically induced real-space transfer<sup>7-10</sup> do not occur for any optical intensity.

## V. RESULTS AND DISCUSSION

The linear bifurcation analysis implies that the dynamical system given by Eqs. (5), (7), (17), (18), and (20) shows *optoelectronic bistability*, which is confirmed by numerical time-dependent simulations of this system.<sup>20</sup> A differentiable connex manifold containing  $\mathbf{x}^{*(r1)}$  separates the phase space into two attractor basins for the resonant and nonresonant stable nodes  $\mathbf{x}^{*(r2)}$  and  $\mathbf{x}^{*(nr)}$ , respectively. In the nonresonant-tunneling state  $\mathbf{x}^{*(nr)}$  practically all carriers reside in the GaAs QW, the conductivity is high, and  $\Phi_0$  is small, whereas in the resonant tunneling states  $\mathbf{x}^{*(r2)}$  the carriers are increasingly real-space transferred to the  $\text{Al}_x\text{Ga}_{1-x}\text{As}$  barrier with increasing IR intensity, the conductivity decreases and  $\Phi_0$  is large (Fig. 5). Which state actually will be realized depends



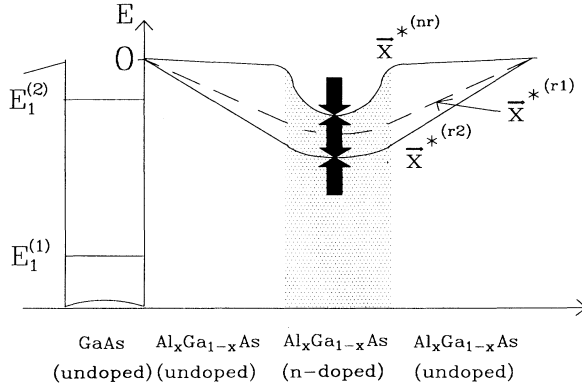


FIG. 5. Band structure corresponding to the three steady states (schematic). The middle state  $\mathbf{x}^{*(r1)}$  is unstable and lies within the separatrix manifold separating the attractor basins of the stable steady states  $\mathbf{x}^{*(r2)}$  (resonant) and  $\mathbf{x}^{*(nr)}$  (nonresonant tunneling).

upon the initial conditions chosen. We propose to consider this effect for application as a *picosecond optoelectronic switch*. Figure 6 shows that a small fluctuation in the potential barrier height  $\Phi_0$  causing it to drop below the value at  $\mathbf{x}^{*(r1)}$  leads to a transition from the low conductivity ( $\mathbf{x}^{*(r2)}$ ) to the high conductivity steady state ( $\mathbf{x}^{*(nr)}$ ) in less than 5 ps.

The bistability as displayed by the above system is not a feature restricted to the explicit form of its equations, but holds on very few generalized conditions. This is

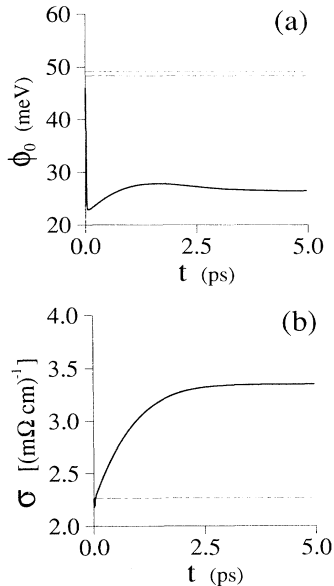


FIG. 6. Calculated temporal evolution (a) of the barrier height  $\Phi_0$  and (b) of the conductivity  $\sigma$  after a small fluctuation forces  $\Phi_0$  to become smaller than the value corresponding to  $\mathbf{x}^{*(r2)}$  [lower dashed-dotted line in (a)]. The system switches from the low conductivity resonant steady state [dashed-dotted line in (b)] to the high conductivity nonresonant steady state in less than 5 ps. ( $I = 1.0 \times 10^6$  W/cm<sup>2</sup>, other parameters cf. Table I.)

shown in the Appendix. We therefore conclude that it is generic for optically induced real-space transfer in modulation-doped GaAs/Al<sub>x</sub>Ga<sub>1-x</sub>As heterostructures with two relevant subbands in the GaAs QW. The different differential photoconductivities displayed in the two locally stable regimes, on the other hand, can only be explained by the explicit model equations, where the resonant and nonresonant tunneling currents have been considered quantitatively. Our model provides a detailed theory to the NDPC measured by Heinrich *et al.*<sup>13</sup> and optoelectronic bistability as found by Zrenner.<sup>26</sup>

## VI. CONCLUSIONS

We have shown that real-space transfer can be induced in a modulation-doped semiconductor heterostructure by intersubband excitation of electrons in the GaAs quantum well due to infrared absorption and subsequent tunneling. A system of nonlinear dynamic model equations has been derived, incorporating the 2D generation-recombination kinetics in GaAs, the space-charge dynamics of the Al<sub>x</sub>Ga<sub>1-x</sub>As barrier, resonant and nonresonant tunneling across the GaAs/Al<sub>x</sub>Ga<sub>1-x</sub>As interface, and dielectric relaxation of the parallel electric field. The steady states, their stability, and the transient behavior have been analyzed with the methods of nonlinear dynamics. We predict optoelectronic bistability associated with different photoconductive responses of the two stable steady states: the state of dominant resonant tunneling currents shows negative IR photoconductivity, whereas the nonresonant tunneling state is practically independent of the IR intensity. Ultrafast switching behavior between these two steady states is suggested for potential applications.

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## APPENDIX: GENERAL CONDITIONS FOR BISTABILITY

In the following we consider a generalized model system for the dynamic variables  $n_1^{(1)}$ ,  $n_1^{(2)}$ ,  $\Phi_0$ , and  $\Phi_1$  independent of the assumption of a specific model. (For small  $U_0$ ,  $\epsilon_{\parallel}$  can be assumed to have no influence on the other variables.) The set of differential equations governing the variable's temporal behavior is assumed to meet the following conditions:

(i)  $\dot{n}_1^{(1)}$  and  $\dot{n}_1^{(2)}$  are given by Eqs. (5) and (7), respectively, where  $j_{\perp}$  in (7) is substituted by the generalized current density  $\tilde{j} \equiv \tilde{j}^{re} + \tilde{j}^{(nr)}$ .  $\tilde{j}^{(re)}$  and  $\tilde{j}^{(nr)}$  may be arbitrary twice continuously differentiable functions of the dynamic variables with the restriction that  $\tilde{j}^{(re)}$ 's dependence upon  $\Phi_0$  has the form of a resonance curve,  $\tilde{j}^{(nr)}$  is a monotonically decreasing function of  $\Phi_0$ , and both  $\tilde{j}^{(re)}$  and  $\tilde{j}^{(nr)}$  are proportional to  $-n_1^{(2)}$  and  $n_2$  near the system's fixed points. Additionally  $\tilde{j}$ 's dependence on  $\Phi_1$  is assumed to be small.

(ii)  $\Phi_1$ 's time dependence is governed by

$\dot{\Phi}_1 = f(n_1^{(1)}, n_1^{(2)}) - \Phi_1$  with  $f > 0$  for all  $n_1^{(1)}$  and  $n_1^{(2)}$ .

(iii)  $n_2^u(n_1^{(1)}, n_1^{(2)}, \Phi_0, \Phi_1)$  results exclusively from carrier transport through the undoped  $\text{Al}_x\text{Ga}_{1-x}\text{As}$  region.

(iv) Near each fixed point  $d(\Phi_0 - \Phi_1)/dt$  increases monotonically as a function of  $n_2^u$ .

(v)  $\Phi_0$  is a fast variable.

The first two restrictions in (i) reflect the  $E_2$  dependence the tunneling currents have due to their physical features. They hold since  $E_2$  must be a monotonic function of  $\Phi_0$ . The third one is a minimum prerequisite physically reasonable currents must meet. The fourth reflects the fact that  $E_2$  depends much more on the potential height  $\Phi_0$  than on the potential form condition. (ii) results from Eq. (12), taking into account  $n_2^u \ll n_1^{(1)}, n_1^{(2)}$ . Condition (iv) is assumed since an increase of the carrier density in the undoped region leads to a stronger curvature of  $\Phi(x)$  there, increasing  $\Phi_0 - \Phi_1 \equiv \Phi(L_2^u) - \Phi(0)$ . Condition (v) holds if the dielectric relaxation time  $\tau_M \equiv \epsilon_2 / (e\mu_2^d N_D^*)$  is small due to a high doping concentration.

Taking into account carrier conservation, the third condition in (i) leads to

$$\delta \tilde{j}^{(re/nr)} = c - b^{(re/nr)}(\Phi_0, \Phi_1)n_1^{(1)} - (a^{(re/nr)} + b^{(re/nr)})(\Phi_0, \Phi_1)n_1^{(2)}, \quad (\text{A1})$$

where  $a^{(re/nr)}, b^{(re/nr)} > 0$ ,  $c = L_2^d/L_2$  holds, for small deviations  $\delta \tilde{j}^{(re/nr)}$ , from the steady-state value  $\tilde{j}^* \equiv 0$ .

Computation of the Jacobi matrix  $J$  for the  $(n_1^{(2)}, n_1^{(1)})$  subsystem at any fixed point  $\mathbf{x}^*$  leads to

$$J = \begin{pmatrix} J_{11} & J_{12} \\ -J_{11} - b & -J_{12} - (a + b) \end{pmatrix}, \quad (\text{A2})$$

with  $J_{1i} = \partial \tilde{n}_1^{(i)} / \partial n_1^{(i)}(\mathbf{x}^*)$ ,  $i = 1, 2$ . For  $J_{11}$  and  $J_{12}$ ,

$$J_{11} \propto \xi_1 \frac{\xi_2 - 1}{(\xi_2 - \xi_1)^2} (n_1^{(2)} - n_1^{(1)})(\mathbf{x}) < 0, \quad (\text{A3})$$

$$J_{12} \propto \xi_2 \frac{\xi_1 - 1}{(\xi_2 - \xi_1)^2} (n_1^{(1)} - n_1^{(2)})(\mathbf{x}) > 0,$$

hold, where  $\xi_i \equiv \exp(n_i^{(i)}/n_{c_i})$ ,  $i = 1, 2$  was introduced for convenience. The signs of  $J_{11}$  and  $J_{12}$  are always valid, since occupation inversion  $n_1^{(2)*} > n_1^{(1)*}$  in the steady state is impossible in this two-subband model. Computation of the trace  $T$  and determinant  $D$  results in

$$T = J_{11} - J_{12} - (a + b) < 0, \quad (\text{A4})$$

$$D = bJ_{12} - (a + b)J_{11} > 0. \quad (\text{A5})$$

Thus all the system's fixed points are stable against fluctuations in the  $(n_1^{(1)}, n_1^{(2)})$  plane. Condition (iii) and the last condition in (i) then assures stability in the  $\Phi_1$  direction as well, if  $\Phi_0$  can be eliminated adiabatically [which is the case due to (v)].

For a stability analysis of fluctuations of  $\Phi_0$ , the resonant and nonresonant regime must be distinguished: in the steady state where  $\tilde{j}^{(nr)*} \gg \tilde{j}^{(re)*}$  holds, the fixed point  $\mathbf{x}^{*(nr)}$  is stable, since due to (iv) and the second condition in (i) a fluctuation  $\delta\Phi_0$ , e.g.,  $\delta\Phi_0 > 0$ , implies a decrease of  $n_2^u$ , and due to (iv), of  $\Phi_0 - \Phi_1$ . Since  $\Phi_1$  can be considered independent of  $n_2^u$  because of (iii),  $\Phi_0$  relaxes back to its steady-state value. Analogous relaxation occurs for  $\delta\Phi_0 < 0$ . In the case of  $\tilde{j}^{(re)*} \gg \tilde{j}^{(nr)*}$  we obtain two steady states  $\Phi_0^{*(r1)}$  and  $\Phi_0^{*(r2)}$  with  $\Phi_0^{*(r1)} < \Phi_0^{*(r2)}$  due to the first condition for  $\tilde{j}$  in (i), where the values lie on either side of the resonance curve maximum. Thus  $n_2^u$  is a decreasing function of  $\Phi_0$  at  $\Phi_0^{*(r2)}$  and  $\mathbf{x}^{*(r2)}$  is stable against fluctuations of  $\Phi_0$  by the same argument as for  $\mathbf{x}^{*(nr)}$ .  $\mathbf{x}^{*(r1)}$ , on the other hand, is unstable since  $n_2^u$  rises with  $\Phi_0$  at  $\Phi_0^{*(r1)}$ .

Therefore, the local conditions in (i)–(iv) together with (v) generate two stable nodes and a saddle as fixed points. If the dynamic system has unique solutions and the physical phase space is bounded, these conditions are sufficient for the system to display a bistable behavior.

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