## Raman- and infrared-active phonons in YBaCuFeO<sub>5</sub>: Experiment and lattice dynamics

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The results of polarized Raman scattering, far-infrared (FIR) absorption, Kramers-Kronig analysis of FIR reflectance, and lattice-dynamical calculations of the perovskitelike compound YBaCuFeO<sub>5</sub> (space group P4mm) are reported. All 16 Raman- and infrared-active  $\Gamma$ -point phonons  $(6A_1+2B_1+8E)$  have been identified and assigned to definite atomic vibrations in close comparison with the structurally similar tetragonal YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6</sub> system. The appearance of an additional strong Raman line at 576 cm<sup>-1</sup> is discussed in terms of disorder-induced Raman scattering. The normal-mode frequencies are in reasonable agreement with the results of lattice-dynamical calculations in the framework of a shell model with parameters extracted from several metallic oxides and perovskite compounds.

### INTRODUCTION

The perovskitelike antiferromagnetic compound with layer structure YBaCuFeO<sub>5+ $\delta$ </sub> was isolated by Er-Rakho et al.<sup>1</sup> and characterized by Rietveld refinements of the neutron diffraction pattern using the P4mm space group with Cu and Fe atoms, distributed at the 1(b1) and 1(b2)sites. Later, Meyer et al.<sup>2</sup> on the basis of Mössbauer spectra analysis concluded that the 1(b1) site is occupied only with iron and the 1(b2) site with copper. Recently, Mitros et al.<sup>3</sup> have fitted the x-ray diffraction data using Rietveld profile technique in the centrosymmetric space group P4/mmm and the obtained interatomic distances were very close to that given in Ref. 1. In both cases (P4mm or P4/mmm space group) the structure of YBa- $CuFeO_5$  [Fig. 1(a)] is very similar to the one of tetragonal YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6</sub> (the so-called 123 system) [Fig. 1(b)]. Indeed, the former structure(s) could be obtained by the latter (P4/mmm) by removing the Cu1 layers and replacing one half of the Cu2 atoms by Fe.

Among the few reports<sup>1-4</sup> on the properties of YBaCuFeO<sub>5</sub> there are, to our knowledge, no published results on the phonon spectra. Such studies, in particular the investigation of the Raman and infrared (IR) spectra, could help to determine the space group as far as different numbers of Raman- and/or IR-allowed phonons are expected with the *P4mm* and *P4/mmm* structures. The comparison with the phonon modes of YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6+ $\delta$ </sub> is also of significant interest because of the existence of similarities in the structures of YBaCuFeO<sub>5</sub> and YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6+ $\delta$ </sub>.

In this work we report polarized Raman spectra of YBaCuFeO<sub>5</sub>, obtained in exact scattering configurations from single microcrystals, as well as nonpolarized farinfrared (FIR) transmission and reflectance spectra of the same material. It is shown that the Raman and infrared phonon lines correspond to the normal modes expected for the P4mm and not for the P4/mmm structure. In close comparison with the well-known spectra of  $YBa_2Cu_3O_6$  some of the lines are assigned to definite atomic vibrations. These assignments are further confirmed by the results of lattice dynamical calculations<sup>5</sup> carried out using a shell model within the P4mm structure. The rather good agreement between the calculated and experimentally obtained phonon frequencies allows the identification all  $\Gamma$ -point phonons  $(6A_1 + 2B_1 + 8E)$  of the P4mm structure of YBaCuFeO<sub>5</sub>.



FIG. 1. Elementary cell of (a) YBaCuFeO<sub>5</sub> (P4/mmm or P4mm) and (b) YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6</sub> (P4/mmm). In the case of the P4/mmm structure of YBaCuFeO<sub>5</sub> the Fe and Cu atoms are randomly distributed at the two equivalent 2(h) sites and in the case of P4mm Fe atoms occupy 1(b1) and Cu-1(b2) sites.

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## SAMPLES AND EXPERIMENT

The YBaCuFeO<sub>5</sub> material was prepared from stoichiometric mixture of Y2O3, BaCO3, CuO, and  $Fe_2O_3$ , first at 960 °C. The powders were pressed into pellets and sintered for 110 h at 980 °C in air with intermediate regrindings. The x-ray powder diffraction data were collected in the range 20°-120° using a Siemens D500 diffractometer with  $Cu K \alpha$  radiation and diffracted beam monochromator. The x-ray diffraction patterns of the sample used in Raman and infrared experiments was refined using the Rietveld technique in space group P4/mmm (a=3.87382 Å, c=7.6623 Å),<sup>3</sup> the Fe and Cu atoms randomly occupying the two 2(h) sites or in *P4mm* space group  $[a=3.867(7) \text{ \AA}, c=7.661(5) \text{ \AA}]^4$ using site 1(b1) for iron and site 1(b2) for copper. The interatomic distances<sup>1,3</sup> in YBaCuFeO<sub>5</sub> are given in Table I together with the corresponding distances<sup>6</sup> in  $YBa_2Cu_3O_{6+\delta}$ .

The visual observation through an optical microscope of the polished surface of a pellet (in polarized light) showed differently oriented mostly elongated microcrystals of 5 to 20  $\mu$ m and 10 to 50  $\mu$ m in the shortest and in the longest dimensions, respectively. It has been established by TEM analysis of grains constituting the pellet that similarly to YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6+8</sub> the microcrystals of YBaCuFeO<sub>5</sub> grew in the form of platelets parallel to the *ab* planes, and hence the long sides of their elongated projections are along a direction x in the *ab* planes. The direction that is perpendicular to x (further denoted as z) lies in a plane that includes also the c axis. As long as the *ab* plane is the plane of easy cleavage, it is exhibited by most of the grains on the surface of a freshly broken pellet.

The polarized Raman spectra were measured in the backward scattering configuration by means of a triple spectrometer (Microdil 28) in conjunction with an optical microscope (objective  $\times 100$ ) and an optical multichannel analyzer. An improved software was used for processing the very weak signals. In order to avoid overheating, the laser power ( $\lambda_L = 514.5$  nm) at the focus spot (1-2  $\mu$ m diameter) was kept below 0.2 mW. The typical acquisition times were 5000 s. The spectra collected from different microcrystals were almost identical.

TABLE I. Comparison of the interatomic distances in YBaCuFeO<sub>5</sub>, obtained by neutron (Ref. 1) and x-ray (Ref. 3) diffraction, and in tetragonal YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6+ $\delta$ </sub> (Ref. 6).

	Y	BaCuFeO₅	$YBa_2Cu_3O_{6+\delta}$			
Bond	P4mm (Ref.	1) P4/mmm (Ref.	3) P4/mmm (Ref. 6)			
Y-O2	2.383	2.39	2.40			
Cu-O2	1.966	1.96	1.95			
Fe-O2	1.999	1.98				
Cu-O1	2.130	2.12	2.43			
Fe-O1	1.998	1.99				
Cu1-O1			1.80			
Cu-Cu		3.41	3.27			
Cu-Fe	3.559	3.55				
Ba-O1	2.753	2.74	2.77			

The infrared transmittance spectra were measured between 140 and 700 cm<sup>-1</sup> by means of a Fourier spectrometer (Bomem DA3) using pellets of CsI containing 1/200 part of finely grinded YBaCuFeO<sub>5</sub> pressed for 5 min at 15 kbar at room temperature. The reflectance spectra were measured from the polished surface of polycrystalline YBaCuFeO<sub>5</sub> between 50 and 4500 cm<sup>-1</sup>.

#### LATTICE DYNAMICAL CALCULATIONS

Lattice dynamical calculations<sup>5</sup> were carried out using a shell model in order to remove the uncertainties in the assignment of some of the observed frequencies. In this model the ionic interactions consist of long-range Coulomb parts and short-range ones in the form of Born-Mayer potentials. In order to account for the electronic polarizability of the ions, each ion is represented by a charged core and a charged rigid spherical shell, enabled to move relative to the core and coupled to it via a spring. In the present model the ionic charges were fixed at their nominal values. The Born-Mayer parameters for the short-range Cu-O and O-O interactions were transferred from other studied materials: Ca<sub>2</sub>CuO<sub>3</sub> and Nd<sub>2</sub>CuO<sub>4</sub>; those for the short-range Ba-O and Y-O interactions were obtained from fits to the dispersion curves of BaO and SrO. The shell charges and the coreshell force constants for the Y, Ba, Cu, and O ions were taken over from the above-mentioned compounds.<sup>3</sup> These parameters describe fairly well the lattice dynamics of  $YBa_2Cu_3O_6$  and served as the basis for our study of the  $\Gamma$ -point phonons of YBaCuFeO<sub>5</sub>. In the latter case, however, the necessary Fe-O interaction parameters were not available and they were determined using the equilibrium conditions; and they were slightly varied in order to provide stability over the entire Brillouin zone.

### **RESULTS AND DISCUSSION**

The comparison between the structures of YBaCuFeO<sub>5</sub> (P4mm of P4/mmm) and YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6</sub> (P4/mmm) shows that these compounds have some identical structural fragments and have very close interatomic distances.

If the structure of the YBaCuFeO<sub>5</sub> corresponds to the P4/mmm space group, the Y, Cu(Fe), and O2 atoms occupy sites of the same symmetry as in the tetragonal 123 system, whereas the Ba and O1 sites are centers of inversion, and hence the Ba and O1 vibrations are Ramanforbidden (see Table II). Within the space group P4mm, all sites of YBaCuFeO<sub>5</sub> become Raman- and simultaneously infrared-active and only the Cu/Fe-O2 out-of-phase  $B_1$  modes are strictly Raman-active and infrared-forbidden (see Table II). In both possible structures of YBaCuFeO<sub>5</sub>, however, the interatomic distances are close to these of YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6+ $\delta$ </sub>, and hence one expects close values of the Raman frequencies and similar polarization dependences for the Raman-active modes related to vibrations of Cu/Fe and O2 atoms.

Figure 2 shows the Raman spectra of YBaCuFeO<sub>5</sub> in  $y(zz)\overline{y}$ ,  $y(xx)\overline{y}$ ,  $c(aa)\overline{c}$ ,  $c(b,b)\overline{c}$ ,  $c(a+b,a+b)\overline{c}$ , and  $c(a+b,a-b)\overline{c}$  scattering configurations, where a+b and a-b are two orthogonal directions at an angle of 45°

	Space	Site	Wickoff	Irreducible		
Atom	group	symmetry	notation	representations		
	P4mm	C4.	1(a)	$A_1 \pm E_1$		
Y	P4/mmm	$D_{4h}$	1(b)	$A_{2u} + E_u$		
	P4mm	$C_{4v}$	1( <i>a</i> )	$A_1 + E$		
Ba	P4/mmm	$D_{4h}$	1( <i>a</i> )	$A_{2u} + E_u$		
Fe		$C_{4v}$	1( <i>b</i> 1)			
	P4mm			$2A_1 + 2E$		
Cu		$C_{4v}$	1( <i>b</i> 2)			
Cu, Fe	P4/mmm	$C_{4v}$	2( <i>h</i> )	$A_{1g} + E_g + A_{2u} + E_u$		
	P4mm	$C_{4v}$	1( <i>b</i> )	$A_1 + E$		
01	P4/mmm	$D_{4h}$	1( <i>c</i> )	$A_{2u}+E_u$		
	P4/mm	$C_{2v}^2$	2(c)	$A_1 + B_1 + 2E + A_1 + B_1 + 2E$		
O2	P4/mmm	$C^{v}_{4v}$	2( <i>i</i> )	$A_{1g} + B_{1g} + 2E_g + A_{2u} + B_{2u} + 2E_u$		
		Mode	e classification			
		P41	nm	P4/mmm		
$\Gamma_{Raman}$		$\{6A_1+2B_1+8E\}$		$2A_{1g} + B_{1g} + 3E_{g}$		
$\Gamma_{IR}$				$4A_{2u}+5E_{u}$		
$\Gamma_{acoust}$		$A_1$	+E	$A_{2u}+E_u$		
$\Gamma_{acoust}$		$A_1$	+E	$A_{2u}+E_u$		
Γ <sub>silent</sub>				$B_{2u}$		

 $A_1 \rightarrow \alpha_{xx}^z + \alpha_{yy}^z, \alpha_{zz}^z$  $B_1 \rightarrow \alpha_{xx}^z - \alpha_{yy}^z$ 

 $E \rightarrow \alpha_{xz}^{x}, \alpha_{yz}^{y}$ 

TABLE II. Site symmetries, Wickoff positions, and irreducible representations for the atoms in YBaCuFeO<sub>5</sub> for space groups P4mm and P4/mmm.

with respect to a straight edge (assumed to coincide with the a or b direction) of a plateletlike microcrystal on the as-broken grain surface. Taking into account the definition for the x, y, and z directions, the  $y(zz)\overline{y}$  scattering configuration has to be considered as mainly  $b(cc)\overline{b}$ with a small admixture of  $[b(ac)\overline{b}+b(ca)\overline{b}]$ , the  $y(xx)\overline{y}$ —as mainly  $[b(aa)\overline{b}+a(bb)\overline{a}]$ , and the  $y(zx)\overline{y}$  — as mainly the mixture  $[b(ca)\overline{b} + a(cb)\overline{a}]$  with a small admixture of  $[b(aa)\overline{b} + a(cc)\overline{a}]$ . The comparison of the Raman line intensities in the  $y(zz)\overline{y}$ ,  $y(xx)\overline{y}$ , and  $y(zx)\overline{y}$  spectra shows that, as a rule, the  $A_1(A_{1g})$  and  $B_1(B_{1g})$  lines are much more intensive than the ones of  $E(E_g)$  symmetry. Therefore the small admixture of cross-scattering configurations [where the  $E(E_g)$  lines have to be observed] to the parallel ones, such as  $y(zz)\overline{y}$ or  $y(xx)\overline{y}$ , could be neglected and it is reasonable to assign all lines in the latter spectra to modes of  $A_1(A_{1g})$  or  $B_1(B_{1g})$  symmetry.

The most pronounced lines in the Raman spectra with parallel polarizations of the incident and the scattered light are the ones at 180 cm<sup>-1</sup> (xx-polarized), 214 cm<sup>-1</sup> (zz), 345 cm<sup>-1</sup> (xx), 456 cm<sup>-1</sup> (zz), 576 cm<sup>-1</sup> (unpolarized), and at 669 cm<sup>-1</sup> (mainly zz-polarized). The spectral features in the  $y(zx)\overline{y}$  and  $y(xz)\overline{y}$  spectra, where the *E* modes are expected, are much weaker. The crosspolarized  $c(a+b,a-b)\overline{c}$  spectrum is dominated by the



 $\rightarrow \alpha_{xz}, \alpha_{yz}$ 

FIG. 2. Polarized Raman spectra of YBaCuFeO<sub>5</sub>.

lines at 180 and 345 cm<sup>-1</sup>. Their strong reduction in the parallel  $c(a+b,a+b)\overline{c}$  spectrum is consistent with  $B_1$  ( $B_{1g}$ -like) symmetry.

The number of the observed Raman-active modes of YBaCuFeO<sub>5</sub> (six) in parallel polarization is already an indication for a P4mm rather than for a P4/mmm structure where only two Raman-active modes are expected in the zz-polarized spectra.

Two spectra, obtained in  $y(zx)\overline{y}$  and  $y(xz)\overline{y}$  polarization geometries, are illustrated in Fig. 3. Both spectra are identical and by an order of magnitude weaker than the spectra with parallel incident and scattered polarizations. Weak features are observed at 142, 192, 280, 320, 545, 576, and 669 cm<sup>-1</sup>. Although only E modes have to be expected in these scattering geometries, some of the lines are certainly due to "polarization leakages" of the much stronger  $A_1$  modes, e.g., the intensive peak at 669 cm<sup>-1</sup>. The group-theoretical analysis and lattice dynamical calculations<sup>7</sup> for YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6</sub> yield five  $E_g$  modes involving motions of the same atoms which build the elementary cell of YBaCuFeO<sub>5</sub>. Thus the structural similarity and the results of calculations of the lattice dynamics allow us to assign the lines in the cross-polarized Raman spectra to definite modes of E symmetry in YBaCuFeO<sub>5</sub>.

Figure 4 shows the transmission and reflectance spectra of YBaCuFeO<sub>5</sub>. The former has absorption maxima at 192, 238 (weak), 276 (weak), 360, 568, and 650 cm<sup>-1</sup> and as a whole it is similar to that of the nonmetallic tetragonal YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6</sub> system<sup>8</sup> where only the  $A_{2u}$  and  $E_{u}$  modes are IR allowed. This resemblance indicates that the IR absorption of YBaCuFeO<sub>5</sub> is dominated by the corresponding phonon modes of  $A_1$  and E symmetry. In order to obtain the eigenfrequencies  $\omega_{\rm TO}$  and  $\omega_{\rm LO}$  we also carried out a Kramers-Kronig analysis (KKA) of the reflectance data between 50 and 4500  $cm^{-1}$ . The reflectance below 50  $cm^{-1}$  was assumed to be constant and the high-frequency reflection above  $4500 \text{ cm}^{-1}$ —to be proportional to  $\omega^{-4}$ , which is an appropriate approximation for insulators. The frequencies of the TO phonons were obtained from the maxima of the  $Im[\varepsilon(\omega)]$ curve, while those for the LO phonons-from the maxima of  $-\text{Im}[1/\epsilon(\omega)]$ ,  $\epsilon(\omega)$  being the complex dielectric



FIG. 3. Polarized Raman spectra of YBaCuFeO<sub>5</sub> in  $y(zx)\overline{y}$  and  $y(xz)\overline{y}$  polarization configurations.



FIG. 4. Transmission and reflectance spectra of YBaCuFeO<sub>5</sub>.



FIG. 5. Curves of  $\text{Im}[\epsilon(\omega)]$  and  $-\text{Im}[1/\epsilon(\omega)]$ , where  $\epsilon(\omega)$  is the complex dielectric function, obtained by Kramers-Kronig analysis. The derived values of TO and LO phonon frequencies are included in Table III.

Mode	Raman frequency			I Abs.	nfrared frequ K	ency KA	Lattice dynamics		Main atomic
	ZZ	xx	zx, xz	$\omega_{\mathrm{TO}}$	$\omega_{ m TO}$	$\omega_{ m LO}$	ωτο	$\omega_{ m LO}$	vibrations
A <sub>1</sub>					97	100	110	153	Ba
$A_1$	214						203	210	Cu/Fe
$A_1$				238	235	241	214	221	Y
$A_1$				360	324	378	343	414	O2-Cu/Fe
$A_1$	456						454	454	O2 in phase
$A_1$	669	669	669	650	650	664	653	676	01
<b>B</b> <sub>1</sub>		180						178	O2 out of phase
$\boldsymbol{B}_{1}$		345						340	O2 out of phase
Ε					122	130	103	114	Ba
Ε			142		158	171	140	141	Cu/Fe
Ε			192	192	184	199	187	194	Y
Ε			280	276	272	280	292	299	01
Ε			320				323	340	O2-Cu/Fe
Ε				360	383	444	361	453	O2-Cu/Fe
Ε			545				531	541	O2-Cu/Fe
E			576	568	562	627	571	589	O2-Cu/Fe

TABLE III. Phonon mode frequencies (in cm<sup>-1</sup>) of YBaCuFeO<sub>5</sub> (P4mm) as obtained from polarized Raman spectra, FIR absorption, Kramers-Kronig analysis (KKA) of FIR reflectance, and lattice dynamical calculations (LDC) using a shell model.

function (Fig. 5). The frequencies, so obtained, are also listed in Table III. With a few exceptions there is a good coincidence between the TO frequencies obtained from the absorption maxima and via the Kramers-Kronig procedure.

In the low-frequency range where, following our lattice dynamical calculations (LDC), the  $A_1$  and E modes related mainly to Ba motions are expected, only reflectance data are available. We connect with these modes the two maxima in  $\text{Im}[\varepsilon(\omega)]$  at 97 and 122 cm<sup>-1</sup> in close correspondence with the pair of phonons at 107 cm<sup>-1</sup> ( $A_{2u}$ ) and 118 cm<sup>-1</sup> ( $E_u$ ) observed in tetragonal 123 samples by Crawford *et al.*<sup>9</sup>

We assign the zz-polarized Raman line at 214  $cm^{-1}$  to the Cu/Fe vibrations of  $A_1$  symmetry. In the Raman spectra of YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6</sub> the like corresponding to the stretching vibrations of Cu ( $\equiv$ Cu2) along the c axis appears at 140 cm<sup>-1</sup> and it is mostly xx-polarized,<sup>10</sup> whereas for the orthorhombic YBa<sub>2</sub>Cu<sub>3</sub>O<sub>7</sub> it is zzpolarized and shifts to higher wave numbers  $(149 \text{ cm}^{-1})$ . Although the Cu-O2 (Fe-O2) bond lengths remain practically the same, in the case of YBaCuFeO<sub>5</sub> one expects the Cu/Fe  $(A_1)$  mode to harden, as a result of significant shortening of the Cu-O1 (Fe-O1) bond lengths. The calculated frequency of 203  $\text{cm}^{-1}$  supports our assignment. The larger half-width, compared to that of the Cu2 line in YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6</sub>, may be due to Cu/Fe disorder. The  $A_1$ mode of Cu/Fe is observed neither in the absorption nor in the reflectance spectra.

Following the results of LDC, the line at  $142 \text{ cm}^{-1}$ , obtained in the cross-polarized xz and zx Raman spectra, corresponds to the *E* mode involving motion of Cu/Fe atoms in the Cu/Fe-O2 planes. For the tetragonal 123 system this mode has been observed by Hadjiev *et al.*<sup>11</sup> at 145 cm<sup>-1</sup>, whereas for the orthorhombic YBa<sub>2</sub>Cu<sub>3</sub>O<sub>7</sub>

the corresponding  $B_2$ - $B_3$  doublet has been found by McCarty *et al.*<sup>12</sup> at 140 and 142 cm<sup>-1</sup>. A peak at 145 cm<sup>-1</sup> has also been observed in the  $y(zx)\overline{y}$  and  $y(xz)\overline{y}$  Raman spectra of the closely related YBa<sub>2</sub>(Cu<sub>1-x</sub>Fe<sub>x</sub>)<sub>3</sub>O<sub>7+ $\delta$ </sub><sup>13</sup> and Y<sub>1-x</sub>Pr<sub>x</sub>Ba<sub>2</sub>Cu<sub>3</sub>O<sub>7- $\delta$ </sub><sup>14</sup> systems. The Cu/Fe mode of *E* symmetry is pronounced also in the FIR spectra of YBaCuFeO<sub>5</sub> and the Kramers-Kronig analysis yields for its TO frequency the value of 158 cm<sup>-1</sup>. The discrepancy between the Raman and the KKA frequencies could be explained by the weakness of the corresponding feature in the reflectance spectrum, resulting in a relatively big uncertainty when calculating the frequency of this particular maximum in Im[ $\varepsilon(\omega)$ ].

The next  $A_1$ -E pair is the one related to vibrations of Y. The yttrium  $A_1$  line is not pronounced in the Raman spectra. We note here that the corresponding mode in the 123 compounds is of  $A_u$  ( $A_{1u}$ ) symmetry and is Raman-forbidden, although it could be activated, e.g., by hydrogenization (for  $H_{1.0}$ YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6.7</sub>, Hadjiev *et al.*<sup>15</sup> observed a zz-polarized Raman line of Y vibrations at 210 cm<sup>-1</sup>). Both  $A_1$  and E lines are observed in absorption (at 238 cm<sup>-1</sup> and 192 cm<sup>-1</sup>) and reflectance. The LDC frequencies are close to those obtained from the experiment. For the tetragonal 123 phase the Y modes frequencies, as obtained from absorption<sup>8</sup> and reflectance<sup>9</sup> spectra, are at 218 ( $A_{2u}$ ) and 192 cm<sup>-1</sup> ( $E_u$ ).

The assignment of the Raman lines at  $345 \text{ cm}^{-1}$  (xxpolarized) and at  $456 \text{ cm}^{-1}$  (zz-polarized) to the even out-of-phase and in-phase vibrations of O2 atoms along the *c* axis, respectively, is most straightforward. Indeed, the corresponding modes in YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6+ $\delta$ </sub> are observed at 340 and 450 cm<sup>-1</sup> and have the same polarizations.<sup>10</sup> Moreover, the observation of the 345 cm<sup>-1</sup> line in the  $c(a+b,a-b)\overline{c}$  spectrum and its absence in  $c(a+b,a+b)\overline{c}$  clearly indicates  $\boldsymbol{B}_1$ symmetry  $(\alpha_{xx} = -\alpha_{yy}, \alpha_{zz} \approx 0)$ . The band at 180 cm<sup>-1</sup> (xxpolarized) shows the same  $B_1$ -type behavior and we assign it to the odd out-of-phase  $B_1$  mode, strictly Raman active in the case of the P4mm space group, which is another evidence for this space group. This mode corresponds to the odd  $B_{1u}$  mode in the tetragonal 123 compounds, where it is silent, because the net dipole vector is zero. If one accepts the P4/mmm space group, this mode is of  $B_{2u}$ -type with a small net dipole moment. In the case of the P4mm space group the displacements are not equal and this mode can be activated and observed in the xx-polarized Raman spectrum. It follows from our calculations of the lattice dynamics that the frequency of this mode is  $180 \text{ cm}^{-1}$ . Earlier calculations<sup>16</sup> of the frequency of the  $B_{1u}$  mode in the orthorhombic 123 phase have yielded the value of 313  $cm^{-1}$ , but no IR phonon line has been found near this frequency. There is another phonon mode of  $A_1$  symmetry involving motions of O2 and Cu/Fe atoms along the c direction, which has not been observed in the xx- or zz-Raman spectra. This mode is Raman-forbidden  $(B_{2u})$  for the centrosymmetric Pmmm or P4/mmm structures of the 123 compounds, and, following the calculations of the lattice dynamics (see Table III), its frequency  $(343 \text{ cm}^{-1})$  is very close to two other modes of E symmetry (323 and 361 cm<sup>-1</sup>). In the nonpolarized IR absorption and reflectance spectra, the phonon bands related to these three modes should be superimposed, and hence their separation is not possible at present.

Following the LDC analysis, one expects four modes of E symmetry that involve vibrations of large amplitude of O2 atoms in the ab plane. The two strong absorption bands at 360 and 568 cm<sup>-1</sup> (there is a weak Raman line at 576 cm<sup>-1</sup> in the xz and zx spectra) could be assigned to the odd in-phase and out-of-phase in-plane Cu/Fe-O2 vibrations, respectively. The bands are relatively broad and, as discussed above, the one at 360 cm<sup>-1</sup> could be a superposition of one  $A_1$  and two E bands. The corresponding IR absorption band for the YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6</sub> system is also broad and at nearly the same frequency (368 cm<sup>-1</sup>).

While the E modes at 360 and 568 cm<sup>-1</sup> correspond to the odd  $E_u$  ( $B_{2u}$ - $B_{3u}$ ) modes of the 123 systems, there are two other (even) modes involving O2 motions that are of  $E_g(B_{2g}-B_{3g})$  symmetry in the 123 system but are of E symmetry for the P4mm structure of YBaCuFeO<sub>5</sub>. The LDC<sup>16</sup> predict for their frequencies 323 and 531 cm<sup>-1</sup> and we do observe weak xz- and zx-polarized Raman features at 320 and 545 cm<sup>-1</sup>. It seems that in the IR spectra, these bands are obscured by the much stronger E bands originating from the  $E_u$  ( $B_{2u}-B_{3u}$ ) modes.

The only modes of the P4mm structure still unidentified are the  $A_1$  and E modes involving mainly vibrations of the apex oxygen (O1) along the c axis and in the Ba-O1 plane, respectively. Consistent with our LDC results, we accept that the  $A_1$  mode of O1 is represented by the strong mostly zz-polarized Raman line 669 cm<sup>-1</sup> and by the lines in the IR absorption spectra with  $\omega_{\rm TO} \approx 650$  cm<sup>-1</sup>. Within the P4/mmm space group, this mode would be Raman-forbidden, and hence its appearance is another evidence that the structure of YBaCuFeO<sub>5</sub> is described by the P4mm space group. The TO frequency of this mode corresponds to the one in 123 measured<sup>9</sup> at 648 cm<sup>-1</sup>. It is well known that the frequency of the Raman active mode of the O1 atom vibrations in the 123 system is very sensitive to the apex oxygen content. With increasing the laser power (temperature), both the peak area and frequency decrease, similarly for the case of the Raman line at 500 cm<sup>-1</sup> in the YBa<sub>2</sub>(Cu<sub>1-x</sub>Fe<sub>x</sub>)<sub>3</sub>O<sub>7+ $\delta$ </sub> system.<sup>13</sup> From the comparison of the  $y(zz)\overline{y}$  and  $y(xx)\overline{y}$  scattering cross sections, one concludes that for this mode,  $S_{zz} \approx 7S_{xx} \approx 7S_{yy}$ .

The peak at 669 cm<sup>-1</sup> is more intensive in  $y(zx)\overline{y}$  than in  $y(xz)\overline{y}$  polarization geometries and that shows possible "polarization leakage" in these configurations of the Raman spectra.

The weak xz- and zx-polarized Raman feature near 280 cm<sup>-1</sup> and the absorption maximum at 276 cm<sup>-1</sup> could be assigned to the *E* mode of the O1 vibrations in Ba-O1 planes. Lattice dynamics<sup>7</sup> of the tetragonal 123 system has given for this mode a frequency of 365 cm<sup>-1</sup> which is higher than the real value. Indeed, for the orthorhombic 123 system the  $B_{2g}$ - $B_{3g}$  pair has been observed<sup>12</sup> at 210 and 303 cm<sup>-1</sup>, respectively. In the tetragonal phase the splitting has to decrease and the lines should merge into a single  $E_g$  model which was observed recently by Hadjiev *et al.*<sup>11</sup> at 310 cm<sup>-1</sup>. The lattice dynamical calculations for YBaCuFeO<sub>5</sub> give a frequency of 272 cm<sup>-1</sup>, which is in excellent agreement with the experimentally observed frequencies.

At last we shall discuss the possible origin of the strong Raman line at 576 cm<sup>-1</sup> which cannot be included in the above-described classification of the  $\Gamma$ -point phonons of YBaCuFeO<sub>5</sub> because all normal modes have already been assigned and the lattice dynamic calculations give no indication for an  $A_1$  mode of close frequency.

Unpolarized or zz-polarized Raman line at about 576  $cm^{-1}$  has been observed in the spectra of a number of layered oxide compounds such as  $YBa_2Cu_3O_{6+\delta}$ , <sup>12,17–19</sup>  $YBa_2(Cu_{1-x}Co_x)O_{7-\delta}$ , <sup>20</sup>  $YBa_2(Cu_{1-x}Fe_x)O_{7-\delta}$ , <sup>13</sup>  $\begin{array}{l} \text{YBa}_{2}(\text{Cu}_{1-x}\text{Co}_{x})\text{O}_{7-\delta}, \overset{20}{} \text{YBa}_{2}(\text{Cu}_{1-x}\text{Fe}_{x})\text{O}_{7-\delta}, \overset{13}{} \\ \text{Y}_{1-x}\text{Pr}_{x}\text{Ba}_{2}\text{Cu}_{3}\text{O}_{7-\delta}, \overset{14}{} \text{La}_{2-x}\text{Sr}_{x}\text{Ca}\text{Cu}_{2}\text{O}_{6}, \overset{21}{} \text{and} \\ \text{Nd}_{2-x}\text{Ce}_{x}\text{Cu}\text{O}_{4}, \overset{22}{} \text{It has been noted that the relative in-} \end{array}$ tensity of this line increases with cation substitution and/or with oxygen nonstoichiometry and does not change in frequency at the phase transition. In most cases its appearance in the 123 compounds has been attributed to disorder-activated infrared mode along the c axis, associated with the apex oxygen O1 or the oxygen atoms O4 in the chains. Such arguments are obviously not valid in our case as all normal modes are both Raman and IR allowed and, despite the closeness to the frequency of one of the E modes, the Raman line at 576  $\text{cm}^{-1}$  is much stronger in a parallel scattering configuration (an Emode should be stronger in the crossed zx and xz spectra). Heyen et al.<sup>23</sup> have observed in NdBa<sub>2</sub>Cu<sub>3</sub>O<sub>7- $\delta$ </sub> a peak at 555  $cm^{-1}$  that has not followed any polarization selection rules and assumed that it is of phonon densityof-states origin. The same assumption has been made by Thomsen et al.<sup>24</sup> for the line at 550 cm<sup>-1</sup> in the PrBa<sub>2</sub>Cu<sub>3</sub>O<sub>7- $\delta$ </sub> system and by Iliev *et al.*<sup>13,14</sup> for the line at 580 cm<sup>-1</sup> in YBa<sub>2</sub>(Cu<sub>1-x</sub>Fe<sub>x</sub>)O<sub>7+ $\delta$ </sub> and Y<sub>1-x</sub>Pr<sub>x</sub>Ba<sub>2</sub>Cu<sub>3</sub>O<sub>7- $\delta$ </sub> systems.

We measured the Raman spectra of YBaCuFeO<sub>5</sub> at various laser powers, i.e., at different temperatures, and found that although the line at 576 cm<sup>-1</sup> broadened significantly with increasing temperature, its frequency remained practically unchanged. Using the same arguments as in Ref. 13, it is reasonable to assume that the unpolarized 576 cm<sup>-1</sup> line in the Raman spectra of YBaCuFeO<sub>5</sub> is due to partial disorder and corresponds to a maximum of the phonon density of states. If this is so, the high frequency suggests that the line could be related to the phonon branches of oxygen (O1 and/or O2). It is worth noting that in some studies on 123 compounds, the disorder-induced line at 570–590 cm<sup>-1</sup> has been attributed to the "chain" oxygen, which is absent in the YBaCuFeO<sub>5</sub> structure.

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### CONCLUSION

In conclusion, from the polarized Raman scattering, FIR absorption and reflectance of all  $\Gamma$ -point phonons expected for the insulating ferromagnetic with P4mm structure YBaCuFeO<sub>5</sub> have been identified. The phonon frequencies are compared to those obtained from calculations of the lattice dynamics using a shell model. The experimental and theoretical results are discussed in close comparison with the available data for YBa<sub>2</sub>Cu<sub>3</sub>O<sub>7- $\delta$ </sub>, taking into account the similarity of both structures.

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