

# Theory of near-zero-wave-vector neutron scattering in Haldane-gap antiferromagnets

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One-dimensional integer-spin Heisenberg antiferromagnets have disordered ground states and a gap to a triplet magnon near the antiferromagnetic wave vector,  $k \approx \pi$ . Near zero wave vector the lowest energy excitation is a pair of magnons. We calculate the neutron-scattering cross section near  $k = 0$ , using a Landau-Ginzburg model and alternatively exact  $S$ -matrix results for the  $O(3)$  nonlinear  $\sigma$  model. The cross section is proportional to  $k^2$ . As a function of energy, it shows a rounded peak somewhat above the two-magnon threshold. The effects of anisotropy are also considered.

## I. INTRODUCTION

One-dimensional Heisenberg antiferromagnets, with Hamiltonian

$$H = J \sum_i \vec{S}_i \cdot \vec{S}_{i+1}, \quad J > 0 \quad (1.1)$$

have disordered ground states. (Here the  $\vec{S}_i$ 's are quantum spin operators, of spin  $s$ .) As argued by Haldane,<sup>1</sup> there is an excitation gap for integer spin. Field-theory arguments predict that the gap is smallest at the antiferromagnetic wave vector,  $k = \pi$ . Here there is a triplet of magnons, with energy-momentum relation

$$E \cong \sqrt{\Delta^2 + v^2(k - \pi)^2}, \quad (1.2)$$

where  $\Delta$  is the magnon gap and  $v$  is the spin-wave velocity (we adopt units in which the lattice spacing and  $\hbar$  equal 1). On the other hand, near zero wave vector the lowest excitation is a pair of magnons (total wave vector  $k \approx 2\pi \equiv 0$ ). This spectrum has been partially verified<sup>2</sup> by numerical simulations on chains of length up to 32. In particular, the gap at  $k = 0$  appears to be very close to twice the gap at  $k = \pi$ . However, the two-particle nature of the excitations near 0 has not, to our knowledge, been tested. Nearly all reported experimental data on the dispersion relation from neutron scattering in  $\text{CsNiCl}_3$ ,<sup>3,4</sup>  $\text{Ni}(\text{C}_2\text{H}_8\text{N}_2)_2\text{NO}_2(\text{ClO}_4)$  (NENP),<sup>5</sup> and  $\text{RbNiCl}_3$  (Ref. 6) have been near  $k = \pi$ . One exception is Ref. 4. In this paper, we report on detailed theoretical calculations of the neutron-scattering cross section near  $k = 0$ .

We begin with a couple of general observations about the form of this cross section. It is proportional to the spin-correlation function:

$$\begin{aligned} S(k, \omega) & \equiv \frac{1}{L} \sum_{a,b} \int dt e^{i\omega t - ik(a-b)} \langle 0 | \vec{S}(a, t) \cdot \vec{S}(b, 0) | 0 \rangle. \end{aligned} \quad (1.3)$$

Here  $a$  and  $b$  are integers labeling points on the lattice;  $L$  is the length of the system. We set the temperature to zero throughout; this is not a serious limitation since experiments can be performed well below the gap ( $\approx 15$  K in NENP). Since the ground state  $|0\rangle$  is a spin singlet,  $\sum_a \vec{S}(a, t) |0\rangle = 0$ ,  $S(0, \omega) = 0$ . For small  $k$  the correlation function is quadratic:

$$\begin{aligned} S(k, \omega) & \rightarrow k^2 \frac{1}{L} \sum_{a,b} \frac{(a-b)^2}{2} \int dt e^{i\omega t} \langle 0 | \vec{S}(a, t) \cdot \vec{S}(b, 0) | 0 \rangle. \end{aligned} \quad (1.4)$$

We further note that assuming the two-magnon picture is correct, we should expect  $S(k, \omega)$  to vanish for  $\omega < 2\Delta$ . Detailed calculations, presented below, show an asymmetric rounded peak in  $S(k, \omega)$  as a function of  $\omega$ , with maximum somewhat above  $2\Delta$ .

The field-theory treatment,<sup>1</sup> based on the large- $s$  limit, introduces two fields  $\vec{\phi}$  and  $\vec{l}$  representing the staggered and uniform long-wavelength components of the spin operators ( $\vec{S}_a$ ):

$$\vec{S}_a \approx s(-1)^a \vec{\phi}(a) + \vec{l}(a). \quad (1.5)$$

$\vec{\phi}$  and  $\vec{l}$  are assumed to vary slowly on the lattice scale. (This is a fair approximation even for  $s = 1$  where the correlation length is about seven lattice spacings.) The staggered and uniform magnetization do not commute, but rather obey

$$[l^i(x), \phi^j(y)] = i\epsilon^{ijk} \phi^k \delta(x-y), \quad (1.6)$$

$$[l^i(x), l^j(y)] = i\epsilon^{ijk} l^k \delta(x-y). \quad (1.7)$$

We make the basic semiclassical approximation (valid for large  $s$  and/or long wavelengths) that the components of  $\vec{\phi}$  commute with each other. These commutation relations can be realized by writing  $\vec{l} = \vec{\phi} \times \vec{\Pi}$ , where  $\vec{\Pi}$  is the canonical momentum variable conjugate to  $\vec{\phi}$ ,  $\vec{\Pi} \propto \partial\vec{\phi}/\partial t$ . Since the staggered magnetization  $\vec{\phi}$  is linear in magnon creation and annihilation operators, we see immediately that the uniform magnetization

$$S(k, \omega) \approx \frac{1}{v^2 g^2} \int dx dt e^{i(kx - \omega t)} \left\langle 0 \left| \left( \vec{\phi} \times \frac{\partial \vec{\phi}}{\partial t} \right) (x, t) \cdot \left( \vec{\phi} \times \frac{\partial \vec{\phi}}{\partial t} \right) (0, 0) \right| 0 \right\rangle. \quad (1.9)$$

The constraints on the length of the spin vectors translate into the nonlinear constraints on the  $\sigma$ -model fields:  $\vec{\phi}^2 = 1$ ,  $\vec{\phi} \cdot \vec{l} = 0$ . Although the nonlinear  $\sigma$  model is highly nontrivial, much is known about it in one dimension, from the renormalization group, the large- $n$  limit, and the exact  $S$  matrix. The spectrum consists of a triplet of massive magnons (with mass  $\Delta \propto e^{-\pi s}$ ); fluctuation effects eliminate the constraint on the field  $\vec{\phi}$ , allowing it to have three degrees of freedom, instead of two. A much simpler model which has qualitatively similar behavior (and essentially arises in the large- $n$  limit) is the Landau-Ginzburg model:

$$\mathcal{H} = \frac{v}{2} \vec{\Pi}^2 + \frac{v}{2} \left( \frac{\partial \vec{\phi}}{\partial t} \right)^2 + \frac{\Delta^2}{2v} \vec{\phi}^2 + \lambda \vec{\phi}^4. \quad (1.10)$$

Here the constraint on the field  $\vec{\phi}$  is relaxed and the mass  $\Delta$  is put in by hand. The coupling  $\lambda$  produces a repulsive interaction between the bosons. (The field  $\vec{\phi}$  has been rescaled.) A simple mean-field theory is now obtained if we treat<sup>7</sup> the model perturbatively in  $\lambda$ . This has been used to treat a number of other properties of Haldane-gap antiferromagnets. In what follows, we first calculate the correlation function in the free-boson approximation,  $\lambda = 0$ . We then give the exact result for the  $\sigma$  model. They are qualitatively similar.

## II. FREE-BOSON APPROXIMATION

We expand the staggered magnetization field  $\vec{\phi}$  in magnon annihilation operators  $\vec{a}_k$ . It is convenient to denote the Lorentz-invariant contraction of two vectors by  $\mathbf{a} \cdot \mathbf{b} \equiv a_0 b_0 - a_1 b_1$ , and apply it to the space-time and energy-momentum two vectors:  $\mathbf{X}_\mu = (\mathbf{X}_0, \mathbf{X}_1) \equiv (t, x/v)$  and  $\mathbf{K}_\mu = (\mathbf{K}_0, \mathbf{K}_1) \equiv (\omega, vk)$ . (The appearance of the dot product will always signify two vectors.) We adopt the relativistic normalization of the annihilation operators:

$$[a_k, a_{k'}^\dagger] = 4\pi v \omega_k \delta(k - k'), \quad (2.1)$$

where  $\omega_k = \sqrt{\Delta^2 + (vk)^2}$ . The mode expansion then takes the form

is a two-magnon operator. Expanding the Heisenberg Hamiltonian in the continuum fields gives the nonlinear  $\sigma$  model with Hamiltonian density

$$\mathcal{H} = \frac{v}{2} \left[ g \vec{l}^2 + \frac{1}{g} \left( \frac{\partial \vec{\phi}}{\partial x} \right)^2 \right], \quad (1.8)$$

where the spin-wave velocity is  $v = 2Js$  and the coupling constant is  $g = 2/s$ . (The topological angle is zero for integer spin.) Thus the correlation function for  $k$  near 0 is given, in the continuum limit, by

$$\vec{\phi}(x, t) = \int \frac{dk}{4\pi\omega_k} (e^{-i\mathbf{K} \cdot \mathbf{X}} \vec{a}_k + e^{i\mathbf{K} \cdot \mathbf{X}} \vec{a}_k^\dagger). \quad (2.2)$$

Here the dot product  $\mathbf{K} \cdot \mathbf{X}$  represents the expression  $(\omega_k t - kx)$ . The uniform magnetization density,  $\vec{l} = (1/v)\vec{\phi} \times \partial\vec{\phi}/\partial t$  contains four terms each with two-magnon annihilation or creation operators. To calculate the zero-temperature correlation function, we only need the term with two creation operators, and its Hermitian conjugate. The double-creation term is

$$l_c^3(x, t) = i \int \frac{dk' dk''}{16\pi^2 v \omega_{k'} \omega_{k''}} (\omega_{k''} - \omega_{k'}) e^{i(\mathbf{K}' + \mathbf{K}'') \cdot \mathbf{X}} a_{k'}^\dagger a_{k''}^\dagger. \quad (2.3)$$

Note that the 3 component of the uniform magnetization involves the 1 and 2 components of the staggered magnetization. Thus we obtain

$$\begin{aligned} < 0 | l^3(x, t) l^3(0, 0) | 0 > \\ &= \int \frac{dk' dk''}{16\pi^2 \omega_{k'} \omega_{k''}} e^{-i(\mathbf{K}' - \mathbf{K}'') \cdot \mathbf{X}} (\omega_{k''} - \omega_{k'})^2. \end{aligned} \quad (2.4)$$

Fourier transforming, we obtain the 33 element of the correlation function in the form

$$S^{33}(\mathbf{K}_\mu) = \int \frac{dk' dk''}{16\pi^2 \omega_{k'} \omega_{k''}} (\omega_{k'} - \omega_{k''})^2 (2\pi)^2 \times \delta^2(\mathbf{K} - \mathbf{K}' - \mathbf{K}''). \quad (2.5)$$

Here,  $\delta^2(\mathbf{K})$  represents the Lorentz-invariant product,  $\delta(\mathbf{K}_0)\delta(\mathbf{K}_1)$ . Taking into account the Jacobian factor, we obtain

$$S^{33}(\mathbf{K}_\mu) = \frac{(\mathbf{K}'_0 - \mathbf{K}''_0)^2}{2v^2 |\mathbf{K}'_0 \mathbf{K}''_1 - \mathbf{K}''_0 \mathbf{K}'_1|}, \quad (2.6)$$

where  $\mathbf{K}'$  and  $\mathbf{K}''$  now represent one of the two solutions of the energy-momentum conservation equations. These are given by

$$\mathbf{K}'_{1\pm} = \left( vk \pm \frac{\omega \sqrt{\mathbf{K} \cdot \mathbf{K} - 4\Delta^2}}{\sqrt{\mathbf{K} \cdot \mathbf{K}}} \right) / 2, \quad (2.7)$$

$$\mathbf{K}'_{0\pm} = \left( \omega \pm \frac{vk \sqrt{\mathbf{K} \cdot \mathbf{K} - 4\Delta^2}}{\sqrt{\mathbf{K} \cdot \mathbf{K}}} \right) / 2. \quad (2.8)$$

Substituting the above expressions for the wave vectors and energies of the two magnons, we obtain

$$\mathcal{S}(\mathbf{K}_\mu) = \frac{3k^2 \sqrt{\mathbf{K} \cdot \mathbf{K} - 4\Delta^2}}{(\mathbf{K} \cdot \mathbf{K})^{3/2}} \theta(\mathbf{K} \cdot \mathbf{K} - 4\Delta^2). \quad (2.9)$$

(The factor of 3 arises from summing over the three components of  $l^a$ .) Several features of this expression are obvious. For fixed  $\omega$  it vanishes quadratically as  $k \rightarrow 0$ , as expected from general principles. For fixed nonzero  $k$ , it vanishes for  $\omega$  less than the threshold value,  $\omega_{\text{th}}(k) = \sqrt{v^2 k^2 + 4\Delta^2}$ , and rises as the square root of  $\omega - \omega_{\text{th}}$ . It goes through a rounded maximum at:

$$\omega_{\text{max}} \equiv \sqrt{6\Delta^2 + v^2 k^2} \quad (2.10)$$

of height  $(k/\Delta)^2/2\sqrt{3}$  and then decreases as  $1/\omega^2$  at large frequencies. Note that for small  $k$ ,  $\omega_{\text{th}} \approx 2\Delta$  and  $\omega_{\text{max}} \approx \sqrt{6}\Delta \approx 2.5\Delta$ .

### III. NONLINEAR $\sigma$ MODEL

By imposing requirements such as unitarity and crossing symmetry, an exact  $S$  matrix has been proposed<sup>8</sup> for the  $O(3)$  nonlinear  $\sigma$  model. The construction of this  $S$

$$\mathcal{S}^{aa}(\mathbf{K}_\mu) = \int \frac{dk' dk''}{16\pi^2 \omega_{k'} \omega_{k''} v^2} \langle 0 | l^3(0) | 1, k'; 2, k'' \rangle \langle 1, k'; 2, k'' | l^3(0) | 0 \rangle (2\pi)^2 \delta^2(\mathbf{K}' + \mathbf{K}'' - \mathbf{K}) \quad (3.4)$$

(for  $\mathbf{K} \cdot \mathbf{K} < 16\Delta^2$ ). [One-particle states are defined to have the normalization  $|k\rangle = a_k^\dagger |0\rangle$  such that the resolution of the identity in the one-particle subspace of the Fock space is  $I = \int dk |k\rangle \langle k| / (4\pi \omega_k v)$ .] Thus, in this frequency range, we only need the form factor:  $\langle 0 | l^3(0) | 1, k'; 2, k'' \rangle$ . Noting that  $\vec{l}$  is the 0 component of the Lorentz two vector  $\vec{J}_\mu \equiv (\vec{\phi} \times \partial_\mu \vec{\phi}) / vg$ , and that the form factor is odd under exchanging  $k'$  and  $k''$ , we see that

$$\langle 0 | l^3(0) | 1, k'; 2, k'' \rangle = i(\omega_{k''} - \omega_{k'}) G(\theta), \quad (3.5)$$

where  $G$  depends only on the Lorentz-invariant quantity  $\mathbf{K}' \cdot \mathbf{K}'' = \frac{1}{2} \mathbf{K} \cdot \mathbf{K} - \Delta^2$ . This is conveniently expressed in terms of rapidities,  $\mathbf{K}'_\mu = \Delta(\cosh \theta', \sinh \theta')$ ,  $\mathbf{K}''_\mu = \Delta(\cosh \theta'', \sinh \theta'')$ ,  $\mathbf{K}' \cdot \mathbf{K}'' = \Delta^2 \cosh(\theta)$ , where  $\theta \equiv \theta' - \theta''$ . The function  $G(\theta)$  may be pulled out of the

matrix requires certain assumptions, basically that the spectrum is "minimal," i.e., it consists only of the triplet of massive bosons, with no bound states. The  $S$  matrix has been found by these methods for the  $O(n)$  model for all  $n$  and has been checked to  $O(1/n^2)$  against the  $1/n$  expansion of the  $\sigma$  model. This technique has been extended to calculate form factors.<sup>9</sup> The spin-correlation function can be expressed in terms of form factors by inserting a complete set of asymptotic states between the two factors of  $\vec{l}$  in Eq. (1.9):

$$\langle 0 | l^a(\mathbf{X}_\mu) l^a(0) | 0 \rangle = \sum_n \langle 0 | l^a(\mathbf{X}_\mu) | n \rangle \langle n | l^a(0) | 0 \rangle. \quad (3.1)$$

It follows from Lorentz invariance that

$$\langle 0 | l^a(\mathbf{X}_\mu) | n \rangle = e^{i\mathbf{K}_n \cdot \mathbf{X}} \langle 0 | l^a(0) | n \rangle. \quad (3.2)$$

Thus we obtain the correlation function

$$\mathcal{S}^{aa}(\mathbf{K}_\mu) = \sum_n |\langle 0 | l^a(0) | n \rangle|^2 (2\pi)^2 \delta^2(\mathbf{K}_n - \mathbf{K}). \quad (3.3)$$

The lowest-energy intermediate state  $|n\rangle$  is the two-magnon state. This follows since the vacuum state  $|0\rangle$  is a singlet, so  $\langle 0 | l^a | 0 \rangle = 0$  and the one-magnon state is odd under the discrete symmetry  $\vec{\phi} \rightarrow -\vec{\phi}$ , whereas  $\vec{l}$  is even. The next-lowest-energy intermediate state is the four-magnon state. Thus in the range  $4\Delta^2 < \mathbf{K} \cdot \mathbf{K} < 16\Delta^2$ , only the two-magnon state contributes:

integral in Eq. (3.4), leaving

$$\mathcal{S}^{33}(\mathbf{K}_\mu) = |G(\theta)|^2 \int \frac{dk' dk''}{16\pi^2 \omega_{k'} \omega_{k''}} (\omega_{k''} - \omega_{k'})^2 (2\pi)^2 \times \delta^2(\mathbf{K}' + \mathbf{K}'' - \mathbf{K}). \quad (3.6)$$

The integral is the same one encountered in the free-boson approximation Eq. (2.5), leaving

$$\mathcal{S}(\mathbf{K}_\mu) = |G(\theta)|^2 \frac{3k^2 \sqrt{\mathbf{K} \cdot \mathbf{K} - 4\Delta^2}}{(\mathbf{K} \cdot \mathbf{K})^{3/2}} \quad (\text{for } 4\Delta^2 < \mathbf{K} \cdot \mathbf{K} < 16\Delta^2). \quad (3.7)$$

The exact form factor of Karowski and Weisz<sup>9</sup> for the  $O(n)$   $\sigma$  model gives

$$G_n(\theta) = \exp \left( 2 \int_0^\infty \frac{dx}{x} \frac{(e^{-2x/(n-2)} - 1) \sin^2[x(i\pi - \theta)/2\pi]}{(e^x + 1) \sinh(x)} \right). \quad (3.8)$$

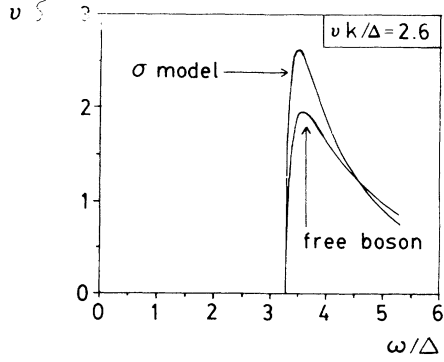


FIG. 1.  $S(\omega, k)$  for  $k = 2.6\Delta/v$  from the free-boson and nonlinear  $\sigma$  models.

Note that at  $n \rightarrow \infty$  we obtain the free-boson result,  $G(\theta) = 1$ . Evaluating the integral for  $n = 3$ , gives

$$G(\theta) = \frac{\pi \Gamma(\frac{1}{2} - \frac{i\theta}{2\pi}) \Gamma(\frac{3}{2} + \frac{i\theta}{2\pi})}{4 \Gamma(1 - \frac{i\theta}{2\pi}) \Gamma(2 + \frac{i\theta}{2\pi})}, \quad (3.9)$$

where  $\Gamma(z)$  is Euler's gamma function. Thus

$$|G(\theta)|^2 = \frac{\pi^4}{64} \frac{1 + (\theta/\pi)^2}{1 + (\theta/2\pi)^2} \left( \frac{\tanh \theta/2}{\theta/2} \right)^2. \quad (3.10)$$

At small  $\theta$  this behaves as:  $|G(\theta)|^2 \approx 1.52(1 - 0.09\theta^2)$ . At large  $\theta$ ,  $|G(\theta)|^2 \approx \pi^4/4\theta^2$ . From the definition of  $\theta$  we see that  $\mathbf{K} \cdot \mathbf{K} = 4\Delta^2 \cosh^2(\theta/2)$ , so  $\theta \rightarrow 0$  at the threshold:  $\mathbf{K} \cdot \mathbf{K} - 4\Delta^2 \approx \Delta^2\theta^2$  and  $\theta \rightarrow \infty$  at large energy:  $\mathbf{K} \cdot \mathbf{K} \approx \Delta^2 e^\theta$ . The free-boson and nonlinear- $\sigma$ -model results are qualitatively similar. The effect of the interaction between the bosons is to narrow the peak and to raise the height at the maximum. (The free-boson and nonlinear- $\sigma$ -model predictions are compared in Fig. 1.) Note that the normalization of the form factor is universal since, by crossing symmetry and translation invariance,

$$\langle 1, k | l^3(\mathbf{X}_\mu) | 2, k \rangle = 2i\omega_k G(i\pi). \quad (3.11)$$

Integrating over  $x$ , gives the 3 component of the total spin operator:

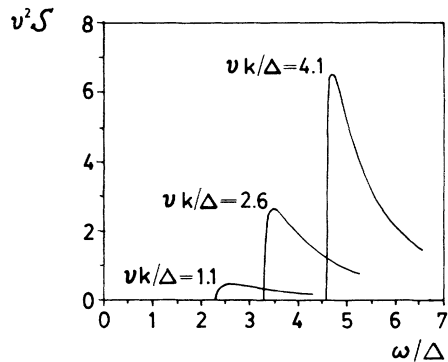


FIG. 2.  $S(\omega, k)$  for several values of  $k$  from the nonlinear  $\sigma$  model.

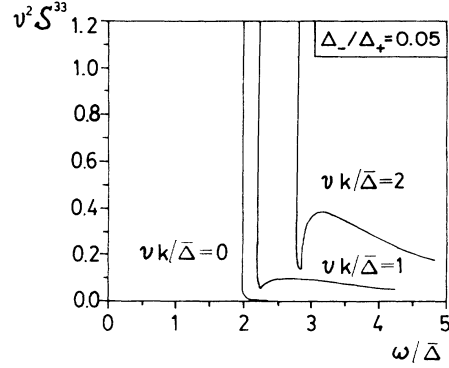


FIG. 3.  $S^{33}(\omega, k)$  for mass ratio  $\Delta_-/\Delta_+ = 0.05$  for several values of  $k$  from the free-boson model.

$$\int dx l^3(\mathbf{X}_\mu) = \sum_i S_i^3 \equiv S_T^3. \quad (3.12)$$

Since the single-magnon states may be decomposed into eigenstates of  $S_T^3$ , with eigenvalues  $\pm 1$ , we obtain

$$\langle 1, k | l^3(\mathbf{X}_\mu) | 2, k \rangle = \langle 1, k | S_T^3 | 2, k \rangle / L = i2\omega_k. \quad (3.13)$$

Hence,  $G(i\pi) = 1$ . Thus the overall scale of the correlation function is predicted by the  $\sigma$  model. The correlation function is plotted versus  $\omega$  for various values of  $k$  in Fig. 2.

#### IV. EFFECTS OF ANISOTROPY

The most well-studied highly one-dimensional spin-1 antiferromagnet, NENP, contains significant anisotropy, assumed to be largely of crystal-field origin. This can be included in the nonlinear  $\sigma$  model by adding additional terms to the Hamiltonian density of the form

$$\delta\mathcal{H} = a(\phi^3)^2 + b(\phi^1)^2. \quad (4.1)$$

In the Landau-Ginzburg model, we simply allow for three different mass terms:

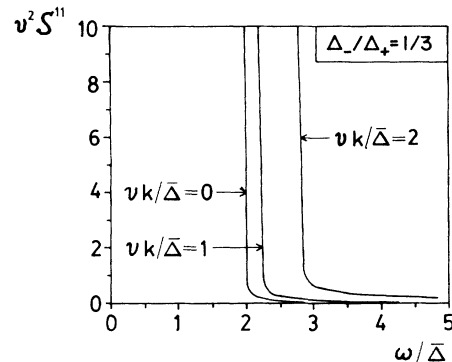


FIG. 4.  $S^{11}(\omega, k)$  for mass ratio  $\Delta_-/\Delta_+ = \frac{1}{3}$  for several values of  $k$  from the free-boson model.

$$\mathcal{H} = \frac{v}{2} \vec{\Pi}^2 + \frac{v}{2} \left( \frac{\partial \vec{\phi}}{\partial x} \right)^2 + \sum_i \frac{\Delta_i^2}{2v} \phi_i^2 + \lambda \vec{\phi}^4. \quad (4.2)$$

Neutron-scattering experiments (near  $k = \pi$ ) on NENP indicate that  $\Delta_1 \approx 13$  K,  $\Delta_2 \approx 15$  K, and  $\Delta_3 \approx 30$  K.<sup>5</sup>

Since the 3 component of the uniform magnetization operator involves the one and two magnons, we expect that  $\mathcal{S}^{33}$  will show a relatively small anisotropy while  $\mathcal{S}^{11}$  and  $\mathcal{S}^{22}$  will show a large one. Note that with anisotropy,

$$\mathcal{S}^{33}(\omega, k) = \left( \mathbf{K}_1^2 \sqrt{[(\mathbf{K} \cdot \mathbf{K})^2 - \Delta_+^2][(\mathbf{K} \cdot \mathbf{K})^2 - \Delta_-^2]} + \frac{\Delta_+^2 \Delta_-^2 \mathbf{K}_0^2}{\sqrt{[(\mathbf{K} \cdot \mathbf{K})^2 - \Delta_+^2][(\mathbf{K} \cdot \mathbf{K})^2 - \Delta_-^2]}} \right) \frac{\theta(\mathbf{K} \cdot \mathbf{K} - \Delta_+^2)}{v^2 (\mathbf{K} \cdot \mathbf{K})^2}. \quad (4.3)$$

As expected,  $\mathcal{S}^{33}(\omega, k)$  no longer vanishes at  $k \rightarrow 0$ , but is rather of  $O(\Delta_-^2)$ .  $\mathcal{S}^{33}(\omega, k)$  is now singular at the threshold due to the diverging density of states. For small anisotropy, there is a narrow peak near threshold and then a broader one at larger  $\omega$ . The first peak is difficult to observe except at very small  $\omega$  and  $k$ . For larger anisotropy  $\mathcal{S}^{33}(\omega, k)$  is a monotonically decreasing function of  $\omega$ .  $\mathcal{S}^{33}(\omega, k)$  is shown in Fig. 3 for a small anisotropy:  $\Delta_-/\Delta_+ = 0.05$  and  $\mathcal{S}^{11}(\omega, k)$  in Fig. 4 for a larger one:  $\Delta_-/\Delta_+ = 0.33$ , corresponding roughly to the situation in NENP. [ $\bar{\Delta} \equiv (\Delta_1 + \Delta_2)/2$ .] Anisotropy actually makes the  $k \approx 0$  two-magnon peaks easier to observe since it makes them narrower and nonvanishing at  $k \rightarrow 0$ .

Exact results are not available for the nonlinear  $\sigma$  model with anisotropy added, but based on our experience with the isotropic case, we might expect the results to be qualitatively similar to the free-boson approximation.

the ground state is no longer a zero eigenstate of the total spin operator so that  $\mathcal{S}(\omega, k)$  need no longer vanish at  $k \rightarrow 0$ . It can readily be seen that for small anisotropy,  $\mathcal{S}(\omega, 0)$  is of quadratic order in the crystal-field term in the Hamiltonian (i.e., of quadratic order in the mass difference). The two-particle threshold in  $\mathcal{S}^{33}$  now occurs at  $\omega = \Delta_1 + \Delta_2$ , etc.

We may readily repeat the free-boson calculation of  $\mathcal{S}^{33}$  with anisotropy. Defining  $\Delta_{\pm} \equiv \Delta_1 \pm \Delta_2$ , we find

Neutron-scattering experiments on CsNiCl<sub>3</sub> (Ref. 4) seem to indicate a broader peak near  $k \approx 0$  than near  $k \approx \pi$ , as predicted by the present theory. Experiments on NENP would probably be much more conclusive in this regard since they can be done at temperatures well below the gap, three-dimensional effects are smaller, and the significant anisotropy makes the signal easier to see, as mentioned above.

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