

## Lattice instabilities and the effect of copper-oxygen-sheet distortions on superconductivity in doped $\text{La}_2\text{CuO}_4$

M. K. Crawford, R. L. Harlow, E. M. McCarron, and W. E. Farneth

Central Research and Development, Du Pont Experimental Station, P.O. Box 80356, Wilmington, Delaware 19880-0356

J. D. Axe and H. Chou

Brookhaven National Laboratory, Upton, New York 11973

Q. Huang

National Institute of Standards and Technology, Reactor E151, Gaithersburg, Maryland 20899

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Synchrotron x-ray and neutron-diffraction measurements demonstrate that  $\text{Nd}^{3+}$  substitution at the  $\text{La}^{3+}$  site in both metallic and insulating  $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$  induces low-temperature structural instabilities which reorient the tilting of the copper-oxygen octahedra. These structural transformations strongly affect superconductivity. In addition, there is a bicritical point involving phases of *Bmab*, *Pccn*, and *P4<sub>2</sub>/ncm* space-group symmetries in the structural phase diagram of  $\text{La}_{1.6-x}\text{Nd}_{0.4}\text{Sr}_x\text{CuO}_4$  at  $x \sim 0.15$ . There are also anomalies in the structural transformation temperatures and the superconducting transition temperatures when  $x \sim \frac{1}{8}$ , providing evidence for an electronic instability at this doping level.

One of the many interesting aspects of the high transition temperature ( $T_c$ ) copper-oxide superconductors is the presence of lattice instabilities which involve distortions of the copper-oxygen layers. For example,  $\text{La}_2\text{CuO}_4$  has orthorhombic symmetry (space group *Bmab*) as a direct result of a second-order structural phase transition involving cooperative tilting of the copper-oxygen octahedra about either the (110) or (1 $\bar{1}$ 0) axes, referred to the tetragonal *I4/mmm* (HTT denotes high-temperature tetragonal) structure.<sup>1</sup> The temperature at which this phase transition occurs<sup>2</sup> is strongly dependent upon the doping level of holes in the Cu-O sheets, tending toward 0 K as the hole content approaches 0.2 per  $\text{Cu}^{2+}$ . Although bulk superconductivity and long-range orthorhombic distortion both disappear near this hole concentration, transport measurements do not indicate any notable coupling of this transformation to the charge carriers in the Cu-O layers.<sup>2</sup>

This situation has been greatly altered by the recent discovery<sup>3</sup> of a second structural phase transition in  $\text{La}_{1.88}\text{Ba}_{0.12}\text{CuO}_4$  at about 70 K. This phase transition, from *Bmab* (LTO denotes low-temperature orthorhombic) to *P4<sub>2</sub>/ncm* (LTT denotes low-temperature tetragonal) space-group symmetry, has a strong effect both upon superconductivity and normal-state transport properties in this material.<sup>3,4</sup> Furthermore, the oxygen isotope effect on  $T_c$  also exhibits great sensitivity to this instability.<sup>5</sup> Motivated by these developments, we have examined the substitution of smaller rare-earth ions (for example,  $\text{Nd}^{3+}$  or  $\text{Gd}^{3+}$ ) for  $\text{La}^{3+}$  in  $\text{La}_{1.88}\text{Sr}_{0.12}\text{CuO}_4$ , and discovered that these substitutions produce similar lattice instabilities. We have, therefore, studied the compositions  $\text{La}_{1.88-x}\text{Nd}_x\text{Sr}_{0.12}\text{CuO}_4$  and  $\text{La}_{1.6-x}\text{Nd}_{0.4}\text{Sr}_x\text{CuO}_4$ , all of which have the *I4/mmm* structure at high temperatures, but undergo various structural transformations involving tilting of the  $\text{CuO}_6$  octahedra upon cooling. These materials permit us to study the interplay among structural in-

stability, doping, and superconductivity. Our results also address the long-standing question<sup>6</sup> concerning the reason for the depression of  $T_c$  in  $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$  when  $\text{La}^{3+}$  is partially substituted with smaller rare-earth ions.

The synthesis of the materials studied has been described previously.<sup>5</sup> Synchrotron x-ray-diffraction data were obtained at beamlines X7A and X3A at the National Synchrotron Light Source, Brookhaven National Laboratory. Typical x-ray wavelengths used were between 0.7 and 1.2 Å. The powder diffractometers in each case were set up in a high-resolution, parallel beam mode with double Si(111) monochromators and a Ge(220) or (111) analyzing crystal.<sup>7</sup> Low-temperature data were obtained using a closed cycle He refrigerator. The neutron-diffraction measurements were made with the high-resolution, five-counter diffractometer (BT-1, wavelength of 1.553 Å) at the National Institute of Standards and Technology reactor.<sup>8</sup> Samples were placed in a 10-mm vanadium can and cooled in a helium cryostat. Superconducting transition temperatures were determined from Meissner data obtained using a Quantum Design superconducting quantum interference device (SQUID) magnetometer. A magnetic field of 3G was used.

In Fig. 1 we show x-ray-diffraction data at various temperatures for a  $\text{La}_{1.68}\text{Nd}_{0.2}\text{Sr}_{0.12}\text{CuO}_4$  sample. From 300 to about 55 K the orthorhombic distortion, represented by the splitting of the (200) and (020) reflections, increases smoothly. Beginning with data at 50 K, however, these two reflections broaden and nearly coalesce in a continuous manner as temperature decreases. The low-temperature (16 K) structure is orthorhombic with space group *Pccn*. For comparison, Fig. 2 shows similar data for a  $\text{La}_{1.48}\text{Nd}_{0.4}\text{Sr}_{0.12}\text{CuO}_4$  sample. In this case the structural transformation is clearly underway at 70 K. Two phases coexist at 65 K, one LTO and the other with *Pccn* symmetry, suggesting that the transformation is discon-

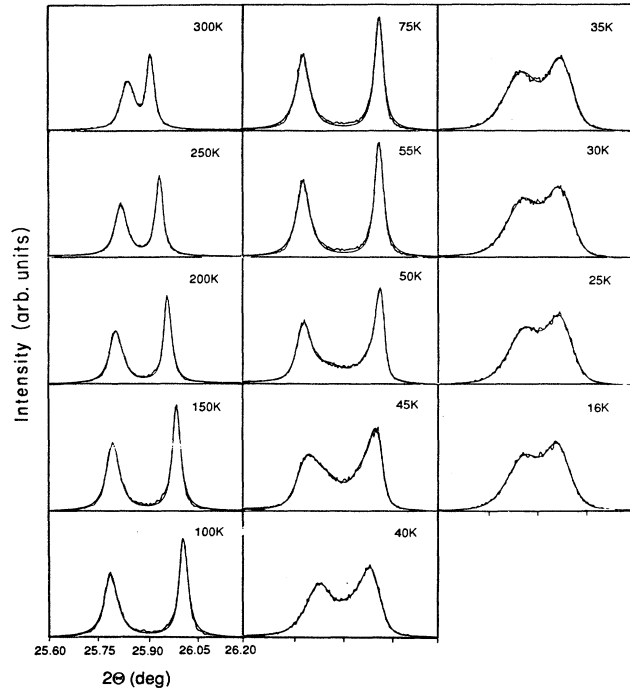


FIG. 1. Synchrotron x-ray-diffraction data for  $\text{La}_{1.68}\text{Nd}_{0.2}\text{Sr}_{0.12}\text{CuO}_4$  at different temperatures. The peaks correspond to the  $(110)_{\text{HTT}}$  Bragg reflection. Below 55 K the material undergoes a second-order  $\text{LTO} \rightarrow \text{Pccn}$  structural transformation. Fits to the line profiles are superimposed on the data. The x-ray wavelength was  $1.19754(3) \text{ \AA}$ .

tinuous. At low temperature (17 K) there is only one reflection, consistent with the material having the LTT structure. These space-group assignments are consistent with synchrotron x-ray and neutron-diffraction structural refinements which will be described in detail elsewhere.<sup>9</sup>

Axe and others<sup>3</sup> have developed a Landau theory for octahedra tilt instabilities in materials with the  $\text{K}_2\text{NiF}_4$  structure. By expanding the Landau free energy to eighth order in the order parameters  $Q_1$ ,  $Q_2$  which describe the tilts around the  $(110)$  and  $(1\bar{1}0)$  axes of the  $14/mmm$  or HTT structure (Fig. 3), they find that the following structural phases can occur: HTT ( $Q_1=Q_2=0$ ); LTO ( $Q_1 \neq 0, Q_2=0$ , or  $Q_1=0, Q_2 \neq 0$ ); LTT ( $|Q_1|=|Q_2| \neq 0$ ); and  $\text{Pccn}$  ( $Q_1 \neq 0, Q_2 \neq 0, Q_1 \neq Q_2$ ). The appearance in a given system of an intermediate  $\text{Pccn}$  phase, which interpolates smoothly between the LTO and LTT structures, depends upon the sign of the relevant eight-order term. The second-order  $\text{LTO} \rightarrow \text{Pccn}$  phase transition we observe in  $\text{La}_{1.68}\text{Nd}_{0.2}\text{Sr}_{0.12}\text{CuO}_4$  can be understood within this framework. On the other hand, we propose that  $\text{La}_{1.48}\text{Nd}_{0.4}\text{Sr}_{0.12}\text{CuO}_4$  goes through the transformation sequence  $\text{HTT} \rightarrow \text{LTO} \rightarrow \text{Pccn} \rightarrow \text{LTT}$ , with the successive transitions being second, first, and (probably) second order. A first-order  $\text{LTO} \rightarrow \text{Pccn}$  transformation, rather than a continuous one as allowed by symmetry, requires the addition of terms higher than eighth order (for example, twelfth order) in the Landau free-energy expansion.

Our structural studies of the  $\text{La}_{1.88-x}\text{Nd}_x\text{Sr}_{0.12}\text{CuO}_4$  system are summarized in the phase diagram shown in

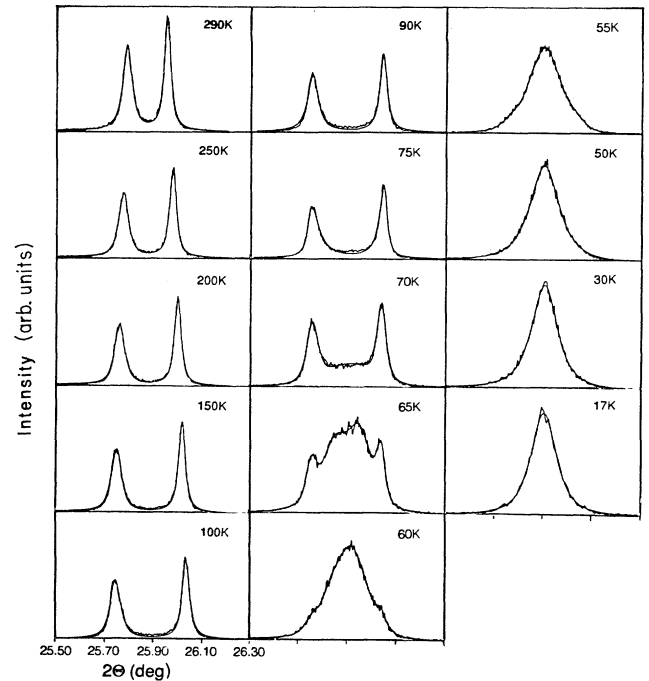


FIG. 2. Synchrotron x-ray-diffraction data for  $\text{La}_{1.48}\text{Nd}_{0.4}\text{Sr}_{0.12}\text{CuO}_4$  at different temperatures. The peaks correspond to the  $(110)_{\text{HTT}}$  Bragg reflection. The material undergoes a first-order  $\text{LTO} \rightarrow \text{Pccn}$  phase transition at about 70 K, and then a second-order  $\text{Pccn} \rightarrow \text{LTT}$  transformation at lower temperature. Fits to the line profiles are superimposed on the data. The 17 K linewidth deduced from the fit implies LTT domain sizes of 400–500  $\text{Å}$ . The x-ray wavelength was  $1.19754(3) \text{ \AA}$ .

Fig. 4. One interesting feature is the decrease of the superconducting transition temperature ( $T_c$ ) in the structural sequence  $\text{LTO} \rightarrow \text{Pccn} \rightarrow \text{LTT}$  symmetry, that is, as  $|Q_2| \rightarrow |Q_1|$ . Previous<sup>6</sup> studies of rare-earth-substituted  $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$  have concluded that the decrease of  $T_c$  induced by the substitution is not a result of magnetic pair breaking. Furthermore, we have titrated each sample and find the hole concentration to be independent of the  $\text{Nd}^{3+}$  content and equal, within 0.01, to the nominal  $\text{Sr}^{2+}$  content. This demonstrates that the  $\text{Nd}^{3+}$ -substituted samples are not oxygen deficient. Thus the decrease of  $T_c$  as  $x$  increases in Fig. 4 is most likely a direct manifestation of the changes in structure which we observe.

The structural phase diagram for the  $\text{La}_{1.6-x}\text{Nd}_{0.4}\text{Sr}_x\text{CuO}_4$  system is shown in Fig. 5. The  $T_c$  values for the  $\text{La}_{1.6-x}\text{Nd}_{0.4}\text{Sr}_x\text{CuO}_4$  samples and a similar series of  $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$  samples<sup>5</sup> are also plotted versus  $x$ .  $\text{La}_{1.6}\text{Nd}_{0.4}\text{CuO}_4$ , a composition near the  $T-T'$  phase boundary,<sup>10</sup> transforms from LTO to  $\text{Pccn}$  symmetry at about 60 K. As the  $\text{Sr}^{2+}$  content is increased, the  $\text{Pccn}$  phase evolves into the LTT phase at low temperatures. Indeed, for  $\text{Sr}^{2+}$  contents of  $x \sim 0.15$  or greater we see no evidence for the  $\text{Pccn}$  phase, but rather observe a direct  $\text{LTO} \rightarrow \text{LTT}$  transformation. Thus there is a bicritical point in the phase diagram when  $x \sim 0.15$ , the point at which the  $\text{Pccn}$  phase disappears. There may be a second bicritical point, involving HTT, LTO, and LTT phases, between  $x=0.25$  and 0.30. We also observe that both

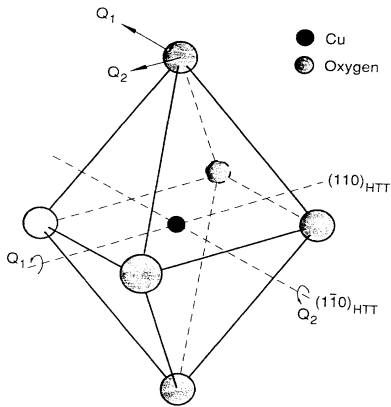


FIG. 3. Schematic diagram of a copper-oxygen octahedron. The  $Q_i$  represent the order parameters in the Landau theory describing the various tilt structures in, for example,  $\text{La}_2\text{CuO}_4$ . The  $Q_i$  have the following values for the four tilt structures discussed in the text: HTT ( $Q_1=Q_2=0$ ); LTO ( $Q_1 \neq 0, Q_2=0$ , or  $Q_1=0, Q_2 \neq 0$ ); *Pccn* ( $Q_1 \neq 0, Q_2 \neq 0, Q_1 \neq Q_2$ ); LTT ( $|Q_1|=|Q_2| \neq 0$ ).

$T_c(x)$  curves exhibit minima at  $x=0.12$  ( $\sim \frac{1}{8}$ ), while the LTO  $\rightarrow$  *Pccn* and *Pccn*  $\rightarrow$  LTT phase boundaries have local maxima near this doping level. This may indicate that an electronic instability,<sup>5,11</sup> which competes with superconductivity for the Fermi surface, is coupled to the LTO  $\rightarrow$  LTT structural transformation. The rational fraction  $\frac{1}{8}$  suggests that some commensurability exists between the Fermi surface and the LTT structure which could, for example, be associated with a charge<sup>12</sup> or spin<sup>13</sup>

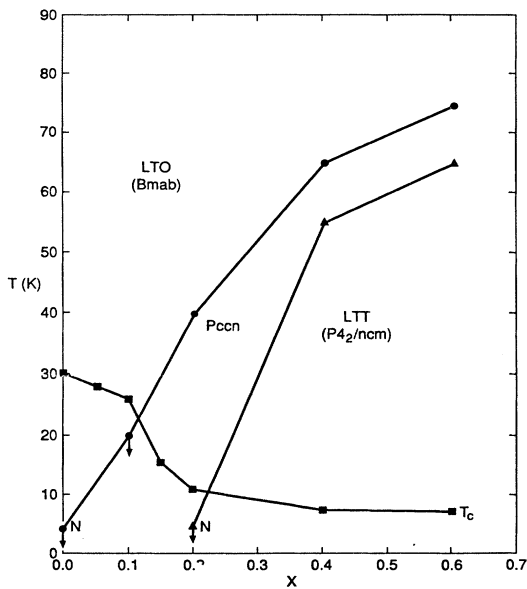


FIG. 4. Structural phase diagram for  $\text{La}_{1.88-x}\text{Nd}_x\text{Sr}_{0.12}\text{CuO}_4$  determined from synchrotron x-ray and neutron-diffraction data. Note that  $\text{La}_{1.88}\text{Sr}_{0.12}\text{CuO}_4$  (that is,  $x=0$ ) has the LTO structure for temperatures down to 4 K. Points with an arrow attached indicate upper limits for the phase-transition temperatures. Points labeled with an *N* are the results of neutron-diffraction measurements.

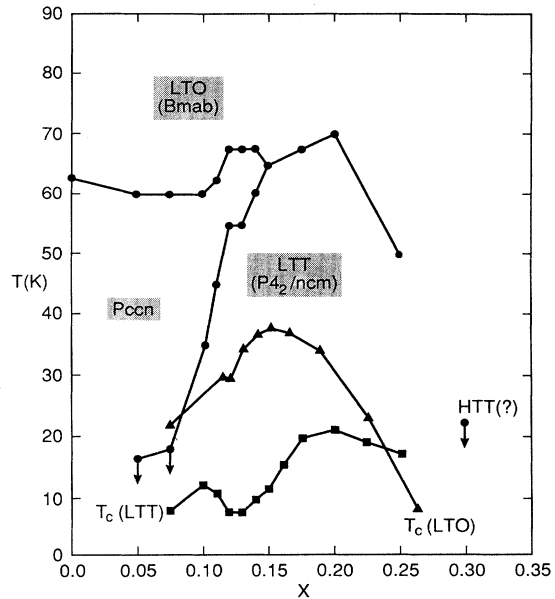


FIG. 5. Structural phase diagram for  $\text{La}_{1.6-x}\text{Nd}_{0.4}\text{Sr}_x\text{CuO}_4$  determined from synchrotron x-ray and neutron-diffraction data. Note the anomalies at  $x \sim \frac{1}{8}$ .  $T_c$  values are for  $\text{La}_{1.6-x}\text{Nd}_{0.4}\text{Sr}_x\text{CuO}_4$  (solid squares) and  $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$  (solid triangles). The latter data are from Ref. 5. The points with arrows attached indicate upper limits for the phase-transition temperatures.

density wave. A partially gapped Fermi surface has been predicted for the LTT phase by band-structure calculations,<sup>14</sup> and these predictions are supported by our infrared spectroscopic measurements which indicate that the LTT phases remain metallic to temperatures as low as 10 K. The presence of commensurability at  $x \sim \frac{1}{8}$  could further gap the Fermi surface in the LTT phase and produce a local minimum in the superconducting  $T_c$ . Such minima are seen in Fig. 5 for LTT  $\text{La}_{1.6-x}\text{Nd}_{0.4}\text{Sr}_x\text{CuO}_4$  and LTO  $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$  at  $x \sim \frac{1}{8}$ , with the minimum clearly more pronounced in the LTT phase.

While it is clear<sup>3</sup> that the sequence of transformations HTT  $\rightarrow$  LTO  $\rightarrow$  LTT can occur naturally from a Landau-type analysis of a free-energy function with the requisite two-component  $x$ - $y$  symmetry and quartic anisotropy, the temperature-dependent sign reversal of the effective quartic anisotropy term, which leads to the LTO  $\rightarrow$  LTT transformation, is not explained by this analysis. An effort to discover the simplest true statistical-mechanical model which shows these effects led to an investigation of a four-state vector Potts model with quadratic (harmonic) and biquadratic (anharmonic) exchange. Let states 1 and 3 represent LTT-like tilts in the positive or negative sense about one axis, while states 2 and 4 represent tilts about the orthogonal axis. If the  $n_i$  represent the average occupancies of the four possible states, a mean-field free energy with the permutation symmetry appropriate to the symmetric HTT phase is of the form

$$F = J[(n_1 - n_3)^2 + (n_2 - n_4)^2] + K[(n_1 - n_3)^4 + (n_2 - n_4)^4] + kT \sum_{n_i} \ln n_i. \quad (1)$$

For  $K=0$  (no anharmonic exchange) and  $J < 0$ , there is a single continuous transformation from a disordered high-temperature HTT-like phase (all  $n_i = \frac{1}{4}$ ) to an ordered low-temperature LTT-like phase, for example,  $n_1 \neq n_3$ ,  $n_2 = n_4 = \frac{1}{2}(1 - n_1 - n_3)$ . Including anharmonic exchange, a LTO-like ordered phase, for example,  $n_1 = n_2$ ,  $n_3 = n_4 = \frac{1}{2}(1 - 2n_1)$ , is stable at intermediate temperatures between the HTT- and LTT-like phases when  $\frac{1}{3} < -(K/J) < \frac{7}{4}$  and  $J < 0$ . If Eq. (1) is developed in a Landau-like expansion near  $kT_c = -J$ , it is seen that the temperature dependence of the effective quartic Landau parameters results from a competition between energy and entropy. That such a simple model shows the full transformation sequence suggests that this behavior is generic, and helps to explain why it is seen in such a wide range of compounds with the prototypical  $K_2NiF_4$  structure.<sup>15-17</sup>

Finally, we describe a plausible relationship between the LTO  $\rightarrow$  LTT instability and the  $T$  ( $La_2CuO_4$ ) and  $T'$  ( $Nd_2CuO_4$ ) structures. It is fairly well established<sup>18</sup> that the  $T$  to  $T'$  structure change produces a change in the sign of the majority charge carrier in the Cu-O layers from  $p$  type (hole conduction) to  $n$  type (electron conduction). Perhaps the most important difference between the two structures is the position of the O(2) atoms.<sup>18,19</sup> The LTO  $\rightarrow$  LTT phase transition moves the O(2) (apical) oxygen atoms about 10% of the way toward the sites they occupy in the  $T'$  structure. Furthermore, transport measurements<sup>4,11</sup> (Hall effect, thermopower) across the LTO  $\rightarrow$  LTT transition in  $La_{1.88}Ba_{0.12}CuO_4$  may be inter-

preted to indicate that there is a change in the relative conductivities due to charge carriers of opposite sign (two-band model) associated with this structural transformation. Thus we suggest that the LTO  $\rightarrow$  LTT transition may be thought of as a "partial"  $T \rightarrow T'$  phase transition in both a structural and an electronic sense.

In conclusion, we have demonstrated that structural phase transitions in  $La_2CuO_4$ -type superconductors can be controlled by chemical substitution at the  $La^{3+}$  site. Utilizing this control, we observe that at fixed hole concentration superconducting  $T_c$  decreases in the structural sequence LTO  $\rightarrow$   $Pccn$   $\rightarrow$  LTT. Furthermore,  $La_{1.6-x}Nd_{0.4}Sr_xCuO_4$  has a bicritical point in its structural phase diagram at  $x \sim 0.15$ . The behavior of  $T_c$  vs  $x$  in  $La_{1.6-x}Nd_{0.4}Sr_xCuO_4$ , where bulk superconductivity occurs in the LTT phase, furnishes evidence for an electronic instability at  $x = \frac{1}{8}$ . It seems likely that these phenomena are related to the unusual normal state and superconducting properties of the copper oxides.

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