Enhancement and suppression of the transition temperature of a three-dimensional XY ferromagnet by control of vortex-loop fugacity

Subodh R. Shenoy

School of Phyics, University of Hyderabad, Hyderabad 500 134, Andhra Pradesh, India

(Received 5 June 1990)

A previous vortex-loop scaling analysis for the three-dimensional XY model is extended to include an external loop-segment chemical potential $\mu = \lambda k_B T$. The loop fugacity y_0 is suppressed, $y_0 \rightarrow y_0 e^{-2\pi\lambda}$, for $\lambda > 0$, enhancing the transition temperature $T_c(\lambda)$, in agreement with the Monte Carlo work of Kohring, Shrock, and Wills. One also gets the suppression of transition temperatures $T_c(e^2)$ of lattice superconductors by electromagnetic charge e^2 , by mapping onto this loop-fugacity model. A possible approach to superconductor high- T_c enhancement, by tailored suppression of topological excitations, is briefly conjectured.

I. INTRODUCTION

The raising of transition temperatures is of much current interest in the context of high- T_c superconductors.¹ The three-dimensional $(3D)$ planar ferromagnet or XY model is isomorphic to 3D Josephson-junction arrays and is closely related to 3D lattice superconductor models^{2,3} and may be relevant for high- T_c phenomen Thermally generated topological excitations (vortex loops) have been conjectured to play an essential role in the 3D XY transition.⁴

The 2D XY and superconductor-film transition are controlled' by 2D topological excitations (vortex points). A 3D XY vortex-loop scaling approach^{6,7} closely follow ing the 2D XY vortex-point scaling of Kosterlitz⁸ has been developed. The transition involves an added expansion of loop size by nested-loop screening as temperature T increases, with a size blowout at $T = T_c$ of the dominant loop diameter $\xi = (T_c - T)^{-\nu}$. The transition temperature depends on the bare-loop fugacity $y_0 = y_0(K_0)$, which is controlled solely by the bare coupling $K_0 \equiv J/k_B T > 0.$

Kohring, Shrock, and Wills,⁹ in Monte Carlo (MC) work, have introduced an externally controlled chemical potential $\mu = \lambda k_B T$ for vortices in the angular $-\pi > \theta_i \leq \pi$ variables on a cubic lattice (i). They find that, for $\lambda > 0$ (suppression of vorticity), T_c is enhanced, with no transition out of the ordered state for $\lambda > \lambda_c = 0.55$.

A similar enhancement of T_c for the suppression of the appropriate topological excitations is found for the Heisenberg ferromagnet, 10 and for lattice gauge models¹ that are similar to those emerging¹² from high- T_c Hubbard Hamiltonians.

In this work, we show that the external (scaled) chemical potential $\lambda > 0$ simply suppresses the loop fugacity $y_0 \rightarrow y_0 e^{-2\pi\lambda}$ of the previous⁷ scaling analysis. The previous results then yield a backward-bending transition line λ -K₀, i.e., an enhancement of the transition temperature $T_c(\lambda) > T_c(0)$. A complementary lattice-superconductor problem with a fiuctuating electromagnetic field (and coupling e^2) can be mapped onto this loop-fugacity model through $K_0 \rightarrow e^2/4\pi^2$, $\lambda \rightarrow (4K_0)^{-1}$. A backwardbending $e^2 - K_0^{-1}$ transition line then results, i.e., $T_c(e^2) < T_c(0)$, as in the MC results of Dasgupta and Halperin.² Inverted XY behavior,² found on the $e^2 - K_0^{-1}$ line, should occur at the $K_0 = 0, \lambda = \lambda_c$ point in the K_0 - λ diagram.

In Sec. II we relate the $3D XY$ model with a vorticity weight λ to a vortex-loop model with a modified fugacity $y_0(K_0, \lambda)$. The λK_0 line follows. In Sec. III the lattice superconductor problem also maps onto a loop model with $y_0(e^2, K_0^{-1})$ loop fugacity. The $e^2 - K_0^{-1}$ line follows. Section IV has a summary and comments on possible further work.

II. VORTEX-LOOP MODEL WITH CHEMICAL POTENTIAL

The $3D XY$ model, with a chemical potential for vorticity, is⁹ ($K_0 \equiv J/k_B T$, $\lambda \equiv \mu /k_B T$)

$$
\begin{aligned}\n\int_{\mathcal{B}} \text{ is}^{9} \left(K_{0} \equiv J / k_{B} T, \, \lambda \equiv \mu / k_{B} T \right) \\
\beta H &= -K_{0} \sum_{\langle ij \rangle} \cos \theta_{ij} + \lambda \sum_{p} \left| \sum_{j} \Phi_{ij} \right|^{(p)} \theta_{ij} / 2 \pi \right| \,, \qquad (2.1)\n\end{aligned}
$$

where $\theta_{ij} \equiv \theta_i - \theta_j$ is the phase difference and $-\pi < \theta_i \leq \pi$ on cubic lattice sites $\{i\}$. The λ term involves a sum of θ_{ii} around a plaquette p, and a sum over all such plaquettes. The modulus ensures the same contribution $\sim \lambda$, regardless of the sign of the vorticity $S^{(p)}$.

$$
\sum_{i} {}^{(p)}\theta_{ij} / 2\pi = S^{(p)} = 0, \pm 1 \ . \tag{2.2}
$$

Defining a bond variable

$$
S_{ij} = S^{(p)} \tag{2.3}
$$

for bonds ij around a plaquette p , the last term can be

written as

$$
\lambda \sum_{p} \left| \sum_{p}^{(p)} \theta_{ij} / 2\pi \right| = \lambda \sum_{p} S^{(p)} \sum_{j}^{(p)} \theta_{ij} / 2\pi
$$

$$
= 2\lambda \sum_{\langle ij \rangle} S_{ij} \frac{\theta_{ij}}{2\pi} . \tag{2.4}
$$

A factor of 2 appears going from plaquette sums to bond sums, since counting every plaquette means each shared bond between neighbors appears twice. Thus, the partition function can be written

$$
Z_{\theta} = \prod_{i} \int_{-\pi}^{\pi} \frac{d\theta_{i}}{2\pi} \sum_{\{S_{ij}\}} \exp\left[K_{0} \sum_{\langle ij \rangle} \cos\theta_{ij} - \frac{2\lambda}{2\pi} \sum_{\langle ij \rangle} S_{ij} \theta_{ij}\right] \times \prod_{p} \delta_{2\pi S^{(p)}, \sum_{j} (\rho) \theta_{ij}}.
$$
 (2.5)

This can be mapped via a dual transform¹³ onto vortex loops with an external fugacity, as now shown. The reader interested in the application of this map can go on from (2.18) below.

Expanding both terms, the Kronecker δ is $(-\infty < Q^{(p)} < \infty)$

$$
\delta_{2\pi S^{(p)},\,\,\Sigma^{(p)}\theta_{ij}} \propto \sum_{\{Q^{(p)}\}} \exp\left[2\pi i \sum_{p} \left(S^{(p)} - \sum_{p} {^{(p)}\frac{\theta_{ij}}{2\pi}}\right) Q^{(p)}\right]
$$
\n
$$
= \sum_{\{Q^{(p)}\}} \exp\left[2\pi i \sum_{p} S^{(p)} Q^{(p)}\right] \exp\left[-2i \sum_{\{ij\}} Q_{ij} S_{ij}\right],
$$
\n(2.6)

where $Q_{ij} = Q^{(p)}$ round vorticity bearing plaquettes and zero otherwise, analogous to (2.3). The Boltzmann-factor Fourier expansion is

$$
\left\{\exp\left[K_0 \cos\theta_{ij} - \frac{\lambda}{\pi} S_{ij} \theta_{ij}\right]\right\} \exp(-2iQ_{ij}\theta_{ij}) = \sum_{n_{ij}=-\infty}^{\infty} \exp[V(n_{ij}; \lambda)] \exp[i(n_{ij} - 2Q_{ij})\theta_{ij}]
$$

$$
= \sum_{n_{ij}} e^{V(n_{ij} + 2Q_{ij}; \lambda)} e^{in_{ij}\theta_{ij}}, \qquad (2.7)
$$

where $e^{V(x)}$ is the Fourier coefficient of the weight factor in curly brackets and $n_{ij} = 0, \pm 1, \pm 2,...$ is the Fourier label For low temperatures, $K_0^{-1} \ll 1$, using the inverse Fourier transformation

$$
e^{V(n_{ij};\lambda)} \!\approx\! I(0,\lambda) \exp[I(n_{ij},\lambda)/I(0,\lambda)-1]\ ,
$$

where

$$
\frac{I(n_{ij},\lambda)}{I(0,\lambda)} - 1 \equiv \int_{-\pi}^{\pi} \frac{d\theta_i}{2\pi} \int_{-\pi}^{\pi} \frac{d\theta_j}{2\pi} e^{K_0 \cos\theta_{ij}} e^{-\lambda S_{ij}\theta_{ij}/\pi} [e^{-in_{ij}\theta_{ij}} - 1]/I(0,\lambda)
$$

$$
\approx -\frac{n_{ij}^2}{2K_0} + \frac{i\lambda}{\pi K_0} S_{ij} n_{ij} , \qquad (2.8)
$$

where $\lambda/K_0 = \mu/J \ll 1$ has been taken.

Using (2.6)–(2.8) and doing the θ_i integration, one gets

$$
Z_{\theta} = Z_{\theta}(K_0, \lambda) = \sum_{\{n_{ij}\}} \sum_{\{Q^{(p)}\}} \sum_{\{S_{ij}\}} \exp\left[2\pi i \sum_{p} S^{(p)} Q^{(p)}\right] \exp\left[-\sum_{\{ij\}} n_{ij}^2 / 2K_0\right] \exp\left[-2 \sum_{\{ij\}} Q_{ij}^2 / K_0\right] \exp\left[-\sum_{\{ij\}} n_{ij} Q_{ij} / K_0\right] \times \exp\left[i \frac{\lambda}{\pi K_0} \sum_{\{ij\}} S_{ij} (2Q_{ij} + n_{ij})\right] \prod_{i} \delta_{\theta} \sum_{\{n_{i}+p\}} \Phi_{n_{i}+p_{i}}(0) \tag{2.9}
$$

As usual,^{7,13} the θ_i integration gives a zero-divergence constraint on the Fourier label n_{ij} . In terms of a vector notation $n_{ij} \rightarrow n_{\mu,i}$ for ij in the $\mu = 1, 2, 3$ direction, the Kronecker 5 constraint enforces

$$
\sum_{\mu=1}^{3} \Delta_{\mu} n_{\mu,i} = 0 \quad \forall i \tag{2.10}
$$

where Δ_{μ} is a discrete divergence in the μ direction. This means the $n_{\mu,i}$ field forms closed loops at finite temperatures (ignoring improbable system-spanning lines). For future reference we note, as done by Dasgupta and Halperin,² that the $K_0 \neq 0$, $S^{(p)}=0$ case corresponds to loops interacting only by a contact interaction

(2.10)
$$
Z_{\theta}(K_0, \lambda=0) = \sum_{(n_{\mu,i})} \exp \left[-\sum_{\mu,i} n_{\mu,i}^2/2K_0\right], \quad (2.11)
$$

where irrelevant factors have been dropped and the prime refers to the closure condition (2.10).

Going over to a dual lattice to satisfy (2.10) as an identity, the closed-loop original-lattice fields are expressed as curls of dual-lattice fields [on a cubic lattice shifted by

$$
n_{\mu,i} \rightarrow \sum_{\nu,\lambda} \epsilon_{\mu\nu\lambda} \Delta_{\nu} N_{\lambda}(i) ,
$$

\n
$$
Q_{\mu,i} \rightarrow \sum_{\nu,\lambda} \epsilon_{\mu\nu\lambda} \Delta_{\nu} P_{\lambda}(i) ,
$$

\n
$$
S_{\mu,i} \rightarrow \sum_{\nu,\lambda} \epsilon_{\mu\nu\lambda} \Delta_{\nu} S_{\lambda}(i) .
$$
\n(2.12)

Here variables with arguments like $N_{\lambda}(i)$ refer to the dual lattice, while variables with subscripts like n_{ij} refer to the original lattice. Since dual variables pierce through the original lattice plaquettes, and $Q^{(p)}, S^{(p)}$ are
plaquette variables $P_{\lambda}(i) = Q^{(p)}, S_{\lambda}(i) = S^{(p)}$ for plaquettes P , perpendicular to the dual-lattice direction λ . (The λ direction label is not to be confused with the The λ direction habel is not to be computed with the chemical-potential variable, or $\sqrt{-1}$ with the site label *i*.) Using the Poisson summation formula as usual,^{7,1}

 $Z_{\theta} = \sum_{\{S_u(i)\}} \sum_{\{P_{\lambda}(i)\}} \left\{ \exp \left[4\pi i \sum_{\mu,i} S_{\mu}(i) P_{\mu}(i) \right] \exp \left[-2 \sum_{\mu,i} (\epsilon \Delta P)^2_{\mu}(i) / K_0 \right] \exp \left[\frac{2i\lambda}{\pi K_0} \sum P_{\mu}(i) (\epsilon \Delta)^2 S_{\mu}(i) \right] \right\}$ $\sum_{\substack{j_{\mu}(i)\\ \mu_j}} \int_{-\infty}^{\infty} d\phi_{\mu} \exp \left[-\sum_{j} (\epsilon \Delta \phi)^2_{\mu}(i) / 2K_0 \right] \exp \left[2\pi i \sum_{\mu,i} \tilde{J}_{\mu}(i) \phi_{\mu}(i) \right]$ (2.13)

where $(\epsilon \Delta)^2 \equiv -\Delta^2$, and we define

$$
\widetilde{J}_{\mu}(r) \equiv J_{\mu}(r) + \frac{\lambda}{2\pi^{2}K_{0}}(-\Delta^{2})S_{\mu}(r) - \frac{i}{\pi K_{0}}(-\Delta^{2})P_{\mu}(r) . \tag{2.14}
$$

A gauge transform $\phi_{\mu}(i) \rightarrow \phi_{\mu}(i) + \Delta_{\mu} \chi(i)$ leads to a conservation constraint on \tilde{J}_{μ} . Since S is related to J as shown later, the conservation constraint is a loop condition $\Delta \cdot J=0$, denoted by the prime on the J sum.

Doing the Gaussian $\{\phi_{\mu}(i)\}$ integration yield

$$
Z_{\theta} \simeq Z_{J} = \sum_{\{S_{\mu}(i)\}} \sum_{\{P_{\lambda}(i)\}} \sum_{\{J_{\mu}(i)\}} \exp\left[-\frac{\pi K_{0}}{2} \sum_{\mu, r} \tilde{J}_{\mu}(r) U(r-r') \tilde{J}_{\mu}(r')\right] \}, \qquad (2.15)
$$

where the curly bracket is as in (2.13). Here,

$$
\sum_{\mu=1}^{2} \Delta_{\mu}^{2} U(r) = -4\pi \delta_{r,0}
$$
\n(2.16)

and the spin-wave exchange interaction is $U(r) \simeq a_0/r$, where a_0 is the lattice constant [more precisely, $U(r)$ is the 3D lattice Greens function].

Neglecting the terms $\lambda/K_0 \ll 1$ with $\lambda < 1$ for consistency with the previous $K_0^{-1} \ll 1$ expansion, one gets, from (2.14) and (2.16),

$$
Z_{J} \simeq \sum_{\{J_{\mu}(i)\}} \sum_{\{S_{\mu}(i)\}} \prod_{\mu,i} \delta_{J_{\mu}(i),S_{\mu}(i)} \exp\left[-\frac{\pi K_{0}}{2} \sum_{\mu,r,r'} J_{\mu}(r)U(r-r')J_{\mu}(r')\right] \exp\left(-2\lambda \sum_{\mu,i} J_{\mu}(i)S_{\mu}(i)\right],
$$
\n(2.17)

i.e., the θ vorticity, related to S, is the same as the J variable consistent with our previous backward-dual result.¹⁴ For $J_{\mu}(r)=0,\pm 1$ dominant values, one gets the final result

$$
Z \simeq \sum_{\{J_{\mu}(i)\}} \exp\left[-\frac{\pi K_0}{2} \sum_{\mu,r,r'} J_{\mu}(r) U(r-r') J_{\mu}(r')\right]
$$

$$
\times \exp\left(-2\lambda \sum_{\mu,r} J_{\mu}^2(r)\right).
$$
(2.18)

This is just the vortex-loop model with an externally controlled fugacity factor, i.e., the loop-fugacity model.

The bare- (circular) loop fugacity at the smallest scale is modified by the second term as

$$
y_0 \to y_0(K_0, \lambda) = y_0(K_0, 0)e^{-2\pi\lambda}, \qquad (2.19)
$$

where

$$
y_0(K_0, 0) = y_0 = e^{-5.631K_0}
$$

Comparing (2.18) and (2.11), one has the "self-duality" result

$$
Z_{\theta}(K_0, \lambda=0) = Z_J(K_0=0, \lambda=(4K_0)^{-1})
$$
 (2.20)

and hence a phase transition occurs moving along the λ axis, at $\lambda = \lambda_c$, where, for^{7,9} $K_{0c} = 0.453$,

$$
\lambda_c = (4K_{0c})^{-1} = 0.552
$$
 (2.21)

This is close to the series value and MC value⁹ of

 $\lambda_c = 0.55 \pm 0.005$. (There is an element of arbitrariness in connecting large-scale continuum results to the appropriate square-lattice original scale, as mentioned in Ref. 7, where a plaquette relation between the bare core cutoff a_c and lattice size a_0 is chosen. The numerical agreements are thus, in some sense, fortuitous.)

Since $K_0^{-1} \sim T$ while $\lambda \sim T^{-1}$, it is clear that the transition along the $(K_0=0, \lambda\neq 0)$ axis will have an inverted XY nature² with the nonsingular specific-heat asymmetries in T switched about T_c , as compared with the $(K_0\neq 0, \lambda=0)$ transition. Since K_0 controls the dominant long-range behavior in (2.18), the rest of the K_0 - λ line for $K_0 \neq 0$ must be² of the XY type.

The scaling analysis proceeds as⁷ in the $\lambda = 0$ case, but with the bare fugacity reduced as in (2.19). The physical picture is as before.⁷ As the temperature is raised from zero, larger, but still simple, loops are thermally generated; these can accommodate more nested screening loops, allowing the loops to grow still larger. A size blowout of the dominant tumbling loop excitation of diameter $\xi = (T_c - T)^{-\nu}$ occurs at T_c when screening sets in by vector cancellations between random segments $\{J(r)\}.$ Above T_c one has long, random, screened interaction loops. A loop-crinkling ansatz relates the irregular scale or core size a_c below T_c to the self-avoiding random-walk exponent $x \approx 0.6$ in 3D. T_c is determined as before,⁷ with only the bare fugacity changed by the short-ranged (and critically irrelevant) chemical-potential term; the exponents are unchanged.

The transition temperature $T_c = J/K_0$, in terms of The transition temperature $I_c = J/K_0$, in terms consult deviations $\tilde{K} \equiv (K_0 - K^*)/K^*$ and $\tilde{y}_0 = (y_0 - y^*)$. y* from fixed point $(K^*, y^*) = (0.3875, 0.0624)$, is given $by⁷$

$$
\frac{K_0}{K^*} - 1 = \frac{|\alpha_-|}{6(1 - x/L^*)} \left[\frac{y_0(K_0, \lambda)}{y^*} - 1 \right].
$$
 (2.22)

Here $|\alpha_{-}|$ = 2.4888 is the negative eigenvalue of the loop stability matrix $L^* \equiv 1-x \ln K^*$, and $x \approx 0.6$ is the 3D self-avoiding walk exponent. With $\lambda = 0$ and $K_0 \approx K_{0c}$, duced magnet
the deviations are small, $\tilde{K} \approx 0.17$ and $\tilde{y} \approx 0.25$. Equation ture, this corrections (2.22) can be written, for $K_{0c} = 0.453$, as
 $\lambda = 0.$ the deviations are small, $\tilde{K} \approx 0.17$ and $\tilde{y} \approx 0.25$. Equation (2.22) can be written, for $K_{0c} = 0.453$, as

$$
\lambda = 0.896(K_{0c} - K_0) - 0.159 \ln[1 - 0.292(K_{0c} - K_0)]
$$

\approx 0.942(K_{0c} - K_0). (2.23)

The transition temperature is thus linearly enhanced by the loop chemical potential $\mu > 0$,

$$
T_c \simeq (J + 1.07\mu) / k_B K_{0c} \tag{2.24}
$$

Figure 1 shows the MC data and the K_0 - λ transition line of (2.23). Since, for $\lambda \sim \lambda_c$, one has $\tilde{K} \sim 1$ and the

FIG. 1. K_0 - λ phase boundary for vortex-loop-fugacity model with Monte Carlo data points of Ref. 9. The solid line is the boundary within the (linear) regime of validity, the dashed line is the extrapolation; the arrows denote critical points $(K_{0c}, 0)$, related to $(0, \lambda_c)$ by self-duality.

linearized form (2.22) breaks down, the upper part of the line is shown dashed. There is reasonable agreement in the regime of validity of the loop-scaling calculation of the critical temperature. The intersection is $\lambda_c \approx 0.428$ for the dashed linear extrapolation while the self-duality arguments give the better value of (2.21). Thus, the $\{J(r)\}\$ loop description,^{6,7} complementary to the $\{\theta_i\}$ angular description, is lent further support.

Kohring et $al^{'9}$ find a residual degeneracy and a reduced magnetization at $\lambda > \lambda_c$, $K_0 = 0$. In the loop picture, this corresponds to a subcritical fugacity

$$
y_0(K_0=0,\lambda) .
$$

Their other plots, e.g., total loop density versus T , would involve an integration over all scales of y_i , while the results are valid for scales $\sim \xi_{-}$. Therefore, we do not pursue that here.

III. LATTICE-SUPERCONDUCTOR MODEL

The lattice-superconductor model involves both the In the lattice superconductor model involves both $\{\theta_i\}$ phase and gauge-field $\{A_{\mu,i}\}\$ fluctuations and is

$$
Z_{s} = Z_{s}(K_{0},e^{2}) = \prod_{\mu,i} \int_{-\pi}^{\pi} \frac{d\theta_{i}}{2\pi} \int_{-\infty}^{\infty} dA_{\mu,i} \exp\left[K_{0} \sum_{\mu,i} \cos(\Delta_{\mu}\theta_{i} - A_{\mu,i})\right] \exp\left[-\sum_{i} (\Delta \times \mathbf{A})^{2}/2e^{2}\right],
$$
\n(3.1)

where e is the (scaled) electromagnetic coupling that, in these units, depends on temperature $e^2 \sim T$.

In an early work, Peskin³ had shown that there is a transition along the e^2 line for $K_0 = 0$. Dasgupta and Halperi had, in MC work on the equivalent Villain model, found a second-order backward-bending $e^2 - K_0^{-1}$ line with inverted had, in MC work on the equivalent Villain model, found a second-order backward-bending $e^2 - K_0^{-1}$ li

XY behavior except at the XY point $e^2 = 0$, $K_0 = K_{0c}$. Kleinert³ had mapped the model onto a Ginzburg-Landau form
and obtained a mean-field $e^2 - K_0^{-1}$ transition line. It is also possible to do a topological mean-f vortex-loop model itself, with spin ordering corresponding to the loops orienting and canceling from the bulk as $T\rightarrow 0$.
In the following, one finds that the lattice superconductor $(e^2 - K_0^{-1})$ can be mapped onto the loo (K_0, λ) and the critical line $T_c(e^2)$ obtained here from the scaling approach.⁷

Integrating over $\{\theta_i\}$ variables after the usual Fourier expansion

$$
Z_{s}(K_{0},e^{2}) = \sum_{\{n_{\mu,i}\}} \exp\left[-\sum_{\mu,i} n_{\mu,i}^{2}/2K_{0}\right] \prod_{\mu,i} \int_{-\infty}^{\infty} dA_{\mu,i} \exp\left[-i\sum_{\mu,i} n_{\mu,i} A_{\mu,i}\right] \exp\left[-\frac{1}{2e^{2}}\sum_{i} (\Delta \times \mathbf{A}_{i})^{2}\right],
$$
 (3.2)

where the prime denotes the zero-divergence restriction of Eq. (2.10) , i.e., original-lattice loops.² Doing the Gaussian integration over the vector potential $A_{u,i}$, a (original-lattice) loop-fugacity model results,

$$
Z_{s}(K_{0},e^{2}) = \sum_{\{n_{\mu,r}\}\atop{r,r'=1}} \exp\left[-\frac{\pi}{2}\frac{e^{2}}{4\pi^{2}}\sum_{\mu,r'\atop{r,r'=1}} n_{\mu,r'}n_{\mu,r'}U(r-r')\right] \exp\left[-\frac{1}{2K_{0}}\sum n_{\mu,r}^{2}\right].
$$
 (3.3)

Here $U(r - r')$ is the 3D (original-lattice) Greens function, as in (2.16), and comes from the exchange of a "photon" rather than a "spin wave."

Comparing (3.3) and (2.18), one has the correspondence

$$
K_0 \to e^2/4\pi^2
$$
, $\lambda \to (4K_0)^{-1}$,
 (3.4) K_{0c}

or

$$
Z_s(K_0, e^2) = Z_J(e^2/4\pi^2, 1/4K_0) , \qquad (3.5)
$$

the duality^{2,3} first found by Peskin.

From (2.19) the bare-loop fugacity is

$$
y_0(e^2/4\pi^2, 1/4K_0) = e^{-5.631(e^2/4\pi^2)}e^{-\pi/2K_0}
$$
 (3.6)

FIG. 2. e^2 - K_0^{-1} phase boundary for the lattice superconductor with the Monte Carlo data point $e^2 = 5$ of Ref. 2. The solid line is the boundary within the (linear) regime of validity, the dashed line is the extrapolation, the axis critical points, related by self-duality, are scaled to unity.

with the critical value

$$
e_c^2 \equiv 4\pi^2 K_{0c} \simeq 17.88\tag{3.7}
$$

for $K_{0c} = 0.453$. The transition line of (2.22) is, in this case,

$$
\frac{K_{0c}}{K_{0}} = 0.736 \left[1 - \frac{e^{2}}{e_{c}^{2}} \right] - 0.2888 \ln \left[1 - 0.132 \left[1 - \frac{e^{2}}{e_{c}^{2}} \right] \right]
$$

$$
\approx 0.774 \left[1 - \frac{e^{2}}{e_{c}^{2}} \right].
$$
 (3.8)

Once again the analysis is valid for small deviations

$$
\tilde{e}^{2} \equiv (e^{2} - 4\pi^{2} K^{*})/4\pi^{2} K^{*} \ll 1 ,
$$

$$
\tilde{y}_{0} \equiv (y_{0} - y^{*})/y^{*} \ll 1 ,
$$

as indicated by the solid line of Fig. 2. For $K_0^{-1} = 0$, one has the point $e^2/e_c^2 = 1$.

MC work by Dasgupta and Halperin² for the Villain model, where $K_{0c} = 0.33$, finds that, for $e^2 \equiv 5$, K_0^{-1} $=1.62$. Our analysis uses the cosine interaction, that asymptotically has the same topological excitation interaction as the Villain model, but may differ at the original scale. Our choice of core size $a_c = a_c(a_0)$ that determines K_{0c} was with the cosine interaction vortices in mind.⁷ Thus, for comparison with the Villain results, scaled variables e^2/e_c^2 , K_{0c}/K_0 are used with appropr ate K_{0c} . The MC Villain-model data point is then $e^2/e_c^2 = 0.383$, $K_{0c}/K_0 = 0.535$, indicated by a dot in Fig. 2. One finds from (3.8), for $e^{2} = 5, K_{0c} = 0.453$, that the theoretical curve falls nearby at $e^2/e_c^2=0.28$, K_{0c} /K₀ = 0.558. Since the lattice-superconductor model is mapped by (3.4) onto the loop-fugacity model, further MC work on the former for other e^2/e_c^2 points might be compared with existing $K_0 - \lambda$ MC data of the latter.

IV. SUMMARY AND FURTHER PROBLEMS

An externally controlled chemical potential $\lambda k_B T \equiv \mu > 0$ for 3D XY vorticity is shown to decrease the vortex-loop fugacity and enhance the transition temperature T_c . Self-duality arguments relate the transition at $(K_0 = K_{0c}^{\dagger}, \lambda = 0)$ to the transition at $(K_0 = 0, \lambda = \lambda_c)$, with the theoretical result $\lambda_c = (4K_{0c})^{-1} = 0.552$ agreeing closely with the MC value 0.55 \pm 0.005. The K_0 - λ transition line is close to the MC data in the region of validity of the linearized fixed-point analysis. Inverted XY behavior is predicted at $(K_0=0, \lambda=\lambda_c)$.

The lattice-superconductor model with an electromagnetic coupling parameter e can be mapped onto the loopfugacity model. The backward-bending $e^2 - K_0^{-1}$ (T_c suppression) line maps onto the backward-bending (T_c) enhancement) line. Further MC e^2 - K_0^{-1} data on the lattice superconductor (for both $K_0 > 0$ and $K_0 < 0$) and comparison with the K_0 - λ data would be useful.

Capacitive 2D Josephson-junction arrays¹⁶ are also possible $(2+1)D$ applications of the 3D loop ideas. The phase boundaries of the $(4+1)D$ lattice gauge and Abelian Higgs models, and the effect of topological excitation suppression, have been explored¹¹ in MC work. A topological and scaling analysis of these gauge models would clearly be of interest.

It is amusing to note that metal-oxide-metal structure of Josephson-junction arrays¹⁶ has some conceptual resonance with the copper-oxygen-copper structure of the high- T_c materials, where the coherence length is of atomic-spacing size. Apart from the fact that arrays consider 2e pairs rather than electrons, the coupling K_0 is like a tunneling parameter t , the grain-charging energy E_{CG} is like an on-site Hubbard repulsion u, the junction charging energy E_{CJ} is like an extended Hubbard nearest-neighbor coupling V. Resonating-valence-bond ideas map the Hubbard model onto local pair amplitudes

- ¹J. C. Phillips, *Physics of High T_c* Superconductors (Academic, New York, 1889).
- 2C. Dasgupta and B. Halperin, Phys. Rev. Lett. 47, 1556 (1981).
- M. Einhorn and R. Savit, Phys. Rev. D 17, 2583 (1978); H. Kleinert, Phys. Lett. 93A, 86 (1982); M. Peskin, Ann. Phys. (N.Y.) 113, 122 (1978).
- ⁴B. Halperin, in Physics of Defects, Proceedings of the Les Houches Session No. XXXV, 1980, edited by R. Balian, M. Kleman, and J. P. Parier (North-Holland, New York, 1981).
- 5J. M. Kosterlitz and D. J. Thouless, J. Phys. C 6, 18 181 (1973); see, also, H. Kleinert, Gauge Fields in Condensed Matter (World Scientific, Singapore, 1989); V. L. Berezinskii, Zh. Eksp. Tear. Fiz. 61, ¹¹⁴⁴ (1971) [Sov. Phys.—JETP 34, ⁶¹⁰ (1972)].
- G. Williams, Phys. Rev. Lett. 59, 1926 (1987); 61, 1142 (1988); and (unpublished).
- 7S. R. Shenoy, Phys. Rev. B40, 5056 (1989).
- J. M. Kosterlitz, J. Phys. C 7, 1046 (1974).

with bond variables (gauge fields) rather than the site variables θ_i of the XY model. The phase boundaries of the 3D Josephson array (insulator-superconductor) in terms of charging energies-dissipation-anisotropy may provide insight into such other models.² A similar MC and topological scaling investigation of Hubbard-related $(2+1)$ D lattice gauge models¹² would be of interest.

As Anderson¹⁷ has emphasized, the generalized helicity modulus is an ordering probe for several phase transitions. The superfluid fraction ρ_s / ρ_0 , for example, is unity at $T=0$ and reduced to zero as $T \rightarrow T_c$ by thermal excitations. In the topological viewpoint, these are vortex points (2D) or loops (3D), and a suppression of topological fugacities means having to go to a higher temperature to reach the critical fugacity value at which a size blowout occurs. The viewpoint is complementary to the usual focus on factors that enhance the order parameter. One focuses instead, on parameters $\{\lambda_i\}$ that suppress disorder parameters. For high- T_c superconductors, this suggests a focusing on how possible $\{\lambda_i\}$ parameters like electronegativity¹ (atomic charging), dissipative local mode coupling, composition, and structure, might suppress or raise the relevant topological fugacities, and raise or suppress T_c in the families of candidate materials.

ACKNOWLEDGMENTS

It is a pleasure to thank Professor R. Shrock amd Professor G. Williams for useful correspondence, and the former for kindly providing his Monte Carlo data.

- $9G.$ Kohring, R. Shrock, and P. Wills, Phys. Rev. Lett. 57, 1358 (1987); G. Kohring and R. Shrock, Nucl. Phys. B288, 397 (1987).
- 10 M.-H. Lau and C. Dasgupta, Phys. Rev. B 39, 7212 (1989).
- ¹¹J. Labastida, E. Sanchez-Velasco, R. Shrock, and P. Wills Nucl. Phys. B264, 393 (1986).
- 12 G. Baskaran and P. W. Anderson, Phys. Rev. B 37, 580 (1988); A. Nakamura and T. Matsui, ibid. 37, 7940 (1988).
- ¹³R. Savit, Phys. Rev. B 17, 1340 (1978).
- 14 N. Gupte and S. R. Shenoy, Phys. Rev. B 31, 3150 (1985).
- ¹⁵N. Gupte and S. R. Shenoy, Phys. Rev. D 33, 3002 (1985); S. R. Shenoy and N. Gupte, Phys. Rev. B38, 2543 (1986).
- ¹⁶S. Chakravarty, S. Kivelson, G. Zimanyi, and B. Halperin Phys. Rev. Lett. 35, 7526 (1987); L. Jacobs, J. V. Jose, M. A. Novotny, and A. M. Goldman, Phys. Rev. B 38, 4562 (1988); S. R. Shenoy (unpublished).
- ¹⁷P. W. Anderson, Basic Notions of Condensed Matter Physics (Benjamin, Menlo Park, 1984).