Enhancement and suppression of the transition temperature of a three-dimensional XY ferromagnet by control of vortex-loop fugacity

Subodh R. Shenoy

School of Phyics, University of Hyderabad, Hyderabad 500134, Andhra Pradesh, India

(Received 5 June 1990)

A previous vortex-loop scaling analysis for the three-dimensional XY model is extended to include an external loop-segment chemical potential $\mu = \lambda k_B T$. The loop fugacity y_0 is suppressed, $y_0 \rightarrow y_0 e^{-2\pi\lambda}$, for $\lambda > 0$, enhancing the transition temperature $T_c(\lambda)$, in agreement with the Monte Carlo work of Kohring, Shrock, and Wills. One also gets the suppression of transition temperatures $T_c(e^2)$ of lattice superconductors by electromagnetic charge e^2 , by mapping onto this loop-fugacity model. A possible approach to superconductor high- T_c enhancement, by tailored suppression of topological excitations, is briefly conjectured.

I. INTRODUCTION

The raising of transition temperatures is of much current interest in the context of high- T_c superconductors.¹ The three-dimensional (3D) planar ferromagnet or XY model is isomorphic to 3D Josephson-junction arrays and is closely related to 3D lattice superconductor models^{2,3} and may be relevant for high- T_c phenomena. Thermally generated topological excitations (vortex loops) have been conjectured to play an essential role in the 3D XY transition.⁴

The 2D XY and superconductor-film transition are controlled⁵ by 2D topological excitations (vortex points). A 3D XY vortex-loop scaling approach^{6,7} closely following the 2D XY vortex-point scaling of Kosterlitz⁸ has been developed. The transition involves an added expansion of loop size by nested-loop screening as temperature T increases, with a size blowout at $T = T_c$ of the dominant loop diameter $\xi_- \sim (T_c - T)^{-\nu}$. The transition temperature depends on the bare-loop fugacity $y_0 = y_0(K_0)$, which is controlled solely by the bare coupling $K_0 \equiv J/k_B T > 0$.

Kohring, Shrock, and Wills,⁹ in Monte Carlo (MC) work, have introduced an externally controlled chemical potential $\mu = \lambda k_B T$ for vortices in the angular $-\pi > \theta_i \le \pi$ variables on a cubic lattice (*i*). They find that, for $\lambda > 0$ (suppression of vorticity), T_c is enhanced, with no transition out of the ordered state for $\lambda > \lambda_c = 0.55$.

A similar enhancement of T_c for the suppression of the appropriate topological excitations is found for the Heisenberg ferromagnet,¹⁰ and for lattice gauge models¹¹ that are similar to those emerging¹² from high- T_c Hubbard Hamiltonians.

In this work, we show that the external (scaled) chemical potential $\lambda > 0$ simply suppresses the loop fugacity $y_0 \rightarrow y_0 e^{-2\pi\lambda}$ of the previous⁷ scaling analysis. The previous results then yield a backward-bending transition line $\lambda - K_0$, i.e., an enhancement of the transition temperature $T_c(\lambda) > T_c(0)$. A complementary lattice-superconductor problem with a fluctuating electromagnetic field (and coupling e^2) can be mapped onto this loop-fugacity model through $K_0 \rightarrow e^2/4\pi^2$, $\lambda \rightarrow (4K_0)^{-1}$. A backward-bending $e^2 - K_0^{-1}$ transition line then results, i.e., $T_c(e^2) < T_c(0)$, as in the MC results of Dasgupta and Halperin.² Inverted XY behavior,² found on the $e^2 - K_0^{-1}$ line, should occur at the $K_0 = 0, \lambda = \lambda_c$ point in the $K_0 - \lambda$ diagram.

In Sec. II we relate the 3D XY model with a vorticity weight λ to a vortex-loop model with a modified fugacity $y_0(K_0,\lambda)$. The λ - K_0 line follows. In Sec. III the lattice superconductor problem also maps onto a loop model with $y_0(e^2, K_0^{-1})$ loop fugacity. The e^2 - K_0^{-1} line follows. Section IV has a summary and comments on possible further work.

II. VORTEX-LOOP MODEL WITH CHEMICAL POTENTIAL

The 3D XY model, with a chemical potential for vorticity, is⁹ $(K_0 \equiv J/k_B T, \lambda \equiv \mu/k_B T)$

$$\beta H = -K_0 \sum_{\langle i_j \rangle} \cos \theta_{ij} + \lambda \sum_p \left| \sum_{(p)} (p) \theta_{ij} / 2\pi \right|, \quad (2.1)$$

where $\theta_{ij} \equiv \theta_i - \theta_j$ is the phase difference and $-\pi < \theta_i \le \pi$ on cubic lattice sites $\{i\}$. The λ term involves a sum of θ_{ij} around a plaquette *p*, and a sum over all such plaquettes. The modulus ensures the same contribution $\sim \lambda$, regardless of the sign of the vorticity $S^{(p)}$:

$$\sum^{(p)} \theta_{ij} / 2\pi = S^{(p)} = 0, \pm 1 .$$
(2.2)

Defining a bond variable

42

8595

$$S_{ij} = S^{(p)} \tag{2.3}$$

for bonds ij around a plaquette p, the last term can be

written as

$$\lambda \sum_{p} \left| \sum_{p}^{(p)} \theta_{ij} / 2\pi \right| = \lambda \sum_{p} S^{(p)} \sum_{p}^{(p)} \theta_{ij} / 2\pi$$
$$= 2\lambda \sum_{\langle ij \rangle} S_{ij} \frac{\theta_{ij}}{2\pi} . \qquad (2.4)$$

A factor of 2 appears going from plaquette sums to bond sums, since counting every plaquette means each shared bond between neighbors appears twice. Thus, the partition function can be written

• •

$$Z_{\theta} = \prod_{i} \int_{-\pi}^{\pi} \frac{d\theta_{i}}{2\pi} \sum_{\{S_{ij}\}} \exp\left[K_{0} \sum_{\langle ij \rangle} \cos\theta_{ij} - \frac{2\lambda}{2\pi} \sum_{\langle ij \rangle} S_{ij}\theta_{ij}\right] \times \prod_{p} \delta_{2\pi S^{(p)}, \mathbf{\Sigma}^{(p)}\theta_{ij}}.$$
(2.5)

This can be mapped via a dual transform¹³ onto vortex loops with an external fugacity, as now shown. The reader interested in the application of this map can go on from (2.18) below.

Expanding both terms, the Kronecker δ is $(-\infty < Q^{(p)} < \infty)$

$$\delta_{2\pi S^{(p)}, \mathbf{\Sigma}^{(p)}\theta_{ij}} \propto \sum_{\{\mathcal{Q}^{(p)}\}} \exp\left[2\pi i \sum_{p} \left[S^{(p)} - \mathbf{\Sigma}^{(p)} \frac{\theta_{ij}}{2\pi}\right] \mathcal{Q}^{(p)}\right]$$
$$= \sum_{\{\mathcal{Q}^{(p)}\}} \exp\left[2\pi i \sum_{p} S^{(p)} \mathcal{Q}^{(p)}\right] \exp\left[-2i \sum_{\langle ij \rangle} \mathcal{Q}_{ij} S_{ij}\right], \qquad (2.6)$$

where $Q_{ij} = Q^{(p)}$ round vorticity bearing plaquettes and zero otherwise, analogous to (2.3). The Boltzmann-factor Fourier expansion is

$$\left\{ \exp\left[K_0 \cos\theta_{ij} - \frac{\lambda}{\pi} S_{ij} \theta_{ij} \right] \right\} \exp(-2iQ_{ij} \theta_{ij}) = \sum_{n_{ij} = -\infty}^{\infty} \exp[V(n_{ij};\lambda)] \exp[i(n_{ij} - 2Q_{ij})\theta_{ij}]$$
$$= \sum_{n_{ij}} e^{V(n_{ij} + 2Q_{ij};\lambda)} e^{in_{ij} \theta_{ij}}, \qquad (2.7)$$

where $e^{V(x)}$ is the Fourier coefficient of the weight factor in curly brackets and $n_{ij} = 0, \pm 1, \pm 2, \ldots$ is the Fourier label. For low temperatures, $K_0^{-1} \ll 1$, using the inverse Fourier transformation¹³

$$e^{V(n_{ij};\lambda)} \approx I(0,\lambda) \exp[I(n_{ij},\lambda)/I(0,\lambda)-1]$$
,

where

$$\frac{I(n_{ij},\lambda)}{I(0,\lambda)} - 1 \equiv \int_{-\pi}^{\pi} \frac{d\theta_i}{2\pi} \int_{-\pi}^{\pi} \frac{d\theta_j}{2\pi} e^{K_0 \cos\theta_{ij}} e^{-\lambda S_{ij}\theta_{ij}/\pi} [e^{-in_{ij}\theta_{ij}} - 1]/I(0,\lambda)$$
$$\simeq -\frac{n_{ij}^2}{2K_0} + \frac{i\lambda}{\pi K_0} S_{ij} n_{ij} , \qquad (2.8)$$

where $\lambda/K_0 = \mu/J \ll 1$ has been taken.

Using (2.6)–(2.8) and doing the θ_i integration, one gets

$$Z_{\theta} = Z_{\theta}(K_{0},\lambda) = \sum_{\{n_{ij}\}} \sum_{\{\mathcal{Q}^{(p)}\}} \sum_{\{S_{ij}\}} \exp\left[2\pi i \sum_{p} S^{(p)} \mathcal{Q}^{(p)}\right] \exp\left[-\sum_{\langle ij \rangle} n_{ij}^{2}/2K_{0}\right] \exp\left[-\sum_{\langle ij \rangle} \mathcal{Q}^{2}_{ij}/K_{0}\right] \exp\left[-\sum_{\langle ij \rangle} n_{ij} \mathcal{Q}_{ij}/K_{0}\right] \times \exp\left[i \frac{\lambda}{\pi K_{0}} \sum_{\langle ij \rangle} S_{ij}(2\mathcal{Q}_{ij}+n_{ij})\right] \prod_{i} \delta_{\sum_{\hat{\gamma}=1}^{6} n_{i}+\hat{\gamma},0}^{6}.$$

$$(2.9)$$

As usual,^{7,13} the θ_i integration gives a zero-divergence constraint on the Fourier label n_{ij} . In terms of a vector notation $n_{ij} \rightarrow n_{\mu,i}$ for *ij* in the $\mu = 1,2,3$ direction, the Kronecker δ constraint enforces

$$\sum_{\mu=1}^{3} \Delta_{\mu} n_{\mu,i} = 0 \quad \forall i , \qquad (2.10)$$

where Δ_{μ} is a discrete divergence in the μ direction. This means the $n_{\mu,i}$ field forms closed loops at finite temperatures (ignoring improbable system-spanning lines). For future reference we note, as done by Dasgupta and Halperin,² that the $K_0 \neq 0$, $S^{(p)} = 0$ case corresponds to loops interacting only by a contact interaction

$$Z_{\theta}(K_0, \lambda = 0) = \sum_{(n_{\mu,i})} \exp\left[-\sum_{\mu,i} n_{\mu,i}^2 / 2K_0\right], \quad (2.11)$$

where irrelevant factors have been dropped and the prime refers to the closure condition (2.10).

Going over to a dual lattice to satisfy (2.10) as an identity, the closed-loop original-lattice fields are expressed as curls of dual-lattice fields [on a cubic lattice shifted by $(\frac{1}{2}, \frac{1}{2}, \frac{1}{2})$]:

$$\begin{split} n_{\mu,i} &\to \sum_{\nu,\lambda} \epsilon_{\mu\nu\lambda} \Delta_{\nu} N_{\lambda}(i) , \\ Q_{\mu,i} &\to \sum_{\nu,\lambda} \epsilon_{\mu\nu\lambda} \Delta_{\nu} P_{\lambda}(i) , \\ S_{\mu,i} &\to \sum_{\nu,\lambda} \epsilon_{\mu\nu\lambda} \Delta_{\nu} S_{\lambda}(i) . \end{split}$$

$$(2.12)$$

Here variables with arguments like $N_{\lambda}(i)$ refer to the dual lattice, while variables with subscripts like $n_{\mu,i}$ refer to the original lattice. Since dual variables pierce through the original lattice plaquettes, and $Q^{(p)}, S^{(p)}$ are plaquette variables $P_{\lambda}(i) = Q^{(p)}, S_{\lambda}(i) = S^{(p)}$ for plaquettes P, perpendicular to the dual-lattice direction λ . (The λ direction label is not to be confused with the chemical-potential variable, or $\sqrt{-1}$ with the site label *i*.) Using the Poisson summation formula as usual,^{7,13}

 $Z_{\theta} = \sum_{\{S_{\mu}(i)\}} \sum_{\{P_{\lambda}(i)\}} \left\{ \exp\left[4\pi i \sum_{\mu,i} S_{\mu}(i) P_{\mu}(i)\right] \exp\left[-2\sum_{\mu,i} (\epsilon \Delta P)_{\mu}^{2}(i)/K_{0}\right] \exp\left[\frac{2i\lambda}{\pi K_{0}} \sum P_{\mu}(i)(\epsilon \Delta)^{2} S_{\mu}(i)\right] \right\} \\ \times \sum_{\{j_{\mu}(i)\}} \int_{-\infty}^{\infty} d\phi_{\mu} \exp\left[-\sum (\epsilon \Delta \phi)_{\mu}^{2}(i)/2K_{0}\right] \exp\left[2\pi i \sum_{\mu,i} \tilde{J}_{\mu}(i)\phi_{\mu}(i)\right],$ (2.13)

where $(\epsilon \Delta)^2 \equiv -\Delta^2$, and we define

$$\tilde{J}_{\mu}(r) \equiv J_{\mu}(r) + \frac{\lambda}{2\pi^2 K_0} (-\Delta^2) S_{\mu}(r) - \frac{i}{\pi K_0} (-\Delta^2) P_{\mu}(r) .$$
(2.14)

A gauge transform $\phi_{\mu}(i) \rightarrow \phi_{\mu}(i) + \Delta_{\mu}\chi(i)$ leads to a conservation constraint on \tilde{J}_{μ} . Since S is related to J as shown later, the conservation constraint is a loop condition $\Delta \cdot J = 0$, denoted by the prime on the J sum.

Doing the Gaussian $\{\phi_{\mu}(i)\}$ integration yields

$$Z_{\theta} \simeq Z_{J} = \sum_{\{S_{\mu}(i)\}} \sum_{\{J_{\mu}(i)\}} \sum_{\{J_{\mu}(i)\}} \exp\left[-\frac{\pi K_{0}}{2} \sum_{\substack{r',r'\\r',r'}} \widetilde{J}_{\mu}(r) U(r-r') \widetilde{J}_{\mu}(r')\right] \{\}, \qquad (2.15)$$

where the curly bracket is as in (2.13). Here,

$$\sum_{\mu=1}^{2} \Delta_{\mu}^{2} U(\mathbf{r}) = -4\pi \delta_{\mathbf{r},0}$$
(2.16)

and the spin-wave exchange interaction is $U(r) \simeq a_0/r$, where a_0 is the lattice constant [more precisely, U(r) is the 3D lattice Greens function].

Neglecting the terms $\lambda/K_0 \ll 1$ with $\lambda < 1$ for consistency with the previous $K_0^{-1} \ll 1$ expansion, one gets, from (2.14) and (2.16),

$$Z_{J} \simeq \sum_{\{J_{\mu}(i)\}} \sum_{\{S_{\mu}(i)\}} \prod_{\mu,i} \delta_{J_{\mu}(i),S_{\mu}(i)} \exp\left[-\frac{\pi K_{0}}{2} \sum_{\mu,r,r'} J_{\mu}(r) U(r-r') J_{\mu}(r')\right] \exp\left[-2\lambda \sum_{\mu,i} J_{\mu}(i) S_{\mu}(i)\right], \qquad (2.17)$$

i.e., the θ vorticity, related to **S**, is the same as the **J** variable consistent with our previous backward-dual result.¹⁴ For $J_{\mu}(r)=0,\pm 1$ dominant values, one gets the final result

$$Z \simeq \sum_{\{J_{\mu}(i)\}} \exp\left[-\frac{\pi K_0}{2} \sum_{\mu,r,r'} J_{\mu}(r) U(r-r') J_{\mu}(r')\right]$$
$$\times \exp\left[-2\lambda \sum_{\mu,r} J_{\mu}^2(r)\right]. \qquad (2.18)$$

This is just the vortex-loop model with an externally controlled fugacity factor, i.e., the loop-fugacity model.

The bare- (circular) loop fugacity at the smallest scale is modified by the second term as

$$y_0 \rightarrow y_0(K_0, \lambda) = y_0(K_0, 0)e^{-2\pi\lambda}$$
, (2.19)

where'

$$y_0(K_0,0) = y_0 = e^{-5.631K_0}$$

Comparing (2.18) and (2.11), one has the "self-duality" result

$$Z_{\theta}(K_0, \lambda = 0) = Z_J(K_0 = 0, \lambda = (4K_0)^{-1})$$
 (2.20)

and hence a phase transition occurs moving along the λ axis, at $\lambda = \lambda_c$, where, for^{7,9} $K_{0c} = 0.453$,

$$\lambda_c = (4K_{0c})^{-1} = 0.552 . (2.21)$$

This is close to the series value and MC value⁹ of

 $\lambda_c = 0.55 \pm 0.005$. (There is an element of arbitrariness in connecting large-scale continuum results to the appropriate square-lattice original scale, as mentioned in Ref. 7, where a plaquette relation between the bare core cutoff a_c and lattice size a_0 is chosen. The numerical agreements are thus, in some sense, fortuitous.)

Since $K_0^{-1} \sim T$ while $\lambda \sim T^{-1}$, it is clear that the transition along the $(K_0=0, \lambda\neq 0)$ axis will have an inverted XY nature² with the nonsingular specific-heat asymmetries in T switched about T_c , as compared with the $(K_0\neq 0, \lambda=0)$ transition. Since K_0 controls the dominant long-range behavior in (2.18), the rest of the K_0 - λ line for $K_0\neq 0$ must be² of the XY type.

The scaling analysis proceeds as⁷ in the $\lambda = 0$ case, but with the bare fugacity reduced as in (2.19). The physical picture is as before.⁷ As the temperature is raised from zero, larger, but still simple, loops are thermally generated; these can accommodate more nested screening loops, allowing the loops to grow still larger. A size blowout of the dominant tumbling loop excitation of diameter $\xi_{-} \sim (T_c - T)^{-\nu}$ occurs at T_c when screening sets in by vector cancellations between random segments $\{\mathbf{J}(r)\}$. Above T_c one has long, random, screened interaction loops. A loop-crinkling ansatz relates the irregular scale or core size a_c below T_c to the self-avoiding random-walk exponent $x \approx 0.6$ in 3D. T_c is determined as before,⁷ with only the bare fugacity changed by the short-ranged (and critically irrelevant) chemical-potential term; the exponents are unchanged.

The transition temperature $T_c = J/K_0$, in terms of small deviations $\tilde{K} \equiv (K_0 - K^*)/K^*$ and $\tilde{y}_0 = (y_0 - y^*)/y^*$ from fixed point $(K^*, y^*) = (0.3875, 0.0624)$, is given by⁷

$$\frac{K_0}{K^*} - 1 = \frac{|\alpha_-|}{6(1 - x/L^*)} \left[\frac{y_0(K_0, \lambda)}{y^*} - 1 \right] .$$
 (2.22)

Here $|\alpha_{-}| = 2.4888$ is the negative eigenvalue of the loop stability matrix $L^* \equiv 1 - x \ln K^*$, and $x \approx 0.6$ is the 3D self-avoiding walk exponent. With $\lambda = 0$ and $K_0 \approx K_{0c}$, the deviations are small, $\tilde{K} \approx 0.17$ and $\tilde{y} \approx 0.25$. Equation (2.22) can be written, for $K_{0c} = 0.453$, as

$$\lambda = 0.896(K_{0c} - K_0) - 0.159 \ln[1 - 0.292(K_{0c} - K_0)]$$

$$\approx 0.942(K_{0c} - K_0) . \qquad (2.23)$$

The transition temperature is thus linearly enhanced by the loop chemical potential $\mu > 0$,

$$T_c \simeq (J+1.07\mu)/k_B K_{0c}$$
 (2.24)

Figure 1 shows the MC data and the K_0 - λ transition line of (2.23). Since, for $\lambda \sim \lambda_c$, one has $\tilde{K} \sim 1$ and the



FIG. 1. K_0 - λ phase boundary for vortex-loop-fugacity model with Monte Carlo data points of Ref. 9. The solid line is the boundary within the (linear) regime of validity, the dashed line is the extrapolation; the arrows denote critical points (K_{0c} ,0), related to (0, λ_c) by self-duality.

linearized form (2.22) breaks down, the upper part of the line is shown dashed. There is reasonable agreement in the regime of validity of the loop-scaling calculation of the critical temperature. The intersection is $\lambda_c \simeq 0.428$ for the dashed linear extrapolation while the self-duality arguments give the better value of (2.21). Thus, the $\{\mathbf{J}(\mathbf{r})\}$ loop description,^{6,7} complementary to the $\{\theta_i\}$ angular description, is lent further support.

Kohring *et al.*⁹ find a residual degeneracy and a reduced magnetization at $\lambda > \lambda_c$, $K_0 = 0$. In the loop picture, this corresponds to a subcritical fugacity

$$y_0(K_0=0,\lambda) < y_0(0,\lambda_c)=0.078$$

Their other plots, e.g., total loop density versus T, would involve an integration over all scales of y_i , while the results are valid for scales $\sim \xi_{-}$. Therefore, we do not pursue that here.

III. LATTICE-SUPERCONDUCTOR MODEL

The lattice-superconductor model involves both the $\{\theta_i\}$ phase and gauge-field $\{A_{\mu,i}\}$ fluctuations and is

$$Z_{s} = Z_{s}(K_{0}, e^{2}) = \prod_{\mu, i} \int_{-\pi}^{\pi} \frac{d\theta_{i}}{2\pi} \int_{-\infty}^{\infty} dA_{\mu, i} \exp\left[K_{0} \sum_{\mu, i} \cos(\Delta_{\mu}\theta_{i} - A_{\mu, i})\right] \exp\left[-\sum_{i} (\Delta \times \mathbf{A})^{2}/2e^{2}\right], \qquad (3.1)$$

where e is the (scaled) electromagnetic coupling that, in these units, depends on temperature $e^2 \sim T$.

In an early work, Peskin³ had shown that there is a transition along the e^2 line for $K_0=0$. Dasgupta and Halperin² had, in MC work on the equivalent Villain model, found a second-order backward-bending $e^2 - K_0^{-1}$ line with inverted

XY behavior except at the XY point $e^2=0$, $K_0=K_{0c}$. Kleinert³ had mapped the model onto a Ginzburg-Landau form and obtained a mean-field $e^2-K_0^{-1}$ transition line. It is also possible to do a topological mean-field theory¹⁵ on the vortex-loop model itself, with spin ordering corresponding to the loops orienting and canceling from the bulk as $T \rightarrow 0$. In the following, one finds that the lattice superconductor $(e^2-K_0^{-1})$ can be mapped onto the loop-fugacity model (K_0, λ) and the critical line $T_c(e^2)$ obtained here from the scaling approach.⁷

Integrating over $\{\theta_i\}$ variables after the usual Fourier expansion

$$Z_{s}(K_{0},e^{2}) = \sum_{\{n_{\mu,i}\}} \exp\left[-\sum_{\mu,i} n_{\mu,i}^{2}/2K_{0}\right] \prod_{\mu,i} \int_{-\infty}^{\infty} dA_{\mu,i} \exp\left[-i\sum_{\mu,i} n_{\mu,i} A_{\mu,i}\right] \exp\left[-\frac{1}{2e^{2}}\sum_{i} (\Delta \times \mathbf{A}_{i})^{2}\right], \quad (3.2)$$

where the prime denotes the zero-divergence restriction of Eq. (2.10), i.e., original-lattice loops.² Doing the Gaussian integration over the vector potential $A_{\mu,i}$, a (original-lattice) loop-fugacity model results,

$$Z_{s}(K_{0},e^{2}) = \sum_{\{n_{\mu,r}\}}' \exp\left[-\frac{\pi}{2} \frac{e^{2}}{4\pi^{2}} \sum_{\substack{\mu, \\ r,r'}} n_{\mu,r} n_{\mu,r'} U(r-r')\right] \exp\left[-\frac{1}{2K_{0}} \sum n_{\mu,r}^{2}\right].$$
(3.3)

Here U(r-r') is the 3D (original-lattice) Greens function, as in (2.16), and comes from the exchange of a "photon" rather than a "spin wave."

Comparing (3.3) and (2.18), one has the correspondence

$$K_0 \to e^2 / 4\pi^2, \ \lambda \to (4K_0)^{-1},$$
 (3.4)

or

$$Z_s(K_0, e^2) = Z_J(e^2/4\pi^2, 1/4K_0) , \qquad (3.5)$$

the duality^{2,3} first found by Peskin.

From (2.19) the bare-loop fugacity is

$$y_0(e^2/4\pi^2, 1/4K_0) = e^{-5.631(e^2/4\pi^2)}e^{-\pi/2K_0}$$
 (3.6)



FIG. 2. $e^2 - K_0^{-1}$ phase boundary for the lattice superconductor with the Monte Carlo data point $e^2 = 5$ of Ref. 2. The solid line is the boundary within the (linear) regime of validity, the dashed line is the extrapolation, the axis critical points, related by self-duality, are scaled to unity.

with the critical value

$$e_c^2 \equiv 4\pi^2 K_{0c} \simeq 17.88 \tag{3.7}$$

for $K_{0c} = 0.453$. The transition line of (2.22) is, in this case,

$$\frac{K_{0c}}{K_0} = 0.736 \left[1 - \frac{e^2}{e_c^2} \right] - 0.2888 \ln \left[1 - 0.132 \left[1 - \frac{e^2}{e_c^2} \right] \right]$$
$$\simeq 0.774 \left[1 - \frac{e^2}{e_c^2} \right] . \tag{3.8}$$

Once again the analysis is valid for small deviations

$$\tilde{e}^{2} \equiv (e^{2} - 4\pi^{2}K^{*})/4\pi^{2}K^{*} \ll 1 ,$$

$$\tilde{y}_{0} \equiv (y_{0} - y^{*})/y^{*} \ll 1 ,$$

as indicated by the solid line of Fig. 2. For $K_0^{-1} = 0$, one has the point $e^2/e_c^2 = 1$.

MC work by Dasgupta and Halperin² for the Villain model, where $K_{0c} = 0.33$, finds that, for $e^2 \equiv 5$, $K_0^{-1} = 1.62$. Our analysis uses the cosine interaction, that asymptotically has the same topological excitation interaction as the Villain model, but may differ at the original scale. Our choice of core size $a_c = a_c(a_0)$ that determines K_{0c} was with the cosine interaction vortices in mind.⁷ Thus, for comparison with the Villain results, scaled variables e^2/e_c^2 , K_{0c}/K_0 are used with appropriate K_{0c} . The MC Villain-model data point is then $e^2/e_c^2 = 0.383$, $K_{0c}/K_0 = 0.535$, indicated by a dot in Fig. 2. One finds from (3.8), for $e^2 = 5$, $K_{0c} = 0.453$, that the theoretical curve falls nearby at $e^2/e_c^2 = 0.28$, $K_{0c}/K_0 = 0.558$. Since the lattice-superconductor model is mapped by (3.4) onto the loop-fugacity model, further MC work on the former for other e^2/e_c^2 points might be compared with existing $K_0 - \lambda$ MC data of the latter.

IV. SUMMARY AND FURTHER PROBLEMS

An externally controlled chemical potential $\lambda k_B T \equiv \mu > 0$ for 3D XY vorticity is shown to decrease the vortex-loop fugacity and enhance the transition tem-

perature T_c . Self-duality arguments relate the transition at $(K_0 = K_{0c}, \lambda = 0)$ to the transition at $(K_0 = 0, \lambda = \lambda_c)$, with the theoretical result $\lambda_c = (4K_{0c})^{-1} = 0.552$ agreeing closely with the MC value 0.55 ± 0.005 . The K_0 - λ transition line is close to the MC data in the region of validity of the linearized fixed-point analysis. Inverted XY behavior is predicted at $(K_0 = 0, \lambda = \lambda_c)$.

The lattice-superconductor model with an electromagnetic coupling parameter e can be mapped onto the loopfugacity model. The backward-bending $e^2 - K_0^{-1}$ (T_c suppression) line maps onto the backward-bending (T_c enhancement) line. Further MC $e^2 - K_0^{-1}$ data on the lattice superconductor (for both $K_0 > 0$ and $K_0 < 0$) and comparison with the $K_0 - \lambda$ data would be useful.

Capacitive 2D Josephson-junction arrays¹⁶ are also possible (2+1)D applications of the 3D loop ideas. The phase boundaries of the (4+1)D lattice gauge and Abelian Higgs models, and the effect of topological excitation suppression, have been explored¹¹ in MC work. A topological and scaling analysis of these gauge models would clearly be of interest.

It is amusing to note that metal-oxide-metal structure of Josephson-junction arrays¹⁶ has some conceptual resonance with the copper-oxygen-copper structure of the high- T_c materials, where the coherence length is of atomic-spacing size. Apart from the fact that arrays consider 2e pairs rather than electrons, the coupling K_0 is like a tunneling parameter t, the grain-charging energy E_{CG} is like an on-site Hubbard repulsion u, the junction charging energy E_{CJ} is like an extended Hubbard nearest-neighbor coupling V. Resonating-valence-bond ideas map the Hubbard model onto local pair amplitudes

- ¹J. C. Phillips, *Physics of High T_c Superconductors* (Academic, New York, 1889).
- ²C. Dasgupta and B. Halperin, Phys. Rev. Lett. 47, 1556 (1981).
- ³M. Einhorn and R. Savit, Phys. Rev. D 17, 2583 (1978); H. Kleinert, Phys. Lett. 93A, 86 (1982); M. Peskin, Ann. Phys. (N.Y.) 113, 122 (1978).
- ⁴B. Halperin, in *Physics of Defects*, Proceedings of the Les Houches Session No. XXXV, 1980, edited by R. Balian, M. Kleman, and J. P. Parier (North-Holland, New York, 1981).
- ⁵J. M. Kosterlitz and D. J. Thouless, J. Phys. C **6**, 18 181 (1973); see, also, H. Kleinert, *Gauge Fields in Condensed Matter* (World Scientific, Singapore, 1989); V. L. Berezinskii, Zh. Eksp. Teor. Fiz. **61**, 1144 (1971) [Sov. Phys.—JETP **34**, 610 (1972)].
- ⁶G. Williams, Phys. Rev. Lett. **59**, 1926 (1987); **61**, 1142 (1988); and (unpublished).
- ⁷S. R. Shenoy, Phys. Rev. B 40, 5056 (1989).
- ⁸J. M. Kosterlitz, J. Phys. C 7, 1046 (1974).

with bond variables (gauge fields) rather than the site variables θ_i of the XY model. The phase boundaries of the 3D Josephson array (insulator-superconductor) in terms of charging energies-dissipation-anisotropy may provide insight into such other models.² A similar MC and topological scaling investigation of Hubbard-related (2+1)D lattice gauge models¹² would be of interest.

As Anderson¹⁷ has emphasized, the generalized helicity modulus is an ordering probe for several phase transitions. The superfluid fraction ρ_s / ρ_0 , for example, is unity at T=0 and reduced to zero as $T \rightarrow T_c$ by thermal excitations. In the topological viewpoint, these are vortex points (2D) or loops (3D), and a suppression of topological fugacities means having to go to a higher temperature to reach the critical fugacity value at which a size blowout occurs. The viewpoint is complementary to the usual focus on factors that enhance the order parameter. One focuses instead, on parameters $\{\lambda_i\}$ that suppress disorder parameters. For high- T_c superconductors, this suggests a focusing on how possible $\{\lambda_i\}$ parameters like electronegativity¹ (atomic charging), dissipative local mode coupling, composition, and structure, might suppress or raise the relevant topological fugacities, and raise or suppress T_c in the families of candidate materials.

ACKNOWLEDGMENTS

It is a pleasure to thank Professor R. Shrock and Professor G. Williams for useful correspondence, and the former for kindly providing his Monte Carlo data.

- ⁹G. Kohring, R. Shrock, and P. Wills, Phys. Rev. Lett. **57**, 1358 (1987); G. Kohring and R. Shrock, Nucl. Phys. **B288**, 397 (1987).
- ¹⁰M.-H. Lau and C. Dasgupta, Phys. Rev. B 39, 7212 (1989).
- ¹¹J. Labastida, E. Sanchez-Velasco, R. Shrock, and P. Wills, Nucl. Phys. **B264**, 393 (1986).
- ¹²G. Baskaran and P. W. Anderson, Phys. Rev. B 37, 580 (1988);
 A. Nakamura and T. Matsui, *ibid.* 37, 7940 (1988).
- ¹³R. Savit, Phys. Rev. B 17, 1340 (1978).
- ¹⁴N. Gupte and S. R. Shenoy, Phys. Rev. B **31**, 3150 (1985).
- ¹⁵N. Gupte and S. R. Shenoy, Phys. Rev. D **33**, 3002 (1985); S. R. Shenoy and N. Gupte, Phys. Rev. B **38**, 2543 (1986).
- ¹⁶S. Chakravarty, S. Kivelson, G. Zimanyi, and B. Halperin, Phys. Rev. Lett. **35**, 7526 (1987); L. Jacobs, J. V. José, M. A. Novotny, and A. M. Goldman, Phys. Rev. B **38**, 4562 (1988); S. R. Shenoy (unpublished).
- ¹⁷P. W. Anderson, Basic Notions of Condensed Matter Physics (Benjamin, Menlo Park, 1984).