# Spin-Peierls ground states of the quantum dimer model: A finite-size study

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Exact diagonalization results on the quantum dimer Hamiltonian of Rokhsar and Kivelson on lattice sizes up to  $6 \times 6$  are presented. Correlation functions of a new order parameter characterizing the crystalline states of the model are calculated. All of the results are consistent with the existence of a direct transition between a columnar crystalline state and a staggered crystalline state with no intermediate spin-fluid state. However, the existence of a spin-fluid state over a small, but finite, range of parameters has not been definitively ruled out.

#### **INTRODUCTION**

The quantum dimer model was introduced recently by Rokhsar and Kivelson<sup>1</sup> (RK) as a phenomenological description of a non-Néel phase of the spin- $\frac{1}{2}$  Heisenberg quantum antiferromagnet on a square lattice. Interest in the latter model is motivated partly by the suggestion of Anderson<sup>2</sup> that properties of the non-Néel phase are important in understanding the occurrence of high temperature superconductivity in  $La_{2-x}Sr_{x}CuO_{4}$  and  $YBa_{2}Cu_{3}$ - $O_{7-x}$ .<sup>3</sup> In a phase with exponentially decaying *two-spin* correlation functions, RK argued that it was a reasonable approximation to truncate the Hilbert space to states that can be expressed as a tensor product of nearest-neighbor singlet pairs of spins. There is clearly a one-to-one mapping between such states and the set of all close-packed dimer coverings of the square lattice. RK also argued that the effects of the nonorthogonality of these states could be absorbed into a redefinition of the parameters in the following phenomenological Hamiltonian H acting upon an orthogonal basis set of states represented by all the different close-packed dimer coverings of the square lattice:

$$H = -J\sum_{\Box} |\mathbf{I}|\rangle\langle \Xi| + \text{H.c.} + V\sum_{\Box} |\mathbf{I}|\rangle\langle \mathbf{I}| + V\sum_{\Box} |\Xi\rangle\langle \Xi| .$$
(1)

The dark lines denote dimers on the links of the square lattice and all the sums extend over all the elementary plaquettes of the square lattice. The first term is a dimer kinetic energy and the last two terms are the diagonal potential energies. Read and Sachdev<sup>4</sup> have recently shown that H may be obtained in a formal 1/N expansion of a particular nearest-neighbor SU(N) antiferromagnet with the states at each site transforming with an appropriately chosen representation of SU(N), and exchange constant  $J_s$ ; at order 1/N the parameters obtained in this expansion are  $J = 2J_s/N$  and V = 0. We also have the correspondence  $n_d(\mathbf{r}+\hat{\mathbf{e}}/2) \sim -\mathbf{S}(\mathbf{r}) \cdot \mathbf{S}(\mathbf{r}+\hat{\mathbf{e}})$  between the dimer number operators  $n_d(\mathbf{R})$  and the spin- $\frac{1}{2}$  generators S of the Heisenberg model ( $\hat{e}$  is an elementary link of the square lattice). The symbol  $\sim$  implies that correlation functions of  $n_d$  in the ground state of the dimer Hamiltonian H should have the same asymptotic form as the

corresponding correlation functions of  $S(r) \cdot S(r+\hat{e})$  in the non-Néel phase of the Heisenberg antiferromagnet.

In a separate development, Read and Sachdev<sup>4,5</sup> have shown, using several different semiclassical and 1/N expansions of SU(N) antiferromagnets, that the non-Néel phase exhibits spin-Peierls or valence-bond solid order. For the quantum-dimer model, these phases correspond to a *crystallization* of the dimer bosons into a "column" state with the *symmetry* of Fig. 1(a); note that this state is fourfold degenerate and completely breaks the  $Z_4$  lattice rotational symmetry.

We briefly review known results and open questions on the properties of *H*:

(i) The dimer states are characterized by conserved winding numbers  $(N_x, N_y)$  (Ref. 1) (specified below) with  $-L/2 < N_x, N_y < L/2$  on a  $L \times L$  lattice.

(ii) For V > J the exact ground state is the fourfold degenerate "staggered" crystalline state<sup>1</sup> shown in Fig. 1(b). These states have winding numbers ( $\pm L/2,0$ ) and (0,  $\pm L/2$ ).

(iii) At exactly V = J, the lowest energy states in all the

(a)	(b)
•• ••	•• ••
•• ••	
•• ••	•• ••
•• ••	-• •• •• •
•• ••	•• ••
(c)	(d)
• 1 • • 1 • 1 • • 1 • 1 •	$\bullet$ 1 $\bullet$ 1 $\bullet$ 1 $\bullet$ 1 $\bullet$ 1 $\bullet$
-i -i -i -i -i	-ii-ii-ii
• 1 • . 1 • 1 • . 1 • 1 •	$\bullet . 1 \bullet 1 \bullet . 1 \bullet 1 \bullet . 1 \bullet$
	i-ii-ii-i
• 1 • 1 • 1 • 1 • 1 •	• 1 • - 1 • 1 • - 1 • 1 •
-i -i -i -i -i	-i i -i i -i i
• 1 • - 1 • 1 • - 1 • 1 •	•-1 • 1 •-1 • 1 •-1 •
	i-ii-i-i
• 1 • 1 • 1 • 1 • 1 •	• 1 • 1 • 1 • 1 • 1 •

FIG. 1. (a) The *perfectly* ordered column state and (b) the staggered state; this state is the ground state of H for V > J. (c) The phase factors  $\theta$  and (d)  $\zeta$  on the links of the lattice.

<u>40</u> 5204

winding-number sectors are degenerate; these states are equal superpositions of all dimer coverings with a fixed winding number.<sup>1</sup> Correlation functions in the equalsuperposition state on a square lattice with free boundary conditions [this restricts the state to be in the (0,0) winding-number sector] can be exactly calculated using the results of Fisher and Stephenson;<sup>6</sup> we shall refer to this particular eigenstate as the Fisher-Stephenson (FS) state. The FS state has no broken lattice symmetry: correlations of the column state order parameter, introduced below, fall off as  $1/r^{2}$ .<sup>7</sup>

(iv) For  $V < \kappa J$ , where  $\kappa \leq 1$  is an unknown constant, the ground state of H breaks lattice rotational symmetry and crystallizes into a state with the symmetry of the column state shown in Fig. 1(a). Thus, there is a possible range of values  $\kappa J \leq V \leq J$  over which H displays a spinfluid ground state. In fact, RK suggested that  $\kappa < 1$  and that the spin fluid was thus stable over a finite range of V.

The primary purpose of this paper is to obtain more information on the value of  $\kappa$  by exact diagonalization of Hon a  $L \times L$  lattice with periodic boundary conditions with sizes up to L=6. Our results allow us to conclude with reasonable certainty that  $\kappa > 0.5$ , although all of our results are consistent with a value  $\kappa = 1$ . Thus for the parameters obtained in the 1/N expansion (V=0), we find a crystalline ground state.

It is useful at this juncture to introduce the complex order parameters  $\Psi_{col}^{\rho}(\mathbf{r})$  to measure the breaking of rotational symmetry in the column phase

$$\Psi_{\rm col}^{p}(\mathbf{r}) = \sum_{\hat{\boldsymbol{\epsilon}}} [\theta(\mathbf{r} + \hat{\boldsymbol{\epsilon}}/2)]^{p} n_{d}(\mathbf{r} + \hat{\boldsymbol{\epsilon}}/2) , \qquad (2)$$

where, as before, the sum over  $\hat{\mathbf{c}}$  extends over  $\hat{\mathbf{x}}$ ,  $-\hat{\mathbf{x}}$ ,  $\hat{\mathbf{y}}$ , and  $-\hat{\mathbf{y}}$ ; the  $\theta(\mathbf{R})$  take the fixed values 1, *i*, -1, and -ion the links of the square lattice, as shown in Fig. 1(c), and are chosen such that under a rotation by  $n\pi/2$  about any point on the A sublattice  $\Psi_{col}^p \rightarrow e^{in\pi p/2} \Psi_{col}^p$ , while on the B sublattice  $\Psi_{col}^{e} \rightarrow e^{-in\pi p/2} \Psi_{col}^{e}$ . These properties imply that  $\langle \Psi_{col}^e \rangle = 0$  for  $p \neq (mod4)$  in any spin-fluid state with unbroken lattice rotational symmetry while  $|\langle \Psi_{col}^p \rangle|$  $\neq 0$  for  $p \neq (mod4)$  in the column phase.

The order parameter associated with the staggered state is

$$\Psi_{\rm st}^{\rho}(\mathbf{r}) = \sum_{\hat{\mathbf{e}}} [\zeta(\mathbf{r} + \hat{\mathbf{e}}/2)]^{\rho} n_d(\mathbf{r} + \hat{\mathbf{e}}/2) , \qquad (3)$$

where  $\zeta(\mathbf{R})$  takes the values shown in Fig. 1(d). Note that  $\Psi_{col}^2 = \Psi_{sl}^2$ . It can also be shown that  $\sum_{\mathbf{r} \in A} \Psi_{sl}^1(\mathbf{r}) = L(N_y + iN_x)$ , where the summation over  $\mathbf{r}$  extends over the A sublattice, and  $N_x$  and  $N_y$  are the conserved winding numbers.<sup>1</sup> The connection of  $\Psi_{st}$  with the conserved winding numbers will restrict its utility in the finite-size calculations presented below.

### NUMERICAL RESULTS

With momentum and winding-number conservation the calculation required diagonalization of matrices of dimensions up to 1256: the diagonalization was carried out by a modified Lanczos method.<sup>8</sup> We note that an analogous procedure on a  $8 \times 8$  lattice would require diagonalization

of matrices of order  $10^6$ , which is clearly prohibitive. For all V < J the ground state of H was found in the winding number  $(N_x, N_y) = (0,0)$  sector. All of the correlation functions presented below are obtained with the Hilbert space restricted to the (0,0) winding-number sector for all values of V. For V < J, this implies that, for large enough system sizes, the low-energy states will consist of domains of the staggered states in different orientations; the domains are chosen in a manner that restricts the global winding number to (0,0). The order parameter correlation functions are trivially calculable in the exact staggered ground state [Fig. 1(b)] for V > J and do not yield any useful information.

We begin by measuring the correlation function

$$\chi^{1} = \frac{1}{L^{2}} \left\langle \left| \sum_{\mathbf{r} \in \mathcal{A}} \Psi_{\text{col}}^{1}(\mathbf{r}) \right|^{2} \right\rangle.$$
 (4)

In a phase with long-range column-phase order we expect  $\chi^1 \sim L^2$  for large L, while  $\chi^1 \sim O(1)$  in a spin-fluid or staggered phase. In the FS ground state at V = J we find  $\chi_{FS}^{1} \sim (\log L)^2$ . The results of the numerical calculations of  $\chi^1$  for L = 4 and L = 6 are shown in Fig. 2. We note that (i) for V < J, the strong size dependence of  $\chi^1$  and its almost linear dependence upon V/J are consistent with presence of long-range column phase order, and (ii) for V > J, the large value of  $\chi^1$  for the  $4 \times 4$  lattice is due to the impossibility of inserting two domains of the staggered phase in this lattice size; the (0,0) winding-number sector is thus strongly frustrated.

A further probe of the nature of the ground state is offered by the quantity g:

$$g = \frac{\left\langle \left| \sum_{\mathbf{r} \in A} \Psi_{col}^{1}(\mathbf{r}) \right|^{4} \right\rangle}{\left\langle \left| \sum_{\mathbf{r} \in A} \Psi_{col}^{1}(\mathbf{r}) \right|^{2} \right\rangle^{2}},$$
(5)

the ratio of the fourth moment of the order parameter to the square of its second moment. In a phase with longrange column-phase order we expect  $g \rightarrow 1$  as  $L \rightarrow \infty$ . However, in a spin-fluid or staggered phase, the fluctuations in  $\Psi_{col}^{1}$  can be expected to be Gaussian, in which case



FIG. 2. The correlation function  $\chi^1$  [Eq. (4)] as a function of V/J.



FIG. 3. The quantity g [Eq. (5)] as a function of V/J.

 $g \rightarrow 2$  and  $L \rightarrow \infty$ . The values of g are shown in Fig. 3. We note (i) the rapid increase in the value of g for L=6near  $V/J \approx 1$  as indicating a crossover from a column phase to the staggered phase; (ii) the oscillations in the value of g for L=6 and V > J can be traced to (a) changes in the structure of the domain walls as a function of V/J, and (b) the small value of  $\chi^1$  whose square appears in the denominator for the expression for g; (iii) the value of g for the FS state can be calculated to be  $g_{FS}=2$ .

The spatial structure of the state can be investigated by the correlation function

$$G(\mathbf{r}) = \langle \Psi_{\rm col}^{1*}(\mathbf{r}_1) \Psi_{\rm col}^{1}(\mathbf{r}_1 + \mathbf{r}) \rangle.$$
(6)

For  $\mathbf{r}, \mathbf{r}_1 \in A$ , the function  $G(\mathbf{r})$  can be shown to be real. The results for  $G(\mathbf{r})$  are shown in Fig. 4 for L = 6 and a range of values of V/J. Also shown are the values of  $G_{FS}(\mathbf{r})$ , the correlation functions in the FS state. The differences in the values of  $G_{FS}(\mathbf{r})$  and  $G(\mathbf{r})$  for V = J in (0,0) winding-number sector (shown in Fig. 5 by the inverted triangles) are due to finite-size corrections. Thus the nonmonoticity of  $G(\mathbf{r})$  at the two largest values of  $|\mathbf{r}|$ is a finite-size correction. For V=0 and V=0.5J, the



FIG. 4. The correlation function  $G(\mathbf{r})$  [Eq. (6)] as a function of the Euclidean distance  $|\mathbf{r}|$  for various values of V/J on a  $6 \times 6$  lattice. Also shown are the correlation functions in the infinite lattice FS state.



FIG. 5. The correlation function  $\chi^2$  [Eq. (7)] as a function of V/J.

function  $G(\mathbf{r})$  clearly appears to asymptote at a nonzero value as  $|\mathbf{r}| \rightarrow \infty$ , implying long-range column-phase order. The order parameter  $\langle \Psi_{col}^{1} \rangle$  satisfies  $\lim_{\mathbf{r} \rightarrow \infty} G(\mathbf{r}) = |\langle \Psi_{col}^{1} \rangle^{2}$ : we thus obtain estimates of  $|\langle \Psi_{col}^{1} \rangle|$  of 0.53 and 0.40 for V=0 and V=0.5J, respectively.  $(\Psi_{col}^{1})$  has been chosen such that a completely ordered rigid column state would have an order-parameter expectation value of 1.)

Finally, we measure x - y symmetry breaking by examining the order parameter  $\Psi^2$  (as  $\Psi^2_{col} = \Psi^2_{st}$ , we will drop the subscript). We numerically evaluated the correlation function

$$\chi^{2} = \frac{1}{L^{2}} \left\langle \left| \sum_{\mathbf{r} \in A} \Psi^{2}(\mathbf{r}) \right|^{2} \right\rangle.$$
 (7)

We now expect  $\chi^2 \sim L^2$  in *both* the column and the staggered phases. An intermediate spin-fluid phase would have  $\chi^2 \sim O(1)$ . The values of  $\chi^2$  for L=4 and L=6 are shown in Fig. 5. The sharp minimum in  $\chi^2$  for L=6 at V=J is consistent with the restoration of  $Z_2$  symmetry at precisely V=J and nowhere else. The sharp dropoff in the value of  $\chi^2$  at V=1.6J for L=6 is due to changes in the structure of the domain walls between the different staggered-phase domains; we obtain staggered phases rotated by 90° with respect to each other, which drastically reduces the value of  $\sum_{r \in A} \Psi^2$ .

#### CONCLUSIONS

We have found convincing evidence for the crystallization of the dimers into a state with the symmetry of Fig. 1(a) for V < 0.5J. However, the simplest scenario consistent with all of the data is the persistence of the column phase right up to V=J. For V>J, the model is known to be in the staggered ground state; the point V=J will then be a special singular point displaying power-law dimer correlations. It is notable that none of the data show any *explicit* signal of the existence of spin-fluid order over a finite range of V. We cannot, however, definitively rule out the existence of the spin-fluid phase over a small, but finite, range of V. Monte Carlo simulations on an  $8 \times 8$ lattice will therefore be of considerable interest.

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## BRIEF REPORTS

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