

## Dielectric properties of LiTaO<sub>3</sub>

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The dielectric susceptibilities  $\chi_{ii}$  and conductivities  $\sigma_{ii}$  in LiTaO<sub>3</sub> are measured over a broad temperature range up to 1200 K at frequencies up to 13 MHz. The dielectric properties are compared with previously published results on the elastic properties. Both the inverse-susceptibility difference between constant strain and constant stress  $(\chi_{ii}^x)^{-1} - (\chi_{ii}^y)^{-1}$  and the elastic-constant difference between constant polarization and constant field  $C_{ii}^P - C_{ii}^E$  are interpreted consistently in terms of both electrostrictive and higher-order interactions between the strain and polarization. The contribution of higher-order interactions to  $(\chi_{33}^x)^{-1} - (\chi_{33}^y)^{-1}$  and  $C_{33}^P - C_{33}^E$  appears to be attributable to anharmonicity in the soft mode. Both  $\chi_{33}$  and  $\sigma_{33}$  exhibit significant dispersion over a wide temperature range, including  $T_C = 868$  K. This may be related with vacancies or Li ion motion. A comparison with light-scattering measurements suggests that the phase transition in LiTaO<sub>3</sub> has an intermediate character between the displacive and order-disorder categories.

### I. INTRODUCTION

Lithium tantalate (LiTaO<sub>3</sub>) and lithium niobate (LiNbO<sub>3</sub>) undergo only a single structural phase transition, from the low-temperature ferroelectric (FE) phase to the high-temperature paraelectric (PE) phase. The FE phase has a crystal structure with  $R3c$  space-group symmetry and the PE phase has the centrosymmetric space group  $R\bar{3}c$ . The Curie temperature  $T_C$  is 870 K for LiTaO<sub>3</sub> and 1210 K for LiNbO<sub>3</sub>. The crystal structures and optical properties of these materials have been studied extensively in order to elucidate the mechanism of the phase transition.<sup>1-9</sup> There is still considerable uncertainty concerning the characters of the phase transitions in LiTaO<sub>3</sub> and LiNbO<sub>3</sub>.

Neutron scattering results support the concept that the phase transition in LiTaO<sub>3</sub> and LiNbO<sub>3</sub> has order-disorder character involving the Li atoms. Abrahams *et al.*<sup>1</sup> made neutron scattering measurements on LiTaO<sub>3</sub> and interpreted them to mean that Li atoms above  $T_C$  occupy two equivalent positions on either side of the oxygen plane with equal probability. Samuelsen and Grande<sup>2</sup> studied the neutron diffraction in LiTaO<sub>3</sub> below  $T_C$  and explained the spontaneous polarization in terms of the gradual ordering of Li atoms as the temperature decreases. Chowdhury *et al.*<sup>3</sup> investigated the phonon dispersion curves in LiNbO<sub>3</sub> by inelastic neutron scattering and showed that there is no evidence of the softening of the  $A_1$  mode at temperatures up to  $0.6T_C$ .

In contrast to the neutron results, light-scattering measurements have established the existence of the soft mode. Johnston and Kaminow<sup>4</sup> studied the Raman spectra in two crystals and found the  $A_1$  mode, whose frequency varies approximately as  $(T_C - T)^{0.5}$  below  $T_C$ . In the case of LiTaO<sub>3</sub>, Penna *et al.*<sup>5,6</sup> reported a diffusive

central mode in Raman spectra and an anomalous polariton dispersion curve. They suggested that dynamical domain fluctuations occur below  $T_C$  in LiTaO<sub>3</sub>. Müller *et al.*<sup>7</sup> deduced the temperature dependence of the soft-mode frequency in LiTaO<sub>3</sub> from infrared-reflectivity data and found that the soft-mode frequency remains finite in the vicinity of  $T_C$ . Zhang and Scott<sup>8</sup> measured the Raman spectra in LiTaO<sub>3</sub> and found that the soft mode becomes overdamped and couples with the relaxation process at temperatures far lower than  $T_C$ . A similar conclusion has been obtained from Raman spectra in LiNbO<sub>3</sub> by Okamoto *et al.*<sup>9</sup>

Recently, Tomeno and Matsumura<sup>10</sup> reported elastic and dielectric constants in LiNbO<sub>3</sub>, and explained these data consistently in terms of the interaction between strain and polarization. Furthermore, they found dielectric dispersion above  $T_C$  in the frequency range between 1 and 13 MHz. In the case of LiTaO<sub>3</sub>, the temperature dependence of the dielectric constant  $\epsilon_{33}$  was determined at frequencies up to 20 kHz. Glass<sup>11</sup> and Yamada *et al.*<sup>12</sup> observed that the constant-stress dielectric constant  $\epsilon_{33}^x$  obeys a Curie-Weiss law. Prieto *et al.*<sup>13</sup> measured the frequency dependence of the dielectric constant  $\epsilon_{33}$  in the PE phase of LiTaO<sub>3</sub> up to 10 MHz and concluded that defects contribute to the low-frequency dielectric dispersion. Previously, Tomeno<sup>14</sup> investigated elastic constants  $C_{ii}$  in LiTaO<sub>3</sub> as a function of temperature up to 973 K. In addition to the elastic-constant data, constant-strain dielectric constant data are highly necessary in order to understand the phase-transition mechanism in LiTaO<sub>3</sub>.

In this paper we present the temperature dependence of dielectric constants  $\epsilon_{ii}$  and electric conductivities  $\sigma_{ii}$  in LiTaO<sub>3</sub> at frequencies up to 13 MHz. We analyze the present  $\epsilon_{ii}$  results and the previous  $C_{ii}$  results using a phe-

nomenological expression. We report the marked dispersion in  $\epsilon_{ii}$  and  $\sigma_{ii}$  at temperatures near  $T_C$ . The dielectric results for LiTaO<sub>3</sub> are compared with those for LiNbO<sub>3</sub>, and are discussed in relation with soft-mode behavior observed by the light-scattering experiments.

## II. EXPERIMENTAL PROCEDURE

Dielectric constant  $\epsilon_{ii}$  and electric conductivity  $\sigma_{ii}$  were determined at several frequencies, between 10 kHz and 13 MHz, using a Yokokawa Hewlett Packard impedance analyzer 4192 A.

Samples used in the present investigation were cut from LiTaO<sub>3</sub> crystals with congruent melt composition. These crystals were grown using the Czochralski technique from a Pt-Rh crucible, which inevitably causes contamination by Rh impurities for an approximately 100 ppm concentration. Comparison with  $\epsilon_{ii}$  for high-purity LiTaO<sub>3</sub> crystal revealed that the Rh impurity exerts no influence on the dielectric constant in LiTaO<sub>3</sub>.

Single-domain (SD) crystals were obtained by field cooling as-grown crystals with a 5-V/cm electric field applied along the *Z* axis through  $T_C$ . They were shaped into plates, 16 to 25 mm<sup>2</sup> in area and 1.0 to 1.2 mm in thickness. The SD sample, with platinum electrodes on large-*Z* faces, was prepared for measuring  $\epsilon_{33}$  and  $\sigma_{33}$ . The multidomain (MD) sample, with platinum electrodes on *Y* surfaces, was used for measuring  $\epsilon_{11}$  above  $T_C$ , while the SD sample, with gold electrodes, was prepared for measuring  $\epsilon_{11}$  below  $T_C$ . Platinum electrodes were made of fritless platinum paste, fired at 1000 K in the initial warm up. Gold electrodes were vapor deposited in a vacuum, and then covered with gold paste and fired at 800 K. Capacitance measurements on the thicker sample yielded the same  $\epsilon_{ii}$  value over the entire temperature range. The measured data were not affected by the boundary between the electrodes and crystal.

## III. RESULTS

Figure 1 indicates dielectric constants  $\epsilon_{11}$  and  $\epsilon_{33}$  in LiTaO<sub>3</sub> as a function of temperature. Except for the high-temperature range, the measured  $\epsilon_{ii}$  values up to 1.5 MHz represent the constant-stress dielectric constants  $\epsilon_{ii}^X$ , while the values at 10 and 13 MHz represent the constant-strain dielectric constants  $\epsilon_{ii}^x$ .

At room temperature, the dielectric-constant difference  $\epsilon_{11}^X - \epsilon_{11}^x$  in LiTaO<sub>3</sub> is small compared with that in LiNbO<sub>3</sub>.<sup>10</sup> Both constant-stress and constant-strain dielectric constants  $\epsilon_{11}$  increase gradually with increasing temperature. They exhibit a maximum at  $T_C$  and then decrease slowly in the PE phase. Dielectric dispersion, perpendicular to the *Z* axis, is observed in the PE phase, where the crystal is centrosymmetric.

The dielectric-constant difference  $\epsilon_{33}^X - \epsilon_{33}^x$  in LiTaO<sub>3</sub> at room temperature is much less than  $\epsilon_{11}^X - \epsilon_{11}^x$ . The relationship  $(\epsilon_{33}^X - \epsilon_{33}^x) \ll (\epsilon_{11}^X - \epsilon_{11}^x)$  is also found in LiNbO<sub>3</sub> at temperatures far lower than  $T_C$ .<sup>10</sup> Note that there is a significant dispersion in  $\epsilon_{33}$  over the wide temperature range, including the Curie temperature.

Relative inverse dielectric susceptibility  $\chi_{33}^{-1}$  is plotted in Fig. 2 as a function of temperature. The lowest-

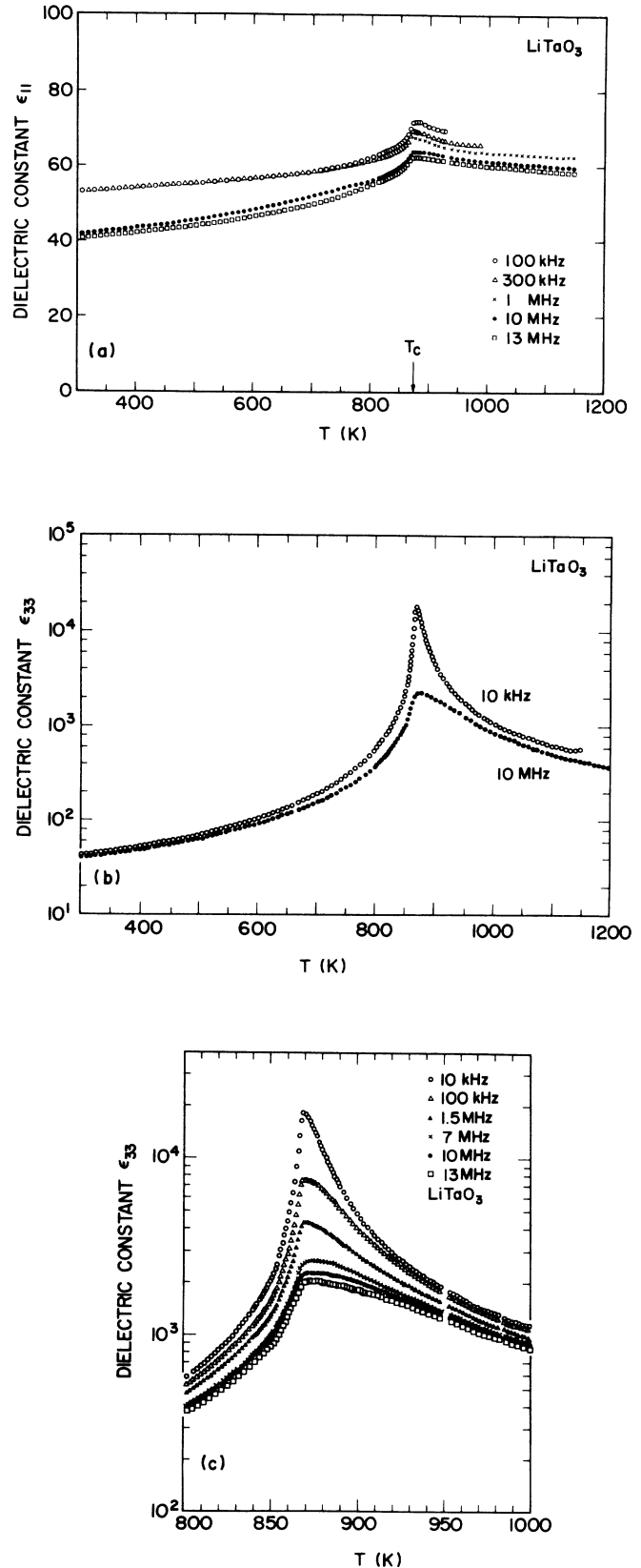


FIG. 1. Temperature dependence of dielectric constants  $\epsilon_{ii}$  in LiTaO<sub>3</sub>. (a)  $\epsilon_{11}$  along the *Y* axis. (b)  $\epsilon_{33}$  along the *Z* axis. (c) Details of  $\epsilon_{33}$  near  $T_C$ .

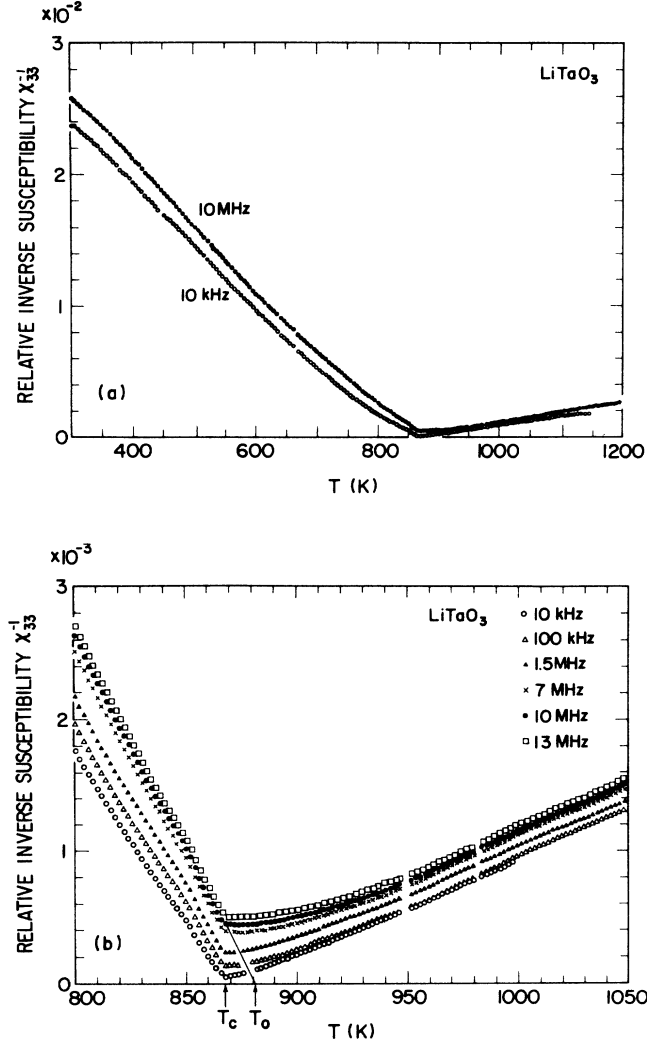


FIG. 2. Inverse dielectric susceptibility  $\chi_{33}^{-1}$  in  $\text{LiTaO}_3$ . (a)  $\chi_{33}^{-1}$  as a function of temperature up to 1200 K. (b) Details of  $\chi_{33}^{-1}$  near  $T_C = 868$  K. Note that  $\chi_{33}^{-1}$  shows dispersion in the PE phase.

frequency inverse susceptibility  $(\chi_{33}^X)^{-1}$  in the PE phase clearly obeys the Curie-Weiss behavior

$$(\chi_{33}^X)^{-1} = (T - T_C) / C^+, \quad T > T_C, \quad (1)$$

where  $C^+$  is the Curie constant above  $T_C$ . As indicated in Fig. 2(b), in the FE phase  $(\chi_{33}^X)^{-1}$  at 10 kHz shows the linear temperature dependence and is described by a modified Curie-Weiss relation:

$$(\chi_{33}^X)^{-1} = (T_C - T) / C^-, \quad T < T_C, \quad (2)$$

where  $C^-$  is the Curie constant below  $T_C$ . The Curie temperature  $T_C$  in the  $\text{LiTaO}_3$  sample used for dielectric measurements is 868 K and agrees with the value for the congruent melt composition reported by Barns and Caruthers.<sup>15</sup> The slope for  $(\chi_{33}^X)^{-1}$  at 10 kHz in the PE phase yields a Curie constant of  $C^+ = 1.43 \times 10^5$  K, which is in good agreement with the value reported by

Yamada *et al.*<sup>12</sup> and by Glass.<sup>11</sup> The ratio of Curie constants  $C^+ / C^-$  for  $\text{LiTaO}_3$  at 10 kHz is 2.7 and agrees well with that for  $\text{LiNbO}_3$ , although the  $C^+$  value for  $\text{LiNbO}_3$  is only half as large as that for  $\text{LiTaO}_3$ .<sup>10</sup>

High-frequency inverse susceptibility  $(\chi_{33}^X)^{-1}$  in the PE phase decreases linearly with decreasing temperature, deviates from linearity, and then becomes practically constant with temperature in the neighborhood of  $T_C$ . In the range between 950 and 1200 K, the slopes for  $(\chi_{33}^X)^{-1}$  at 10 and 100 kHz are equal to the slopes for  $(\chi_{33}^X)^{-1}$  at 10 and 13 MHz. In the FE phase,  $(\chi_{33}^X)^{-1}$  at 10 MHz decreases linearly with increasing temperature. When extrapolated, it crosses the abscissa at  $T_0 = 881$  K, 13 K higher than  $T_C$  [see Fig. 2(b)]. Below  $T_C$ ,  $(\chi_{33}^X)^{-1}$  at 10 MHz is expressed as

$$(\chi_{33}^X)^{-1} = (T_0 - T) / C'^-, \quad T < T_C, \quad (3)$$

where  $C'^-$  is the modified Curie constant below  $T_C$ .

As shown in Fig. 3, the conductivity  $\sigma_{33}$  along the Z axis exhibits a maximum at  $T_C$ . In addition,  $\sigma_{33}$  shows significant dispersion over the wide temperature range between 700 and 1200 K. The conductivity  $\sigma_{33}$  increases with increasing frequency. As indicated in Fig. 3(b), variations of  $\sigma_{11}$  with frequency near  $T_C$  are far less than those of  $\sigma_{33}$ . The conductivity  $\sigma_{11}$  increases with increasing temperature, up to 1150 K. The lowest-frequency conductivity  $\sigma_{33}$  shows similar temperature dependence, with the exception of the anomalous part associated with the phase transition. A plot of  $\log_{10} \sigma_{11}$  versus  $1/T$  in Fig. 3(c) suggests that  $\sigma_{11}$  varies approximately as  $\exp(-Q/kT)$  with  $Q = 1.1$  eV.

## IV. DISCUSSION

### A. Phenomenological interpretation

In order to discuss our susceptibility and elastic-constant experiments, we use a phenomenological expression of the lattice free energy  $F(x_m, P_i)$  involving strain  $x_m$  and polarization  $P_i$ . The free energy  $F(x_m, P_i)$  for  $\text{LiTaO}_3$  is written as

$$F(x_m, P_i) = F_m(x_m) + F_0(P_i) + F_C(x_m, P_i), \quad (4)$$

$$F_m(x_m) = \frac{1}{2} C_{11}^P (x_1^2 + x_2^2) + \frac{1}{2} C_{33}^P x_3^2 + \frac{1}{2} C_{44}^P (x_4^2 + x_5^2) + \frac{1}{2} C_{66}^P x_6^2 + C_{12}^P x_1 x_2 + C_{13}^P (x_1 + x_2) x_3 + C_{14}^P [(x_1 - x_2) x_4 + x_5 x_6], \quad (5)$$

$$F_0(P_i) = \frac{1}{2} \chi_{11}^{-1} (P_1^2 + P_2^2) + \frac{1}{2} \chi_{33}^{-1} P_3^2 + \frac{1}{4} A P_3^4, \quad (6)$$

$$F_C(x_i, P_m) = q_{33} x_3 P_3^2 + q_{14} [(x_1 - x_2) P_2 + x_6 P_1] P_3 + q_{44} (x_4 P_2 + x_5 P_1) P_3 + r_{333} x_3 P_3^4 + [r_{134} (x_1 - x_2) + r_{344} x_4] P_2 P_3^3 + (r_{344} x_5 + r_{356} x_6) P_1 P_3^3. \quad (7)$$

Here,  $F_m(x_m)$  refers to the elastic-strain energy and  $F_0(P_i)$  represents the Landau free-energy expansion, with respect to polarization alone. For simplicity, terms

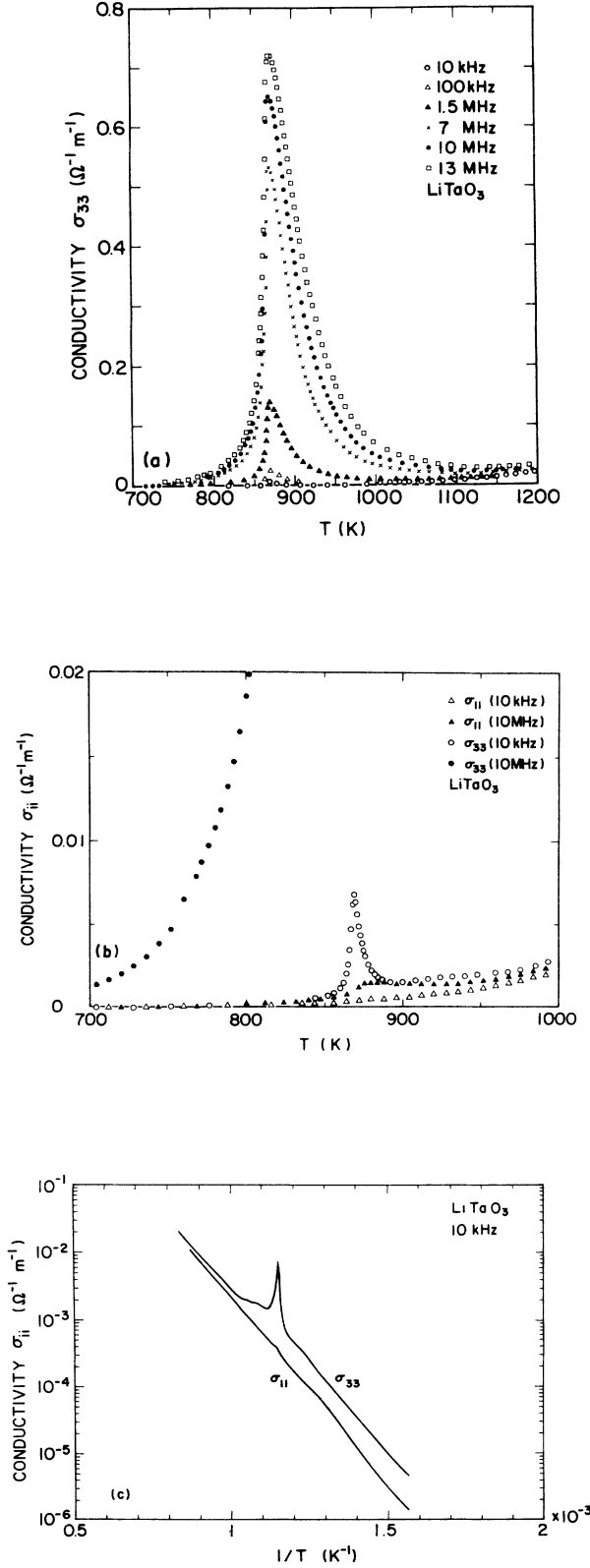


FIG. 3. Conductivity  $\sigma_{ii}$  in LiTaO<sub>3</sub>. (a) Temperature and frequency dependence of  $\sigma_{33}$ . (b)  $\sigma_{11}$  and  $\sigma_{33}$  at 10 kHz and 10 MHz as a function of temperature. (c)  $\sigma_{11}$  and  $\sigma_{33}$  at 10 kHz as a function of reciprocal temperature.

higher than  $P_3^6$  are dropped in Eq. (6) and the coefficient  $A$  is assumed to be a constant. Free interaction energy  $F(x_C, P_m)$  arises from the coupling between strain and polarization. Since the piezoelectric coupling term  $axP$  is forbidden by symmetry above  $T_C$ , the lowest-order interaction in the PE phase is the electrostrictive coupling of the form  $qxP^2$ . In addition, we take into account higher-order coupling of the form  $rxP^4$ , to interpret the temperature dependence of  $(\chi_{ii}^x)^{-1} - (\chi_{ii}^E)^{-1}$  and  $C_{ii}^P - C_{ii}^E$ . In the FE phase, the spontaneous polarization  $P_s$  induces piezoelectric coupling  $axP$ . For LiTaO<sub>3</sub> the piezoelectric constant  $a_{31}$  value is approximately zero at room temperature.<sup>16</sup> Therefore, Eq. (7) neglects  $q_{13}$  related with  $a_{31} = (\partial^2 F_C / \partial x_1 \partial P_3)$ . Moreover, we exclude the terms  $hx^2P^2$ , because  $C_{33}^P$  has a linear temperature dependence with the same slopes on either side of  $T_C$ .<sup>14</sup> Thus the inverse-susceptibility difference  $(\chi_{ii}^x)^{-1} - (\chi_{ii}^E)^{-1}$  and elastic-constant difference  $C_{ii}^P - C_{ii}^E$  are expressed as<sup>10</sup>

$$\begin{aligned} (\chi_{11}^x)^{-1} - (\chi_{11}^E)^{-1} = & 2(q_{14} + r_{134}P_s^2)^2P_s^2(S_{11}^P - S_{12}^P) \\ & + (q_{44} + r_{134}P_s^2)^2P_s^2S_{44}^P \\ & + 4(q_{14} + r_{134}P_s^2) \\ & \times (q_{44} + r_{344}P_s^2)P_s^2S_{14}^P, \quad (8) \end{aligned}$$

$$(\chi_{33}^x)^{-1} - (\chi_{33}^E)^{-1} = 4(q_{33} + 2r_{333}P_s^2)^2P_s^2S_{33}^P, \quad (9)$$

$$C_{11}^P - C_{11}^E = (q_{14} + r_{134}P_s^2)^2P_s^2\chi_{11}^x, \quad (10)$$

$$C_{33}^P - C_{33}^E = 4(q_{33} + 2r_{333}P_s^2)^2P_s^2\chi_{33}^x, \quad (11)$$

$$C_{44}^P - C_{44}^E = (q_{44} + r_{344}P_s^2)^2P_s^2\chi_{11}^x, \quad (12)$$

$$C_{66}^P - C_{66}^E = (q_{14} + r_{134}P_s^2)^2P_s^2\chi_{11}^x. \quad (13)$$

Here,  $q_{ij}$ ,  $r_{ijk}$ , and the elastic compliance  $S_{ii}^P$  are assumed to be temperature independent. The assumption of  $S_{ii}^P$  is based on the fact that the relative temperature derivatives  $(S_{ii}^E)^{-1}(dS_{ii}^E/dT)$  are of the order of  $2 \times 10^{-4} \text{ K}^{-1}$ .<sup>12</sup> In the absence of  $r_{ijk}$ , Eqs. (8)–(13) predict that  $(C_{ii}^P - C_{ii}^E)/\chi_{ii}^x$ , ( $i=1, 4,$  and  $6$ ),  $(C_{33}^P - C_{33}^E)/\chi_{33}^x$ ,  $(\chi_{11}^x)^{-1} - (\chi_{11}^E)^{-1}$ , and  $(\chi_{33}^x)^{-1} - (\chi_{33}^E)^{-1}$  are proportional to  $P_s^2$ .

Figure 4 shows the temperature dependence of  $(\chi_{mm}^x)^{-1} - (\chi_{mm}^E)^{-1}$  and  $(C_{ii}^P - C_{ii}^E)/\chi_{mm}^x$  for LiTaO<sub>3</sub>, deduced from the dielectric- and elastic-constant measurements. Except for near  $T_C$ , relative values for  $(\chi_{11}^x)^{-1} - (\chi_{11}^E)^{-1}$  agree with those for  $(C_{44}^P - C_{44}^E)/\chi_{11}^x$ , while the values for  $(\chi_{33}^x)^{-1} - (\chi_{33}^E)^{-1}$  agree with those for  $(C_{33}^P - C_{33}^E)/\chi_{33}^x$ . This correspondence is also found for LiNbO<sub>3</sub>.<sup>10</sup> Following Eqs. (8)–(13), the temperature dependence of the inverse-susceptibility difference  $(\chi_{mm}^x)^{-1} - (\chi_{mm}^E)^{-1}$  is compatible with that of the elastic-constant difference  $C_{ii}^P - C_{ii}^E$ . Relative values for  $(\chi_{33}^x)^{-1} - (\chi_{33}^E)^{-1}$  and  $(C_{33}^P - C_{33}^E)/\chi_{33}^x$  are lower than those for  $P_s^2$ , determined by Glass.<sup>11</sup> We tried to fit Eqs. (9) and (11) to the experimental data, using the ratio  $r_{333}/q_{33}$  as a free parameter. The solid line drawn in Fig. 4(b) is obtained with the  $P_s^2$  data and  $r_{333}/q_{33} = 1.3 \text{ m}^4/\text{C}^2$ . The fit indicates that both  $q_{33}x_3P_3^2$  and  $r_{333}x_3P_3^4$  contribute to  $(\chi_{33}^x)^{-1} - (\chi_{33}^E)^{-1}$  and  $C_{33}^P - C_{33}^E$  in the wide

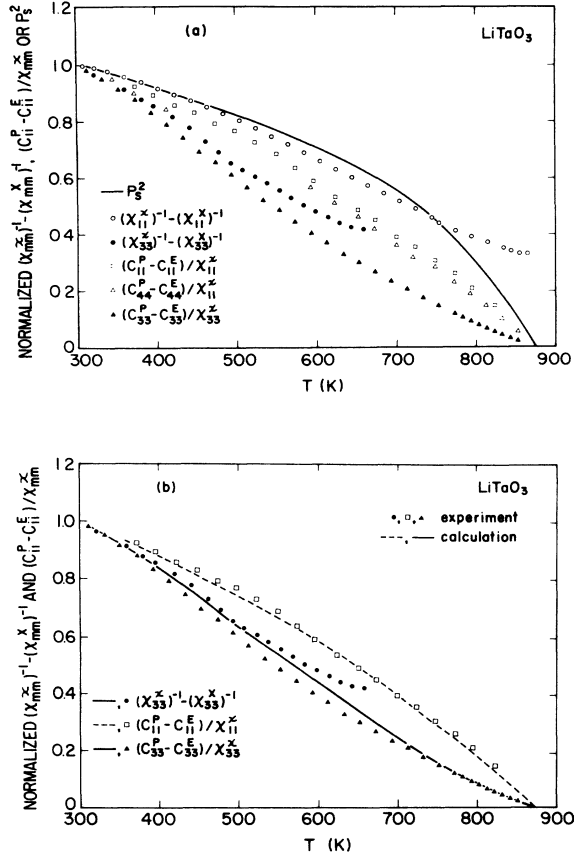


FIG. 4. Temperature dependence of  $(C_{ii}^P - C_{ii}^E)/\chi_{mm}^x$ ,  $(\chi_{mm}^x)^{-1} - (\chi_{mm}^x)^{-1}$ , and  $P_s^2$  for LiTaO<sub>3</sub>. Each plot is normalized to unity at 300 K. (a) The experimental data. The  $C_{ii}$  and  $P_s^2$  values are taken from Refs. 10 and 11, respectively. (b) Comparison with calculation. The solid and dashed lines are obtained from Eq. (11) with  $r_{333}/q_{33} = 1.3 \text{ m}^4/\text{C}^2$  and Eq. (10) with  $r_{134}/q_{14} = 0.5 \text{ m}^4/\text{C}^2$ , respectively.

temperature range. We find the fit to the  $(C_{11}^P - C_{11}^E)/\chi_{11}^x$  data in Fig. 4(b), using Eq. (12) with  $r_{134}/q_{14} = 0.5 \text{ m}^2/\text{C}^4$ . The results suggest that the higher-order coupling of  $r_{333}x_3P_3^4$  has an important effect on the dielectric and elastic properties of LiTaO<sub>3</sub>, compared with the other  $rxP^4$ .

As shown in Fig. 4, both  $(C_{33}^P - C_{33}^E)/\chi_{33}^x$  and  $P_s^2$  vary approximately as  $(T_C - T)$  in the vicinity of  $T_C$ , where the higher term  $2r_{333}P_s^2$  is small, compared with  $q_{33}$ . In the framework of the Landau theory, the temperature variations of  $\chi_{33}^x$  and  $P_s^2$  cancel below  $T_C$ .<sup>17</sup> On the contrary, the cancellation is invalid for  $\chi_{33}^x P_s^2$  in LiTaO<sub>3</sub>. From the relation  $P_s^2 \propto (T_C - T)$  and Eq. (3), the elastic-constant difference  $C_{33}^P - C_{33}^E$  near  $T_C$  is given by

$$C_{33}^P - C_{33}^E = A \frac{T_C - T}{T_0 - T}, \quad T < T_C. \quad (14)$$

The Curie temperature  $T_C$  in the sample previously used for elastic measurements is 7 K higher than  $T_C$  in the sample for dielectric measurements. This may be explained from the fact that  $T_C$  is highly sensitive to the

crystal composition.<sup>15</sup> In this analysis, we assume that  $(\chi_{33}^x)^{-1}$  for the sample used for elastic measurement is expressed by Eq. (3) and that  $T_0 - T_C$  is unaffected by the slight difference in  $T_C$ . The solid line in Fig. 5 is obtained from Eq. (14) with  $A = 6.1 \times 10^9 \text{ N/m}^2$ ,  $T_C = 875 \text{ K}$ , and  $T_0 = 888 \text{ K}$ . The good agreement indicates that the drastic change in  $C_{33}^P - C_{33}^E$  between 800 K and  $T_C$  is attributed to the anomalous behavior of  $\chi_{33}^x$  at high temperatures.

Spontaneous values for the strain  $x_{ms}$  and the polarization  $P_s$  are obtained by setting the stress  $X_m$  and the electric field  $E_3$  equal to zero. The spontaneous strain  $x_{ms}$  values are expressed as

$$x_{1s} = x_{2s} = P_s^2 (q_{33} + r_{333} P_s^2) C_{13}^P / \Delta C, \quad (15)$$

$$x_{3s} = -P_s^2 (q_{33} + r_{333} P_s^2) (C_{11}^P + C_{12}^P) / \Delta C, \quad (16)$$

$$x_{4s} = x_{5s} = x_{6s} = 0, \quad (17)$$

where

$$\Delta C = C_{33}^P (C_{11}^P + C_{12}^P) - 2(C_{13}^P)^2. \quad (18)$$

In the FE phase of LiTaO<sub>3</sub>, the lattice constant  $c$  exhibits anomalous temperature dependence, compared with the lattice constant  $a$ .<sup>18</sup> The significance of the higher-order interaction  $r_{333}x_3P_3^4$  appears compatible with the estimation of spontaneous strain  $x_{is}$  in LiTaO<sub>3</sub> as reported by Yamada *et al.*<sup>12</sup> They revealed that a linear relationship between  $x_{3s}$  and  $P_s^2$  is not valid at temperatures far lower than  $T_C$  and that the higher-order term  $P_s^4$  contributes largely to the results for  $x_{is}$ . The spontaneous-strain ratio  $x_{3s}/x_{1s}$  is given by

$$\frac{x_{3s}}{x_{1s}} = -\frac{C_{11}^P + C_{12}^P}{C_{13}^P}. \quad (19)$$

The ratio  $x_{3s}/x_{1s} = -3.5$ , obtained from the  $C_{ij}^P$  data,<sup>16</sup> seems to be consistent with the results reported by Yamada *et al.*<sup>12</sup>

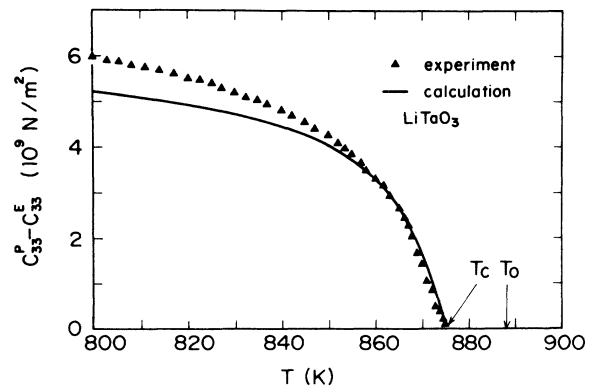


FIG. 5. Elastic-constant difference  $C_{33}^P - C_{33}^E$  in LiTaO<sub>3</sub> as a function of temperature. The triangles show experimental results studied previously in Ref. 14. The solid line is obtained from Eq. (14) with parameters given in the text.

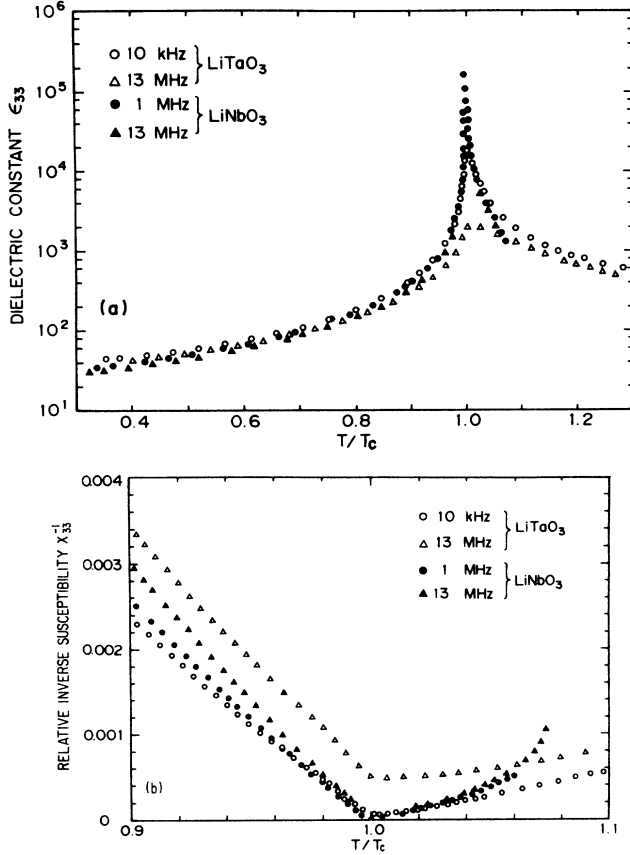


FIG. 6. Dielectric constants  $\epsilon_{33}$  and inverse susceptibilities  $\chi_{33}^{-1}$  in LiTaO<sub>3</sub> and LiNbO<sub>3</sub> as a function of reduced temperature  $T/T_C$ . The results for LiNbO<sub>3</sub> are taken from Ref. 10.

Inverse susceptibility  $(\chi_{33}^x)^{-1}$  at constant strain is written as

$$\begin{aligned}
 (\chi_{33}^x)^{-1} &= \chi_{33}^{-1}, \quad T > T_C \quad (20) \\
 (\chi_{33}^x)^{-1} &= -2\chi_{33}^{-1} + 4P_s^2 q_{33} \\
 &\quad \times (q_{33} + r_{333} P_s^2)(C_{11}^P + C_{12}^P)/\Delta C, \quad T < T_C. \quad (21)
 \end{aligned}$$

The second term in Eq. (21), derived from the interaction between strain and polarization, is smaller than the inverse-susceptibility difference  $(\chi_{33}^x)^{-1} - (\chi_{33}^x)^{-1}$ , given by Eq. (9). As shown in Fig. 2, in the PE phase,  $(\chi_{33}^x)^{-1}$  increases linearly with increasing temperature in the range between 950 and 1200 K. In the FE phase  $(\chi_{33}^x)^{-1}$  deviates slightly from linearity in the range  $T_C - 250$  K and  $T_C$ . The distinction between the two phases may be ascribed to the second term in Eq. (21).

Figure 6 shows  $\epsilon_{33}$  and  $\chi_{33}^{-1}$  in LiTaO<sub>3</sub> and LiNbO<sub>3</sub> as a function of reduced temperature  $T/T_C$ . In view of Eq. (1), Fig. 6(b) indicates that the  $C^+/T_C$  value for LiTaO<sub>3</sub> is nearly equal to that for LiNbO<sub>3</sub>.

### B. Comparison with light-scattering experiments

The constant-strain dielectric constants are connected with optical phonon frequencies by the generalized Lyddane-Sachs-Teller (LST) relation. As indicated in Table I, room-temperature clamped dielectric constants  $\epsilon_{11}^x$  and  $\epsilon_{33}^x$ , determined by this work, are in good agreement with the values for  $\epsilon_{11}^x$  and  $\epsilon_{33}^x$ , estimated from infrared reflection spectra<sup>19</sup> and from Raman scattering.<sup>20</sup> The inverse clamped dielectric susceptibility  $(\chi_{33}^x)^{-1}$  is expected to be proportional to squared soft-mode frequency, obtained by light-scattering experiments. As shown in Fig. 7, the relative values for  $(\chi_{33}^x)^{-1}$  are in good agreement with those for the square of intensity-weighted mean soft-mode frequency  $\bar{\omega}^2$ , deduced from the Raman spectra by Johnston and Kaminow.<sup>4</sup> At room temperature, the frequency of the soft  $A_1$  mode is higher than frequencies of the other temperature-independent  $E$  modes. The soft mode couples with the  $E$  modes in succession with increasing temperature. The good agreement may be found by introducing the intensity-weighted soft mode.

Recently, Zhang and Scott<sup>8</sup> reexamined the Raman spectra in LiTaO<sub>3</sub>. They assigned the broad peak centered at  $\omega=0$  near  $T_C$  to the  $A_1$  mode and interpreted that the soft  $A_1$  mode is overdamped and coupled with the relaxation process at high temperatures. Their data were fitted using a response function

TABLE I. Dielectric constant values for LiTaO<sub>3</sub> at 293 K.

| $f$               | Capacitance measurements                                   |         |       |   |        | Teague <i>et al.</i> <sup>a</sup><br>1 GHz |
|-------------------|--|---------|-------|---|--------|--|
|                   | 10 kHz   | 100 kHz | 1 MHz | 10 MHz  | 13 MHz |  |
| $\epsilon_{11}$   | 53.5   | 53.5    |       | 41.7  | 40.6   | 40.3                                       |
| $\epsilon_{33}$   | 42.4   | 42.2    | 42.0  | 39.2  | 38.8   | 41.4                                       |
|                   | Infrared reflectivity<br>Barker <i>et al.</i> <sup>b</sup> |         |       | Raman scattering<br>Kaminow and Johnston <sup>c</sup> |        |  |
| $\epsilon_{11}^x$ | 41.5   |         |       | 41  |        |  |
| $\epsilon_{33}^x$ | 37.6   |         |       | 43  |        |  |

<sup>a</sup>J. R. Teague, R. R. Rice, and R. Gerson, J. Appl. Phys. **46**, 2864 (1975).

<sup>b</sup>Reference 19.

<sup>c</sup>Reference 20.

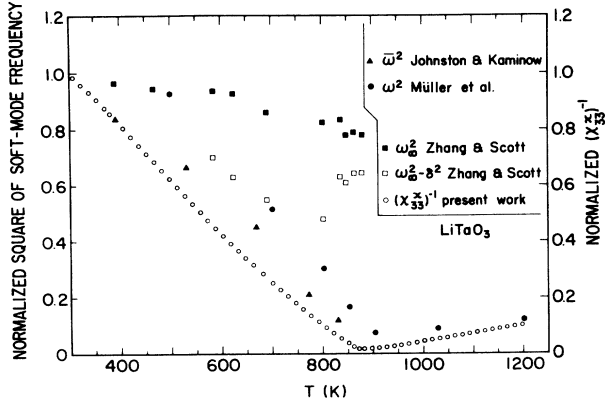


FIG. 7. Inverse susceptibility and squared soft-mode frequencies for LiTaO<sub>3</sub>. Each plot is normalized to unity at 300 K. The triangles represent the mean-squared soft-mode frequency  $\bar{\omega}^2$  determined by Johnston and Kaminow (Ref. 4). Open and solid squares denote  $\omega_\infty^2$  and  $\omega_\infty^2 - \delta^2$ , respectively, determined by Zhang and Scott (Ref. 8). Solid circles denote squared soft-mode frequency determined by Müller *et al.* (Ref. 7). Open circles refer to the constant-strain inverse susceptibility  $(\chi_{33}^x)^{-1}$  by the present work.

$$G(\omega) = \left[ \omega_\infty^2 - \omega^2 - i\omega\gamma - \frac{\delta^2}{1 - i\omega\tau} \right]^{-1}, \quad (22)$$

where  $\omega_\infty$  is the uncoupled soft-mode frequency,  $\delta$  and  $\tau$  denote the strength and characteristic time of the relaxation process coupled to the soft mode, respectively, and  $\gamma$  is the damping constant of the soft phonon. The soft-mode frequency squared  $\omega_0^2$ , where  $\omega_0^2 = \omega_\infty^2 - \delta^2$ , is in disagreement with the inverse clamped susceptibility  $(\chi_{33}^x)^{-1}$  at high temperatures, as indicated in Fig. 7. The reason for this discrepancy may be attributed to the underestimation for the  $\delta^2$  values near  $T_C$ . They pointed out that the parameter  $\delta^2$  is ambiguous, compared with effective coupling parameter  $\delta^2\tau$ .<sup>8</sup>

Müller *et al.*<sup>7</sup> deduced the soft-mode frequency in LiTaO<sub>3</sub> from infrared reflectivity data and found that its frequency remains finite at  $T_C$ . The coupling term  $\delta^2$  in Eq. (22) may contribute to the finite value for squared soft-mode frequency  $\omega_0^2$ .

### C. Dielectric dispersion

For LiTaO<sub>3</sub>, both the dielectric constant  $\epsilon_{33}$  and the conductivity  $\sigma_{33}$  show a marked dispersion over the wide temperature range, including  $T_C$ , as indicated in Figs. 1 and 3. Note that in Fig. 2(b) the difference in  $\chi_{33}^{-1}$  between 10 kHz and 13 MHz is approximately independent of temperature above 950 K.

Frequency-dependent conductivity  $\sigma_{ii}(\omega)$  is connected with the imaginary part of dielectric constant  $\epsilon''_{ii}$  as

$$\sigma_{ii}(\omega) = \epsilon_0 \epsilon''_{ii} \omega. \quad (23)$$

In marked contrast to  $\sigma_{33}$ ,  $\sigma_{11}$  is practically frequency independent, up to 13 MHz. For LiTaO<sub>3</sub> and LiNbO<sub>3</sub>, the frequency-independent conductivity  $\sigma_{11}$  increases with

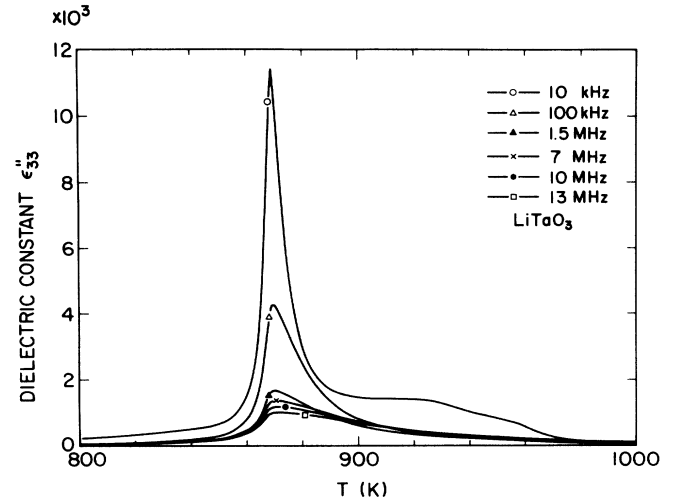


FIG. 8. Dielectric constant  $\epsilon'_{33}$  in LiTaO<sub>3</sub>.

increasing temperature. As shown in Fig. 3(c),  $\log_{10}\sigma_{11}$  in LiTaO<sub>3</sub> is proportional to  $1/T$ . This implies that LiTaO<sub>3</sub> possesses either a semiconductive or an ionic conductive character at high temperatures. Figure 8 indicates the imaginary part of dielectric constant  $\epsilon''_{33}$  in LiTaO<sub>3</sub>, obtained from the anomalous part of  $\sigma_{33}$ . At  $T_C$ ,  $\epsilon''_{33}$  increases with increasing frequency. Above 1000 K,  $\epsilon''_{33}$  becomes small and is practically independent of frequency. In contrast to  $\epsilon''_{33}$ , the real part  $\epsilon'_{33}$  has a frequency dependence up to 1200 K. Figure 9 shows a Cole-Cole plot obtained from the imaginary part  $\epsilon''_{33}$  versus the real part  $\epsilon'_{33}$  at 880 K for LiTaO<sub>3</sub>. The Cole-Cole plot in the frequency range up to 13 MHz cannot be described by the Debye relaxation equation. Thus the present results for  $\epsilon_{33}$  deny the possibility that the phase-transition mechanism is of a pure order-disorder character.

In the SD LiTaO<sub>3</sub> crystal, Penna *et al.*<sup>5,6</sup> reported a diffusive central mode near  $T_C$  and the anomalous polariton dispersion above 660 K. They analyzed the central mode using the Debye relaxation equation and found that relaxation time  $\tau$  near  $T_C$  is of the order of  $5 \times 10^{-12}$  s. Zhang and Scott<sup>8</sup> reported that relaxation time  $\tau$  in Eq. (22) is  $2.6 \times 10^{-12}$  s at  $T_C$ . However, the dielectric

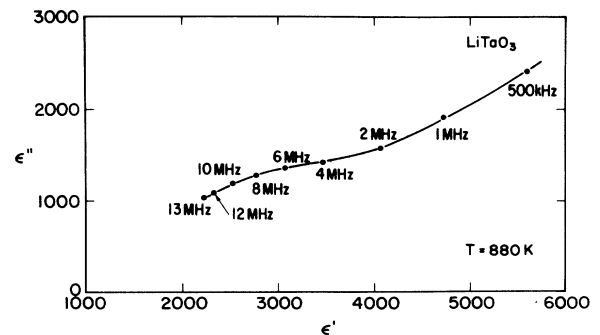


FIG. 9. Imaginary part vs real part of the dielectric constant as a function of frequency at 800 K in LiTaO<sub>3</sub> (Cole-Cole plot).

dispersion, observed in the frequency range between 10 kHz and 13 MHz, cannot be fitted by the Debye relaxation equation with  $\tau$  of the order of  $10^{-12}$  s.

Penna *et al.*<sup>6</sup> attributed the anomalous polariton dispersion to domain fluctuation below  $T_C$ . As indicated in Ref. 14, elastic-constant measurements clarify the distinction between SD and MD states just below  $T_C$ . Furthermore, dielectric dispersion exists in the PE phase of LiTaO<sub>3</sub>. Consequently, the domain fluctuation model appears incompatible with the elastic-constant and dielectric-constant data.

According to Lines,<sup>21</sup> an anharmonic potential is responsible for the order-disorder character in Li ion distribution along the Z axis in these crystals. Both  $q_{33}x_3P_3^2$  and  $r_{333}x_3P_3^4$  are necessary to explain the temperature dependence of  $C_{33}^P - C_{33}^E$  and  $(\chi_{33}^X)^{-1} - (\chi_{33}^X)^{-1}$ . Compared with  $r_{333}x_3P_3^4$ , the other components of  $rxP^4$  appear to play a minor role in  $C_{ii}^P - C_{ii}^E$  and  $(\chi_{ii}^X)^{-1} - (\chi_{ii}^X)^{-1}$ . Therefore, the dispersion of  $\chi_{33}$  and the significance of  $r_{333}x_3P_3^4$  may be interpreted in terms of the anharmonicity in the soft mode.

Figure 3(a) indicates that the anomaly in  $\sigma_{33}$  for LiTaO<sub>3</sub> is associated with the phase transition, because frequency-dependent conductivity  $\sigma_{33}$  exhibits a peak at  $T_C$ . The  $\sigma_{33}$  behavior may be related to the defects which become mobile near  $T_C$ . Halperin and Varma<sup>22</sup> discussed the influence of defects on susceptibility near a displacive phase transition and showed that the quantity  $\delta^2$  in Eq. (22) is proportional to the defect concentration. They predicted that the difference between the static and dynamic inverse susceptibility, caused by defects, would be practically independent of temperature. As shown in Fig. 2, the results for  $\chi_{33}^{-1}$  above  $T_C$  appear consistent with the above prediction. The change in Curie temperature is related to the deviation from the stoichiometric composition.<sup>15</sup> The neutron scattering measurements<sup>1,2</sup> revealed that Li atoms above  $T_C$  occupy two equivalent positions on either side of the oxygen plane. Thus the coupling of the soft mode with vacancies or Li-ion motion may give rise to the dispersion. The coexistence of the soft mode and dispersion suggests that the phase

transition in LiTaO<sub>3</sub> has an intermediate character between displacive and order-disorder categories.

Löhnert *et al.*<sup>23</sup> studied Mössbauer spectroscopy for the <sup>181</sup>Ta nucleus in LiTaO<sub>3</sub> and pointed out that a charge transfer from neighboring oxygen ions to the central Ta ion increases nonlinearly as a function of temperature. The dielectric dispersion may be influenced by a change in the electronic structure with increasing temperature.

In the PE phase of LiNbO<sub>3</sub>, the difference in  $\chi_{33}^{-1}$  between 1 and 13 MHz is considerably small,<sup>10</sup> as shown in Fig. 6(b). In view of this, the soft mode in LiNbO<sub>3</sub> may couple with the relaxation process weakly, compared with the soft mode in LiTaO<sub>3</sub>.

## V. CONCLUSIONS

Both the inverse-dielectric-susceptibility difference  $(\chi_{ii}^X)^{-1} - (\chi_{ii}^X)^{-1}$  and the elastic-constant difference  $C_{ii}^P - C_{ii}^E$  in LiTaO<sub>3</sub> have been interpreted consistently, in terms of both electrostrictive and higher-order interactions. The contribution of higher-order interactions to  $(\chi_{33}^X)^{-1} - (\chi_{33}^X)^{-1}$  and  $C_{33}^P - C_{33}^E$  appears to be attributed to the anharmonicity in the soft mode.

Both susceptibility  $\chi_{33}$  and conductivity  $\sigma_{33}$  in LiTaO<sub>3</sub> exhibit a marked dispersion over the wide temperature range, including  $T_C$ . The coupling of the soft mode with vacancies or Li-ion motion may give rise to the dispersion. The results for  $\chi_{33}$  and  $C_{33}^P - C_{33}^E$  imply that the phase transition in LiTaO<sub>3</sub> has an intermediate character between displacive and order-disorder categories.

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