

## Electronic properties of the iron-boron impurity pair in silicon

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We report results for the electronic structure of the interstitial-iron–substitutional-boron nearest-neighbor pair complex in silicon. Self-consistent one-electron state calculations, which are carried out here for the first time, yield a covalent microscopic model for the pair, in contrast with the currently accepted ionic model. The pair EPR parameters ( $J = \frac{1}{2}$  and  $g \approx 2$ ) arise from our model, which ascribes an orbital singlet and a spin triplet to the ground state of the neutral Fe-B complex.

It has been known for a long time that  $3d$  transition metals (TM's) form pair complexes with both shallow and deep-level impurities in silicon.<sup>1</sup> A class of TM-related pair complexes which has deserved a great deal of attention from the experimental point of view is the one that involves a group-III  $A$  acceptor ( $A$ ) impurity, such as B, Al, Ga, In, or Tl. The  $M$ - $A$  complexes (where  $M$  represents a TM atom) have been characterized by several experimental techniques.<sup>1-15</sup> Electron paramagnetic resonance (EPR) data<sup>1-4</sup> and measurements of photoluminescence (PL) correlated to stress and Zeeman effects<sup>14</sup> have shown that the pairs display a  $\langle 111 \rangle$  axial symmetry, indicating that they may consist of a substitutional acceptor with a TM atom placed at a nearest-neighbor interstitial site. The Fe-In pair was pointed out as an exception to this rule since it shows a  $\langle 100 \rangle$  symmetry, consistent with the assumption that the Fe impurity may be placed at the next-nearest-neighbor interstitial site.<sup>1</sup> However, recent EPR experiments ascribe both the  $\langle 111 \rangle$  and  $\langle 100 \rangle$  configurations to the Fe-Al pair.<sup>3</sup> The bistable behavior of the pairs of Fe with Al, Ga, and In has also been recently detected by using deep-level transient spectroscopy (DLTS).<sup>11,12</sup>

The energy levels induced in the silicon band gap by the  $M$ - $A$  pairs in the  $\langle 111 \rangle$  configuration, as obtained by various techniques, have been summarized by Feichtinger *et al.*<sup>9</sup> Recently, additional results for the donor activation energies of the pairs of Fe with Al, Ga, and In in the  $\langle 100 \rangle$  configuration, and for the Fe-In pair in the  $\langle 111 \rangle$  configuration, have been obtained from DLTS experiments.<sup>12</sup> Formation and dissociation reactions around room temperature have been investigated for the pairs of Fe with B, Al, and Ga as well as for the Cr-B pair, by carrying out DLTS measurements.<sup>4-7</sup> The role played by the bistable behavior of the Fe-Al pair on the pairing reactions has been recently monitored by DLTS.<sup>11</sup> The Fe-B pair<sup>7,8</sup> and the Cr-B pair<sup>4</sup> binding energies, the local vibrational modes associated with the Fe-B, Fe-Tl, and Cr-B pairs,<sup>14</sup> and the behavior of the electronic impurity levels induced by the pairs of Fe with B, Al, and Ga under hydrostatic pressure,<sup>10</sup> have also been investigated.

Although there has been considerable progress, from an experimental point of view, in understanding the properties of the  $M$ - $A$  pairs in silicon, our knowledge of these complexes, as originated from a theoretical approach, is at

an early stage. Up to now the only theoretical tool which has been used to deal with such systems is the so-called ionic model.<sup>1</sup> According to this model the pair stable configuration corresponds to a classical system consisting of a  $M_i^+$  ion electrostatically bound to a nearest or next-nearest  $A_s^-$  impurity, embedded in a dielectric medium. In this Rapid Communication, we report for the first time rigorous self-consistent one-electron state calculations for the  $Fe_iB_s$  nearest-neighbor pair in silicon. The theory used here is based on the multiple-scattering (MS)  $X\alpha$  molecular-cluster model, the same approach that has been used in our previous calculations for the Au-Fe pair in silicon.<sup>16</sup> A  $25Si+B_sFe_i$  cluster is adopted, comprising a central Fe atom at the  $T$  site, a nearest-neighbor substitutional B impurity, and eight shells of Si atoms classified according to a  $C_{3v}$  symmetry.

The energy spectrum of the  $25Si+B_sFe_i$  cluster, simulating the electronic structure of the  $Fe_iB_s$  pair, is shown in Fig. 1. In order to better characterize the impurity levels induced by the pair complex, we are selecting the Fe  $3d$ - and B  $2s$ - and  $2p$ -derived levels from the complete spectrum, shown in Fig. 1(a), and locating them relatively to the band edges in Fig. 1(b).

Based on the calculations reported here and on the results obtained previously<sup>17-19</sup> for the isolated  $B_s$  and  $Fe_i$  neutral atoms in silicon, we are now able to describe the formation of the impurity levels induced by the  $Fe_iB_s$  pair from the interaction between the molecular orbitals (MO's) of the isolated impurities. This effort gives rise to the microscopic model for the pair, schematically shown in Fig. 2. There, the (a), (b), and (c) labels indicate the one-electron impurity levels for the  $B_s$ , for the  $Fe_iB_s$  pair, and for  $Fe_i$ , respectively. The charge distribution inside the B and Fe impurity spheres (radii = 1.18 Å) as well as the level positions, relative to the band edge, with which these impurity states are associated, are shown in Table I. The charge  $Q$  evaluation includes the occupancy of the levels.

According to a  $16Si+B_s$  MS cluster model calculation, the relevant effect of introducing a  $B_s$  impurity into the silicon lattice is the appearance of a  $t_2$  acceptor level slightly above the top of the valence band.<sup>18</sup> Our calculations for the  $Fe_iB_s$  pair indicate that there are strong covalent interactions between the MO's of the isolated impurities. As a result, the  $B_s$  acceptor level is pulled down

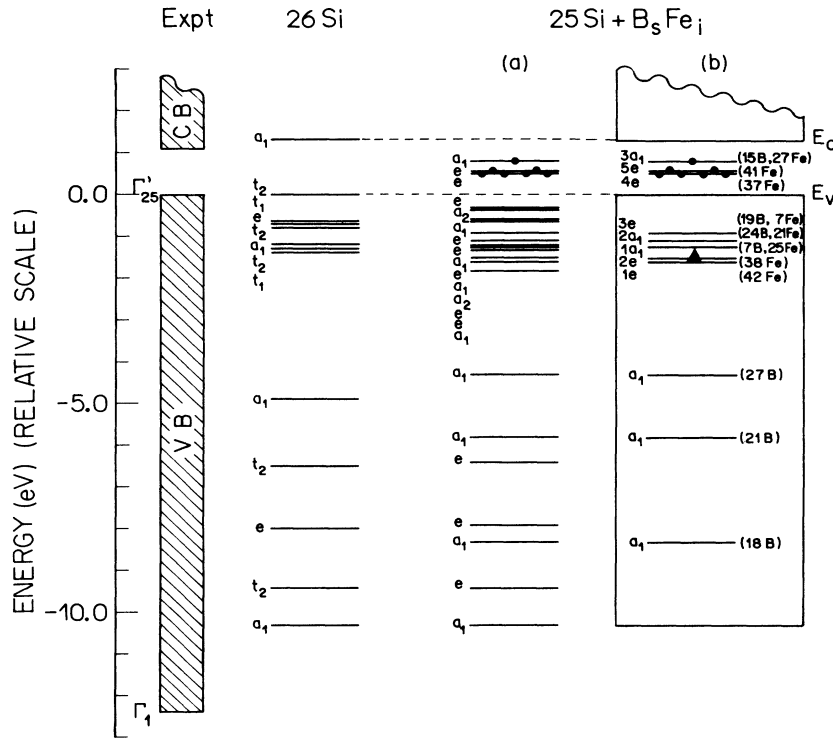


FIG. 1. Self-consistent one-electron energy spectra of the  $25\text{Si}+\text{B}_5\text{Fe}_i$  cluster simulating the electronic structure of the substitutional-B-tetrahedral-site interstitial-Fe pair in silicon. (a) All the electronic states are shown. (b) Only the Fe  $3d$ - and B  $2s, 2p$ -derived energy levels, relative to the band edges [conduction band (CB) and valence band (VB)] are indicated. The results for a perfect 26-Si-atom cluster as well as the experimental values for the silicon valence-band width and band gap are also shown. The filled circles indicate the occupancy of the gap levels, and the filled triangle shows the  $\text{Fe}_i$ -derived  $dt_2$  and  $de$  resonances quoted from Ref. 17. The numbers between parentheses denote the percentage of charge, normalized to one electron, within the Fe or B impurity spheres (radius 1.18 Å).

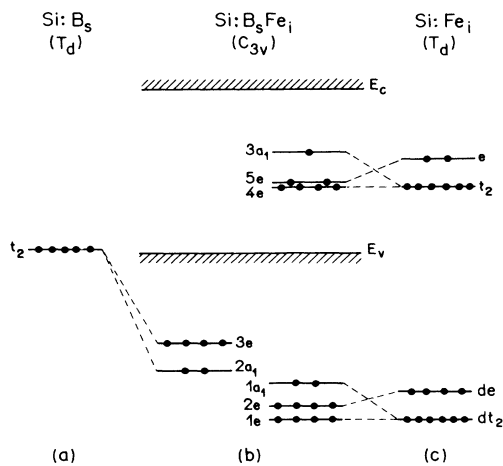


FIG. 2. Schematic representation of the  $\text{Fe}_i\text{B}_5$  impurity levels, relative to the silicon band edges, as derived from the interactions between the impurity states of the isolated impurities. The filled circles indicate the occupancy of the levels. (a) Indicates the  $\text{B}_5$  shallow acceptor level. (b) Indicates the Fe-B resonances and gap levels, labeled according to Fig. 1. (c) Shows the  $\text{Fe}_i$ -derived  $dt_2$  and  $de$  resonances and  $t_2$  and  $e$  gap levels.

TABLE I. Energy levels,  $E$ , measured from the top of the valence band and charge,  $Q$ , within the impurity spheres (in units of one electron), relative to the impurity states induced in silicon by  $\text{B}_5$ ,  $\text{Fe}_i$ , and  $\text{Fe}_i\text{B}_5$ . The levels are labeled according to Fig. 2.

Impurity	Level (occupancy)	$E$ (eV)	$Q$ (B)	$Q$ (Fe)
$\text{B}_5$ <sup>a</sup>	$t_2(5)$	0.04	0.70	...
$\text{Fe}_i\text{B}_5$ <sup>b</sup>	$1e(4)$	-1.61	...	1.68
	$2e(4)$	-1.48	...	1.52
	$1a_1(2)$	-1.25	0.14	0.50
	$2a_1(2)$	-1.12	0.48	0.42
	$3e(4)$	-0.88	0.76	0.28
	$4e(4)$	0.50	...	1.48
	$5e(2)$	0.54	0.12	0.82
$\text{Fe}_i$ <sup>c</sup>	$3a_1(1)$	0.83	0.15	0.27
	$dt_2(6)$	-1.68	...	2.40
	$de(4)$	-1.41	...	1.80
	$t_2(6)$	0.64	...	1.80
	$e(2)$	0.68	...	0.76

<sup>a</sup>Reference 18.

<sup>c</sup>Estimated from Ref. 17.

<sup>b</sup>This work.

into the valence band and splits into a nondegenerate  $\sigma$ -like and a doubly degenerate  $\pi$ -like pair state. They are labeled as  $2a_1$  and  $3e$  in Fig. 2(b). The  $t_2$  acceptor state of  $B_s$  interacts primarily with the  $dt_2$  resonance of the  $Fe_i$  impurity. The  $t_2$  acceptor level of  $B_s$  is shifted by about twice the value predicted by the ionic model, 0.55 eV, which corresponds to a shift caused by the Coulombic field created by the  $Fe_i^+$  ion at the  $B_s^-$  site.<sup>11</sup>

The ionic model establishes that one electron is transferred from the  $Fe_i$  donor level in the gap to the  $B_s$  acceptor level. In order to analyze this assumption, we start by first taking into consideration the amount of charge which is transferred to the  $B_s$  sphere in the pair through the  $B_s$   $t_2$ -derived  $2a_1$  and  $3e$  states. According to the entries shown in Table I, the difference between the charge associated with the  $t_2$  hole state induced by  $B_s$  and the charge associated with the  $2a_1$  and  $3e$  states induced by the  $Fe_iB_s$  pair is  $-0.54e$ . On the other hand, an isolated  $Fe_i$  impurity in silicon gives rise to  $dt_2$  and  $de$  resonances within the valence band [filled triangle in Fig. 1(b)] and  $t_2$  and  $e$  levels within the band gap, schematically shown in Fig. 2(c).<sup>17,19</sup> The  $Fe_i$   $3d$ -derived resonances give rise to the  $1e$ ,  $2e$ , and  $1a_1$  resonances for the pair, as indicated in Fig. 2(b). The  $dt_2$  resonance interacts with the  $t_2$  state of  $B_s$  and splits into  $\sigma$ -like ( $1a_1$ ) and  $\pi$ -like ( $1e$ ) levels. The  $de$  resonance is shifted down slightly, being labeled as  $2e$  in Fig. 2(b). The entries in Table I show that the difference between the total charge associated with the  $dt_2$  and  $de$  resonances induced by the  $Fe_i$  impurity and the total charge associated to  $1e$ ,  $2e$ , and  $1a_1$  resonances induced by the pair complex is  $+0.5e$ . Thus, the  $Fe_i$  impurity loses about the same amount of charge which is transferred to the  $B_s$  impurity when the pair is formed.

One important feature of our calculations is finding that the gap states of the  $Fe_iB_s$  pair are  $Fe_i$ -like states. The gap levels induced by the pair, labeled as  $4e$ ,  $5e$ , and  $3a_1$  in Fig. 2(b), originate from the  $t_2$  and  $e$  gap states of the  $Fe_i$  impurity. The interactions with the  $B_s$  MO splits the  $t_2$  state, by about 0.33 eV, into the  $4e$  and  $3a_1$  levels, with the latter crossing the  $5e$  level and becoming the highest gap level for the pair. This splitting is mostly due to the upwards displacement of the  $\sigma$ -like  $3a_1$  level. A similar conclusion was reached by Feichtinger *et al.* in their analysis of the electronic structure of the Mn-B pair.<sup>9</sup> By using perturbation theory, the authors have shown that the  $\pi$ -like state ( $4e$ ) is pushed apart by approximately 0.1 eV, whereas the  $\sigma$ -like state ( $3a_1$ ) is split by an amount of the order of 1 eV. The strong localization of the  $Fe_iB_s$  gap states within the cluster central atomic sphere emphasizes the  $Fe_i$   $3d$  character of these impurity states.

The analysis of the charge distribution shown in Table I for the  $Fe_i$  and  $Fe_iB_s$  gap states leads to an interesting conclusion related to the pair electronic structure. The eight electrons occupying the  $t_2$  and  $e$  gap levels lead to the value  $2.56e$  for the charge associated with these states within the  $Fe_i$  sphere of the isolated impurity. On the other hand, the seven electrons occupying the  $Fe_iB_s$  gap levels give the value  $2.57e$  for the charge within the  $Fe_i$  sphere. Thus, the same amount of charge within the  $Fe_i$

atomic sphere is obtained in both cases. This result contradicts the assumption that a  $Fe_i^+$  ion is created by transferring one electron from the donor level of the isolated iron to the  $B_s$  impurity. Here the Haldane and Anderson mechanism, which is operative if covalent effects play a role, prevents charge transfer out of the  $Fe_i$  impurity sphere by compensating the decrease in one unit of the gap-level occupancy.<sup>19</sup>

Although our calculations show that the interaction between the  $t_2$  acceptor state of  $B_s$  and the  $dt_2$  resonance of  $Fe_i$  causes a transfer of about 0.5 electronic charge from  $Fe_i$  to  $B_s$ , we do not conclude that some ionic character can be ascribed to the stabilized pair. This fact can be confirmed in a straightforward way by analyzing the total electronic charge within the impurity atomic spheres. According to the  $16Si+B_s$  cluster model calculation, simulating the electronic structure of an isolated neutral boron substitutional impurity, the value  $5.61e$  is found for the electronic charge within the  $B_s$  impurity sphere.<sup>18</sup> Our calculations show that this value is reduced to  $5.34e$  when the pairing between  $B_s$  and  $Fe_i$  occurs. On the other hand, the  $Fe_i$  atomic sphere contains  $26.13e$  when the neutral impurity is isolated, whereas this value changes to  $26.07$  when the pairing takes place. Therefore, there is no clear indication from the calculations that there is a transfer of one electron from  $Fe_i$  to  $B_s$ , as is assumed in the ionic model.

In spite of the fact that the calculations reported here were not carried out to the spin-polarized limit, we can infer from the significant localization of the pair gap states on the  $Fe_i$  impurity sphere that the exchange interactions drive the complex to a high-spin configuration. If the calculations are performed with three electrons filling the  $5e$  gap level and with the  $3a_1$  level empty, we obtain the  $3a_1$  level placed at about 0.15 eV above the  $5e$  level. In this case, the value 31% is found for the percentage of charge within the Fe sphere corresponding to the  $3a_1$  state. The strong localization of the  $3a_1$  state in the Fe sphere and its proximity to the  $5e$  level indicate that a high-spin configuration is likely.<sup>19</sup> Within the framework of the spin-restricted calculations carried out in the present work, the high-spin configuration is simulated by promoting one electron from the  $5e$  to the  $3a_1$  level. We point out that the  $C_{3v}$  crystal-field effects are strong enough to push the  $3a_1$  level above the  $5e$ ; however, these levels are close enough to favor spin alignment.

In conclusion, the donor and acceptor transitions observed for the pair are related to the  $3a_1$  state. The  $Fe_iB_s$  pair ground state is a  $^4A$  orbital singlet, implying that the values  $L=0$  and  $S=\frac{3}{2}$  are ascribed to the angular momentum and spin eigenvalues of the complex, respectively, in agreement with EPR measurements. This conclusion indicates that the pair complex does not undergo Jahn-Teller distortions, therefore it is stable in a trigonal symmetry as has been detected.

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