

## Theory of defect formation in the glow-discharge deposition of phosphorus-doped hydrogenated amorphous silicon

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A quantitative theory (with no fitted parameters) of the doping of *n*-type hydrogenated amorphous silicon deposited by glow discharge from phosphine-silane mixtures is presented. Film growth results from the reaction of neutral Si dangling bonds on the film surface with SiH<sub>3</sub> radicals. Fourfold-coordinated P donors are produced by the reaction of PH<sub>3</sub> with positively charged Si dangling bonds. This theory explains the dependence of the donor concentration, the dangling-bond concentration, and the Fermi level of the film on the phosphine concentration in the discharge.

### INTRODUCTION

The discovery that glow-discharge-deposited hydrogenated amorphous silicon (*a*-Si:H) can be doped by addition of phosphine or diborane to the silane<sup>1-3</sup> was somewhat surprising since it had been expected that dopant atoms could not be forced into fourfold coordination by the amorphous network.<sup>4</sup> However, the technological importance of *a*-Si:H would be severely limited if it could not be doped. Not surprisingly, doping of *a*-Si:H is different from doping of crystalline silicon. For example, the addition of 1 ppm phosphine to the silane can shift the Fermi level of the *a*-Si:H by 0.2 eV or more, but 10 000 ppm of phosphine results in an additional shift of only about 0.1 eV. This example of the "law of diminishing returns" has important consequences for the fabrication of *a*-Si:H *p-i-n* photovoltaic devices. The *p* and *n* layers must be heavily doped using dopant-gas concentrations of the order of 1%, but the residual traces of those gases present during the deposition of the *i* layer must be much less than 1 ppm, in order to keep the Fermi level near midgap. Many researchers are resorting to multichamber deposition systems to achieve this level of control of dopant concentrations.<sup>5-8</sup>

In order to explain how doping occurs in *a*-Si:H, Adler has suggested that the formation of dopant-defect pairs would require only a small amount of energy.<sup>9</sup> Street<sup>10</sup> has argued that doping can be understood by considering the role of charged states in the deposition process. For example, a neutral phosphorus atom should have threefold coordination, according to Mott's "8-*N*" rule.<sup>11</sup> However, a positively charged phosphorus, having four valence electrons, should be fourfold coordinated and act as a donor. Street also stated that the position of the Fermi level during deposition controls the doping process and defect formation. Consequently the threefold-coordinated P atoms are in equilibrium with the fourfold-coordinated donors plus defects:  $P_3^0 \leftrightarrow P_4^+ + D^-$ . As a result, the concentrations of  $P_4^+$  and of  $D^-$  should be proportional to the square root of the total phosphorus concentration. Street and co-workers<sup>12</sup> have determined dangling-bond concentrations in P- and B-doped films and found them

to be approximately proportional to the gas-phase dopant concentrations. Wronski *et al.*<sup>13</sup> have used photoconductivity to measure the optical absorption of P-doped films and calculated the concentration, energy, and energy spread of the dangling bonds. They also found a square-root dependence of dangling-bond concentration on phosphine concentration.

Street's model also explains why compensated films have lower defect densities than films grown with one dopant<sup>12</sup> since it is the position of the Fermi level that controls defect formation. However, Street's model does not explain the observed dependence of the Fermi level on dopant gas-phase concentration. In this paper we shall expand Street's ideas on doping into a quantitative model which does explain this dependence. In this model the charge on the dangling bonds at the growing film surface controls the rate of formation of donors which self-consistently determines the surface charge states.

### EXPERIMENTAL RESULTS

The dependence of the *a*-Si:H film Fermi energy  $E_F$  on gas-phase phosphine concentration is shown in Fig. 1 for films deposited from 0.1-Torr SiH<sub>4</sub>-PH<sub>3</sub> mixtures by rf glow discharge at two different substrate temperatures. Details of the deposition system and the conductivity measurements have been published elsewhere.<sup>14</sup> The position of the Fermi level relative to the conduction-band edge  $E_C - E_F = k_B(300 \text{ K}) \ln(\sigma_0/\sigma)$ , where  $\sigma_0$  is taken as 200 ( $\Omega \text{ cm}$ )<sup>-1</sup> and  $k_B$  is the Boltzmann constant. This method was used since the value obtained from the slope of the Arrhenius plot is inaccurate due to statistical shifts.<sup>14-16</sup>

### MODEL

In this section we propose a set of surface reactions for film growth and donor formation. The relative rates of these reactions, which depend upon the charges on the surface dangling bonds, determine the donor concentration in the film. The surface-dangling-bond charge is self-consistently related to the donor concentration by charge neutrality. We assume that the film surface is neu-

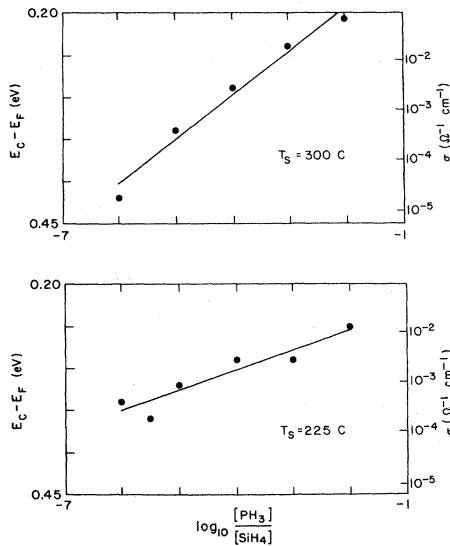


FIG. 1. Fermi-level position and conductivity of phosphorus-doped *a*-Si:H prepared at two different substrate temperatures  $T_s$ . See text for other deposition conditions.

tral and that the dangling-bond charge states are in equilibrium.

The charges of the threefold silicon  $T_3$ , threefold phosphorus  $P_3$ , and fourfold phosphorus  $P_4$  structures as a function of the position of the Fermi level are shown in Fig. 2. The dividing line between  $T_3^+$  and  $T_3^0$  is 1.25 eV below the conduction band; the line between  $T_3^0$  and  $T_3^-$  is 0.9 eV below the conduction band according to photo-thermal-deflection spectroscopy.<sup>17</sup> The level for  $P_4$  was placed near the conduction band since fourfold-coordinated phosphorus atoms should form shallow donors in *a*-Si:H as they do in crystalline silicon. The energy level for  $P_3$  has been placed near the valence band

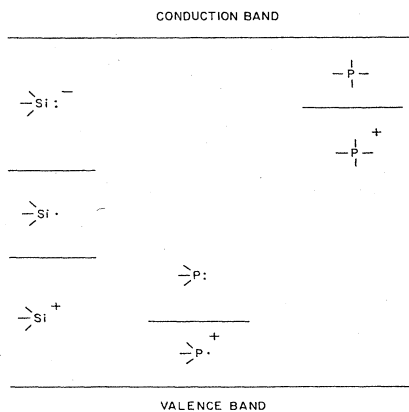


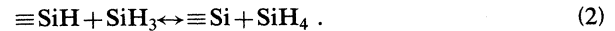
FIG. 2. Charge state of threefold-Si, threefold-P, and fourfold-P atoms as a function of Fermi-level position.

since  $P_3$  is the expected bonding configuration for phosphorus atoms.

There is now strong evidence that  $\text{SiH}_3$  is the species responsible for the growth of *a*-Si:H films in  $\text{SiH}_4$  discharges.<sup>18,19</sup> Film growth probably proceeds through the reaction of the  $\text{SiH}_3$  radicals with neutral dangling bonds  $\equiv\text{Si}$  on the film surface, as shown in Eq. (1).<sup>19</sup> We have



Subsequent reactions will replace the hydrogens with silicon. The dangling bonds on the film surface are produced by removal of hydrogen from the surface<sup>20</sup> by  $\text{SiH}_3$ :



We expect that the concentration of dangling bonds at the film surface  $N_{RS}$  will be much larger than the concentration in the bulk ( $N_R$ ). This is because reaction 2 creates dangling bonds at the growing surface. Consequently there will be band bending in the growing film and the Fermi level at the film surface will be such that most of the dangling bonds will be neutral. This is shown in Fig. 3.

We now focus on the mechanisms responsible for incorporation of phosphorus donors in the *a*-Si:H film. The model should be applicable to arsenic doping as well. Boron doping will not be covered since the chemistry of bo-

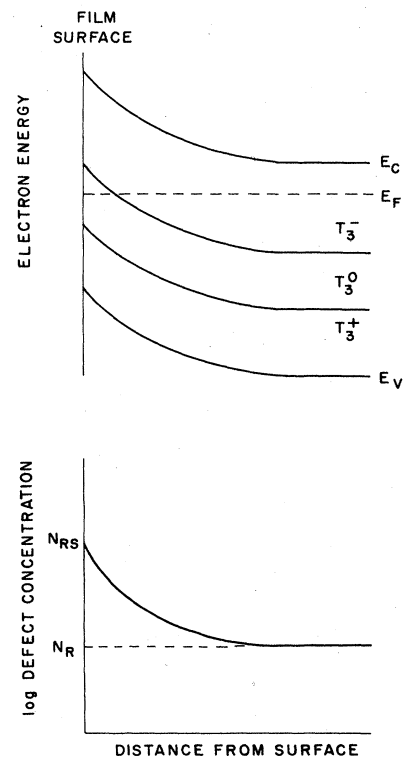
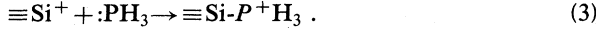


FIG. 3. Qualitative illustration of band bending and dangling-bond concentration in *n*-type *a*-Si:H films during deposition.

ron is considerably more complicated. Street has suggested that positively charged sites are involved in the formation of phosphorus donors.<sup>10</sup> Two possibilities are the positively charged dangling bond ( $T_3^+$ ) and the positively charged threefold-coordinated phosphorus ( $P_3^+$ ). The positively charged dangling bond should be able to accept an electron pair from a  $\text{PH}_3$  molecule to form a bond:



The reaction of  $P_3^+$  sites with  $\text{SiH}_3$  is another possibility. However, the concentration of  $P_3^+$  sites on the surface will be much smaller than the concentration of positive dangling bonds because the  $P_3$  level is lower in the gap. Therefore, reactions of  $P_3^+$  will not be included in the model.

To find the relative concentrations of the various charge states we consider the equilibrium



In order to find the dangling bond and donor concentrations as a function of discharge phosphine concentration, we write equations based on reactions (1)–(4). The concentration of neutral dangling bonds at the growing film surface  $N_{\text{RS}}^0$  (where RS represents reaction surface) will be determined by the equilibrium in reaction (2),

$$N_{\text{RS}}^0[\text{SiH}_4]/(N_{\text{S}}[\text{SiH}_3])=K_2, \quad (5)$$

where  $N_{\text{S}}=[\equiv\text{SiH}]$ , the concentration of surface sites (around  $10^{15}\text{cm}^{-2}$ ). The value of  $K_2$  should be near 1 since there is no enthalpy change in reaction (2) because the reaction breaks and forms a Si-H bond. The relative concentration of donors  $N_{\text{D}}/N_{\text{Si}}$  in the film will be determined by the ratio of the rates of reactions (3) and (1)

$$N_{\text{D}}/N_{\text{Si}}=R_3/R_1, \quad (6)$$

and

$$R_1=k_1N_{\text{RS}}^0[\text{SiH}_3], \quad (7)$$

$$R_3=k_3N_{\text{RS}}^+[\text{PH}_3]. \quad (8)$$

The equilibrium in reaction (4) gives the following relationship:

$$N_{\text{RS}}^+N_{\text{RS}}^-/(N_{\text{RS}}^0)^2=K_4. \quad (9)$$

The energy difference between the left- and the right-hand side of Eq. (4) is the correlation energy of the dangling bond  $U$  [about 0.4 eV (Ref. 17)]. To estimate the value of  $K_4$  we note that the spread of the energy of the dangling bond is 0.1 eV.<sup>13</sup> Using the density of states assumed by Wronski *et al.*<sup>13</sup> [see Eq. (17)] and writing  $N_{\text{RS}}^- = \int_{-\infty}^{E_{\text{F}}} N_{\text{RS}}(E)dE$  and  $N_{\text{RS}}^+ = \int_{E_{\text{F}}+U}^{\infty} N_{\text{RS}}(E)dE$  gives  $K_4=(4/\pi^2)e^{(-4)}$ . See Fig. 4.

Combining Eqs. (5)–(9) gives the following:

$$N_{\text{D}}N_{\text{RS}}^- = C[\text{PH}_3]/[\text{SiH}_4], \quad (10)$$

$$C=N_{\text{Si}}N_{\text{S}}(k_3/k_1)K_4K_1, \quad (11)$$

which is similar to Street's result but contains the gas-phase phosphine concentration rather than the P concentration in the film. This is an important distinction since

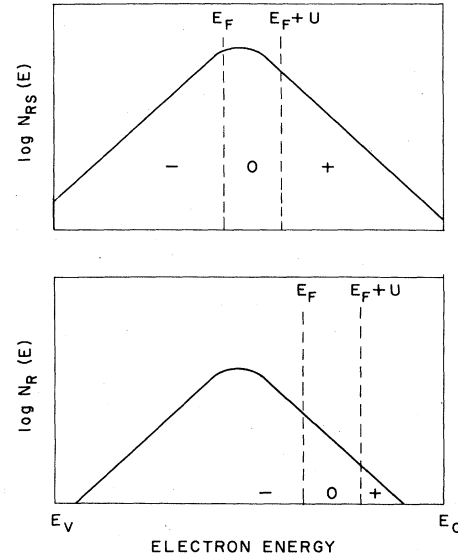


FIG. 4. Charge of dangling bonds at growing film surface and in the bulk.

the P concentration in the film goes as the 0.8 power of the phosphine concentration.<sup>21</sup>

Charge neutrality requires that

$$N_{\text{D}}^+ + N_{\text{RS}}^+ = N_{\text{RS}}^-. \quad (12)$$

Neutral donors have not been detected by electron-spin resonance in  $\alpha$ -Si:H.<sup>10</sup> Therefore  $N_{\text{D}}^+ = N_{\text{D}}$ . If the doping level is high enough so the  $N_{\text{RS}}^- \ll N_{\text{D}}^+$ , then  $N_{\text{D}} = N_{\text{RS}}^-$ . In that case Eq. (10) becomes

$$N_{\text{RS}}^- = C^{1/2}([\text{PH}_3]/[\text{SiH}_4])^{1/2}. \quad (13)$$

Using  $5 \times 10^{22} \text{cm}^{-3}$  for  $N_{\text{Si}}$ ,  $10^{15} \text{cm}^{-2}$  for  $N_{\text{S}}$ , estimating  $k_3/k_1$  as  $10^3$  (a typical value for the rate constant of an ion molecule reaction divided by the rate constant for a radical molecule reaction<sup>22–24</sup>), using  $(4/\pi^2)e^{-4}$  for  $K_4$  (see above), and estimating  $K_1$  as 1 (as discussed earlier) gives a value of  $C^{1/2}$  of about  $1.2 \times 10^{19} \text{cm}^{-3}$ . This agrees quite well with the observed value of  $2.6 \times 10^{19} \text{cm}^{-3}$ .<sup>13</sup>

As the film grows, already-deposited  $\alpha$ -Si:H will anneal if the substrate temperature is sufficiently high, resulting in a decrease in the dangling-bond concentration. All neutral dangling bonds will reconstruct pairwise, as will all pairs consisting of positive and negative dangling bonds ( $T_3^0 + T_3^0 \rightarrow \text{Si-Si}$  and  $T_3^+ + T_3^- \rightarrow \text{Si-Si}$ ). Therefore the total dangling-bond concentration after annealing  $N_{\text{R}}$  will be given by  $|N_{\text{RS}}^- - N_{\text{RS}}^+|$ . Using Eq. (12) and the fact that all donors are ionized ( $N_{\text{D}} = N_{\text{D}}^+$ ) gives

$$N_{\text{R}} = N_{\text{RS}}^- - N_{\text{RS}}^+ = N_{\text{D}}. \quad (14)$$

Most, but not all, of these remaining dangling bonds will be negative. Charge neutrality in the deposited film implies that

$$N_D = N_R^- + n_C \quad (15)$$

where  $n_C$  is the number of electrons in the conduction band. We neglect the conduction-band tail since it is steep.<sup>13</sup> Combining Eqs. (14) and (15) gives the result

$$N_R - N_R^- = n_C. \quad (16)$$

In Fig. 2 the energy level separating  $T_3^0$  from  $T_3^-$  was depicted as a discrete level for simplicity. However, Wronski *et al.* found a range of energies<sup>13</sup> and used a density of states which can be written

$$N_R(E) = (\pi E_{R0})^{-1} N_R \operatorname{sech}[(E - E_R)/E_{R0}]. \quad (17)$$

Since the value of  $E_{R0}$ , about 0.1 eV, is considerably larger than  $k_B T$  for room temperature, the left-hand side of Eq. (16) can be approximated by replacing the Fermi function with a step function. This gives the expression

$$\begin{aligned} N_R - N_R^- &= \int_{E_F}^{\infty} N_R(E) dE \\ &= (2N_R/\pi) \exp[(E_R - E_F)/E_{R0}]. \end{aligned} \quad (18)$$

See Fig. 4. The value of  $n_C$  is calculated using the conduction-band density of states<sup>13</sup>

$$N_C(E) = (6.7 \times 10^{21} \text{ cm}^{-3} \text{ eV}^{-3/2})(E - E_C)^{1/2}.$$

Multiplying by the Fermi function and integrating gives

$$n_C = N_C \exp[(E_F - E_C)/k_B T] \quad (19)$$

where  $N_C = 2.47 \times 10^{19} \text{ cm}^{-3}$  at room temperature. Combining Eqs. (16), (18), and (19) gives the result

$$E_F - E_C = \frac{k_B T}{k_B T + E_{R0}} \left[ E_R - E_C + E_{R0} \ln \left[ \frac{2N_R}{\pi N_C} \right] \right]. \quad (20)$$

Wronski *et al.* used their photoconductivity-derived absorption spectra to find values of  $E_R$ ,  $E_{R0}$ , and  $N_R$  at values of  $[\text{PH}_3]/[\text{SiH}_4]$  of 0,  $10^{-5}$ ,  $10^{-4}$ ,  $10^{-3}$ , and

$5 \times 10^{-3}$ , for films deposited at 240°C. The values of  $N_R$  were fitted to the expression  $N_R = (2.6 \times 10^{19} \text{ cm}^{-3}) p^{1/2}$ , where  $p = [\text{PH}_3]/[\text{SiH}_4]$ . Using that expression, the values of  $E_R$  and  $E_{R0}$  found for  $p = 10^{-4}$  (1.02 and 0.10 eV, respectively), and using Eq. (20) gives the result  $E_C - E_F = (0.239 \text{ eV}) - (1.03 \times 10^{-2} \text{ eV}) \ln(p)$ . The line fitted to the  $T_s = 225^\circ\text{C}$  data in Fig. 1 has the form  $E_C - E_F = (0.205 \text{ eV}) - (1.05 \times 10^{-2} \text{ eV}) \ln(p)$ , in close agreement with the expression calculated using Eq. (20). It is obvious, however, that the dependence of  $E_F$  on  $p$  is different in Fig. 1 for the films deposited at 300°C. This can be explained by making the quite reasonable assumption that films deposited at 300°C have a broader conduction-band tail. In that case, the characteristic temperature corresponding to the width of the band tail would appear in Eq. (20) in place of room temperature, increasing the slope  $E_F$  versus  $\ln(p)$  plot, as observed.

## CONCLUSIONS

The dependence of dangling-bond concentrations in phosphorus-doped *a*-Si:H films deposited by glow discharge from silane-phosphine mixtures can be understood quantitatively in terms of a model of the surface reactions responsible for film growth and donor formation, charge neutrality, and complete annealing of neutral dangling bonds. The dependence of the film Fermi level on gas-phase phosphine concentration used during deposition is consistent with the density of states of films deposited under similar conditions, as determined by absorption spectra derived from photoconductivity.

## ACKNOWLEDGMENTS

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<sup>22</sup>For example, the total rate of the reaction  $\text{SiH}_2^+ + \text{SiH}_4 \rightarrow$  products is  $4 \times 10^{-10} \text{ cm}^3 \text{ s}^{-1}$  (Ref. 23) while the rate of  $\text{SiH}_2 + \text{SiH}_4 \rightarrow \text{Si}_2\text{H}_6$  is  $9.5 \times 10^{-13} \text{ cm}^3 \text{ s}^{-1}$  (Ref. 24).

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