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PHYSICAL REVIEW B

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# Electronic Structure and Spectrum of the NiF<sub>6</sub><sup>-4</sup>Cluster: Results of Calculations Based on Self-Consistent-Field Models\*

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Restricted and unrestricted Hartree-Fock molecular-orbital self-consistent-field calculations were performed on the cluster  $NiF_6^{-4}$  with a fixed internuclear distance appropriate to KNiF<sub>3</sub>. A slightly extended multicenter atomic-orbital basis was used. In contrast to the approach of earlier calculations which sought to describe 10Dq as a single electron promotion between  $t_{2g}$  and  $e_{g}$  antibonding (LCAO) molecular orbitals, we obtained the spectra as the difference in energy between various many-electron open-shell states. The results obtained with limited configuration interaction are in good agreement with the five observed optical absorption bands. We find that the earlier orbital picture can be approximately maintained only if the covalency parameters are obtained from the open-shell orbitals of excited states, which are solutions of the Hartree-Fock-Roothaan Hamiltonians. In these orbitals we find considerable  $\sigma$  bonding and a smaller  $\pi$  bonding, significant fluoride s-p hybridization, and a small expansion of the 3d orbitals which is greater in the  $t_{2g}$  than in the  $e_g$  orbitals. Allowing for spin-polarization correlation in the unrestricted calculations, however, adds important contributions to transferred hyperfine interactions and neutron form factors.

### I. INTRODUCTION

Continued interest in the optical, magnetic, and structural properties of transition-metal compounds arising from the occurrence of unfilled shells associated with the metal-ion 3d electrons has led to a wide variety of attempts at theoretical explanation. Recent theoretical emphasis has concentrated on clusters containing the transition-metal ion surrounded by its nearest-neighbor anions or ligands

as being representative of the crystal. Early theoretical efforts which concentrated only on the transition-metal ion, while explaining individual physical properties, were inadequate for any consistent understanding of the entire range of behavior. For this reason, early attempts to compute *ab initio* the crystal-field-splitting parameter 10Dq failed. Also, the interpretation of properties such as partial quenching of orbital angular momentum, magnetic hyperfine interactions, and neutron magnetic scattering experiments established unambiguously the important role played by the neighboring anions or ligands. More recently, the role of cluster models has been emphasized in connection with explaining the magnetic interaction between metal ions.<sup>1</sup>

The molecular-orbital (MO) formulation originally proposed by Van Vleck<sup>2</sup> naturally allows for covalent bonding and a redistribution of electronic charge, evidently necessary to explain the experimental data. Subsequent development of semiempirical MO schemes resulted from the obvious numerical difficulties inherent in any completely ab initio calculation.<sup>3</sup> In the first detailed nonempirical calculation, Sugano and Shulman<sup>4</sup> obtained a reasonable value for 10Dq and other experimental parameters by assigning the 3d electrons in NiF<sub>6</sub><sup>-4</sup> to antibonding linear combination of atomic orbitals (LCAO) MO's. The coefficient of the  $F^{\text{-}}$  ligand orbitals or "covalency parameters" were determined from the solutions of the ionic Hartree-Fock oneelectron Hamiltonian. However, it is well  $known^{5-9}$ that it is the one-electron Hamiltonian of the bonding orbitals whose spin is antiparallel to the occupied antibonding orbitals which determines the extent of covalency in a minimal basis. When the one-electron Hamiltonian of Sugano and Shulman was corrected by subsequent workers,<sup>5</sup> they failed to obtain agreement with experiment.

Several important questions can be raised. First what are the results of solving the many-electron open-shell self-consistent-field (SCF) equations for the ground and various excited states and how do they compare to closed-shell or pseudo-closed-shell calculations? Second, what are the effects of obtaining self-consistency starting with an ionic Hartree-Fock Hamiltonian, of electronic reorganiza-tion, and of limited configuration interaction? Third what are the effects of using a basis other than the free-ion basis used by Sugano and Shulman?<sup>10-13</sup> Is there a notable polarization of free-ion metal or ligand orbitals in a slightly extended basis? And finally, what are the significant effects of relaxing spin restrictions?

Some of these questions were approached by Ellis, Freeman, and Ros, <sup>9</sup> who solved the unrestricted Hartree-Fock equations using a one-center basis of Slater-type orbitals. However, although the one-center basis provides a great simplification in the calculation of integrals, it was thought to be a serious compromise to the more accurate multicenter atomic orbital basis. Alternatively, we choose to use a multicenter basis and approximate the resulting three- and four-center integrals which, if computed, would consume considerable computer time. In this way we make a basic departure from those previous calculations which have made approximations in the one-electron Hamiltonians rather than in the integrals.

In this paper we present the results of open-shell LCAO-MO-SCF calculations on various many-electron states of the  $NiF_6^{-4}$  cluster. We use a slightly extended multicenter atomic orbital basis and block diagonalize the Fock operators in the usual way by the use of symmetry orbitals. A limited d - d configuration interaction is employed. Recently, Richardson, Vaught, Soules, and Powell<sup>14</sup> presented preliminary calculations on the octahedral clusters  $TiF_6^{-3}$ ,  $CrF_6^{-3}$ ,  $FeF_6^{-4}$ , and  $NiF_6^{-4}$ . Because these authors consistently obtained excellent agreement with the experimental fluoride absorption spectra, we wish to examine in some detail the method and approximations. In particular, the approach based on the many-electron Hartree-Fock-Roothaan equations is contrasted with the more familiar orbital picture. We show the effect of electron delocalization on the electrostatic interactions among the essentially 3d molecular orbitals and discuss in some detail the contributions to optical transition energies.

In Sec. II, we sketch the application of the openshell SCF procedure to states of the  $d^8$  configuration in octahedral NiF<sub>6</sub><sup>-4</sup> clusters and define the basis sets used in these calculations. In Sec. III, wave functions and the d-d spectrum of NiF<sub>6</sub><sup>-4</sup> are presented as calculated using various bases and modifications of the integral approximations. Contributions to 10Dq and the causes and effects of electron delocalization are analyzed in Sec. IV. In Sec. V, we present the results of an unrestricted Hartree-Fock calculation and compare the magnetic hyperfine interactions and neutron form factors in Sec. VI.

#### II. APPLICATION OF OPEN-SHELL SCF METHOD

A detailed discussion of the basic open-shell SCF theory as applied to octahedral transition-metal clusters is given elsewhere.<sup>15</sup> A summary is presented here, however, in order better to discuss the results of these calculations. The use of a cluster in an external crystalline potential as a model for octahedral transition-metal fluorides assumes that the electrons of interest are localizable in the pseudomolecule and specifically neglects the periodicity of the lattice. Nevertheless, this model is suggested by many experimental and theoretical considerations. For instance, it is well known that the purely electronic optical transition energies are affected very little by structural changes beyond the nearest-neighbor anions. In the systems  $\text{KNiF}_3$ , <sup>16</sup>  $\text{KZnF}_3$ : Ni, <sup>17</sup>  $\text{KMgF}_3$ : Ni, <sup>18</sup> and  $\text{NiF}_2$ , <sup>19</sup> the positions of the transitions vary by less than a few hundred cm<sup>-1</sup>. On theoretical grounds, the cluster model represents a partitioning in the ground-state or closed-shell Hartree-Fock Hamiltonian of the crystal which is accurate to terms of the order  $\delta^2$ , where  $\delta$  is the mixing parameter between basis functions on neighboring ions.<sup>9</sup> Hence it is a good approximation in highly ionic crystals and with the use of a localized basis. For excited states, the cluster model represents a localized molecular excitation.

## A. Wave Function and Total Energy

In the MO approach the wave function is written as a linear combination of Slater determinants of one-electron MO functions.<sup>20</sup> In the LCAO approximation, the MO's,  $\phi$ 's, are expanded in an orthogonalized atomic orbital basis ( $\chi$ ) which is transformed to a set of functions,  $\lambda$ 's, belonging to particular rows ( $\gamma$ ) of irreducible representations ( $\Gamma$ ) of the appropriate point group:

$$\phi_{i\Gamma\gamma} = \sum \lambda_{k\Gamma\gamma} C_{ki\Gamma} . \qquad (2.1)$$

Wave functions for states belonging to different symmetry species are automatically orthogonal. Those belonging to the same species are orthogonal if constructed from the same set of orthogonal MO's.

The principal configurations of interest are

$$(A) (1a_{1g})^{2} (1e_{g})^{4} (1t_{1u})^{6} (2a_{1g})^{2} (2t_{1u})^{6} (2e_{g})^{4} (3t_{1u})^{6} \times (1t_{2g})^{6} (1t_{1g})^{6} (1t_{2u})^{6} (2t_{2g})^{x} (3e_{g})^{y} , \qquad (2.2)$$

where  $a_{1g}$ ,  $e_g$ ,  $t_{1w}$ ,  $t_{2g}$ ,  $t_{1g}$ , and  $t_{2u}$  label irreducible representations of  $O_h$  in the Mulliken-Placek notation, and x + y = 8.

(A) contains the localized inner-shell<sup>21</sup> or "core" orbitals. It is possible within the framework of present programs to include the 3s and 3p orbitals in the valence set. This would have the effect of explicitly orthogonalizing them to the ligand orbitals.

These core orbitals are assumed to contribute little to optical transition energies which arise from transitions in the largely 3d antibonding orbitals  $(2t_{2g})$  and  $(3e_g)$ . We therefore describe them as free-ion orbitals in the "frozen" core approximation, so that

$$(A) = (1s_{\rm Ni})^2 (2s_{\rm Ni})^2 (2p_{\rm Ni})^2 (1s_{\rm F1})^2 (1s_{\rm F2})^2 (1s_{\rm F3})^2$$
$$\times (1s_{\rm F4})^2 (1s_{\rm F5})^2 (1s_{\rm F6})^2 (3s_{\rm Ni})^2 (3p_{\rm Ni})^6 .$$

The total valence-shell electronic energy in the spin-restricted Born-Oppenheimer nonrelativistic approximation is given by<sup>15,21</sup>

$$E(t^{x}e^{y};S\Gamma) = \mathrm{H}^{0}\mathrm{D}_{t}^{\dagger} + \frac{1}{2}\mathrm{D}_{t}(\mathfrak{I} - \frac{1}{2}\mathfrak{K})\mathrm{D}_{t}$$

$$-\frac{1}{2}D_{a}(\mathfrak{g}-\frac{1}{2}\mathfrak{K})D_{a}^{\dagger}+G(x,y;S\Gamma')$$
, (2.3)

 $\underline{D}_t$  and  $\underline{D}_o$  are the familiar total (*t*) and open-shell (*o*) valence-shell density coefficient matrices (row vectors)

$$\underline{\mathbf{D}}_{t} = ||\underline{\mathbf{D}}_{t}(a_{1_{\mathcal{S}}}), \underline{\mathbf{D}}_{t}(t_{1_{\mathcal{U}}}), \dots, \underline{\mathbf{D}}_{t}(\Gamma), \dots ||,$$

$$\underline{\mathbf{D}}_{o} = ||\underline{\mathbf{D}}_{o}(a_{1_{\mathcal{S}}}), \underline{\mathbf{D}}_{o}(t_{1_{\mathcal{U}}}), \dots, \underline{\mathbf{D}}_{o}(\Gamma), \dots ||,$$
(2.4)

with elements

$$\|D_{\nu}(\Gamma)\|_{kl} = \sum_{i} C_{ki\Gamma}^{*} C_{li\Gamma} (2 - \delta_{kl}) n(i\Gamma)$$

where the sum extends over all, or over open shells only, for  $\nu = t$  or o, respectively, and  $n(i\Gamma)$  is the occupation number of the  $i\Gamma$  valence shell. In the core approximation used,

$$\underline{\mathbf{H}}^{\mathbf{0}} = \underline{\mathbf{H}} + \underline{\mathbf{V}}_{\mathsf{ext}} + \frac{1}{2} \underline{\mathbf{D}}_{I} \left( \mathcal{G} - \frac{1}{2} \mathcal{K} \right) \underline{\mathbf{D}}_{I}^{\dagger} . \qquad (2.5)$$

<u>H</u> is the kinetic plus nuclear attraction energy matrix.  $\underline{V}_{ext}$  is discussed in Sec. II B and  $\underline{D}_I$  is the density matrix for the inner shells of the core. The elements in the matrix <u>H</u>, and in the supermatrices  $\Im$  and  $\Re$ , are defined as usual.<sup>15,21</sup>

 $G(x, y; S\Gamma)$  includes all two-electron electrostatic matrix elements between electrons in the  $2t_{2g}$  and  $3e_{e}$  shells. Nine independent integrals may occur in  $G(x, y; S\Gamma)$  and a tenth occurs in configuration interaction calculations.<sup>20</sup> These integrals reduce to the Slater-Condon integrals  $F_0$ ,  $F_2$ , and  $F_4$  or the equivalent Racah parameters A, B, and C only if the  $2t_{2e}$  and  $3e_e$  MO's were to have purely 3d AO character. In the more general case when those MO's do not have the same radial character, the usual analysis of the energy levels in terms of Racah parameters cannot be made.<sup>22</sup> In Table I, we define the set of electrostatic interaction integrals for the  $2t_{2e}$  and  $3e_{e}$  MO's which is used in subsequent calculations. In Table II, the coefficients of these integrals appearing in the expression for  $G(x, y; S\Gamma)$ are given for all the terms of  $d^8$ .

#### **B.** External Potential

The one-electron potential  $\underline{V}_{ext}(\mathbf{r})$  resulting from the ions external to the cluster was studied extensively by Ellis, Freeman, and Ros.<sup>9</sup> They include the Madelung potential appropriate to the KNiF<sub>3</sub> structure and corrections for the finite-charge distribution of the surrounding ions. Their conclusion that the external crystal field has very little effect on the cluster wave function was borne out in more approximate preliminary calculations we made that included the external Madelung potential. The localized basis assumed in the cluster model and the *near* constancy in the region of the cluster of the Coulombic potential which is generated by the rest of an ionic KNiF<sub>3</sub> crystal combine to produce this

TABLE I. Definition of the ten independent  $e_{g}-t_{2g}$ electrostatic interaction integrals.<sup>a, b, c</sup>

Integral	General MO's	Equivalent AO's
$\overline{J}$ (tt)	$\frac{1}{3}(\langle \xi\xi   \xi\xi \rangle + \langle \xi\xi   \eta\eta \rangle + \langle \xi\xi   \zeta\zeta \rangle)$	$A + \frac{5}{3}C$
$\overline{J}$ (et)	$\frac{1}{2}(\langle \theta \theta   \zeta \zeta \rangle + \langle \epsilon \epsilon   \zeta \zeta \rangle)$	A + C
<b>J</b> (et)	$\langle \theta \theta   \xi \xi \rangle$	A - 4B + C
$\overline{J}$ (ee)	$\frac{1}{2}(\langle \theta\theta \mid \theta\theta \rangle + \langle \theta\theta \mid \epsilon \epsilon \rangle)$	A + 2C
$\overline{K}$ (tt)	$\frac{1}{3}(\langle \xi\xi   \xi\xi \rangle + \langle \xi\eta   \eta\xi \rangle + \langle \xi\xi   \xi\xi \rangle)$	$\frac{1}{3}A + \frac{10}{3}B + \frac{5}{3}C$
K(tt)	(En   nE)	3B+C
$\widetilde{K}$ (et)	$\frac{1}{2}(\langle \theta \zeta   \zeta \theta \rangle + \langle \epsilon \zeta   \zeta \epsilon \rangle)$	2B+C
K (et)	$\langle \theta \zeta   \zeta \theta \rangle$	4B+C
$\widetilde{K}(ee)$	$\frac{1}{2}(\langle \theta\theta \mid \theta\theta \rangle + \langle \theta\epsilon \mid \epsilon\theta \rangle)$	$\frac{1}{2}A + 4B + 2C$
i	$\langle \theta \xi \mid \eta \xi \rangle$	$\sqrt{3} B$

 $a(ab \mid cd) = \int \int a^{*}(1) \ b(1) r_{12}^{-1} c^{*}(2) \ d(2) \ dv_{1} dv_{2}.$ 

<sup>b</sup> $\xi$ ,  $\eta$ ,  $\xi$ ,  $\theta$ ,  $\epsilon$  refer to MO's transforming like the *d* orbitals  $d_{yg}$ ,  $d_{xg}$ ,  $d_{xy}$ ,  $d_{g2}$ , and  $d_{x^2-y^2}$ , respectively.

 $^{c}A$ , B, and C are the Racah parameters, appropriate for the case that all d functions have the same radial function.

result.  $\underline{V}_{ext}$  is therefore neglected in the results presented here.

### C. Restricted Open-Shell SCF

In the restricted open-shell SCF procedure, the total energy given in Eq. (2.2) is minimized with respect to variation of the MO coefficients  $C_{ki\Gamma}$  subject to the constraint that they remain orthonormal and span irreducible representations of the space and spin symmetry groups. For a given state  $t^{r} e^{y} \Gamma'$ , this leads to the familiar Hartree-Fock-Roothaan equations for closed (c) and open (o) orbitals of the form

$$\underline{\mathbf{F}}_{o} \underline{\mathbf{C}}(c) = \sum_{j} \epsilon(c, j) \underline{\mathbf{SC}}(j) ,$$

$$\underline{\mathbf{F}}_{o} \underline{\mathbf{C}}(o) = \sum_{j} \epsilon(o, j) \underline{\mathbf{SC}}(j) ,$$
(2.6)

where

$$\underline{\mathbf{F}}_{c} = \underline{\mathbf{H}}^{\mathbf{0}} + \underline{\mathbf{D}}_{t} \left( \mathcal{J} - \frac{1}{2} \mathcal{K} \right) ,$$

$$\underline{\mathbf{F}}_o = \underline{\mathbf{F}}_c - \underline{\mathbf{Q}}_o \ ,$$

and  $\mathbf{Q}_o$  is such that

$$\frac{1}{2} \underline{\mathbf{D}}_o \, \underline{\mathbf{Q}}_o = \frac{1}{2} \, \underline{\mathbf{D}}_o \, \left( \mathfrak{g} - \frac{1}{2} \, \mathfrak{K} \right) \underline{\mathbf{D}}_o^\dagger - G(x, \, y; \, S \Gamma')$$

C(i) is the coefficient vector for the *i*th occupied MO, here spanning the entire basis. These matrices, as written here, actually have block (diagonal) form according to the various MO symmetry species.

In the Roothaan formulation, off-diagonal Lagrangian multipliers connecting closed-shell orbitals having the same Fock operator are eliminated by a unitary transformation. Those connecting closedand open-shell orbitals both belonging to some representation  $\Gamma$  are removed by means of the coupling operators<sup>23, 24</sup>

$$\underline{\mathbf{R}}_{o}(\Gamma) = n_{o}(\Gamma) [n_{o}(\Gamma) - n_{c}(\Gamma)]^{-1} \sum_{\substack{i \in \mathcal{I} \\ \text{open}}} \left[ \underline{\mathbf{T}}_{i}(\Gamma) + \underline{\mathbf{T}}_{i}^{\dagger}(\Gamma) \right],$$

$$\underline{\mathbf{R}}_{o}(\Gamma) = n_{o}(\Gamma) [n_{o}(\Gamma) - n_{c}(\Gamma)]^{-1} \sum_{\substack{i \in \text{losed}}} \left[ \underline{\mathbf{T}}_{i}(\Gamma) + \underline{\mathbf{T}}_{i}^{\dagger}(\Gamma) \right].$$

$$(2.7)$$

 $\underline{T}_i(\Gamma)$  is that segment of the matrix  $\underline{SC}(i) \underline{C}^{\dagger}(i) \underline{Q}_{\sigma}^{\dagger}$ associated with MO's belonging to  $\Gamma$ . By this means and by taking explicit note of block diagonal forms, Eqs. (2.6) may be written

$$(\underline{\mathbf{F}}_{c} + \underline{\mathbf{R}}_{o}) \underline{\mathbf{C}}_{c} = \underline{\epsilon}_{c} \underline{\mathbf{SC}}_{c} ,$$

$$(\underline{\mathbf{F}}_{o} + \underline{\mathbf{R}}_{c}) \underline{\mathbf{C}}_{o} = \underline{\epsilon}_{o} \underline{\mathbf{SC}}_{o} ,$$

$$(2.8)$$

with one pair of equations for each MO symmetry species. Here  $\underline{\epsilon}_c$ ,  $\underline{\epsilon}_o$  are diagonal eigenvalue matrices, and  $\underline{C}_o$  and  $\underline{C}_o$  are the eigenvectors of the closed and open MO's, respectively, belonging to  $\Gamma$ . If no open shells occur in a symmetry species,  $R_o$  vanishes and the second equation is ignored.

TABLE II. Coefficients in the  $e_{\mathbf{f}} - t_{2\mathbf{f}}$  electrostatic interaction energy matrix.<sup>a</sup>  $G(x, y; S\Gamma) = \alpha_1 \overline{J}(t, t) + \beta_1 \overline{K}(t, t) + \gamma_1 K(t, t) + \alpha_2 \overline{J}(e, t) + \beta_2 \overline{K}(e, t) + \gamma_2 J(e, t) + \delta_2 K(e, t) + \alpha_2 \overline{J}(e, t) + \beta_2 \overline{K}(e, t) + \alpha_2 \overline{J}(e, t) + \alpha_2$ 

State	Config	$\alpha_1$	$\beta_1$	$\gamma_1$	α2	β2	$\gamma_2$	δ2	$\alpha_3$	$\beta_3$	Off-diagonal element
${}^{3}A_{2g}$	$t^6 e^2$	18	- 9	0	12	- 6	0	0	2	- 2	•••
${}^{3}T_{2g}$	$t^5e^3$	12	- 6	0	14	-7	1	-1	4	-2	• •
<sup>3</sup> T.	$t^5e^3$	12	- 6	0	16	- 9	-1	+1	4	-2	0.150
- 18	$t^4e^4$	15 2	- <u>9</u>	0	16	- 8	0	0	8	-4	2 (V3) i
17	$t^5e^3$	12	- 6	0	14	-7	1	1	4	- 2	0.
1 2 <b>g</b>	$t^4e^4$	15 2	<del>9</del> . 2	2	16	- 8	0	0	8	-4 (	21
${}^{1}T_{1g}$	$t^5 e^3$	12	- 6	0	<b>16</b>	- 5	-1	-1	4	- 2	•••
7	$t^6 e^2$	18	- 9	0	12	- 6	0	0	1	0)	TTT IN THE MI
1Eg	$t^4e^4$	6	0	- 3	16	- 8	0	0	8	-4	$\sqrt{3} \left[ \mathbf{K}(et) - \mathbf{K}(et) \right]$
1 1	$t^6 e^2$	18	- 9	0	12	- 6	0	0	0	2	TO FLAN
~1g	$t^4e^4$	6	0	0	18	- 8	0	0	8	-4	V 0 A ( <i>et</i> )

<sup>a</sup>See Table I for definitions of the integrals.

The original formulation of Roothaan<sup>21</sup> applied to only a limited number of systems containing only one open shell. However, it is fairly easy to generalize this method to cover all the states of interest in the octahedral transition complexes.<sup>15</sup>

### D. Unrestricted Open-Shell SCF

The spin-polarized (SP) procedure, a type of unrestricted SCF procedure in which electrons of opposite spin are allowed to occupy different orbitals, has also been discussed extensively.<sup>25</sup> For systems containing only filled and half-filled shells, the unrestricted Hartree-Fock-Roothaan equations analogous to (2.6) are given by

$$\underline{\mathbf{F}}, \underline{\mathbf{C}}, (i) = \underline{\boldsymbol{\epsilon}}, \underline{\mathbf{SC}}, (i) ,$$
  
$$\mathbf{F}, \mathbf{C}, (i) = \underline{\boldsymbol{\epsilon}}, \mathbf{SC}, (i) ,$$
  
$$(2.9)$$

where the arrows label electron spin and where

$$\underline{\mathbf{F}}_{t} = \underline{\mathbf{H}}^{0} + \underline{\mathbf{D}}_{t} \,\mathfrak{I} - \underline{\mathbf{D}}_{t} \,\mathfrak{K} ,$$
$$\underline{\mathbf{F}}_{*} = \underline{\mathbf{H}}^{0} + \underline{\mathbf{D}}_{t} \,\mathfrak{I} - \underline{\mathbf{D}}_{*} \,\mathfrak{K} .$$

The spin density matrices  $\underline{D}$ , and  $\underline{D}_{i}$  are defined as in Eqs. (2.4) but with elements

$$\left\|D_{s}(\Gamma)\right\|_{kl} = \sum_{\text{occupied}} C_{ki\Gamma s}^{*} C_{li\Gamma s} \left(2 - \delta_{kl}\right) n_{s}(i\Gamma) ,$$

with  $s = \mathbf{1}$  or  $\mathbf{1}$ , respectively.

Off-diagonal multipliers between orbitals of opposite spin vanish directly so that only unitary transformations are required to eliminate all offdiagonal multipliers. However, this transformation is different from that used in the Roothaan spinrestricted formulation discussed above, so that the one-electron MO's and energies appear different even when a minimal basis is used, although both wave functions give the same values for physical observables.

#### E. Bases and Integral Approximations

Of the various possible analytical bases used in molecular Hartree-Fock calculations, multicenter Slater-type bases have proved to be accurate and reliable.<sup>14</sup> In Table III, we give the STO exponents used in these calculations. The D basis for the cluster includes a double- $\zeta$  function to represent the critical 3d orbitals.<sup>26</sup> Calculations designated DSP include the metal-ion 4s and 4p orbitals, which, because of their large radial extent, supplement the ligand orbitals in the region between the metal and ligand ions. Calculations labeled DDSP together include the  $3d_i$  functions, which are the 3d STO's with the larger orbital exponent  $[\zeta(3d_i) = 5.75]$  and which permit a limited radial 3ddistortion. The double- $\zeta$  3d function closely approximates the more accurate Hartree-Fock freeion function computed by Watson.<sup>26</sup>

Independent elements of the overlap matrices  $S(\Gamma)$  are included in Table IV.

The selection of a basis for the cluster is arbitrary. It is well known that a small multicenter Slater-type basis in which all the parameters are varied provides a good approximation to the Hartree-Fock solution. However, it is not yet practical to vary the exponential factors because of the large amount of computer time required. How good the present choice is can only be indicated by the accuracy of the results of the calculations.

Of the required integrals over the basis which appear in the Fock matrices of Eqs. (2.8) and (2.9), all one- and two-center integrals were obtained exactly. Because of the large amount of computer time required to evaluate all three- and four-center integrals, these were uniformly approximated. All integrals containing products of two AO's on different fluoride ions are small and were uniformly neglected. This approximation assumes that there is negligible interpenetration of adjacent fluoride AO's and has been used by previous authors.  $^{4, 5-8}$  Second. all remaining three-center integrals were approximated using the Mulliken approximation.<sup>27</sup> In the REG calculations, using the regular Mulliken approximation, the charge distribution on two of the three centers is expanded according to

$$\chi_a \chi_b \approx \frac{1}{2} \langle \chi_a | \chi_b \rangle \left( \chi_a^2 + \chi_b^2 \right) , \qquad (2.10)$$

thus reducing the calculation of three-center integrals to the evaluation of overlap integrals  $\langle \chi_a | \chi_b \rangle$ and a sum of two-center integrals. The MOD calculations are the same, except that each overlap integral was replaced in this approximation by a factor determined from relationships among exactly computed two-center two-electron integrals. In the 3CEN calculations, the overlap integral was replaced by a factor which made the one-electron three-cen-

TABLE III. Orbital exponents of the Ni<sup>+2</sup> and F<sup>-</sup> STO

	04515.	
Center	$\chi(nl)$	۲ (nl)
Ni <sup>+2</sup>	1s	27,37
	2s	10.60
	3 <i>s</i>	4.90
	4s	1.70
	2p	11.50
	3p	4.60
	4p	1.34
	$3d_i$	5.75
	$3d_o$	2.40
F-	1s	8.7
	2s	2,425
	2p	2.425

<sup>a</sup>The "double- $\zeta$ " 3*d* basis function is 0.59589  $\chi(3d_i)$ +0.54969  $\chi(3d_i)$ .

<sup>b</sup>The Ni-F distance used is 3.79 a.u. = 2.006 Å.

 $3d_i$ 

3s

3p

0.00219

0.00457

	INDLE IV. OVEIIA	p matrix eren	
Metal	Ligand	symmetry-a	dapted orbital
	2s	2 <b>p</b> σ	$2p\pi$
4 <i>p</i>	0.51907	0.20065	0.18878
4s	0.47608	0.31475	•••
3d	0.08780	0.10333	0.04300

0.00843

0.019430.01503

TABLE IV. Overlap matrix elements.<sup>a</sup>

0.00509

0.03140

0.02400

 $^{a}S(3d, 3d_{i}) = 0.88349.$ 

ter integrals exact; the same factors were then used in the two-electron integrals. The nature and accuracy of the integral and the frozen core approximations have been investigated in greater detail over a range of internuclear distances in connection with similar calculations on other clusters.<sup>14,15</sup> Detailed results and interpretations will be presented subsequently. In brief, however, we note the following conclusions. Essentially the same results for fluoride clusters are produced by using the three integral approximations and also, in the case of  $TiF_6^{-3}$ , by using more accurate three-center integrals. Likewise, we find that the neglect of twocenter overlap distributions between ligand AO's has little consequence for fluoride clusters at their equilibrium geometry. Since valence AO's used in all three bases are taken orthogonal to all orbitals on the same center, no problems occur in that respect.

With no further approximations, we solve the SCF pseudoeigenvalue equations (2.8) and (2.9) for the ground and various excited states iteratively to a convergence of  $10^{-4}$  in the vector coefficients. Convergence was usually easily reached beginning with a set of ionic trial vectors.

# III. OPTICAL TRANSITION ENERGIES AND WAVE FUNCTIONS

The electronic absorption spectrum of KNiF<sub>3</sub> has been analyzed.<sup>16</sup> Its rich detail provides considerable information which must be explained by any theory proposing to describe the effects of covalency in these compounds. Five absorption bands are observed and have been assigned to transitions from the ground electronic state  ${}^{3}A_{2g}$  to  ${}^{3}T_{2g}$ ,  $a{}^{3}T_{1g}$ ,  ${}^{1}E_{g}$ , and  $b{}^{3}T_{1g}$  in order of increasing energy. The  ${}^{3}A_{2g} \rightarrow {}^{3}T_{2g}$  transition is magnetic dipole allowed. The no-phonon line accompanied by a diffuse phonon sideband is observed at low temperatures. The spin-orbit split transitions have been resolved<sup>18</sup> and the spin-orbit coupling parameter has a value in the range of  $305-320 \text{ cm}^{-1}$ . The other four bands are forced-electric dipole in character, and the spin-allowed  ${}^{3}T_{1g}$  bands reveal sharp vibronic progressions at low temperatures which have been assigned to lattice vibrations.<sup>18</sup>

Since the ground- and excited-state energies were computed at the same internuclear distance, the calculated transition energies should be compared to the vertical transition, corresponding to the maximum in the absorption band at low temperatures. Also, it has been pointed out that this corresponds only to a rough average over the spin-orbit components. A more accurate value of the vertical 10Dq, in which there was compensation for spin-orbit splitting, is around 7400 cm<sup>-1</sup>.<sup>18</sup>

## A. Computed Transition Energies

In Table V, we show a comparison of our calculated results and the experimental energy levels. They are seen to be accurate to within 10-20% for the five observed transitions. The largest deviations occur for the higher-energy transitions which are computed to be too large.

The energies of the  $({}^{3}T_{2g}, {}^{3}T_{1g})$  and  $({}^{1}T_{2g}, {}^{1}T_{1g})$ pairs were obtained from the SCF solutions for the average states  $T_{av} = \frac{1}{2} (T_{2g} + T_{1g})$  which were then separated by adding the appropriate electrostatic integrals. The latter unaveraged Coulomb and exchange integrals J(et) and K(et) were assumed to be reduced from their free-ion values by the same ratio as their averaged counterparts,  $\overline{J}(et)$  and  $\overline{K}(et)$ . Since these are very close to their free-ion values, this is probably a good approximation. Energies for the other singlet states were computed from the  $t^5 e^{3} T_{av}$  SCF results. All excited-state energies are given relative to the independent SCF total energy of the  ${}^{3}A_{2g}$  ground state.

The calculated  ${}^{3}T_{1g}$ ,  ${}^{1}E_{g}$ ,  ${}^{1}T_{2g}$ ,  ${}^{1}A_{1g}$  term energies contain the usual *d-d* configuration interaction. <sup>28</sup> The strong field energy expressions neglecting spinorbit interactions have been given by Tanabe and Sugano in terms of the Racah parameters. <sup>22</sup> In terms of our more general  $O_{h}$  electrostatic matrix elements, they are the eigenvalues of the matrix

$$\begin{array}{c|c} E(t^5e^3;\;S\Gamma) - E(t^6e^2;\;{}^3A_{2g}) & E'(S\Gamma) \\ \\ E'(S\Gamma) & E(t^4e^4;\;S\Gamma) - E(t^6e^2;\;{}^3A_{2g}) \end{array} \right|,$$

where  $E(t^{*}e^{y}; S\Gamma)$  are the single-configuration energies and  $E'(S\Gamma)$  is the off-diagonal matrix element given in Table II. In Table V, calculations

(3.1)

3

		Without CI			Wi	th CI		
		Ene	rgies	Coeffi	cients <sup>2</sup>	Ener	gies	
Tran	sition	$\mathbf{D}$	$\mathbf{DSP}$	D	$\mathbf{DSP}$	D	$\mathbf{DSP}$	Expt <sup>b</sup>
$t^6e^2 t^5e^3$	${}^{3}A_{2g}$ ${}^{3}T_{2g}$	7 977	7 210	•••	• • •	7 977	7 210	7 250
	$a^3T_{\mathbf{ig}}$	23 340	22980	0.6278 - 0.7784	0.6020	13740	12480	12530
	$a^1 E_g$	19360	20 0 50	$\left\{ \begin{array}{c} 0.9754 \\ 0.2206 \end{array} \right.$	0.9677	18 380	18870	15440
	$a^1 T_{2g}$	27 450	27 390	<pre>{ 0.9355</pre>	0.9208	25 790	25 460	20 9 20
	$b^3 T_{ig}$	19 990	18 450	$\left\{\begin{array}{c} 0.7784\\ 0.6278\end{array}\right.$	$0.7985 \\ 0.6020 \\ \end{array}$	29 590	28 950	23 810
	$a^{1}A_{1g}$	37 990	39 870	$\left\{ egin{array}{c} 0.8925 \\ -0.4510 \end{array}  ight\}$	$0.8755 \\ -0.4832 \\ \end{array}$	29 200	29 8 20	
$t^5e^3$	${}^{1}T_{1g}$	32600	32640	• • •	•••	32600	32640	
	$b^1 E_g$	37 470	36 200	$\left\{ egin{array}{c} 0.2206 \\ -0.9754 \end{array}  ight.$	$0.2520 \\ -0.9677 $	38 440	37 380	
	$b^1T_{2g}$	37 470	36 200	$\left\{ \begin{array}{c} 0.3534 \\ 0.9355 \end{array} \right.$	0.3899 0.9208	39 1 30	38130	
	$b^1A_{1s}$	63 650	62810	0.4510	0.4832 0.8755	72440	72860	

TABLE V. Best calculated spectral transition energies (in cm<sup>-1</sup>) for  $NiF_6^{-4}$  (REG).

<sup>a</sup>The upper number in each pair of entries is the coefficient of the  $t^5e^3$  configuration, and the lower, of the  $t^4e^4$  configuration.

<sup>b</sup>Reference 16.

The most prominent effect of configuration interaction is in lowering  $a^{3}T_{1g}$  below  $a^{1}E_{g}$ , in agreement with experiment. The  $a^{3}T_{1g}$  state is largely  $t^{4}e^{4}$  in character. This would explain why it has a broader band than the  $b^{3}T_{1g}$  band which, although it is higher in energy, is mainly  $t^{5}e^{3}$ . Extending the configuration interaction calculation by including chargetransfer configurations undoubtedly would improve agreement with experiment, particularly for the higher-lying states.

In Table VI, we compare the computed spectra using various approximations discussed earlier, looking first at the spectra computed from the  ${}^{3}T_{av}$ SCF results. The first row, which gives the posi-

TABLE VI.	Calculated $d-d$ transition energies (i	in eV) as a function of basis,	integral approximation,	and reference
		SCE state a		

	From ${}^{3}A_{2g}$	From ${}^{1}T_{av}$	DEC	DEC	DEC	From ${}^{3}T_{av}$	MOD	3CEN	3CEN
State	D	neg D	D	DSP	DDSP	D	DSP	D	DSP
.6 2 3 4				0.002	0 010	0.010	0.002	0.011	0 0.02
$t^{\circ}e^{z} A_{2g}$	0,000	0.090	0.010	0.003	0.019	0.010	0.003	0.011	0.005
$t^5 e^{3} T_{2r}$	1.787	1.024	0.989	0.894	1.022	1.000	0.873	1.054	0.916
$a^3 \tilde{T}_1$	2.909	1.719	1.703	1.547	1.749	1.720	1.512	1.808	1.583
$a^1E_a$	, 2.334	2.279	2.263	2,339	2.329	2,264	2.328	2.261	2.335
$a^1T_2$	4.058	3.197	3.206	3.159	3.248	3.216	3.128	3.272	3.179
$b^3T$	4,833	3.659	3.668	3.589	3.702	3,680	3.553	3.754	3.613
$a^{1}A$	3,938	3,621	3.634	3.707	3.716	3,638	3.686	3.650	3.708
$t^5 e^{3} T_{1a}$	4.842	4.041	4.061	4.054	4.107	4.069	4.023	4.117	4.068
$b^1 E$	. 6.253	4.766	4,779	4.638	4.785	4,793	4.591	4.900	4.674
$b^1T$	6.319	4.852	4.867	4.732	4.871	4.880	4.684	4.985	4.767
$b^1A$	10.235	8.980	9.052	9.060	9.044	9.056	9.005	9.139	9.076

<sup>a</sup>Note that the energies calculated for the  ${}^{3}A_{2g}$  state represent energies *above* the SCF energy of that state. These quantities reflect the (slight) differences among the SCF MO's for the ground and excited states.

TABLE VII. Restricted HF MO coefficients and orbital energies for the  ${}^{3}A_{2g}$  and  ${}^{3}T_{av}$  states of MiF<sub>6</sub><sup>-4</sup> (REG).

State				Symme	try-orbital coef	ficients	
	MO	ε (a.u.)	nl(Ni)	$3d_i$ (Ni)	$2s(\mathbf{F})$	$2p\sigma(\mathbf{F})$	$2p\pi(\mathbf{F})$
<sup>3</sup> A.	101-	-0.42217		(a) D Basis	0.9999	0 0147	····
2g	$2a_1$	0.53212			-0.0147	0 9999	
	$1t_{1,i}$	-0.42202			0,9999	0.0143	0 0000
	$2t_{1,i}$	0.53324			-0.0143	0.9999	0.0053
	$3t_{1.1}$	0.55182			0.0000	-0.0053	1 0000
	1e,	-0.42370	0.0279		0.9970	0.0174	1.0000
	2e,	0.49473	0.1917		-0.0420	0.9617	
	3 <i>e</i> ,	0.26335	0.9906		-0.1130	-0.2928	
	$1t_{2r}$	0.55964	-0.1642				0.9953
	$2t_{2g}$	0.26599	0.9874				0.1216
	$1t_{1r}$	0.55184					1,0000
	$1t_{2u}$	0.55183					1.0000
<sup>3</sup> T.,	1.0.	-0.42071			0 0000	0.0154	
av	2a.	0.55222			-0.0154	0.0134	
	1 <i>t</i> .	- 0. 42067			0.0134	0.0159	-0.0000
	$-v_{1u}$	0.53285			0.0150	0.0152	0.0014
	$\frac{2v_1u}{3t_1}$	0.55397			~ 0.0152	0.9999	1 0000
	$1_{\varphi}$	-0.42248	0 0.900		0.0001	-0.0014	1.0000
	20	0.48374	0.0233		0.9967	0.0193	
	30	0 32075	0.2101		- 0.0405	0.9560	
	1 to	0.54261	0.0007		-0.1110	-0.3106	0 9907
	21	0.26722	0.0001				-0 1492
	1.	0.55391	0.0000				1 0000
	$1t_{1,i}$	0.55397					1.0000
·				(b) DSP Basis			
${}^{3}\!A_{2g}$	$1a_{1g}$	-0.50756	0.0511	(b) DD1 Du515	0.9748	-0.0208	*
	$2a_{1g}$	0.35913	0.4701		-0.2357	0.7745	
	$1t_{1u}$	-0.50893	0.0282		0.9851	-0.0108	-0.0017
	$2t_{1u}$	0.39434	0.4011		-0.2149	0.5495	0.6298
	$3t_{1u}$	0.46995	0.0568		-0.0242	0.7232	-0.6877
	1eg	-0.50853	0.0197		0.9980	-0.0150	
	$2e_{g}$	0.45883	0.1379		-0.0020	0.9763	
	3e <sub>g</sub>	0.39274	0.9997		-0.1090	-0.2396	
	$1t_{2g}$	0.39326	0.8390				0.5093
	$2t_{2g}$	0.49874	-0.5458				0.8617
	$1t_{1g}$	0.46088					1.0000
	1t <sub>2u</sub>	0.46167					1.0000
$^{3}T_{av}$	$1a_{1e}$	-0.50648	0.0520		0,9743	- 0, 0206	
	$2a_{1r}$	0.35919	0.4710		- 0, 2369	0.7738	
	$1_{t_{1,i}}$	-0,50790	0.0287		0.9848	-0.0105	-0.0017
	$2t_{1,i}$	0.39499	0.4026		-0.2161	0.5532	0.6959
	$3t_{1}$	0.47064	0.0522		-0.0221	0.7208	-0.6006
	1e.,	-0.50754	0.0206		0.9979	-0.0141	
	2e,	0.45395	0.1459	•	-0.0039	0.0744	
	3e,	0.45415	0.9985		-0.1096	-0 2473	
	$1t_{2a}$	0.46311	0.0641		0.2000	V. 4110	0 0050
	2t2,	0.41784	0.9989				-0 1020
	$1t_{1e}$	0.46241			4		1 0000
	$1t_{2n}$	0.46324					1 0000
							<b>T</b> 0000

tion of the ground state computed from the particular SCF results, indicates the small amount of reorganization energy in these cases. The threecenter Mulliken integral approximations are compared and no significant difference is found for the DSP basis. For the smallest basis D the transition energies are raised in going from the REG to the MOD to the 3CEN approximation. Also the relative location of the  $a^1A_{1g}$  state is shifted. We have no explanation for these effects. The more flexible DSP basis gives consistently better agreement with experiment, but the further inclusion of the  $3d_i$  functions raises the energy of the  ${}^3T_{2g}$  and  $a^3T_{1g}$  states, by approximately 0.1 and 0.2 eV, respectively.

Table VI also exhibits spectra computed from the  $t^5 e^{3\,1}T_{av}$  state and the  ${}^3A_{2g}$  ground-state SCF results.

				Symmetr	y-orbital coef	ficients	
State	MO	€ (a.u.)	nl(Ni)	$3d_i$ (Ni)	2s(F)	$2p\sigma(\mathbf{F})$ ·	2 <i>pπ</i> (F)
${}^{3}A_{2g}$	$1a_{1g}$	-0.50909	0.0500	(c) DDSP Basis	0.9753	-0.0229	
	$2a_{1g}$	0.35953	0.4695		-0.2328	0.7749	
	$1t_{1u}$	-0.51036	0.0278		0.9853	-0.0133	-0.0016
	$2t_{1u}$	0.39341	0.3993		-0.2123	0.5389	0.6404
	3t <sub>1u</sub>	0.46962	0.0659		-0.0273	0.7300	-0.6793
	$1e_{g}$	-0.51040	0.0314	-0.0106	0.9970	-0.0172	
	$2e_{g}$	0.44855	0.2469	-0.0905	-0.0111	0.9608	
	$3e_g$	0,35373	0.9783	0.0191	-0.1097	-0.2647	
	$1t_{2g}$	0.48824	-0.4300	-0.0169			0.9142
	$2t_{2g}$	0.36420	0.9499	-0.0606			0.4035
	$1t_{1g}$	0.45862					1.0000
	$1t_{2u}$	0.45948					1.0000
${}^{3}T_{av}$	$1a_{1g}$	-0.50782	0.0511		0.9747	-0.0225	
	$2a_{1g}$	0.35919	0.4708		-0.2345	0.7740	
	$1t_{1u}$	-0.50916	0.0284		0.9850	-0.0127	-0.0017
	$2t_{1u}$	0.39403	0.4016		-0.2141	0.5456	0.6329
	$3t_{1u}$	0.47024	0.0590		-0.0241	0.7258	-0.6847
	$1e_g$	-0.50921	0.0319	-0.0100	0.9969	-0.0160	
	$2e_{g}$	0.44258	0.2501	-0.0845	-0.0130	0.9591	
	$3e_{g}$	0.41838	0.9951	-0.0013	-0.1119	-0.2751	
	$1t_{2g}$	0.38249	0.1227	-0.0482			0.9914
	$2t_{2g}$	0.45790	1.0337	-0.0409			-0.1247
	$1t_{1g}$	0.46056					1.0000
	$1t_{2u}$	0,46147					1.0000

TABLE VII (continued).

The spectrum computed from the  ${}^{1}T_{av}$  state is in essential agreement with that computed from the  ${}^{3}T_{av}$  and from the separate SCF calculations. There is found only a slightly larger electronic reorganization energy. However, the spectrum computed from the ground state is not in agreement with the others. The reason for this can be seen upon comparing the vector coefficients obtained for the  ${}^{3}A_{2g}$ and the  ${}^{3}T_{av}$  states as given in Table VII. Although the  $a_{1g}$ ,  $t_{1u}$ , and  $e_g$  MO's have essentially the same character whether computed from the ground- or excited-state SCF's, the closed-shell  $t_{2g}$  MO's are apparently more mixed or "covalent" in the groundstate  $t^6$  calculation. More will be said about this in Sec. IV. This result forces us to reject any spectral calculation based on a closed-shell or. pseudo-closed-shell Hamiltonian, although, of course, the total energy is significant.

# **B.** Wave Functions

Table VII displays the vector coefficients and eigenvalues for the three bases used in our calculations; only those for the REG Mulliken approximation are given, since no significant difference is found in either of the modified Mulliken approximations.

Because of the physical importance of the  $3e_g$  and  $2t_{2g}$  MO's, we briefly discuss the character of these orbitals. From Table VII the so-called covalency or ligand mixing parameters for the (D, DSP, DDSP)

bases are (-0.113, -0.109, -0.110) and (-0.293, -0.110)-0.240, and -0.265) for the fluoride 2s and  $2p\sigma$ symmetry-adapted functions, respectively. These values can be compared with the theoretical values obtained by Offenhartz<sup>8</sup> using Sugano and Shulman's basis, 4 - 0.105 and -0.222, respectively, and also with experimentally determined parameters by Shulman and Knox,  $^{29}$  namely, -0.116 and -0.337, respectively. These comparisons are only qualitative, since the two theoretical sets are derived from different fluoride bases, and the "experimental" values incorporate a number of assumptions. Nevertheless, it is noted that the 2s coefficients are very similar and that our 2p coefficients are intermediate between those of Offenhartz and of Shulman and Knox and differ less among themselves than with the other two. In a more reliable test of covalency, we give the results of directly computing the hyperfine interactions from our wave function in Sec. VI.

In contrast to the  $3e_g$  orbitals, the  $2t_{2g}$  open-shell orbitals participate in a much smaller  $\pi$  bonding. From the  ${}^{3}T_{av}$  SCF results, the fluoride  $2p\pi$  symmetry-adapted orbital coefficients are (-0.142, -0.107, -0.125) for the three bases. We emphasize that this coefficient has physical meaning only when the  $t_{2g}$  orbitals are not fully occupied as in the excited state  ${}^{3}T_{2g}$ .

Whereas our results indicate that the 3dt orbitals participate in less covalent bonding or electron de-

localization, they are better described as radially slightly expanded. From Table VII, the coefficients of the  $3d_1$  STO's are -0.0409 and -0.0013 for the  $2t_{2g}$  and  $3e_g$  MO's, respectively, of the  ${}^{3}T_{av}$  state. These very small negative coefficients indicate only a slight expansion from the free-ion radial function. This result is important because properties which depend on the shape of the 3d orbitals may be relatively insensitive to a small electron delocalization and vice versa. For instance, spin-orbit coupling and neutron magnetic scattering are strongly affected by the shape of the 3d orbitals. Recently, Freeman and Ellis<sup>30</sup> and Soules and Richardson<sup>31</sup> in independent unrestricted Hartree-Fock calculations have shown that an expansion of the 3dt orbitals in the cluster  $MnF_6^{-4} (2t_{2e})^3 (3e_e)^2$  can explain the observed contraction of the neutron form factor. This effect is not observed for the Ni salts, which do not have unpaired  $t_{2g}$  electrons. Also, the spin-orbit coupling parameter is only slightly reduced from its freeion value  $324 \text{ cm}^{-1}$ .

Of interest in discussing the wave function is the Mulliken gross population analysis which divides the electronic charge arbitrarily into atomic basis functions. This is shown in Table VIII. Of particular importance is the charge transferred to the vacant metal-ion 3d orbitals. In going from the bases labeled D to DSP to DDSP, this charge at first decreases when electronic reorganization is allowed in the 4s and 4p orbitals but it increases again when additional variational freedom is given to the 3d function by the inclusion of the  $3d_i$ . There is a greater differential increase in charge transferred to the 3de orbitals than to the 3dt orbitals in the  $t^5e^{3}T_{av}$  state, which has one vacant orbital in each MO symmetry. This is a result of greater  $\sigma$  than  $\pi$  bonding. Hence, in making the transition  $t^6e^{2}A_{2g} \rightarrow t^5e^{3}T_{2g}$ , there is a decrease in the charge assigned to the metal-ion 3d orbitals, which amounts to between 0.025 and 0.037 electrons.

# IV. WHAT IS 10Dq?

It has been a major goal of all previous theoretical studies of transition-metal compounds to calculate the spectral splitting parameter 10Dq. For NiF<sub>6</sub><sup>-4</sup>, 10Dq may be defined as the energy difference be-tween *N*-electron states

$$10Dq = E({}^{3}T_{2g}) - E({}^{3}A_{2g}) \qquad (4.1)$$

Thus we have obtained this quantity in Table V from solutions of the appropriate SCF equations.

# A. Contributions to 10Dq

The many-electron approach of Eq. (4.1) is not in the spirit of semiempirical ligand field theory, which views 10Dq as an orbital energy difference. Also it offers no conceptual guidelines to the covalency factors contributing to the magnitude of 10Dq.

To explore this question we present three distinct analyses. The first may be viewed as a sequence of refinements passing from the original crystalfield model to the SCF result. The others are based

	Symmetry		_				
	orbital		${}^{3}A_{2g}$			${}^{3}T_{av}$	
		D	DSP	DDSP	Ď	DSP	DDSP
$a_{1g}$	4s		0.618	0.617		0.620	0.620
	2s	2.000	1,953	1,953	2.000	1.953	1,953
	2pσ	2.000	1.429	1.430	2.000	1.427	1.428
$t_{1u}$	4p		1.359	1.359		1.365	1,365
	2s	6.000	5.917	5.915	6.000	5.916	5.914
	$2p\sigma$	6.000	5.265	5.258	6.000	5.268	5,262
	$2p\pi$	6.000	5.459	5.468	6.000	5.452	5,458
e <sub>e</sub>	3 <i>d</i>	2,117	2.070	2.134	3.068	3.038	3.087
	2s	3.995	3.995	3.991	3.997	3.998	3.994
	$2p\sigma$	3.888	3.935	3.875	3.935	3.964	3,919
$t_{2g}$	3d	6.000	6.000	6.011	5.014	5.007	5.021
	$2p\pi$	6.000	6.000	5.989	5.986	5,993	5.978
$t_{1g}$	$2p\pi$	6.000	6.000	6.000	6.000	6.000	6.000
t <sub>2u</sub>	2pπ	6.000	6.000	6,000	6.000	6.000	6.000
Total	2s	11,995	11.865	11,859	11.997	11.867	11.861
Total	$2p\sigma$	11.888	10.629	10.563	11.935	10.659	10.609
Total	$2p\pi$	24.000	23.459	23,457	24.000	23.445	23.436
Total	3 <i>d</i>	8.117	8.070	8.145	8.082	8.045	8.108

TABLE VIII. Gross atomic population analysis (regular overlaps).



FIG. 1. Energies of the  ${}^{3}A_{2g}$  and  ${}^{3}T_{2g}$  states at successive stages of the calculations. Transition energies indicated in the inset refer to the same origin.

upon partitionings of the total transition energy, the second using the same set of SCF MO's for both states, and the third using the two independent SCF results.

Based on the REG and DSP calculations, Fig. 1 displays successive changes in the ground-state total energy and in the transition energy, as follows. At the left, column (a) corresponds to the pure ionic crystal-field model, in which each fluoride ion is assumed to interact as a point charge with the metal and with the other flourides.<sup>32</sup> At column (b) we allow for the actual spacial distribution of the fluoride electrons in evaluating the electrostatic perturbation of the metal by the fluorides (Kleiner's correction<sup>33</sup>); there is a substantial reduction in 10Dq even from the too small value at column (a), although here the value remains positive. Retaining a formally ionic structure but incorporating the exchange interactions between metal and ligands leads to a sizable lowering of total energies and to an *inversion* of the levels at column (c). This situation arises because there is a greater number of the larger exchange interactions with the  $3de_{e}$  orbitals in the excited state. One unsatisfactory feature of column (c) is that the metal and ligand orbitals are not mutually orthogonal. Schmidt orthogonalizing the 3dAO's to the appropriate ligand symmetry-adapted functions and evaluating the expectation value of the Hamiltonian over  ${}^{3}A_{2g}$  and  ${}^{3}T_{2g}$  wave functions constructed from these functions leads to column (d). The orthogonality contraint raises the total energy of  ${}^{3}T_{2g}$  by more than  ${}^{3}A_{2g}$ , and restores them to proper order. Again this effect arises because

of the greater (overlap) interaction of the  $3de_s$  AO's with the ligand orbitals. Orthogonality alone thus yields a transition energy more than half the experimental value.<sup>34</sup>

Based upon the Schmidt-orthogonalized LCAO coefficients just described, the first iteration of the SCF process may be carried out. From the wave function constructed using these LCAO coefficients, the energies at column (e) may be calculated. Comparatively little net stabilization is found from 3d bonding alone. The large decrease in total energy comes from the added participation of the 4s and 4p orbitals. (See Fig. 1, inset.) These orbitals have very little effect on the computed optical transition energies however. Significantly, the transition energy 10Dq has risen to near the experimental value with the final SCF results shown at column (f). We have arrived at the same conclusion as Sugano and Shulman<sup>4</sup> reached earlier, namely, that the major contributions to 10Dq must arise from factors identified with covalency. [Our results column (e), however, should not be directly compared with theirs since, among other things, they used a one-electron Hamiltonian constructed from strictly ionic (nonorthogonalized) orbitals.

The other partitionings investigated here are based upon separating the *finally* computed transition energy  $\Delta E$  according to

$$\Delta E = E({}^{3}T_{2g}) - E({}^{3}A_{2g})$$
$$= \Delta H' + \Delta G$$
(4.2)

 $\frac{1}{3}T_{av}$ <sup>3</sup>A<sub>2g</sub> <sup>1</sup>T<sub>av</sub> REG REG REG MOD MOD 3CEN 3CEN REG REG Free DSPion D D D DSP DDSP D DSP D Integral 0.97174 0.98085 0.97126 0.98031 0.95821  $\overline{J}(tt)$ 0.98788 0.95447 0.96833 0.97292 0.98215 0.36810 0.37165 0.36 829 0.37186 0.36875 0.37234 0.36305  $\overline{K}(tt)$ 0.37458 0.36137 0.36695 0.04039 0.03994 0.04042 K(tt)0.04076 0.03889 0.03976 0.04000 0.04049 0.03928 0.03991  $\overline{J}(et)$ 0.95598 0.93402 0.93559 0.95641 0.97287 0.93299 0.92220 0.93627 0.95847 0.93934  $\overline{K}(et)$ 0.03305 0.03321 0.03301 0.03399 0.03294 0.03401 0.03281 0.03237 0.03411 0.03468 J(et) 0.94855 0.90967 0.89914 0.91287 0.934510.91585 0.91220 0.93208 0.91067 0.93250 0.04591 0.04449 K(et) 0.04684 0.04432 0.043720.04464  $0.04\,607$ 0.044860.04459 0.04593 0.93301 0.96909 J(ee) 0.94752 0.91288 0.93634 0.97198 0.95701 0.93653 0.96873 0.99539  $\overline{K}(ee)$ 0.49889 0.51108 0.523320.51198 0.52984 0.510020.53003 0.544530.518060.53164 0.01016 0.01039 i 0.01053 0.01001 0.01005 - 0.010190.01041 0.01012 0.01017 0.01038

TABLE IX. Values of the ten independent  $t_{2g} - e_g$  electronic repulsion integrals (a.u.).

and serve also to illustrate the methodology for computing transition energies from one given SCF result.  $\Delta H'$  will be the net transition energy minus changes in interelectronic Coulomb and exchange energies whose further definition depends upon the adopted scheme of interpretation.

In pure crystal-field theory, which assumes that the transition involves changes only in the occupations of otherwise fixed 3*d* atomic orbitals,  $\Delta G \equiv 0$ and  $\Delta H' \equiv 10Dq$  for this case.

In the more general theory, however, the transition occurs between the  $2t_{2g}$  and  $3e_g$  antibonding MO's, which have different radial behavior. The latter are considerably more delocalized and hence the total electrostatic interaction *among these largely* 3d MO's will be lessened in the excited state. This is indicated in Table IX, from which one may observe the greater reduction from freeion values in integrals involving the  $3e_g$  MO. The Coulomb J integrals are very large (~27 eV) and even small changes in them are significant compared to 10Dq.

These ideas may be examined in the second scheme of analysis, in which a fixed set of MO's is selected. From Eq. (2.8) the orbital energies calculated for a given state are

$$\epsilon(i\Gamma) = C^{\dagger}(i\Gamma)F(\Gamma)C(i\Gamma) . \qquad (4.3)$$

At convergence the coupling operators  $\underline{R}_{c}$  and  $\underline{R}_{o}$  give zero contribution, and specifically (with  $2t_{2g} = t$  and  $3e_{g} = e$ ),

$$\begin{aligned} \epsilon_{0}(t) &= H'(t) + \left[ 2\alpha_{1}\overline{J}(tt) + 2\beta_{1}\overline{K}(tt) + 2\gamma_{1}K(tt) + \alpha_{2}\overline{J}(et) \right. \\ &+ \beta_{2}\overline{K}(et) + \gamma_{2}\overline{J}(et) + \delta_{2}K(et) \right] / n(t) , \\ \epsilon_{0}(e) &= H'(e) + \left[ \alpha_{2}\overline{J}(et) + \beta_{2}\overline{K}(et) + \gamma_{2}J(et) + \delta_{2}K(et) \right. \\ &+ 2\alpha_{3}\overline{J}(ee) + 2\beta_{3}\overline{K}(ee) \right] / n(e) . \end{aligned}$$

From these equations the  $H'(i\Gamma)$  may be calculated

as the sum of kinetic energy, core attraction energy, and Coulomb plus exchange interaction with all orbitals *except*  $3e_g$  and  $2t_{2g}$ ; for the specified configuration and state, the  $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta$  coefficients are obtained from Table III.

Now define  $\Delta H' = H'(e) - H'(t)$ . Then, neglecting configuration interaction,  $\Delta E$  for the transition

$$t^{x}e^{y} \stackrel{S}{\Gamma} \rightarrow t^{x-n}e^{y+n} \stackrel{S'}{\Gamma} \Gamma'$$

is given by

$$\Delta E = n \Delta H' + G(x - n, y + n; S'\Gamma') - G(x, y; S\Gamma) .$$
(4.5)

Using Eq. (2.3), Table III, and (for reasons already mentioned for discussion in Sec. V) results from the REG  ${}^{3}T_{av}$  SCF solution, we obtain for the  $t^{6}e^{2}{}^{3}A_{2g} \rightarrow t^{5}e^{3}{}^{3}T_{2g}$  transition

$$\Delta G = -6\overline{J}(tt) + 3\overline{K}(tt) + 2\overline{J}(et) - \overline{K}(et) + J(et)$$
$$-K(et) + 2\overline{J}(ee) . \qquad (4.6)$$

The first two rows of Table X exhibit values of  $\Delta H'$ and  $\Delta G$  using this "frozen-orbital" method implicit in Eqs. (4.4)-(4.6). In this way we computed 10Dqand other spectral transition energies given in Table VI.

At this point,  $\Delta H'$  may be viewed as the transition energy in the field of the ligand ions plus the metal-ion core – a conceptual analog of the pure crystal-field 10Dq parameter which, however, is seen to be several times larger. The compensating  $\Delta G$ , as has previously been noted, brings the total  $\Delta E$  to very nearly the same value for all three bases.

In this frozen-orbital method, not all the change in interelectronic repulsion occurs in  $\Delta G$ . That part involving interaction of  $2t_{2g}$  and  $3e_g$  with the other (frozen) valence shell MO's (call it  $\Delta G_{v,et}$ ) may be transferred from  $\Delta H'$  to  $\Delta G$ . For purposes of comparison with the third method of analysis, we also give in the Table X computed values of

TABLE X. Partitionings of  ${}^{3}A_{2g} \rightarrow {}^{3}T_{2g}$  transition energies,  $\Delta E$  (in eV).

Partitioning method		D	Basis DSP	DDSP
Frozen orbitals Eqs. (4.2) and (4.5)	$\Delta G \\ \Delta H' \\ \Delta E$	-4.09 5.08 0.98	-1.65 2.54 0.89	-0.81 1.81 1.00
Frozen orbitals Eqs. (4.7) and (4.8)	$\Delta G^{\prime\prime} \ \Delta H^{\prime\prime} \ \Delta E$	-2.81 3.80 0.98	-0.41 1.30 0.89	$0.56 \\ 0.44 \\ 1.00$
Difference of independent SCF's <sup>a</sup>	$\Delta G \\ \Delta H' \\ \Delta E$	-0.68 1.67 0.99	0.21 0.68 0.89	0.16 0.86 1.02

 ${}^{a}\Delta G$  and  $\Delta H'$  are changes in total two-electron energies and total kinetic plus core-attraction energies, respectively.

$$\Delta H'' = \Delta H' - \Delta G_{v,st}$$
$$= \Delta (T+V) \tag{4.7}$$

and

$$\Delta G'' = \Delta G + \Delta G_{v,et} , \qquad (4.8)$$

where  $\Delta(T + V)$  arises only from the difference in kinetic plus core-attraction energies of the  $3e_g$  and  $2t_{2g}$  MO's, and  $\Delta G''$  is the *total* change in two-electron energy.

In the third partitioning method, which is relevant to the "best" transition energies of Table V, we may define the  $\Delta H'$  and  $\Delta G$  of Eq. (4.2) in a manner conceptually paralleling Eqs. (4.7) and (4.8), *except* that the two respective quantities are defined as changes in the total kinetic plus core-attraction energies and in total two-electron energies, calculated in passing between the independently computed  ${}^{3}A_{2g}$  and  ${}^{3}T_{2g}$  states. That is, the frozen-orbital approximation is abandoned. The problems with the  $1t_{2g}$  and  $2t_{2g}$  MO's previously noted in the  ${}^{3}A_{2g}$  ground state are irrelevant here, since the *total* energy quantities employed here are invariant to any unitary transformation among any occupied MO's.

The results in Table X vividly display the power of the variational method in achieving differences in *total* energy which are much less sensitive than their individual ingredients to changes in basis. They also demonstrate the relatively large degree to which those ingredients may be changed by the additional reorganization of density permitted by doing the independent SCF calculations, even though the net effect on their sum is small.

It is remarkable that, as the calculational methods go further from the philosophy of the original crystal-field theory, the  $\Delta H'$  and  $\Delta G$  values created come closer to its expectations.

# B. One-Electron Hamiltonians

It would be convenient to define a one-electron operator,  $\mathfrak{R}_{eff}$ , such that

$$10Dq = \epsilon(3e_g) - \epsilon(2t_{2g})$$
$$= \underline{C}^{\dagger}(3e_g) \Re_{\text{eff}} \underline{C}(3e_g) - \underline{C}^{\dagger}(2t_{2g}) \Re_{\text{eff}} \underline{C}(2t_{2g}) .$$
(4.9)

Formally, this is easily done if, following Sugano and Shulman,  ${}^{4} \mathcal{K}_{eff}$  is Fock-type operator appropriate to the  $\ddagger$  spin  $2t_{2g}$  MO spin in the ground state or the  $3e_{g}$  MO of  $\ddagger$  spin in the excited state.<sup>7</sup> The ambiguity in using an equation such as (4.9) arises from the question of what one-electron operator should be used to determine the covalency coefficients in the eigenvectors  $\underline{C}(3e_{g})$  and  $\underline{C}(2t_{2g})$ .

Certain requirements must be met. The oneelectron operators should be those arising from optimizing a proper *N*-electron MO wave function. Sugano and Shulman used the operator  $\mathfrak{M}_{off}$  of Eq. (4.9) also to determine these coefficients. This, however, is not the operator arising in the Hartree-Fock equations for either the ground or the excited state. As pointed out by Sugano and Tanabe, <sup>7</sup> it lacks the effects of the coupling operators *R*.

In addition, even if the vector coefficients are determined from some suitable set of eigenvalue equations, their character may significantly change in passing from ground to excited state. In this event, Eq. (4.9) no longer is valid.

We have already seen in Table VII that, unlike the  $e_{e}$  MO's; the closed-shell  $t_{2e}$  MO's from the ground-state SCF (especially D and DSP) are much different from those from the  $t^5 e^{3} {}^3T_{av}$  SCF and all yield a poor estimate of the spectrum. On the other hand, all properties of the ground-state wave function are invariant to a unitary transformation of the occupied MO's, in particular, one which brings them (as close as possible, depending upon the basis) to the form appropriate to the excited state. We simply observe that in these systems the  $t^5 e^{3 \ 3} T_{av}$  SCF gives a satisfactory form. Offenhartz<sup>35</sup> has proposed using a more general averaged excited state. (Note that the reorganization energies recorded in the first line of Table VI must arise only from alterations in other than  $t_{2g}$  MO's.)

Watson and Freeman<sup>5</sup> and Šimánek and Šroubek<sup>6</sup> (WFSS) determined the set of covalency parameters for the  $\ddagger$  spin  $2t_{2g}$  and  $3e_g$  orbitals from their orthogonality to the occupied  $\ddagger$  spin bonding orbitals whose antibonding counterparts were empty. Because this approach depended on being able to compute the covalency parameters for the vacant antibonding orbital from its orthogonality to the bonding orbitals, it was limited to a treatment of the minimal basis. 10Dq obtained from Eq. (4. 9) was in poor agreement with experiment, and as remarked by Offenhartz, <sup>35</sup> "10Dq is obtained as a difference in the orbital energy of unoccupied orbitals, a somewhat peculiar point of view physically speaking."

One may readily discover how the difference in character of solutions to closed- and open-shell operators arises, by analyzing the approximate expressions for ionic Fock-matrix elements given by

$$\begin{split} &\langle \lambda_{3d} \left| \underline{\mathbf{F}}^{0} \right| \lambda_{3d} \rangle \approx \epsilon^{0} (3d) + \langle \lambda_{3d} \right| V_{L} \left| \lambda_{3d} \right\rangle ,\\ &\langle \lambda_{3d} \left| \underline{\mathbf{F}}^{0} \right| \lambda_{L} \rangle \approx \epsilon^{0} (3d) \langle \lambda_{3d} \right| \lambda_{L} \rangle + \sum \langle \lambda_{3d} \left| V_{L} \right| \left| \lambda_{L} \right\rangle , (4.10) \\ &\langle \lambda_{L} \left| \underline{\mathbf{F}}^{0} \right| \lambda_{L} \rangle \approx \epsilon^{0} (L) + \langle \lambda_{L} \left| V_{M} \right| \left| \lambda_{L} \right\rangle + \sum \langle \lambda_{L} \left| V_{L} \right| \left| \lambda_{L} \right\rangle . \end{split}$$

 $\epsilon^{0}(3d)$  and  $\epsilon^{0}(L)$  are appropriate free-ion 3d and ligand orbital energies, respectively. Watson and Freeman<sup>5</sup> point out that there are several distinct 3d orbital energies to be considered:

$$\begin{split} \epsilon^0(\dagger 3dt) &= U + 7A - 14B + 5C = -1.414 \ , \\ \epsilon^0(\dagger 3dt) &= U + 7A - 10B + 7C = -1.275 \ , \\ \epsilon^0(\dagger 3de) &= U + 7A - 14B + 3C = -1.503 \ , \\ \epsilon^0(\dagger 3de) &= U + 8A - 6B + 7C = -0.381 \ , \end{split}$$

where U contains the kinetic energy and core interaction and A, B, and C are the Racah parameters for the 3d interactions. Numerical values are in a. u. The last orbital energy is that of a virtual or unoccupied orbital. It differs markedly from those for the occupied orbitals by the Coulombic-type interaction integral  $A \approx 1$  a. u. which is four times larger than any other valence-shell Coulombic interaction. Watson and Freeman used this orbital energy appropriate to the operator properly describing the closed-shell bonding MO's, each of which is repelled by eight d electrons.

By contrast, the 3d orbital energies appropriate to their Fock matrices for the occupied 3d orbitals depend on the electronic state of the cluster and typically are given by

 $\epsilon^{0}(3de, t) = U + 7A + \beta B + \gamma C$ , (4.11)

where  $\beta$  and  $\gamma$  are small numbers. Here, the large

3d self-interaction always cancels, giving 7A instead of 8A, roughly corresponding to the motion of one 3d electron in the field of the other seven.

Based upon the Hartree-Fock-Roothaan procedure, Eqs. (2.8), we show typical converged values of the  $e_g$  and  $t_{2g}$  matrix elements of  $\underline{F}_o$ ,  $\underline{F}_c$ ,  $(\underline{F}_o + \underline{R}_o)$ , and  $(\underline{F}_c + \underline{R}_o)$  in Table XI.

Expected properties are clearly evident in the  $t^5e^{3}{}^3T_{av}$  diagonal elements of  $(\mathbf{F}_o + \mathbf{R}_o)$  and  $(\mathbf{F}_o + \mathbf{R}_c)$  which occur in the secular equations determining the closed- and open-shell eigenvectors, respectively. The  $3d^2$  elements of  $(\mathbf{F}_c + \mathbf{R}_o)$ , which weight the participation of the 3d functions in the closed-shell MO's, are much more positive than in  $\mathbf{F}_o + \mathbf{R}_c$  and "encourage" the closed-shell MO's to remain localized on the ligands. The converse is true for the open-shell MO's. Also evident from these elements is the fact that the same argument which differentiates the open- and closed-shell  $3d^2$  elements also applies to the  $2s^2$  and  $2p^2$  elements, though on a reduced scale since the integrals involved are smaller.

For both symmetries the matrix elements of  $\underline{F}_c$ and  $\underline{F}_o$  from  ${}^{3}\!A_{2g}$  are very similar to those from  ${}^{3}\!T_{av}$  for the  $e_g$  symmetry. This is to be expected since there is little difference in the gross features of the charge distribution for the two states. See Table VIII. The near equality of the  $3dt^2$  and  $2p\pi^2$ elements in all the <u>F</u> matrices accounts for the apparent extreme covalency in  $t_{2g}$  MO's of the  ${}^{3}\!A_{2g}$ state. This near degeneracy is thoroughly lifted by the coupling operators in  ${}^{3}\!T_{av}$ , providing the spectroscopically satisfactory form of the  $2t_{2g}$  orbitals.

Because of its pertinence to semiempirical studies and its further exposition of the bonding factors exhibited during convergence during the SCF process, we present an MO correlation diagram in Fig. 2. The figure is based upon the REG D  ${}^{3}T_{av}$ SCF calculation. Energy quantities correlating with the  $3e_{g}$  and  $2t_{2g}$  MO's are taken from the open-shell equations; others are from the closed-shell equations.

TABLE XI. Fock matrix elements (in a.u.) with and without the coupling matrix elements, from the converged  ${}^{3}A_{2x}$  and  ${}^{3}T_{av}$  SCF cases (REG DSP calculations).

Ma	trix		$t^{6}e^{2}$	<sup>3</sup> A <sub>20</sub>		$t^5 e^{3} {}^3 T_{ar}$				
elei	nent	F <sub>c</sub>	$F_{o}$	$F_c + R_o$	$F_o + R_c$	F <sub>c</sub>	F <sub>o</sub>	$F_c + R_o$	$F_o + R_c$	
e <sub>g</sub> :	$3de^2$	0.8535	0.3252	1.8783	0.3890	0.6234	0.3776	2.0090	0.4962	
-	3de2s	-0.0806	-0.0795	-0.0934	-0.0583	-0.0800	-0.0921	-0.0985	0.0292	
	$2s^2$	-0.5073	-0.5088	-0.5073	-0.5032	-0.5062	-0.5946	-0.5062	0.1117	
	3 <i>de2p</i> σ	-0.0694	-0.0589	-0.1533	0.0672	-0.0643	-0.0819	-0.1865	0.2215	
	$2s2p\sigma$	0.0164	0.0150	0.0169	0.0200	0.0168	0.0026	0.0169	0.1088	
	$2p\sigma^2$	0.4839	0.4782	0.4872	0.4947	0.4835	0.3928	0.4890	1.1109	
$t_{2g}$ :	$3dt^2$	0.4206	• • •	0.4206	•••	0.5700	0.4067	2.1866	0.4249	
	$3dt2p\pi$	-0.0281	•••	-0.0281	•••	-0.0300	-0.0337	-0.0910	0.0843	
	$2p\pi^2$	0.4674	•••	0.4674	• • •	0.4691	0.4173	0.4703	1.0375	



FIG. 2. MO correlation diagram, from D REG  ${}^{3}T_{av}$  SCF calculation. Calculated open-shell orbital energy differences are shown in the figure. See text for definitions of quantities plotted.

Calculated free-ion orbital energies are shown in the two outermost columns (a). Diagonal elements of the  $\underline{F} + \underline{R}$  matrices are then shown constructed at column (b) from Schmidt-orthogonalized ionic vectors, and at column (c) from the final selfconsistent vectors. The central column indicates the SCF orbital energies.

Step (a) – (b) shows the repulsive effect of the negatively charged ligands upon their own and the Ni electrons. The numbers in the figure indicate the relative energy separations of the (essentially) de and dt orbitals. They stand in marked contrast to the total energy separations between the  ${}^{3}A_{2g}$  and  ${}^{3}T_{2g}$  states similarly portrayed in Fig. 1.

## V. UNRESTRICTED HARTREE-FOCK RESULTS

One can also obtain an energy minimum for Eq. (2.3) relaxing spin restrictions and allowing the orbitals of different spin to occupy different regions of space. Because there exists a previous unrestricted or spin-polarized calculation on the NiF<sub>6</sub><sup>-4</sup> cluster using a one-center basis, <sup>9</sup> we present the results of our solutions to Eqs. (2.9) for comparison. For the ground state  ${}^{3}A_{2g}$  and a pseudo-closed-shell excited state  $M_s = 1$  of  $(2t_g)^5 (3e_g)^3$ , the orbital vectors and eigenvalues are given in Table XII. Ellis, Freeman, and Ros<sup>9</sup> obtained a total electronic energy difference between the ground and excited configurations of 10 500 cm<sup>-1</sup> without an external crystal field and 10 800 cm<sup>-1</sup> with their external

environment. We obtain 11000 cm<sup>-1</sup> in a uniform crystal field. This result is encouraging in the light of the different bases used. However, the number should not be compared with  $10Dq = E({}^{3}T_{2g}) - E({}^{3}A_{2g}) = 7250 \text{ cm}^{-1}$ . Rather it corresponds to the energy of transition to a pseudo-closed-shell average of the triplets,  $\frac{1}{2}({}^{3}T_{2g} + {}^{3}T_{1g}) = 9900 \text{ cm}^{-1}$ , neglecting configuration interaction.

Similarly, we find large differences in the orbital energies and vector characters of the  $\dagger$  and  $\ddagger$  spin electrons in  $e_g$  and  $t_{2g}$ . In agreement with the previous authors, we find that it is not possible to describe the transition energy in terms of orbital energy differences. However, while Ellis, Freeman, and Ros attributed this to large "core-distortion" effects, we have seen in Sec. V that it is a consequence of trying to describe an open-shell state from solutions of essentially closed-shell (in this case closed spin-shell) Hamiltonians in these systems. The effect is better described as a transformation among the occupied orbitals. In the case of a minimal basis, this transformation is unitary and has no effect on physical observables.

The calculated total valence-shell electronic energy minus the intercore repulsion for our unrestricted calculation is -176.60420 a. u. versus -176.60302 a. u. for the corresponding spin-restricted results. The energy gained by relaxing spin restrictions in the valence shell MO's -0.00118 a. u. or 256 cm<sup>-1</sup> is small compared to transition energies, and although we did not permit a polarization of the core electrons, it is comparable to the results of Hartree-Fock calculations on free ions. For instance, for Mn<sup>+2</sup> Watson and Freeman<sup>36</sup> found -0.002 a. u. The expectation value of  $S^2$  is found to be very close to the theoretical value S(S+1)=2, namely, 2.0016.

#### VI. TRANSFERRED HYPERFINE PARAMETERS AND NEUTRON FORM FACTORS

In this section, we present the results of calculating the transferred hyperfine parameters measured in ESR and NMR experiments and the neutron magnetic scattering factors. The hyperfine parameters and scattering factors are calculated in keeping with the detailed SCF theory. We emphasize the role played by spin-polarization contributions and two-center or overlap contributions which are usually not included.

The ligand hyperfine interactions, which were the first experimental indications of electron delocalization in these compounds, are described by a Hamiltonian which can be written parametrically as

$$H^{N} = \mathbf{\tilde{T}}^{N} \cdot \mathbf{\tilde{A}}^{N} \cdot \mathbf{\tilde{S}} , \qquad (6.1)$$

where  $\overrightarrow{A}^{N}$  is the hyperfine tensor operator for the Nth ligand nucleus. In  $O_{h}$  symmetry, the two principal values of the hyperfine tensor parallel and

 $1t_{2u}$ 

	Symmetry-orbital coefficients					€ (in a.u.) This	
МО	nl(Ni)	3 <i>d</i> <sub>i</sub> (Ni)	2 <i>s</i> (F)	$2p\sigma(\mathbf{F})$	$2p\pi$ (F)	work	Ref. 6
$\alpha$ spins:							
1a1e	0.0492		0.9758	-0.0209		-0.51136	-0.276
$2a_{1e}$	0.4642		-0.2314	0.7783		0.35493	1.052
$1t_{1y}$	0.0269		0,9858	-0.0111	-0.0016	-0.51282	-0.182
$2t_{1u}$	0.3972		-0.2119	0,5681	0.6157	0.39089	0.814
$3t_{1u}$	0.0438		-0.0171	0.7119	-0.7006	0.46458	1.384
1e,	0.0503	-0.0084	0.9947	-0.0162		-0.51331	-1.023
$2e_{\mathbf{r}}$	0.9217	-0.0285	-0.1134	0.3639		0.25759	0.296
3 <i>e</i> ,	-0.3988	-0.0710	0.0645	0.9295		0.52789	0.819
$1t_{2a}$	0.9766	-0.0467			0.3137	0.33421	0.392
$2t_{2\sigma}$	-0.3317	-0.0277			0.9488	0.48177	0.792
$1t_{1a}$					1,0000	0.45792	0.697
$1t_{2u}$					1.0000	0.45877	0.832
$\beta$ spins:		-					
$1a_{1e}$	0.0506		0.9750	-0.0251		-0.50688	-0.272
2a1g	0.4742		-0.2336	0.7718		0.36455	1.064
$1t_{1u}$	0.0287		0.9848	-0.0158	-0.0016	-0.50796	-0.178
$2t_{1u}$	0.4000		-0.2120	0.5095	0.6644	0.39582	0.820
$3t_{1u}$	0.0883		-0.0374	0.7468	-0.6573	0.47492	1.393
$1e_{\mathbf{r}}$	0.0330	-0.0124	0.9968	-0.0209		-0.50793	-1.018
$2e_{\mathbf{r}}$	0.2660	-0.1068	-0.0094	0.9578		0.46574	0.671
$1t_{2g}$	0.8856	-0.0734			0.5336	0.39294	0.455
$2t_{2g}$	-0.5730	0.0006			0.8450	0.49886	0.801
$1t_{1e}$					1.0000	0.45916	0.700

TABLE XII. Unrestricted Hartree-Fock MO coefficients and orbital energies for the ground state of NiF $_6^{-4}$  (REG DDSP).

perpendicular to a bond axis can be formally written as

$$A' = A_{z}^{N} = 2A_{D} + A_{s} + 2(A_{\sigma} - A_{\pi}) , \qquad (6.2)$$

$$B' = A_y^N = -A_D + A_s - (A_g - A_{\pi})$$
,

where  $A_D = 2g \mu_B \gamma \mu_N / (5R_L^3)$  is the classical dipolar interaction with the magnetic ion.  $A_s$  is the Fermi contact interaction with the ligand nucleus

$$A_{s} = g \mu_{B} \gamma \mu_{N} \left(\frac{8}{3}\pi\right) \sum_{r,s} \rho_{sr}^{*} \langle \lambda_{s} | \delta(\vec{\mathbf{R}}_{L}) | \lambda_{r} \rangle , \qquad (6.3)$$

and  $A_{\sigma} - A_{\pi}$  is the anisotropic dipolar interaction between the electronic and nuclear spins

$$A_{\sigma} - A_{\tau} = g \mu_{B} \gamma \mu_{N} \sum_{\tau,s} \rho_{s\tau}^{*} \langle \lambda_{s} | R_{L}^{-3} P_{2}(\cos \theta_{\sigma}) | \lambda_{\tau} \rangle - A_{D} ,$$

$$(6.4)$$

where the  $\rho_{sr}$  are elements of the spin-density matrix

$$\rho = \mathbf{D}_{\mathbf{t}} - \mathbf{D}_{\mathbf{t}} . \tag{6.5}$$

The appropriate spin-restricted ligand-field expressions for  $A_s$  and  $A_\sigma$   $(A_\pi=0)$  are given by

$$A_{s} = g \mu_{B} \gamma \mu_{N} \left(\frac{8}{3}\pi\right) \left| \chi_{2s}(\vec{\mathbf{R}}_{L}) \right|^{2} f_{s} , \qquad (6.6)$$
$$A_{\sigma} = g \mu_{B} \gamma \mu_{N} \left(\frac{2}{5}\right) \left\langle \chi_{2b} \right| r_{L}^{-3} \left| \chi_{2b} \right\rangle f_{\sigma} ,$$

where  $f_s = \frac{1}{3}C_{2s}^2$  and  $f_\sigma = \frac{1}{3}C_{2p}^2$ , from the  $3e_s$  MO. With the values of the integrals taken from Froese's freeion F<sup>-</sup> functions

$$|\lambda_{2s}(\vec{R}_L)|^2 = 10.726a_0^{-3}$$

1.0000

and

$$\langle \chi_{2p} | r_L^{-3} | \chi_{2p} \rangle = 6.405 a_0^{-3}$$
,

TABLE XIII. Significant contributions to the expectation values of the isotropic and anisotropic hyperfine interactions (in a.u.), from the REG DDSP  ${}^{3}A_{2g}$  MO's.<sup>a</sup>

0.46003

0.834

Charge distributio	n	sr	sp
$\langle \delta(\vec{R}) \rangle$ :			
$(2s)^2$	$a_{1g}$	• • •	0.0008
	t <sub>1u</sub>	• • •	0.0038
	e	0.0398	0,0422
(3d) (2s)	e <sub>g</sub>	0.0020	0.0020
$\langle r_L^{-3}P_2(\cos\theta_{\sigma})\rangle$ :			
$(4s)^2$		0.0000	-0.0002
(4p) <sup>2</sup>		0.0000	-0.0002
$(3d)^2$	total	0.0754	0.0751
$(2p\sigma)^2$	$a_{1g}$	•••	0.0063
	$t_{1u}$		0.0230
	e <sub>e</sub>	0.0888	0.1000
$(2p\pi)^2$	$t_{1u}$	• • •	0.0032
	t <sub>2g</sub>	0.0000	0.0002
(3 <i>d</i> ) (2pσ)	e	-0.0109	-0.0121
(3d) (2s)	e <sub>g</sub>	-0.0021	-0.0020

<sup>a</sup>sr means spin-restricted and sp means spin-polarized SCF results. Contributions from 3d and  $3d_i$  have been combined.

TABLE XIV.	Calculated	and experimen	tal hyperfine
parameters.	A and $A -$	$A (10^{-4} \text{ cm}^{-1})$	for NiF -4

	· · · · ·		0
Case		A <sub>s</sub>	$A_{\sigma} - A_{\pi}$
Spin-restricted	DDSP	29,29	3,144
Spin-polarized	DSP	33.26	3,171
Spin-polarized	DDSP	33.77	4.791
Experiment:			
ESR(KMgF <sub>3</sub> ) <sup>a</sup>		$39.2 \pm 0.3$	$6.9 \pm 0.3$
$NMR(KNiF_3)$		$33.9 \pm 0.4$	$8.1 \pm 1.4$
(Ref. 4)			

<sup>a</sup>T. P. P. Hall, W. Hayes, R. W. H. Stevenson, and J. Wilkens, J. Chem. Phys. <u>38</u>, 1977 (1963).

one can use the measurement of the hyperfine parameters to determine the covalency parameters in the open  $3e_g$  MO of the ground state. The validity of this approach to determining covalency parameters in the more general SCF wave function is indicated by the magnitude of the other significant contributions to Eqs. (6.3) and (6.4), shown in Table XIII. The largest factor arises from spin polarization.

In Table XIV, we compare the experimental hyperfine interaction parameters to the computed values which were obtained from Eqs. (6.3) and (6.4) using Gauss-Legendre numerical quadrature for the integrals.

The agreement obtained in the case of the isotropic hyperfine interaction must be regarded as fortuitous since the effects involving the ligand 1s orbital are not included. In an effort to approximate them, we orthogonalized the metal-ion orbitals to the 1s and obtained a large negative correction from the  $(\lambda_{3d}^{ortho})$   $(\lambda_{2s})$  charge distribution, which is similar to that obtained by Marshall and Stuart.<sup>37</sup> The problem is difficult because it requires an accurate wave function at the ligand nucleus and is perhaps better approached by the method suggested by Ellis, Freeman, and Ros in looking at clusters of the type  $(Ni_2F^{*3})$ .

Results for  $A_{\sigma} - A_{\pi}$  are in good agreement with experimental values from which orbital moment contributions have been removed; they show strong  $\sigma$ -bonding interaction. A calculation based upon 3d AO's which were merely Schmidt orthogonalized to the ligand symmetry-adapted functions gave  $A_s = 19.39 \times 10^{-4}$  cm<sup>-1</sup> and  $A_0 - A_{\pi} = 0.34 \times 10^{-4}$  cm<sup>-1</sup>. These results indicate that significant electron delocalization, beyond the minimal orthogonality requirement, is needed to explain the magnitude of the observed hyperfine interactions. We also see from Table XIV that expanding the basis to DDSP increases the calculated parameters, in agreement with our observation (see Table VIII) that this most flexible



FIG. 3. Ground-state spin-density distribution contours in an F-Ni-F plane, in units of  $a_0^{-3}$ : (a) purely ionic structure; (b) spin-restricted SCF results; (c) spinpolarized SCF results. Note that net negative spin density occurs in the upper right region of (c). DDSP REG calculations.



FIG. 4. Spherical components of the neutron magnetic form factor: curve (a), free Ni<sup>+2</sup> ion; curve (b), free sr NiF<sub>6</sub><sup>-4</sup> cluster; curve (c), sr NiF<sub>6</sub><sup>-4</sup> cluster, without ligand-only contributions; curve (d), sp NiF<sub>6</sub><sup>-4</sup> cluster, without ligand-only contributions; *E* is range of the experimental absolute forward-scattering factor. Note change of scale at  $\sin\theta/\lambda = 0.25$ . DDSP REG calculations.

basis leads to the greatest net charge transfer into the 3d orbitals.

Spin polarization increases  $A_{\sigma} - A_{\pi}$  by more than 25%. It has the effect of allowing a greater net ↑ spin density in the region of  $\sigma$  bonding, as can be seen from the net spin-density distribution function.  $\rho(\mathbf{\tilde{r}})$ . Figure 3 shows contours of  $\rho(\mathbf{\tilde{r}})$  in a plane through the Ni and two F's, Fig. 3(a) for the spinrestricted ground-state solutions of the free Ni<sup>+2</sup> ion, Fig. 3(b) for the spin-restricted and Fig. 3(c)for the spin-polarized wave functions for the cluster. Comparing Fig. 3(a) to Fig. 3(b) shows the effect of covalent bonding on the unpaired  $3e_{g}$  orbitals. Comparing to Fig. 3(c) shows the additional contributions from spin polarization, which increases the  $\dagger$  spin density in the region of the  $2p\sigma$  ligand orbitals and is also responsible for the net negative or i spin density in the region of the  $2p\pi$  orbitals. This also has important consequences in the calculation of the low-angle neutron scattering factor.

#### A. Neutron Magnetic Form Factors

Magnetic Bragg-scattering amplitudes are proportional to the Fourier transform of the spin-density distribution within a unit cell

$$f_0(\vec{\mathbf{k}}) = \int_{\text{unit cell}} \rho(\vec{\mathbf{r}}) e^{i\vec{\mathbf{k}}\cdot\vec{\mathbf{r}}} d\vec{\mathbf{r}} . \qquad (6.7)$$

We limit our discussion to the spherically averaged component for which Eq. (6.7) becomes

$$f_0(k) = \int_{\text{unit cell}} \rho(r) \, j_0(kr) \, d^3r, \qquad (6.8)$$

where  $j_0(kr)$  is the zeroth-order Bessel function. The integrals appearing in Eq. (6.8) were evaluated by the same method as used in Eq. (6.4).

Hubbard and Marshall<sup>38</sup> studied the effects of covalency on the measured neutron magnetic form factors for ferromagnetic and antiferromagnetic salts using a Heitler-London LCAO wave function. They showed that the forward-scattering amplitude should be reduced by covalent bonding and the form factor raised above that of the free ion. In simplecubic antiferromagnetic salts, the forward-scattering amplitude k = 0 measures the magnetic moment integrated over a unit cell containing the magnetic ion. It is slightly reduced because the spin density which is transferred to the region of the neighboring ligand ion between two magnetic ions will be canceled by contributions of opposite sign.

We used the suggestion of Hubbard and Marshall in evaluating the integral appearing in Eq. (6.8) by subtracting the contributions due to ligand-only charge distributions.

Figure 4 displays form factors obtained from various cluster calculations and from the free ion, curve (a). Comparing the spin restricted curves (b) and (c) shows the reduction in forward scattering results from the subtraction of density components associated only with the ligands. Passing from curves (c) to (d) indicates the further reduction in the forward direction produced by spin polarization. Subtracting the consequent greater  $\dagger$  spin density associated with the  $2p\sigma$  orbitals leads to the additional flattening of the low-angle portion of the scattering curve. Adding contributions from core polarizations and orbital moments would produce small changes in the large-angle region.<sup>38</sup>

Comparisons with scaled experimental form factors for NiO have previously been given.<sup>31</sup> The ligand-corrected forward-scattering factor calculated from the spin-polarized wave function falls within the range recently determined experimentally by Hutchings and Guggenheim,  $0.85 \pm 0.050$ .<sup>39</sup>

## VII. CONCLUDING REMARKS

Encouragingly good agreement with experiment has been obtained for both the optical spectrum and magnetic properties. In contrast to most earlier calculations, we adopted a many-electron point of view and obtained self-consistent solutions for the ground and various excited states of the cluster. The best computed spectrum was obtained from differences in total energy. However, we found that the spectrum could almost as well be computed

from open-shell solutions from a single SCF calculation if that calculation was done for an excited configuration. Such open-shell MO's give good approximations even to the total energy of the ground state.

In these calculations, all three- and four-center integrals have been variously approximated. Most of these multicenter integrals enter into the calculation only in proportion to the extent to which the true wave function differs from a strictly ionic condition. Nevertheless, it is important to evaluate all three- and four-center integrals in order to test the effect of these approximations on the computed spectral transitions. Indications are that these integral approximations are quite good for this sys-

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tem and type of basis.<sup>15</sup> Furthermore, the calculated physical properties were not found to be especially sensitive to the extensions of the basis considered.

An alternative description of covalent bonding was given by Hubbard, Rimmer, and Hopgood, 40 who derived essentially a valence-bond-type wave function as a linear combination of Slater determinants representing the ionic ground and various excited "charge transfer" configurations. We conclude, however, that the open-shell LCAO MO SCF method applied to discrete clusters provides a satisfactory explanation of the low-energy electronic spectrum and the gross magnetic features of nickel fluoride salts.

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