

Concentration-dependent dielectric response of Ga<sub>1-x</sub>Al<sub>x</sub>As

P. Csavinszky and K. R. Brownstein

Department of Physics, University of Maine, Orono, Maine 04469

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The dielectric properties of the semiconductor Ga<sub>1-x</sub>Al<sub>x</sub>As are of importance in the study of electronic states and charge conduction. In this paper we present analytical composition-dependent spatial dielectric functions for Ga<sub>1-x</sub>Al<sub>x</sub>As involving compositions from  $x = 0.05$  to  $x = 0.45$  in steps of 0.05. The spatial dielectric functions we present describe the response of Ga<sub>1-x</sub>Al<sub>x</sub>As to charges of  $Z = \pm 1, \pm 2$  (in atomic units) embedded in the semiconductor.

I. INTRODUCTION

The semiconductor Ga<sub>1-x</sub>Al<sub>x</sub>As has received and continues to receive a great deal of attention. The reason is that, in conjunction with GaAs, superlattices can be formed which present a unique opportunity for the study of electronic states<sup>1</sup> and charge conduction.<sup>2</sup> For this reason the dielectric properties of Ga<sub>1-x</sub>Al<sub>x</sub>As are of fundamental importance. In this paper we present analytical composition-dependent spatial dielectric functions for this semiconductor. We have considered the composition of  $x = 0.05$  to  $x = 0.45$  in steps of 0.05, and the response to charges of  $Z = \pm 1, \pm 2$  (in atomic units).

II. THEORETICAL BACKGROUND

The spatial dielectric function of an undoped semiconductor describes the response of the valence electrons to a point charge placed into the crystal lattice. The response consists of a rearrangement of the valence electrons that results in the shielding of the potential of the charge.

A few years ago Resta<sup>3</sup> formulated a Thomas-Fermi (TF) approach for obtaining an isotropic spatial dielectric function of a semiconductor. In a subsequent work Cornolti and Resta<sup>4</sup> have numerically solved the nonlinear TF equation for the potential and presented the spatial dielectric functions in the form of graphs for point charges  $Z = \pm 1, \pm 4$  embedded in undoped diamond, Si, and Ge.

In order to obtain analytical spatial dielectric functions, Csavinszky and Brownstein<sup>5</sup> formulated a variational approach by which the nonlinear TF equation can be solved approximately. In their work they have considered point charges  $Z = +1, +2, +3,$  and  $+4$  in undoped diamond, Si, and Ge. In a subsequent work, Csavinszky and Brownstein<sup>6</sup> extended their variational approach to point charges  $Z = -1, -2, -3,$  and  $-4,$  again in undoped diamond, Si, and Ge. In another paper,<sup>7</sup> the variational approaches of Refs. 5 and 6 have been applied to GaAs, and site-dependent spatial dielectric functions have been obtained. In the above work, point charges  $Z = \pm 0.16, \pm 1.16,$  and  $\pm 0.84$  have been considered. The charges  $Z = +0.16$  and  $Z = -0.16$  are the effective charges<sup>8</sup> due to the partial ionicity of the chemical bonds on a Ga and As site, respectively. The charge  $Z = +0.84$  corresponds to a column VI donor on an As site, that of  $Z = +1.16$  to a column IV donor on a Ga site. Similarly, the charge  $Z = -1.16$  corresponds to a column IV acceptor on an As site, that of  $Z = -0.84$  to a column II acceptor on a Ga site.

In the present work, the site dependence of the spatial

dielectric function of Ga<sub>1-x</sub>Al<sub>x</sub>As is not considered since, to our knowledge, no data are available on the composition-dependent partial ionicity of the sites in Ga<sub>1-x</sub>Al<sub>x</sub>As. In what follows, atomic units ( $\hbar = 1, m = 1, e^2 = 1$ ) will be used. In Sec. II A we discuss positive point charges, and in Sec. II B negative point charges.

A. Positive point charges

The spatial dielectric function  $\bar{\epsilon}_n(r)$  is defined<sup>3</sup> by

$$V(r) = -\frac{Z}{\bar{\epsilon}_n(r)r}, \quad 0 < r \leq R_n, \quad (1)$$

where  $R_n$  is a screening radius beyond which the screening of the charge is complete, and  $V(r)$ , the potential energy of the charge, is the solution<sup>4</sup> of

$$\nabla^2 V(r) = \begin{cases} a \{E_F^{3/2} - [E_F + A - V(r)]^{3/2}\}, & 0 < r \leq R_n \\ 0, & R_n \leq r < \infty. \end{cases} \quad (2)$$

The boundary conditions that  $V$  must obey are discussed elsewhere.<sup>4</sup> In Eqs. (1) and (2) the subscript  $n$  refers to the word nonlinear, while in Eq. (2) the constants  $a$  and  $A$  are defined<sup>3</sup> by  $a = 2^{7/2}/3\pi$  and  $A = V(R_n)$ . The quantity  $E_F$  denotes the valence Fermi energy, which is related to the valence Fermi momentum  $k_F$  by  $E_F = k_F^2/2$ . The latter is calculated from  $k_F = (3\pi^2 n_0)^{1/3}$ , where  $n_0$  is the density of the valence electrons. In our calculation of  $n_0$ , due to lack of data, we have neglected the very slight composition dependence of the size of the Ga<sub>1-x</sub>Al<sub>x</sub>As unit cube, i.e., we have assumed that Ga<sub>1-x</sub>Al<sub>x</sub>As has the same size unit cube as GaAs. In calculating  $n_0$  we have used a unit cube side<sup>9</sup> of  $10.684a_B$  ( $a_B$  is the Bohr radius), and considered that it contains eight atoms,<sup>10</sup> with a Ga or an Al atom contributing three electrons, and an As atom contributing five electrons. The value of the valence Fermi momentum used in our calculations is  $k_F = 0.92a_B^{-1}$ .

In the variational approach of Csavinszky and Brownstein,<sup>5</sup> Eq. (1) is rewritten by the substitution

$$\psi(r) = r[V(r) - A]. \quad (3)$$

The resulting differential equation,

$$\psi'' = \begin{cases} a [rE_F^{3/2} - r(E_F - \psi/r)^{3/2}], & 0 < r \leq R_n \\ 0, & R_n \leq r < \infty, \end{cases} \quad (4)$$

is then solved by an equivalent variational principle. As before,<sup>5</sup> in the  $0 < r \leq R_n$  interval we have used the trial

TABLE I. Parameters entering into the spatial dielectric function of  $\text{Ga}_{1-x}\text{Al}_x\text{As}$  for the case of  $Z = +1$ .

$x$	$\epsilon(0)$	$R_n$ (a.u.)	$r_0$ (a.u.)	$\lambda$
0.05	12.95	4.27	0.25	0.15
0.10	12.80	4.26	0.25	0.15
0.15	12.65	4.25	0.25	0.15
0.20	12.50	4.24	0.25	0.15
0.25	12.35	4.22	0.25	0.15
0.30	12.20	4.21	0.25	0.15
0.35	12.05	4.19	0.25	0.15
0.40	11.90	4.17	0.25	0.15
0.45	11.75	4.16	0.25	0.15

function

$$\psi(r) = [(1-\lambda) + \lambda e^{-r/r_0}] \left[ -\frac{Z \sinh[q(R_n - r)]}{\sinh(qR_n)} \right], \quad (5)$$

where  $q = (4k_F/\pi)^{1/2}$ , and  $r_0$  and  $R_n$  are variational parameters. As shown elsewhere,<sup>5</sup> the parameter  $\lambda$  is obtained by imposing a matching condition of  $\psi'(r)$  at  $r = R_n$ . This leads to the expression

$$\lambda = \left[ 1 - \frac{\sinh(qR_n)}{\epsilon(0)qR_n} \right] \frac{1}{1 - e^{-R_n/r_0}}, \quad (6)$$

where  $\epsilon(0)$  is the static dielectric constant of  $\text{Ga}_{1-x}\text{Al}_x\text{As}$ . This quantity is given<sup>1</sup> by

$$\epsilon(0) = 13.1(1-x) + 10.1x. \quad (7)$$

Finally, the spatial dielectric function  $\bar{\epsilon}_n(r)$ , as defined by Eqs. (1) and (3), is given by

$$\bar{\epsilon}_n(r) = -\frac{Z}{\psi(r) + rA}. \quad (8)$$

Using  $A = V(R_n)$ , and Eq. (6), we find that Eq. (8) becomes

$$\bar{\epsilon}_n(r) = \left[ [(1-\lambda) + \lambda e^{-r/r_0}] \left[ \frac{\sinh[q(R_n - r)]}{\sinh(qR_n)} + \frac{r}{\epsilon(0)R_n} \right] \right]^{-1}. \quad (9)$$

The values of the parameters  $\epsilon(0)$ ,  $\lambda$ ,  $R_n$ , and  $r_0$ , for

TABLE II. Parameters entering into the spatial dielectric function of  $\text{Ga}_{1-x}\text{Al}_x\text{As}$  for the case of  $Z = +2$ .

$x$	$\epsilon(0)$	$R_n$ (a.u.)	$r_0$ (a.u.)	$\lambda$
0.05	12.95	4.17	0.25	0.22
0.10	12.80	4.15	0.25	0.22
0.15	12.65	4.14	0.25	0.22
0.20	12.50	4.13	0.25	0.22
0.25	12.35	4.11	0.25	0.22
0.30	12.20	4.10	0.25	0.22
0.35	12.05	4.08	0.25	0.22
0.40	11.90	4.07	0.25	0.22
0.45	11.75	4.05	0.25	0.22

TABLE III. Parameters entering into the spatial dielectric function of  $\text{Ga}_{1-x}\text{Al}_x\text{As}$  for the case of  $Z = -1$ .

$x$	$\epsilon(0)$	$R_n$ (a.u.)	$r_0$ (a.u.)	$\lambda$	$R_c$ (a.u.)
0.05	12.95	4.89	0.86	-0.45	1.03
0.10	12.80	4.89	0.90	-0.47	1.03
0.15	12.65	4.89	0.94	-0.49	1.03
0.20	12.50	4.89	0.98	-0.51	1.03
0.25	12.35	4.88	0.99	-0.51	1.03
0.30	12.20	4.88	1.04	-0.53	1.03
0.35	12.05	4.87	1.04	-0.53	1.03
0.40	11.90	4.85	1.04	-0.53	1.03
0.45	11.75	4.84	1.05	-0.54	1.03

charges  $Z = +1, +2$ , for different values of  $x$ , are listed in Tables I and II.

### B. Negative point charges

The spatial dielectric function  $\bar{\epsilon}_n(r)$  is defined again by Eq. (1), where  $V(r)$  is now the solution<sup>4</sup> of

$$\nabla^2 V(r) = \begin{cases} aE_F^{3/2}, & 0 < r \leq R_c \\ a[E_F^{3/2} - [E_F + A - V(r)]^{3/2}], & R_c \leq r \leq R_n \\ 0, & R_n \leq r < \infty \end{cases} \quad (10)$$

The boundary conditions that  $V$  must obey are discussed elsewhere.<sup>4</sup> In Eq. (10) the quantity  $R_c$  denotes the radius of the Coulomb hole, determined<sup>4</sup> from

$$E_F + A - V(R_c) = 0, \quad (11)$$

while the other quantities have their previous meaning.

In the variational approach of Csavinsky and Brownstein<sup>6</sup>, Eq. (10) is rewritten with the aid of Eq. (3) as

$$\psi'' = \begin{cases} arE_F^{3/2}, & 0 < r \leq R_c \\ a[rE_F^{3/2} - r(E_F - \psi/r)^{3/2}], & R_c \leq r \leq R_n \\ 0, & R_n \leq r < \infty \end{cases} \quad (12)$$

and solved by an equivalent variational principle.

As before,<sup>6</sup> in the  $0 < r \leq R_n$  interval, we have used the trial function displayed in Eq. (5). A matching condition on

TABLE IV. Parameters entering into the spatial dielectric function of  $\text{Ga}_{1-x}\text{Al}_x\text{As}$  for the case of  $Z = -2$ .

$x$	$\epsilon(0)$	$R_n$ (a.u.)	$r_0$ (a.u.)	$\lambda$	$R_c$ (a.u.)
0.05	12.95	5.29	1.76	-1.14	1.52
0.10	12.80	5.29	1.81	-1.15	1.52
0.15	12.65	5.29	1.87	-1.21	1.52
0.20	12.50	5.29	1.92	-1.22	1.52
0.25	12.35	5.29	1.98	-1.28	1.52
0.30	12.20	5.29	2.05	-1.31	1.52
0.35	12.05	5.29	2.11	-1.35	1.52
0.40	11.90	5.29	2.18	-1.39	1.52
0.45	11.75	5.29	2.26	-1.39	1.52

$\psi'(r)$  at  $r = R_n$  leads again to Eq. (6).

The values of the parameters  $\epsilon(0)$ ,  $\lambda$ ,  $R_n$ ,  $r_0$ , and  $R_c$ , for charges  $Z = -1, -2$ , for different values of  $x$ , are displayed in Tables III and IV.

### III. CONCLUDING REMARKS

Inspection of Tables I–IV shows that, with the exception of Table IV, the screening radius  $R_n$  is a slowly decreasing

function of  $x$ . Inspection of Tables I and II shows that the other variational parameter  $r_0$  is not affected by  $x$ , and it is the same for charges  $Z = +1$  and  $+2$ . In contrast to this, comparison of Tables III and IV reveals that  $r_0$  for  $Z = -1$  is quite different from  $r_0$  for  $Z = -2$ , and that in each case the  $r_0$  values show a dependence on  $x$ . It is also seen from Tables III and IV that the  $R_c$  values are quite different for different negative charges, but in each case they do not show an  $x$  dependence.

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